

Uniformization and Skolem Functions in the Class of Trees.

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ABSTRACT

The monadic second-order theory of trees allows quantification over elements and over arbitrary subsets. We classify the class of trees with respect to the question: does a tree T have definable Skolem functions (by a monadic formula with parameters)? This continues [LiSh539] where the question was asked only with respect to choice functions. Here we define a subclass of the class of tame trees (trees with a definable choice function) and prove that this is exactly the class (actually set) of trees with definable Skolem functions.

1. Introduction: The Uniformization Problem

Definition 1. The monadic second-order logic is the fragment of the full second-order logic that allows quantification over elements and over monadic (unary) predicates only. The monadic version of a first-order language L can be described as the augmentation of L by a list of quantifiable set variables and by new atomic formulas $t \in X$ where t is a first order term and X is a set variable. The monadic theory of a structure \mathcal{M} is the theory of \mathcal{M} in the extended language where the set variables range over all subsets of $|\mathcal{M}|$ and \in is the membership relation.

Definition 2. The *monadic language of order L* is the monadic version of the language of order $\{<\}$. For simplicity, we add to L the predicate $\text{sing}(X)$ saying “ X is a singleton” and use only formulas with set variables. Thus the meaning of $X < Y$ is: $X = \{x\} \ \& \ Y = \{y\} \ \& \ x < y$.

Definition 3. Let T be a tree and $\bar{P} \subseteq T$.

(1) φ is an (n, l) -formula if $\varphi = \varphi(X, Y, \bar{P})$ with $\text{dp}(\varphi) = n$ and $l(\bar{P}) = l$.

(2) $\varphi = \varphi(X, Y, \bar{P})$ is *potentially uniformizable in T* (p.u) if $T \models (\forall Y)(\exists X)\varphi(X, Y, \bar{P})$.

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2. Tame Trees

Definition 2.1. A tree is a partially ordered set (T, \triangleleft) such that for every $\eta \in T$, $\{\nu : \nu \triangleleft \eta\}$ is linearly ordered by \triangleleft .

Note, a chain $(C, <^*)$ and even a set without structure I is a tree.

Branch, Sub-branch, Initial segment.

Definition 2.2. (1) $(C, <^*)$ is a scattered chain iff ...

(2) For a scattered chain $(C, <^*)$ $\text{Hdeg}(C)$ is defined inductively by:

$\text{Hdeg}(C)=0$ iff ...

$\text{Hdeg}(C)=\alpha$ iff ...

$\text{Hdeg}(C)\geq \delta$ iff ...

Theorem 2.3. $\text{Hdeg}(C)$ exists for every scattered chain C .

Lemma 2.4. $\text{Hdeg}(C) < \omega$ then C has a definable well ordering.

Proof. See A1 in the appendix

♡

Definition 2.5. \sim_A^0, \sim_A^1 . (from [LiSh539] 4.1)

Definition 2.6. (1) A tree T is called *wild* if either

(i) $\sup\{|top(A)/\sim_A^1| : A \subseteq T \text{ an initial segment}\} \geq \aleph_0$ or

(ii) There is a branch $B \subseteq T$ and an embedding $f: \mathcal{Q} \rightarrow B$ or

(iii) All the branches of T are scattered linear orders but $\sup\{\text{Hdeg}(B) : B \text{ a branch of } T\} \geq \omega$.

(iv) There is an embedding $f: \omega^{>2} \rightarrow T$

(2) A tree T is *tame* for (n^*, k^*) if the value in (i) is $\leq n^*$, (ii) does not hold and the value in (iii) is $\leq k^*$

(3) A tree T is *tame* if T is tame for (n^*, k^*) for some $n^*, k^* < \omega$.

The following is the content of [LiSh539], (2) \Rightarrow (3) is given in theorem A2 in the appendix.

Theorem 2.7. *The following are equivalent:*

1. T has a definable choice function.

2. T has a definable well ordering.

3. T is tame.

♡

3. Composition Theorems

Notations. x, y, z denote individual variables, X, Y, Z are set variables, a, b, c elements and A, B, C sets. \bar{a}, \bar{A} are finite sequences and $\text{lg}(\bar{a}), \text{lg}(\bar{A})$ their length. We write e.g. $\bar{a} \in C$ and $\bar{A} \subseteq C$ instead of $\bar{a} \in {}^{\text{lg}(\bar{a})}C$ or $\bar{A} \in {}^{\text{lg}(\bar{A})}\mathcal{P}(C)$

Definition 3.1. For any chain $C, \bar{A} \in {}^{\text{lg}(\bar{A})}\mathcal{P}(C)$, and a natural number n , define by induction

$$t = \text{Th}^n(C; \bar{A})$$

for $n = 0$:

$$t = \{\phi(\bar{X}) : \phi(\bar{X}) \in L, \phi(\bar{X}) \text{ quantifier free, } C \models \phi(\bar{A})\}.$$

for $n = m + 1$:

$$t = \{\text{Th}^m(C; \bar{A} \wedge B) : B \in \mathcal{P}(C)\}.$$

We may regard $\text{Th}^n(C; \bar{A})$ as the set of $\varphi(\bar{X})$ that are boolean combinations of monadic formulas of quantifier depth $\leq n$ such that $C \models \varphi(\bar{A})$.

Definition 3.2. $\mathcal{T}_{n,l}$ is the set of all formally possible $\text{Th}^n(C; \bar{P})$ where C is a chain and $\text{lg}(\bar{P}) = l$. $T_{n,l}$ is $|\mathcal{T}_{n,l}|$.

Fact 3.3. (A) For every formula $\psi(\bar{X}) \in L$ there is an n such that from $\text{Th}^n(C; \bar{A})$ we can effectively decide whether $C \models \psi(\bar{X})$. If n is minimal with this property we will write $\underline{\text{dp}}(\psi) = n$.

(B) If $m \geq n$ then $\text{Th}^m(C; \bar{A})$ can be effectively computed from $\text{Th}^n(C; \bar{A})$.

(C) For every $t \in \mathcal{T}_{n,l}$ there is a monadic formula $\psi_t(\bar{X})$ with $\text{dp}(\psi) = n$ such that for every $\bar{A} \in {}^l\mathcal{P}(C)$, $C \models \psi_t(\bar{A}) \iff \text{Th}^n(C; \bar{A}) = t$.

(D) Each $\text{Th}^n(C; \bar{A})$ is hereditarily finite, and we can effectively compute the set $T_{n,l}$ of formally possible $\text{Th}^n(C; \bar{A})$.

Proof. Easy. ♡

Definition 3.4. If C, D are chains then $C + D$ is any chain that can be split into an initial segment isomorphic to C and a final segment isomorphic to D .

If $\langle C_i : i < \alpha \rangle$ is a sequence of chains then $\sum_{i < \alpha} C_i$ is any chain D that is the concatenation of segments D_i , such that each D_i is isomorphic to C_i .

Theorem 3.5 (composition theorem for linear orders).

(1) If $\text{lg}(\bar{A}) = \text{lg}(\bar{B}) = \text{lg}(\bar{A}') = \text{lg}(\bar{B}') = l$, and

$$\text{Th}^m(C; \bar{A}) = \text{Th}^m(C'; \bar{A}') \quad \text{and} \quad \text{Th}^m(D; \bar{B}) = \text{Th}^m(D'; \bar{B}')$$

then

$$\text{Th}^m(C + D; A_0 \cup B_0, \dots, A_{l-1} \cup B_{l-1}) = \text{Th}^m(C' + D'; A'_0 \cup B'_0, \dots, A'_{l-1} \cup B'_{l-1}).$$

(2) If for $i < \alpha$, $\text{Th}^m(C_i; \bar{A}_i) = \text{Th}^m(D_i; \bar{B}_i)$ where $\bar{A}_i = \langle A_0^i, \dots, A_{l-1}^i \rangle$, $\bar{B}_i = \langle B_0^i, \dots, B_{l-1}^i \rangle$

then

$$\text{Th}^m\left(\sum_{i < \alpha} C_i; \cup_{i < \alpha} A_0^i, \dots, \cup_{i < \alpha} A_{l-1}^i\right) = \text{Th}^m\left(\sum_{i < \alpha} D_i; \cup_{i < \alpha} B_0^i, \dots, \cup_{i < \alpha} B_{l-1}^i\right)$$

Proof. By [Sh] Theorem 2.4 (where a more general theorem is proved), or directly by induction on m . ♡

Definition 3.6. (1) $t_1 + t_2 = t_3$ means:

for some $m, l < \omega$, $t_1, t_2, t_3 \in \mathcal{T}_{m,l}$ and if

$$t_1 = \text{Th}^m(C; A_0, \dots, A_{l-1}) \quad \text{and} \quad t_2 = \text{Th}^m(D; B_0, \dots, B_{l-1})$$

then

$$t_3 = \text{Th}^m(C + D; A_0 \cup B_0, \dots, A_{l-1} \cup B_{l-1}).$$

By the previous theorem, the choice of C and D is immaterial.

(2) $\sum_{i < \alpha} \text{Th}^m(C_i; \bar{A}_i)$ is $\text{Th}^m(\sum_{i < \alpha} C_i; \cup_{i < \alpha} A_0^i, \dots, \cup_{i < \alpha} A_{l-1}^i)$.

Notation 3.7.

- (1) $\text{Th}^n(C; \bar{P}, \bar{Q})$ is $\text{Th}^n(C; \bar{P} \wedge \bar{Q})$.
- (2) If D is a subchain of C and X_1, \dots, X_{l-1} are subsets of C then $\text{Th}^m(D; X_0, \dots, X_{l-1})$ abbreviates $\text{Th}^m(D; X_0 \cap D, \dots, X_{l-1} \cap D)$.
- (3) For C a chain, $a < b \in C$ and $\bar{P} \subseteq C$ we denote by $\text{Th}^n(C; \bar{P}) \upharpoonright_{[a,b]}$ the theory $\text{Th}^n([a, b]; \bar{P} \cap [a, b])$.
- (4) We will use abbreviations as $\bar{P} \cup \bar{Q}$ for $\langle P_0 \cup Q_0, \dots \rangle$ and $\cup_i \bar{P}_i$ for $\langle \cup_i P_0^i, \dots \rangle$ (of course we assume that all the involved sequences have the same length).
- (5) We shall not always distinguish between $\text{Th}^n(C; \bar{P}, \emptyset)$ and $\text{Th}^n(C; \bar{P})$.

Theorem 3.8. *For every $n, l < \omega$ there is $m = m(n, l) < \omega$, effectively computable from n and l , such that whenever I is a chain, for $i \in I$ C_i is a chain, $\bar{Q}_i \subseteq C_i$ and $\text{lg}(\bar{Q}_i) = l$, if $(C; \bar{Q}) = \sum_{i \in I} (C_i; \bar{Q}_i) := (\sum_{i \in I} C_i; \cup_{i \in I} \bar{Q}_i)$ and if for $t \in \mathcal{T}_{n,l}$ $P_t := \{i \in I : \text{Th}^n(C_i; \bar{Q}_i) = t\}$ and $\bar{P} := \langle P_t : t \in \mathcal{T}_{n,l} \rangle$ then from $\text{Th}^m(I; \bar{P})$ we can effectively compute $\text{Th}^n(C; \bar{Q})$*

Proof. By [Sh] Theorem 2.4. ♡

Definition 3.9.

- (1) Let T_0, T_1 be disjoint trees with $\eta_0 = \text{root}(T_0)$. Define a tree T to be the ordered sum of T_0 and T_1 by:
 $T = T_0 \oplus T_1$ iff $T = T_0 \cup T_1$ where the partial order on T , \triangleleft_T , is induced by the partial orders of T_0 and T_1 and the (only) additional rule:

$$\sigma \in T_1 \Rightarrow \eta_0 \triangleleft \sigma.$$

- (2) If T_0 doesn't have a root then \triangleleft_T is the disjoint union $\triangleleft_{T_0} \cup \triangleleft_{T_1}$ (So $[\tau \in T_0 \ \& \ \sigma \in T_1] \Rightarrow \tau \perp \sigma$).
- (3) When I is a chain and T_i are pairwise disjoint trees for $i \in I$ we define $T = \bigoplus_{i \in I} T_i$ by $T = \cup_{i \in I} T_i$ with similar rules on $\triangleleft = \triangleleft_T$ namely

$$\sigma, \tau \in T_i \Rightarrow [\sigma \triangleleft \tau \iff \sigma \triangleleft_{T_i} \tau]$$

$$[\sigma = \text{root}(T_i), i <_I j, \tau \in T_j] \Rightarrow \sigma \triangleleft \tau$$

$$[\sigma \in T_i, \sigma \neq \text{root}(T_i), i \neq j, \tau \in T_j] \Rightarrow \sigma \perp \tau$$

Theorem 3.10 (composition theorem along a complete branch).

