

# THE LIFTING PROBLEM WITH THE FULL IDEAL

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*Abstract.* We show that there are a cardinal  $\mu$ , a  $\sigma$ -ideal  $I \subseteq \mathcal{P}(\mu)$  and a  $\sigma$ -subalgebra  $\mathcal{B}$  of subsets of  $\mu$  extending  $I$  such that  $\mathcal{B}/I$  satisfies the c.c.c. but the quotient algebra  $\mathcal{B}/I$  has no lifting.

**0. Introduction.** In the present paper we prove the following theorem.

**Theorem 0.1.** *For some  $\mu$  (in fact,  $\mu = (2^{\aleph_0})^{++}$  suffices) there is a  $\sigma$ -ideal  $I$  on  $\mathcal{P}(\mu)$  and a  $\sigma$ -subalgebra  $\mathcal{B}$  of  $\mathcal{P}(\mu)$  extending  $I$  such that  $\mathcal{B}/I$  satisfies the c.c.c. but  $\mathcal{B}/I$  has no lifting.*

This result answers a question of David Fremlin (see chapter on measure algebras in Fremlin [2]). Moreover, it solves the problem of topologizing a Category Base (see Detlefsen Szymański [3], Morgan [6], Shilling [11] and Szymański [12]).

Note that it is well known (Mokobodzki's theorem; see Fremlin [2]) that under CH, if  $|\mathcal{B}/I| \leq (2^{\aleph_0})^+$  then this is impossible; i.e. the quotient algebra  $\mathcal{B}/I$  has a lifting.

Toward the end we deal with having better  $\mu$ .

I thank Andrzej Szymański for asking me the question and Max Burke and Mariusz Rabus for corrections.

**Notation:** Our notation is rather standard. All cardinals are assumed to be infinite and usually they are denoted by  $\lambda$ ,  $\kappa$ ,  $\mu$ .

In Boolean algebras we use  $\cap$  (and  $\bigcap$ ),  $\cup$  (and  $\bigcup$ ) and  $-$  for the Boolean operations.

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*Date:* October 6, 2003.

1991 *Mathematics Subject Classification.* Primary: 03E05, 28A51.

I would like to thank Alice Leonhardt for the beautiful typing.

The research was partially supported by "Basic Research Foundation" of the Israel Academy of Sciences and Humanities. Publication 636.

**1. The proof of Theorem 0.1.**

**Main Lemma 1.1.** *Suppose that*

- (a)  $\mu, \lambda$  are cardinals satisfying  $\mu = \mu^{\aleph_0}, \lambda \leq 2^\mu$ ,
- (b)  $\mathfrak{B}$  is a complete c.c.c. Boolean algebra,
- (c)  $x_i \in \mathfrak{B} \setminus \{0\}$  for  $i < \lambda$ ,
- (d) for each sequence  $\langle (u_i, f_i) : i < \lambda \rangle$  such that  $u_i \in [\lambda]^{\leq \aleph_0}, f_i \in {}^{u_i}2$  there are  $n < \omega$  (but  $n > 0$ ) and  $i_0 < i_1 \dots < i_{n-1}$  in  $\lambda$  such that:
  - ( $\alpha$ ) the functions  $f_{i_0}, \dots, f_{i_{n-1}}$  are compatible,
  - ( $\beta$ )  $\mathfrak{B} \models \bigcap_{\ell < n} x_{i_\ell} = 0$ .

Then

- ( $\oplus$ ) there are a  $\sigma$ -ideal  $I$  on  $\mathcal{P}(\mu)$  and a  $\sigma$ -algebra  $\mathfrak{A}$  of subsets of  $\mu$  extending  $I$  such that  $\mathfrak{A}/I$  satisfies the c.c.c. and the natural homomorphism  $\mathfrak{A} \rightarrow \mathfrak{A}/I$  cannot be lifted.

PROOF Without loss of generality the algebra  $\mathfrak{B}$  has cardinality  $\lambda^{\aleph_0}$  ( $\leq 2^\mu$ ). Let  $\langle Y_b : b \in \mathfrak{B} \rangle$  be a sequence of subsets of  $\mu$  such that any non-trivial countable Boolean combination of the  $Y_b$ 's is non-empty (possible by [1] as  $\mu = \mu^{\aleph_0}$  and the algebra  $\mathfrak{B}$  has cardinality  $\leq 2^\mu$ ; see background in [4]). Let  $\mathfrak{A}_0$  be the Boolean subalgebra of  $\mathcal{P}(\mu)$  generated by  $\{Y_b : b \in \mathfrak{B}\}$ . So  $\{Y_b : b \in \mathfrak{B}\}$  freely generates  $\mathfrak{A}_0$  and hence there is a unique homomorphism  $h_0$  from  $\mathfrak{A}_0$  into  $\mathfrak{B}$  satisfying  $h_0(Y_b) = b$ .

A Boolean term  $\sigma$  is hereditarily countable if  $\sigma$  belongs to the closure  $\Sigma$  of the set of terms  $\bigcap_{i < i^*} y_i$  for  $i^* < \omega_1$  under composition and under  $-y$ .

Let  $\mathcal{E}$  be the set of all equations  $\mathbf{e}$  of the form  $0 = \sigma(b_0, b_1, \dots, b_n, \dots)_{n < \omega}$  which hold in  $\mathfrak{B}$ , where  $\sigma$  is hereditarily countable. For  $\mathbf{e} \in \mathcal{E}$  let  $\text{cont}(\mathbf{e})$  be the set of  $b \in \mathfrak{B}$  mentioned in it (i.e.  $\{b_n : n < \omega\}$ ) and let  $Z_{\mathbf{e}} \subseteq \mu$  be the set  $\sigma(Y_{b_0}, Y_{b_1}, \dots, Y_{b_n}, \dots)_{n < \omega}$ .

Let  $I$  be the  $\sigma$ -ideal of  $\mathcal{P}(\mu)$  generated by the family  $\{Z_{\mathbf{e}} : \mathbf{e} \in \mathcal{E}\}$  and let  $\mathfrak{A}_1$  be the Boolean Algebra of subsets of  $\mathcal{P}(\mu)$  generated by  $I \cup \{Y_b : b \in \mathfrak{B}\}$ .

**Claim 1.1.1.**  $I \cap \mathfrak{A}_0 = \text{Ker}(h_0)$ .

*Proof of the claim:* Plainly  $\text{Ker}(h_0) \subseteq I \cap \mathfrak{A}_0$ . For the converse inclusion it is enough to consider elements of  $\mathfrak{A}_0$  of the form

$$Y = \bigcap_{\ell=1}^n Y_{b_\ell} - \bigcup_{\ell=n+1}^{2n} Y_{b_\ell}.$$

If  $\mathfrak{B} \models \text{“} \bigcap_{\ell=1}^n b_\ell - \bigcup_{\ell=n+1}^{2n} b_\ell = 0 \text{”}$  then easily  $h_0(Y) = 0$ . So assume that

$$\mathfrak{B} \models \text{“} c = \bigcap_{\ell=1}^n b_\ell - \bigcup_{\ell=n+1}^{2n} b_\ell \neq 0 \text{”},$$

and we shall prove  $Y \notin I$ . Let  $Z \in I$ , so for some  $\mathbf{e}_m \in \mathcal{E}$  for  $m < \omega$  we have  $Z \subseteq \bigcup_{m < \omega} Z_{\mathbf{e}_m}$ . Let  $g$  be a homomorphism from  $\mathfrak{B}$  into the 2-element Boolean Algebra  $\mathfrak{B}_0 = \{0, 1\}$  such that  $g(c) = 1$ , and  $g$  respects all the equations  $\mathbf{e}_m$  (including those of the form  $b = \bigcup_{k < \omega} b_k$ ; possible by the Sikorski theorem).

