DIAMONDS SH922

SAHARON SHELAH

ABSTRACT. If $\lambda=\chi^+=2^\chi>\aleph_1$ then diamond on λ holds. Moreover, if $\lambda=\chi^+=2^\chi$ and $S\subseteq\{\delta<\lambda:\operatorname{cf}(\delta)\ne\operatorname{cf}(\chi)\}$ is stationary then \diamondsuit_S holds. Earlier this was known only under additional assumptions on χ and/or S.

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1. Introduction

We prove in this paper several results about diamonds. Let us recall the basic definitions and sketch the (pretty long) history of the related questions.

The diamond principle was formulated by Jensen, who proved that it holds in **L** for every regular uncountable cardinal κ and stationary $S \subseteq \kappa$. This is a prediction principle, which asserts the following:

Definition 1.1. \diamondsuit_S (the set version).

Assume $\kappa = \operatorname{cf}(\kappa) > \aleph_0$ and $S \subseteq \kappa$ is stationary, \diamondsuit_S holds when there is a sequence $\langle A_\alpha : \alpha \in S \rangle$ such that $A_\alpha \subseteq \alpha$ for every $\alpha \in S$ and the set $\{\alpha \in S : A \cap \alpha = A_\alpha\}$ is a stationary subset of κ for every $A \subseteq \kappa$.

The diamond sequence $\langle A_{\alpha} : \alpha \in S \rangle$ guesses enough (i.e., stationarily many) initial segments of every $A \subseteq \kappa$. Several variants of this principle were formulated, for example:

Definition 1.2. \diamondsuit_S^* .

Assume $\kappa = \operatorname{cf}(\kappa) > \aleph_0$ and S is a stationary subset of κ . Now \diamondsuit_S^* holds when there is a sequence $\langle \mathcal{A}_\alpha : \alpha \in S \rangle$ such that each \mathcal{A}_α is a subfamily of $\mathcal{P}(\alpha), |\mathcal{A}_\alpha| \leq |\alpha|$ and for every $A \subseteq \kappa$ there exists a club $C \subseteq \kappa$ such that $A \cap \alpha \in \mathcal{A}_\alpha$ for every $\alpha \in C \cap S$.

We know that \diamondsuit_S^* holds in **L** for every regular uncountable κ and stationary $S \subseteq \kappa$. Kunen proved that $\diamondsuit_S^* \Rightarrow \diamondsuit_S$. Moreover, if $S_1 \subseteq S_2$ are stationary subsets of κ then $\diamondsuit_{S_2}^* \Rightarrow \diamondsuit_{S_1}^*$ (hence \diamondsuit_{S_1}). But the assumption $\mathbf{V} = \mathbf{L}$ is heavy. Trying to avoid it, we can walk in several directions. On weaker relatives see [She06] and references there. We can also use other methods, aiming to prove the diamond without assuming $\mathbf{V} = \mathbf{L}$.

There is another formulation of the diamond principle, phrased via functions (instead of sets). Since we use this version in our proof, we introduce the following:

Definition 1.3. \diamondsuit_S (the functional version).

Assume $\lambda = \operatorname{cf}(\lambda) > \aleph_0, S \subseteq \lambda, S$ is stationary. \diamondsuit_S holds if there exists a diamond sequence $\langle g_\delta : \delta \in S \rangle$ which means that $g_\delta \in {}^\delta \delta$ for every $\delta \in S$, and for every $g \in {}^\lambda \lambda$ the set $\{\delta \in S : g \mid \delta = g_\delta\}$ is a stationary subset of λ .

By Gregory [Gre76] and Shelah [She79] we know that assuming $\lambda = \chi^+ = 2^{\chi}$ and $\kappa = \operatorname{cf}(\kappa) \neq \operatorname{cf}(\chi), \kappa < \lambda$, and GCH holds (or actually just $\chi^{\kappa} = \chi$ or $(\forall \alpha < \chi)(|\alpha|^{\kappa} < \chi) \wedge \operatorname{cf}(\chi) < \kappa)$, then $\diamondsuit_{S^{\lambda}}^*$ holds (recall that $S_{\kappa}^{\lambda} = \{\delta < \lambda : \operatorname{cf}(\delta) = \kappa\}$).

We have got also results which show that the failures of the diamond above a strong limit cardinal are limited. For instance, if $\lambda = \chi^+ = 2^{\chi} > \mu$ and $\mu > \aleph_0$ is strong limit, then (by [She00]) the set $\{\kappa < \mu : \diamondsuit_{S_{\kappa}^{\lambda}}^* \text{ fails}\}$ is bounded in μ (recall that κ is regular). Note that the result here does not completely subsume the earlier results when $\lambda = 2^{\chi} = \chi^+$ as we get "diamond on every stationary set $S \subseteq \lambda \backslash S_{\mathrm{cf}(\chi)}^{\lambda}$ ", but not \diamondsuit_S^* ; this is inherent as noted in 3.4. In [She06], a similar, stronger result is proved for $\diamondsuit_{S_{\kappa}^{\lambda}}$: for every $\lambda = \chi^+ = 2^{\chi} > \mu$, μ strong limit for some finite $\mathfrak{d} \subseteq \mathrm{Reg} \cap \mu$, for every regular $\kappa < \mu$ not from \mathfrak{d} we have $\diamondsuit_{S_{\kappa}^{\lambda}}$, and even \diamondsuit_S for "most" stationary $S \subseteq S_{\kappa}^{\lambda}$. In fact, for the relevant good stationary sets $S \subseteq S_{\kappa}^{\lambda}$ we get \diamondsuit_S^* . Also weaker related results are proved there for other regular λ (mainly $\lambda = \mathrm{cf}(2^{\chi})$).

The present work does not resolve:

Problem 1.4. Assume χ is singular, $\lambda = \chi^+ = 2^{\chi}$, do we have $\diamondsuit_{S_{\text{ef}(\chi)}^{\lambda}}$? (You may even assume G.C.H.).

However, the full analog result for 1.4 consistently fails, see [She84] or [She03b]; that is: if G.C.H., $\chi > \mathrm{cf}(\chi) = \kappa$ then we can force a non-reflecting stationary $S \subseteq S_{\kappa}^{\chi^+}$ such that the diamond on S fails and cardinalities and cofinalities are preserved; also G.C.H. continue to hold. But if χ is strong limit, $\lambda = \chi^+ = 2^{\chi}$, still we know something on guessing equalities for every stationary $S \subseteq S_{\kappa}^{\lambda}$; see [She03b].

