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Strong Partition Relations Below the Power Set: Consistency Was Sierpinski Right? II.

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ABSTRACT

We continue here [7] (see the introduction there) but we do not relay on it. The motivation was a conjecture of Galvin stating that $2^{\omega} \geq \omega_2 + \omega_2 \rightarrow [\omega_1]_{h(n)}^n$ is consistent for a suitable $h: \omega \rightarrow \omega$. In section 5 we disprove this and give similar negative results. In section 3 we prove the consistency of the conjecture replacing ω_2 by 2^{ω} , which is quite large, starting with an Erdős cardinal. In section 1 we present iteration lemmas which are needed when we replace ω by a larger λ and in section 4 we generalize a theorem of Halpern and Lauchli replacing ω by a larger λ needed for generalizing §3. The work will be continued in [10].

0. Preliminaries

Let $<_{\chi}$ be a well ordering of $H(\chi)$, where $H(\chi) = \{x : the transitive closure of x has cardinality <math>< \chi\}$, agreeing with the usual well-ordering of the

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ordinals. P (and Q, R) will denote forcing notions, i.e. partial orders with a minimal element $\emptyset = \emptyset_P$.

A forcing notion P is λ -closed if every increasing sequence of members of P, of length less than λ , has an upper bound.

If $P \in H(\chi)$, then for a sequence $\bar{p} = \langle p_i : i < \gamma \rangle$ of members of P let $\alpha = \alpha_{\bar{p}} \stackrel{\text{def}}{=} \sup\{j : \{p_j : j < j\}$ has an upper bound in $P\}$ and define the canonical upper bound of \bar{p} , & \bar{p} as follows:

- (a) the least upper bound of $\{p_i : i < \alpha\}$ in P if there exists such an element,
- (b) the $<_{\chi}$ -first upper bound of $\langle p_i : i < \gamma \rangle$ if (a) can't be applied but there is such,
- (c) p_0 if (a) and (b) fail, $\gamma > 0$,
- (d) \emptyset_P if $\gamma = 0$.

Let $p_0 \& p_1$ be the canonical upper bound of $\langle p_\ell : \ell < 2 \rangle$.

Take $[a]^{\kappa} = \{b \subseteq a : |b| = \kappa\}$ and $[a]^{<\kappa} = \bigcup_{\theta < \kappa} [a]^{\theta}$.

For sets of ordinals, A and B, define $H_{A,B}^{OP}$ as the maximal order preserving bijection between initial segments of A and B, i.e., it is the function with domain $\{\alpha \in A : \operatorname{otp}(\alpha \cap A) < \operatorname{otp}(B)\}$, and $H_{A,B}^{OP}(\alpha) = \beta$ if and only if $\alpha \in A$, $\beta \in B$ and $\operatorname{otp}(\alpha \cap A) = \operatorname{otp}(\beta \cap B)$.

Definition 0.1 $\lambda \to^+ (\alpha)^{<\omega}_{\mu}$ holds provided whenever F is a function from $[\lambda]^{<\omega}$ to $\mu, C \subseteq \lambda$ is a club then there is $A \subseteq C$ of order type α such that $[w_1, w_2 \in [A]^{<\omega}, |w_1| = |w_2| \Rightarrow F(w_1) = F(w_2)].$

Definition 0.2 $\lambda \to [\alpha]_{\kappa,\theta}^n$ if for every function F from $[\lambda]^n$ to κ there is $A \subseteq \lambda$ of order type α such that $\{F(w) : w \in [A]^n\}$ has power $\leq \theta$.

Definition 0.3 A forcing notion P satisfies the Knaster condition (has property K) if for any $\{p_i : i < \omega_1\} \subset P$ there is an uncountable $A \subset \omega_1$ such that the conditions p_i and p_j are compatible whenever $i, j \in A$.

1. Introduction

Concerning 1.1–1.3 see Shelah [5], Shelah and Stanley [8,9].

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Definition 1.1. A forcing notion Q satisfies $*^{\varepsilon}_{\mu}$ where ε is a limit ordinal $< \mu$, if player I has a winning strategy in the following game:

<u>Playing</u>: the game lasts ε moves.

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in the α^{th} the move:

Player I - if $\alpha \neq 0$ he chooses $\langle q_{\zeta}^{\alpha} : \zeta < \mu^+ \rangle$ such that $q_{\zeta}^{\alpha} \in Q$ and $\langle (\forall \beta < \alpha) (\forall \zeta < \mu^+) p_{\zeta}^{\beta} \leq q_{\zeta}^{\alpha}$ and he chooses a regressive function $f_{\alpha} : \mu^+ \to \mu^+$ (i.e. $f_{\alpha}(i) < 1+i$); if $\alpha = 0$ let $q_{\zeta}^{\alpha} = \emptyset_Q, f_{\alpha} = \emptyset$.

Player II – chooses $\langle p_{\zeta}^{\alpha} : \zeta < \mu^+ \rangle$ such that $q_{\zeta}^{\alpha} \leq p_{\zeta}^{\alpha} \in Q$.

<u>The outcome</u>: Player I wins provided whenever $\mu < \zeta < \xi < \mu^+$, $cf(\zeta) = cf(\xi) = \mu$ and $\wedge_{\beta < \varepsilon} f_{\beta}(\zeta) = f_{\beta}(\xi)$ the set $\{p_{\zeta}^{\alpha} : \alpha < \varepsilon\} \cup \{p_{\xi}^{\alpha} : \alpha < \varepsilon\}$ has an upper bound in Q.

Definition 1.2. We call $\langle P_i, Q_j : i \leq i(*), j < i(*) \rangle$ a $*^{\varepsilon}_{\mu}$ -iteration provided that:

(a) it is a $(< \mu)$ -support iteration (μ is a regular cardinal)

(b) if $i_1 < i_2 \le i(*)$, cf $i_1 \ne \mu$ then P_{i_2}/P_{i_1} satisfies $*_{\mu}^{\epsilon}$.

The Iteration Lemma 1.3. If $\bar{Q} = \langle P_i, Q_j : i \leq i(*), j < i(*) \rangle$ is a $(\langle \mu \rangle)$ -support iteration, (a) or (b) or (c) below hold, then it is a $*^{\varepsilon}_{\mu}$ -iteration. (a) i(*) is limit and $\bar{Q} | j(*)$ is a $*^{\varepsilon}_{\mu}$ -iteration for every j(*) < i(*).

(b) i(*) = j(*) + 1, $\bar{Q} | j(*)$ is a $*^{\varepsilon}_{\mu}$ -iteration and $Q_{j(*)}$ satisfies $*^{\varepsilon}_{\mu}$ in $V^{P_{j(*)}}$. (c) i(*) = j(*) + 1, cf $j(*) = \mu^+$, $\bar{Q} | j(*)$ is a $*^{\varepsilon}_{\mu}$ -iteration and for every successor i < j(*), $P_{i(*)}/P_i$ satisfies $*^{\varepsilon}_{\mu}$.

Proof. Left to the reader (after reading [5] or [9]). \Box

Theorem 1.4. Suppose $\mu = \mu^{<\mu} < \chi < \lambda$, and λ is a strongly inaccessible k_2^2 -Mahlo cardinal, where k_2^2 is a suitable natural number (see 3.6(2) of [6]), and assume V = L for the simplicity. Then for some forcing notion P:

- (a) P is μ -complete, satisfies the μ^+ -c.c., has cardinality λ , and $V^P \models$ " $2^{\mu} = \lambda$ ".
- (b) $\Vdash_P \lambda \to [\mu^+]^2_3$ and even $\lambda \to [\mu^+]^2_{\kappa,2}$ for $\kappa < \mu$.
- (c) if $\mu = \aleph_0$ then \Vdash "MA_x".

(d) if $\mu > \aleph_0$ then: \Vdash_P "for every forcing notion Q of cardinality $\leq \chi$, μ complete satisfying $*^{\varepsilon}_{\mu}$, and for any dense sets $D_i \subseteq Q$ for $i < i_0 < \lambda$, there
is a directed $G \subseteq Q$, $\wedge_i G \cap D_i \neq \emptyset$ ".

As the proof is very similar to [7], (particularly after reading section 3) we do not give details. We shall define below just the systems needed to complete the proof. More general ones are implicit in [6].

Convention 1.5. We fix a one to one function $Cd = Cd_{\lambda,\mu}$ from $^{\mu>}\lambda$ onto λ .

Remark. Below we could have $otp(B_x) = \mu^+ + 1$ with little change.

Definition 1.6. Let $\mu < \chi < \kappa \leq \lambda$, $\lambda = \lambda^{<\mu}$, $\chi = \chi^{<\mu}$, $\mu = \mu^{<\mu}$.

- 1) We call $x \in (\lambda, \kappa, \chi, \mu)$ -precandidate if $x = \langle a_u^x : u \in I_x \rangle$ where for some set B_x (unique, in fact):
 - (i) $I_x = \{s : s \subseteq B_x, |s| \le 2\},\$
 - (ii) B_x is a subset of κ of order type μ^+ ,
 - (iii) a_u^x is a subset of λ of cardinality $\leq \chi$ closed under Cd,
 - (iv) $a_u^x \cap B_x = u$,
 - (v) $a_u^x \cap a_v^x \subseteq a_{u \cap v}^x$,
 - (vi) if $u, v \in I_x$, |u| = |v| then a_u^x and a_v^x have the same order type (and so $H_{a_x^x, a_x^x}^{OP}$ maps a_u^x onto a_v^x),
 - (vii) if $u_{\ell}, v_{\ell} \in I_x$ for $\ell = 1, 2, |u_1| = |v_1|, |u_2| = |v_2|, |u_1 \cup u_2| = |v_1 \cup v_2|,$ $H_{a_{u_1}^x \cup a_{u_2}^x, a_{v_1}^x \cup a_{v_2}^x}$ maps u_{ℓ} onto v_{ℓ} for $\ell = 1, 2$ then $H_{a_{u_1}^x, a_{v_1}^x}^{OP}$ and $H_{a_{u_1}^x, a_{u_2}^x}^{OP}$ are compatible.
- 2) We say x is a $(\lambda, \kappa, \chi, \mu)$ -candidate if it has the form $\langle M_u^x : u \in I_x \rangle$ where
- (a) (i) $\langle |M_u^x| : u \in I_x \rangle$ is a $(\lambda, \kappa, \chi, \mu)$ -precandidate (with $B_x \stackrel{\text{def}}{=} \cup I_x$)
 - (ii) L_x is a vocabulary with $\leq \chi$ -many $< \mu$ -ary places predicates and function symbols,
 - (iii) each M_u^x is an L_x -model,
 - (iv) for $u, v \in I_x$, |u| = |v|, $M_u^x \upharpoonright (|M_u^x| \cap |M_v^x|)$ is a model, and in fact an elementary submodel of M_v^x , M_u^x and $M_{u\cap v}^x$.
- (β) (*) for $u, v \in I_x$, |u| = |v|, the function $H_{|M_u^x|, |M_v^x|}^{OP}$ is an isomorphism from M_u^x onto M_v^x .
- 3) The set \mathfrak{A} is a $(\lambda, \kappa, \chi, \mu)$ -system if
 - (A) each $x \in \mathfrak{A}$ is a $(\lambda, \kappa, \chi, \mu)$ -candidate,

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(B) <u>guessing</u>: if L is as in $(2)(\alpha)(ii)$, M^* is an L-model with universe λ then for some $x \in \mathfrak{A}$, $s \in B_x \Rightarrow M_s^x \prec M^*$.

Definition 1.7. 1) We call the system \mathfrak{A} disjoint when:

- (*) if $x \neq y$ are from \mathfrak{A} and $\operatorname{otp}(|M_{\mathfrak{g}}^{x}|) \leq \operatorname{otp}(|M_{\mathfrak{g}}^{y}|)$ then for some $B_{1} \subseteq B_{x}$, $B_{2} \subseteq B_{y}$ we have
 - a) $|B_1| + |B_2| < \mu^+$
 - b) the sets

 $\bigcup\{|M_s^x|:s\in [B_x\setminus B_1]^{\leq 2}\}$

and

$$\bigcup\{|M_s^y|:s\in [B_y\setminus B_2]^{\leq 2}\}$$

have intersection $\subseteq M_{\mathfrak{a}}^{\mathfrak{y}}$.

2) We call the system \mathfrak{A} almost disjoint when:

(**) if $x, y \in \mathfrak{A}$, $\operatorname{otp}(|M_{\mathfrak{d}}^{x}|) \leq \operatorname{otp}(|M_{\mathfrak{d}}^{y}|)$ then for some $B_{1} \subseteq B_{x}$, $B_{2} \subseteq B_{y}$ we have: (a) $|B_{1}| + |B_{2}| < \mu^{+}$, (b) if $s \in [B_{x} \setminus B_{1}]^{\leq 2}$, $t \in [B_{y} \setminus B_{2}]^{\leq 2}$ then $|M_{s}^{x}| \cap |M_{t}^{x}| \subseteq |M_{\mathfrak{d}}^{y}|$.

2. Introducing the partition on trees

Definition 2.1. Let

1) $Per(^{\mu >} 2) = \{T : where$

- (a) $T \subseteq {}^{\mu >} 2, \langle \rangle \in T,$
- (b) $(\forall \eta \in T) (\forall \alpha < \lg(\eta)) \eta \restriction \alpha \in T,$
- (c) if $\eta \in T \cap {}^{\alpha}2$, $\alpha < \beta < \mu$ then for some $\nu \in T \cap {}^{\beta}2$, $\eta \triangleleft \nu$,
- (d) if $\eta \in T$ then for some $\nu, \eta \triangleleft \nu$, $\nu^{\wedge}\langle 0 \rangle \in T, \ \nu^{\wedge}\langle 1 \rangle \in T$,
- (e) if $\eta \in {}^{\delta}2$, $\delta < \mu$ is a limit ordinal and $\{\eta \mid \alpha : \alpha < \delta\} \subseteq T$ then $\eta \in T$.

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22)
$$\operatorname{Per}_{f}(^{\mu>}2) = \left\{ T \in \operatorname{Per}(^{\mu>}2) : \text{ if } \alpha < \mu \text{ and } \nu_{1}, \nu_{2} \in {}^{\alpha}2 \cap T, \text{ then} \right.$$
$$\left[\bigwedge_{\ell=0}^{1} \nu_{1} \widehat{\langle \ell \rangle} \in T \Longleftrightarrow \bigwedge_{\ell=0}^{1} \nu_{2} \widehat{\langle \ell \rangle} \in T \right] \right\}$$
3) $\operatorname{Per}_{u}(^{\mu>}2) = \left\{ T \in \operatorname{Per}(^{\mu>}2) : \text{ if } \alpha < \mu, \nu_{1} \neq \nu_{2} \text{ from } {}^{\alpha}2 \cap T, \right.$
$$1 = 2$$

then
$$\bigvee_{\ell=0}^{1} \bigvee_{m=1}^{2} \nu_{m} \langle \ell \rangle \notin T \}.$$

- 4) For $T \in \operatorname{Per}({}^{\mu>}2)$ let $\lim T = \{\eta \in {}^{\mu}2 : (\forall \alpha < \mu) \ \eta \restriction \alpha \in T\}.$
- 5) For $T \in \operatorname{Per}_f({}^{\mu>}2)$ let $\operatorname{clp}_T : T \to {}^{\mu>}2$ be the unique one-to-one function from $\operatorname{sp}(T) \stackrel{\text{def}}{=} \{\eta \in T : \eta^{\wedge}\langle 0 \rangle \in T, \eta^{\wedge}\langle 1 \rangle \in T\}$ onto ${}^{\mu>}2$, which preserves \triangleleft and lexicographic order.
- 6) Let $SP(T) = \{ \lg(\eta) : \eta \in \operatorname{sp}(T) \}$, $\operatorname{sp}(\eta, \nu) = \min\{i : \eta(i) \neq \nu(i) \text{ or } i = \lg(\eta) \text{ or } i = \lg(\nu) \}$.