By the choice of the  $Y_b$ 's, there is  $\alpha < \mu$  such that:

if  $b \in \{b_\ell : \ell = 1, \dots, 2n\} \cup \bigcup_{m < \omega} \text{cont}(\mathbf{e}_m)$  then

$$g(b) = 1 \Leftrightarrow \alpha \in Y_b.$$

So easily  $\alpha \notin Z_{\mathbf{e}_m}$  for  $m < \omega$ , and  $\alpha \in \bigcap_{\ell=1}^n Y_{b_\ell} \setminus \bigcup_{\ell=n+1}^{2n} Y_{b_\ell}$ , so  $Y$  is not a subset of  $Z$ . As  $Z$  was an arbitrary element of  $I$  we get  $Y \notin I$ , so we have finished proving 1.1.1.

It follows from 1.1.1 that we can extend  $h_0$  (the homomorphism from  $\mathfrak{A}_0$  onto  $\mathfrak{B}$ ) to a homomorphism  $h_1$  from  $\mathfrak{A}_1$  onto  $\mathfrak{B}$  with  $I = \text{Ker}(h_1)$ . Let  $\mathfrak{A}_2$  be the  $\sigma$ -algebra of subsets of  $\mu$  generated by  $\mathfrak{A}_1$ .

**Claim 1.1.2.** *For every  $Y \in \mathfrak{A}_2$  there is  $b \in \mathfrak{B}$  such that  $Y \equiv Y_b \pmod I$ . Consequently,  $\mathfrak{A}_2 = \mathfrak{A}_1$ .*

*Proof of the claim:* Let  $Y \in \mathfrak{A}_2$ . Then  $Y$  is a (hereditarily countable) Boolean combination of some  $Y_{b_\ell}$  ( $\ell < \omega$ ) and  $Z_n$  ( $n < \omega$ ), where  $b_\ell \in \mathfrak{B}$ ,  $Z_n \in I$ . Let  $Z_n \subseteq \bigcup_{m < \omega} Z_{\mathbf{e}_{n,m}}$ , where  $\mathbf{e}_{n,m} \in \mathcal{E}$ , and say

$$Y = \sigma(Y_{b_0}, Z_0, Y_{b_1}, Z_1, \dots, Y_{b_n}, Z_n, \dots)_{n < \omega}.$$

Let  $\mathbf{e}_{n,m}$  be  $0 = \sigma_{n,m}(b_{n,m,0}, b_{n,m,1}, \dots)$ . Then clearly  $\bigcup_{n,m < \omega} Z_{\mathbf{e}_{n,m}} \in I$  (use the definition of  $I$ ). In  $\mathfrak{B}$ , let  $b = \sigma(b_0, 0, b_1, 0, \dots, b_n, 0, \dots)$  and let  $\sigma^* = \sigma^*(b_0, b_1, \dots, b_{n,m,\ell}, \dots)_{n,m,\ell < \omega}$  be the following term

$$\bigcup_{n,m} \sigma_{n,m}(b_{n,m,0}, b_{n,m,1}, \dots) \cup (b - \sigma(b_0, 0, b_1, 0, \dots, b_m, 0, \dots)) \cup \cup(\sigma(b_0, 0, b_1, 0, \dots, b_n, 0, \dots) - b) \cup 0.$$

Clearly  $\mathfrak{B} \models \text{“} 0 = \sigma^* \text{”}$ , so the equation  $\mathbf{e}$  defined as  $0 = \sigma^*$  belongs to  $\mathcal{E}$ , and thus  $Z_{\mathbf{e}}$  is well defined. It follows from the definition of  $\sigma^*$  that  $(Y \setminus Y_b) \cup (Y_b \setminus Y) \subseteq Z_{\mathbf{e}} \in I$ .

modified:1997-12-23

636 revision:1997-12-23

So we can sum up:

- (a)  $I$  is an  $\aleph_1$ -complete ideal of  $\mathcal{P}(\mu)$ ,
- (b)  $\mathfrak{A}_1$  is a  $\sigma$ -algebra of subsets of  $\mu$ ,
- (c)  $I \subseteq \mathfrak{A}_1$ ,
- (d)  $h_1$  is a homomorphism from  $\mathfrak{A}_1$  onto  $\mathfrak{B}$ , with kernel  $I$ ,
- (e)  $\mathfrak{B}$  is a complete c.c.c. Boolean algebra.

This is exactly as required, so the “only” point left is

**Claim 1.1.3.** *The homomorphism  $h_1$  cannot be lifted.*

*Proof of the claim:* Assume that  $h_1$  can be lifted, so there is a homomorphism  $g_1 : \mathfrak{B} \rightarrow \mathfrak{A}_1$  such that  $h_1 \circ g_1 = \text{id}_{\mathfrak{B}}$ .

For  $i < \lambda$  let  $Z_i = (g_1(x_i) - Y_{x_i}) \cup (Y_{x_i} - g_1(x_i))$ , so by the assumption on  $g_1$  necessarily  $Z_i \in I$ . Consequently we can find  $e_{i,n} \in \mathcal{E}$  for  $n < \omega$  such that  $Z_i \subseteq \bigcup_{n < \omega} Z_{e_{i,n}}$ . Let  $W_i = \{x_i\} \cup \bigcup_{n < \omega} \text{cont}(e_{i,n})$ , so  $W_i \subseteq \mathfrak{B}$  is countable. Let  $\mathfrak{B}'$  be the subalgebra of  $\mathfrak{B}$  generated by  $\bigcup_{i < \lambda} W_i$ . Clearly  $|\mathfrak{B}'| = \lambda$ , so there

is a one-to-one function  $t$  from  $\lambda$  onto  $\mathfrak{B}'$ . Put  $u_i = t^{-1}(W_i) \in [\lambda]^{\leq \aleph_0}$ .

For each  $i$  there is a homomorphism  $f_i$  from  $\mathfrak{B}$  into the 2-element Boolean Algebra  $\{0, 1\}$  such that  $f_i(x_i) = 1$  and  $f_i$  respects all the equations  $e_{i,n}$  for  $n < \omega$  (as in the proof of 1.1.1). Let  $f'_i : u_i \rightarrow \{0, 1\}$  be defined by  $f'_i(\alpha) = f_i(t(\alpha))$ . Then by clause (d) of the hypothesis there are  $n < \omega$  and  $i_0 < \dots < i_{n-1} < \lambda$  such that:

- ( $\alpha$ ) the functions  $f'_{i_0}, \dots, f'_{i_{n-1}}$  are compatible,
- ( $\beta$ )  $\mathfrak{B} \models \bigcap_{\ell < n} x_{i_\ell} = 0$ .

Hence

- ( $\alpha'$ ) the functions  $f_{i_0} \upharpoonright W_{i_0}, \dots, f_{i_{n-1}} \upharpoonright W_{i_{n-1}}$  are compatible<sup>1</sup>, call their union  $g$ .

Now let  $\alpha < \mu$  be such that:

$$(\otimes_1) \quad \ell < n \ \& \ b \in W_{i_\ell} \quad \Rightarrow \quad [\alpha \in Y_b \Leftrightarrow g(b) = 1]$$

(it exists by the choice of the  $Y_b$ 's and ( $\alpha'$ )).

By ( $\otimes_1$ ) and the choice of  $f_{i_\ell}$  we have:

$$(\otimes_2) \quad \alpha \in Y_{x_{i_\ell}}$$

(because  $f_{i_\ell}(x_{i_\ell}) = 1$ ) and

$$(\otimes_3) \quad \alpha \notin Z_{e_{i_\ell, n}} \text{ for } n < \omega$$

(because  $f_{i_\ell}$  respects  $e_{i_\ell, n}$  and  $\text{cont}(e_{i_\ell, n}) \subseteq W_{i_\ell}$ ) and

$$(\otimes_4) \quad \alpha \notin Z_{i_\ell}$$

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<sup>1</sup>as functions, not as homomorphisms

(by  $(\otimes_3)$  as  $Z_{i_\ell} \subseteq \bigcup_{n < \omega} Z_{e_{i_\ell, n}}$ ).