Note that this S (by [She84], [She03b]) in some circumstances has to be "small"

(*) if $(\chi \text{ is singular, } 2^{\chi} = \chi^{+} = \lambda, \kappa = \text{cf}(\chi) \text{ and})$ we have the square \Box_{χ} (i.e. there exists a sequence $\langle C_{\delta} : \delta < \lambda, \delta \text{ is a limit ordinal} \rangle$, so that C_{δ} is closed and unbounded in δ , $\text{cf}(\delta) < \chi \Rightarrow |C_{\delta}| < \chi$ and if γ is a limit point of C_{δ} then $C_{\gamma} = C_{\delta} \cap \gamma$) then $\diamondsuit_{S_{\kappa}^{\lambda}}$ holds, moreover, if $S \subseteq S_{\kappa}^{\lambda}$ reflects in a stationary set of $\delta < \lambda$ then \diamondsuit_{S} holds, see [She84, §3].

Also note that our results are of the form " \diamondsuit_S for every stationary $S \subseteq S^*$ " for suitable $S^* \subseteq \lambda$. Now usually this was deduced from the stronger statement \diamondsuit_S^* . However, the results on \diamondsuit_S^* cannot be improved, see 3.4.

Also if χ is regular we cannot improve the result to $\diamondsuit_{S_{\chi}^{\lambda}}$, see [She84] or [She03a], even assuming G.C.H. Furthermore the question on \diamondsuit_{\aleph_2} when $2^{\aleph_1} = \aleph_2 = 2^{\aleph_0}$ was raised. Concerning this we show in 3.2 that $\diamondsuit_{S_{\aleph_1}^{\aleph_2}}$ may fail (this works in other cases, too).

Question 1.5. Can we deduce any ZFC result on λ strongly inaccessible?

By Džamonja-Shelah [DS96] we know that failure of SNR helps (SNR stands for strong non-reflection), a parallel here is 2.3(2).

For $\lambda = \lambda^{<\lambda} = 2^{\mu}$ weakly inaccessible we know less, still see [She05], [She13] getting a weaker relative of diamond, see Definition 3.5(2). Again failure of SNR helps.

On consistency results on SNR see Cummings-Džamonja-Shelah [CDS95], Džamonja-Shelah [DS03].

We thank the audience in the Jerusalem Logic Seminar for their comments in the lecture on this work in Fall 2006. We thank the referee for many helpful remarks. In particular, as he urged for details, the proof of 2.5(1),(2) just said "like 2.3", instead we make the old proof of 2.3(1) prove 2.5(1),(2) by minor changes and make explicit 2.5(3) which earlier was proved by "repeat of the second half of the proof of 2.3(1)". We thank Shimoni Garti for his help in the proofreading.

Notation 1.6. 1) If $\kappa = \operatorname{cf}(\kappa) < \lambda = \operatorname{cf}(\lambda)$ then we let $S_{\kappa}^{\lambda} := \{\delta < \lambda : \operatorname{cf}(\delta) = \kappa\}$. 2) \mathcal{D}_{λ} is the club filter on λ for λ a regular uncountable cardinal.

2. Diamond on Successor Cardinals

Recall (needed only for part (2) of the Theorem 2.3):

Definition 2.1. 1) We say λ on S has κ -SNR or SNR(λ, S, κ) or λ has strong non-reflection for S in κ when $S \subseteq S_{\kappa}^{\lambda} := \{\delta < \lambda : \operatorname{cf}(\delta) = \kappa\}$ so $\lambda = \operatorname{cf}(\lambda) > \kappa = \operatorname{cf}(\kappa)$ and there are $h : \lambda \to \kappa$ and a club E of λ such that for every $\delta \in S \cap E$ for some club C of δ , the function $h \upharpoonright C$ is one-to-one and even increasing; (note that without loss of generality $\alpha \in \operatorname{nacc}(E) \Rightarrow \alpha$ successor and without loss of generality $E = \lambda$, so $\mu \in \operatorname{Reg} \cap \lambda \backslash \kappa^+ \Rightarrow \operatorname{SNR}(\mu, S \cap \mu, \kappa)$). If $S = S_{\kappa}^{\lambda}$ we may omit it.

Remark 2.2. Note that by Fodor's lemma if $\operatorname{cf}(\delta) = \kappa > \aleph_0$ and h is a function from some set $\supseteq \delta$ and the range of h is $\subseteq \kappa$ then the following conditions are equivalent:

- (a) h is one-to-one on some club of δ
- (b) h is increasing on some club of δ
- (c) Rang($h \upharpoonright S$) is unbounded in κ for every stationary subset S of δ .

Our main theorem is:

Claim 2.3. Assume $\lambda = 2^{\chi} = \chi^+$.

- 1) If $S \subseteq \lambda$ is stationary and $\delta \in S \Rightarrow \mathrm{cf}(\delta) \neq \mathrm{cf}(\chi)$ then \Diamond_S holds.
- 2) If $\aleph_0 < \kappa = \mathrm{cf}(\chi) < \chi$ and \diamondsuit_S fails where $S = S_{\kappa}^{\lambda}$ (or just $S \subseteq S_{\kappa}^{\lambda}$ is a stationary subset of λ) then we have $\mathrm{SNR}(\lambda,\kappa)$ or just λ has strong non-reflection for $S \subseteq S_{\kappa}^{\lambda}$ in κ .

Definition 2.4. 1) For a filter D on a set I let Dom(D) := I and S is called D-positive when $S \subseteq I \land (I \backslash S) \notin D$ and $D^+ = \{S \subseteq Dom(D) : S \text{ is } D\text{-positive}\}$ and we let $D + A = \{B \subseteq I : B \cup (I \backslash A) \in D\}$ (so if $D = \mathcal{D}_{\lambda}$, the club filter on the regular uncountable λ then D^+ is the family of stationary subsets of X).

2) For D a filter on a regular uncountable cardinal λ which extends the club filter, let \diamondsuit_D means: there is $\bar{f} = \langle f_\alpha : \alpha \in S \rangle$ which is a diamond sequence for D (or a D-diamond sequence) which means that $S \in D^+$ and for every $g \in {}^{\lambda}\lambda$ the set $\{\alpha < \lambda : g \mid \alpha = f_\alpha\}$ belongs to D^+ ; so \bar{f} is also a diamond sequence for the filter D + S, (clearly \diamondsuit_S means $\diamondsuit_{\mathcal{D}_{\lambda} + S}$ for S a stationary subset of the regular uncountable λ).