Definition 2.2. 1) For cardinals μ, σ and $n < \omega$ and $T \in Per(\mu > 2)$ let

- $\operatorname{Col}_{\sigma}^{n}(T) = \{d : d \text{ is a function from } \bigcup_{\alpha < \mu} [^{\alpha}2 \cap T]^{n} \text{ to } \sigma\}.$ We will write $d(\nu_{0}, \ldots, \nu_{n-1})$ for $d(\{\nu_{0}, \ldots, \nu_{n-1}\}).$
- -2) Let $<^{\bullet}_{\alpha}$ denote a well ordering of $^{\alpha}2$ (in this section it is arbitrary). We call $d \in \operatorname{Col}^{n}_{\sigma}(T)$ end-homogeneous for $\langle <^{\bullet}_{\alpha} : \alpha < \mu \rangle$ provided that: if $\alpha < \beta$ are from $\operatorname{SP}(T)$, $\{\nu_{0}, \ldots, \nu_{n-1}\} \subseteq {}^{\beta}2 \cap T$, $\langle \nu_{\ell} \upharpoonright \alpha : \ell < n \rangle$ are pairwise distinct and $\bigwedge [\nu_{\ell} <^{\bullet}_{\beta} \nu_{m} \iff \nu_{\ell} \upharpoonright \alpha <^{\bullet}_{\alpha} \nu_{m} \upharpoonright \alpha]$ then

$$d(\nu_0,\ldots,\nu_{n-1})=d(\nu_0\restriction\alpha,\ldots,\nu_{n-1}\restriction\alpha).$$

3) Let EhColⁿ_{σ} $(T) = \{ d \in Col^n_{\sigma}(T) : d \text{ is end-homogeneous } \}$ (for some $\langle \langle \langle \alpha \rangle : \alpha < \mu \rangle \rangle$).

(4) For $\nu_0, \ldots, \nu_{n-1}, \eta_0, \ldots, \eta_{n-1}$ from $\mu > 2$, we say $\bar{\nu} = \langle \nu_0, \ldots, \nu_{n-1} \rangle$ and $\bar{\eta}\bar{\eta} = \langle \eta_0, \ldots, \eta_{n-1} \rangle$ are strongly similar for $\langle \langle \langle \alpha \rangle : \alpha < \mu \rangle$ if:

- (i) $\lg(\nu_\ell) = \lg(\eta_\ell)$
- (ii) $\operatorname{sp}(\nu_{\ell}, \nu_m) = \operatorname{sp}(\eta_{\ell}, \eta_m)$
- (iii) if $\ell_1, \ell_2, \ell_3, \ell_4 < n$ and $\alpha = sp(\nu_{\ell_1}, \nu_{\ell_2})$ then

$$\nu_{\ell_3} \restriction \alpha <_{\alpha}^{\cdot} \nu_{\ell_4} \restriction \alpha \iff \eta_{\ell_3} \restriction \alpha <_{\alpha}^{\cdot} \eta_{\ell_4} \restriction \alpha \quad \text{and} \quad \nu_{\ell_3}(\alpha) = \eta_{\ell_3}(\alpha)$$

5) For $\nu_0^a, \ldots, \nu_{n-1}^a, \nu_0^b, \ldots, \nu_{n-1}^b$ from $\mu > 2$ we say $\bar{\nu}^a = \langle \nu_0^a, \ldots, \nu_{n-1}^a \rangle$ and $\bar{\nu}^b = \langle \nu_0^b, \ldots, \nu_{n-1}^b \rangle$ are similar if the truth values of (i)-(iii) below doe not depend on $t \in \{a, b\}$ for any $\ell(1), \ell(2), \ell(3), \ell(4) < n$:

(i)
$$\lg(\nu_{\ell(1)}^{t}) < \lg(\nu_{\ell(2)}^{t})$$

(ii) $\operatorname{sp}(\nu_{\ell(1)}^{t}, \nu_{\ell(2)}^{t}) < \operatorname{sp}(\nu_{\ell(3)}^{t}, \nu_{\ell(4)}^{t})$
(iii) for $\alpha = \operatorname{sp}(\nu_{\ell(1)}^{t}, \nu_{\ell(2)}^{t})$,

$$\nu_{\ell(3)}^t \, | \, \alpha <_\alpha \nu_{\ell(4)}^t \, | \, \alpha$$

and

$$\nu_{\ell(3)}^t(\alpha) = 0.$$

- 6) We say $d \in \operatorname{Col}_{\sigma}^{n}(T)$ is almost homogeneous [homogeneous] on $T_{1} \subseteq T$ (for $\langle <_{\alpha}^{\bullet} : \alpha < \mu \rangle$) if for every $\alpha \in \operatorname{SP}(T_{1}), \bar{\nu}, \bar{\eta} \in [^{\alpha}2 \cap T_{1}]^{n}$ which are strongly similar [similar] we have $d(\bar{\nu}) = d(\bar{\eta})$.
- 7) We say $\langle <_{\alpha}^{\bullet}: \alpha < \mu \rangle$ is nice to $T \in \operatorname{Per}(^{\mu>2})$, provided that: if $\alpha < \beta$ are from $\operatorname{SP}(T)$, $(\alpha, \beta) \cap \operatorname{SP}(T) = \emptyset$, $\eta_1 \neq \eta_2 \in {}^{\beta}2 \cap T$, $[\eta_1 \upharpoonright \alpha <_{\alpha}^{\bullet} \eta_2 \upharpoonright \alpha \text{ or } \eta_1 \upharpoonright \alpha = \eta_2 \upharpoonright \alpha, \eta_1(\alpha) < \eta_2(\alpha)]$ then $\eta_1 <_{\beta}^{\bullet} \eta_2$.

Definition 2.3. 1) $\operatorname{Pr}_{eht}(\mu, n, \sigma)$ means: for every $d \in \operatorname{Col}_{\sigma}^{n}(\mu \geq 2)$ for some $T \in \operatorname{Per}(\mu \geq 2)$ and $\langle \langle \langle \alpha \rangle : \alpha < \mu \rangle, d$ is end homogeneous on T.

- 2) $\operatorname{Pr}_{aht}(\mu, n, \sigma)$ means for every $d \in \operatorname{Col}_{\sigma}^{n}(\mu \geq 2)$ for some $T \in \operatorname{Per}(\mu \geq 2)$ and $\langle <_{\alpha}^{*} : \alpha < \mu \rangle$, d is almost homogeneous on T.
- 3) $\operatorname{Pr}_{ht}(\mu, n, \sigma)$ means for every $d \in \operatorname{Col}_{\sigma}^{n}(\mu > 2)$ for some $T \in \operatorname{Per}(\mu > 2)$, d is homogeneous on T.
- 4) For $x \in \{eht, aht, ht\}$, $\Pr_x^f(\mu, n, \sigma)$ is defined like $\Pr_x(\mu, n, \sigma)$ but we demand $T \in \Pr_f(\mu > 2)$.
- If above we replace eht, aht, ht by ehtn, ahtn, htn, respectively, this means (<_α: α < μ) is fixed apriori.
- 6) Replacing *n* by "< κ ", σ by $\bar{\sigma} = \langle \sigma_{\ell} : \ell < \kappa \rangle$ for $\kappa \leq \aleph_0$, means that $\langle d_n : n < \kappa \rangle$ are given, $d_n \in \operatorname{Col}_{\sigma}^n(\mu > 2)$ and the conclusion holds for all d_n ($n < \kappa$) simultaneously. Replacing " σ " by "< σ " means that the assertion holds for every $\sigma_1 < \sigma$.

Definition 2.4. 1) $\operatorname{Pr}_{aht}(\mu, n, \sigma(1), \sigma(2))$ means: for every $d \in \operatorname{Col}_{\sigma(1)}^{n}(\mu > 2)$ for some $T \in \operatorname{Per}(\mu > 2)$ and $\langle <_{\alpha}^{*} : \alpha < \mu \rangle$ for every $\bar{\eta} \in \bigcup \{ [^{\alpha}2 \cap T]^{n} : \alpha \in \operatorname{SP}(T) \},$

 $\Big\{d(\bar{\nu}):\bar{\nu}\in\bigcup\{[^{\alpha}2\cap T]^n:\alpha\in\mathrm{SP}(T)\},$

 $\bar{\eta} \text{ and } \bar{\nu} \text{ are strongly similar for } \langle <^{\bullet}_{\alpha} : \alpha < \mu \rangle \Big\}$

has cardinality $< \sigma(2)$.

- 2) $\Pr_{ht}(\mu, n, \sigma(1), \sigma(2))$ is defined similarly with "similar" instead of "strongly similar".
- 3) $\Pr_x \left(\mu, < \kappa, \langle \sigma_\ell^1 : \ell < \kappa \rangle \langle \sigma_\ell^2 : \ell < \kappa \rangle \right), \Pr_x^f(\mu, n, \sigma(1), \sigma(2)), \Pr_x^f(\mu, < \aleph_0, \bar{\sigma}^1, \bar{\sigma}^2)$ are defined in the same way.

There are many obvious implications.

Fact 2.5. 1) For every $T \in Per(\mu > 2)$ there is a $T_1 \subseteq T$, $T_1 \in Per_u(\mu > 2)$.

- 2) In defining $\Pr_x^f(\mu, n, \sigma)$ we can demand $T \subseteq T_0$ for any $T_0 \in \Pr_f(\mu > 2)$, similarly for $\Pr_x^f(\mu, < \kappa, \sigma)$.
- 3) The obvious monotonicity holds.

Claim 2.6. 1) Suppose μ is regular, $\sigma \geq \aleph_0$ and $\Pr_{eht}^f(\mu, n, < \sigma)$ holds. Then $\Pr_{aht}^f(\mu, n, < \sigma)$ holds.

- 2) If μ is weakly compact and $\Pr_{aht}^{f}(\mu, n, < \sigma), \sigma < \mu$ holds, then $\Pr_{ht}^{f}(\mu, n, < \sigma)$ holds.
- 3) If μ is Ramsey and $\Pr_{aht}^{f}(\mu, < \aleph_0, < \sigma)$, $\sigma < \mu$ holds, then $\Pr_{ht}^{f}(\mu, < \aleph_0, < \sigma)$ holds.
- 4) If $\mu = \omega$, in the "nice" version of 2.3(5), the orders $\langle \langle \alpha \rangle : \alpha \langle \mu \rangle$ disappear.

Proof. Check it. \Box

The following theorem is a quite strong positive result for $\mu = \omega$. Halpern Lauchli proved 2.7(1), Laver proved 2.7(2) (and hence (3)), Pincus pointed out that Halpern Lauchli's proof can be modified to get 2.7(2), and then $\Pr_{eht}^{f}(\omega, n, < \sigma)$ and (by it) $\Pr_{ht}^{f}(\omega, n, < \sigma)$ are easy.

Theorem 2.7. 1) If $d \in \operatorname{Col}_{\sigma}^{n}(^{\omega>2})$, $\sigma < \aleph_{0}$, then there are $T_{0}, \ldots, T_{n-1} \in \operatorname{Per}_{f}(^{\omega>2})$ and $k_{0} < k_{1} < \ldots < k_{\ell} < \ldots$ and $s < \sigma$ such that for every $\ell < \omega$: if $\nu_{0} \in T_{0}, \nu_{1} \in T_{1}, \ldots, \nu_{n-1} \in T_{n-1}, \bigwedge_{m < n} \lg(\nu_{m}) = k_{\ell}$, then $d(\nu_{0}, \ldots, \nu_{n-1}) = s$.

2) We can demand in (1) that

$$\operatorname{SP}(T_\ell) = \{k_0, k_1, \ldots\}$$

3) $\operatorname{Pr}_{htn}^{f}(\omega, n, \sigma)$ for $\sigma < \aleph_0$.

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4) $\operatorname{Pr}_{htn}^{f}\left(\omega, <\aleph_{0}, \langle\sigma_{n}^{1}:n<\omega\rangle, \langle\sigma_{n}^{2}:n<\omega\rangle\right)$ if $\sigma_{n}^{1}<\aleph_{0}$ and $\langle\sigma_{n}^{2}:n<\omega\rangle$ diverge to infinity.

Definition 2.8. Let d be a function with domain $\supseteq [A]^n$, A be a set of ordinals, F be a one-to-one function from A to $\alpha^{(\cdot)} 2$, $<_{\alpha}^{\cdot}$ be a well ordering of $\alpha 2$ for $\alpha \leq \alpha(*)$ such that $F(\alpha) <_{\alpha}^{\cdot} F(\beta) \iff \alpha < \beta$, and σ be a cardinal.

1) We say d is (F, σ) -canonical on A if for any $\alpha_1 < \cdots < \alpha_n \in A$,

$$\left|\left\{d(eta_1,\ldots,eta_n):\langle F(eta_1),\ldots,F(eta_n)
ight
angle ext{ similar to} \ \langle F(lpha_1),\ldots,F(lpha_n)
ight
angle
ight|\leq \sigma.$$

2) We define "almost (F, σ) -canonical" similarly using "strongly similar" instead of "similar".

3. Consistency of a strong partition below the continuum

This section is dedicated to the proof of

Theorem 3.1. Suppose λ is the first Erdős cardinal, i.e. the first such that $\lambda \to (\omega_1)_2^{<\omega}$ and hence $\lambda \to^+ (\omega_1)_2^{<\omega}$ as in definition 0.1. Then, if A is a Cohen subset of λ , in V[A] for some \aleph_1 -c.c. forcing notion P of cardinality λ , \Vdash_P "MA_{\aleph_1} (Knaster) + 2^{\aleph_0} = λ " and:

- 1) $\Vdash_P ``\lambda \to [\aleph_1]_{h(n)}^n$ " for suitable $h : \omega \mapsto \omega$ (explicitly defined below).
- 2) In V^P for any colorings d_n of λ , where d_n is n-place, and for any divergent $\langle \sigma_n : n < \omega \rangle$ (see below), there is a $W \subseteq \lambda$, $|W| = \aleph_1$ and a function $F : W \mapsto {}^{\omega}2$ such that: d_n is (F, σ_n) -canonical on W for each n. (See definition 2.8 above.)