So  $\alpha \in Y_{x_{i_\ell}} \setminus Z_{i_\ell}$  and thus  $\alpha \in g_1(x_{i_\ell})$ . Hence  $\alpha \in \bigcap_{\ell < n} g_1(x_{i_\ell})$ . Since  $g_1$  is a homomorphism we have

$$\bigcap_{\ell < n} g_1(x_{i_\ell}) = g_1\left(\bigcap_{\ell < n} x_{i_\ell}\right) = g_1(0) = \emptyset$$

(we use clause  $(\beta)$  above). A contradiction. ■<sub>1.1</sub>

*Remark 1.2.* (1) Concerning the assumptions of 1.1, note that they seem closely related to

$(\oplus_\mu)$  there is a c.c.c. Boolean Algebra  $\mathfrak{B}$  of cardinality  $\leq \lambda$  which is not the union of  $\leq \mu$  ultrafilters (i.e.  $d(\mathfrak{B}) > \mu$ ).

(See the proof of 1.7 below).

(2) Concerning  $(\oplus_\mu)$ , by [8], if  $\lambda = \mu^+$ ,  $\mu = \mu^{\aleph_0}$  then there is no such Boolean algebra. By [9], it is consistent then  $\lambda = \mu^{++} \leq 2^\mu$ ,  $\aleph_0 < \mu = \mu^{<\mu}$  and  $(\oplus_\mu)$  above holds using (see below) a Boolean algebra of the form  $BA(W)$ ,  $W \subseteq [\lambda]^3$ ,  $(\forall u_1 \neq u_2 \in W)(|u_1 \cap u_2| \leq 1)$ . Hajnal, Juhasz and Szentmiklossy [5] prove the existence of a c.c.c. Boolean algebra  $\mathfrak{B}$  with  $d(\mathfrak{B}) = \mu$  of cardinality  $2^\mu$  when there is a Jonsson algebra on  $\mu$  (or  $\mu$  is a limit cardinal) using  $BA(W)$ ,  $W \subseteq [\lambda]^{<\aleph_0}$ ,  $u \neq v \in W \Rightarrow |u \cap v| < |u|/2$ . The claim we need is close to this. On the existence of Jonson cardinals (and its history) see [10]. Of course, also in 1.7 if  $\mu$  is not strong limit, instead “ $M$  is a Jonsson algebra on  $\mu$ ” it suffices that “ $M$  is not the union of  $< \mu$  subalgebras”. Rabus Shelah [7] prove the existence of a c.c.c. Boolean Algebra  $\mathfrak{B}$  with  $d(\mathfrak{B}) = \mu$  for every  $\mu$ .

**Definition 1.3.** (1) For a set  $u$  let

$$\text{pfil}(u) \stackrel{\text{def}}{=} \{w : w \subseteq \mathcal{P}(u), u \in w, w \text{ is upward closed and if } (u_1, u_2) \text{ is a partition of } u \text{ then } u_1 \in w \text{ or } u_2 \in w\}$$

[pfil stands for “pseudo-filter”].

(2) The canonical (pfil)  $w$  of  $u$  for a finite set  $u$  is

$$\text{half}(u) = \{v \subseteq u : |v| \geq |u|/2\}.$$

(3) We say that  $(W, \mathbf{w})$  is a  $\lambda$ -candidate if:

- (a)  $W \subseteq [\lambda]^{<\aleph_0}$ ,
- (b)  $\mathbf{w}$  is a function with domain  $W$ ,
- (c)  $\mathbf{w}(u) \in \text{pfil}(u)$  for  $u \in W$
- (d) if  $v \in [\lambda]^{<\aleph_0}$  then  $\text{cl}_{(W, \mathbf{w})}(v) \stackrel{\text{def}}{=} \{u \in W : u \cap v \in \mathbf{w}(u)\}$  is finite.

modified:1997-12-23

636 revision:1997-12-23

- (4) We say  $W$  is a  $\lambda$ -candidate if  $(W, \text{half} \upharpoonright W)$  is a  $\lambda$ -candidate.  
 (5) Instead of  $\lambda$  we can use any ordinal (or even set).  
 (6) We say that  $\mathcal{U} \subseteq \lambda$  is  $(W, \mathbf{w})$ -closed if for each  $u \in W$

$$u \cap \mathcal{U} \in \mathbf{w}(u) \quad \Rightarrow \quad u \subseteq \mathcal{U}.$$

**Definition 1.4.** (1) For a  $\lambda$ -candidate  $(W, \mathbf{w})$  let  $BA(W, \mathbf{w})$  be the Boolean algebra generated by  $\{x_i : i < \lambda\}$  freely except

$$\bigcap_{i \in u} x_i = 0 \quad \text{for} \quad u \in W.$$

- (2) For a  $\lambda$ -candidate  $W$ , let

$$BA(W) = BA(W, \text{half} \upharpoonright W).$$

- (3) For a  $\lambda$ -candidate  $(W, \mathbf{w})$  let  $BA^c(W, \mathbf{w})$  be the completion of  $BA(W, \mathbf{w})$ ; similarly  $BA^c(W)$ .

**Proposition 1.5.** *Let  $(W, \mathbf{w})$  be a  $\lambda$ -candidate. Then the Boolean algebra  $BA(W, \mathbf{w})$  satisfies the c.c.c. and has cardinality  $\lambda$ , so  $BA^c(W, \mathbf{w})$  satisfies the c.c.c. and has cardinality  $\leq \lambda^{\aleph_0}$ .*

**PROOF** Let  $b_\alpha = \sigma_\alpha(x_{i_{\alpha,0}}, \dots, x_{i_{\alpha, n_\alpha-1}})$  be nonzero members of  $BA(W, \mathbf{w})$  (for  $\alpha < \omega_1$  and  $\sigma_\alpha$  a Boolean term). Without loss of generality  $\sigma_\alpha = \sigma$ ,  $n_\alpha = n(*)$  and  $i_{\alpha,0} < i_{\alpha,1} < \dots < i_{\alpha, n_\alpha-1}$ , and  $\langle \langle i_{\alpha, \ell} : \ell < n(*) \rangle : \alpha < \omega_1 \rangle$  forms a  $\Delta$ -system, so

$$i_{\alpha_1, \ell_1} = i_{\alpha_2, \ell_2} \ \& \ \alpha_1 \neq \alpha_2 \quad \Rightarrow \quad \ell_1 = \ell_2 \ \& \ (\forall \alpha < \omega_1)(i_{\alpha, \ell_1} = i_{\alpha_1, \ell_1}).$$

Also we can replace  $b_\alpha$  by any nonzero  $b'_\alpha \leq b_\alpha$ , so without loss of generality for some  $s_\alpha \subseteq n(*)$  ( $= \{0, \dots, n(*) - 1\}$ ) we have

$$b_\alpha = \bigcap_{\ell \in s_\alpha} x_{i_{\alpha, \ell}} \cap \bigcap_{\ell \in n(*) \setminus s_\alpha} (-x_{i_{\alpha, \ell}}) > 0$$

and without loss of generality  $s_\alpha = s$ . Put (for  $\alpha < \omega_1$ )

$$\mathbf{u}_\alpha \stackrel{\text{def}}{=} \{u \in W : u \cap \{i_{\alpha, \ell} : \ell \in s\} \in \mathbf{w}(u)\}$$

and note that these sets are finite (remember 1.3(3d)). Hence the sets

$$u_\alpha = \bigcup \{u : u \in \mathbf{u}_\alpha\}$$

are finite. Without loss of generality  $\langle \{i_{\alpha, \ell} : \ell < n(*)\} \cup u_\alpha : \alpha < \omega_1 \rangle$  is a  $\Delta$ -system. Now let  $\alpha \neq \beta$  and assume  $b_\alpha \cap b_\beta = 0$ . Clearly we have

$$b_\alpha \cap b_\beta = \bigcap_{\ell \in s} (x_{i_{\alpha, \ell}} \cap x_{i_{\beta, \ell}}) \cap \bigcap_{\ell \in n(*) \setminus s} (-x_{i_{\alpha, \ell}} \cap -x_{i_{\beta, \ell}}).$$

Note that, by the  $\Delta$ -system assumption, the sets  $\{i_{\alpha,\ell}, i_{\beta,\ell} : \ell \in s\}$ ,  $\{i_{\alpha,\ell}, i_{\beta,\ell} : \ell \in n(*) \setminus s\}$  are disjoint. So why is  $b_\alpha \cap b_\beta$  zero? The only possible reason is that for some  $u \in W$  we have  $u \subseteq \{i_{\alpha,\ell}, i_{\beta,\ell} : \ell \in s\}$ . Thus

$$u = (u \cap \{i_{\alpha,\ell} : \ell \in s\}) \cup \{u \cap \{i_{\beta,\ell} : \ell \in s\}\}$$

and without loss of generality  $u \cap \{i_{\alpha,\ell} : \ell \in s\} \in \mathbf{w}(u)$ . Hence  $u \in \mathbf{u}_\alpha$  and therefore  $u \subseteq u_\alpha$ . Now we may easily finish the proof.  $\blacksquare_{1.5}$

*Remark 1.6.* If we define a  $(\lambda, \kappa)$ -candidate weakening clause (d) to

$$(d)_\kappa \ v \in [\lambda]^{<\aleph_0} \Rightarrow \kappa > |\{u \in W : u \cap v \in \mathbf{w}(u)\}|,$$

then the algebra  $BA(W, \mathbf{w})$  satisfies the  $\kappa^+$ -c.c.c.