For every $n < \omega$ there is an $m = m(n) < \omega$, effectively computable from n , such that if I is a chain and T_i are trees for $i \in I$ then $\langle \text{Th}^m(T_i) : i \in I \rangle$ and $\text{Th}^m(\langle \eta_i : i \in I \rangle)$ (which is a theory of a chain) determine $\text{Th}^n(\bigoplus_{i \in I} T_i)$.

Proof. See theorem 3.14. ♡

Given a tree T , we would like to represent it as a sum of subtrees, ordered by a branch $B \subseteq T$. Sometimes however we may have to use a chain \mathcal{B} that embeds B .

Definition 3.11. Let T be a tree, $B \subseteq T$ a branch, $\nu \in T$, $\eta \in B$ and $X \subseteq B$ be an initial segment without a last element.

(a) ν cuts B at η if $\eta \triangleleft \nu$ and for every $\tau \in B$, if $\neg \tau \triangleleft \eta$ then $\neg \tau \triangleleft \nu$, (In particular, η cuts B at η). ν cuts B at $\{\eta\}$ has the same meaning.

(b) ν cuts B at X if $\eta \triangleleft \nu$ for every $\eta \in X$ and $\neg \tau \triangleleft \nu$ for every $\tau \in B \setminus X$.

(c) $\mathcal{B}^+ \subseteq \mathcal{P}(B)$ is defined by $X \in \mathcal{B}^+$ iff $[X = \{\eta\}$ for some $\eta \in B]$ or $[X \subseteq B$ is an initial segment without a last element and there is $\nu \in T \setminus B$ that cuts B at $X]$.

(d) Define a linear order $\leq = \leq_{\mathcal{B}^+}$ on \mathcal{B}^+ by $X_0 \leq X_1$ iff $[X_0 = \{\eta_0\}, X_1 = \{\eta_1\}$ and $\eta_0 \triangleleft \eta_1]$ or $[X_0 \subseteq X_1]$.

Note that the statements $X \in \mathcal{B}^+$ and $X_0 \leq_{\mathcal{B}^+} X_1$ are expressible by monadic formulas $\psi_{\in}(X, B)$ and $\psi_{\leq}(X_0, X_1, B)$.

(e) For $X \in \mathcal{B}^+$ define $T_X := \{\nu \in T : \nu \text{ cuts } B \text{ at } X\}$.

Now \mathcal{B}^+ has the disadvantage of not being a subset of T and (at the small cost of adding a new parameter) we shall replace the chain $(\mathcal{B}^+, <_{\mathcal{B}^+})$ by a chain $(\mathcal{B}, <_{\mathcal{B}})$ where $\mathcal{B} \subseteq T$.

Definition 3.12. $\mathcal{B} \subseteq T$ is obtained by replacing every $X \in \mathcal{B}^+$ by an element $\eta_x \in T$ in the following way: if $X = \{\eta\}$ then $\eta_x = \eta$ and if $X \subseteq B$ is an initial segment then η_x is a favourite element from T_X . $\leq_{\mathcal{B}}$ is defined by $\eta_{x_1} \leq_{\mathcal{B}} \eta_{x_2} \iff X_1 \leq_{\mathcal{B}^+} X_2$ and $B^c \subseteq T$ will be $\mathcal{B} \setminus \{\eta_x : X = \{\nu\}, \nu \in B\}$, (so $(\mathcal{B} \setminus B^c, \leq_{\mathcal{B}}) \cong (B, \triangleleft)$). For $\eta \in B$ let T_{η} be $T_{\{\eta\}}$ as defined in (e) above, and for $\eta = \eta_x \in B^c$ let $T_{\eta} = T_X$ as above (in this case T_{η} is $\{\nu \in T : \nu \sim_B^0 \eta\}$ as in definition 2.5).

Fact 3.13. $\leq_{\mathcal{B}}$ is definable from B and B^c , T_{η} is definable from η, B and B^c and $T = \bigoplus_{\eta \in \mathcal{B}} T_{\eta}$ in accordance with definition 3.9.

♡

Theorem 3.14 (Composition theorems for trees).

Assume T is a tree, $B \subseteq T$ a branch and $\bar{Q} \subseteq T$ with $\text{lg}(\bar{Q}) = l$. Let \mathcal{B} and B^c be defined as above, for $\eta \in \mathcal{B}$ T_{η} is defined as above (so $T = \bigoplus_{\eta \in \mathcal{B}} T_{\eta}$) and S_{η} is $T_{\eta} \setminus B$ (so, abusing notations, $T = B \cup \bigoplus_{\eta \in \mathcal{B}} S_{\eta}$). Then:

1) Composition theorem on a branch: for every $n < \omega$ there is $k = k(n, l) < \omega$, effectively computable from n and l , such that $\text{Th}^k(\mathcal{B}; B, B^c, \bar{P})$ determines $\text{Th}^n(T; \bar{Q})$

where for $t \in \mathcal{T}_{n,l}$, $P_t := \{\eta \in \mathcal{B} : \text{Th}^n(T_{\eta}; \bar{Q} \cap T_{\eta}) = t\}$ and $\bar{P} := \langle P_t : t \in \mathcal{T}_{n,l} \rangle$.

2) Composition theorem along a branch: for every $n < \omega$ there is $k = k(n, l) < \omega$, effectively computable from n and l , such that

$\text{Th}^k(B; \bar{Q})$ and $\langle \text{Th}^k(S_{\eta}; B, B^c, \bar{Q}) : \eta \in \mathcal{B} \rangle$ determine $\text{Th}^n(T; \bar{Q})$.

Proof. By Theorem 1 in [GuSh] §2.4.

♡

Definition 3.15. Additive colouring....

Theorem 3.16 (Ramsey theorem for additive colourings). ...

Proof. By [Sh] Theorem 1.1.

♡

4. Well Orderings of Ordinals

A chain is *tame* iff it is scattered of Hausdorff degree $< \omega$. We will define for a tame chain C , $\text{Log}(C)$ and show later (in proposition 4.8) that this function is well defined.

Definition 4.1. Let $\text{Log}:\{\text{tame chains}\} \rightarrow \omega \cup \{\infty\}$ be defined by:

$\text{Log}(C) = \infty$ iff there is $\varphi(x, y, \bar{P})$ that defines a well ordering on the elements of C of order type $\geq \omega^\omega$,

$\text{Log}(C) = k$ iff there is $\varphi(x, y, \bar{P})$ that defines a well ordering on the elements of C of order type α with $\omega^k \leq \alpha < \omega^{k+1}$.

Fact 4.2. A tame chain C has a reconstructible well ordering i.e. there is a formula $\varphi(x, y, \bar{P})$ ($\bar{P} \subseteq C$) that defines a well ordering on the elements of C of order type α and there is a formula $\psi(x, y, \bar{Q})$ ($\bar{Q} \subseteq \alpha$) that defines a linear order $<^*$ on the elements of α such that $(\alpha, <^*) \cong (C, <)$.

Proof. By induction on $\text{Hdeg}(\alpha)$, using the proof of Theorem A1 in the appendix. ♡

Definition 4.3. Let α, β be ordinals. $\alpha \rightarrow \beta$ means the following: “there is $\varphi(x, y, \bar{P})$ that defines a well ordering on the elements of α of order type β ”.

Claim 4.4.

1) $\alpha \rightarrow \beta$ & $\beta \rightarrow \gamma \Rightarrow \alpha \rightarrow \gamma$.

2) $\alpha \rightarrow \gamma$ & $\gamma \geq \alpha \cdot \omega \Rightarrow \alpha \rightarrow \alpha \cdot \omega$.

Proof. Straightforward. ♡

Notation. Suppose $\alpha \rightarrow \beta$ holds by $\varphi(x, y, \bar{P})$. Define a bijection $f: \alpha \rightarrow \beta$ by $f(i) = j$ iff i is the j 'th element in the well order defined by φ .

Lemma 4.5. For any ordinal α , $\alpha \not\rightarrow \alpha \cdot \omega$.

Proof. Assume that α is minimal such that $\alpha \rightarrow \alpha \cdot \omega$. It follows that:

(i) $\alpha \geq \omega$,

(ii) α is a limit ordinal (by $\alpha \rightarrow \alpha + 1$ and 2.7),

(iii) for $\beta < \alpha$, $\{f(i) : i < \beta\}$ does not contain a final segment of $\alpha \cdot \omega$ (otherwise clearly $\beta \rightarrow \alpha \cdot \omega$ hence by 2.7 $\beta \rightarrow \beta \cdot \omega$ but α is minimal).

So let $\varphi(x, y, \bar{P})$ define a well order of α of order type $\alpha \cdot \omega$ and let $Q \subseteq \alpha$ be the following subset: $x \in Q$ iff for some $k < \omega$, $\alpha \cdot 2k \leq f(x) < \alpha \cdot (2k + 1)$. Let E an equivalence relation on α defined by xEy iff for some $l < \omega$, $f(x)$ and $f(y)$ belong to the segment $[\alpha \cdot l, \alpha \cdot (l + 1))$. Clearly there is a monadic formula $\psi(x, y, \bar{P}, Q)$ that defines E moreover, some monadic formula $\theta(X, \bar{P}, Q)$ expresses the statement “ $\bigvee_{i < \omega} (X = Q_i)$ ” where $\langle Q_i : i < \omega \rangle$ are the E -equivalence classes.

Let $n := \max\{\text{dp}(\varphi), \text{dp}(\psi), \text{dp}(\theta)\} + 5$, and

$m := |\{\text{Th}^n(C; \bar{X}, Y, Z) : C \text{ a chain, } \bar{X}, Y, Z \subseteq C, \text{lg}(\bar{X}) = \text{lg}(\bar{P})\}|$.

let $\delta = \text{cf}(\alpha)$ and $\{x_i\}_{i < \delta}$ be strictly increasing and cofinal in α . By [Sh]Theorem 1.1 applied to the colouring $h(i, j) = \text{Th}^n(\alpha; \bar{P}, Q, x_i, x_j)$ we get a cofinal subsequence $\{\beta_j\}_{j < \delta}$ such that $\text{Th}^n(\alpha; \bar{P}, Q, \beta_{j_1}, \beta_{j_2})$ is constant for $j_1 < j_2 < \delta$. Note that it follows

(†) the theories $\text{Th}^n(\alpha; \bar{P}, Q) \upharpoonright_{[0, \beta_j)}$, $\text{Th}^n(\alpha; \bar{P}, Q) \upharpoonright_{[\beta_j, \alpha)}$, and $\text{Th}^n(\alpha; \bar{P}, Q, \beta_{j_1}) \upharpoonright_{[\beta_{j_1}, \beta_{j_2})}$ are constant for every $j < \delta$ and for every $j_1 < j_2 < \delta$.

Note that each E -equivalence class Q_i is unbounded in α since if some $\beta < \alpha$ contains some E -equivalence class Q_i it would easily follow that $\beta \rightarrow \alpha$ contradicting fact (iii).

Fix some $1 < j < \delta$ let $x < \beta_j$ and let $Q_{i(x)}$ be the E -equivalence class containing x . Since $Q_{i(x)}$ is unbounded in α there is some $j < l < \delta$ such that $[\beta_j, \beta_l) \cap Q_{i(x)} \neq \emptyset$. This statement is expressible by $\text{Th}^n(\alpha; \bar{P}, Q, x, \beta_j, \beta_l)$ which is equal to

$$\text{Th}^n(\alpha; \bar{P}, Q, x, \beta_j, \beta_l) \upharpoonright_{[0, \beta_j)} + \text{Th}^n(\alpha; \bar{P}, Q, x, \beta_j, \beta_l) \upharpoonright_{[\beta_j, \beta_l)} + \text{Th}^n(\alpha; \bar{P}, Q, x, \beta_j, \beta_l) \upharpoonright_{[\beta_l, \alpha)} = \\ \text{Th}^n(\alpha; \bar{P}, Q, x, \emptyset, \emptyset) \upharpoonright_{[0, \beta_j)} + \text{Th}^n(\alpha; \bar{P}, Q, \emptyset, \beta_j, \emptyset) \upharpoonright_{[\beta_j, \beta_l)} + \text{Th}^n(\alpha; \bar{P}, Q, \emptyset, \emptyset, \beta_l) \upharpoonright_{[\beta_l, \alpha)}.$$

By (†) we may replace the second theory by $\text{Th}^n(\alpha; \bar{P}, Q, \emptyset, \beta_j, \emptyset) \upharpoonright_{[\beta_j, \beta_{j+1})}$ and the third theory by $\text{Th}^n(\alpha; \bar{P}, Q, \emptyset, \emptyset, \beta_{j+1}) \upharpoonright_{[\beta_{j+1}, \alpha)}$, and conclude:

$$\text{Th}^n(\alpha; \bar{P}, Q, x, \beta_j, \beta_l) = \text{Th}^n(\alpha; \bar{P}, Q, x, \beta_j, \beta_{j+1})$$

Therefore for every $x < \beta_j$, $[\beta_j, \beta_{j+1}) \cap Q_{i(x)} \neq \emptyset$.

