

ERDÖS AND RÉNYI CONJECTURE

SAHARON SHELAH

Institute of Mathematics
The Hebrew University
Jerusalem, Israel

Rutgers University
Department of Mathematics
New Brunswick, NJ USA

ABSTRACT. Affirming a conjecture of Erdős and Rényi we prove that for any (real number) $c_1 > 0$ for some $c_2 > 0$, if a graph G has no $c_1(\log n)$ nodes on which the graph is complete or edgeless (i.e. G exemplifies $|G| \rightarrow (c_1 \log n)_2^2$) then G has at least $2^{c_2 n}$ non-isomorphic (induced) subgraphs.

modified:2002-07-16

627 revision:1997-08-26

Latest Revision 97/Aug/14

I thank Alice Leonhardt for the beautiful typing

Typeset by $\mathcal{A}\mathcal{M}\mathcal{S}$ - $\mathcal{T}\mathcal{E}\mathcal{X}$

§0 INTRODUCTION

Erdős and Rényi conjectured (letting $I(G)$ denote the number of (induced) subgraphs of G up to isomorphism and $Rm(G)$ be the maximal number of nodes on which G is complete or edgeless):

(*) for every $c_1 > 0$ for some $c_2 > 0$ for n large enough for every graph G_n with n points

$$\otimes \quad Rm(G_n) < c_1(\log n) \Rightarrow I(G_n) \geq 2^{c_2 n}.$$

They succeeded to prove a parallel theorem replacing $Rm(G)$ by the bipartite version:

$$\text{Bipartite}(G) =: \text{Max} \left\{ k : \text{there are disjoint sets } A_1, A_2 \text{ of } k \text{ nodes of } G, \right. \\ \left. \begin{array}{l} \text{such that } (\forall x_1 \in A_1)(\forall x_2 \in A_2)(\{x_1, x_2\} \text{ an edge}) \text{ or} \\ (\forall x_1 \in A_1)(\forall x_2 \in A_2)(\{x_1, x_2\} \text{ is not an edge}) \end{array} \right\}.$$

It is well known that $Rm(G_n) \geq \frac{1}{2} \log n$. On the other hand, Erdős [Er7] proved that for every n for some graph G_n , $Rm(G_n) \leq 2 \log n$. In his construction G_n is quite a random graph; it seems reasonable that any graph G_n with small $Rm(G_n)$ is of similar character and this is the rationale of the conjecture.

Alon and Bollobas [AlBl] and Erdős and Hajnal [EH9] affirm a conjecture of Hajnal:

- (*) if $Rm(G_n) < (1 - \varepsilon)n$ then $I(G_n) > \Omega(\varepsilon n^2)$
and Erdős and Hajnal [EH9] also prove
- (*) for any fixed k , if $Rm(G_n) < \frac{n}{k}$ then $I(G_n) > n^{\Omega(\sqrt{k})}$.

Alon and Hajnal [AH] noted that those results give poor bounds for $I(G_n)$ in the case $Rm(G_n)$ is much smaller than a multiple of $\log n$, and prove an inequality weaker than the conjecture:

$$(*) \quad I(G_n) \geq 2^{n/2t^{20 \log(2t)}} \text{ when } t = Rm(G_n)$$

so in particular if $t \geq c \log n$ they got $I(G_n) \geq 2^{n/(\log n)^{c \log \log n}}$, that is the constant c_2 in the conjecture is replaced by $(\log n)^{c \log \log n}$ for some c .

I thank Andras Hajnal for telling me about the problem and Mariusz Rabus and Andres Villaveces for some corrections.

§1

1.1 Notation. $\log n = \log_2 n$.

Let c denote a positive real.

G, H denote graphs, which are here finite, simple and undirected.

V^G is the set of nodes of the graph G .

E^G is the set of edges of the graph G so $G = (V^G, E^G)$, E^G is a symmetric, irreflexive relation on V^G i.e. a set of unordered pairs. So $\{x, y\} \in E^G, xEy, \{x, y\}$ an edge of G , all have the same meaning.

$H \subseteq G$ means that H is an induced subgraph of G ; i.e. $H = G \upharpoonright V^H$.

Let $|X|$ be the number of elements of the set X .

1.2 Definition. $I(G)$ is the number of (induced) subgraphs of G up to isomorphisms.

1.3 Theorem. For any $c_1 > 0$ for some $c_2 > 0$ we have (for n large enough): if G is a graph with n edges and G has neither a complete subgraph with $\geq c_1 \log n$ nodes nor a subgraph with no edges with $\geq c_1 \log n$ nodes then $I(G) \geq 2^{c_2 n}$.