Remark 3.2. 1) h(n) is n! times the number of $u \in [{}^{\omega}2]^n$ satisfying [if $\eta_1, \eta_2, \eta_3, \eta_4 \in u$ are distinct then $\operatorname{sp}(\eta_1, \eta_2), \operatorname{sp}(\eta_3, \eta_4)$ are distinct] up to strong similarity for any nice $\langle \langle \cdot_{\alpha} : \alpha < \omega \rangle$.

2) A sequence $\langle \sigma_n : n < \omega \rangle$ is divergent if $\forall m \; \exists k \; \forall n \geq k \; \sigma_n \geq m$.

Notation 3.3. For a sequence $a = \langle a_i, e_i^* : i < \alpha \rangle$, we call $b \subseteq \alpha$ closed if (i) $i \in b \Rightarrow a_i \subseteq b$

(ii) if $i < \alpha$, $e_i^* = 1$ and $\sup(b \cap i) = i$ then $i \in b$.

Definition 3.4. Let \mathfrak{K} be the family of $\overline{Q} = \langle P_i, Q_j, a_j, e_j^* : j < \alpha, i \leq \alpha \rangle$ such that

(a) $a_i \subseteq i, |a_i| \leq \aleph_1,$

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- (b) a_i is closed for $\langle a_j, e_j^* : j < i \rangle$, $e_i^* \in \{0, 1\}$, and $[e_i^* = 1 \Rightarrow cf i = \aleph_1]$
- (c) P_i is a forcing notion, Q_j is a P_j -name of a forcing notion of power \aleph_1 with minimal element \emptyset or \emptyset_j and for simplicity the underlying set of Q_j is $\subseteq [\omega_1]^{<\aleph_0}$ (we do not lose by this).
- (d) $P_{\beta} = \{p : p \text{ is a function whose domain is a finite subset of } \beta \text{ and for } i \in \operatorname{dom}(p), \Vdash_{P_i} ``f(i) \in Q ``\} \text{ with the order } p \leq q \text{ if and only if for } i \in \operatorname{dom}(p), q \upharpoonright i \Vdash_{P_i} ``p(i) \leq q(i)".$
- (e) for $j < \alpha$, Q_j is a P_j -name involving only antichains contained in $\{p \in P_j : \operatorname{dom}(p) \subseteq a_j\}.$

For $p \in P_i$, $j < i, j \notin \text{dom } p$ we let $p(j) = \emptyset$. Note for $p \in P_i$, $j \leq i$, $p | j \in P_j$.

Definition 3.5. For $\overline{Q} \in \mathfrak{K}$ as above (so $\alpha = \lg(\overline{Q})$):

1) for any $b \subseteq \beta \leq \alpha$ closed for $\langle a_i, e_i^{\cdot} : i < \beta \rangle$ we define P_b^{cn} [by simultaneous induction on β]:

 $P_b^{cn} = \{ p \in P_\beta : \operatorname{dom} p \subseteq b, \text{ and for } i \in \operatorname{dom} p, p(i) \text{ is a canonical name} \}$

i.e., for any x, $\{p \in P_{a_i}^{cn} : p \Vdash_{P_i} p(i) = x^n \text{ or } p \Vdash_{P_i} p(i) \neq x^n \}$ is a predense subset of P_i .

- 2) For \bar{Q} as above, $\alpha = \lg(\bar{Q})$, take $\bar{Q} \upharpoonright \beta = \langle P_i, Q_j, a_j : i \leq \beta, j < \beta \rangle$ for $\beta \leq \alpha$ and the order is the order in P_α (if $\beta \geq \alpha, \bar{Q} \upharpoonright \beta = \bar{Q}$).
- 3) "b closed for \bar{Q} " means "b closed for $\langle a_i, e_i : i < \lg \bar{Q} \rangle$ ".

Fact 3.6. 1) if $\overline{Q} \in \mathfrak{K}$ then $\overline{Q} | \beta \in \mathfrak{K}$.

2) Suppose $b \subseteq c \subseteq \beta \leq \lg(\bar{Q})$, b and c are closed for $\bar{Q} \in \mathfrak{K}$.

- (i) If $p \in P_c^{cn}$ then $p \upharpoonright b \in P_b^{cn}$.
- (ii) If $p, q \in P_c^{cn}$ and $p \leq q$ then $p \restriction b \leq q \restriction c$.
- (iii) $\dot{P}_{\dot{c}}^{\rm cn} < \circ P_{\beta}$.
- 3) $\lg \bar{Q}$ is closed for \bar{Q} .
- 4) if $\bar{Q} \in \mathfrak{K}$, $\alpha = \lg \bar{Q}$ then P_{α}^{cn} is a dense subset of P_{α} .
- 5) If b is closed for \overline{Q} , $p,q \in P_{\lg \overline{Q}}^{cn}$, $p \leq q$ in $P_{\lg \overline{Q}}$ and $i \in \operatorname{dom} p$ then $q \upharpoonright a_i \Vdash_{P_i} "p(i) \leq q(i)"$ hence $q \upharpoonright a_i \Vdash_{P_a^{cn}} "p(i) \leq_{Q_i} q(i)"$.

Definition 3.7. Suppose $W = (W, \leq)$ is a finite partial order and $\bar{Q} \in \Re$.

- 1) $IN_W(\bar{Q})$ is the set of \bar{b} -s satisfying $(\alpha)-(\gamma)$ below:
 - (α) $\bar{b} = \langle b_w : w \in W \rangle$ is an indexed set of \bar{Q} -closed subsets of $\lg(\bar{Q})$,
 - $(\beta) W \models "w_1 \le w_2" \Rightarrow b_{w_1} \subseteq b_{w_2},$
 - $(\gamma) \ \zeta \in b_{w_1} \cap b_{w_2}, w_1 \leq w, w_2 \leq w \text{ then } (\exists u \in W) \zeta \in b_u \wedge u \leq w_1 \wedge u \leq w_2.$

We assume \bar{b} codes (W, \leq) .

2) For $\bar{b} \in IN_W(\bar{Q})$, let

$$\begin{split} \bar{Q}[\bar{b}] \stackrel{\text{def}}{=} \{ \langle p_w : w \in W \rangle : p_w \in P_{b_w}^{\text{cn}}, [W \models w_1 \le w_2 \Rightarrow p_{w_2} \restriction b_{w_1} = p_{w_1}] \}, \\ \text{with ordering } \bar{Q}[\bar{b}] \models \bar{p}^1 \le \bar{p}^2 \text{ iff } \bigwedge_{w \in W} p_w^1 \le p_w^2. \end{split}$$

3) Let \mathfrak{K}^1 be the family of $\overline{Q} \in \mathfrak{K}$ such that for every $\beta \leq \lg(\overline{Q})$ and $(\overline{Q}|\beta)$ -closed b, P_{β} and P_{β}/P_b^{cn} satisfy the Knaster condition.

Fact 3.8. Suppose $\bar{Q} \in \mathfrak{K}^1$, (W, \leq) is a finite partial order, $\bar{b} \in IN_W(\bar{Q})$ and $\bar{p} \in \bar{Q}[\bar{b}]$.

1) If $w \in W$, $p_w \leq q \in P_{b_w}^{cn}$ then there is $\bar{r} \in \bar{Q}[\bar{b}]$, $q \leq r_w$, $\bar{p} \leq \bar{r}$, in fact

 $r_u(\gamma) = \begin{cases} p_u(\gamma) & \text{if } \gamma \in \operatorname{Dom} p_u \setminus \operatorname{Dom} q \\ p_u(\gamma) \And q(\gamma) & \text{if } \gamma \in b_u \cap \operatorname{Dom} q \text{ and for some } v \in W, \\ & v \leq u, \, v \leq w \text{ and } \gamma \in b_v \\ p_u(\gamma) & \text{if } \gamma \in b_u \cap \operatorname{dom} q \text{ but the previous case fails} \end{cases}$

- 2) Suppose (W_1, \leq) is a submodel of (W_2, \leq) , both finite partial orders, $\bar{b}^l \in IN_{W_l}(\bar{Q}), \ \bar{b}^1_w = \bar{b}^2_w$ for $w \in W_1$.
 - (a) If $\bar{q} \in \bar{Q}[\bar{b}^2]$ then $\langle q_w : w \in W_1 \rangle \in \bar{Q}[\bar{b}^1]$.
 - (β) If $\bar{p} \in \bar{Q}[\bar{b}^1]$ then there is $\bar{q} \in \bar{Q}[\bar{b}^2]$, $\bar{q} \upharpoonright W_1 = \bar{p}$, in fact $q_w(\gamma)$ is $p_u(\gamma)$ if $u \in W_1, \gamma \in b_u, u \leq w$, provided that (**) if $w_1, w_2 \in W_1, w \in W_2, w_1 \leq w, w_2 \leq w$ and $\zeta \in b_{w_1} \cap b_{w_2}$ then for some $v \in W_1, \zeta \in b_v, v \leq w_1, v \leq w_2$. (this guarantees that if there are several u's as above we shall get the same value).

Proof. 1) It is easy to check that each r_u is in $P_{b_u}^{cn}$. So, in order to prove $\bar{r} \in \bar{Q}[\bar{b}]$, we assume $W \models u_1 \leq u_2$ and have to prove that $r_{u_2} \upharpoonright b_{u_1} = r_{u_1}$. Let $\zeta \in b_{u_1}$.

<u>First case</u>: $\zeta \notin \text{Dom}(p_{u_1}) \cup \text{Dom} q$.

So $\zeta \notin \text{Dom}(r_{u_1})$ (by the definition of r_{u_1}) and $\zeta \notin \text{Dom} p_{u_2}$ (as $\bar{p} \in \bar{Q}[\bar{b}]$) hence $\zeta \notin (\text{Dom} p_{u_2}) \cup (\text{Dom} q)$ hence $\zeta \notin \text{Dom}(r_{u_2})$ by the choice of r_{u_2} , so we have finished.

<u>Second case</u>: $\zeta \in \text{Dom } p_{u_1} \setminus \text{Dom } q$.

As $\bar{p} \in \bar{Q}[\bar{b}]$ we have $p_{u_1}(\zeta) = p_{u_2}(\zeta)$, and by their definition, $r_{u_1}(\zeta) = p_{u_1}(\zeta)$, $r_{u_2}(\zeta) = p_{u_2}(\zeta)$.

<u>Third case</u>: $\zeta \in \text{Dom } q$ and $(\exists v \in W)$ $(\zeta \in b_v \land v \leq u_1 \land v \leq w)$. By the definition of $r_{u_1}(\zeta)$, we have $r_{u_1}(\zeta) = p_{u_1}(\zeta)\&q(\zeta)$, also the same v witnesses $r_{u_2}(\zeta) = p_{u_2}(\zeta)\&q(\zeta)$, $(\text{as } \zeta \in b_v \land v \leq u_1 \land v \leq w \Rightarrow \zeta \in b_v \land v \leq u_2 \land v \leq w)$ and of course $p_{u_1}(\zeta) = p_{u_2}(\zeta)$ $(\text{as } \bar{p} \in \bar{Q}[\bar{b}])$.

<u>Fourth case</u>: $\zeta \in \text{Dom } q$ and $\neg (\exists v \in W) \ (\zeta \in b_v \land v \leq u_1 \land v \leq w).$

By the definition of $r_{u_1}(\zeta)$ we have $r_{u_1}(\zeta) = p_{u_1}(\zeta)$. It is enough to prove that $r_{u_2}(\zeta) = p_{u_2}(\zeta)$ as we know that $p_{u_1}(\zeta) = p_{u_2}(\zeta)$ (because $\bar{p} \in \bar{Q}[\bar{b}]$, $u_1 \leq u_2$). If not, then for some $v_0 \in W$, $\zeta \in b_{v_0} \wedge v_0 \leq u_2 \wedge v_0 \leq w$. But $\bar{b} \in IN_W(\bar{Q})$, hence (see Def. 3.7(1) condition (γ) applied with ζ , w_1 , w_2 , wthere standing for ζ , v_0 , u_1 , u_2 here) we know that for some $v \in W$, $\zeta \in$ $b_v \wedge v \leq v_0 \wedge v \leq u_1$. As (W, \leq) is a partial order, $v \leq v_0$ and $v_0 \leq w$, we can conclude $v \leq w$. So v contradicts our being in the fourth case. So we have finished the fourth case.

Hence we have finished proving $\bar{r} \in \bar{Q}[\bar{b}]$. We also have to prove $q \leq r_w$, but for $\zeta \in \text{Dom } q$ we have $\zeta \in b_w$ (as $q \in P_w^{\text{cn}}$) and $r_w(\zeta) = q(\zeta)$ because $r_w(\zeta)$ is defined by the second case of the definition as $(\exists v \in W)$ $(\zeta \in b_w \land v \leq w \land v \leq w)$, i.e. v = w.

Lastly we have to prove that $\bar{p} \leq \bar{r}$ (in $\bar{Q}[\bar{b}]$). So let $u \in W$, $\zeta \in \text{Dom } p_u$ and we have to prove $r_u \upharpoonright \zeta \Vdash_{P_{\zeta}} p_u(\zeta) \leq_{P_{\zeta}} r_u(\zeta)$ ". As $r_u(\zeta)$ is $p_u(\zeta)$ or $p_u(\zeta) \& q(\zeta)$ this is obvious.

2) Immediate.

3) We prove this by induction on |W|.

For |W| = 0 this is totally trivial.

For |W| = 1, 2 this is assumed.

For |W| > 2 fix $\bar{p}^i \in \bar{Q}[\bar{b}]$ for $i < \omega_1$. Choose a maximal element $v \in W$ and let $c = \bigcup \{b_w : W \models w < v\}$. Clearly c is closed for \bar{Q} .

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We know that P_c^{cn} , $P_{b_v}^{cn}/P_c^{cn}$ are Knaster by the induction hypothesis. We also know that $p_v^i \upharpoonright c \in P_c^{cn}$ for $i < \omega_1$, hence for some $r \in P_c^{cn}$,

$$r\Vdash "\, \underline{A} \stackrel{\mathrm{def}}{=} \Big\{ i < \omega_1 : p_v^i \! \mid \! c \in \underline{G}_{P_c^{\mathrm{cn}}} \Big\} \quad \text{is uncountable"}$$

hence

 \Vdash "there is an uncountable $A^1 \subseteq A$ such that

 $\left[i,j\in A^1\Rightarrow p_v^i,\,p_v^j \;\;\text{are compatible in }\; P_{b_v}^{\operatorname{cn}}/\,G_{P_c^{\operatorname{cn}}}\right].$

Fix a P_c^{cn} -name \underline{A}^1 for such an A^1 .

Let $A^2 = \{i < \omega_1 : \exists q \in P_c^{cn}, q \Vdash i \in A^1\}$. Necessarily $|A_2| = \aleph_1$, and for $i \in A^2$ there is $q^i \in P_c^{cn}, q^i \Vdash i \in A^1$, and w.l.o.g. $p_v^i \upharpoonright c \leq q^i$. Note that $p_v^i \& q^i \in P_{b_u}^{cn}$.