[Why? We repeat the proof of Proposition 1.5 replacing  $\aleph_1$  with  $\kappa$ . There is a difference only when  $\mathbf{u}_\alpha$  has cardinality  $< \kappa$  (instead being finite) and (being the union of  $< \kappa$  finite sets) also  $u_\alpha$  has cardinality  $\mu_\alpha < \kappa$ . Wlog  $\mu_\alpha = \mu < \kappa$ . Clearly the set

$$S \stackrel{\text{def}}{=} \{\delta < \kappa^+ : \text{cf}(\delta) = \mu^+\}$$

is a stationary subset of  $\kappa^+$ , so for some stationary subset  $S^*$  of  $S$  and  $\alpha(*) < \kappa$  we have:

$$(\forall \alpha \in S^*)(u_\alpha \cap \alpha \subseteq \alpha^* \quad \& \quad u_\alpha \subseteq \min(S^* \setminus (\alpha + 1))).$$

Let us define  $u_\alpha^* = u_\alpha \cup \{i_{\alpha,\ell} : \ell \in s\} \setminus \alpha(*)$ . Wlog  $\langle u_\alpha^* : \alpha \in S^* \rangle$  is a  $\Delta$ -system. The rest should be clear.]

**Theorem 1.7.** *Assume that there is a Jonsson algebra on  $\mu$ ,  $\lambda = 2^\mu$ , and*

$$(\forall \alpha < \mu)(|\alpha|^{\aleph_0} < \mu = \text{cf}(\mu)).$$

*Then for some  $\lambda$ -candidate  $(W, \mathbf{w})$  the Boolean algebra  $BA^c(W, \mathbf{w})$  and  $\lambda$  satisfy the assumptions (b)–(d) of 1.1.*

PROOF Let  $F : [\mu]^{<\aleph_0} \rightarrow \mu$  be such that

$$(\forall A \in [\mu]^\mu)[F''([A]^{<\aleph_0} \setminus [A]^{<2}) = \mu]$$

(well known and easily equivalent to the existence of a Jonsson algebra).

Let  $\langle \bar{A}^\alpha : \alpha < 2^\mu \rangle$  list the sequences  $\bar{A} = \langle A_i : i < \mu \rangle$  such that

- $A_i \in [2^\mu]^\mu$ ,
- $(\forall i < \mu)(\exists \alpha)(A_i \subseteq [\mu \times \alpha, \mu \times \alpha + \mu])$ , and
- $i < j < \mu \Rightarrow A_i \cap A_j = \emptyset$ .

Without loss of generality we have  $A_i^\alpha \subseteq \mu \times (1 + \alpha)$  and each  $\bar{A}$  is equal to  $\bar{A}^\alpha$  for  $2^\mu$  ordinals  $\alpha$ . Clearly  $\text{otp}(A_i^\alpha) = \mu$ .

By induction on  $\alpha < 2^\mu$  we choose pairs  $(W_\alpha, \mathbf{w}_\alpha)$  and functions  $F_\alpha$  such that

- ( $\alpha$ )  $(W_\alpha, \mathbf{w}_\alpha)$  is a  $\mu \times (1 + \alpha)$ -candidate,
- ( $\beta$ )  $\beta < \alpha$  implies  $W_\beta = W_\alpha \cap [\mu \times (1 + \beta)]^{<\aleph_0}$  and  $\mathbf{w}_\beta = \mathbf{w}_\alpha \upharpoonright W_\beta$ ,
- ( $\gamma$ )  $F_\alpha$  is a one-to-one function from the set
 
$$\{u : u \subseteq [\mu \times (1 + \alpha), \mu \times (1 + \alpha + 1)] \text{ finite with } \geq 2 \text{ elements}\}$$
 into  $\bigcup_{i < \mu} A_i^\alpha$ ,
- ( $\delta$ )  $W_{\alpha+1} = W_\alpha \cup \{u \cup \{F_\alpha(u)\} : u \in W_\alpha^*\}$ , where
 
$$W_\alpha^* = \{u : u \text{ a subset of } [\mu \times (1 + \alpha), \mu \times (1 + \alpha + 1)] \text{ such that } \aleph_0 > |u| \geq 2\}$$
,
- ( $\varepsilon$ ) for any (finite)  $u \in W_\alpha^*$  we have
 
$$\mathbf{w}_{\alpha+1}(u \cup \{F_\alpha(u)\}) = \{v \subseteq u \cup \{F_\alpha(u)\} : u \subseteq v \text{ or } F_\alpha(u) \in v \ \& \ v \cap u \neq \emptyset\}$$
,
- ( $\zeta$ )  $F_\alpha$  is such that for any subset  $X$  of  $J_\alpha = [\mu \times (1 + \alpha), \mu \times (1 + \alpha + 1)]$  of cardinality  $\mu$  and  $i < \mu$  and  $\gamma \in A_i^\alpha$  for some finite subset  $u$  of  $X$  with  $\geq 2$  elements we have  $F_\alpha(u) \in A_i^\alpha \setminus \gamma$ .

There is no problem to carry out the definition so that clauses ( $\beta$ )–( $\zeta$ ) are satisfied (to define functions  $F_\alpha$  use the function  $F$  chosen at the beginning of the proof). Then  $(W_\alpha, \mathbf{w}_\alpha)$  is defined for each  $\alpha < 2^\mu$  (at limit stages  $\alpha$  we take  $W_\alpha = \bigcup_{\beta < \alpha} W_\beta$ ,  $\mathbf{w}_\alpha = \bigcup_{\beta < \alpha} \mathbf{w}_\beta$ , of course).

**Claim 1.7.1.** *For each  $\alpha < 2^\mu$ ,  $(W_\alpha, \mathbf{w}_\alpha)$  is a  $\mu \times (1 + \alpha)$ -candidate.*

*Proof of the claim:* We should check the requirements of 1.3(3). Clauses (a), (b) there are trivially satisfied. For the clause (c) note that every element  $u$  of  $W_\alpha$  is of the form  $u' \cup \{F_\beta(u')\}$  for some  $\beta < \alpha$  and  $u' \in W_\beta^*$ . Now, if  $u = u_0 \cup u_1$  then either one of  $u_0, u_1$  contains  $u'$  or one of the two sets contains  $F_\beta(u')$  and has non-empty intersection with  $u'$ . In both cases we are done. Regarding the demand (d) of 1.3(3), note that if

$$v \in [2^\mu]^{<\aleph_0}, \quad u \in W_\alpha, \quad u = u' \cup \{F_\beta(u')\}, \quad u' \in W_\beta^*, \quad \beta < \alpha$$

and  $v \cap u \in \mathbf{w}_{\beta+1}(u)$  then  $v \cap u' \neq \emptyset$  and either  $u' \subseteq v$  or  $F_\beta(u') \in u$ . Hence, using the fact that the functions  $F_\gamma$  are one-to-one, we easily show that for every  $v \in [2^\mu]^{<\aleph_0}$  the set

$$\{u \in W_\alpha : u \cap v \in \mathbf{w}_\alpha(u)\}$$

is finite (remember the definition of  $\mathbf{w}_{\beta+1}$ ), finishing the proof of the claim.