1.4 Remark. 1) Suppose $n \twoheadrightarrow (r_1, r_2)$ and m are given. Choose a graph H on $\{0, \dots, n-1\}$ exemplifying $n \twoheadrightarrow (r_1, r_2)^2$ (i.e. with no complete subgraphs with r_1 nodes and no independent set with r_2 nodes). Define the graph G with set of nodes $V^G = \{0, \dots, mn-1\}$ and set of edges $E^G = \{\{mi_1 + \ell_1, mi_2 + \ell_2\} : \{i_1, i_2\} \in E^H \text{ and } \ell_1, \ell_2 < m\}$. Clearly G has nm nodes and it exemplifies $mn \twoheadrightarrow (r_1, mr_2)$. So $I(G) \leq (m+1)^n \leq 2^{n \log_2(m+1)}$ (as the isomorphism type of $G' \subseteq G$ is determined by $|\{G' \cap [mi, mi+m) : i < n\}|$). We conjecture that this is the worst case.

2) Similarly if $n \twoheadrightarrow \left(\begin{matrix} r_1 \\ r_2 \end{matrix}\right)_2$; i.e. there is a graph with n nodes and no disjoint $A_1, A_2 \subseteq V^G, |A_1| = r_1, |A_2| = r_2$ such that $A_1 \times A_2 \subseteq E^G$ or $(A_1 \times A_2) \cap E^G = \emptyset$, then there is G exemplifying $mn \rightarrow \left(\begin{matrix} n_1 m \\ r_2 m \end{matrix}\right)_2$ such that $I(G) \leq 2^{n \log(m+1)}$.

Proof. Let c_1 , a real > 0 , be given.

Let m_1^* be¹ such that for every n (large enough) $\frac{n}{(\log n)^2 \log \log n} \rightarrow (c_1 \log n, \frac{c_1}{m_1^*} \log n)$.

[Why does it exist? By Erdős and Szekeres [ErSz] $\binom{n_1+n_2-2}{n-1} \rightarrow (n_1, n_2)^2$ and hence for any k letting $n_1 = km, n_2 = m$ we have $\binom{km+m-2}{m-1} \rightarrow (km, m)^2$, now $\binom{m+m-2}{m-1} \leq 2^{2(m-1)}$ and

$$\begin{aligned} \binom{(k+1)m+m-2}{m-1} / \binom{km+m-2}{m-1} &= \prod_{i=0}^{m-2} \left(1 + \frac{m}{km+i}\right) \\ &\leq \prod_{i=0}^{m-2} \left(1 + \frac{m}{km}\right) = \left(1 + \frac{1}{k}\right)^{m-1} \end{aligned}$$

¹the $\log \log n$ can be replaced by a constant computed from m_1^*, m_2^*, c_ℓ later

hence $\binom{km+m-2}{m-1} \leq \left(4 \cdot \prod_{\ell=0}^{k-2} \left(1 + \frac{1}{\ell+1}\right)\right)^{m-1}$, and choose k large enough (see below). For (large enough) n we let $m = (c_1 \log n)/k$, more exactly the first integer is not below this number so

$$\begin{aligned} \log \binom{km+m-2}{m-1} &\leq \log \left(4 \cdot \prod_{\ell=0}^{k-2} \left(1 + \frac{1}{\ell+1}\right)\right)^{m-1} \\ &\leq (\log n) \cdot \frac{c_1}{k} \cdot \log \left(4 \cdot \prod_{\ell=0}^{k-2} \left(1 + \frac{1}{\ell+1}\right)\right) \leq \frac{1}{2}(\log n) \end{aligned}$$

(the last inequality holds as k is large enough); lastly let m_1^* be such a k . Alternatively, just repeat the proof of Ramsey's theorem.]

Let m_2^* be minimal such that $m_2^* \rightarrow (m_1^*)_2^2$.

Let $c_2 < \frac{1}{m_2^*}$ (be a positive real).

Let $c_3 \in (0, 1)_{\mathbb{R}}$ be such that $0 < c_3 < \frac{1}{m_2^*} - c_2$.

Let $c_4 \in \mathbb{R}^+$ be $4/c_3$ (even $(2 + \varepsilon)/c_3$ suffices).

Let $c_5 = \frac{1-c_2-c_3}{m_2^*}$ (it is > 0).

Let $\varepsilon \in (0, 1)_{\mathbb{R}}$ be small enough.

Now suppose

(*)₀ n is large enough, G a graph with n nodes and $I(G) < 2^{c_2 n}$.