Finally, let $j < \delta$ be such that the segment $[0, \beta_j)$ intersects $m + 1$ different E -equivalence classes, say Q_{i_0}, \dots, Q_{i_m} . By the previous argument we have $[\beta_j, \beta_{j+1}) \cap Q_{i_l} \neq \emptyset$ for every $l \leq m$. By the choice of m there are different $a, b \in \{i_0, \dots, i_m\}$ such that

$$(*) \quad \text{Th}^n(\alpha; \bar{P}, Q, Q_a) \upharpoonright_{[\beta_j, \beta_{j+1})} = \text{Th}^n(\alpha; \bar{P}, Q, Q_b) \upharpoonright_{[\beta_j, \beta_{j+1})}.$$

Let $R \subseteq \alpha$ be $([0, \beta_j) \cap Q_a) \cup (([\beta_j, \beta_{j+1}) \cap Q_b) \cup ([\beta_{j+1}, \alpha) \cap Q_a)$.

Now $\text{Th}^n(\alpha, \bar{P}, Q, R) =$

$$\text{Th}^n(\alpha, \bar{P}, Q, R) \upharpoonright_{[0, \beta_j)} + \text{Th}^n(\alpha, \bar{P}, Q, R) \upharpoonright_{[\beta_j, \beta_{j+1})} + \text{Th}^n(\alpha, \bar{P}, Q, R) \upharpoonright_{[\beta_{j+1}, \alpha)} = \\ \text{Th}^n(\alpha, \bar{P}, Q, Q_a) \upharpoonright_{[0, \beta_j)} + \text{Th}^n(\alpha, \bar{P}, Q, Q_b) \upharpoonright_{[\beta_j, \beta_{j+1})} + \text{Th}^n(\alpha, \bar{P}, Q, Q_a) \upharpoonright_{[\beta_{j+1}, \alpha)} = (\text{by } (*)) \\ \text{Th}^n(\alpha, \bar{P}, Q, Q_a) \upharpoonright_{[0, \beta_j)} + \text{Th}^n(\alpha, \bar{P}, Q, Q_a) \upharpoonright_{[\beta_j, \beta_{j+1})} + \text{Th}^n(\alpha, \bar{P}, Q, Q_a) \upharpoonright_{[\beta_{j+1}, \alpha)} = \\ \text{Th}^n(\alpha, \bar{P}, Q, Q_a).$$

But Q_a is an E -equivalence class while R is not. Since $\text{Th}^n(\alpha, \bar{P}, Q, Z)$ computes the statement “ Z is E -equivalence class” we get a contradiction from $\text{Th}^n(\alpha, \bar{P}, Q, R) = \text{Th}^n(\alpha, \bar{P}, Q, Q_a)$.

♡

Claim 4.6. *If $\alpha \rightarrow \beta$ and $\beta < \alpha$ then $(\exists \gamma_1, \gamma_2)((\gamma_1 + \gamma_2 = \alpha) \& (\gamma_2 + \gamma_1 = \beta))$.*

Proof. Let’s prove first:

Subclaim: $\omega + \omega \not\rightarrow \omega$.

Proof of the subclaim: Assume that $\varphi(x, y, \bar{P})$ well orders $\omega + \omega$ of order type ω and that $\text{dp}(\varphi) = n$, $l(\bar{P}) = l$. Let $x <^* y$ mean $(\omega + \omega, <) \models \varphi(x, y, \bar{P})$.

→[Insert Ramsey theorems]

Let $\{x_i\}_{i < \omega}$ be increasing and unbounded in $[0, \omega)$ satisfying, for $i < j < \omega$ and for some $s_0 \in \mathcal{T}_{n, l+2}$ and $t_0 \in \mathcal{T}_{n, l+2}$

$$\text{Th}^n(\omega + \omega; x_i, \emptyset, \bar{P}) \upharpoonright_{[x_i, x_j)} = s_0, \quad \text{Th}^n(\omega + \omega; \emptyset, \emptyset, \bar{P}) \upharpoonright_{[x_i, x_j)} = t_0,$$

let $\{y_j\}_{j < \omega}$ increasing and unbounded in $[\omega, \omega + \omega)$ satisfying, for $j < k < \omega$ and for some $s_1 \in \mathcal{T}_{n, l+2}$ and $t_1 \in \mathcal{T}_{n, l+2}$

$$\text{Th}^n(\omega + \omega; \emptyset, y_j, \bar{P}) \upharpoonright_{[y_j, y_k)} = s_1, \quad \text{Th}^n(\omega + \omega; \emptyset, \emptyset, \bar{P}) \upharpoonright_{[y_j, y_k)} = t_1.$$

Using Ramsey Theorem (and as $<^*$ is well founded) we may assume that $i_1 < i_2 \Rightarrow x_{i_1} <^* x_{i_2}$ and $j_1 < j_2 \Rightarrow y_{j_1} <^* y_{j_2}$.

We will show now that for $0 < i < \omega$ and $0 < j < \omega$, $\text{Th}^n(\omega + \omega; x_i, y_j, \bar{P})$ is constant. Indeed,

$t^* := \text{Th}^n(\omega + \omega; x_i, y_j, \bar{P}) =$

$\text{Th}^n(\omega + \omega; \emptyset, \emptyset, \bar{P}) \upharpoonright_{[0, x_0]} + \text{Th}^n(\omega + \omega; \emptyset, \emptyset, \bar{P}) \upharpoonright_{[x_0, x_i]} +$

$\text{Th}^n(\omega + \omega; x_i, \emptyset, \bar{P}) \upharpoonright_{[x_i, x_{i+1}]} + \text{Th}^n(\omega + \omega; \emptyset, \emptyset, \bar{P}) \upharpoonright_{[x_{i+1}, \omega]} + \text{Th}^n(\omega + \omega; \emptyset, \emptyset, \bar{P}) \upharpoonright_{[\omega, y_0]} +$

$\text{Th}^n(\omega + \omega; x_i, \emptyset, \bar{P}) \upharpoonright_{[y_i, y_j]} + \text{Th}^n(\omega + \omega; \emptyset, y_j, \bar{P}) \upharpoonright_{[y_j, y_{j+1}]} + \text{Th}^n(\omega + \omega; \emptyset, \emptyset, \bar{P}) \upharpoonright_{[y_{j+1}, \omega + \omega]} .$

Call the sum $t^* = r_1 + r_2 + \dots + r_8$. Now r_1 is constant, $r_2 = t_0 \cdot i = t_0$ (check that $t_0 + t_0 = t_0$), r_3 is s_0 , $r_4 = t_0 \cdot \omega$ hence is constant, r_5 is constant, $r_6 = t_1 \cdot j = t_1$, $r_7 = s_1$ and $r_8 = t_0 \cdot \omega$ hence is constant. Therefore t^* does not depend on i and j .

Now as $\{y_j\}_{j < \omega}$ is unbounded with respect to $<^*$, there is some $j < \omega$ such that $x_1 <^* y_j$. This is expressed by $\text{Th}^n(\omega + \omega; x_1, y_j, \bar{P})$ which we have just seen to be independent of i and j hence

$$(\forall 0 < i < \omega)(\forall 0 < j < \omega)[(\omega + \omega, <) \Rightarrow \varphi(x_i, y_j, \bar{P})]$$

it follows that $\text{otp}(\omega + \omega, <^*) \geq \omega + 1$, a contradiction. This proves $\omega + \omega \not\rightarrow \omega$.

Returning to the proof of the claim, let β be the minimal ordinal such that there exists some $\alpha > \beta$ with $\alpha \rightarrow \beta$ but there aren't any $\gamma_1, \gamma_2 \leq \alpha$ with $(\gamma_1 + \gamma_2 = \alpha) \& (\gamma_2 + \gamma_1 = \beta)$. Call such a β weird and let $\alpha > \beta$ the first ordinal witnessing the weirdness of β . By transitivity of \rightarrow it is easy to see that β is limit. Moreover, $\gamma < \beta \Rightarrow \beta \not\rightarrow \gamma$ hence if $\beta = \gamma_1 + \gamma_2$ then $\gamma_2 + \gamma_1 \geq \beta$. It follows that there are two possible cases: either (*) $\gamma < \beta \Rightarrow (\gamma + \gamma < \beta)$, hence $\gamma < \beta \Rightarrow (\gamma \cdot \omega \leq \beta)$ and $\gamma < \beta \Rightarrow (\text{otp}([\gamma, \beta]) = \beta)$, or (**) $\beta = \gamma + \gamma$.

First case: (*) holds i.e. $\gamma < \beta \Rightarrow (\gamma + \gamma < \beta)$. Let $\alpha = \beta + \gamma$ what can γ be? If $\gamma < \beta$ then by (*) $\gamma + \beta = \beta$ and α does not witness the weirdness of β , so $\alpha \geq \beta + \beta$.

Let $\varphi(x, y, \bar{P})$ well order α of order type β with $\text{dp}(\varphi) = n$ and $l(\bar{P}) = l$. As above $x <^* y$ means $(\alpha, <) \models \varphi(x, y, \bar{P})$ and finally let $\delta = \text{cf}(\beta)$.

Now $\text{otp}(\alpha, <^*) = \beta$ but what is $\text{otp}([0, \beta], <^* \upharpoonright_{[0, \beta]})$? Clearly, as $\text{Th}^n(\alpha, \bar{P}) = \text{Th}^n(\alpha, \bar{P}) \upharpoonright_{[0, \beta]} + \text{Th}^n(\alpha, \bar{P}) \upharpoonright_{[\beta, \alpha]}$ we have $\beta \rightarrow \text{otp}([0, \beta], <^* \upharpoonright_{[0, \beta]})$ hence $\beta = \text{otp}([0, \beta], <^* \upharpoonright_{[0, \beta]})$ (otherwise, by (*), $\text{otp}([0, \beta], <^* \upharpoonright_{[0, \beta]})$ is weird and $< \beta$). Similarly we can show that $\text{otp}([\beta, \beta + \beta], <^* \upharpoonright_{[\beta, \beta + \beta]}) = \beta$.

→[Insert Ramsey theorems]

Now proceed as before: choose $\{x_i\}_{i < \delta} \subseteq [0, \beta]$ and $\{y_j\}_{j < \delta} \subseteq [\beta, \beta + \beta]$ that are homogeneous unbounded and $<^*$ unbounded and use them to show that $\text{otp}(\alpha, <^*) \geq \beta + 1$.

Second case: (**) holds i.e. $\beta = \gamma + \gamma$.

Call ϵ quite weird if for some $k < \omega$ $\epsilon \cdot k$ is weird. Let $\epsilon \leq \gamma$ be the first quite weird ordinal. Let k_1 be the first such that $\epsilon \cdot k_1$ is weird. Look at γ : if $\gamma = \gamma_1 + \gamma_2$ and $\gamma_2 + \gamma_1 < \gamma$ we would have $\alpha \rightarrow \beta = \gamma + \gamma \rightarrow \gamma + \gamma_2 + \gamma_1 < \beta$ and a contradiction. Hence either $\gamma_1 < \gamma \Rightarrow (\gamma_1 + \gamma_1 < \gamma)$ and in this case $\gamma = \epsilon$ or $\gamma = \gamma_1 + \gamma_1$. Repeat the same argument to get $\gamma_1 = \epsilon$ or $\gamma_1 = \gamma_2 + \gamma_2$. After finitely many steps we are bound to get $\beta = \epsilon \cdot 2k$ where $2k = k_1$ and $\epsilon_1 < \epsilon \Rightarrow \epsilon_1 \cdot \omega \leq \epsilon$ and of course $\epsilon_1 < \epsilon \Rightarrow \epsilon \not\rightarrow \epsilon_1$.

Let $\varphi(x, y, \bar{P})$ and $<^*$ be as usual and $\delta := \text{cf}(\beta) = \text{cf}(\epsilon)$. Let $\alpha = \beta + \epsilon^*$ if $\epsilon^* < \epsilon$ then $\epsilon^* + \beta = \beta$ and α doesn't witness weirdness, therefore $\epsilon^* \geq \epsilon$.

Proceed as before: choose $\{x_i^0\}_{i < \delta}, \{x_i^1\}_{i < \delta}, \dots, \{x_i^k\}_{i < \delta}$ with $\{x_i^l\}_{i < \delta} \subseteq [\epsilon \cdot l, \epsilon(l+1))$, homogeneous, unbounded and $<^*$ increasing.