Let  $W = \bigcup_\alpha W_\alpha$ ,  $\mathbf{w} = \bigcup_\alpha \mathbf{w}_\alpha$ ,  $\mathfrak{B} = BA^c(W, \mathbf{w})$ . It follows from 1.7.1 that  $(W, \mathbf{w})$  is a  $\lambda$ -candidate. The main point of the proof of the theorem is clause (d) of the assumptions of 1.1. So let  $f_\alpha : u_\alpha \rightarrow \{0, 1\}$  for  $\alpha < 2^\mu$ ,  $u_\alpha \in [2^\mu]^{<\aleph_0}$ , be given. For each  $\alpha < 2^\mu$ , by the assumption that



$(\forall \beta < \mu)[|\beta|^{\aleph_0} < \mu = \text{cf}(\mu)]$  and by the  $\Delta$ -lemma, we can find  $X_\alpha \in [\mu]^\mu$  such that  $\langle f_{\mu \times \alpha + \zeta} : \zeta \in X_\alpha \rangle$  forms a  $\Delta$ -system with heart  $f_\alpha^*$ . Let

$G = \{g : g \text{ is a partial function from } 2^\mu \text{ to } \{0, 1\} \text{ with countable domain}\}$ .

For each  $g \in G$  let  $\langle \gamma(g, i) : i < i(g) \rangle$  be a maximal sequence such that  $g \subseteq f_{\gamma(g, i)}^*$  and

$$\text{Dom}(f_{\gamma(g, i)}^*) \cap \text{Dom}(f_{\gamma(g, j)}^*) = \text{Dom}(g) \quad \text{for } j < i$$

(just choose  $\gamma(g, i)$  by induction on  $i$ ).

By induction on  $\zeta \leq \omega_1$ , we choose  $Y_\zeta, G_\zeta, Z_\zeta$  and  $U_{\zeta, g}$  such that

- (a)  $Y_\zeta \in [2^\mu]^{\leq \mu}$  is increasing continuous in  $\zeta$ ,
- (b)  $Z_\zeta \stackrel{\text{def}}{=} \bigcup \{ \text{Dom}(f_\gamma) : (\exists \alpha \in Y_\zeta)[\mu \times \alpha \leq \gamma < \mu \times (\alpha + 1)] \}$ ,
- (c)  $G_\zeta = \{g \in G : \text{Dom}(g) \subseteq Z_\zeta\}$ ,
- (d) for  $g \in G_\zeta$  we have:  $U_{\zeta, g}$  is  $\{i : i < i(g)\}$  if  $i(g) < \mu^+$  and otherwise it is a subset of  $i(g)$  of cardinality  $\mu$  such that

$$j \in U_{\zeta, g} \Rightarrow \text{Dom}(f_{\gamma(g, j)}^*) \cap Z_\zeta = \text{Dom}(g),$$

- (e)  $Y_{\zeta+1} = Y_\zeta \cup \{ \gamma(g, j) : g \in G_\zeta \text{ and } j \in U_{\zeta, g} \}$ .

Let  $Y = Y_{\omega_1}$ . Let  $\{(g_\varepsilon, \xi_\varepsilon) : \varepsilon < \varepsilon(*)\}$ ,  $\varepsilon(*) \leq \mu$ , list the set of pairs  $(g, \xi)$  such that  $\xi < \omega_1$ ,  $g \in G_\xi$  and  $i(g) \geq \mu^+$ . We can find  $\langle \zeta_\varepsilon : \varepsilon < \varepsilon(*) \rangle$  such that  $\langle \gamma(g_\varepsilon, \zeta_\varepsilon) : \varepsilon < \varepsilon(*) \rangle$  is without repetition and  $\zeta_\varepsilon \in U_{g_\varepsilon, \xi_\varepsilon}$ . Then for some  $\alpha < 2^\mu \setminus Y_{\omega_1}$  we have

$$(\forall \varepsilon < \varepsilon(*))(A_\varepsilon^\alpha = \{ \mu \times \gamma(g_\varepsilon, \zeta_\varepsilon) + \Upsilon : \Upsilon \in X_{\gamma(g_\varepsilon, \zeta_\varepsilon)} \}).$$

Now let  $g = f_\alpha^* \upharpoonright Z_{\omega_1}$ . Then for some  $\zeta_0(*) < \omega_1$  we have  $g \in G_{\zeta_0(*)}$  and thus  $U_{g, \zeta} \subseteq i(g)$  for  $\zeta \in [\zeta_0(*), \omega_1)$  and  $\langle \gamma(g, i) : i < i(g) \rangle$  are well defined. Now,  $\alpha$  exemplifies that  $i(g) < \mu^+$  is impossible (see the maximality of  $i(g)$ , as otherwise  $i < i(g) \Rightarrow \gamma(g, i) \in Y_{\zeta_0(*)+1} \subseteq Y_{\omega_1}$ ).

Next, for each  $\gamma \in X_\alpha$ ,  $\text{Dom}(f_{\mu \times \alpha + \gamma})$  is countable and hence for some  $\zeta_{1, \gamma} < \omega_1$  we have  $\text{Dom}(f_{\mu \times \alpha + \gamma}) \cap Z_{\omega_1} \subseteq Z_{\zeta_{1, \gamma}}$ . As  $\text{cf}(\mu) > \aleph_1$  necessarily for some  $\zeta_1(*) < \omega_1$  we have that  $X'_\alpha \stackrel{\text{def}}{=} \{ \gamma \in X_\alpha : \zeta_{1, \gamma} \leq \zeta_1(*) \} \in [\mu]^\mu$ , and without loss of generality  $\zeta_1(*) \geq \zeta_0(*)$ .

So for some  $\varepsilon < \varepsilon(*) \leq \mu$  we have  $g_\varepsilon = g$  &  $\xi_\varepsilon = \zeta_1(*) + 1$ . Let  $\Upsilon_\varepsilon = \gamma(g_\varepsilon, \zeta_\varepsilon)$ . Clearly

- (\*)<sub>1</sub>  $f_\alpha^*, f_{\Upsilon_\varepsilon}^*$  are compatible (and countable),
- (\*)<sub>2</sub>  $\langle f_{\mu \times \alpha + \gamma} : \gamma \in X'_\alpha \rangle$  is a  $\Delta$ -system with heart  $f_\alpha^*$ .

So possibly shrinking  $X'_\alpha$  without loss of generality

- (\*)<sub>3</sub> if  $\gamma \in X'_\alpha$  then  $f_{\mu \times \alpha + \gamma}$  and  $f_{\Upsilon_\varepsilon}^*$  are compatible.

For each  $\gamma \in X'_\alpha$  let

$$t_\gamma = \{\beta \in X_{\Upsilon_\varepsilon} : f_{\mu \times \Upsilon_\varepsilon + \beta} \text{ and } f_{\mu \times \alpha + \gamma} \text{ are incompatible}\}.$$

As  $\langle f_{\mu \times \Upsilon_\varepsilon + \beta} : \beta \in X_{\Upsilon_\varepsilon} \rangle$  is a  $\Delta$ -system with heart  $f_{\Upsilon_\varepsilon}^*$  (and  $(*)_3$ ) necessarily

$$(*)_4 \quad \gamma \in X'_\alpha \text{ implies } t_\gamma \text{ is countable.}$$

For  $\gamma \in X'_\alpha$  let

$$s_\gamma \stackrel{\text{def}}{=} \bigcup \{u : u \text{ is a finite subset of } X'_\alpha \text{ and } F_\alpha(\{\mu \times \alpha + \beta : \beta \in u\}) \text{ belongs to } t_\gamma\}.$$

As  $F_\alpha$  is a one-to-one function clearly

$$(*)_5 \quad s_\gamma \text{ is a countable set.}$$

Hence without loss of generality (possibly shrinking  $X'_\alpha$ ), as  $\mu > \aleph_1$ ,

$$(*)_6 \quad \text{if } \gamma_1 \neq \gamma_2 \text{ are from } X'_\alpha \text{ then } \gamma_1 \notin s_{\gamma_2}.$$