For $i \in A^2$ let, \bar{r}^i be defined using 3.8(1) (with \bar{p}^i , $p_v^i \& q^i$). Let $W_1 = W \setminus \{v\}, \ \bar{b}' = \langle b_w : w \in W_1 \rangle$.

By the induction hypothesis applied to W_1 , \bar{b}' , $\bar{r}^i \upharpoonright W_1$, for $i \in A^2$ there is an uncountable $A^3 \subseteq A^2$ and for i < j in A^3 , there is $\bar{r}^{i,j} \in \bar{Q}[\bar{b}']$, $\bar{r}^i \upharpoonright W_1 \leq \bar{r}^{i,j}$, and $\bar{r}^j \upharpoonright W_1 \leq \bar{r}^{i,j}$. Now define $r_c^{i,j} \in P_c^{cn}$ as follows: its domain is $\bigcup \{ \operatorname{dom} r_w^{i,j} : W \models w < v \}$, $r_c^{i,j} \upharpoonright (\operatorname{dom} r_w^{i,j}) = r_w^{i,j}$ whenever $W \models w < v$. Why is this a definition? As if $W \models w_1 \leq v \land w_2 \leq v$, $\zeta \in b_{w_1} \land \zeta \in b_{w_2}$ then for some $u \in W$, $u \leq w_1 \land u \leq w_2$ and $\zeta \in u$. It is easy to check that $r_c^{i,j} \in P_c^{cn}$. Now $r_c^{i,j} \Vdash_{P_c^{cn}} "p_v^i$, p_v^j are compatible in $P_{b_u}^{cn}/P_c^{cn}$ ".

So there is $r \in P_{b_v}^{cn}$ such that $r_c^{i,j} \leq r$, $p_v^i \leq r$, $p_v^j \leq r$. As in part (1) of 3.8 we can combine r and $\bar{r}^{i,j}$ to a common upper bound of \bar{p}^i , \bar{p}^j in $\bar{Q}[\bar{b}]$.

Claim 3.9. If δ is a limit ordinal, and $P_i, \tilde{Q}_i, \alpha_i, e_i(i < \delta)$ are such that for each $\alpha < \delta$, $\bar{Q}^{\alpha} = \langle P_i, Q_j, \alpha_j, e_j^* : i \leq \alpha, j < \alpha \rangle$ belongs to \Re (\Re^1), then for a unique $P_{\delta}, \bar{Q} = \langle P_i, Q_j, \alpha_j, e_j^* : i \leq \delta, j < \delta \rangle$ belongs to \Re (\Re^1).

Proof. We define P_{δ} by (d) of Definition 3.4. The least easy problem is to verify the Knaster conditions (for $\bar{Q} \in \mathfrak{K}^1$). The proof is like the preservation of the c.c.c. under iteration for limit stages.

Convention 3.9.A. By 3.9 we shall not distinguish strictly between $\langle P_i, Q_i, \alpha_j, e_j^* : i \leq \delta, j < \delta \rangle$ and $\langle P_i, Q_i, \alpha_i, e_i^* : i < \delta \rangle$.

Claim 3.10. If $\bar{Q} \in \Re(\Re^1)$, $\alpha = \lg(\bar{Q})$, $a \subset \alpha$ is closed for \bar{Q} , $|a| \leq \aleph_1$, Q_1 is a P_a^{cn} -name of a forcing notion satisfying (in V^{P_α}) the Knaster condition, its underlying set is a subset of $[\omega_1]^{<\aleph_0}$ then there is a unique $\bar{Q}^1 \in \Re(\Re^\ell)$, $\lg(\bar{Q}^1) = \alpha + 1$, $Q_{\alpha}^1 = Q$, $\bar{Q}^1 \upharpoonright \alpha = \bar{Q}$.

Proof. Left to the reader. \Box

Proof of Theorem 3.1.

A Stage: We force by $\Re^1_{<\lambda} = \{\bar{Q} \in \Re^1 : \lg(\bar{Q}) < \lambda, \bar{Q} \in H(\lambda)\}$ ordered by being an initial segment (which is equivalent to forcing a Cohen subset of λ). The generic object is essentially $\bar{Q}^* \in \Re^1_{\lambda}$, $\lg(\bar{Q}^*) = \lambda$, and then we force by $P_{\lambda} = \lim \bar{Q}^*$. Clearly $\Re^{\ell}_{<\lambda}$ is a λ -complete forcing notion of cardinality λ , and P_{λ} satisfies the c.c.c. Clearly it suffices to prove part (2) of 3.1.

Suppose \underline{d}_n is a name of a function from $[\lambda]^n$ to \underline{k}_n for $n < \omega$, $\underline{\sigma}_n < \omega$, $\langle \sigma_n : n < \omega \rangle$ diverges (i.e. $\forall m \exists k \forall n \ge k \sigma_n \ge m$) and for some $\overline{Q}^0 \in \mathfrak{K}^1_{<\lambda}$.

$$\begin{split} \bar{Q}^0 \Vdash_{\mathfrak{K}^1_{<\lambda}} & \text{``there is } p \in \underline{P}_{\lambda} \left[p \Vdash_{P_{\lambda}} \langle \underline{d}_n : n < \omega \right\rangle \text{ is a } \\ & \text{counterexample to } (2) \text{ of } 3.1'' \right]. \end{split}$$

In V we can define $\langle \bar{Q}^{\zeta} : \zeta < \lambda \rangle$, $\bar{Q}^{\zeta} \in \mathfrak{K}^{1}_{<\lambda}$, $\zeta < \xi \Rightarrow \bar{Q}^{\zeta} = \bar{Q}^{\xi} \restriction \lg(\bar{Q}^{\zeta})$, in $\bar{Q}^{\zeta+1}$, $e^{\cdot}_{\lg(\bar{Q}_{\zeta})} = 1$, $\bar{Q}^{\zeta+1}$ forces (in $\mathfrak{K}^{1}_{<\lambda}$) a value to p and the P_{λ} -names $\underline{d}_{n} \restriction \zeta$, $\underline{\sigma}_{n}$, \underline{k}_{n} for $n < \omega$, i.e. the values here are still P_{λ} -names. Let \bar{Q}^{*} be the limit of the \bar{Q}^{ξ} -s. So $\bar{Q}^{*} \in \mathfrak{K}^{1}$, $\lg(\bar{Q}^{*}) = \lambda$, $\bar{Q}^{*} = \langle P^{*}_{i}, Q^{*}_{j}, \alpha^{*}_{j}, e^{*}_{j} :$ $i \leq \lambda, j < \lambda \rangle$, and the P^{*}_{λ} -names $\underline{d}_{n}, \underline{\sigma}_{n}, \underline{k}_{n}$ are defined such that in $V^{P^{*}_{\lambda}}$, $\underline{d}_{n}, \underline{\sigma}_{n}, \underline{k}_{n}$ contradict (2) (as any P^{*}_{λ} -name of a bounded subset of λ is a $P^{*}_{\lg(\bar{Q}^{\xi})}$ -name for some $\xi < \lambda$).

B Stage: Let $\chi = \kappa^+$ be large enough and $<^*_{\chi}$ be a well-ordering of $H(\chi)$. Now we can apply $\lambda \to (\omega_1)_2^{<\omega}$ to get δ, B, N_s (for $s \in [B]^{<\aleph_0}$) and $\mathbf{h}_{s,t}$ (for $s, t \in [B]^{<\aleph_0}$, |s| = |t|) such that:

- (a) $B \subseteq \lambda$, $\operatorname{otp}(B) = \omega_1$, $\sup B = \delta$,
- (b) $N_s \prec (H(\chi), \in, <^*_{\chi}), \ \bar{Q}^* \in N_s, \ \langle \underline{d}_n, \underline{\sigma}_n, \underline{k}_n : n < \omega \rangle \in N_s,$
- (c) $N_s \cap N_t = N_{s \cap t}$,
- (d) $N_s \cap B = s$,
- (e) if $s = t \cap \alpha$, $t \in [B]^{<^{\aleph_0}}$ then $N_s \cap \lambda$ is an initial segment of $N_t \cap \lambda$,
- (f) $\mathbf{h}_{s,t}$ is an isomorphism from N_t onto N_s (when defined)
- (g) $\mathbf{h}_{t,s} = \mathbf{h}_{s,t}^{-1}$ and if $t_1 \subseteq t$, $s_1 \subseteq s$ and $H_{t,s}^{OP}$ maps t_1 onto s_1 then $h_{t_1,s_1} \subseteq h_{t,s}$.

- (h) $p_0 \in N_s, p_0 \Vdash_{P_{\lambda}} (d_n, q_n, k_n : n < \omega)$ is a counterexample",
 - (i) $\omega_1 \subseteq N_s$, $|N_s| = \aleph_1$ and if $\gamma \in N_s$, cf $\gamma > \aleph_1$ then cf(sup($\gamma \cap N_s$)) = ω_1 .

Let $\bar{Q} = \bar{Q}^* \mid \delta$, $P = P^*_{\delta}$ and $P_a = P^{cn}_a$ (for \bar{Q}), where *a* is closed for \bar{Q} . Note: $P^*_{\lambda} \cap N_s = P^*_{\delta} \cap N_s = P_{\sup \lambda \cap N_s} \cap N_s = P \cap N_s$. Note also $\gamma \in \lambda \cap N_s$ $\Rightarrow a^*_{\gamma} \subseteq \lambda \cap N_s$.

C Stage: It suffices to show that we can define Q_{δ} in $V^{P_{\delta}}$ which forces a subset W of B of cardinality \aleph_1 and $\bar{F} : W \to \overset{\omega}{2}^{2}$ which exemplify the desired conclusion in (2), and prove that Q_{δ} satisfies the \aleph_1 -c.c. (in $V^{P_{\delta}}$ (and has cardinality \aleph_1)) and moreover (see Definitions 3.4 and 3.7(3)) we also define $a_{\delta} = \bigcup_{s \in [B] \leq \aleph_0} N_s \cap \delta$, $e_{\delta} = 1$, $\bar{Q}' = \bar{Q}^{\wedge} \langle P_{\delta}^*, Q_{\delta}, a_{\delta}, e_{\delta} \rangle$ and prove $\bar{Q}' \in \mathfrak{K}^1$.

We let
$$d(u) = d_{|u|}(u)$$
.

Let $F : B \to {}^{\omega}2$ be one-to-one such that $\forall \eta \in {}^{\omega>}2 \exists {}^{\aleph_1}\alpha \in B \ [\eta \triangleleft F(\alpha)].$ (This will not be the needed \underline{F} , just notation).

For $s, t \in [B]^{<\aleph_0}$, we say $s \equiv_F^n t$ if |s| = |t| and $\forall \xi \in s, \ \forall \zeta \in t[\xi = \mathbf{h}_{s,t}(\zeta) \Rightarrow F(\xi) \upharpoonright n = F(\zeta) \upharpoonright n]$. Let $I_n = I_n(F) = \{s \in [B]^{<\aleph_0} : (\forall \zeta \neq \xi \in s), [F(\zeta) \upharpoonright n \neq F(\xi) \upharpoonright n]\}$.

We define R_n as follows: a sequence $\langle p_s : s \in I_n \rangle \in R_n$ if and only if

(i) for $s \in I_n$, $p_s \in P_{\lambda}^* \cap N_s$,

(ii) for some c_s we have $p_s \Vdash ``d(s) = c_s"$,

(iii) for
$$s, t \in I_n$$
, $s \equiv_F^n t \Rightarrow \mathbf{h}_{s,t}(p_t) = p_s$,

(iv) for $s, t \in I_n$, $p_s \upharpoonright N_{s \cap t} = p_t \upharpoonright N_{s \cap t}$.

 R_n^- is defined similarly omitting (ii).

For $x = \langle p_s : s \in I_n \rangle$ let n(x) = n, $p_s^x = p_s$, and (if defined) $c_s^x = c_s$. Note that we could replace $x \in R_n$ by a finite subsequence. Let $R = \bigcup_{n < \omega} R_n$, $R^- = \bigcup_{n < \omega} R_n^-$. We define an order on $R^- : x \le y$ if and only if $n(x) \le n(y)$, and $[s \in I_{n(x)} \land t \in I_{n(y)} \land s \subseteq t \Rightarrow p_s^x \le p_t^y]$.

D Stage: Note the following facts::

D(α) **Subfact:** If $x \in R_n^-$, $t \in I_n$ and $p_t^x \leq p^1 \in P_{\delta}^* \cap N_t$, then there is y such that $x \leq y \in R_n^-$, $p_t^y = p^1$.

Proof. We let for $s \in I_n$

 $p_s^y \stackrel{\text{def}}{=} \& \left\{ \mathbf{h}_{s_1,t_1}(p^1 \upharpoonright N_{t_1}) : s_1 \subseteq s, t_1 \subseteq t, s_1 \equiv_F^n t_1 \right\} \& p_s^x.$

(This notation means that p_s^y is a function whose domain is the union of the domains of the conditions mentioned, and for each coordinate we take the canonical upper bound, see preliminaries.) Why is p_s^y well defined? Suppose $\beta \in N_s \cap \lambda$ (for $\beta \in \lambda \setminus N_s$, clearly $p_s^y(\beta) = \emptyset_{\beta}$), $s_\ell \subseteq s$, $t_\ell \subseteq t$, $s_\ell \equiv_F^n t_\ell$ for $\ell = 1, 2$ and $\beta \in \text{Dom}\left[\mathbf{h}_{s_\ell, t_\ell}(p^1 \upharpoonright N_{t_\ell})\right]$, and it suffices to show that $p_s^x(\beta)$, $\mathbf{h}_{s_1, t_1}(p^1 \upharpoonright N_{t_1})(\beta)$, $\mathbf{h}_{s_2, t_2}(p^1 \upharpoonright N_{t_2})(\beta)$ are pairwise comparable. Let $u = \bigcap \{v \in [B]^{<\aleph_0} : \beta \in N_v\}$, necessarily $u \subseteq s_1 \cap s_2$, and let $u_\ell = \mathbf{h}_{s_\ell, t_\ell}^{-1}(u)$. As $s_\ell, t_\ell, t \in I_n$, $s_\ell \equiv_F^n t_\ell$ and $u_\ell \subseteq t_\ell \subseteq t$, necessarily $u_1 = u_2$. Thus $\gamma \stackrel{\text{def}}{=} \mathbf{h}_{u,v}^{-1}(\beta) = \mathbf{h}_{s_\ell, t_\ell}^{-1}(\beta)$ and so the last two conditions are equal.

Now
$$p_s^x(\beta) = p_u^x(\beta) = \mathbf{h}_{u,v}(p_s^x(\gamma)) \le \mathbf{h}_{s_\ell,t_\ell}((p_t^x \upharpoonright N_{t_\ell})(\gamma)) = \left(\mathbf{h}_{s_\ell,t_\ell}(p_t^x \upharpoonright N_{t_\ell})\right)(\beta).$$

We leave to the reader checking the other requirements. \Box

D(β) **Subfact:** If $x \in R_n^-$, $t \in I_m$, m < n then $\bigcup \{p_s^x : s \in I_n, s \subseteq t\}$ (as union of functions) exists and belongs to $P_{\lambda}^* \cap N_t$.