By the composition theorem it will follow that $\text{otp}([\epsilon \cdot l, \epsilon(l+1)], <^*) \geq \epsilon$ and by homogeneity we will have, for $0 < i, j < \omega$ and $l \leq k$, $x_i^l <^* x_j^{l+1}$. It follows that $\text{otp}(\alpha, <^*) \geq (\epsilon \cdot k) + 1 = \beta + 1$ and a contradiction.

♡

Theorem 4.7. *Well ordering of ordinals are obtained only by the following process:*

let $\langle P_0, P_1, \dots, P_{n-1} \rangle$ be a partition of α and

$$i <^* j \iff [(\exists k < n)(i \in P_k \& j \in P_k \& i < j)] \vee [i \in P_{k_1} \& j \in P_{k_2} \& k_1 < k_2].$$

♡

Proposition 4.8. *Log(C) is well defined.*

Proof. Let $(C, <^*)$ be a scattered chain and let $(\alpha, <)$ and $(\beta, <)$ be results of a definable well orderings of $(C, <^*)$ where in addition (by 4.2) there is $\psi(x, y, \bar{Q})$ that defines C in α . So $\alpha \rightarrow \beta$ and by 4.5 and 4.6 $\alpha < \omega^\omega \iff \beta < \omega^\omega$ and $\alpha \in [\omega^k, \omega^{k+1}) \iff \beta \in [\omega^k, \omega^{k+1})$.

♡

5. $(\omega^\omega, <)$ and longer chains

The following lemma is a part of Theorem 3.5(B) in [Sh]:

Lemma 5.1. *Let I be a well ordered chain of order type $\geq \omega^k$. Let $f: I^2 \rightarrow \{t_0, t_1, \dots, t_{l-1}\}$ be an additive colouring and assume that for $\alpha < \beta \in I$, $f(\alpha, \beta)$ depends only on the order type in I of the segment $[\alpha, \beta)$.*

Then there is $i < l$ such that for some $p \leq l$, for every $r \geq p$, if $\text{otp}([\alpha, \beta)) = \omega^r$ then $f(\alpha, \beta) = t_i$. Moreover, $t_i + t_i = t_i$.

Proof. To avoid triviality assume $k > l$. For $\alpha < \beta$ in I with $\text{otp}([\alpha, \beta)) = \delta$, denote $f(\alpha, \beta)$ by $t(\delta)$ (makes sense by the assumptions).

By the pigeon-hole principle there are $1 \leq p \leq l$, $s > p$ and some t_i with $t(\omega^p) = t(\omega^s) = t_i$. Now $\omega^{p+2} = \sum_{i < \omega} (\omega^{p+1} + \omega^p)$ and by the additivity of f :

$$\begin{aligned} t(\omega^{p+2}) &= t\left(\sum_{i < \omega} (\omega^{p+1} + \omega^p)\right) = \sum_{i < \omega} t(\omega^{p+1} + \omega^p) = \sum_{i < \omega} (t(\omega^{p+1}) + t(\omega^p)) = \sum_{i < \omega} (t(\omega^{p+1}) + t(\omega^s)) = \\ &= \sum_{i < \omega} t(\omega^{p+1} + \omega^s) = \sum_{i < \omega} t(\omega^s) = \sum_{i < \omega} t(\omega^p) = t\left(\sum_{i < \omega} \omega^p\right) = t(\omega^{p+1}). \end{aligned}$$

Hence

$$t(\omega^{p+2}) = t(\omega^{p+1}).$$

Using this and as $\omega^{p+3} = \sum_{i < \omega} (\omega^{p+2} + \omega^{p+1})$ we have

$$\begin{aligned} t(\omega^{p+3}) &= t\left(\sum_{i < \omega} (\omega^{p+2} + \omega^{p+1})\right) = \sum_{i < \omega} t(\omega^{p+2} + \omega^{p+1}) = \sum_{i < \omega} (t(\omega^{p+2}) + t(\omega^{p+1})) = \\ &= \sum_{i < \omega} (t(\omega^{p+1}) + t(\omega^{p+1})) = \sum_{i < \omega} t(\omega^{p+1}) = t\left(\sum_{i < \omega} \omega^{p+1}\right) = t(\omega^{p+2}). \end{aligned}$$

Hence

$$t(\omega^{p+3}) = t(\omega^{p+2}).$$

So for every $j > 0$, $t(\omega^{p+1}) = t(\omega^{p+j})$ and in particular $t(\omega^{p+1}) = t(\omega^s) = t(\omega^p) = t_i$.

This proves the first part of the lemma. As for the moreover clause, since $\omega^{p+1} = \omega^p + \omega^{p+1}$ we have

$$t_i = t(\omega^{p+1}) = t(\omega^p + \omega^{p+1}) = t(\omega^p) + t(\omega^{p+1}) = t_i + t_i.$$

♡

Proposition 5.2. *The formula $\varphi(X, Y)$ saying “if Y is without a last element then $X \subseteq Y$ is an ω -sequence unbounded in Y (and if not then $X = \emptyset$)” can not be uniformized in $(\omega^\omega, <)$.*

Moreover, if $\psi_m(X, Y, \bar{P}_m)$ uniformizes φ on ω^m then one of the sets $\{\text{dp}(\psi_m) : m < \omega\}$ or $\{\text{lg}(\bar{P}_m) : m < \omega\}$ is unbounded.

Proof. Suppose the second statement fails, then:

(†) there is a formula $\psi(X, Y, \bar{Z})$ such that for an unbounded set $I \subseteq \omega$, for every $m \in I$ there is $\bar{P}_m \subseteq \omega^m$ such that $\psi(X, Y, \bar{P}_m)$ uniformizes φ on ω^m .

Let $\bar{P}_m = \bar{P}$ let $n = \text{dp}(\psi) + 1$ and $M := |\{\text{Th}^n(C; X, Y, \bar{Z}) : C \text{ a chain, } X, Y, \bar{Z} \subseteq C, \text{lg}(\bar{Z}) = \text{lg}(\bar{P})\}|$.

Let $m \in I$ be large enough ($m > 2M + 3$ will do), and let's show that ψ doesn't work for ω^m and a subset Y_1 that will be defined now.

If $\alpha < \omega^m$ then $\alpha = \omega^{m-1}k_{m-1} + \omega^{m-2}k_{m-2} + \dots + \omega k_1 + k_0$. Let $k(\alpha) := \min\{i : k_i \neq 0\}$ and let $A_k := \{\alpha < \omega^m : k(\alpha) = k\}$. Note that $\text{otp}(A_k) = \omega^{m-k}$.

For $k \in \{1, 2, \dots, m-1\}$ we will choose $Y_k \subseteq A_k$ with $\text{otp}(Y_k) = \text{otp}(A_k) = \omega^{m-k}$ such that for $\alpha < \beta$ in Y_k :

$$(*) \quad \text{Th}^n(\omega^m; \bar{P}, Y_k) \upharpoonright_{[\alpha, \beta]} \text{ depends only on } \text{otp}([\alpha, \beta] \cap Y_k)$$

we will start with $k = m-1$ and proceed by inverse induction:

Let $A_{m-1} = \langle \alpha_j : j < \omega \rangle$. Let for $l < p < \omega$, $h(l, p) := \text{Th}^n(\omega^m; \bar{P}, \alpha_l) \upharpoonright_{[\alpha_l, \alpha_p]}$. Let $J \subseteq \omega$ be homogeneous with respect to this colouring namely, for some fixed theory t_{m-1} , for every $l < p$ in J ,

$$\text{Th}^n(\omega^m; \bar{P}, \alpha_l) \upharpoonright_{[\alpha_l, \alpha_p]} = t_{m-1}.$$

By the composition theorem, for every $l < p$ in J ,

$$\text{Th}^n(\omega^m; \bar{P}, Y_{m-1}) \upharpoonright_{[\alpha_l, \alpha_p]} = t_{m-1} \cdot |Y_{m-1} \cap [\alpha_l, \alpha_p]|$$

and this proves (*) for Y_{m-1} .

Rename Y_{m-1} by $\langle \alpha_i : i < \omega \rangle$. In each segment $[\alpha_i, \alpha_{i+1})$ choose $\langle \beta_l^i : 0 < l < \omega \rangle \subseteq A_{m-2}$ increasing and cofinal such that for every $l < p < \omega$ the theory $\text{Th}^n(\omega^m; \bar{P}, \beta_l^i) \upharpoonright_{[\beta_l^i, \beta_p^i]}$ is constant.

Returning to Y_{m-1} , for $i < j < \omega$ let

$$h_1(i, j) := \langle \text{Th}^n(\omega^m; \bar{P}) \upharpoonright_{[\alpha_i, \beta_1^{j-1}]}, \text{Th}^n(\omega^m; \bar{P}, \beta_1^{j-1}) \upharpoonright_{[\beta_1^{j-1}, \beta_2^{j-1}]} \rangle$$

w.l.o.g. (by thinning out and re-renaming and noting that we don't harm (*)) Y_{m-1} is homogeneous with respect to this colouring.

Hence, for some theories t^* and t_{m-2} , for every $i < j < \omega$ we have

$$h_1(i, j) = \langle t^*, t_{m-2} \rangle$$

Let $Y_{m-2} := \langle \beta_l^i : 0 < l < \omega, i < \omega \rangle$, clearly $\text{otp}(Y_{m-2}) = \omega^2$. Let's check (*) for Y_{m-2} :
Firstly, note that for $l < p < \omega$,

$$\text{Th}^n(\omega^m; \bar{P}, Y_{m-2}) \upharpoonright_{[\beta_l^i, \beta_p^i]} = t_{m-2} \cdot (p - l).$$

Secondly, for $i < j < \omega$ $\text{Th}^n(\omega^m; \bar{P}, Y_{m-2}) \upharpoonright_{[\beta_l^i, \beta_p^j]} =$

$$\begin{aligned} & \text{Th}^n(\omega^m; \bar{P}, Y_{m-2}) \upharpoonright_{[\beta_l^i, \alpha_{i+1}]} + \text{Th}^n(\omega^m; \bar{P}, Y_{m-2}) \upharpoonright_{[\alpha_{i+1}, \alpha_{i+2}]} + \dots \\ & + \text{Th}^n(\omega^m; \bar{P}, Y_{m-2}) \upharpoonright_{[\alpha_{j-1}, \alpha_j]} + \text{Th}^n(\omega^m; \bar{P}, Y_{m-2}) \upharpoonright_{[\alpha_j, \beta_p^j]} \end{aligned}$$

where the first theory is equal to $t_{m-2} \cdot \omega$, the last theory is $t^* + t_{m-2} \cdot (p - l)$, and the middle theories are $t^* + t_{m-2} \cdot \omega$. These observations prove (*) for Y_{m-2} .

For defining Y_{m-3} let's restrict ourselves to a segment $[\alpha_i, \alpha_{i+1})$ where $\alpha_i, \alpha_{i+1} \in Y_{m-1}$. In this segment we have defined $\langle \beta_l^i : 0 < l < \omega \rangle \subseteq Y_{m-2}$. Now choose in each $[\beta_l^i, \beta_{l+1}^i)$ an increasing cofinal sequence $\langle \gamma_j^{i,l} : 0 < j < \omega \rangle$ such that for $j < p < \omega$, $\text{Th}^n(\omega^m; \bar{P}, \gamma_j^{i,l}) \upharpoonright_{[\gamma_j^{i,l}, \gamma_p^{i,l}]}$ is constant. For $0 < l < p < \omega$ let

$$h_1^i(l, p) := \langle \text{Th}^n(\omega^m; \bar{P}) \upharpoonright_{[\beta_l^i, \gamma_1^{i,p-1}]}, \text{Th}^n(\omega^m; \bar{P}, \gamma_1^{i,p-1}) \upharpoonright_{[\gamma_1^{i,p-1}, \gamma_2^{i,p-1}]} \rangle$$

and again w.l.o.g we may assume that $\langle \beta_l^i : 0 < l < \omega \rangle$ is homogeneous with respect to h_1^i .
Next, for $i < j < \omega$ define

$$h_2(i, j) := \langle \text{Th}^n(\omega^m; \bar{P}) \upharpoonright_{[\alpha_i, \gamma_1^{j-1,1}]}, \text{Th}^n(\omega^m; \bar{P}, \gamma_1^{j-1,1}) \upharpoonright_{[\gamma_1^{j-1,1}, \gamma_2^{j-1,1}]} \rangle$$

by thinning out and renaming we may assume that Y_{m-1} is homogeneous with respect to h_2 , now Y_{m-2} is also thinned out but each new $\langle \beta_l^i : 0 < l < \omega \rangle$ which is some old $\langle \beta_l^{i^*} : 0 < l < \omega \rangle$ is still homogeneous.

