

**A POLARIZED PARTITION RELATION AND FAILURE OF GCH  
AT SINGULAR STRONG LIMIT  
SH586**

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ABSTRACT. The main result is that for  $\lambda$  strong limit singular failing the continuum hypothesis (i.e.  $2^\lambda > \lambda^+$ ), a polarized partition theorem holds.

§ 0. INTRODUCTION

In the present paper we show a polarized partition theorem for strong limit singular cardinals  $\lambda$  failing the continuum hypothesis. Let us recall the following definition.

**Definition 0.1.** For ordinal numbers  $\alpha_1, \alpha_2, \beta_1, \beta_2$  and a cardinal  $\theta$ , the polarized partition symbol

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$$\left( \begin{array}{c} \alpha_1 \\ \beta_1 \end{array} \right) \rightarrow \left( \begin{array}{c} \alpha_2 \\ \beta_2 \end{array} \right)_\theta^{1,1}$$

means:

if  $d$  is a function from  $\alpha_1 \times \beta_1$  into  $\theta$  then for some  $A \subseteq \alpha_1$  of order type  $\alpha_2$  and  $B \subseteq \beta_1$  of order type  $\beta_2$ , the function  $d \upharpoonright A \times B$  is constant.

We address the following problem of Erdős and Hajnal:

(\*) if  $\mu$  is strong limit singular of uncountable cofinality,  $\theta < \text{cf}(\mu)$  does

$$\left( \begin{array}{c} \mu^+ \\ \mu \end{array} \right) \rightarrow \left( \begin{array}{c} \mu \\ \mu \end{array} \right)_\theta^{1,1} \quad ?$$

The particular case of this question for  $\mu = \aleph_{\omega_1}$  and  $\theta = 2$  was posed by Erdős, Hajnal and Rado (under the assumption of GCH) in [EHR65, Problem 11, p.183]. Hajnal said that the assumption of GCH in [EHR65] was not crucial, and he added that the intention was to ask the question “in some, preferably nice, Set Theory”.

Baumgartner and Hajnal have proved that if  $\mu$  is weakly compact then the answer to (\*) is “yes” (see [BH95]), also if  $\mu$  is strong limit of cofinality  $\aleph_0$ . But for a weakly compact  $\mu$  we do not know if for every  $\alpha < \mu^+$ :

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$$\left( \begin{array}{c} \mu^+ \\ \mu \end{array} \right) \rightarrow \left( \begin{array}{c} \alpha \\ \mu \end{array} \right)_\theta^{1,1}.$$

The first time I heard the problem (around 1990) I noted that (\*) holds when  $\mu$  is a singular limit of measurable cardinals. This result is presented in Theorem 1.2. It seemed likely that we can combine this with suitable collapses, to get “small” such  $\mu$  (like  $\aleph_{\omega_1}$ ) but there was no success in this direction.

In September 1994, Hajnal reasked me the question putting great stress on it. Here we answer the problem (\*) using methods of [Sh:g]. But instead of the assumption of GCH (postulated in [EHR65]) we assume  $2^\mu > \mu^+$ . The proof seems quite flexible but we did not find out what else it is good for. This is a good example of the major theme of [Sh:g]:

**Thesis 0.2.** Whereas CH and GCH are good (helpful, strategic) assumptions having many consequences, and, say,  $\neg$ CH is not, the negation of GCH at singular cardinals (i.e. for  $\mu$  strong limit singular  $2^\mu > \mu^+$  or, really the strong hypothesis:  $\text{cf}(\mu) < \mu \Rightarrow \text{pp}(\mu) > \mu^+$ ) is a good (helpful, strategic) assumption.

Foreman pointed out that the result presented in Theorem 1.1 below is preserved by  $\mu^+$ -closed forcing notions. Therefore, if

$$\mathbf{V} \models \left( \begin{array}{c} \lambda^+ \\ \lambda \end{array} \right) \rightarrow \left( \begin{array}{c} \lambda \\ \lambda \end{array} \right)_\theta^{1,1}$$

then

$$V^{\text{Levy}(\lambda^+, 2^\lambda)} \models \left( \begin{array}{c} \lambda^+ \\ \lambda \end{array} \right) \rightarrow \left( \begin{array}{c} \lambda \\ \lambda \end{array} \right)_\theta^{1,1}.$$

Consequently, the result is consistent with  $2^\lambda = \lambda^+$  &  $\lambda$  is small. (Note that although our final model may satisfy the Singular Cardinals Hypothesis, the intermediate model still violates SCH at  $\lambda$ , hence needs large cardinals, see [Jec03].) For  $\lambda$  not small we can use Theorem 1.2).