Proof. See (iv) in the definition of R_n^- . \square

D(γ) **Subfact:** If $x \leq y, x \in R_n, y \in R_n^-$, then $y \in R_n$.

Proof. Check it. \Box

D(δ) **Subfact:** If $x \in R_n^-$, n < m, then there is $y \in R_m$, $x \leq y$.

Proof. By subfact $D(\beta)$ we can find $x^1 = \langle p_t^1 : t \in I_m \rangle$ in R_m^- with $x \leq x^1$. Using repeatedly subfact $D(\alpha)$ we can increase x^1 (finitely many times) to get $y \in R_m$. \Box

 $\begin{aligned} \mathbf{D}(\varepsilon) \ \mathbf{Subfact:} \ &\text{If} \ x \in R_n^-, \ s,t \in I_n, \ s \equiv_F^n t, \ p_s^x \leq r_1 \in P_\lambda^* \cap N_s, \ p_t^x \leq r_2 \in P_\lambda^* \cap N_t, \ &(\forall \zeta \in t) \ [F(\zeta)(n) \neq (F(\mathbf{h}_{s,t}(\zeta)))(n)] \ (\text{or just} \ r_1 \upharpoonright N_{s_1} = \mathbf{h}_{s,t}(r_2 \upharpoonright N_{t_1}) \\ &\text{where} \ t_1 \stackrel{\text{def}}{=} \{\xi \in t : F(\xi)(n) = (F(\mathbf{h}_{s,t}(\xi)))(n)\}, \ s_1 \stackrel{\text{def}}{=} \{\mathbf{h}_{s,t}(\xi) : \xi \in t_1\}), \\ &then \ \text{there is} \ y \in R_{n+1}, \ x \leq y \ \text{such that} \ r_1 = p_s^y \ \text{and} \ r_2 = p_t^y. \end{aligned}$

Proof. Left to the reader. \Box

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E Stage \dagger :

We define: $T_k^{\bullet} \subseteq {}^{2^k \ge} 2$ by induction on k as follows:

$$\begin{split} T_{0}^{*} = &\{\langle\rangle, \langle 1\rangle\}\\ T_{k+1}^{*} = &\{\nu : \nu \in T_{k}^{*} \text{ or } 2^{k} < \lg(\nu) \le 2^{k+1} , \nu \upharpoonright 2^{k} \in T_{k}^{*} \text{ and}\\ &[2^{k} \le i < 2^{k+1} \land \nu(i) = 1] \Rightarrow i = 2^{k} + (\sum_{m < 2^{k}} \nu(m)2^{m})]\}. \end{split}$$

We define

$$\begin{aligned} \operatorname{Tr} \operatorname{Emb}(k,n) &= \left\{ h: h \text{ is a function from } T_k^* \text{ into } n^2 2 \text{ such that} \\ & \text{for } \nu, \eta \in T_k^* : \\ & [\eta = \nu \Leftrightarrow h(\eta) = h(\nu)] \\ & [\eta \triangleleft \nu \Leftrightarrow h(\eta) \triangleleft h(\nu)] \\ & [\lg(\eta) = \lg(\nu) \Rightarrow \lg(h(\eta)) = \lg(h(\nu))] \\ & [\lg(\eta) = \lg(\nu) \Rightarrow \lg(h(\eta)) = \lg(h(\nu))] \\ & [\nu = \eta^* \langle i \rangle \Rightarrow (h(\nu))[\lg(h(\eta))] = i] \\ & [\lg(\eta) = 2^k \Rightarrow \lg(h(\eta)) = n] \right\}. \end{aligned}$$
$$\mathbf{T}(k,n) = \{\operatorname{Rang} h: h \in \operatorname{Tr} \operatorname{Emb}(k,n)\},\end{aligned}$$

$$\mathbf{T}(k,n) = \{ \text{Rang } h : h \in \text{Tr Emb}(k,n) \}$$
$$\mathbf{T}(*,n) = \bigcup_{k} \mathbf{T}(k,n),$$
$$\mathbf{T}(k,*) = \bigcup_{n} \mathbf{T}(k,n).$$

For $T \in \mathbf{T}(k, *)$ let n(T) be the unique n such that $T \in \mathbf{T}(k, n)$ and let

$$B_{T} = \{ \alpha \in B : F(\alpha) | n(T) \text{ is a maximal member of } T \},$$

$$fs_{T} = \left\{ t \subseteq B_{T} : i \in t \land j \in t \land i \neq j \Rightarrow F(i) | n(T) \neq F(j) | n(T) \},$$

$$\Theta_{T} = \left\{ \langle p_{s} : s \in fs_{T} \rangle : p_{s} \in P \cap N_{s}, [s \subseteq t \land \{s,t\} \subseteq fs_{T} \Rightarrow p_{s} = p_{t} | N_{s}] \right\}.$$

[†] We will have $T \subset {}^{\omega>} 2$ gotten by 2.7(2) and then want to get a subtree with as few as possible colors, we can find one isomorphic to ${}^{\omega>} 2$, and there restrict ourselves to $\cup_n T_n^*$.

Let further

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$$\Theta_k = \bigcup \{ \Theta_T : T \in \mathbf{T}(k, *) \}$$
$$\Theta = \bigcup_k \Theta_k.$$

For $\bar{p} \in \Theta$, $\mathbf{n}_{\bar{p}} = \mathbf{n}(\bar{p})$, $T_{\bar{p}}$ are defined naturally.

For $\bar{p}, \bar{q} \in \Theta$, $\bar{p} \leq \bar{q}$ iff $\mathbf{n}_{\bar{p}} \leq \mathbf{n}_{\bar{q}}, T_{\bar{p}} \subseteq T_{\bar{q}}$ and for every $s \in fs_{T_{\bar{p}}}$ we have $p_s \leq q_s$.

F Stage: Let $\underline{g} : \omega \to \omega$, $\underline{g} \in N_s$, \underline{g} grows fast enough relative to $\langle \sigma_n : n < \omega \rangle$. We define a game <u>Gm</u>. A play of the game lasts after ω moves, in the n^{th} move player I chooses $\overline{p}_n \in \Theta_n$ and a function h_n satisfying the restrictions below and then player II chooses $\overline{q}_n \in \Theta_n$, such that $\overline{p}_n \leq \overline{q}_n$ (so $T_{\overline{p}_n} = T_{\overline{q}_n}$). Player I loses the play if sometime he has no legal move; if he never loses, he wins. The restrictions player I has to satisfy are:

- (a) for m < n, $\bar{q}_m \leq \bar{p}_n$, p_s^n forces a value to $g \upharpoonright (n+1)$,
- (b) h_n is a function from $[B_{T_{\overline{p}_n}}]^{\leq g(n)}$ to ω ,
- (c) if $m < n \Rightarrow h_n, h_m$ are compatible,
- (d) If $m < n, \, \ell < g(m), \, s \in [B_{T_{\bar{p}_n}}]^{\ell}, \, then \, p_s^n \Vdash d(s) = h_n(s),$
 - (e) Let $s_1, s_2 \in \text{Dom} h_n$. Then $h_n(s_1) = h_n(s_2)$ whenever s_1, s_2 are similar over n which means:

(i)
$$\left(F\left(H_{s_{2},s_{1}}^{OP}\left(\zeta\right)\right)\right) \upharpoonright \mathbf{n}[\bar{p}^{n}] = \left(F(\zeta)\right) \upharpoonright \mathbf{n}[\bar{p}^{n}] \text{ for } \zeta \in s_{1},$$

(ii) H_{s_2,s_1}^{OP} preserves the relations sp $\left(F(\zeta_1), F(\zeta_2)\right) < \text{sp}\left(F(\zeta_3), F(\zeta_4)\right)$ and $F(\zeta_3)\left(\text{sp}\left(F(\zeta_1), F(\zeta_2)\right)\right) = i$ (in the interesting case $\zeta_3 \neq \zeta_1, \zeta_2$ implies i = 0).

G Stage/Claim: Player I has a winning strategy in this game.

Proof. As the game is closed, it is determined, so we assume player II has a winning strategy, and eventually we shall get a contradiction. We define by induction on n, \bar{r}^n and Φ_n such that

(a)
$$\bar{r}^n \in R_n, \, \bar{r}^n < \bar{r}^{n+1}$$

(b) Φ_n is a finite set of initial segments of plays of the game,

(c) in each member of Φ_n player II uses his winning strategy,

- (d) if y belongs to Φ_n then it has the form $\langle \bar{p}^{y,\ell}, h^{y,\ell}, \bar{q}^{y,\ell} : \ell \leq m(y) \rangle$; let $h_y = h^{y,m(y)}$ and $T_y = T_{\bar{q}^y,m(y)}$; also $T_y \subseteq n^{\geq 2}$, $q_s^{y,\ell} \leq r_s^n$ for $s \in fs_{T_y}$.
- (e) $\Phi_n \subseteq \Phi_{n+1}$, Φ_n is closed under taking the initial segments and the empty sequence (which too is an initial segment of a play) belongs to Φ_0 .
- (f) For any $y \in \Phi_n$ and T, h either for some $z \in \Phi_{n+1}, n_z = n_y + 1$, $y = z \mid (n_y + 1), T_z = T$ and $h_z = h$ or player I has no legal $(n_y + 1)^{\text{th}}$ move \bar{p}^n, h^n (after y was played) such that $T_{\bar{p}^n} = T, h^n = h$, and $p_s^n = r_s^n$ for $s \in fs_T$ (or always \leq or always \geq).

There is no problem to carry the definition. Now $\langle \bar{r}^n : n < \omega \rangle$ define a function d^* : if $\eta_1, \ldots, \eta_k \in \mathbb{Z}$ are distinct then $d^*(\langle \eta_1, \ldots, \eta_k \rangle) = c$ iff for every (equivalently some) $\zeta_1 < \cdots < \zeta_k$ from B, such that $\eta_\ell \triangleleft F(\zeta_\ell)$, $r^k_{\{\zeta_1,\ldots,\zeta_k\}} \Vdash ``d_k (\{\zeta_1,\ldots,\zeta_k\}) = c$ ".

Now apply 2.7(2) to this coloring, get $T^* \subseteq^{\omega>} 2$ as there. Now player I could have chosen initial segments of this T^* (in the n^{th} move in Φ_n) and we get easily a contradiction. \Box

H Stage: We fix a winning strategy for player I (whose existence is guaranteed by stage G).

We define a forcing notion Q^* . We have $(r, y, f) \in Q^*$ iff

- (i) $r \in P_{a_{\delta}}^{cn}$
- (ii) $y = \langle \bar{p}^{\ell}, h^{\ell}, \bar{q}^{\ell} : \ell \leq m(y) \rangle$ is an initial segment of a play of <u>Gm</u> in which player I uses his winning strategy
- (iii) f is a finite function from B to $\{0,1\}$ such that $f^{-1}(\{1\}) \in fs_{T_y}$ (where $T_y = T_{\tilde{q}^{m(y)}}$).
- (iv) $r = q_{f^{-1}(\{1\})}^{y,m(y)}$.

The Order is the natural one.

I Stage: If $J \subseteq P_{a_{\delta}}^{cn}$ is dense open then $\{(r, y, f) \in Q^* : r \in J\}$ is dense in Q^* .

Proof. By 3.8(1) (by the appropriate renaming). \Box

J Stage: We define Q_{δ} in $V^{P_{\delta}}$ as $\{(r, y, f) \in Q^* : r \in G_{P_{\delta}}\}$, the order is as in Q^* .

The main point left is to prove the Knaster condition for the partial ordered set $\bar{Q}^* = \bar{Q}^* \langle P_{\delta}, Q_{\delta}, a_{\delta}, e_{\delta} \rangle$ demanded in the definition of \mathfrak{K}^1 . This

will follow by 3.8(3) (after you choose meaning and renamings) as done in stages K,L below.

K Stage: So let $i < \delta$, $cf(i) \neq \aleph_1$, and we shall prove that $P_{\delta+1}^*/P_i$ satisfies the Knaster condition. Let $p_\alpha \in P_{\delta+1}^*$ for $\alpha < \omega_1$, and we should find $p \in P_i, p \Vdash_{P_i}$ "there is an unbounded $A \subseteq \{\alpha : p_\alpha \mid i \in \mathcal{G}_{P_i}\}$ such that for any $\alpha, \beta \in A, p_\alpha, p_\beta$ are compatible in $P_{\delta+1}^*/\mathcal{G}_{P_i}$ ".

Without loss of generality:

(a) $p_{\alpha} \in P_{\delta+1}^{cn}$.

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(b) for some $\langle i_{\alpha} : \alpha < \omega_1 \rangle$ increasing continuous with limit δ we have: $i_0 > i$, cf $i_{\alpha} \neq \aleph_1$, $p_{\alpha} | \delta \in P_{i_{\alpha+1}}$, $p_{\alpha} | i_{\alpha} \in P_{i_0}$.

Let $p_{\alpha}^{0} = p_{\alpha} | i_{0}, p_{\alpha}^{1} = p_{\alpha} | \delta = p_{\alpha} | i_{\alpha+1}, p_{\alpha}(\delta) = (r_{\alpha}, y_{\alpha}, f_{\alpha})$, so without loss of generality

- (c) $r_{\alpha} \in P_{i_{\alpha+1}}, r_{\alpha} \upharpoonright i_{\alpha} \in P_{i_0}, m(y_{\alpha}) = m^{*},$
- (d) Dom $f_{\alpha} \subseteq i_0 \cup [i_{\alpha}, i_{\alpha+1})$,
- (e) $f_{\alpha}|_{i_0}$ is constant (remember $otp(B) = \omega_1$),
- (f) if $\operatorname{Dom} f_{\alpha} = \{j_{0}^{\alpha}, \dots, j_{k_{\alpha}-1}^{\alpha}\}$ then $k_{\alpha} = k$, $[j_{\ell}^{\alpha} < i_{\alpha} \Leftrightarrow \ell < k^{*}],$ $\bigwedge_{\ell < k^{*}} j_{\ell}^{\alpha} = j^{\ell}, f(j_{\ell}^{\alpha}) = f(j_{\ell}^{\beta}), F(j_{\ell}^{\alpha})) [m(y_{\alpha}) = F(j_{\ell}^{\beta}) [m(y_{\beta})].$

The main problem is the compatibility of the $q^{y_{\alpha},m(y_{\alpha})}$. Now by the definition Θ_{α} (in stage E) and 3.8(3) this holds. \Box

L Stage: If $c \subset \delta + 1$ is closed for \bar{Q}^* , then $P_{\delta+1}^*/P_c^{cn}$ satisfies the Knaster condition.

If c is bounded in δ , choose a successor $i \in (\sup c, \delta)$ for $\bar{Q} | i \in \Re_1$. We know that P_i/P_c^{cn} satisfies the Knaster condition and by stage K, $P_{\delta+1}^*/P_i$ also satisfies the Knaster condition; as it is preserved by composition we have finished the stage.