As a result we will have, for some theories t^{**}, t^{***}, t_{m-3} :

$$(\forall i < j < \omega)(\forall 0 < l < p < \omega)[h_1^i(l, p) = \langle t^{**}, t_{m-3} \rangle \ \& \ h_2(i, j) = \langle t^{***}, t_{m-3} \rangle].$$

Let $Y_{m-3} := \{\gamma_j^{i,l} : i < \omega, 0 < l < \omega, 0 < j < \omega\}$, as before (*) holds by noting that if for example $i_1 < i_2 < \omega$ and $1 < l_2$ then

$$\begin{aligned} \text{Th}^n(\omega^m; \bar{P}, \gamma_{j_1}^{i_1, l_1}) \upharpoonright_{[\gamma_{j_1}^{i_1, l_1}, \gamma_{j_2}^{i_2, l_2}]} &= t_{m-3} \cdot \omega + (t^{**} + t_{m-3} \cdot \omega) \cdot \omega + [t^{***} + (t^{**} + t_{m-3} \cdot \omega) \cdot \omega] \cdot (i_2 - i_1 - 1) + \\ & t^{***} + t_{m-3} \cdot \omega + (t^{**} + t_{m-3} \cdot \omega)(l_2 - 1) + t^{**} + t_{m-3} \cdot (j_2 - 1) \end{aligned}$$

and similarly for the other possibilities.

$Y_{m-4}, Y_{m-5}, \dots, Y_1$ are defined by using the same prescription i.e. Y_{m-l} is defined by taking a homogenous sequence between two successive elements of Y_{m-l-1} then homogenous sequences between

two successive elements of Y_{m-l-2} by using colouring of the form h_1, h_2, \dots . The thinning out and w.l.o.g.'s for already defined Y_{m-k} 's are not necessary but they ease notations considerably.

We will show now that ψ doesn't choose an unbounded ω -sequence in Y_1 that is, for every ω -sequence $X \subseteq Y_1$ there is an ω -sequence $X' \subseteq Y_1$ such that $\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, X) = \text{Th}^n(\omega^m; \bar{P}, Y_1, X')$.

By (*), for $\alpha < \beta$ in Y_1 the additive colouring $f(\alpha, \beta) := \text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\alpha, \beta]}$ depends only on $\text{otp}([\alpha, \beta] \cap Y_1)$ hence we can apply lemma 5.1 and conclude that for some $p \leq m/2$, for every $r \geq p$, $\text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\alpha, \beta]}$ is equal to some fixed theory t whenever $\text{otp}([\alpha, \beta] \cap Y_1) = \omega^r$. (Remember that f has at most M possibilities and that $m > 2M$). Moreover, we know that $t + t = t$.

Assume now that for some $X \subseteq Y_1$, $\psi(X, Y_1, \bar{P})$ holds, so X is a cofinal ω -sequence. Let $X = \{\delta_i : i < \omega\}$. As $\text{otp}(Y_1) = \omega^{m-1}$ for unboundedly many i 's we have $\text{otp}([\delta_i, \delta_{i+1}] \cap Y_1) \geq \omega^{m-2} > \omega^p$.

Let $\beta_i := \text{otp}([\delta_i, \delta_{i+1}] \cap Y_1)$ and denote by $t(\epsilon)$ the theory $\text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\alpha, \beta]}$ when $\text{otp}([\alpha, \beta] \cap Y_1) = \epsilon$ (by (*) it doesn't matter which α and β we use).

We are interested in $\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, X)$ which is

$$\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \emptyset) \upharpoonright_{[0, \delta_0]} + \text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_0) \upharpoonright_{[\delta_0, \delta_1]} + \text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_1) \upharpoonright_{[\delta_1, \delta_2]} + \dots$$

As δ_i is the first element in $[\delta_i, \delta_{i+1}] \cap Y_1$, $\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_i) \upharpoonright_{[\delta_i, \delta_{i+1}]}$ is determined by

$\text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\delta_i, \delta_{i+1}]} = t(\beta_i)$ and abusing notations we will say

$$(**) \quad \text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, X) \simeq t(\delta_0) + \sum_{i < \omega} t(\beta_i).$$

Let $i < \omega$ be such that $\beta_i \geq \omega^{m-2}$ and let $j > i$ be the first with $\beta_j \geq \omega^{m-2}$.

First case: $i = j + 1$.

Let $\beta_i = \text{otp}([\delta_i, \delta_{i+1}] \cap Y_1) = \omega^{m-2} \cdot k_1 + \epsilon_1$ and $\beta_{i+1} = \text{otp}([\delta_{i+1}, \delta_{i+2}] \cap Y_1) = \omega^{m-2} \cdot k_2 + \epsilon_2$ where $k_1, k_2 \geq 1$ and $\epsilon_1, \epsilon_2 < \omega^{m-2}$.

Define $\gamma :=$ the $\omega^{m-2} \cdot k_1 + \omega^{m-3} + \epsilon_1$ 'th successor of δ_i in Y_1 . So $\delta_{i+1} < \gamma < \delta_{i+2}$ but $\text{otp}([\delta_{i+1}, \delta_{i+2}] \cap Y_1) = \beta_{i+1}$ hence

$$\text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\gamma, \delta_{i+2}]} = \text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\delta_{i+1}, \delta_{i+2}]} = t(\beta_{i+1})$$

hence

$$\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \gamma) \upharpoonright_{[\gamma, \delta_{i+2}]} = \text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_{i+1}) \upharpoonright_{[\delta_{i+1}, \delta_{i+2}]} \cdot$$

On the other hand,

$$\text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\delta_i, \gamma]} = t(\omega^{m-2} \cdot k_1) + t(\omega^{m-3}) + t(\epsilon_1)$$

but $m - 3 \geq p$ hence $t(\omega^{m-3}) = t(\omega^{m-2}) = t$ moreover $t + t = t$ and it follows that

$$t(\omega^{m-2} \cdot k_1) + t(\omega^{m-3}) = t(\omega^{m-2}) \cdot k_1 + t(\omega^{m-3}) = t(\omega^{m-2}) \cdot (k_1 + 1) = t(\omega^{m-2}) \cdot (k_1) = t(\omega^{m-2} \cdot k_1)$$

hence

$$\text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\delta_i, \gamma]} = t(\omega^{m-2} \cdot k_1) + t(\epsilon_1) = \text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\delta_i, \delta_{i+1}]} = t(\beta_{i+1})$$

hence

$$\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_i) \upharpoonright_{[\delta_i, \gamma]} = \text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_i) \upharpoonright_{[\delta_i, \delta_{i+1}]} \cdot$$

Now all other relevant theories are left unchanged therefore, letting $X' := X \setminus \{\delta_{i+1}\} \cup \{\gamma\}$ we get $X \neq X'$ but

$$\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, X) = \text{Th}^n(\omega^m; \bar{P}, Y_1, X')$$

General case: $j = i + l$.

Look at $\delta_{i+1}, \delta_{i+2}, \dots, \delta_{i+l-1}, \delta_{i+l} = \delta_j$. We'll define $\gamma_1, \gamma_2, \dots, \gamma_l$ with $\delta_{i+k} < \gamma_k < \delta_{i+k+1}$ for $0 < k < l$ and $\gamma_l = \delta_{i+l} = \delta_j$. This will be done by 'shifting' the δ_{i+k} 's by ω^{m-3} (remember that $\beta_{i+k} < \omega^{m-2}$ for $0 < k < l$).

Assume as before that $\beta_i = \text{otp}([\delta_i, \delta_{i+1}) \cap Y_1) = \omega^{m-2} \cdot k_1 + \epsilon_1$ where $k_1 \geq 1$ and $\epsilon_1 < \omega^{m-2}$.

Define $\gamma_1 :=$ the $\omega^{m-2} \cdot k_1 + \omega^{m-3} + \epsilon_1$ 'th successor of δ_i in Y_1 , $\gamma_2 :=$ the β_{i+1} 'th successor of γ_1 in Y_1 , $\gamma_3 :=$ the β_{i+2} 'th successor of γ_2 in Y_1 and so on, γ_l will clearly be equal to δ_j .

As before we have for $1 < k \leq l$, (by preserving the order types)

$$\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \gamma_k) \upharpoonright_{[\gamma_k, \gamma_{k+1})} = \text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_{i+k}) \upharpoonright_{[\delta_{i+k}, \delta_{i+k+1})}.$$

and (using $t + t = t$)

$$\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_i) \upharpoonright_{[\delta_i, \gamma_1)} = \text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_i) \upharpoonright_{[\delta_i, \delta_{i+1})}.$$

Letting $X' := X \setminus \{\delta_{i+1}, \delta_{i+2}, \dots, \delta_{j-1}\} \cup \{\gamma_1, \gamma_2, \dots, \gamma_{l-1}\}$ we get $X \neq X'$ but

$$\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, X) = \text{Th}^n(\omega^m; \bar{P}, Y_1, X')$$

Since $\text{dp}(\psi) = n - 1$, X is not the unique ω -sequence chosen by ψ from Y_1 . Therefore, ψ does not uniformize φ on ω^m , a contradiction.

[complete, using composition theorem, for ω^ω]

♡

Theorem 5.3. *If C has the uniformization property then $\text{Log}(C) < \omega$.*

♡

6. Very Tame Trees

Proposition 6.1. *If the ordinals α and β have the uniformization property then so do $\alpha + \beta$ and $\alpha\beta$.*

Proof. $\alpha + \beta$ is similar to $\alpha + \alpha = \alpha \cdot 2$ and we leave it to the reader. We shall prove that $\alpha \cdot \beta$ has the uniformization property.

Let $\varphi(X, Y, \bar{Q})$ be p.u in $\alpha\beta$ with $\text{dp}(\varphi) = n$ and $\text{lg}(\bar{Q}) = l$. Let $\langle t_0, \dots, t_{a-1} \rangle$ be an enumeration of the theories in $\mathcal{T}_{n, l+2}$. For $i < a$ and $X, Y \subseteq \alpha\beta$ define $P_i(X, Y, \bar{Q}) \subseteq K := \{\alpha\gamma : \gamma < \beta\}$ by

$$P_i(X, Y, \bar{Q}) := \{\alpha\gamma : \text{Th}^n(\alpha\beta; X, Y, \bar{Q}) \upharpoonright_{[\alpha\gamma, \alpha\gamma+\alpha)} = t_i\}$$

it follows that, for every $X, Y \subseteq \alpha\beta$, $\bar{P} = \bar{P}(X, Y, \bar{Q}) = \langle P_0(X, Y, \bar{Q}), \dots, P_{a-1}(X, Y, \bar{Q}) \rangle$ is a partition of K that is definable from X, Y, \bar{Q} and K .

$\alpha \cdot \beta = \sum_{\gamma < \beta} [\alpha\gamma, \alpha\gamma + \alpha]$ and by theorem 3.8 there is $m = m(n, l)$ such that $\text{Th}^n(K; \bar{P}(X, Y, \bar{Q}))$ determines $\text{Th}^n(\alpha\beta; X, Y, \bar{Q})$.

Let $\mathcal{R} = \{r_0, \dots, r_{c-1}\}$ be the set of theories that satisfy, for every $X, Y \subseteq \alpha\beta$:

$$\text{Th}^n(K; \bar{P}(X, Y, \bar{Q})) \in \mathcal{R} \Rightarrow \alpha\beta \models \varphi(X, Y, \bar{Q}).$$

Now let $\langle s_0, \dots, s_{b-1} \rangle$ be an enumeration of the theories in $\mathcal{T}_{n+1, l+1}$. For $i < b$ and $Y \subseteq \alpha\beta$ define $R_i^0(Y, \bar{Q}) \subseteq K$ by

$$R_i^0(Y, \bar{Q}) := \{ \alpha\gamma : \text{Th}^{n+1}(\alpha\beta; Y, \bar{Q}) \upharpoonright_{[\alpha\gamma, \alpha\gamma + \alpha]} = s_i \}$$

as before, for every $Y \subseteq \alpha\beta$, $\bar{R}^0 = \bar{R}^0(Y, \bar{Q}) = \langle R_0^0(Y, \bar{Q}), \dots, R_{b-1}^0(Y, \bar{Q}) \rangle$ is a partition of K that is definable from Y, \bar{Q} and K .