By the choice of  $F_\alpha$  for some finite subset  $u$  of  $X'_\alpha$  with at least two elements, letting  $u' \stackrel{\text{def}}{=} \{\mu \times \alpha + j : j \in u\}$  we have

$$\beta \stackrel{\text{def}}{=} F_\alpha(u') \in \{\mu \times \gamma(g_\varepsilon, \zeta_\varepsilon) + \gamma : \gamma \in X_{\gamma(g_\varepsilon, \zeta_\varepsilon)}\}$$

(remember  $\Upsilon_\varepsilon = \gamma(g_\varepsilon, \zeta_\varepsilon)$ ), so  $u' \cup \{\beta\} \in W$ . Thus it is enough to show that  $\{f_{\mu \times \alpha + j} : j \in u\} \cup \{f_\beta\}$  are compatible. For this it is enough to check any two. Now,  $\{f_{\mu \times \alpha + j} : j \in u\}$  are compatible as  $\langle f_{\mu \times \alpha + j} : j \in X_\alpha \rangle$  is a  $\Delta$ -system. So let  $j \in u$ , why are  $f_{\mu \times \alpha + j}$ ,  $f_\beta$  compatible? As otherwise  $\beta - (\mu \times \Upsilon_\varepsilon) \in t_j$  and hence  $u$  is a subset of  $s_j$ . But  $u$  has at least two elements, so there is  $\gamma \in u \setminus \{j\}$ . Now  $u$  is a subset of  $X'_\alpha$  and this contradicts the statement  $(*)_6$  above, finishing the proof.  $\blacksquare_{1.7}$

*Remark 1.8.* In 1.7, we can also get  $d(BA(W, \mathbf{w})) = \mu$ , but this is irrelevant to our aim. E.g. in this case let for  $i < \mu$ ,  $h_i$  be a partial function from  $2^\mu$  to  $\{0, 1\}$  such that  $\text{Dom}(h_i) \cap [\beta, \beta + \mu)$  is finite for  $\beta < 2^\mu$  and such that every finite such function is included in some  $h_i$ . Choosing the  $(W_\alpha, \mathbf{w}_\alpha)$  preserve:

$$\{x_\beta : h_i(\beta) = 1\} \cup \{-x_\beta : h_i(\beta) = 0\} \text{ generates a filter of } BA(W_\alpha, \mathbf{w}_\alpha).$$

*Conclusion 1.9.* Theorem 0.1 holds.

PROOF By 1.1, 1.7.  $\blacksquare_{2.1}$

**2. Getting the example for  $\mu = (\aleph_2)^{\aleph_0}$ ,  $\lambda = 2^{\aleph_2}$ .** Our aim here is to show that there are  $I, \mathfrak{B}$  as in 0.1 for  $\mu = (\aleph_2)^{\aleph_0}$ . For this we shall weaken the conditions in the Main Lemma 1.1 (see 2.1 below) and then show that we can get it in a variant of 1.7 (see 2.2 below). More fully, by 2.2 there is a  $2^{\aleph_2}$ -candidate  $(W, \mathbf{w})$  satisfying the assumptions of 2.1 except possibly clause (a), so  $\mu$  is irrelevant in the clauses (b)–(f). Let  $\mu = (\aleph_2)^{\aleph_0} = \aleph_2 + 2^{\aleph_0}$  and apply 2.2. Now we get the conclusion of 1.1 as required.

**Proposition 2.1.** *Assume that*

- (a)  $\mu = \mu^{\aleph_0}$ ,  $\lambda \leq 2^\mu$ ,
- (b)  $\mathfrak{B}$  is a complete c.c.c. Boolean Algebra,
- (c)  $x_i \in \mathfrak{B} \setminus \{0\}$  for  $i < \lambda$ , and  $\mathcal{S} \subseteq \{u \in [\lambda]^{\leq \aleph_0} : (\forall i \in \lambda \setminus u)(x_i \notin \mathfrak{B}_u)\}$ , where  $\mathfrak{B}_u$  is the completion of  $\langle \{x_i : i \in u\} \rangle_{\mathfrak{B}}$  in  $\mathfrak{B}$  (for  $u \in [\lambda]^{\leq \aleph_0}$ ),
- (d)<sup>-</sup> if  $i \in u_i \in [\lambda]^{\leq \aleph_0}$  for  $i < \lambda$ , then we can find  $n < \omega$ ,  $i_0 < \dots < i_{n-1} < \lambda$  and  $u \in \mathcal{S}(\subseteq [\lambda]^{\leq \aleph_0})$  such that:
  - (i)  $\mathfrak{B} \models \text{“} \bigcap_{\ell < n} x_{i_\ell} = 0 \text{”}$ ,
  - (ii)  $i_\ell \in u_{i_\ell} \setminus u$  for  $\ell < n$ ,
  - (iii)  $\langle u_{i_\ell} \setminus u : \ell < n \rangle$  are pairwise disjoint;
- (e)  $u \in \mathcal{S}$  &  $i \in \lambda \setminus u$  &  $y \in \mathfrak{B}_u \setminus \{0, 1\} \Rightarrow y \cap x_i \neq 0$  &  $y - x_i \neq 0$ ,
- (f)  $\mathcal{S}$  is cofinal in  $([\mu]^{< \aleph_0}, \subseteq)$   
 [actually, it follows from (d)<sup>-</sup>].

Then there are a  $\sigma$ -ideal  $I$  on  $\mathcal{P}(\mu)$  and a  $\sigma$ -algebra  $\mathfrak{A}$  of subsets of  $\mu$  extending  $I$  such that  $\mathfrak{A}/I$  satisfies the c.c.c. and the natural homomorphism  $\mathfrak{A} \rightarrow \mathfrak{A}/I$  cannot be lifted.

*Remark:* Actually we can in clause (e) omit “ $y - x_i \neq 0$ ”.

**PROOF** Repeat the proof of 1.1 till the definition of  $e_{i,n}$  and  $W_i$  in the beginning of the proof of 1.1.3 (which says that  $h_2$  cannot be lifted). Then choose  $u_i \in \mathcal{S}$  such that  $W_i \subseteq \mathfrak{B}_{u_i}$  (exists by clause (f) of our assumptions). By clause (d)<sup>-</sup> we can find  $n < \omega$ ,  $i_0 < \dots < i_{n-1}$  and  $u \in \mathcal{S}$  such that clauses (i),(ii),(iii) of (d)<sup>-</sup> hold.

**Claim 2.1.1.** *For  $\ell < n$ , there are homomorphisms  $f_{i_\ell}$  from  $\mathfrak{B}$  into  $\{0, 1\}$  respecting  $e_{i_\ell, m}$  for  $m < \omega$  and mapping  $x_{i_\ell}$  to 1 such that  $\langle f_{i_\ell} \upharpoonright (W_{i_\ell} \cap \mathfrak{B}_u) : \ell < n \rangle$  are compatible functions.*

*Proof of the claim:* E.g. by absoluteness it suffices to find it in some generic extension. Let  $G_u \subseteq \mathfrak{B}_u$  be a generic ultrafilter. Now  $\mathfrak{B}_u \triangleleft \mathfrak{B}$  and  $(\forall y \in G_u)(y \cap x_{i_\ell} > 0)$  (see clause (e)). So there is a generic ultrafilter  $G_\ell$  of  $\mathfrak{B}$  extending  $G_u$  such that  $x_{i_\ell} \in G_\ell$ . Define  $f_{i_\ell}$  by  $f_{i_\ell}(y) = 1 \Leftrightarrow y \in G_\ell$

modified:1997-12-23

636 revision:1997-12-23

for  $y \in u_{i_\ell}$ . By Clause (iii) of **(d)**<sup>-</sup> those functions are compatible and we finish as in 1.1.