A somewhat more general version of the theorem is

Claim 2.5. 1) Assume $\lambda = \chi^+ = 2^{\chi}$ and D is a λ -complete filter on λ which extends the club filter. If $S \in D^+$ and $\delta \in S \Rightarrow \mathrm{cf}(\delta) \neq \mathrm{cf}(\chi)$ then we have \diamondsuit_{D+S} . 2) We have \diamondsuit_D when:

- (a) $\lambda = \lambda^{<\lambda}$
- (b) $\bar{f} = \langle f_{\alpha} : \alpha < \lambda \rangle \ lists \cup \{^{\alpha}\lambda : \alpha < \lambda \}$
- (c) $S \in D^+$
- (d) $\bar{u} = \langle u_{\alpha} : \alpha \in S \rangle$ and $u_{\alpha} \subseteq \alpha$ for every $\alpha \in S$
- (e) $\chi = \sup\{|u_{\alpha}|^{+} : \alpha < \lambda\} < \lambda$
- (f) D is a χ^+ -complete filter on λ extending the club filter
- $(g) \ (\forall g \in {}^{\lambda}\lambda)(\exists^{D^+}\delta \in S)[\delta = \sup\{\alpha \in u_{\delta} : g \upharpoonright \alpha \in \{f_{\beta} : \beta \in u_{\delta}\}\}].$

3) Assume $\lambda = \chi^+ = 2^{\chi}$ and $\aleph_0 < \kappa = \mathrm{cf}(\chi) < \chi, S \subseteq S_{\kappa}^{\lambda}$ is stationary, and D is a λ -complete filter extending the club filter on λ to which S belongs. If \diamondsuit_D fails then $\mathrm{SNR}(\lambda, S, \kappa)$.

Proof. Proof of 2.3 Part (1) follows from 2.5(1) for D the filter $\mathcal{D}_{\lambda} + S$. Part (2) follows from 2.5(3) for D the filter $\mathcal{D}_{\lambda} + S$.

Proof. Proof of 2.5

Proof of part (1)

Clearly we can assume

 \circledast_0 $\chi > \aleph_0$ as for $\chi = \aleph_0$ the statement is empty.

Let

 $\circledast_1 \langle f_\alpha : \alpha < \lambda \rangle$ list the set $\{f : f \text{ is a function from } \beta \text{ to } \lambda \text{ for some } \beta < \lambda \}.$

For each $\alpha < \lambda$ clearly $|\alpha| \le \chi$ so let

 $\circledast_{2,\alpha} \langle u_{\alpha,\varepsilon} : \varepsilon < \chi \rangle$ be \subseteq -increasing continuous with union α such that $\varepsilon < \chi \Rightarrow |u_{\alpha,\varepsilon}| \leq \aleph_0 + |\varepsilon| < \chi$.

For $g \in {}^{\lambda}\lambda$ let $h_g \in {}^{\lambda}\lambda$ be defined by

$$\circledast_{3,g} \ h_g(\alpha) = \min\{\beta < \lambda : g \upharpoonright \alpha = f_\beta\}.$$

Let cd, $\langle \operatorname{cd}_{\varepsilon} : \varepsilon < \chi \rangle$ be such that

- \circledast_4 (a) cd is a one-to-one function from $^{\chi}\lambda$ onto λ such that $\operatorname{cd}(\bar{\alpha}) \geq \sup\{\alpha_{\varepsilon} : \varepsilon < \chi\}$ (when $\bar{\alpha} = \langle \alpha_{\varepsilon} : \varepsilon < \chi \rangle$)
 - (b) for $\varepsilon < \chi$, $\operatorname{cd}_{\varepsilon}$ is a function from λ to λ such that $\bar{\alpha} = \langle \alpha_{\varepsilon} : \varepsilon < \chi \rangle \in {}^{\chi}\lambda \Rightarrow \operatorname{cd}_{\varepsilon}(\operatorname{cd}(\bar{\alpha})) = \alpha_{\varepsilon}$

(they exist as $\lambda = \lambda^{\chi}$, in the present case this holds as $2^{\chi} = \chi^{+} = \lambda$). Now we let (for $\beta < \lambda, \varepsilon < \chi$):

 \circledast_5 $f_{\beta,\varepsilon}^1$ be the function from $\mathrm{Dom}(f_\beta)$ into λ defined by $f_{\beta,\varepsilon}^1(\alpha) = \mathrm{cd}_{\varepsilon}(f_\beta(\alpha))$ so $\mathrm{Dom}(f_{\beta,\varepsilon}^1) = \mathrm{Dom}(f_\beta)$.

Without loss of generality

 $\circledast_6 \ \alpha \in S \Rightarrow \alpha \text{ is a limit ordinal.}$

For $g \in {}^{\lambda}\lambda$ and $\varepsilon < \chi$ we let

$$S_g^{\varepsilon} = \left\{ \delta \in S : \quad \delta = \sup \{ \alpha \in u_{\delta, \varepsilon} : \text{ for some } \beta \in u_{\delta, \varepsilon} \right\}$$
we have $g \upharpoonright \alpha = f_{\beta, \varepsilon}^1$.

Next we shall show

 \circledast_7 for some $\varepsilon(*) < \chi$ for every $g \in {}^{\lambda}\lambda$ the set $S_g^{\varepsilon(*)}$ is a *D*-positive subset of λ .

Proof of \circledast_7 Assume this fails, so for every $\varepsilon < \chi$ there is $g_{\varepsilon} \in {}^{\lambda}\lambda$ such that $S_{g_{\varepsilon}}^{\varepsilon}$ is not D-positive and let E_{ε} be a member of D disjoint to $S_{g_{\varepsilon}}^{\varepsilon}$. Define $g \in {}^{\lambda}\lambda$ by $g(\alpha) := \operatorname{cd}(\langle g_{\varepsilon}(\alpha) : \varepsilon < \chi \rangle)$ and let $h_g \in {}^{\lambda}\lambda$ be as in $\circledast_{3,g}$, i.e. $h_g(\alpha) = \operatorname{Min}\{\beta : g \upharpoonright \alpha = f_{\beta}\}$.

Let $E_* = \{\delta < \lambda : \delta \text{ is a limit ordinal such that } \alpha < \delta \Rightarrow h_g(\alpha) < \delta\}$, clearly it is a club of λ hence it belongs to D and so $E = \cap \{E_\varepsilon : \varepsilon < \chi\} \cap E_*$ belongs to D as D is λ -complete and $\chi + 1 < \lambda$.

As S is a D-positive subset of λ there is $\delta_* \in E \cap S$. For each $\alpha < \delta_*$ as $\delta_* \in E \subseteq E_*$ clearly $h_g(\alpha) < \delta_*$ and α as well as $h_g(\alpha)$ belong to $\cup \{u_{\delta_*,\varepsilon} : \varepsilon < \chi\} = \delta_*$, but $\langle u_{\delta_*,\varepsilon} : \varepsilon < \chi \rangle$ is \subseteq -increasing hence $\varepsilon_{\delta_*,\alpha} = \min\{\varepsilon : \alpha \in u_{\delta_*,\varepsilon} \text{ and } h_g(\alpha) \in u_{\delta_*,\varepsilon}\}$ is not just well defined but also $\varepsilon \in [\varepsilon_{\delta_*,\alpha},\chi) \Rightarrow \{\alpha,h_g(\alpha)\} \subseteq u_{\delta_*,\varepsilon}$. As $\mathrm{cf}(\delta_*) \neq \mathrm{cf}(\chi)$, by an assumption on S, it follows that for some $\varepsilon(*) < \chi$ the set $B := \{\alpha < \delta_* : \varepsilon_{\delta_*,\alpha} < \varepsilon(*)\}$ is unbounded below δ_* .