Now let $\bar{R}^1 = \langle R_0^1, \dots, R_{a-1}^1 \rangle$ be any partition of K . We will say that $\bar{R}^0(Y, \bar{Q})$ and \bar{R}^1 are coherent if

- (1) $\alpha\gamma \in (R_i^0 \cap R_j^1)$ implies that for every chain $C, B \subseteq C$ and $\bar{D} \subseteq C$ of length l :
if $\text{Th}^{n+1}(C; B, \bar{D}) = s_i$ then $(\exists A \subseteq C) [\text{Th}^n(C; A, B, \bar{D}) = t_j]$,
- (2) $\text{Th}^n(K; \bar{R}^1) \in \mathcal{R}$.

Since a, b and c are finite, there is a formula $\theta_1(\bar{U}, \bar{W})$ (with $\text{lg}(\bar{U}) = b$ and $\text{lg}(\bar{W}) = a$) such that for any $\bar{R}^0, \bar{R}^1 \subseteq K$,

$K \models \theta_1(\bar{R}^0, \bar{R}^1)$ iff \bar{R}^0 and \bar{R}^1 are coherent partitions of K .

Moreover, as $K \cong \beta$ and β has the uniformization property, there exists $\bar{S} \subseteq K$ and a formula $\theta_2(\bar{U}, \bar{W}, \bar{S})$ such that for every $\bar{R}^0 \subseteq K$

if $(\exists \bar{W}) \theta_1(\bar{R}^0, \bar{W})$ then $(\exists! \bar{W}) [\theta_2(\bar{R}^0, \bar{W}, \bar{S}) \ \& \ \theta_1(\bar{R}^0, \bar{W})]$. Let $\theta(\bar{U}, \bar{W}, \bar{S}) := \theta_1 \wedge \theta_2$.

Now let $Y \subseteq \alpha\beta$, let $\bar{R}^0 = \bar{R}^0(Y, \bar{Q})$ and suppose that \bar{R}^0 and some \bar{R}^1 are coherent partitions of K . When $\alpha\gamma \in (R_i^0 \cap R_j^1)$, we know by the first clause in the definition of coherence that $(\exists X \subseteq \alpha\beta) [\text{Th}^n(\alpha\beta; X, Y, \bar{Q}) \upharpoonright_{[\alpha\gamma, \alpha\gamma + \alpha]} = t_j]$.

Now as $[\alpha\gamma, \alpha\gamma + \alpha] \cong \alpha$ and α has the uniformization property, there is $\bar{T}_\gamma \subseteq [\alpha\gamma, \alpha\gamma + \alpha]$ and a formula $\psi_j^\gamma(X, Y, \bar{T}_\gamma)$ (of depth $k(n, l)$ that depends only on n and l) that uniformizes the formula that says “ $\text{Th}^n(\alpha\beta; X, Y, \bar{Q}) \upharpoonright_{[\alpha\gamma, \alpha\gamma + \alpha]} = t_j$ ”.

It follows that when $\psi_j^\gamma(X, Y, \bar{T}_\gamma)$ holds, $X \cap [\alpha\gamma, \alpha\gamma + \alpha]$ is unique.

W.l.o.g all \bar{T}_γ have the same length and (by taking prudent disjunctions) $\psi_j^\gamma(X, Y, \bar{T}_\gamma) = \psi_j(X, Y, \bar{T}_\gamma)$ and let $\bar{T} = \cup_{\gamma < \beta} \bar{T}_\gamma$ (the union is disjoint). We are ready to define $U(X, Y, \bar{Q}, \bar{T}, \bar{S})$ that uniformizes $\varphi(X, Y, \bar{Q})$:

$U(X, Y, \bar{Q}, \bar{T}, \bar{S})$ says: “for every partition \bar{R}^0 of K that is equal to [the definable] $\bar{R}^0(Y, \bar{Q})$ every \bar{R}^1 that is a [in fact the only] partition that satisfies $\theta(\bar{R}^0, \bar{R}^1, \bar{S})$, if $\alpha\gamma \in R_j^1$ and $D = [\alpha\gamma, \alpha\gamma + \alpha]$ [$\alpha\gamma$ and $\alpha\gamma + \alpha$ are two successive elements of K] then $D \models \psi_j(X \cap D, Y \cap D, \bar{Q} \cap D, \bar{T} \cap D)$ ”.

Check that $U(X, Y, \bar{Q}, \bar{T}, \bar{S})$ does the job: clause (1) in the definition of coherence and the ψ_j 's guarantee that X is unique, clause (2) guarantees that $U(X, Y, \bar{Q}, \bar{T}, \bar{S}) \Rightarrow \varphi(X, Y, \bar{Q})$.

♡

Fact 6.2. *Every finite chain has the uniformization property.*

♡

Theorem 6.3. *$(\omega, <)$ has the uniformization property.*

Corollary 6.4. *An ordinal α has the uniformization property iff $\alpha < \omega^\omega$.*

Definition 6.5. (T, \triangleleft) is very tame if

- 1) T is tame
- 2) $\text{Sup}\{\text{Log}(B) : B \subseteq T, B \text{ a branch}\} < \omega$

Lemma 6.6. If (T, \triangleleft) is not very tame then (T, \triangleleft) does'nt have the uniformization property.

Proof. If T is not tame then by theorem 2.7 it doesn't have even a definable choice function. If T is tame then either there is a branch $B \subseteq T$ with $\text{Log}(B) = \infty$ or it has branches of unbounded Log. By 3.14(3) and 5.2 and using the definable well ordering of T , there is a formula $\varphi(X, Y, Z)$ that can't be uniformized. ♡

Theorem 6.7. (T, \triangleleft) has the uniformization property iff (T, \triangleleft) is very tame.

Proof. Assume T is (l^*, n^*, k^*) very tame and let $\varphi(X, Y, \bar{Q})$ be p.u in T with $\text{dp}(\varphi) = n$ and $\text{lg}(\bar{Q}) = l$.

As T is (n^*, k^*) tame it can be well ordered T in the following way [the full construction is given in theorem A.2 in the appendix]: partition T into a disjoint union of sub-branches, indexed by the nodes of a well founded tree Γ and reduce the problem of a well ordering of T to a problem of a well ordering of Γ . At the first step we pick a branch of T , call it $A_{\langle \rangle}$ and represent T as $A_{\langle \rangle} \cup \bigoplus_{\eta \in \langle \rangle^+} T_\eta$ (where for $\tau \in \Gamma$, τ^+ is the set $\{\nu : \nu \text{ an immediate successor of } \tau \text{ in } \Gamma\}$). At the second step we pick a branch A_η in each T_η and represent T_η as $A_\eta \cup \bigoplus_{\nu \in \eta^+} T_\nu$. By tameness we finish after ω steps getting $T = \bigcup_{\eta \in \Gamma} A_\eta$ and the well ordering of T is induced by the lexicographical well ordering of Γ and the well ordering of each A_η (which is scattered of $\text{Hdeg} \leq k^*$). We can choose a sequence of parameters \bar{K}_0 (with length depending on n^* and k^* only) and a set of representatives $K = \{u_\eta \in A_\eta : \eta \in \Gamma\}$ and using \bar{K}_0 we can define a binary relation $<^*$ on K where $u_\eta <^* u_\nu$ will hold exactly when $\eta \triangleleft \nu$ in Γ , thus we can define the structure of Γ in T . The sequence \bar{K}_0 will also enable us to define T_η and A_η from the representative u_η and define a well ordering of each A_η .

Consequently, the order between two nodes $x, y \in T$ will be determined by the well order of the A_η 's (if they belong to the same A_η) or the well ordering of Γ (if they belong to different A_η 's). The well ordering of the sets η^+ for $\eta \in \Gamma$ (hence the lexicographical well ordering of the well founded tree Γ) will be again defined using \bar{K}_0 .

What we'll do here in order to uniformize $\varphi(X, Y, \bar{Q})$ is the following: given $Y \subseteq T$ we will use the decomposition $T = \bigcup_{\eta \in \Gamma} A_\eta$ and the fact that each A_η is a scattered chain with $\text{Log}(A_\eta) < l^*$, (hence satisfies the uniformization property), to define a unique $X_\eta \subseteq A_\eta$. This will be done in such a way that when we glue the parts letting $X^* = \bigcup_{\eta \in \Gamma} X_\eta$ we will still get $T \models \varphi(X, Y, \bar{Q})$.

We will use the set of representatives K and the fact that A_η and T_η are defined from u_η but we won't always mention \bar{K}_0 . We will also rely on the fact that Γ is well founded (in fact, we only need to know that Γ does not have a branch of order type $\geq \omega + 1$).

So let $Y \subseteq T$ and we want to define some $X^* = X^*(Y, \bar{Q}) \subseteq T$. The proof will go as follows: for each $\eta \in \Gamma$ we will define partitions $\bar{P}^1(Y, \bar{Q})_\eta$ and $\bar{P}^2(Y, \bar{Q})_\eta$ of $K_{\eta^+} := \{u_\nu : \nu \in \eta^+\}$ then, using the composition theorem 3.14 and similarly to the proof of proposition 6.1, we will define a notion of coherence and let $\bar{R}^1(Y, \bar{Q})_\eta$ and $\bar{R}^2(Y, \bar{Q})_\eta$ be a pair that is coherent with $\bar{P}^1(Y, \bar{Q})_\eta$ and $\bar{P}^2(Y, \bar{Q})_\eta$. The union $\bar{R}^1(Y, \bar{Q}) = \bigcup_{\eta \in \Gamma} \bar{R}^1(Y, \bar{Q})_\eta$ is a partition of K and $\text{Th}^n(A_\eta; X_\eta, Y \cap A_\eta, \bar{Q} \cap A_\eta)$ will be determined by the unique member of $\bar{R}^1(Y, \bar{Q})$ to which u_η belongs. Moreover, we will be able to choose X_η uniquely and by coherence $X^* = \bigcup_{\eta \in \Gamma} X_\eta$ will satisfy $\varphi(X, Y, \bar{Q})$.

→ [3.12.]

To get started let $T = A_{\langle \rangle} \cup \bigoplus_{\eta \in \langle \rangle^+} T_\eta$. Now as in definition 3.12 $K_{\langle \rangle^+}$ has a natural structure of a chain with $\text{Log}(K_{\langle \rangle^+}) = \text{Log}(A_{\langle \rangle}) < l^*$ and by theorem 3.14(2) there is some $m = m(n, l)$ such that when $X \subseteq T$ is given, from $\text{Th}^m(A_{\langle \rangle}; X, Y, \bar{Q})$ and $\langle \text{Th}^m(T_\eta; X, Y, \bar{Q}) : \eta \in \langle \rangle^+ \rangle$ we can compute $\text{Th}^n(T; X, Y, \bar{Q})$.

Let $\langle s_0, \dots, s_{b-1} \rangle$ be an enumeration of the theories in $\mathcal{T}_{n+1, l+1}$. Define $\bar{P}^1(Y, \bar{Q})_{\langle \rangle} = \langle P_0^1(Y, \bar{Q})_{\langle \rangle}, \dots, P_{b-1}^1(Y, \bar{Q})_{\langle \rangle} \rangle$ a partition of $K_{\langle \rangle^+}$ by

$$\eta \in \mathcal{P}_i^1(Y, \bar{Q})_{\langle \rangle} \iff \text{Th}^{n+1}(T_\eta; Y, \bar{Q}) = s_i$$

By the previous remarks $\bar{P}^1(Y, \bar{Q})_{\langle \rangle}$ is definable from $u_{\langle \rangle}, K, Y, \bar{Q}$ (and \bar{K}_0). Define $\bar{P}^2(Y, \bar{Q})_{\langle \rangle} = \langle P_0^2(Y, \bar{Q})_{\langle \rangle}, \dots, P_{b-1}^2(Y, \bar{Q})_{\langle \rangle} \rangle$ a partition of $K_{\langle \rangle^+}$ by

$$\eta \in \mathcal{P}_i^2(Y, \bar{Q})_{\langle \rangle} \iff \text{Th}^{n+1}(A_\eta; Y, \bar{Q}) = s_i$$

Again, $\bar{P}^2(Y, \bar{Q})_{\langle \rangle}$ is definable from $u_{\langle \rangle}, K, Y, \bar{Q}$ and \bar{K}_0 .

Let $\langle t_0, \dots, t_{a-1} \rangle$ be an enumeration of the theories in $\mathcal{T}_{n, l+2}$.

A partition of $K_{\langle \rangle^+}$, $\bar{R}^1 = \langle R_0^1, \dots, R_{a-1}^1 \rangle$ is coherent with $\bar{P}^1(Y, \bar{Q})_{\langle \rangle}$ if $P_i^1(Y, \bar{Q})_{\langle \rangle} \cap R_j^1 \neq \emptyset$ implies “for every tree S and $B, \bar{C} \subseteq S$ with $\text{lg}(\bar{C}) = l$, if $\text{Th}^{n+1}(S; B, \bar{C}) = s_i$ then there is $A \subseteq S$ such that $\text{Th}^n(S; A, B, \bar{C}) = t_j$ ”.