Before we move to the main theorem, let us recall an open problem important for our methods:

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*Question 0.3.*

- (1) Let  $\kappa = \text{cf}(\mu) > \aleph_0$ ,  $\mu > 2^\theta$  and  $\lambda = \text{cf}(\lambda) \in (\mu, \text{pp}^+(\mu))$ . Can we find  $\theta < \mu$  and  $\text{Ga} \in [\mu \cap \text{Reg}]^\theta$  such that:  $\lambda \in \text{pcf}(\text{Ga})$ ,  $\text{Ga} = \bigcup_{i < \kappa} \text{Ga}_i$ ,  $\text{Ga}_i$  bounded

$$\text{in } \mu \text{ and } \sigma \in \text{Ga}_i \Rightarrow \bigwedge_{\alpha < \sigma} |\alpha|^\theta < \sigma?$$

For this it is enough to show:

- (2) If  $\mu = \text{cf}(\mu) > 2^{<\theta}$  but  $\bigvee_{\alpha < \mu} |\alpha|^{<\theta} \geq \mu$  then we can find  $\text{Ga} \in [\mu \cap \text{Reg}]^{<\theta}$  such that  $\lambda \in \text{pcf}(\text{Ga})$ .

As shown in [Sh:g]

{dwa}

**Theorem 0.4.** *If  $\mu$  is strong limit singular of cofinality  $\kappa > \aleph_0$ ,  $2^\mu > \lambda = \text{cf}(\lambda) > \mu$  then for some strictly increasing sequence  $\langle \lambda_i : i < \kappa \rangle$  of regulars with limit  $\mu$ ,  $\prod_{i < \kappa} \lambda_i / J_\kappa^{\text{bd}}$  has true cofinality  $\lambda$ . If  $\kappa = \aleph_0$ , it still holds for  $\lambda = \mu^{++}$ .*

[More fully, by [Sh:g, Ch.II,§5], we know  $\text{pp}(\mu) =^+ 2^\mu$  and by [Sh:g, Ch.III,1.6(2)], we know  $\text{pp}^+(\mu) = \text{pp}_{J_\kappa^{\text{bd}}}^+(\mu)$ . Note that for  $\kappa = \aleph_0$  we should replace  $J_\kappa^{\text{bd}}$  by a possibly larger ideal, using [Sh:430, 1.1,6.5] but there is no need here.]

*Remark 0.5.* Note the problem is  $\text{pp} = \text{cov}$  problem, see more [Sh:430, §1]; so if  $\kappa = \aleph_0$ ,  $\lambda < \mu^{+\omega_1}$  the conclusion of 0.4 holds; we allow to increase  $J_\kappa^{\text{bd}}$ , even “there are  $< \mu^+$  fixed points  $< \lambda^+$ ” suffices.

## § 1. MAIN RESULT

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**Theorem 1.1.** *Suppose  $\mu$  is strong limit singular satisfying  $2^\mu > \mu^+$ . Then*

- (1)  $\binom{\mu^+}{\mu} \rightarrow \binom{\mu+1}{\mu}_\theta^{1,1}$  for any  $\theta < \text{cf}(\mu)$ ,
- (2) if  $d$  is a function from  $\mu^+ \times \mu$  to  $\theta$  and  $\theta < \text{cf}(\mu)$  then for some sets  $A \subseteq \mu^+$  and  $B \subseteq \mu$  we have:  $\text{otp}(A) = \mu + 1$ ,  $\text{otp}(B) = \mu$  and the restriction  $d \upharpoonright A \times B$  does not depend on the first coordinate.

*Proof.* 1) It follows from part (2), (as if  $d(\alpha, \beta) = d'(\beta)$  for  $\alpha \in A$ ,  $\beta \in B$ , where  $d' : B \rightarrow \theta$ , and  $|B| = \mu$ ,  $\theta < \text{cf}(\mu)$  then there is  $B' \subseteq B$ ,  $|B'| = \mu$  such that  $d' \upharpoonright B'$  is constant and hence  $d \upharpoonright (A \times B')$  is constant as required).