- (a) $\alpha \in B \Rightarrow \{\alpha, h_g(\alpha)\} \subseteq u_{\delta_*, \varepsilon(*)}$ and
- (b) $\alpha \in B \Rightarrow g \upharpoonright \alpha = f_{h_g(\alpha)} \Rightarrow \bigwedge_{\varepsilon < \chi} [g_\varepsilon \upharpoonright \alpha = f^1_{h_g(\alpha),\varepsilon}] \Rightarrow g_{\varepsilon(*)} \upharpoonright \alpha = f^1_{h_g(\alpha),\varepsilon(*)}.$

But $\delta_* \in E \subseteq E_{\varepsilon(*)}$ hence $\delta_* \notin S_{g_{\varepsilon(*)}}^{\varepsilon(*)}$ by the choice of $E_{\varepsilon(*)}$, but by (a) + (b) and the definition of $S_{g_{\varepsilon(*)}}^{\varepsilon(*)}$ recalling $\delta_* \in S$ we have $\sup(B) = \delta_* \Rightarrow \delta_* \in S_{g_{\varepsilon(*)}}^{\varepsilon(*)}$, (where $h_g(\alpha)$ plays the role of β in the definition of S_g^{ε} above), contradiction. So the proof of \mathfrak{B}_7 is finished.

Let $\chi_* = (|\varepsilon(*)| + \aleph_0)$ hence $\delta \in S \Rightarrow |u_{\delta,\varepsilon(*)}| \leq \chi_*$ and $\chi_*^+ < \lambda$ as $\chi_* < \chi < \lambda$ because $\aleph_0, \varepsilon(*) < \chi < \lambda$. Now we apply 2.5(2) which is proved below with $\lambda, S, D, \chi_*^+, \langle f_{\beta,\varepsilon(*)}^1 : \beta < \lambda \rangle, \langle u_{\delta,\varepsilon(*)} : \delta \in S \rangle$ here standing for $\lambda, S, D, \chi, \bar{f}, \bar{u}$ there. The conditions there are satisfied hence also the conclusion which says that \diamondsuit_D holds. $\square_{2.5(1)}$

Proof. Proof of 2.5(2)Let

- $\boxtimes_1 \langle \operatorname{cd}_{\varepsilon} : \varepsilon < \chi \rangle$ and cd be as in \circledast_4 in the proof of part (1), possible as we are assuming $\chi < \lambda = \lambda^{<\lambda}$
- \boxtimes_2 for $\beta < \lambda$ and $\zeta < \chi$ let $f_{\beta,\zeta}^2$ be the function with domain $\text{Dom}(f_\beta)$ such that $f_{\beta,\zeta}^2(\alpha) = \text{cd}_{\zeta}(f_{\beta}(\alpha))$
- \boxtimes_3 for $g \in {}^{\lambda}\lambda$ define $h_g \in {}^{\lambda}\lambda$ as in \circledast_3 in the proof of part (1), i.e. $h_g(\alpha) = \min\{\beta: g \upharpoonright \alpha = f_\beta\}$.

If $2^{<\chi} < \lambda$ our life is easier but we do not assume this. For $\delta \in S$ let ξ^*_{δ} be a cardinal, and let $\langle (\alpha^1_{\delta,\xi},\alpha^2_{\delta,\xi}) : \xi < \xi^*_{\delta} \rangle$ list the set $\{(\alpha_1,\alpha_2) \in u_{\delta} \times u_{\delta} : \operatorname{Dom}(f_{\alpha_2}) = \alpha_1\}$, note that $\xi^*_{\delta} < \chi$, recalling $|u_{\delta}| < \chi$ by clause (e) of the assumption. We now try to choose $(\bar{v}_{\varepsilon},g_{\varepsilon},E_{\varepsilon})$ by induction on $\varepsilon < \chi$, (note that \bar{v}_{ε} is defined from $\langle g_{\zeta} : \zeta < \varepsilon \rangle$ (see clause (e) of \boxtimes_4 below) so we choose just $(g_{\varepsilon},E_{\varepsilon})$), such that:

- $\boxtimes_4 (a)$ E_{ε} is a member of D and $\langle E_{\zeta} : \zeta \leq \varepsilon \rangle$ is \subseteq -decreasing with ζ
 - (b) $\bar{v}_{\varepsilon} = \langle v_{\delta}^{\varepsilon} : \delta \in S \cap E_{\varepsilon}' \rangle$ when $E_{\varepsilon}' = \cap \{ E_{\zeta} : \zeta < \varepsilon \} \cap \lambda$ so is λ if $\varepsilon = 0$
 - (c) $\langle v_{\delta}^{\zeta} : \zeta \leq \varepsilon \rangle$ is \subseteq -decreasing with ζ for each $\delta \in S \cap E'_{\varepsilon}$
 - (d) $g_{\varepsilon} \in {}^{\lambda}\lambda$

(e)
$$v_{\delta}^{\varepsilon} = \{ \xi < \xi_{\delta}^* : \text{ if } \zeta < \varepsilon \text{ then } g_{\zeta} \upharpoonright \alpha_{\delta,\xi}^1 = f_{\alpha_{\delta,\xi}^2,\zeta}^2 \}$$

(so if ε is a limit ordinal then $v_{\delta}^{\varepsilon} = \bigcap_{\zeta < \varepsilon} v_{\delta}^{\zeta}$ and $\varepsilon = 0 \Rightarrow v_{\delta}^{\varepsilon} = \xi_{\delta}^*$)

$$(f) \quad \text{if } \delta \in E_\varepsilon' \cap S \ \underline{\text{then}} \ v_\delta^{\varepsilon+1} \subsetneqq v_\delta^\varepsilon \ \text{or} \ \delta > \sup \{\alpha_{\delta,\xi}^1 : \xi \in v_\delta^{\varepsilon+1} \}.$$

Next

 \oplus_1 we cannot carry the induction, that is for all $\varepsilon < \chi$.