Similarly a partition of $K_{\langle \rangle^+}$, $\bar{R}^2 = \langle R_0^2, \dots, R_{a-1}^2 \rangle$ is coherent with $\bar{P}^2(Y, \bar{Q})_{\langle \rangle}$ if $P_i^2(Y, \bar{Q})_{\langle \rangle} \cap R_j^2 \neq \emptyset$ implies

“for every chain S and $B, \bar{C} \subseteq S$ with $\text{lg}(\bar{C}) = l$, if $\text{Th}^{n+1}(S; B, \bar{C}) = s_i$ then there is $A \subseteq S$ such that $\text{Th}^n(S; A, B, \bar{C}) = t_j$ ”.

Finally, a pair of partitions of $K_{\langle \rangle^+}$, $\langle \bar{R}^1, \bar{R}^2 \rangle$ is t^* -coherent with the pair $\langle \bar{P}^1(Y, \bar{Q})_{\langle \rangle}, \bar{P}^2(Y, \bar{Q})_{\langle \rangle} \rangle$ if

- (1) \bar{R}^1 is coherent with $\bar{P}^1(Y, \bar{Q})_{\langle \rangle}$,
- (2) \bar{R}^2 is coherent with $\bar{P}^2(Y, \bar{Q})_{\langle \rangle}$, and
- (3) For every $X \subseteq T$, if $\text{Th}^n(A_{\langle \rangle}; X, Y, \bar{Q}) = t^*$ and if for every $\eta \in \langle \rangle^+$ $[\text{Th}^n(T_\eta; X, Y, \bar{Q}) = t_i \iff u_\eta \in R_i^1]$, then $T \models \varphi(X, Y, \bar{Q})$.

As $T \models (\exists X)\varphi(X, Y, \bar{Q})$ there are t^* (that will be fixed from now on), \bar{R}^1 and \bar{R}^2 such that $\langle \bar{R}^1, \bar{R}^2 \rangle$ is t^* -coherent with the pair $\langle \bar{P}^1(Y, \bar{Q})_{\langle \rangle}, \bar{P}^2(Y, \bar{Q})_{\langle \rangle} \rangle$.

Moreover, “ $\langle \bar{R}^1, \bar{R}^2 \rangle$ is t^* -coherent with the pair $\langle \bar{P}^1(Y, \bar{Q})_{\langle \rangle}, \bar{P}^2(Y, \bar{Q})_{\langle \rangle} \rangle$ ”

is determined by $\text{Th}^k(K_{\langle \rangle^+}; \bar{R}^1, \bar{R}^2, \bar{P}^1(Y, \bar{Q})_{\langle \rangle}, \bar{P}^2(Y, \bar{Q})_{\langle \rangle})$ where k depends only on n and l .

The first two clauses are clear (since a and b are finite) and for the third clause use theorem 3.14(2).

So the statement is expressed by a p.u formula $\psi^1(\bar{R}^1, \bar{R}^2, \bar{P}^1(Y, \bar{Q})_{\langle \rangle}, \bar{P}^2(Y, \bar{Q})_{\langle \rangle})$ of depth k .

As by a previous remark $\text{Log}(K_{\langle \rangle^+}) < l^*$ there is $\bar{S}_{\langle \rangle} \subseteq K_{\langle \rangle^+}$ and a formula $\psi_{\langle \rangle}(\bar{U}_1, \bar{U}_2, \bar{W}_1, \bar{W}_2, \bar{S}_{\langle \rangle})$ that uniformizes ψ^1 .

To conclude the first step use $\text{Log}(A_{\langle \rangle}) < l^*$ to define, by a formula $\theta_{\langle \rangle}(X, Y \cap A_{\langle \rangle}, \bar{Q} \cap A_{\langle \rangle}, \bar{O}_{\langle \rangle})$ and a sequence of parameters $\bar{O}_{\langle \rangle} \subseteq A_{\langle \rangle}$, a unique $X_{\langle \rangle} \subseteq A_{\langle \rangle}$ that will satisfy $\text{Th}^n(A_\eta; X_{\langle \rangle}, Y, \bar{Q}) = t^*$.

The result of the first step is the following:

- a) we have defined $X_{\langle \rangle} \subseteq A_{\langle \rangle}$ using $\bar{O}_{\langle \rangle} \subseteq A_{\langle \rangle}$ and $\theta_{\langle \rangle}$. $X_{\langle \rangle}$ is the intesection of the eventual X^* with $A_{\langle \rangle}$.
- b) we have chosen $\bar{R}_{\langle \rangle^+}^1, \bar{R}_{\langle \rangle^+}^2 \subseteq K_{\langle \rangle^+}$ using ψ and $\bar{S}_{\langle \rangle}$.
- c) $\bar{R}_{\langle \rangle^+}^1$ and $\bar{R}_{\langle \rangle^+}^2$ tell us what are (for $\eta \in \langle \rangle^+$) the theories $\text{Th}^n(T_\eta; X^*, Y, \bar{Q})$ and $\text{Th}^n(A_\eta; X_\eta, Y, \bar{Q})$ respectively: if $u_\eta \in R_i^1$ then the eventual $X^* \cap T_\eta \subseteq T_\eta$ will satisfy $\text{Th}^n(T_\eta; X^* \cap T_\eta, Y, \bar{Q}) = t_i$ and if $u_\eta \in R_j^2$ then then the soon to be defined $X_\eta \subseteq A_\eta$ will satisfy $\text{Th}^n(A_\eta; X_\eta, Y, \bar{Q}) = t_j$.

We will proceed by induction on the level of η in Γ (remember, all the levels are $< \omega$) to define $\bar{S}_\eta, \bar{O}_\eta \subseteq A_\eta$ and $\bar{R}_{\eta^+}^1, \bar{R}_{\eta^+}^2 \subseteq K_{\eta^+}$ and $X_\eta \subseteq T_\eta$.

The induction step:

We are at $\nu \in \Gamma$ where $\nu \in \eta^+$ and we want to define $\bar{S}_\nu, \bar{O}_\nu \subseteq A_\nu$, $\bar{R}_{\nu^+}^1, \bar{R}_{\nu^+}^2 \subseteq K_{\nu^+}$ and $X_\nu \subseteq T_\nu$. Now as $\bar{R}_{\eta^+}^1$ and $\bar{R}_{\eta^+}^2$ are defined, u_ν belongs to one member of $\bar{R}_{\eta^+}^1$ say the i_1 'th and to one member of $\bar{R}_{\eta^+}^2$ say the i_2 'th. This implies that there is some $X'_\nu \subseteq T_\nu$ such that $\text{Th}^n(T_\nu; X'_\nu, Y, \bar{Q}) = t_{i_1}$ and $\text{Th}^n(A_\nu; X'_\nu \cap A_\nu, Y, \bar{Q}) = t_{i_2}$.

Let $\bar{P}^1(Y, \bar{Q})_\nu$ and $\bar{P}^2(Y, \bar{Q})_\nu$ be partitions of K_{ν^+} that are defined as in the first step by saying, for $\tau \in \nu^+$, what are $\text{Th}^{n+1}(T_\tau; Y, \bar{Q})$ and $\text{Th}^{n+1}(A_\tau; Y, \bar{Q})$. $\langle \bar{R}_{\nu^+}^1, \bar{R}_{\nu^+}^2 \rangle \subseteq K_{\nu^+}$ will be a pair that is t_{i_1}, t_{i_2} -coherent with $\langle \bar{P}^1(Y, \bar{Q})_\nu, \bar{P}^2(Y, \bar{Q})_\nu \rangle$ that is:

- (1) $\bar{R}_{\nu^+}^1$ is coherent with $\bar{P}^1(Y, \bar{Q})_\nu$,
- (2) $\bar{R}_{\nu^+}^2$ is coherent with $\bar{P}^2(Y, \bar{Q})_\nu$, and
- (3) For every $X \subseteq T_\nu$ if $\text{Th}^n(A_\nu; X, Y, \bar{Q}) = t_{i_2}$ and for every $\tau \in \nu^+$ [$\text{Th}^n(T_\tau; X, Y, \bar{Q}) = t_i \iff u_\tau \in$ the i 'th member of $\bar{R}_{\nu^+}^1$], then $\text{Th}^n(T_\nu; X, Y, \bar{Q}) = t_{i_1}$.

Using $\text{Log}(K_{\nu^+}) < l^*$ choose $\bar{S}_{\nu^+} \subseteq K_{\nu^+}$ and $\psi_{i_1, i_2}(\bar{R}^1, \bar{R}^2, \bar{P}^1(Y, \bar{Q})_{\nu^+}, \bar{P}^2(Y, \bar{Q})_{\nu^+}, \bar{S}_{\nu^+})$ that uniformizes the formula that says " $\langle \bar{R}^1, \bar{R}^2 \rangle$ is t_{i_1}, t_{i_2} -coherent with $\langle \bar{P}^1(Y, \bar{Q})_\nu, \bar{P}^2(Y, \bar{Q})_\nu \rangle$ ". We may assume that ψ_{i_1, i_2} depends only on i_1 and i_2 and that $\text{lg}(\bar{S}_{\nu^+})$ is constant.

Use $\text{Log}(A_\nu) < l^*$ to define, by a formula $\theta_{i_2}(X, Y \cap A_\nu, \bar{Q} \cap A_\nu, \bar{O}_\nu)$ and a sequence of parameters $\bar{O}_\nu \subseteq A_\nu$, a unique $X_\nu \subseteq A_\nu$ that will satisfy $\text{Th}^n(A_\nu; X_\nu, Y, \bar{Q}) = t_{i_2}$. Again, we may assume that θ_{i_2} depends only on i_2 and that $\text{lg}(\bar{O}_\nu)$ is constant.

So $\bar{S}_\nu, \bar{O}_\nu, \bar{R}_{\nu^+}^1, \bar{R}_{\nu^+}^2$ and X_ν are defined and we have concluded the inductive step. (Note that nothing will really go wrong if ν doesn't have any successors in Γ).

Let $\bar{O} = \cup_{\eta \in \Gamma} \bar{O}_\eta$, $\bar{S} = \cup_{\eta \in \Gamma} \bar{S}_\eta$. The uniformizing formula $U(X, Y, \bar{Q}, \bar{O}, \bar{S}, K, \bar{K}_0)$ says:

" $X \cap A_{\langle \rangle}$ is defined as in the first step, and

for every pair of partitions $\langle \bar{P}^1, \bar{P}^2 \rangle$ of K that agrees on each K_{η^+} with [the definable]

$\langle \bar{P}_{\eta^+}^1(Y, \bar{Q}), \bar{P}_{\eta^+}^2(Y, \bar{Q}) \rangle$, (and agrees with $\langle \bar{P}_{\langle \rangle}^1, \bar{P}_{\langle \rangle}^2 \rangle$ on $K_{\langle \rangle^+}$), and

for every $\langle \bar{R}^1, \bar{R}^2 \rangle$ that is a [in fact the only] pair of partitions that satisfies for every $u_\eta \in K$: if $u_\eta \in \bar{P}_{i_1}^1 \cap \bar{P}_{i_2}^2$ then $\psi_{i_1, i_2}(\bar{R}^1 \cap K_{\eta^+}, \bar{R}^2 \cap K_{\eta^+}, \bar{P}^1 \cap K_{\eta^+}, \bar{P}^2 \cap K_{\eta^+}, \bar{S} \cap K_{\eta^+})$ holds, (and agrees with $\langle \bar{R}_{\langle \rangle}^1, \bar{R}_{\langle \rangle}^2 \rangle$ on $K_{\langle \rangle^+}$),

for every $u_\eta \in K$ if $u_\eta \in \bar{R}_{i_2}^2$ then $\theta_{i_2}(X \cap A_\eta, Y \cap A_\eta, \bar{Q} \cap A_\eta, \bar{O} \cap A_\eta)$ holds."

$U(X, Y, \bar{Q}, \bar{O}, \bar{S}, K, \bar{K}_0)$ does the job because it defines $X \cap A_\eta$ uniquely on each A_η and because, (by the conditions of coherence) the union of the parts, X , satisfies $\varphi(X, Y, \bar{Q})$. Note also that U does not depend on Y .

♡

7. Hopelessness of General Partial Orders

Theorem 7.1. *Every partial order P can be embedded in a partial order Q in which P is first-order-definably well orderable.*

Proof.