2) Let  $d : \mu^+ \times \mu \rightarrow \theta$ . Let  $\kappa = \text{cf}(\mu)$  and  $\bar{\mu} = \langle \mu_i : i < \kappa \rangle$  be a continuous strictly increasing sequence such that  $\mu = \sum_{i < \kappa} \mu_i$ ,  $\mu_0 > \kappa$ . We can find a sequence

$\bar{C} = \langle C_\alpha : \alpha < \mu^+ \rangle$  such that:

- (A)  $C_\alpha \subseteq \alpha$  is closed,  $\text{otp}(C_\alpha) < \mu$ ,
- (B)  $\beta \in \text{nacc}(C_\alpha) \Rightarrow C_\beta = C_\alpha \cap \beta$ ,
- (C) if  $C_\alpha$  has no last element then  $\alpha = \sup(C_\alpha)$ , (so  $\alpha$  is a limit ordinal) and any member of  $\text{nacc}(C_\alpha)$  is a successor ordinal,
- (D) if  $\sigma = \text{cf}(\sigma) < \mu$  then the set

$$S_\sigma =: \{ \delta < \mu^+ : \text{cf}(\delta) = \sigma \ \& \ \delta = \sup(C_\delta) \ \& \ \text{otp}(C_\delta) = \sigma \}$$

is stationary

(possible by [Sh:420, §1]); we could have added

- (E) for every  $\sigma \in \text{Reg} \cap \mu^+$  and a club  $E$  of  $\mu^+$ , for stationary many  $\delta \in S_\sigma$ ,  $E$  separates any two successive members of  $C_\delta$ .

Let  $c$  be a symmetric two place function from  $\mu^+$  to  $\kappa$  such that for each  $i < \kappa$  and  $\beta < \mu^+$  the set

- ⊞<sub>1</sub> (a) the set  $a_i^\beta =: \{ \alpha < \beta : c(\alpha, \beta) \leq i \}$  has cardinality  $\leq \mu_i$
- (b)  $\alpha < \beta < \gamma \Rightarrow c(\alpha, \gamma) \leq \max\{c(\alpha, \beta), c(\beta, \gamma)\}$
- (c)  $\alpha \in C_\beta$  and  $\mu_i \geq |C_\beta| \Rightarrow c(\alpha, \beta) \leq i$

(as in [Sh:108], easily constructed by induction on  $\beta$ ).

Let  $\bar{\lambda} = \langle \lambda_i : i < \kappa \rangle$  be a strictly increasing sequence of regular cardinals with limit  $\mu$  such that  $\prod_{i < \kappa} \lambda_i / J_\kappa^{\text{bd}}$  has true cofinality  $\mu^{++}$  (exists by 0.4 with  $\lambda = \mu^{++} \leq 2^\mu$ ). As we can replace  $\bar{\lambda}$  by any subsequence of length  $\kappa$ , without loss of generality ( $\forall i < \kappa$ )  $(\lambda_i > 2^{\mu_i^+})$ . Lastly, let  $\chi = \beth_8(\mu)^+$  and  $<_\chi^*$  be a well ordering of  $\mathcal{H}(\chi)$  ( $=: \{x : \text{the transitive closure of } x \text{ is of cardinality } < \chi\}$ ).

Now we choose by induction on  $\alpha < \mu^+$  sequences  $\bar{M}_\alpha = \langle M_{\alpha,i} : i < \kappa \rangle$  such that:

- (i)  $M_{\alpha,i} < (\mathcal{H}(\chi), \in, <_\chi^*)$ ,
- (ii)  $\|M_{\alpha,i}\| = 2^{\mu_i^+}$  and  $\mu_i^+(M_{\alpha,i}) \subseteq M_{\alpha,i}$  and  $2^{\mu_i^+} + 1 \subseteq M_{\alpha,i}$ ,
- (iii)  $d, c, \bar{C}, \bar{\lambda}, \bar{\mu}, \alpha \in M_{\alpha,i}$ ,  $\langle M_{\beta,j} : \beta < \alpha, j < \kappa \rangle$  belongs to  $M_{\alpha,i}$ ,

- (iv)  $\bigcup_{\beta \in a_i^\alpha} M_{\beta,i} \subseteq M_{\alpha,i}$  and
- (v)  $\langle M_{\alpha,j} : j < i \rangle \in M_{\alpha,i}$ ,
- (vi)  $\bigcup_{j < i} M_{\alpha,j} \subseteq M_{\alpha,i}$ ,
- (vii)  $\langle M_{\beta,i} : \beta \in a_i^\alpha \rangle$  belongs to  $M_{\alpha,i}$ .