Why? Assume toward contradiction that $\langle (\bar{v}_{\varepsilon}, g_{\varepsilon}, E_{\varepsilon}) : \varepsilon < \chi \rangle$ is well defined. Let $E := \cap \{E_{\varepsilon} : \varepsilon < \chi\}$, it is a member of D as D is χ^+ -complete. Define $g \in {}^{\lambda}\lambda$ by $g(\alpha) := \operatorname{cd}(\langle g_{\varepsilon}(\alpha) : \varepsilon < \chi \rangle)$. Let $E_* = \{\delta < \lambda : \delta \text{ a limit ordinal such that } h_g(\alpha) < \delta \text{ and } \delta > \sup(\operatorname{Dom}(f_{\alpha}) \cup \operatorname{Rang}(f_{\alpha})) \text{ for every } \alpha < \delta\}$, so E_* is a club of λ hence it belongs to D. By assumption (g) of the claim the set

$$S_q := \{ \delta \in S : \delta = \sup \{ \alpha \in u_\delta : (\exists \beta \in u_\delta) (f_\beta = g \upharpoonright \alpha) \} \}$$

is D-positive, so we can choose $\delta \in E \cap E_* \cap S_g$. Hence $B := \{\alpha \in u_\delta : (\exists \beta \in u_\delta)(f_\beta = g \upharpoonright \alpha)\}$ is an unbounded subset of u_δ and let $h : B \to u_\delta$ be $h(\alpha) = \min\{\beta \in u_\delta : f_\beta = g \upharpoonright \alpha\}$, clearly h is a function from B into u_δ . Now $\alpha \in B \land \zeta < \chi \Rightarrow f_{h(\alpha)} = g \upharpoonright \alpha \land \zeta < \chi \Rightarrow f_{h(\alpha),\zeta}^2 = g_\zeta \upharpoonright \alpha$, so for $\alpha \in B$ the pair $(\alpha, h(\alpha))$ belongs to $\{(\alpha_{\delta,\xi}^1, \alpha_{\delta,\xi}^2) : \xi \in v_\delta^\varepsilon\}$ for every $\varepsilon < \chi$. Hence for any $\varepsilon < \chi$ we have $B \subseteq \{\alpha_{\delta,\xi}^1 : \xi \in v_\delta^\varepsilon\}$ so $\delta = \sup\{\alpha_{\delta,\xi}^1 : \xi \in v_\delta^\varepsilon\}$.

So for the present δ , in clause (f) of \boxtimes_4 the second possibility never occurs. So clearly $\langle v_{\delta_*}^{\varepsilon} : \varepsilon < \chi \rangle$ is strictly \subseteq -decreasing, i.e. is \subseteq -decreasing which is impossible as $|v_{\delta_*}^{\theta}| = \xi_{\delta_*}^* < \chi$. So we have proved \oplus_1 hence we can assume

 \oplus_2 there is $\varepsilon < \chi$ such that we have defined our triple for every $\zeta < \varepsilon$ but we cannot define for ε . So we have $\langle (\bar{v}_{\zeta}, g_{\zeta}, E_{\zeta}) : \zeta < \varepsilon \rangle$.

As in $\boxplus_4(e)$, let

 $\odot_1 E'_{\varepsilon}$ be λ if $\varepsilon = 0$ and $\cap \{E_{\zeta} : \zeta < \varepsilon\}$ if $\varepsilon > 0$ and let $S_* := S \cap E'_{\varepsilon}$.

Clearly \bar{v}_{ε} is well defined, see clauses (b),(e) of \boxtimes_4 , and for $\delta \in S_*$ let $\mathcal{F}_{\delta} = \{f_{\alpha_{\delta,\xi}^2,\varepsilon}^2 : \xi \in v_{\delta}^{\varepsilon}\}$, so each member is a function from some $\alpha \in u_{\delta} \subseteq \delta$ into some ordinal $< \delta$. Let

 \odot_2 $S_1^* := \{ \delta \in S_* : \text{ there are } f', f'' \in \mathcal{F}_\delta \text{ which are incompatible as functions} \}$

$$\odot_3 S_2^* := \{ \delta \in S_* : \delta \notin S_1^* \text{ but the function } \cup \{ f : f \in \mathcal{F}_{\delta} \} \text{ has domain } \neq \delta \}$$

 $\odot_4 S_3^* = S_* \setminus (S_1^* \cup S_2^*).$

For $\delta \in S_3^*$ let $g_{\delta}^* = \bigcup \{f : f \in \mathcal{F}_{\delta}\}$, so by the definition of $\langle S_{\ell}^* : \ell = 1, 2, 3 \rangle$ clearly $g_{\delta}^* \in {}^{\delta} \delta$. Now if $\langle g_{\delta}^* : \delta \in S_3^* \rangle$ is a diamond sequence for D then we are done.

So assume that this fails, so for some $g \in {}^{\lambda}\lambda$ and member E of D we have $\delta \in S_3^* \cap E \Rightarrow g_{\delta}^* \neq g \upharpoonright \delta$. Without loss of generality E is included in E'_{ε} . But then we could have chosen (g, E) as $(g_{\varepsilon}, E_{\varepsilon})$, recalling \bar{v}_{ε} was already chosen. Easily the triple $(g_{\varepsilon}, E_{\varepsilon}, \bar{v}_{\varepsilon})$ is as required in \oplus_1 , contradicting the choice of ε in \oplus_2 so we are done proving part (2) of Theorem 2.3 hence also part (1). $\square_{2.5(2)}$

Proof. Proof of part (3)

We use $\operatorname{cd},\operatorname{cd}_{\varepsilon}$ (for $\varepsilon < \chi$), $\langle \langle u_{\alpha,\varepsilon} : \varepsilon < \chi \rangle : \alpha < \lambda \rangle$, $\langle f_{\alpha} : \alpha < \lambda \rangle$, $\langle f_{\alpha,\varepsilon}^1 : \alpha < \lambda, \varepsilon < \chi \rangle$ and S_q^{ε} for $\varepsilon < \kappa$ as in the proof of part (1).

Recall κ , a regular uncountable cardinal, is the cofinality of the singular cardinal χ and let $\langle \chi_{\gamma} : \gamma < \kappa \rangle$ be increasing with limit χ . For every $\gamma < \kappa$ we ask: The γ -Question: Do we have: for every $g \in {}^{\lambda}\lambda$, the following is a D-positive subset of λ :

 $\{\delta \in S : S_{\gamma}[g] \cap \delta \text{ is a stationary subset of } \delta\}$ where $S_{\gamma}[g] := \{\zeta < \lambda : \operatorname{cf}(\zeta) \in [\aleph_0, \kappa), \sup(u_{\zeta,\chi_{\gamma}}) = \zeta \text{ and for arbitrarily large } \alpha \in u_{\zeta,\chi_{\gamma}} \text{ for some } \beta \in u_{\zeta,\chi_{\gamma}} \text{ and } \varepsilon < \chi_{\gamma}, \text{ we have } \operatorname{Dom}(f_{\beta}) = \alpha \text{ and } g \upharpoonright \alpha = f_{\beta,\varepsilon}^1\}.$

Case 1: For some $\gamma < \kappa$, the answer is yes.