So assume c is unbounded in δ and it is easy too. So as seen in stage J, we have finished the proof of 3.1. \Box

Theorem 3.11. If $\lambda \geq \beth_{\omega}$, P is the forcing notion of adding λ Cohen reals then

- (*)₁ in V^P, if n < ω d : [λ]^{≤n} → σ, σ < ℵ₀, then for some c.c.c. forcing notion Q we have ⊨_Q "there are an uncountable A ⊆ λ and an one-to-one F : A →^ω 2 such that d is F-canonical on A" (see definition 2.8).
- (*)₂ if in $V, \lambda \ge \mu \to_{wsp} (\kappa)_{\aleph_0}$ (see [6]) and in $V^P, d: [\mu]^{\le n} \to \sigma, \sigma < \aleph_0$ then in V^P for some c.c.c. forcing notion Q we have \Vdash_Q "there are

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 $A\in [\mu]^\kappa$ and one-to-one $F:A\to^\omega 2$ such that d is F-canonical on A".

 $\begin{array}{l} (\ast)_3 \quad \text{if in } V, \ \lambda \geq \mu \to_{\text{wsp}} (\aleph_1)_{\aleph_2}^n \ \text{and in } V^P \ d: [\mu]^{\leq n} \to \sigma, \ \sigma < \aleph_0 \ \text{then in} \\ V^P \ \text{for every} \ \alpha < \omega_1 \ \text{and} \ F: \ \alpha \to^{\omega} 2 \ \text{for some} \ A \subseteq \mu \ \text{of order type} \\ \alpha \ \text{and} \ F': \ A \to^{\omega} 2, \ F'(\beta) \stackrel{\text{def}}{=} F(\operatorname{otp}(A \cap \beta)), \ d \ \text{is} \ F'\text{-canonical on } A. \end{array}$

 $\begin{array}{l} (\ast)_4 \ \text{ in } V^P, \ 2^{\aleph_0} \to (\alpha, n)^3 \ \text{for every} \ \alpha < \omega_1, \ n < \omega. \ \text{Really, assuming} \ V \models \\ GCH, \ \text{we have} \ \aleph_{n_3^1} \to (\alpha, n)^3 \ (\text{see [6]}). \end{array}$

Proof. Similar to the proof of 3.1. Superficially we need more indiscernibility then we get, but getting $\langle M_u : u \in [B]^{\leq n} \rangle$ we ignore $d(\{\alpha, \beta\})$ when there is no u with $\{\alpha, \beta\} \in M_u$. \Box

Theorem 3.12. If λ is strongly inaccessible ω -Mahlo, $\mu < \lambda$, then for some c.c.c. forcing notion P of cardinality λ , V^P satisfies

(a) MA_{μ}

(b)
$$2^{\kappa_0} = \lambda = 2^{\kappa}$$
 for $\kappa < \lambda$

(c) $\lambda \to [\aleph_1]^n_{\sigma,h(n)}$ for $n < \omega, \sigma < \aleph_0, h(n)$ is as in 3.1.

Proof. Again, like 3.1. \square

4. Partition theorem for trees on large cardinals

Lemma 4.1. Suppose $\mu > \sigma + \aleph_0$ and

 $(*)_{\mu}$ for every μ -complete forcing notion P, in V^{P} , μ is measurable. Then

- (1) for $n < \omega$, $Pr_{ebt}^{f}(\mu, n, \sigma)$.
- (2) $Pr_{eht}^{f}(\mu, <\aleph_{0}, \sigma)$, if there is $\lambda > \mu, \lambda \to \left(\mu^{++}\right)_{2}^{<\omega}$.
- (3) In both cases we can have the Pr_{ehtn}^{f} version, and even choose the $\langle <_{\alpha}^{*} : \alpha < \mu \rangle$ in any of the following ways.
 - (a) We are given $\langle <_{\alpha}^{0} : \alpha < \mu \rangle$, and we let for $\eta, \nu \in^{\alpha} 2 \cap T$, $\alpha \in SP(T)$ (*T* is the subtree we consider):

 $\eta <^{*}_{\alpha} \nu \text{ if and only if } \operatorname{clp}_{T}(\eta) <^{0}_{\beta} \operatorname{clp}_{T}(\nu) \text{ where } \beta = \operatorname{otp}(\alpha \cap SP(T))$ and $\operatorname{clp}_{T}(\eta) = \langle \eta(j) : j \in \operatorname{lg}(\eta), j \in \operatorname{SP}(T) \rangle.$

(b) We are given $\langle <_{\alpha}^{0} : \alpha < \mu \rangle$, we let that for $\nu, \eta \in^{\alpha} 2 \cap T$, $\alpha \in SP(T)$: $\eta <_{\alpha}^{*} \nu$ if and only if $n \upharpoonright (\beta + 1) <_{\beta+1}^{0} \nu \upharpoonright (\beta + 1)$ where $\beta = \sup(\alpha \cap SP(T))$.

Remark. 1) $(*)_{\mu}$ holds for a supercompact after Laver treatment. On hypermeasurable see Gitik Shelah [3].

2) We can in $(*)_{\mu}$ restrict ourselves to the forcing notion P actually used. For it by Gitik [2] much smaller large cardinals suffice.

3) The proof of 4.1 is a generalization of a proof of Harrington to Halpern Lauchli theorem from 1978.

Conclusion 4.2. In 4.1 we can get $Pr_{bt}^{f}(\mu, n, \sigma)$ (even with (3)).

Proof of 4.2. We do the parallel to 4.1(1). By $(*)_{\mu}$, μ is weakly compact hence by 2.6(2) it is enough to prove $Pr_{aht}^{f}(\mu, n, \sigma)$. This follows from 4.1(1) by 2.6(1). \Box

Proof of Lemma 4.1. 1), 2). Let $\kappa \leq \omega$, $\sigma(n) < \mu$, $d_n \in \operatorname{Col}^n_{\sigma(n)}(\mu>2)$ for $n < \kappa$.

Choose λ such that $\lambda \to (\mu^{++})_{2\mu}^{<2\kappa}$ (there is such a λ by assumption for (2) and by $\kappa < \omega$ for (1)). Let Q be the forcing notion $({}^{\mu>}2, \triangleleft)$, and $P = P_{\lambda}$ be $\{f : \operatorname{dom}(f) \text{ is a subset of } \lambda \text{ of cardinality } < \mu, f(i) \in Q\}$ ordered naturally. For $i \notin \operatorname{dom}(f)$, take f(i) = <>; Let $\underline{\eta}_i$ be the P-name for $\bigcup \{f(i) : f \in G_P\}$. Let \underline{D} be a P-name of a normal ultrafilter over μ (in V^P). For each $n < \omega$, $d \in \operatorname{Col}_{\sigma(n)}^n(\mu^{>}2)$, $j < \sigma(n)$ and $u = \{\alpha_0, \ldots, \alpha_{n-1}\}$, where $\alpha_0 < \cdots < \alpha_{n-1} < \lambda$, let $\underline{A}_d^j(u)$ be the P_{λ} -name of the set

$$\begin{split} A^{j}_{d}(u) &= \Big\{ i < \mu : \langle \underline{\eta}_{\alpha_{\ell}} | i : \ell < n \rangle \text{ are pairwise distinct and} \\ j &= d(\eta_{\alpha_{0}} | i, \dots, \eta_{\alpha_{n-1}} | i) \Big\}. \end{split}$$

So $\underline{A}_d^j(u)$ is a P_{λ} -name of a subset of μ , and for $j(1) < j(2) < \sigma(n)$ we have $\Vdash_{P_{\lambda}} \mathcal{A}_d^{j(1)}(u) \cap \underline{A}_d^{j(2)}(u) = \emptyset$, and $\bigcup_{j < \sigma(n)} \underline{A}_d^j(u)$ is a co-bounded subset of μ^n . As $\Vdash_P \mathcal{D}$ is μ -complete uniform ultrafilter on μ^n , in V^P there is exactly one $j < \sigma(n)$ with $A_d^j(u) \in \mathfrak{D}$. Let $\underline{j}_d(u)$ be the *P*-name of this j.

Let $I_d(u) \subseteq P$ be a maximal antichain of P, each member of $I_d(u)$ forces a value to $j_d(u)$. Let $W_d(u) = \bigcup \{ \operatorname{dom}(p) : p \in I_d(u) \}$ and $W(u) = \bigcup \{ W_{d_n}(u) : n < \kappa \}$. So $W_d(u)$ is a subset of λ of cardinality $\leq \mu$ as well as W(u) (as P satisfies the μ^+ -c.c. and $p \in P \Rightarrow |\operatorname{dom}(p)| < \mu$).

As $\lambda \to (\mu^{++})_{2^{\mu}}^{<2\kappa}$, $d_n \in \operatorname{Col}_{\sigma_n}^n(\mu>2)$ there is a subset Z of λ of cardinality μ^{++} and set $W^+(u)$ for each $u \in [Z]^{<\kappa}$ such that:

- (i) $W(u) \subseteq W^+(u)$ if $u \in [Z]^{<\kappa}$,
- (ii) $W^+(u_1) \cap W^+(u_2) = W^+(u_1 \cap u_2),$
- (iii) if $|u_1| = |u_2| < \kappa$ and $u_1, u_2 \subseteq Z$ then $W^+(u_1)$ and $W^+(u_2)$ have the same order type and note that $H[u_1, u_2] \stackrel{\text{def}}{=} H^{OP}_{W^+(u_1),W^+(u_2)}$, induces naturally a map from $P \upharpoonright u_1 \stackrel{\text{def}}{=} \{p \in P : \operatorname{dom}(p) \subseteq W^+(u_1)\}$ to $P \upharpoonright u_2 \stackrel{\text{def}}{=} \{p \in P : \operatorname{dom}(p) \subseteq W^+(u_2)\}.$
- (iv) if $u_1, u_2 \in [Z]^{<\kappa}$, $|u_1| = |u_2|$ then $H[u_1, u_2]$ maps $I_{d_n}(u_1)$ onto $I_{d_n}(u_2)$ and: $q \Vdash "\underline{j}_d(u_1) = j" \Leftrightarrow H[u_1, u_2](q) \Vdash "\underline{j}_d(u_2) = j"$,
- (v) if $u_1 \subseteq u_2 \in [Z]^{<\kappa}$, $u_3 \subseteq u_4 \in [Z]^{<\kappa}$, $|u_4| = |u_2|$, H_{u_2,u_4}^{OP} maps u_1 onto u_3 then $H[u_1, u_3] \subseteq H[u_2, u_4]$.
 - Let $\gamma(i)$ be the i^{th} member of Z.

Let s(m) be the set of the first m members of Z and $R_n = \{p \in P : dom(p) \subseteq W^+(s(n)) - \bigcup_{t \in s(n)} W^+(t)\}.$

We define by induction on $\alpha < \mu$ a function F_{α} and $p_u \in R_{|u|}$ for $u \in \bigcup_{\beta < \alpha} [\beta 2]^{<\kappa}$ where we let \emptyset_{β} be the empty subset of $[\beta 2]$ and we behave as if $[\beta \neq \gamma \Rightarrow \emptyset_{\beta} \neq \emptyset_{\gamma}]$ and we also define $\zeta(\beta) < \mu$, such that:

- (i) F_{α} is a function from $^{\alpha>2}$ into $^{\mu>2}$, extending F_{β} for $\beta < \alpha$,
- (ii) F_{α} maps $^{\beta}2$ to $^{\zeta(\beta)}2$ for some $\zeta(\beta) < \mu$ and $\beta_1 < \beta_2 < \alpha \Rightarrow \zeta(\beta_1) < \zeta(\beta_2)$,
- (iii) $\eta \triangleleft \nu \in \alpha^{>} 2$ implies $F_{\alpha}(\eta) \triangleleft F_{\alpha}(\nu)$,
- (iv) for $\eta \in^{\beta} 2$, $\beta + 1 < \alpha$ and $\ell < 2$, we have $F_{\alpha}(\eta) (\ell) \triangleleft F_{\alpha}(\eta) (\ell)$,
- (v) $p_u \in R_m$ whenever $u \in [\beta 2]^m$, $m < \kappa$, $\beta < \alpha$ and for $u(1) \in [Z]^m$ let $p_{u,u(1)} = H[s(|u|), u(1)](p_u)$.
- (vi) $\eta \in^{\beta} 2, \beta < \alpha$, then $p_{\{\eta\}}(\min Z) = F_{\alpha}(\eta)$.
- (vii) if $\beta < \alpha, u \in [\beta 2]^n, n < \kappa, h : u \to s(n)$ one-to-one onto (not necessarily order preserving) then for some $c(u, h) < \sigma(n)$:

$$\bigcup_{t\subseteq u} p_{t,h''(t)} \Vdash_{P_{\lambda}} "d_n(\eta_{\gamma(0)},\ldots,\eta_{\gamma(n-1)}) = c(u,h)",$$

(Note: as $p_u \in R_{|u|}$ the domains of the conditions in this union are pairwise disjoint.)

(viii) If n, u, β are as in (vii), $u = \{\nu_0, \ldots, \nu_{n-1}\}, \nu_\ell \triangleleft \rho_\ell \in^{\gamma} 2, \beta \leq \gamma < \alpha$ then $d_n(F_\alpha(\rho_0), \ldots, F_\alpha(\rho_{n-1})) = c(u, h)$ where h is the unique function from u onto s(n) such that $[h(\nu_\ell) \leq h(\nu_m) \Rightarrow \rho_\ell <_{\gamma} \cdot \rho_m]$.

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- (ix) if $\beta < \gamma < \alpha, \nu_1, \dots, \nu_{n-1} \in^{\gamma} 2, n < \kappa$, and $\nu_0 \upharpoonright \beta, \dots, \nu_{n-1} \upharpoonright \beta$ are pairwise distinct then:

$$p_{\{\nu_0|\beta,\ldots,\nu_n|\beta\}} \subseteq p_{\{\nu_0,\ldots,\nu_{n-1}\}}.$$

For α limit: no problem.

<u>For $\alpha + 1, \alpha$ limit</u>: we try to define $F_{\alpha}(\eta)$ for $\eta \in^{\alpha} 2$ such that $\bigcup_{\beta < \alpha} F_{\beta+1}(\eta \upharpoonright \beta) \triangleleft F_{\alpha}(\eta)$ and (viii) holds. Let $\zeta = \bigcup_{\beta < \alpha} \zeta(\beta)$, and for $\eta \in^{\alpha} 2, F_{\alpha}^{0}(\eta) = \bigcup_{\beta < \alpha} F_{\alpha}(\eta \upharpoonright \beta)$ and for $u \in [{}^{\alpha}2]^{<\kappa}, p_{u}^{0} \stackrel{\text{def}}{=} \bigcup \{p_{\{\nu\beta:\nu\in u\}}^{0} : \beta < \alpha, |u| = |\{\nu \upharpoonright \beta: \nu \in u\}|\}$. Clearly $p_{u}^{0} \in R_{|u|}$.