There is no problem to carry out the construction. Note that actually the clause (vii) follows from (i)–(vi), as  $a_i^\alpha$  is defined from  $c, \alpha, i$ , see  $\boxplus_1$ .

Our demands imply that

- $\boxplus_2$  (a)  $\beta \in a_i^\alpha \Rightarrow M_{\beta,i} \prec M_{\alpha,i}$
- (b)  $j < i \Rightarrow M_{\alpha,j} \prec M_{\alpha,i}$
- (c)  $a_i^\alpha \subseteq M_{\alpha,i}$ , hence  $\alpha \subseteq \bigcup_{i < \kappa} M_{\alpha,i}$ .

For  $\alpha < \mu^+$  let  $f_\alpha \in \prod_{i < \kappa} \lambda_i$  be defined by  $f_\alpha(i) = \sup(\lambda_i \cap M_{\alpha,i})$ . Note that  $f_\alpha(i) < \lambda_i$  as  $\lambda_i = \text{cf}(\lambda_i) > 2^{\mu^+} = \|M_{\alpha,i}\|$ . Also, if  $\beta < \alpha$  then for every  $i \in [c(\beta, \alpha), \kappa)$  we have  $\beta \in M_{\alpha,i}$  and hence  $M_\beta \in M_{\alpha,i}$ . Therefore, as also  $\bar{\lambda} \in M_{\alpha,i}$ , we have  $f_\beta \in M_{\alpha,i}$  and  $f_\beta(i) \in M_{\alpha,i} \cap \lambda_i$ .

Consequently

- $\boxplus_3$   $(\forall i \in [c(\beta, \alpha), \kappa))(f_\beta(i) < f_\alpha(i))$  and thus  $f_\beta <_{J_\kappa^{\text{bd}}} f_\alpha$ .

Since  $\{f_\alpha : \alpha < \mu^+\} \subseteq \prod_{i < \kappa} \lambda_i$  has cardinality  $\mu^+$  and  $\prod_{i < \kappa} \lambda_i / J_\kappa^{\text{bd}}$  is  $\mu^{++}$ -directed, there is  $f^* \in \prod_{i < \kappa} \lambda_i$  such that

- (\*)<sub>1</sub>  $(\forall \alpha < \mu^+)(f_\alpha <_{J_\kappa^{\text{bd}}} f^*)$ .

Let, for  $\alpha < \mu^+$ ,  $g_\alpha \in {}^\kappa \theta$  be defined by  $g_\alpha(i) = d(\alpha, f^*(i))$ . Since  $|{}^\kappa \theta| < \mu < \mu^+ = \text{cf}(\mu^+)$ , there is a function  $g^* \in {}^\kappa \theta$  such that

- (\*)<sub>2</sub> the set  $A^* = \{\alpha < \mu^+ : g_\alpha = g^*\}$  is unbounded in  $\mu^+$ .

Now choose, by induction on  $\zeta < \mu^+$ , models  $N_\zeta$  such that:

- (a)  $N_\zeta \prec (\mathcal{H}(\chi), \in, <_\chi^*)$ ,
- (b) the sequence  $\langle N_\zeta : \zeta < \mu^+ \rangle$  is increasing continuous,
- (c)  $\|N_\zeta\| = \mu$  and  ${}^{\kappa >} (N_\zeta) \subseteq N_\zeta$  if  $\zeta$  is not a limit ordinal,
- (d)  $\langle N_\xi : \xi \leq \zeta \rangle \in N_{\zeta+1}$ ,
- (e)  $\mu + 1 \subseteq N_\zeta$
- (f)  $\bigcup_{\substack{\alpha < \zeta \\ i < \kappa}} M_{\alpha,i} \subseteq N_\zeta$
- (g)  $\langle M_{\alpha,i} : \alpha < \mu^+, i < \kappa \rangle, \langle f_\alpha : \alpha < \mu^+ \rangle, g^*, A^*$  and  $d$  belong to the first model  $N_0$ .