Choose $\langle C_{\delta} : \delta \in S \rangle$ such that C_{δ} is a club of δ of order type $\mathrm{cf}(\delta) = \kappa$. For $\delta \in S \subseteq S_{\kappa}^{\lambda}$ let $u_{\delta} := \cup \{u_{\alpha,\chi_{\gamma}} : \alpha \in C_{\delta}\}$. Clearly

 $\boxplus_2 |u_{\delta}| \leq \kappa + \chi_{\gamma} < \chi$

 \boxplus_3 for every $g \in {}^{\lambda}\lambda$ for D-positively many $\delta \in S$, we have $\delta = \sup\{\alpha \in u_{\delta} : g \upharpoonright \alpha \in \{f_{\beta,\varepsilon}^1 : \varepsilon < \chi_{\gamma} \text{ and } \beta \in u_{\delta}\}\}.$

Why \boxplus_3 holds? Given $g \in {}^{\lambda}\lambda$, let $h_g \in {}^{\lambda}\lambda$ be defined by $h_g(\alpha) = \min\{\beta < \lambda : g \upharpoonright \alpha = f_\beta\}$, so $h_g(\alpha) \geq \alpha$ (but is less than λ). Let $E_g = \{\delta < \lambda : \delta \text{ is a limit ordinal such that } (\forall \alpha < \delta)h_g(\alpha) < \delta\}$, so E_g is a club of λ and let E'_g be the set of accumulation points of E_g , so E'_g , too, is a club of λ . By the assumption of this case, the set $S' := \{\delta \in S : \delta \cap S_\gamma[g] \text{ is a stationary subset of } \lambda\}$ is D-positive, hence $S'' := S' \cap E'_g$ is a D-positive subset of λ . Let $\delta \in S''$, by E'_g 's definition, we can find $B^0_\delta \subseteq E_g \cap \delta$ unbounded in δ , so without loss of generality B^0_δ is closed. But $S_\gamma[g] \cap \delta$ is a stationary subset of δ , recalling $\delta \in S''$, so $B^1_\delta = B^0_\delta \cap S_\gamma[g] \cap C_\delta$ is a stationary subset of δ are closed unbounded subsets of δ .

Clearly $\zeta \in B^1_{\delta} \Rightarrow \zeta \in C_{\delta} \Rightarrow u_{\zeta,\chi_{\gamma}} \subseteq u_{\delta}$ by the definitions of B^1_{δ} and u_{δ} . Also $\zeta \in B^1_{\delta} \Rightarrow \zeta \in S_{\gamma}[g] \Rightarrow (\zeta \text{ is a limit ordinal}) \land \zeta = \sup\{u_{\zeta,\chi_{\gamma}}\} = \sup\{\alpha \in u_{\zeta,\chi_{\gamma}}: (\exists \beta \in u_{\zeta,\chi_{\gamma}})(\exists \varepsilon < \chi_{\gamma})(g \upharpoonright \alpha = f^1_{\beta,\varepsilon})\} \Rightarrow ((\zeta \text{ is a limit ordinal}) \land \zeta = \sup\{\alpha \in u_{\delta} \cap \zeta: (\exists \beta \in u_{\delta} \backslash \alpha)(\exists \varepsilon < \chi_{\gamma})(g \upharpoonright \alpha = f^1_{\beta,\varepsilon})\}.$

As B_{δ}^1 is unbounded in δ being stationary we are done proving \boxplus_3 .

Now without loss of generality every $\delta \in S$ is divisible by χ hence $\delta = \chi_{\gamma}\delta$ and let $u'_{\delta} = u_{\delta} \cup \{\chi_{\gamma}\alpha + \varepsilon : \alpha \in u_{\delta}, \varepsilon < \chi_{\gamma}\}$, so u_{δ} is an unbounded subset of δ , and let $f'_{\beta} = f^1_{\alpha,\varepsilon}$ when $\beta = \chi_{\gamma}\alpha + \varepsilon, \varepsilon < \chi_{\gamma}$. So translating what we have is:

- $\coprod_4 (a) \langle f'_{\alpha} : \alpha < \lambda \rangle$ is a sequence of members of $\cup \{\beta \lambda : \beta < \lambda\}$
 - (b) for $\delta \in S, u'_{\delta}$ is an unbounded subset of δ of cardinality $\leq \chi_{\gamma} \times \chi_{\gamma} = \chi_{\gamma}(<\chi)$
 - (c) for every $g \in {}^{\lambda}\lambda$ for D-positively many $\delta \in S$ we have $\delta = \sup\{\alpha \in u'_{\delta} : (\exists \beta \in u'_{\delta})(g \upharpoonright \alpha = f'_{\beta})\}.$

Now we can apply part (2) with $\langle f'_{\alpha} : \alpha < \lambda \rangle$, $\langle u'_{\delta} : \delta \in S \rangle$ replacing \bar{f} , $\langle u_{\delta} : \delta \in S \rangle$. So as there we can prove \Diamond_{S} , hence we are done.

<u>Case 2</u>: For every $\gamma < \kappa$ the answer is no.

Let (g_{γ}, E_{γ}) exemplify that the answer for γ is no; so $g_{\gamma} \in {}^{\lambda}\lambda$ and $E_{\gamma} \in D$. Let $E = \bigcap_{\substack{\gamma < \kappa \\ \gamma < \kappa}} E_{\gamma}$, so E is a member of D. Let $g \in {}^{\lambda}\lambda$ be defined by $g(\alpha) = \operatorname{cd}(\langle g_{\gamma}(\alpha) : \gamma < \kappa \rangle^{\hat{}}(0)_{\chi})$, i.e. $\operatorname{cd}_{\varepsilon}(g(\alpha))$ is $g_{\gamma}(\alpha)$ if $\gamma < \kappa$ and is 0 if $\varepsilon \in [\kappa, \chi)$.

$$E_g := \{ \delta < \lambda : \quad \delta \text{ a limit ordinal such that if } \alpha < \lambda \text{ then } h_g(\alpha) < \delta \text{ and } \delta > \sup(\text{Dom}(f_\alpha) \cup \text{Rang}(f_\alpha)) \}.$$

We now define $h: \lambda \to \kappa$ as follows

$$\boxplus_5$$
 for $\beta < \lambda$
(a) if $\operatorname{cf}(\beta) \notin [\aleph_0, \kappa)$ or $\beta \notin E_g$ then $h(\beta) = 0$
(b) otherwise

$$h(\beta) = \min\{\gamma < \kappa: \quad \beta = \sup\{\alpha_1 \in u_{\beta,\chi_{\gamma}}: \text{ for some } \alpha_2 \in u_{\beta,\chi_{\gamma}} \\ \text{ and } \varepsilon < \chi_{\gamma} \text{ we have } g \upharpoonright \alpha_1 = f^1_{\alpha_2,\varepsilon}\}\}.$$