 $\begin{array}{l} \text{Then let } h:^{\alpha} 2 \to Z \text{ be one-to-one, such that } \eta <^{\star}_{\alpha} \nu \Leftrightarrow h(\eta) < h(\nu) \text{ and} \\ \text{let } p \stackrel{\text{def}}{=} \bigcup \{p_{u,u(1)}^{0} : u(1) \in [Z]^{<\kappa}, \ u \in [^{\alpha}2]^{<\kappa}, \ |u(1)| = |u|, \ h^{\prime\prime}(u) = u(1)\}. \end{array}$

For any generic $G \subseteq P_{\lambda}$ to which p belongs, $\beta < \alpha$ and ordinals $i_0 < \cdots < i_{n-1}$ from Z such that $\langle h^{-1}(i_\ell) | \beta : \ell < n \rangle$ are pairwise distinct we have that

$$B_{\{i_{\ell}:\ell< n\},\beta} = \Big\{\xi < \mu: d_n(\eta_{i_0} \upharpoonright \xi, \ldots, \eta_{i_{n-1}} \upharpoonright \xi) = c(u, h^*)\Big\},$$

belongs to $\mathfrak{D}[G]$, where $u = \{h^{-1}(i_{\ell}) \upharpoonright \beta : \ell < n\}$ and $h^{\bullet} : u \to s(|u|)$ is defined by $h^{\bullet}(h^{-1}(i_{\ell}) \upharpoonright \beta) = H^{OP}_{\{i_{\ell}:\ell < n\},s(n)}(i_{\ell})$. Really every large enough $\beta < \mu$ can serve so we omit it. As $\mathfrak{D}[G]$ is μ -complete uniform ultrafilter on μ , we can find $\xi \in (\zeta, \kappa)$ such that $\xi \in B_u$ for every $u \in [^{\alpha}2]^n$, $n < \kappa$. We let for $\nu \in ^{\alpha} 2$, $F_{\alpha}(\nu) = \eta_{h(i)}[G] \upharpoonright \xi$, and we let $p_u = p_u^0$ except when $u = \{\nu\}$, then:

$$p_u(i) = \begin{cases} p_u^0(i) & i \neq \gamma(0) \\ F_{\alpha+1}(\nu) & i = \gamma(0) \end{cases}.$$

For $\alpha + 1$, α is a successor: First for $\eta \in \alpha^{-1} 2$ define $F(\eta^{\langle \ell \rangle}) = F_{\alpha}(\eta)^{\langle \ell \rangle}$. Next we let $\{(u_i, h_i) : i < i^*\}$, list all pairs (u, h), $u \in [\alpha 2]^{\leq n}$, $h : u \to s(|u|)$, one-to-one onto. Now, we define by induction on $i \leq i^*$, $p_u^i(u \in [\alpha 2]^{<\kappa})$ such that :

- (a) $p_u^i \in R_{|u|}$,
- (b) p_u^i increases with i,
- (c) for i + 1, (vii) holds for (u_i, h_i) ,
- (d) if $\nu_m \in^{\alpha} 2$ for $m < n, n < \kappa, \langle \nu_m \restriction (\alpha 1) : m < n \rangle$ are pairwise distinct, then $p_{\{\nu_m \mid (\alpha - 1) : m < n\}} \leq p_{\{\nu_m : m < n\}}^0$,

(e) if $\nu \in^{\alpha} 2$, $\nu(\alpha - 1) = \ell$ then $p^{0}_{\{\nu\}}(0) = F_{\alpha}(\nu \upharpoonright (\alpha - 1))^{\langle \ell \rangle}$.

There is no problem to carry the induction.

Now $F_{\alpha+1} \upharpoonright^{\alpha} 2$ is to be defined as in the second case, starting with $\eta \to p_{\{\eta\}}^{i^*}(\eta)$.

For $\alpha = 0, 1$: Left to the reader.

So we have finished the induction hence the proof of 4.1(1), (2).

3) Left to the reader (the only influence is the choice of h in stage of the induction). \Box

5. Somewhat complimentary negative partition relation in ZFC

The negative results here suffice to show that the value we have for 2^{\aleph_0} in §3 is reasonable. In particular the Galvin conjecture is wrong and that for every $n < \omega$ for some $m < \omega$, $\aleph_n \neq [\aleph_1]_{\aleph_0}^m$.

See Erdős, Hajnal, Máté, Rado [1] for

Fact 5.1. If $2^{<\mu} < \lambda \leq 2^{\mu}$, $\mu \neq [\mu]^n_{\sigma}$ then $\lambda \neq [(2^{<\mu})^+]^{n+1}_{\sigma}$.

This shows that if e.g. in 1.4 we want to increase the exponents, to 3 (and still $\mu = \mu^{<\mu}$) e.g. μ cannot be successor (when $\sigma \leq \aleph_0$) (by [7], 3.5(2)).

Definition 5.2. $Pr_{np}(\lambda, \mu, \bar{\sigma})$, where $\bar{\sigma} = \langle \sigma_n : n < \omega \rangle$, means that there are functions $F_n : [\lambda]^n \to \sigma_n$ such that for every $W \in [\lambda]^{\mu}$ for some $n, F_n''([W]^n) = \sigma(n)$. The negation of this property is denoted by $NPr_{np}(\lambda, \mu, \bar{\sigma})$.

If $\sigma_n = \sigma$ we write σ instead of $\langle \sigma_n : n < \omega \rangle$.

Remark 5.2.A. 1) Note that $\lambda \to [\mu]_{\sigma}^{<\omega}$ means: if $F : [\lambda]^{<\omega} \to \sigma$ then for some $A \in [\lambda]^{\mu}$, $F''([A]^{<\omega}) \neq \sigma$. So for $\lambda \geq \mu \geq \sigma = \aleph_0$, $\lambda \neq [\mu]_{\sigma}^{<\omega}$, (use $F : F(\alpha) = |\alpha|$) and $Pr_{np}(\lambda, \mu, \sigma)$ is stronger than $\lambda \neq [\mu]_{\sigma}^{<\omega}$.

2) We do not write down the monotonicity properties of Pr_{np} — they are obvious.

Claim 5.3. 1) We can (in 5.2) w.l.o.g. use $F_{n,m} : [\lambda]^n \to \sigma_n$ for $n, m < \omega$ and obvious monotonicity properties holds, and $\lambda \ge \mu \ge n$.

2) Suppose $NPr_{np}(\lambda, \mu, \kappa)$ and $\kappa \not\rightarrow [\kappa]_{\sigma}^{n}$ or even $\kappa \not\rightarrow [\kappa]_{\sigma}^{<\omega}$. Then the following case of Chang conjecture holds:

(*) for every model M with universe λ and countable vocabulary, there is an elementary submodel N of M of cardinality μ ,

$$|N \cap \kappa| < \kappa$$

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3) If $NPr_{np}(\lambda, \aleph_1, \aleph_0)$ then $(\lambda, \aleph_1) \to (\aleph_1, \aleph_0)$.

Proof. Easy.

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Theorem 5.4. Suppose $Pr_{np}(\lambda_0, \mu, \aleph_0)$, μ regular $> \aleph_0$ and $\lambda_1 \ge \lambda_0$, and no $\mu' \in (\lambda_0, \lambda_1)$ is μ' -Mahlo. Then $Pr_{np}(\lambda_1, \mu, \aleph_0)$.

Proof. Let $\chi = \beth_8(\lambda_1)^+$, let $\{F_{n,m}^0 : m < \omega\}$ list the definable *n*-place functions in the model $(H(\chi), \in, <^*_{\chi})$, with $\lambda_0, \mu, \lambda_1$ as parameters, let $F_{n,m}^1(\alpha_0, \ldots, \alpha_{n-1})$ (for $\alpha_0, \ldots, \alpha_{n-1} < \lambda_1$) be $F_{n,m}^0(\alpha_0, \ldots, \alpha_{n-1})$ if it is an ordinal $< \lambda_1$ and zero otherwise. Let $F_{n,m}(\alpha_0, \ldots, \alpha_{n-1})$ (for $\alpha_0, \ldots, \alpha_{n-1}$) if it is an ordinal $< \omega$ and zero otherwise. We shall show that $F_{n,m}(n, m < \omega)$ exemplify $Pr_{np}(\lambda_1, \mu, \aleph_0)$ (see 5.3(1)).

So suppose $W \in [\lambda_1]^{\mu}$ is a counterexample to $Pr(\lambda_1, \mu, \aleph_0)$ i.e. for no $n, m, F_{n,m}^{"}([W]^n) = \omega$. Let W^* be the closure of W under $F_{n,m}^1(n, m < \omega)$. Let N be the Skolem Hull of W in $(H(\chi), \in, <_{\chi}^*)$, so clearly $N \cap \lambda_1 = W^*$. Note $W^* \subseteq \lambda_1$, $|W^*| = \mu$. Also as $cf(\mu) > \aleph_0$ if $A \subseteq W^*$, $|A| = \mu$ then for some $n, m < \omega$ and $u_i \in [W]^n$ (for $i < \mu$), $F_{n,m}^1(u_i) \in A$ and $[i < j < \mu \Rightarrow F_{n,m}^1(u_i) \neq F_{n,m}^1(u_j)]$. It is easy to check that also $W^1 = \{F_{n,m}^1(u_i) : i < \mu\}$ is a counterexample to $Pr(\lambda_1, \mu, \aleph_0)$. In particular, for $n, m < \omega$, $W_{n,m} = \{F_{n,m}^1(u) : u \in [W]^n\}$ is a counterexample if it has power μ . W.l.o.g. W is a counterexample with minimal $\delta \stackrel{\text{def}}{=} \sup(W) = \bigcup \{\alpha + 1 : \alpha \in W\}$. The above discussion shows that $|W^* \cap \alpha| < \mu$ for $\alpha < \delta$. Obviously $cf \delta = \mu$. Let $\langle \alpha_i : i < \mu \rangle$ be a strictly increasing sequence of members of W^* , converging to δ , such that for limit i we have $\alpha_i = \min(W^* \setminus \bigcup_{j < i} (\alpha_j + 1)$. Let $N = \bigcup_{i < \mu} N_i, N_i \prec N, |N_i| < \mu, N_i$ increasing continuous and w.l.o.g. $N_i \cap \delta = N \cap \alpha_i$.

$\underline{\alpha \text{ Fact}}: \delta \text{ is } > \lambda_0.$

Proof. Otherwise we then get an easy contradiction to $Pr(\lambda_0, \mu, \aleph_0)$) as choosing the $F_{n,m}^0$ we allowed λ_0 as a parameter.

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<u> β Fact</u>: If F is a unary function definable in N, $F(\alpha)$ is a club of α for every limit ordinal $\alpha(<\mu)$ then for some club C of μ we have

$$(\forall j \in C \setminus \{\min C\})(\exists i_1 < j)(\forall i \in (i_1, j))[i \in C \Rightarrow \alpha_i \in F(\alpha_j)].$$

Proof. For some club C_0 of μ we have $j \in C_0 \Rightarrow (N_j, \{\alpha_i : i < j\}, W) \prec (N, \{\alpha_i : i < \mu\}, W)$.

We let $C = C'_0 = \operatorname{acc}(C)$ (= set of accumulation points of C_0).

We check C is as required; suppose j is a counterexample. So $j = \sup(j \cap C)$ (otherwise choose $i_1 = \max(j \cap C)$). So we can define, by induction on n, i_n , such that:

- (a) $i_n < i_{n+1} < j$
- (b) $\alpha_{i_n} \notin F(\alpha_i)$
- (c) $(\alpha_{i_n}, \alpha_{i_{n+1}}) \cap F(\alpha_j) \neq \emptyset$.

Why l.c.(C)? \models " $F(\alpha_j)$ is unbounded below α_j " hence $N \models$ " $F(\alpha_j)$ is unbounded below α_j ", but in N, $\{\alpha_i : i \in C_0, i < j\}$ is unbounded below α_j .

Clearly for some $n, m, \alpha_j \in W_{n,m}$ (see above). Now we can repeat the proof of [7,3.3(2)] (see mainly the end) using only members of $W_{n,m}$. Note: here we use the number of colors being \aleph_0 .

 β^+ Fact: W.l.o.g. the C in Fact β is μ . Proof: Renaming.

<u> γ Fact</u>: δ is a limit cardinal.

Proof: Suppose not. Now δ cannot be a successor cardinal (as $\mathrm{cf} \, \delta = \mu \leq \lambda_0 < \delta$) hence for every large enough i, $|\alpha_i| = |\delta|$, so $|\delta| \in W^* \subseteq N$ and $|\delta|^+ \in W^*$.

So $W^* \cap |\delta|$ has cardinality $< \mu$ hence order-type some $\gamma^* < \mu$. Choose $i^* < \mu$ limit such that $[j < i^* \Rightarrow j + \gamma^* < i^*]$. There is a definable function F of $(H(\chi), \in, <_{\chi})$ such that for every limit ordinal α , $F(\alpha)$ is a club of α , $0 \in F(\alpha)$, if $|\alpha| < \alpha$, $F(\alpha) \cap |\alpha| = \emptyset$, $\operatorname{otp}(F(\alpha)) = \operatorname{cf} \alpha$.

So in N there is a closed unbounded subset $C_{\alpha_j} = F(\alpha_j)$ of α_j of order type $\leq \operatorname{cf} \alpha_j \leq |\delta|$, hence $C_{\alpha_j} \cap N$ has order type $\leq \gamma^*$, hence for i^* chosen above unboundedly many $i < i^*$, $\alpha_i \notin C_{\alpha_i^*}$. We can finish by fact β^+ .

<u> δ Fact</u>: For each $i < \mu$, α_i is a cardinal.

Proof: If $|\alpha_i| < i$ then $|\alpha_i| \in N_i$, but then $|\alpha_i|^+ \in N_i$ contradicting Fact γ , by which $|\alpha_i|^+ < \delta$, as we have assumed $N_i \cap \delta = N \cap \alpha_i$.

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<u> ε Fact</u>: For a club of $i < \mu$, α_i is a regular cardinal.

Proof: if $S = \{i : \alpha_i \text{ singular}\}$ is stationary, then the function $\alpha_i \to \operatorname{cf}(\alpha_i)$ is regressive on S. By Fodor lemma, for some $\alpha^* < \delta$, $\{i < \mu : \operatorname{cf} \alpha_i < \alpha^*\}$ is stationary. As $|N \cap \alpha^*| < \mu$ for some β^* , $\{i < \mu : \operatorname{cf} \alpha_i = \beta^*\}$ is stationary. Let $F_{1,m}(\alpha)$ be a club of α of order type $\operatorname{cf}(\alpha)$, and by fact β we get a contradiction as in fact γ .

 $\underline{\zeta \text{ Fact}}$: For a club of $i < \mu$, α_i is Mahlo.

Proof: Use $F_{1,m}(\alpha)$ = a club of α which, if α is a successor cardinal or inaccessible not Mahlo, then it contains no inaccessible, and continue as in fact γ .

<u> ξ Fact</u>: For a club of $i < \mu$, α_i is α_i -Mahlo.