We choose $A \subseteq V^G$ in the following random way: for each $x \in V^G$ we flip a coin with probability $c_3/\log n$, and let A be the set of $x \in V^G$ for which we succeed. For any $A \subseteq V^G$ let \approx_A be the following relation on V^G , $x \approx_A y$ iff $x, y \in V^G$ and $(\forall z \in A)[zE^G x \leftrightarrow zE^G y]$. Clearly \approx_A is an equivalence relation; and let $\approx'_A = \approx_A \upharpoonright (V^G \setminus A)$.

For distinct $x, y \in V^G$ what is the probability that $x \approx_A y$? Let

$$\text{Dif}(x, y) =: \{z : z \in V^G \text{ and } zE^G x \leftrightarrow \neg zE^G y\},$$

and $\text{dif}(x, y) = |\text{Dif}(x, y)|$, so the probability of $x \approx_A y$ is

$$\left(1 - \frac{c_3}{\log n}\right)^{\text{dif}(x, y)} \sim e^{-c_3 \text{dif}(x, y)/\log n}.$$

Hence the probability that for some $x \neq y$ in V^G satisfying $\text{dif}(x, y) \geq c_4(\log n)^2$ we have $x \approx_A y$ is at most

$$\binom{n}{2} e^{-c_3(c_4(\log n)^2)/\log n} \leq \binom{n}{2} e^{-4 \log n} \leq 1/n^2$$

(remember $c_3 c_4 = 4$ and $(4/\log e) \geq 2$). Hence for some set A of nodes of G we have

(*)₁ $A \subseteq V^G$ and A has $\leq \frac{c_3}{\log n} \cdot n$ elements and A is non-empty and

(*)₂ if $x \approx_A y$ then $\text{dif}(x, y) \leq c_4(\log n)^2$.

Next

(*)₃ $\ell =: |(V^G \setminus A) / \approx_A|$ (i.e. the number of equivalence classes of $\approx'_A = \approx_A \upharpoonright (V^G \setminus A)$) is $< (c_2 + c_3) \cdot n$

[why? let C_1, \dots, C_ℓ be the \approx'_A -equivalence classes. For each $u \subseteq \{1, \dots, \ell\}$ let $G_u = G \upharpoonright (A \cup \bigcup_{i \in u} C_i)$. So G_u is an induced subgraph of G and $(G_u, c)_{c \in A}$ for $u \subseteq \{1, \dots, \ell\}$ are pairwise non- isomorphic structures, so

$$\begin{aligned} 2^\ell &= |\{u : u \subseteq \{1, \dots, \ell\}\}| \leq |\{f : f \text{ a function from } A \text{ into } V^G\}| \times I(G) \\ &\leq n^{|A|} \times I(G), \end{aligned}$$

hence (first inequality by the hypothesis toward contradiction)

$$\begin{aligned} 2^{c_2 n} > I(G) &\geq 2^\ell \times n^{-|A|} \geq 2^\ell \cdot n^{-c_3 n / \log n} \\ &= 2^\ell \times 2^{-c_3 n} \end{aligned}$$

hence

$$c_2 n > \ell - c_3 n \text{ so } \ell < (c_2 + c_3)n \text{ and we have gotten } (*)_3].$$

Let $\{B_i : i < i^*\}$ be a maximal family such that:

- (a) each B_i is a subset of some \approx'_A -equivalence class
- (b) the B_i 's are pairwise disjoint
- (c) $|B_i| = m_1^*$
- (d) $G \upharpoonright B_i$ is a complete graph or a graph with no edges.

Now if $x \in V^G \setminus A$ then $(x / \approx'_A) \setminus \bigcup_{i < i^*} B_i$ has $< m_2^*$ elements (as $m_2^* \rightarrow (m_1^*)^2$ by the choice of m_2^* and “ $\langle B_i : i < i^* \rangle$ is maximal”). Hence

$$\begin{aligned} n &= |V^G| = |A| + \left| \bigcup_{i < i^*} B_i \right| + |V^G \setminus A \setminus \bigcup_{i < i^*} B_i| \\ &\leq c_3 \frac{n}{\log n} + m_1^* \times i^* + |(V^G \setminus A) / \approx'_A| \times m_2^* \\ &\leq c_3 \frac{n}{\log n} + m_1^* \times i^* + m_2^* (c_2 + c_3) n \\ &= c_3 \frac{n}{\log n} + m_1^* \times i^* + (1 - m_2^* c_5) \cdot n \end{aligned}$$

hence

$$(*)_4 \quad i^* \geq \frac{n}{m_1^*} (m_2^* c_5 - \frac{c_3}{\log n}).$$

modified:2002-07-16

627 revision:1997-08-26

For $i < i^*$ let

$$B_i = \{x_{i,0}, x_{i,2}, \dots, x_{m_1^*-1}\},$$

and let

$$u_i =: \left\{ j < i^* : j \neq i \text{ and for some } \ell_1 \in \{1, \dots, m_1^* - 1\} \text{ and} \right. \\ \left. \ell_2 \in \{0, \dots, m_1^* - 1\} \text{ we have} \right. \\ \left. x_{j,\ell_2} \in \text{Dif}(x_{i,0}, x_{i,\ell_1}) \right\}.$$