♡

Appendix

Lemma A.1. *Let C be a scattered chain with $\text{Hdeg}(C) = n$. Then there are $\bar{P} \subseteq C$, $\text{lg}(\bar{P}) = n-1$, and a formula (depending on n only) $\varphi_n(x, y, \bar{P})$ that defines a well ordering of C .*

Proof. By induction on $n = \text{Hdeg}(C)$:

$n \leq 1$: $\text{Hdeg}(C) \leq 1$ implies $(C, <_C)$ is well ordered or inversely well ordered. A well ordering of C is easily definable from $<_C$.

$\text{Hdeg}(C) = n + 1$: Suppose $C = \sum_{i \in I} C_i$ and each C_i is of Hausdorff degree n . By the induction hypothesis there are a formula $\varphi_n(x, y, \bar{Z})$ and a sequence $\langle \bar{P}^i : i \in I \rangle$ with $\bar{P}^i \subseteq C_i$, $\bar{P}^i = \langle P_1^i, \dots, P_{n-1}^i \rangle$ such that $\varphi_n(x, y, \bar{P}^i)$ defines a well ordering of C_i .

Let for $0 < k < n$, $P_k := \cup_{i \in I} P_k^i$ (we may assume that the union is disjoint) and $P_n := \cup \{C_i : i \text{ even}\}$. We will define an equivalence relation \sim by $x \sim y$ iff $\bigwedge_i (x \in C_i \Leftrightarrow y \in C_i)$.

\sim and $[x]$, (the equivalence class of an element x), are easily definable from P_n and $<_C$. We can also decide from P_n if I is well or inversely well ordered (by looking at subsets of C consisted of nonequivalent elements) and define $<'$ to be $<$ if I is well ordered and the inverse of $<$ if not. $\varphi_{n+1}(x, y, P_1, \dots, P_n)$ will be defined by:

$$\varphi_{n+1}(x, y, \bar{P}) \Leftrightarrow [x \not\sim y \ \& \ x <' y] \vee [x \sim y \ \& \ \varphi_n(x, y, P_1 \cap [x], \dots, P_{n-1} \cap [x])]$$

$\varphi_{n+1}(x, y, \bar{P})$ well orders C .

♡

Theorem A.2. *Let T be a tame tree. If ${}^\omega 2$ is not embeddable in T then there are $\bar{Q} \subseteq T$ and a monadic formula $\varphi(x, y, \bar{Q})$ that defines a well ordering of T .*

Proof. Assume T is (n^*, k^*) tame, recall definitions 4.1 and 4.2 and remember that for every $x \in T$, $rk(x)$ is well defined (i.e. $< \infty$). We will partition T into a disjoint union of sub-branches, indexed by the nodes of a well founded tree Γ and reduce the problem of a well ordering of T to a problem of a well ordering of Γ .

Step 1. Define by induction on α a set $\Gamma_\alpha \subseteq {}^\alpha \text{Ord}$ (this is our set of indices), for every $\eta \in \Gamma_\alpha$ define a tree $T_\eta \subseteq T$ and a branch $A_\eta \subseteq T_\eta$.

$\alpha = 0$: Γ_0 is $\{\langle \rangle\}$, $T_{\langle \rangle}$ is T and $A_{\langle \rangle}$ is a branch (i.e. a maximal linearly ordered subset) of T .

$\alpha = 1$: Look at $(T \setminus A_{\langle \rangle}) / \sim_{A_{\langle \rangle}}^1$, it's a disjoint union of trees and name it $\langle T_{\langle i \rangle} : i < i^* \rangle$, let $\Gamma_1 := \{\langle i \rangle : i < i^*\}$ and for every $\langle i \rangle \in \Gamma_1$ let $A_{\langle i \rangle}$ be a branch of $T_{\langle i \rangle}$.

$\alpha = \beta + 1$: For $\eta \in \Gamma_\beta$ denote $(T_\eta \setminus A_\eta) / \sim_{A_\eta}^1$ by $\{T^{\wedge \eta, i} : i < i_\eta\}$, let $\Gamma_\alpha = \{\wedge \eta, i : \eta \in \Gamma_\beta, i < i_\eta\}$ and choose $A^{\wedge \eta, i}$ to be a branch of $A^{\wedge \eta, i}$.

α limit: Let $\Gamma_\alpha = \{\eta \in {}^\alpha \text{Ord} : \wedge_{\beta < \alpha} \eta \upharpoonright_\beta \in \Gamma_\beta, \wedge_{\beta < \alpha} T_{\eta \upharpoonright_\beta} \neq \emptyset\}$, let for $\eta \in \Gamma_\alpha$ $T_\eta = \cap_{\beta < \alpha} T_{\eta \upharpoonright_\beta}$ and A_η a branch of T_η . (T_η may be empty).

Now, at some stage $\alpha \leq |T|^+$ we have $\Gamma_\alpha = \emptyset$ and let $\Gamma = \cup_{\beta < \alpha} \Gamma_\beta$. Clearly $\{A_\eta : \eta \in \Gamma\}$ is a partition of T into disjoint sub-branches.

Notation: having two trees T and Γ , to avoid confusion, we use x, y, s, t for nodes of T and η, ν, σ for nodes of Γ .

Step 2. We want to show that $\Gamma_\omega = \emptyset$ hence Γ is a well founded tree. Note that we made no restrictions on the choice of the A_η 's and we add one now in order to make the above statement true. Let $\wedge \eta, i \in \Gamma$ define $A_{\wedge \eta, i}$ to be the sub-branch $\{t \in A_\eta : (\forall s \in A^{\wedge \eta, i}) [rk(t) \leq rk(s)]\}$ and $\gamma_{\wedge \eta, i}$ to be $rk(t)$ for some $t \in A_{\wedge \eta, i}$. By 5.5(1) and the inexistence of a strictly decreasing sequence of ordinals, $A_{\wedge \eta, i} \neq \emptyset$ and $\gamma_{\wedge \eta, i}$ is well defined. Note also that $s \in A^{\wedge \eta, i} \Rightarrow rk(s) \leq \gamma_{\wedge \eta, i}$.

Proviso: For every $\eta \in \Gamma$ and $i < i_\eta$ the sub-branch $A^{\wedge \eta, i}$ contains every $s \in T^{\wedge \eta, i}$ with $rk(s) = \gamma_{\eta, i}$. Following this we claim: “ T does not contain an infinite, strictly increasing sequence”. Otherwise let $\{\eta_i\}_{i < \omega}$ be one, and choose $s_n \in A_{\eta_n, \eta_{n+1}(n)}$ (so $s_n \in A_{\eta_n}$). Clearly $rk(s_n) \geq rk(s_{n+1})$ and by the proviso we get

$$rk(s_n) = rk(s_{n+1}) \Rightarrow rk(s_{n+1}) > rk(s_{n+2})$$

therefore $\{rk(s_n)\}_{n < \omega}$ contains an infinite, strictly decreasing sequence of ordinals which is absurd.

Step 3. Next we want to make “ x and y belong to the same A_η ” definable.

For each $\eta \in \Gamma$ choose $s_\eta \in A_\eta$, and let $Q \subseteq T$ be the set of representatives. Let $h: T \rightarrow \{d_0, \dots, d_{n^*-1}\}$ be a colouring that satisfies: $h \upharpoonright_{A_\langle \rangle} = d_0$ and for every $\wedge \eta, i \in \Gamma$, $h \upharpoonright_{A^{\wedge \eta, i}}$ is constant and, when $j < i$ and $s^{\wedge \eta, j} \sim_{A_\eta}^0 s^{\wedge \eta, i}$ we have $h \upharpoonright_{A^{\wedge \eta, i}} \neq h \upharpoonright_{A^{\wedge \eta, j}}$. This can be done as T is (n^*, d^*) tame.

Using the parameters D_0, \dots, D_{n^*-1} ($x \in D_i$ iff $h(x) = d_i$), we can define $\vee_\eta x, y \in A_\eta$ by “ x, y are comparable and the sub-branch $[x, y]$ (or $[y, x]$) has a constant colour”.

Step 4. As every A_η has Hausdorff degree at most k^* , we can define a well ordering of it using parameters $P_1^\eta, \dots, P_{k^*}^\eta$ and by taking \bar{P} to be the (disjoint) union of the \bar{P}^η 's we can define a partial ordering on T which well orders every A_η .

By our construction $\eta \triangleleft \nu$ if and only if there is an element in A_ν that ‘breaks’ A_η i.e. is above a proper initial segment of A_η . (Caution, if T does not have a root this may not be the case for $\langle \rangle$ and a $< n^*$ number of $\langle i \rangle$'s and we may need parameters for expressing that). Therefore, as by step 3 “being in the same A_η ” is definable, we can define a partial order on the sub-branches A_η (or the representatives s_η) by $\eta \triangleleft \nu \Rightarrow A_\eta \leq A_\nu$.

Next, note that “ ν is an immediate successor of η in Γ ” is definable as a relation between s_ν and s_η hence the set $A_\eta^+ := A_\eta \cup \{s^{\wedge \eta, i}\}$ is definable from s_η . Now the order on A_η induces an order on $\{s^{\wedge \eta, i} / \sim_{A_\eta}^0\}$ which is can be embedded in the completion of A_η hence has $\text{Hdeg} \leq k^*$. Using additional parameters $Q_1^\eta, \dots, Q_{k^*}^\eta$, we have a definable well ordering on $\{s^{\wedge \eta, i} / \sim_{A_\eta}^0\}$. As for the ordering on each $\sim_{A_\eta}^1$ equivalence class (finite with $\leq n^*$ elements), define it by their colours (i.e. the element with the smaller colour is the smaller according to the order).

Using \bar{D}, \bar{P}, Q and $\bar{Q} = \cup_\eta \bar{Q}^\eta$ we can define a partial ordering which well orders each A_η^+ in such a way that every $x \in A_\eta$ is smaller than every $s^{\wedge \eta, i}$.

Summing up we can define (using the above parameters) a partial order on subsets of T that well orders each A_η , orders sub-branches A_η, A_ν when the indices are comparable in Γ and well orders all the “immediate successors” sub-branches of a sub-branch A_η .

Step 5. The well ordering of T will be defined by $x < y \iff$

- a) x and y belong to the same A_η and $x < y$ by the well order on A_η ; or
- b) $x \in A_\eta, y \in A_\nu$ and $\eta \triangleleft \nu$; or
- c) $x \in A_\eta, y \in A_\nu, \sigma = \eta \wedge \nu$ in Γ (defined as a relation between sub-branches), $\wedge \sigma, i \triangleleft \eta, \wedge \sigma, j \triangleleft \nu$ and $s^{\wedge \sigma, i} < s^{\wedge \sigma, j}$ in the order of A_σ^+ .

Note, that $<$ is a linear order on T and every A_η is a convex and well ordered sub-chain. Moreover $<$ is a linear order on Γ and the order on the s_η 's is isomorphic to a lexicographic order on Γ .

Why is the above (which is clearly definable with our parameters) a well order? Because of the above note and because a lexicographic ordering of a well founded tree is a well order, provided that immediate successors are well ordered. In detail, assume $X = \{x_i\}_{i < \omega}$ is a strictly decreasing sequence of elements of T . Let η_i be the unique node in Γ such that $x_i \in A_{\eta_i}$ and by the above note w.l.o.g $i \neq j \Rightarrow \eta_i \neq \eta_j$. By the well foundedness of Γ and clause (b) we may also assume w.l.o.g

that the η_i 's form an anti-chain in Γ . Look at $\nu_i := \eta_1 \wedge \eta_i$ which is constant for infinitely many i 's and w.l.o.g equals to ν for every i . Ask:

(*) is there is an infinite $B \subseteq \omega$ such that $i, j \in B \Rightarrow x_i \sim_{A_\nu}^0 x_j$?

If this occurs we have $\nu_1 \neq \nu$ with $\nu \triangleleft \nu_1$ such that for some infinite $B' \subseteq B \subseteq \omega$ we have $i \in B' \Rightarrow \nu_1 \triangleleft \eta_i$. (use the fact that $\sim_{A_\nu}^1$ is finite). W.l.o.g $B' = \omega$ and we may ask if (*) holds for ν_1 . Eventually, since Γ does not have an infinite branch, we will have a negative answer to (*). We can conclude that w.l.o.g there is $\nu \in \Gamma$ such that $i \neq j \Rightarrow x_i \not\sim_{A_\nu}^0 x_j$ i.e. the x_i 's "break" A_ν in "different places".

Define now ν_i to be the unique immediate successor of ν such that $\nu_i \triangleleft \eta_i$. The set $S = \{s_{\nu_i}\}_{i < \omega} \subseteq A_\nu^+$ is well ordered by the well ordering on A_ν^+ and by clause (c) in the definition of $<$, $x_i > x_j \iff \nu_i > \nu_j$ so S is an infinite strictly decreasing subset of A_ν^+ – a contradiction.

This finishes the proof that there is a definable well order of T .

♡