Proof: Let $F_{1,m(0)}(\alpha) = \sup\{\zeta : \alpha \text{ is } \zeta\text{-Mahlo}\}$. If the set $\{i < \mu : \alpha_i \text{ is not } \alpha_i\text{-Mahlo}\}$ is stationary then as before for some $\gamma \in N$, $\{i : F_{1,m(0)}(\alpha_i) = \gamma\}$ is stationary and let $F_{1,m(1)}(\alpha) = \alpha$ club of α such that if α is not $(\gamma + 1)$ -Mahlo then the club has no γ -Mahlo member. Finish as in the proof of fact δ . \Box

Remark 5.4.A. We can continue and say more.

Lemma 5.5. 1) Suppose $\lambda > \mu > \theta$ are regular cardinals, $n \ge 2$ and

- (i) for every regular cardinal κ , if $\lambda > \kappa \ge \theta$ then $\kappa \neq [\theta]_{\sigma(1)}^{<\omega}$.
- (ii) for some $\alpha(*) < \mu$ for every regular $\kappa \in (\alpha(*), \lambda), \kappa \neq [\alpha(*)]_{\sigma(2)}^n$.

Then

- (a) $\lambda \neq [\mu]_{\sigma}^{n+1}$ where $\sigma = \min\{\sigma(1), \sigma(2)\},\$
- (b) there are functions $d_1 : [\lambda]^3 \to \sigma(1), d_2 : [\lambda]^{n+1} \to \sigma(2)$, such that for every $W \in [\lambda]^{\mu}, d''_1([W]^3) = \sigma(1)$ or $d''_2([W]^{n+1}) = \sigma(2)$.

2) Suppose $\lambda > \mu > \theta$ are regular cardinals, and

- (i) for every regular $\kappa \in [\theta, \lambda), \ \kappa \not\rightarrow [\theta]_{\sigma(1)}^{<\omega}$,
- (ii) $\sup\{\kappa < \lambda : \kappa \text{ regular}\} \not\rightarrow [\mu]^n_{\sigma(2)}$.

Then

(a) $\lambda \neq [\mu]_{\sigma}^{2n}$ where $\sigma = \min\{\sigma(1), \sigma(2)\}$

(b) there are functions $d_1 : [\lambda]^3 \to \sigma(1), d_2 : [\lambda]^{2n} \to \sigma(2)$ such that for every $W \in [\lambda]^{\mu}, d''_1([W]^3) = \sigma(1)$ or $d''_2([W]^{2n} = \sigma(2)$.

Remark. The proof is similar to that of [7] 3.3, 3.2.

Proof. 1) We choose for each $i, 0 < i < \lambda$, C_i such that: if i is a successor ordinal, $C_i = \{i - 1, 0\}$; if i is a limit ordinal, C_i is a club of i of order type of $i, 0 \in C_i$, [cf $i < i \Rightarrow$ cf $i < \min(C_i - \{0\})$] and $C_i \setminus \operatorname{acc}(C_i)$ contains only successor ordinals.

Now for $\alpha < \beta$, $\alpha > 0$ we define by induction on ℓ , $\gamma_{\ell}^{+}(\beta, \alpha)$, $\gamma_{\ell}^{-}(\beta, \alpha)$, and then $\kappa(\beta, \alpha)$, $\varepsilon(\beta, \alpha)$.

- (A) $\gamma_0^+(\beta,\alpha) = \beta, \gamma_0^-(\beta,\alpha) = 0.$
- (B) if $\gamma_{\ell}^{+}(\beta, \alpha)$ is defined and $> \alpha$ and α is not an accumulation point of $C_{\gamma_{\ell}^{+}(\beta,\alpha)}$ then we let $\gamma_{\ell+1}^{-}(\beta,\alpha)$ be the maximal member of $C_{\gamma_{\ell}^{+}(\beta,\alpha)}$ which is $< \alpha$ and $\gamma_{\ell+1}^{+}(\beta,\alpha)$ is the minimal member of $C_{\gamma_{\ell}^{+}(\beta,\alpha)}$ which is $\geq \alpha$ (by the choice of $C_{\gamma_{\ell}^{+}(\beta,\alpha)}$ and the demands on $\gamma_{\ell}^{+}(\beta,\alpha)$ they are well defined).

 \mathbf{So}

(B1) (a) $\gamma_{\ell}^{-}(\beta, \alpha) < \alpha \leq \gamma_{\ell}^{+}(\beta, \alpha)$, and if the equality holds then $\gamma_{\ell+1}^{+}(\beta, \alpha)$ is not defined.

(b) $\gamma_{\ell+1}^+(\beta,\alpha) < \gamma_{\ell}^+(\beta,\alpha)$ when both are defined.

- (C) Let $k = k(\beta, \alpha)$ be the maximal number k such that $\gamma_k^+(\beta, \alpha)$ is defined (it is well defined as $\langle \gamma_\ell^+(\beta, \alpha) : \ell < \omega \rangle$ is strictly decreasing). So
- (C1) $\gamma_{k(\beta,\alpha)}^+(\beta,\alpha) = \alpha \text{ or } \gamma_{k(\beta,\alpha)}^+ > \alpha, \gamma_{k(\beta,\alpha)}^+$ is a limit ordinal and α is an accumulation point of $C_{\gamma_{k(\beta,\alpha)}^+}(\beta,\alpha)$.
 - (D) For $m \leq k(\beta, \alpha)$ let us define

$$\varepsilon_m(\beta, \alpha) = \max\{\gamma_\ell^-(\beta, \alpha) + 1 : \ell \le m\}.$$

Note

(D1) (a) $\varepsilon_m(\beta, \alpha) \leq \alpha$ (if defined),

- (b) if α is limit then $\varepsilon_m(\beta, \alpha) < \alpha$ (if defined),
- (c) if $\varepsilon_m(\beta, \alpha) \leq \xi \leq \alpha$ then for every $\ell \leq m$ we have

$$\gamma_{\ell}^{+}(\beta,\alpha) = \gamma_{\ell}^{+}(\beta,\xi), \quad \gamma_{\ell}^{-}(\beta,\alpha) = \gamma_{\ell}^{-}(\beta,\xi), \quad \varepsilon_{\ell}(\beta,\alpha) = \varepsilon_{\ell}(\beta,\xi).$$

(explanation for (c): if $\varepsilon_m(\beta, \alpha) < \alpha$ this is easy (check the definition) and if $\varepsilon_m(\beta, \alpha) = \alpha$, necessarily $\xi = \alpha$ and it is trivial).

(d) if $\ell \leq m$ then $\varepsilon_{\ell}(\beta, \alpha) \leq \varepsilon_m(\beta, \alpha)$

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For a regular $\kappa \in (\alpha(*), \lambda)$ let $g_{\kappa}^{1} : [\kappa]^{<\omega} \to \sigma(2)$ exemplify $\kappa \not\to [\theta]_{\sigma(1)}^{<\omega}$ and for every regular cardinal $\kappa \in [\theta, \lambda)$ let $g_{\kappa}^{2} : [\kappa]^{n} \to \sigma(2)$ exemplify $\kappa \not\to [\alpha(*)]_{\sigma(2)}^{n}$. Let us define the colourings:

Let $\alpha_0 > \alpha_1 > \ldots > \alpha_n$. Remember $n \ge 2$.

Let $n = n(\alpha_0, \alpha_1, \alpha_2)$ be the maximal natural number such that:

- (i) $\varepsilon_n(\alpha_0, \alpha_1) < \alpha_0$ is well defined,
- (ii) for $\ell \leq n$, $\gamma_{\ell}^{-}(\alpha_{0}, \alpha_{1}) = \gamma_{\ell}^{-}(\alpha_{0}, \alpha_{2})$. We define $d_{2}(\alpha_{0}, \alpha_{1}, \dots, \alpha_{n})$ as $g_{\kappa}^{2}(\beta_{1}, \dots, \beta_{n})$ where

$$\kappa = \operatorname{cf} \left(\gamma_{n(\alpha_0,\alpha_1,\alpha_2)}^+ (\alpha_0,\alpha_1) \right),$$

$$\beta_{\ell} = \operatorname{otp} \left[\alpha_{\ell} \cap C_{\gamma_{n(\alpha_0,\alpha_1,\alpha_2)}^+ (\alpha_0,\alpha_1)} \right]$$

Next we define $d_1(\alpha_0, \alpha_1, \alpha_2)$.

Let $i(*) = \sup \left[C_{\gamma_n^+(\alpha_0,\alpha_2)} \cap C_{\gamma_n^+(\alpha_1,\alpha_2)} \right]$ where $n = n(\alpha_0, \alpha_1, \alpha_2)$, E be the equivalence relation on $C_{\gamma_n^+(\alpha_0,\alpha_1)} \setminus i(*)$ defined by

 $\gamma_1 E \gamma_2 \Leftrightarrow \forall \gamma \in C_{\gamma_n^+(\alpha_0,\alpha_2)} \, [\gamma_1 < \gamma \leftrightarrow \gamma_2 < \gamma].$

If the set $w = \left\{ \gamma \in C_{\gamma_n^+(\alpha_0,\alpha_1)} : \gamma > i(*), \ \gamma = \min \gamma/E \right\}$ is finite, we let $d_1(\alpha_0, \alpha_1, \alpha_2)$ be $g_{\kappa}^1(\{\beta_{\gamma} : \gamma \in w\})$ where $\kappa = \left| C_{\gamma_n^+(\alpha_0,\alpha_1)} \right|, \ \beta_{\gamma} = \operatorname{otp}\left(\gamma \cap C_{\gamma_n^+(\alpha_0,\alpha_1)}\right).$

We have defined d_1 , d_2 required in condition (b) (though have not yet proved that they work) We still have to define d (exemplifying $\lambda \neq [\mu]_{\ell}^{n+1}$). Let $n \geq 3$, for $\alpha_0 > \alpha_1 > \ldots > \alpha_n$, we let $d(\alpha_0, \ldots, \alpha_n)$ be $d_1(\alpha_0, \alpha_1, \alpha_2)$ if w defined during the definition has odd number of members and $d_2(\alpha_0, \ldots, \alpha_n)$ otherwise.

Now suppose Y is a subset of λ of order type μ , and let $\delta = \sup Y$. Let M be a model with universe λ and with relations Y and $\{(i, j) : i \in C_j\}$. Let $\langle N_i : i < \mu \rangle$ be an increasing continuous sequence of elementary submodels of M of cardinality $\langle \mu$ such that $\alpha(i) = \alpha_i = \min(Y \setminus N_i)$ belongs to N_{i+1} , $\sup(N \cap \alpha_i) = \sup(N \cap \delta)$. Let $N = \bigcup_{i < \mu} N_i$. Let $\delta(i) = \delta_i \stackrel{\text{def}}{=} \sup(N_i \cap \alpha_i)$, so $0 < \delta_i \le \alpha_i$, and let $n = n_i$ be the first natural number such that δ_i an accumulation point of $C^i \stackrel{\text{def}}{=} C_{\gamma_n^+(\alpha_i,\delta(i))}$, let $\varepsilon_i = \varepsilon_{n(i)}(\alpha_i,\delta_i)$. Note that $\gamma_n^+(\alpha_i,\delta_i) = \gamma_n^+(\alpha_i,\varepsilon_i)$ hence it belongs to N.

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<u>Case I</u>: For some (limit) $i < \mu$, $cf(i) \ge \theta$ and $(\forall \gamma < i)[\gamma + \alpha(*) < i]$ such that for arbitrarily large j < i, $C^i \cap N_j$ is bounded in $N_j \cap \delta = N_j \cap \delta_j$. This is just like the last part in the proof of [7],3.3 using g_{κ}^1 and d_1 for $\kappa = cf(\gamma_{n_i}^+(\alpha_i, \delta_i))$.

Case II: Not case I.

Let $S_0 = \{i < \mu : (\forall \alpha < i)[\gamma + \alpha(*) < i], cf(i) = \theta\}$. So for every $i \in S_0$ for some $j(i) < i, (\forall j) [j \in (j(i), i) \Rightarrow C^i \cap N_j \text{ is unbounded in } \delta_j]$. But as $C^i \cap \delta_i$ is a club of δ_i , clearly $(\forall j) [j \in (j(i), i) \Rightarrow \delta_j \in C^i]$.

We can also demand $j(i) > \varepsilon_{n(\alpha(i),\delta(i))}(\alpha(i),\delta(i))$.

As S_0 is stationary, (by not case I) for some stationary $S_1 \subseteq S_0$ and n(*), j(*) we have $(\forall i \in S_1) [j(i) = j(*) \land n(\alpha(i), \delta_i) = n(*)]$.

Choose $i(*) \in S_1$, $i(*) = \sup(i(*) \cap S_1)$, such that the order type of $S_1 \cap i(*)$ is $i(*) > \alpha(*)$. Now if $i_2 < i_1 \in S_1 \cap i(*)$ then $n(\alpha_{i(*)}, \alpha_{i_1}, \alpha_{i_2}) = n(*)$. Now $L_{i(*)} \stackrel{\text{def}}{=} \left\{ \operatorname{otp}(\alpha_i \cap C^{i(*)}) : i \in S_1 \cap i(*) \right\}$ are pairwise distinct and are ordinals $< \kappa \stackrel{\text{def}}{=} |C^{i(*)}|$, and the set has order type $\alpha(*)$. Now apply the definitions of d_2 and g_{κ}^2 on $L_{i(*)}$.

2) The proof is like the proof of part (1) but for $\alpha_0 > \alpha_1 > \cdots$ we let $d_2(\alpha_0, \ldots, \alpha_{2n-1}) = g_{\kappa}^2(\beta_0, \ldots, \beta_n)$ where

$$\beta_{\ell} \stackrel{\text{def}}{=} \operatorname{otp}\left(C_{\gamma_{n}^{+}(\beta_{2\ell},\beta_{2\ell+1})}\left(\beta_{2\ell},\beta_{2\ell+1}\right) \cap \beta_{2\ell+1}\right)$$

and in case II note that the analysis gives μ possible β_{ℓ} 's so that we can apply the definition of g_{κ}^2 .

Definition 5.7. Let $\lambda \not\rightarrow_{stg} [\mu]^n_{\theta}$ mean: if $d : [\lambda]^n \to \theta$, and $\langle \alpha_i : i < \mu \rangle$ is strictly increasingly continuous and for $i < j < \mu, \gamma_{i,j} \in [\alpha_i, \alpha_{i+1})$ then

$$\theta = \left\{ d(w) : \text{ for some } j < \mu, \ w \in \left[\left\{ \gamma_{i,j} : i < j \right\} \right]^n \right\}.$$

Lemma 5.8. 1) $\aleph_n \neq [\aleph_1]_{\aleph_0}^{n+1}$ for $n \ge 1$. 2) $\aleph_n \neq_{stg} [\aleph_1]_{\aleph_0}^{n+1}$ for $n \ge 1$.

Proof. 1) For n = 2 this is a theorem of Torodčevič, and if it holds for $n \ge 2$ by 5.5(1) we get that it holds for n+1 (with $n, \lambda, \mu, \theta, \alpha(*), \sigma(1), \sigma(2)$ there corresponding to $n+1, \aleph_{n+1}, \aleph_1, \aleph_0, \aleph_0, \aleph_0, \aleph_0$ here). 2) Similar.



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