Now

 $\boxplus_6 \ h: \lambda \to \kappa \text{ is well defined.}$

Why \boxplus_6 holds? Let $\beta < \lambda$. If $\operatorname{cf}(\beta) \notin [\aleph_0, \kappa)$ or $\beta \notin E_g$ then $h(\alpha) = 0 < \kappa$ by clause (a) of \boxplus_5 . So assume $\operatorname{cf}(\beta) \in [\aleph_0, \kappa)$ and $\beta \in E_g$. Let $\langle \gamma_{\beta, \varepsilon}^1 : \varepsilon < \operatorname{cf}(\beta) \rangle$ be increasing with limit β and let $\gamma_{\beta, \varepsilon}^2 = \min\{\gamma : g | \gamma_{\beta, \varepsilon}^1 = f_\gamma\}$, so $\varepsilon < \operatorname{cf}(\beta) \Rightarrow \gamma_{\beta, \varepsilon}^2 < \beta$ as $\beta \in E_g$. But $\langle u_{\beta, \chi_{\zeta}} : \zeta < \operatorname{cf}(\chi) \rangle$ is \subseteq -increasing with union β so for each $\varepsilon < \operatorname{cf}(\beta)$ there is $\zeta = \zeta_{\beta, \varepsilon} < \operatorname{cf}(\chi)$ such that $\{\gamma_{\beta, \varepsilon}^1, \gamma_{\beta, \varepsilon}^2\} \subseteq u_{\beta, \chi_{\zeta}}$. As $\operatorname{cf}(\beta) < \kappa = \operatorname{cf}(\chi)$ for some $\zeta < \kappa$ the set $\{\varepsilon < \operatorname{cf}(\beta) : \zeta_{\beta, \varepsilon} < \zeta\}$ is unbounded in $\operatorname{cf}(\beta)$. So ζ can serve as γ in clause (b) of \boxplus_5 so $h(\beta)$ is well defined, in particular is less than κ so we have proved \boxplus_6 .

 \boxplus_7 if $\delta \in S \cap E_\gamma$ then for some club C of δ the function $h \upharpoonright C$ is increasing.

Why \boxplus_7 holds? If not, then by Fodor's lemma for some $\gamma < \kappa$ the set $\{\delta' \in \delta \cap S : h(\delta') \leq \gamma\}$ is a stationary subset of δ , and we get contradiction to the choice of E_{γ} so \boxplus_7 holds indeed.

So h is as promised in the claim.

Note

Observation 2.6. If $\kappa_* < \lambda$ are regular, $S_{\kappa_*}^{\lambda}$ strongly does not reflect in λ for every $\kappa \in \text{Reg } \cap \kappa_*$ and $\Pi(\text{Reg } \cap \kappa_*) < \lambda$, then:

- (a) $S_{<\kappa_*}^{\lambda}$ can be divided to $\leq \Pi(\text{Reg } \cap \kappa_*)$ sets, each not reflecting in any $\delta \in S_{<\kappa_*}^{\lambda}$ in particular
- (b) $S_{\aleph_0}^{\lambda}$ can be divided to $\leq \Pi(\text{Reg } \cap \kappa_*)$ sets each not reflecting in any $\delta \in S_{<\kappa_*}^{\lambda}$.

Remark 2.7. 1) Of course if λ has κ -SNR then this holds for every regular $\lambda' \in (\kappa, \lambda)$.

2) We may state the results, using λ_{κ}^{*} (see below).

SAHARON SHELAH

Definition 2.8. For each regular κ let $\lambda_{\kappa}^* = \min\{\lambda : \lambda \text{ regular fails to have } \kappa - \text{SNR}\}$, and let λ_{κ}^* be ∞ (or not defined) if there is no such λ .

10

3. Consistent failure on S_1^2

A known question was:

Question 3.1. For $\theta \in \{\aleph_0, \aleph_1\}$ do we have $(2^{\aleph_0} = 2^{\aleph_1} = \aleph_2 \Rightarrow \diamondsuit_{S_0^{\aleph_2}})$?

So for $\theta = \aleph_0$ the answer is yes (by 2.3(1)), but what about $\theta = \aleph_1$? We noted some years ago that easily:

Claim 3.2. Assume $V \models GCH$ or even just $2^{\aleph_{\ell}} = \aleph_{\ell+1}$ for $\ell = 0, 1, 2$. Then some forcing notion \mathbb{P} satisfies

- (a) \mathbb{P} is of cardinality \aleph_3
- (b) forcing with \mathbb{P} preserves cardinals and cofinalities
- (c) in $\mathbf{V}^{\mathbb{P}}, 2^{\aleph_0} = 2^{\aleph_1} = \aleph_2, 2^{\aleph_2} = \aleph_3$
- (d) in $\mathbf{V}^{\mathbb{P}}$, \diamondsuit_S fails where $S = \{\delta < \aleph_2 : \operatorname{cf}(\delta) = \aleph_1\}$, moreover
 - (*) there is a sequence $\bar{A} = \langle A_{\delta} : \delta \in S \rangle$ where A_{δ} an unbounded subset of δ of order type ω_1 satisfying
 - (**) if $\bar{f} = \langle f_{\delta} : \delta \in S \rangle$, $f_{\delta} \in {}^{(A_{\delta})}(\omega_{1})$, then there is $f \in {}^{(\omega_{2})}(\omega_{1})$ such that $\delta \in S \Rightarrow \delta > \sup(\{\alpha \in A_{\delta} : f(\alpha) \leq f_{\delta}(\alpha)\})$.

Remark 3.3. Similarly for other cardinals.

Proof. There is an \aleph_1 -complete \aleph_3 -c.c. forcing notion \mathbb{P} not collapsing cardinals, not changing cofinalities, preserving $2^{\aleph_\ell} = \aleph_\ell$ for $\ell = 0, 1, 2$ and $|\mathbb{P}| = \aleph_3$ such that in $\mathbf{V}^{\mathbb{P}}$, we have (*), in fact more¹ than (*) holds - see [She03a]. Let \mathbb{Q} be the forcing of adding \aleph_2 Cohen or just any c.c.c. forcing notion of cardinality \aleph_2 adding \aleph_2 reals (can be \mathbb{Q} , a \mathbb{P} -name). Now we shall show that $\mathbb{P} * \mathbb{Q}$, equivalently $\mathbb{P} \times \mathbb{Q}$ is as required:

Clause (a):

$$|\mathbb{P} * \mathbb{Q}| = \aleph_3$$
; trivial.

Clause (b):

Preserving cardinals and cofinalities; obvious as both \mathbb{P} and \mathbb{Q} do this.

Clause (c): Easy.