Let  $E =: \{\zeta < \mu^+ : N_\zeta \cap \mu^+ = \zeta\}$ . Clearly,  $E$  is a club of  $\mu^+$ , and thus we can find an increasing sequence  $\langle \delta_i : i < \kappa \rangle$  such that

- (\*)<sub>3</sub>  $\delta_i \in S_{\mu_i^+} \cap \text{acc}(E) (\subseteq \mu^+)$ , (see clause (D) in the beginning of the proof).

For each  $i < \kappa$  choose a successor ordinal  $\alpha_i^* \in \text{nacc}(C_{\delta_i}) \setminus \bigcup\{\delta_j + 1 : j < i\}$ . Take any  $\alpha^* \in A^* \setminus \bigcup_{i < \kappa} \delta_i$ .

We choose by induction on  $i < \kappa$  an ordinal  $j_i$  and sets  $A_i, B_i$  such that:

- ( $\alpha$ )  $j_i < \kappa$  such that  $\mu_{j_i} > \lambda_i$  (so  $j_i > i$ ) and  $j_i$  strictly increasing in  $i$ ,
- ( $\beta$ )  $f_{\delta_i} \upharpoonright [j_i, \kappa) < f_{\alpha_{i+1}^*} \upharpoonright [j_i, \kappa) < f_{\alpha^*} \upharpoonright [j_i, \kappa) < f^* \upharpoonright [j_i, \kappa)$ ,
- ( $\gamma$ ) for each  $i_0 < i_1$  we have:  $c(\delta_{i_0}, \alpha_{i_1}^*) < j_{i_1}$ , and  $c(\alpha_{i_0}^*, \alpha_{i_1}^*) < j_{i_1}$ , and  $c(\alpha_{i_1}^*, \alpha^*) < j_{i_1}$  and  $c(\delta_{i_1}, \alpha^*) < j_{i_1}$ ,
- ( $\delta$ )  $A_i \subseteq A^* \cap (\alpha_i^*, \delta_i)$ ,
- ( $\epsilon$ )  $\text{otp}(A_i) = \mu_i^+$ ,
- ( $\zeta$ )  $A_i \in M_{\delta_i, j_i}$ ,
- ( $\eta$ )  $B_i \subseteq \lambda_{j_i}$ ,
- ( $\theta$ )  $\text{otp}(B_i) = \lambda_{j_i}$ ,
- ( $\iota$ )  $B_\varepsilon \in M_{\alpha_\varepsilon^*, j_\varepsilon}$  for  $\varepsilon < i$  and  $B_i \in \bigcup\{M_{\alpha_j^*, j} : j < \kappa\}$
- ( $\kappa$ ) for every  $\alpha \in \bigcup_{\varepsilon \leq i} A_\varepsilon \cup \{\alpha^*\}$  and  $\zeta \leq i$  and  $\beta \in B_\zeta \cup \{f^*(j_\zeta)\}$  we have  $d(\alpha, \beta) = g^*(j_\zeta)$ .

If we succeed then  $A = \bigcup_{\varepsilon < \kappa} A_\varepsilon \cup \{\alpha^*\}$  and  $B = \bigcup_{\zeta < \kappa} B_\zeta$  are as required. During the induction in stage  $i$  concerning ( $\iota$ ) we already know  $\varepsilon < i \Rightarrow \bigvee_{j < \kappa} B_\varepsilon \in M_{\alpha_j^*, j}$ . So

assume that the sequence  $\langle (j_\varepsilon, A_\varepsilon, B_\varepsilon) : \varepsilon < i \rangle$  has already been defined.