Thus we have finished. ■2.1

**Theorem 2.2.** *In 1.7 if we let e.g.  $\mu = \aleph_2$  then we can find a  $2^\mu$ -candidate  $(W, \mathbf{w})$  such that  $BA^c(W, \mathbf{w})$  satisfies the clauses (b)–(f) of 2.1.*

**PROOF** In short, we repeat the proof of 1.7 after defining  $(W, \mathbf{w})$ . But now we are being given  $\langle u_i : i < \lambda \rangle$ ,  $u_i \in [2^\mu]^{\leq \aleph_0}$ ,  $i \in u_i$ . For each  $\alpha < 2^\mu$  (we cannot in general find a  $\Delta$ -system but) we can find  $u_\alpha^*$ ,  $X_\alpha$  such that  $X_\alpha \in [\mu]^\mu$ ,  $u_\alpha^* \in \mathcal{S} \subseteq [2^\mu]^{\leq \aleph_0}$  and  $\langle u_{\mu \times \alpha + i} \setminus u_\alpha^* : i \in X_\alpha \rangle$  are pairwise disjoint, and  $i \in X_\alpha \Rightarrow \mu \times \alpha + i \in u_{\mu \times \alpha + i} \setminus u_\alpha^*$  and we continue as there (replacing the functions by the sets where instead  $G_\zeta = \{g : g \in Z_\zeta, \text{Dom}(g) \subseteq Z_\zeta\}$  we let  $h_\zeta$  be a one-to-one function from  $Z_\zeta$  onto  $\mu$  and  $G_\zeta = \{u \subseteq Z_\zeta : h_\zeta''(u) \in \mathcal{S}\}$  and instead  $g = f_\alpha \upharpoonright Z_{\omega_1}$  let  $u_\alpha^* \cap Z_{\omega_1} \subseteq Z_{\zeta_0(*)}$ ,  $u_\alpha^* \cap Z_{\omega_1} \subseteq v \in G_\zeta$ ).

**DETAILED PROOF** Let  $F^* : [\mu]^{< \aleph_0} \rightarrow \mu$  be such that

$$(\forall A \in [\mu]^\mu)[F''([A]^{< \aleph_0} \setminus [A]^{< 2}) = \mu].$$

Let  $\langle \bar{A}^\alpha : \alpha < 2^\mu \rangle$  list the sequences  $\bar{A} = \langle A_i : i < \mu \rangle$  such that  $A_i \in [2^\mu]^\mu$ ,  $(\forall i < \mu)(\exists \alpha)(A_i \subseteq [\mu \times \alpha, \mu \times \alpha + \mu])$  and  $i < j < \mu \Rightarrow A_i \cap A_j = \emptyset$ . Without loss of generality we have  $A_i^\alpha \subseteq \mu \times (1 + \alpha)$  and each  $\bar{A}$  is equal to  $\bar{A}^\alpha$  for  $2^\mu$  ordinals  $\alpha$ . Clearly  $\text{otp}(A_i^\alpha) = \mu$ .

We choose by induction on  $\alpha < 2^\mu$  pairs  $(W_\alpha, \mathbf{w}_\alpha)$  and functions  $F_\alpha$  such that

- ( $\alpha$ )  $(W_\alpha, \mathbf{w}_\alpha)$  is a  $\mu \times (1 + \alpha)$ -candidate,
- ( $\beta$ )  $\beta < \alpha$  implies  $W_\beta = W_\alpha \cap [\mu \times (1 + \beta)]^{< \aleph_0}$ ,  $\mathbf{w}_\beta = \mathbf{w}_\alpha \upharpoonright W_\beta$ ,
- ( $\gamma$ )  $F_\alpha$  is a one-to-one function from

$\{u : u \subseteq [\mu \times (1 + \alpha), \mu \times (1 + \alpha + 1)] \text{ finite with at least two elements}\}$

into  $\bigcup_{i < \mu} A_i^\alpha$ ,

- ( $\delta$ )  $W_{\alpha+1} = W_\alpha \cup \{u \cup \{F_\alpha(u)\} : u \in W_\alpha^*, \text{ where } W_\alpha^* = \{u : u \text{ is a subset of } [\mu \times (1 + \alpha), \mu \times (1 + \alpha + 1)] \text{ such that } \aleph_0 > |u| \geq 2\},$
- ( $\varepsilon$ ) for finite  $u \in W_\alpha^*$  we have

$$\mathbf{w}(u \cup \{F_\alpha(u)\}) = \{v \subseteq u \cup \{F_\alpha(u)\} : u \subseteq v \text{ or } F_\alpha(u) \in v \ \& \ v \cap u \neq \emptyset\},$$

- ( $\zeta$ ) Let  $F_\alpha$  be such that for any subset  $X$  of  $J_\alpha = [\mu \times (1 + \alpha), \mu \times (1 + \alpha + 1)]$  of cardinality  $\mu$  and  $i < \mu$  and  $\gamma \in A_i^\alpha$  for some finite subset  $u$  of  $X$  we have  $F_\alpha(u) \in A_i^\alpha \setminus \gamma$ .

There are no difficulties in carrying out the construction and checking that it as required. Let  $W = \bigcup_{\alpha} W_{\alpha}$ ,  $\mathbf{w} = \bigcup_{\alpha} \mathbf{w}_{\alpha}$ ,  $\mathfrak{B} = BA^c(W, \mathbf{w})$ . Clearly  $(W, \mathbf{w})$  is a  $\lambda$ -candidate.

Let  $\mathcal{S}^* \subseteq [\mu]^{\leq \aleph_0}$  be stationary of cardinality  $\mu$ . Let

$$\mathcal{S}' = \{u \in [\lambda]^{\leq \aleph_0} : \text{if } v \in W \text{ and } v \cap u \in \mathbf{w}(v) \text{ then } v \subseteq u\}.$$

Now, clause (f) holds as  $(W, \mathbf{w})$  satisfies clause (d) of Definition 1.3(3). As for clause (e) use Lemma 2.3 below.

The main point is clause (d)<sup>-</sup> of 2.1. So let  $i \in a_i \in [\lambda^{\mu}]^{\leq \aleph_0}$  for  $i < \lambda$  be given. For each  $\alpha < \lambda$ , as  $\mu = \aleph_2$  we can find  $X_{\alpha} \in [\mu]^{\mu}$  and  $a_{\alpha}^* \in \mathcal{S}'$  such that  $\alpha \in a_{\alpha}^*$  and:

$$(\otimes_{\alpha}) \quad \zeta_1 \neq \zeta_2 \ \& \ \zeta_1 \in X_{\alpha} \ \& \ \zeta_2 \in X_{\alpha} \ \Rightarrow \quad a_{\mu \times \alpha + \zeta_1} \cap a_{\mu \times \alpha + \zeta_2} \subseteq a_{\alpha}^* \ \text{and} \\ \zeta \in X_{\alpha} \ \Rightarrow \quad \mu \times \alpha + \zeta \notin a_{\alpha}^*.$$

For each  $b \in [\lambda]^{\leq \aleph_0}$  let  $\langle \gamma(b, i) : i < i(b) \rangle$  be a maximal sequence such that  $\gamma(b, i) < \lambda$  and  $u_{\gamma(b, i)}^* \cap u_{\gamma(b, j)}^* \subseteq b$  and  $\gamma(b, i) \notin b$  for  $j < i$  (just choose  $\gamma(b, i)$  by induction on  $i$ ).