Clearly

$$(*)_5 \quad |u_i| \leq m_1^*(m_1^* - 1)c_4(\log n)^2.$$

Next we can find W such that

$$(*)_6 \quad (i) \quad W \subseteq \{0, \dots, i^* - 1\} \\ (ii) \quad |W| \geq i^*/(m_1^*(m_1^* - 1)c_4(\log n)^2) \\ (iii) \quad \text{if } i \neq j \text{ are members of } W \text{ then } j \notin u_i.$$

[Why? By de Bruijn and Erdős [ErBr]; however we shall give a proof when we weaken the bound. First weaken the demand to

$$(iii)' \quad i \in W \ \& \ j \in W \ \& \ i < j \Rightarrow j \notin u_i.$$

This we get as follows: choose the i -th member by induction. Next we find $W' \subseteq W$ such that W' satisfies (iii); then choose this is done similarly but we choose the members from the top down (inside W) so the requirement on i is $i \in W \ \& \ (\forall j)(i < j \in W' \rightarrow i \notin u_j)$ so our situation is similar. So we have proved the existence, except that we get a somewhat weaker bound, which is immaterial here].

Now for some $W' \subseteq W$

$$(*) \quad W' \subseteq W, |W'| \geq \frac{1}{2}|W|, \text{ and all the } G \upharpoonright B_i \text{ for } i \in W' \text{ are complete graphs} \\ \text{or all are independent sets.}$$

By symmetry we may assume the former.

Let us sum up the relevant points:

- (A) $W' \subseteq \{0, \dots, i^* - 1\}$,
 $|W'| \geq \frac{(m_2^*c_5 - \frac{c_3}{\log n}) \cdot n}{2(m_1^*)^2(m_1^* - 1)c_4(\log n)^2}$
- (B) $G \upharpoonright B_i$ is a complete graph for $i \in W'$
- (C) $B_i = \{x_{i,\ell} : \ell < m_1^*\}$ without repetition and
 $i_1, i_2 < i^*, \ell_1, \ell_2 < m_1^* \Rightarrow x_{i_1,\ell_1} E^G x_{i_2,\ell_2} \equiv x_{i_1,0} E^G x_{i_2,0}$.

But by the choice of m_1^* (and as n is large enough hence $|W'|$ is large enough) we know $|W'| \rightarrow \left(\frac{c_1}{m_1^*} \log n, \frac{c_1}{1} \log n\right)^2$.

We apply it to the graph $\{x_{i,0} : i \in W'\}$.

So one of the following occurs:

(α) there is $W'' \subseteq W'$ such that $|W''| \geq \frac{c_1}{m_1^*} \log n$ and $\{x_{i,0} : i \in W''\}$ is a complete graph

or

(β) there is $W'' \subseteq W'$ such that $|W''| \geq c_1(\log n)$ and $\{x_{i,0} : i \in W''\}$ is a graph with no edges.

Now if possibility (β) holds, then $\{x_{i,0} : i \in W''\}$ is as required and if possibility (α) holds then $\{x_{i,t} : i \in W'', t < m_1^*\}$ is as required (see (C) above).

REFERENCES.

- [AlBl] Noga Alon and Bela Bollobas. Graphs with a small number of distinct induced subgraphs. *Discrete Math*, **75**:23–30, 1989.
- [AH] Noga Alon and Andreas Hajnal. Ramsey graphs contains many distinct induced subgraphs. *Graphs and combinatorics*, **7**:1–6, 1991.
- [ErBr] Nicolaas G. de Bruijn and Paul Erdős. A colour problem for infinite graphs and a problem in the theory of relation. *Akademia Amsterdam*, **13**:371–373, 1951.
- [Er7] Paul Erdős. Some remarks on the theory of graphs. *Bull. Am. Math. Soc*, **53**:292–294, 1947.
- [EH9] Paul Erdős and Andras Hajnal. On the number of distinct induced subgraphs of a graph. *Discrete Math*, **75**:145–154, 1989.
- [ErSz] Paul Erdős and George Szekeres. A combinatorial problem in geometry. *??*, **2**:463–470, 1935.