We can find  $j_i(0) < \kappa$  satisfying requirements ( $\alpha$ ), ( $\beta$ ), ( $\gamma$ ) and ( $\iota$ ) and such that  $\bigwedge_{\varepsilon < i} \lambda_{j_\varepsilon} < \mu_{j_i(0)}$ . Then by “ $j_1(0)$  satisfies clause ( $\gamma$ )” for each  $\varepsilon < i$  we have

$\delta_\varepsilon \in a_{j_i(0)}^{\alpha_i^*}$  and hence  $M_{\delta_\varepsilon, j_\varepsilon} \prec M_{\alpha_i^*, j_i(0)}$  (for  $\varepsilon < i$ ). But  $A_\varepsilon \in M_{\delta_\varepsilon, j_\varepsilon}$  (by clause ( $\zeta$ )) and  $B_\varepsilon \in M_{\alpha_\varepsilon^*, j_\varepsilon(0)}$  (for  $\varepsilon < i$ ), so  $\{A_\varepsilon, B_\varepsilon : \varepsilon < i\} \subseteq M_{\alpha_i^*, j_i(0)}$ . Since  $\kappa^{>}(M_{\alpha_i^*, j_i(0)}) \subseteq M_{\alpha_i^*, j_i(0)}$  (see (ii)), the sequence  $\langle (A_\varepsilon, B_\varepsilon) : \varepsilon < i \rangle$  belongs to  $M_{\alpha_i^*, j_i(0)}$ . We know that for  $\gamma_1 < \gamma_2$  in  $\text{nacc}(C_{\delta_i})$  we have  $c(\gamma_1, \gamma_2) \leq i$  (remember clause (B) and the choice of  $c$ ). As  $j_i(0) > i$  and so  $\mu_{j_i(0)} \geq \mu_i^+$ , the sequence

$$\bar{M}^* =: \langle M_{\alpha, j_i(0)} : \alpha \in \text{nacc}(C_{\delta_i}) \rangle$$

is  $\prec$ -increasing and  $\bar{M}^* \upharpoonright \alpha \in M_{\alpha, j_i(0)}$  for  $\alpha \in \text{nacc}(C_{\delta_i})$  and  $M_{\alpha_i^*, j_i(0)}$  appears in it. Also, as  $\delta_i \in \text{acc}(E)$ , there is an increasing sequence  $\langle \gamma_\xi : \xi < \mu_i^+ \rangle$  of members of  $\text{nacc}(C_{\delta_i})$  such that  $\gamma_0 = \alpha_i^*$  and  $(\gamma_\xi, \gamma_{\xi+1}) \cap E \neq \emptyset$ , say  $\beta_\xi \in (\gamma_\xi, \gamma_{\xi+1}) \cap E$ . Each element of  $\text{nacc}(C_{\delta_i})$  is a successor ordinal, so every  $\gamma_\xi$  is a successor ordinal. Each model  $M_{\gamma_\xi, j_i(0)}$  is closed under sequences of length  $\leq \mu_i^+$  by clause (ii), and hence  $\langle \gamma_\zeta : \zeta < \xi \rangle \in M_{\gamma_\xi, j_i(0)}$  (by choosing the right  $\bar{C}$  and  $\delta_i$ 's we could have managed to have  $\alpha_i^* = \min(C_{\delta_i})$ ,  $\{\gamma_\xi : \xi < \mu_i^+\} = \text{nacc}(C_\delta)$ , without using this amount of closure).

For each  $\xi < \mu_i^+$ , recalling  $\langle (A_\varepsilon, B_\varepsilon) : \varepsilon < i \rangle \in M_{\alpha_i^*, j_i(\delta)}$  we know that

$$(\mathcal{H}(\chi), \in, <_\chi^*) \models “(\exists x \in A^*) [x > \gamma_\xi \text{ and } (\forall \varepsilon < i)(\forall y \in B_\varepsilon)(d(x, y) = g^*(j_\varepsilon))]”$$

because  $x = \alpha^*$  satisfies it. As all the parameters, i.e.  $A^*$ ,  $\gamma_\xi$ ,  $d$ ,  $g^*$  and  $\langle B_\varepsilon : \varepsilon < i \rangle$ , belong to  $N_{\beta_\xi}$  (remember clauses (e) and (c); note that  $B_\varepsilon \in M_{\alpha_\varepsilon^*, j_\varepsilon(0)}$ ,  $\alpha_i^* < \beta_\xi$ ),

there is an ordinal  $\beta_\xi^* \in (\gamma_\xi, \beta_\xi) \subseteq (\gamma_\xi, \gamma_{\xi+1})$  satisfying the demands on  $x$ . Now, necessarily for some  $j_i(1, \xi) \in (j_i(0), \kappa)$  we have  $\beta_\xi^* \in M_{\gamma_{\xi+1}, j_i(1, \xi)}$ . Hence for some  $j_i < \kappa$  the set