Clause (d): In $\mathbf{V}^{\mathbb{P}}$ we have (*) as exemplified by say $\bar{A} = \langle A_{\delta} : \delta \in S \rangle$. We shall show that $\mathbf{V}^{\mathbb{P}*\mathbb{Q}} \models$ " \bar{A} satisfies (**)". Otherwise in $\mathbf{V}^{\mathbb{P}*\mathbb{Q}}$ we have $\bar{f} = \langle f_{\delta} : \delta \in S \rangle$ say in $\mathbf{V}[G_{\mathbb{P}}, G_{\mathbb{Q}}]$ a counterexample then in $\mathbf{V}[G_{\mathbb{P}}]$ for some $q \in \mathbb{Q}$ and \bar{f} we have

$$\mathbf{V}[G_{\mathbb{P}}] \models (q \Vdash_{\mathbb{Q}} "\underline{\bar{f}} = \langle \underline{\tilde{f}}_{\delta} : \delta \in S \rangle \text{ where } \underline{\tilde{f}}_{\delta} : A_{\delta} \to \omega_{1} \text{ for each } \delta \in S$$
 form a counterexample to (*)").

Now in $\mathbf{V}[G_{\mathbb{P}}]$ we can define $\bar{g} = \langle g_{\delta}^1 : \delta \in S \rangle \in \mathbf{V}[G_{\mathbb{P}}]$ where g_{δ}^1 a function with domain A_{δ} , by

$$g^1_{\delta}(\alpha) = \{i : q \nvDash f_{\delta}(\alpha) \neq i\}.$$

¹I.e. there is $\bar{A} = \langle A_{\delta} : \delta \in S \rangle$ where A_{δ} is an unbounded subset of δ of order type ω_1 satisfying:

 $[\]oplus$ if $\bar{f} = \langle f_{\delta} : \delta \in S \rangle$, $f_{\delta} \in {}^{(A_{\delta})}\omega_{1}$ then there is $f \in {}^{(\omega_{2})}\omega_{1}$ such that for every $\delta \in S_{\aleph_{1}}^{\aleph_{2}}$ for every $\alpha \in A_{\delta}$ large enough we have $f(\alpha) = f_{\delta}(\alpha)$.

So in $V[G_{\mathbb{P}}]$ we have $q \Vdash_{\mathbb{Q}}$ " $\bigwedge_{\delta \in S} (\forall \alpha \in A_{\delta}) f_{\delta}(\alpha) \in g_{\delta}^{1}(\alpha)$ }". Also $g_{\delta}^{1}(\alpha)$ is a countable

subset of ω_1 as \mathbb{Q} satisfies the c.c.c.

For $\delta \in S$ we define a function $g_{\delta}: A_{\delta} \to \omega_1$ by letting $g_{\delta}(\alpha) = (\sup(g_{\delta}^1(\alpha)) + 1)$ hence $g_{\delta}(\alpha) < \omega_1$ so $\langle g_{\delta}: \delta \in S \rangle$ is as required on \bar{f} in (**) in $\mathbf{V}[G_{\mathbb{P}}]$, of course. Apply clause (**) in $\mathbf{V}[G_{\mathbb{P}}]$ to $\langle g_{\delta}: \delta \in S \rangle$ so we can find $g: \omega_2 \to \omega_1$ such that $\bigwedge_{\delta \in S} \delta > \sup\{\alpha \in A_{\delta}, g_{\delta}(\alpha) > g(\alpha)\}$. Now g is as required also in $\mathbf{V}[G_{\mathbb{P}}][G_{\mathbb{Q}}]$. $\square_{3.2}$

We may wonder can we strengthen the conclusion of 2.3 to \diamondsuit_S^* (of course the demand in clause (e) and (f) in claim 3.4 below are necessary, i.e. otherwise \diamondsuit_S^* holds). The answer is <u>not</u> as: (the restriction in (e) and in (f) are best possible).

Observation 3.4. Assume $\lambda = \lambda^{<\lambda}$, $S \subseteq S_{\kappa}^{\lambda}$.

Then for some \mathbb{P}

- (a) \mathbb{P} is a forcing notion
- (b) \mathbb{P} is of cardinality λ^+ satisfying the λ^+ -c.c.
- (c) forcing with \mathbb{P} does not collapse cardinals and does not change cofinality
- (d) forcing with \mathbb{P} adds no new $\eta \in {}^{\lambda}$ Ord
- (e) \diamondsuit_S^* fails for every stationary subset S of λ such that
 - (α) $S \subseteq S_{\kappa}^{\lambda}$ when $(\exists \mu < \lambda)[\mu^{<\kappa>_{\mathrm{tr}}} = \lambda]$ or just
 - $(\beta) \ \alpha \in S \Rightarrow |\alpha|^{<\mathrm{cf}(\alpha)>_{\mathrm{tr}}} > |\alpha|$
- (f) $(D\ell)_S$, see below, fails for every $S \subseteq S_{\kappa}^{\lambda}$ when $\alpha \in S \Rightarrow |\alpha|^{\langle cf(\alpha) \rangle_{tr}} = \lambda$.

Recalling

Definition 3.5. 1) For $\mu \geq \kappa = \operatorname{cf}(\kappa)$ let $\mu^{<\kappa>_{\operatorname{tr}}} = \{|\mathcal{T}| : \mathcal{T} \subseteq {}^{\kappa \geq}\mu \text{ is closed under initial segments (i.e. a subtree) such that } |\mathcal{T} \cap {}^{\kappa>}\mu| \leq \mu\}.$

- 2) For λ regular uncountable and stationary $S \subseteq \lambda$ let $(D\ell)_S$ mean that there is a sequence $\bar{\mathcal{P}} = \langle \mathcal{P}_{\delta} : \delta \in S \rangle$ witnessing it which means:
 - $(*)_{\bar{\mathcal{D}}}$ (a) $\mathcal{P}_{\delta} \subseteq {}^{\delta}\delta$ has cardinality $<\lambda$
 - (b) for every $f \in {}^{\lambda}\lambda$ the set $\{\delta \in S : f \mid \delta \in \mathcal{P}_{\delta}\}$ is stationary

(for λ successor it is equivalent to \Diamond_S ; for λ strong inaccessible it is trivial).

Proof. Proof of 3.4 Use \mathbb{P} = adding λ^+ , λ -Cohen subsets.

The proof is straight.

 $\square_{3.4}$

Remark 3.6. The consistency results in 3.4 are best possible, see [She06].

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EINSTEIN INSTITUTE OF MATHEMATICS, EDMOND J. SAFRA CAMPUS, GIVAT RAM, THE HEBREW UNIVERSITY OF JERUSALEM, JERUSALEM, 91904, ISRAEL, AND, DEPARTMENT OF MATHEMATICS, HILL CENTER - BUSCH CAMPUS, RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY, 110 FRELINGHUYSEN ROAD, PISCATAWAY, NJ 08854-8019 USA

 $Email\ address:$ shelah@math.huji.ac.il URL: http://shelah.logic.at