$$A_i := \{\beta_\xi^* : \xi < \mu_i^+ \text{ and } j_i(1, \xi) = j_i\}$$

has cardinality  $\mu_i^+$ . Clearly  $A_i \subseteq A^*$  (as each  $\beta_\xi^* \in A^*$ ). Now, the sequence  $\langle M_{\gamma_\xi, j_i} : \xi < \mu_i^+ \rangle \setminus \langle M_{\delta_i, j_i} \rangle$  is  $\prec$ -increasing, and hence  $A_i \subseteq M_{\delta_i, j_i}$ . Since  $\mu_{j_i}^+ > \mu_i^+ = |A_i|$  we have  $A_i \in M_{\delta_i, j_i}$ . Note that at the moment we know that the set  $A_i$  satisfies the demands  $(\delta)$ – $(\zeta)$ . By the choice of  $j_i(0)$ , as  $j_i > j_i(0)$ , clearly  $M_{\delta_i, j_i} \prec M_{\alpha^*, j_i}$ , and hence  $A_i \in M_{\alpha^*, j_i}$ . Similarly,  $\langle A_\varepsilon : \varepsilon \leq i \rangle \in M_{\alpha^*, j_i}$ ,  $\alpha^* \in M_{\alpha^*, j_i}$  and

$$\sup(M_{\alpha^*, j_i} \cap \lambda_{j_i}) = f_{\alpha^*}(j_i) < f^*(j_i).$$

Consequently,  $\bigcup_{\varepsilon \leq i} A_\varepsilon \cup \{\alpha^*\} \subseteq M_{\alpha^*, j_i}$  (by the induction hypothesis or the above) and it belongs to  $M_{\alpha^*, j_i}$ . Since  $\bigcup_{\varepsilon \leq i} A_\varepsilon \cup \{\alpha^*\} \subseteq A^*$ , clearly

$$(\mathcal{H}(\chi), \in, <_\chi^*) \text{ “} (\forall x \in \bigcup_{\varepsilon \leq i} A_\varepsilon \cup \{\alpha^*\}) (d(x, f^*(j_i)) = g^*(j_i)) \text{”}.$$

Note that

$$\bigcup_{\varepsilon \leq i} A_\varepsilon \cup \{\alpha^*\}, g^*(j_i), d, \lambda_{j_i} \in M_{\alpha^*, j_i}$$

and

$$f^*(j_i) \in \lambda_{j_i} \setminus \sup(M_{\alpha^*, j_i} \cap \lambda_{j_i}).$$

Hence the set

$$B_i =: \{y < \lambda_{j_i} : (\forall x \in \bigcup_{\varepsilon \leq i} A_\varepsilon \cup \{\alpha^*\}) (d(x, y) = g^*(j_i))\}$$

has to be unbounded in  $\lambda_{j_i}$ . It is easy to check that  $j_i, A_i, B_i$  satisfy clauses  $(\alpha)$ – $(\kappa)$ .

Thus we have carried out the induction step, finishing the proof of the theorem.

□<sub>1.1</sub>

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**Theorem 1.2.** *Suppose  $\mu$  is singular limit of measurable cardinals.*

*Then*

- (1)  $\binom{\mu^+}{\mu} \rightarrow \binom{\mu}{\mu}_\theta^{1,1}$  if  $\theta = 2$  or at least  $\theta < \text{cf}(\mu)$
- (2) Moreover, if  $\alpha^* < \mu^+$  and  $\theta < \text{cf}(\mu)$  then  $\binom{\mu^+}{\mu} \rightarrow \binom{\alpha^*}{\mu}_\theta^{1,1}$
- (3) If  $\theta < \mu$ ,  $\alpha^* < \mu^+$  and  $d$  is a function from  $\mu^+ \times \mu$  to  $\theta$  then for some  $A \subseteq \mu^+$ ,  $\text{otp}(A) = \alpha^*$ , and  $B = \bigcup_{i < \text{cf}(\mu)} B_i \subseteq \mu$ ,  $|B| = \mu$  we have:

$$d \upharpoonright A \times B_i \text{ is constant for each } i < \text{cf}(\mu).$$

*Proof.* Easily  $3) \Rightarrow 2) \Rightarrow 1)$ , so we shall prove part 3).

Let  $d : \mu^+ \times \mu \rightarrow \theta$ . Let  $\kappa =: \text{cf}(\mu)$ . Choose sequences  $\langle \lambda_i : i < \kappa \rangle$  and  $\langle \mu_i : i < \kappa \rangle$  such that  $\langle \mu_i : i < \kappa \rangle$  is increasing continuous,  $\mu = \sum_{i < \kappa} \mu_i$ ,  $\mu_0 > \kappa + \theta$ , each  $\lambda_i$  is measurable and  $\mu_i < \lambda_i < \mu_{i+1}$  (for  $i < \kappa$ ). Let  $D_i$  be a  $\lambda_i$ -complete uniform ultrafilter on  $\lambda_i$ . For  $\alpha < \mu^+$  define  $g_\alpha \in {}^\kappa\theta$  by:  $g_\alpha(i) = \gamma$  iff  $\{\beta < \lambda_i : d(\alpha, \beta) = \gamma\} \in D_i$  (as  $\theta < \lambda_i$  it exists). The number of such functions is  $\theta^\kappa < \mu$  (as  $\mu$  is necessarily strong limit), so for some  $g^* \in {}^\kappa\theta$  the set  $A =: \{\alpha < \mu^+ : g_\alpha = g^*\}$  is unbounded in  $\mu^+$ . For each  $i < \kappa$  we define an equivalence relation  $e_i$  on  $\mu^+$ :

$$\alpha e_i \beta \quad \text{iff} \quad (\forall \gamma < \lambda_i)[d(\alpha, \gamma) = d(\beta, \gamma)].$$

So the number of  $e_i$ -equivalence classes is  $\leq \lambda_i \theta < \mu$ . Hence we can find  $\langle \alpha_\zeta : \zeta < \mu^+ \rangle$  an increasing continuous sequence of ordinals  $< \mu^+$  such that:

- (\*) for each  $i < \kappa$  and  $e_i$ -equivalence class  $X$  we have:  
either  $X \cap A \subseteq \alpha_0$   
or for every  $\zeta < \mu^+$ ,  $(\alpha_\zeta, \alpha_{\zeta+1}) \cap X \cap A$  has cardinality  $\mu$ .

Let  $\alpha^* = \bigcup_{i < \kappa} \alpha_i$ ,  $|a_i| = \mu_i$ ,  $\langle a_i : i < \kappa \rangle$  pairwise disjoint. Now we choose by induction on  $i < \kappa$ ,  $A_i, B_i$  such that:

- (a)  $A_i \subseteq \bigcup\{(\alpha_\zeta, \alpha_{\zeta+1}) : \zeta \in a_i\} \cap A$  and each  $A_i \cap (\alpha_\zeta, \alpha_{\zeta+1})$  is a singleton,  
(b)  $B_i \in D_i$ ,  
(c) if  $\alpha \in A_i, \beta \in B_j, j \leq i$  then  $d(\alpha, \beta) = g^*(j)$ .

Now, in stage  $i$ ,  $\langle (A_\varepsilon, B_\varepsilon) : \varepsilon < i \rangle$  are already chosen. Let us choose  $A_i$ . For each  $\zeta \in a_i$  choose  $\beta_\zeta \in (\alpha_\zeta, \alpha_{\zeta+1}) \cap A$  such that if  $i > 0$  then for some  $\beta' \in A_0$ ,  $\beta_\zeta e_i \beta'$ , and let  $A_i = \{\beta_\zeta : \zeta \in a_i\}$ . Now clause (a) is immediate, and the relevant part of clause (c), i.e.  $j < i$ , is O.K.

Next, as  $\bigcup_{j \leq i} A_j \subseteq A$ , the set

$$B_i =: \bigcap_{j \leq i} \bigcap_{\beta \in A_j} \{\gamma < \lambda_i : d(\beta, \gamma) = g^*(i)\}$$

is the intersection of  $\leq \mu_i < \lambda_i$  sets from  $D_i$  and hence  $B_i \in D_i$ . Clearly clauses (b) and the remaining part of clause (c) (i.e.  $j = i$ ) holds. So we can carry the induction and hence finish the proof.  $\square_{1.2}$

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