We choose by induction on  $\zeta \leq \omega_1$ ,  $Y_{\zeta}, h_{\zeta}, S_{\zeta}, G_{\zeta}, Z_{\zeta}$  and  $U_{\zeta, g}$  such that

- (a)  $Y_{\zeta} \in [2^{\mu}]^{\leq \mu}$  is increasing continuous in  $\zeta$ ,
- (b)  $Z_{\zeta}$  is the minimal subset of  $\lambda$  (of cardinality  $\leq \mu$ ) which includes

$$\bigcup \{u_{\gamma} : (\exists \alpha \in Y_{\zeta}) [\mu \times \alpha \leq \gamma < \mu \times (\alpha + 1)]\}$$

and satisfies

$$u \in W \ \& \ u \cap Z_{\zeta} \in \mathbf{w}(u) \ \Rightarrow \quad u \subseteq Z_{\zeta},$$

- (c)  $h_{\zeta}$  is a one-to-one function from  $\mu$  onto  $Z_{\zeta}$ , and

$$G_{\zeta} = \{h_{\zeta}''(b) : b \in \mathcal{S}\} \cup \bigcup_{\xi < \zeta} G_{\xi}.$$

- (d) for  $b \in G_{\zeta}$  we have  $U_{\zeta, b}$  is  $\{i : i < i(b)\}$  if  $i(b) < \mu^+$  and otherwise is a subset of  $i(b)$  of cardinality  $\mu$  such that

$$j \in U_{\zeta, b} \ \Rightarrow \quad \text{Dom}(f_{\gamma(b, j)}^*) \cap Z_{\zeta} \subseteq b,$$

- (e)  $Y_{\zeta+1} = Y_{\zeta} \cup \{\gamma(b, j) : b \in G_{\zeta} \text{ and } j \in U_{\zeta, b}\}$ .

Again, there is no problem to carry out the definition (e.g.  $|Z_{\zeta}| \leq \mu$  by clause (d) of 1.3(3)). Let  $Y = Y_{\omega_1}$ . Let  $\{(b_{\varepsilon}, \xi_{\varepsilon}) : \varepsilon < \varepsilon(*) \leq \mu\}$  list the set of pairs  $(b, \xi)$  such that  $\xi < \omega_1$ ,  $b \in G_{\xi}$  and  $i(b) \geq \mu^+$ . We can find  $\langle \zeta_{\varepsilon} : \varepsilon < \varepsilon(*) \rangle$  such that  $\langle \gamma(b_{\varepsilon}, \zeta_{\varepsilon}) : \varepsilon < \varepsilon(*) \rangle$  is without repetition and  $\zeta_{\varepsilon} \in U_{b_{\varepsilon}, \xi_{\varepsilon}}$ ,  $\varepsilon(*) \leq \mu$ . So for some  $\alpha < 2^{\mu} \setminus Y_{\omega_1}$  we have

$$(\forall \varepsilon < \varepsilon(*))(A_{\varepsilon}^{\alpha} = \{\mu \times \gamma(b_{\varepsilon}, \zeta_{\varepsilon}) + \Upsilon : \Upsilon \in X_{\gamma(b_{\varepsilon}, \zeta_{\varepsilon})}\}.$$

Now, let  $b_0 = a_\alpha^* \cap Z_{\omega_1}$ , so for some  $\zeta_0(*) < \omega_1$  we have  $b_0 \subseteq Z_{\zeta_0(*)}$ . As  $a_\alpha^*$  is countable and  $G_\zeta \subseteq [Z_\zeta]^{\leq \aleph_0}$  is stationary (and the closure property of  $Z_\zeta$ ) there is  $b^* \in \mathcal{S}'$  such that  $b \stackrel{\text{def}}{=} b^* \cap Z_{\zeta_0(*)}$  belongs to  $G_\zeta$  and  $a_\alpha^* \subseteq b^*$  and so  $U_{b,\zeta} \subseteq i(b)$  for  $\zeta \in [\zeta_0(*), \omega_1)$  and  $\langle \gamma(b, i) : i < i(b) \rangle$  are well defined. Now  $\alpha$  exemplified  $i(b) < \mu^+$  is impossible (see the maximality as otherwise  $i < i(b) \Rightarrow \gamma(b, i) \in Z_{\zeta_0(*)+1} \subseteq Z_{\omega_1}$ ).

As for each  $\gamma \in X_\alpha$ , the set  $a_{\mu \times \alpha + \gamma}$  is countable, for some  $\zeta_{1,\gamma}(*) < \omega_1$  we have  $a_{\mu \times \alpha + \gamma} \cap Z_{\omega_1} \subseteq Z_{\zeta_{1,\gamma}(*)}$ . Since  $\text{cf}(\mu) > \aleph_1$  necessarily for some  $\zeta_1(*) < \omega_1$  we have

$$X'_\alpha \stackrel{\text{def}}{=} \{\gamma \in X_\alpha : \zeta_{1,\gamma}(*) \leq \zeta_1(*)\} \in [\mu]^\mu$$

and without loss of generality  $\zeta_1(*) \geq \zeta_0(*)$ . Thus for some  $\varepsilon < \mu$  we have  $b_\varepsilon = b$  &  $\xi_\varepsilon = \zeta_1(*) + 1$ . Let  $\Upsilon_\varepsilon = \gamma(b_\varepsilon, \xi_\varepsilon)$ . Clearly

(\*)<sub>1</sub>  $a_\alpha^*, a_{\Upsilon_\varepsilon}^*$  are countable,

(\*)<sub>2</sub>  $\gamma \in X'_\alpha \Rightarrow \mu \times \alpha + \gamma \in a_\gamma$ ,

(\*)<sub>3</sub>  $\gamma_1 \neq \gamma_2$  &  $\gamma_1 \in X'_\alpha$  &  $\gamma_2 \in X'_\alpha \Rightarrow a_{\mu \times \alpha + \gamma_1} \cap a_{\mu \times \alpha + \gamma_2} \subseteq b^*$ .

So possibly shrinking  $X'_\alpha$  without loss of generality

(\*)<sub>4</sub> if  $\gamma \in X'_\alpha$  then  $a_{(\mu \times \alpha + \gamma)} \cap a_{\Upsilon_\varepsilon}^* \subseteq b^*$ .

For each  $\gamma \in X'_\alpha$  let

$$t_\gamma = \{\beta \in X_{\Upsilon_\varepsilon} : a_{(\mu \times \Upsilon_\varepsilon + \beta)} \cap a_{(\mu \alpha + \gamma)} \not\subseteq b^*\}.$$

As  $\langle f_{(\mu \times \Upsilon_\varepsilon + \beta)} : \beta \in X_{\Upsilon_\varepsilon} \rangle$  was chosen to satisfy  $(\otimes_{\Upsilon_\varepsilon})$  (and (\*)<sub>3</sub>) necessarily

(\*)<sub>5</sub>  $\gamma \in X'_\alpha$  implies  $t_\gamma$  is countable.

For  $\gamma \in X'_\alpha$  let

$$s_\gamma \stackrel{\text{def}}{=} \bigcup \{u : u \text{ is a finite subset of } X'_\alpha \text{ and } F_\alpha(\{\mu \times \alpha + \beta : \beta \in u\}) \text{ belongs to } t_\gamma\}.$$

As  $F_\alpha$  is a one-to-one function clearly

(\*)<sub>6</sub>  $s_\gamma$  is a countable set.

So without loss of generality (possibly shrinking  $X'_\alpha$  using  $\mu > \aleph_1$ )

(\*)<sub>7</sub> if  $\gamma_1 \neq \gamma_2$  are from  $X'_\alpha$  then  $\gamma_1 \notin s_{\gamma_2}$ .

By the choice of  $F_\alpha$ , for some finite subset  $u$  of  $X'_\alpha$  with at least two elements, letting  $u' \stackrel{\text{def}}{=} \{\mu \times \alpha + j : j \in u\}$  we have

$$\beta \stackrel{\text{def}}{=} F_\alpha(u') \in \{\mu \times \gamma(b_\varepsilon, \xi_\varepsilon) + \gamma : \gamma \in X_{\gamma(b_\varepsilon, \xi_\varepsilon)}\}.$$

Hence  $u' \cup \{\beta\} \in W$ , so it is enough to show that  $\{a_{\mu \times \alpha + j} : j \in u\} \cup \{a_\beta\}$  are pairwise disjoint outside  $b^*$ . For the first it is enough to check any two. Now,  $\{f_{\mu \times \alpha + j} : j \in u\}$  are O.K. by the choice of  $\langle f_{\mu \times \alpha + j} : j \in X_\alpha \rangle$ . So let

$j \in u$ . Now,  $a_{\mu \times \alpha + j}$ ,  $a_\beta$  are O.K., otherwise  $\beta - (\mu \times \Upsilon_\varepsilon) \in t_j$  and hence  $u$  is a subset of  $s_j$  but  $u$  has at least two elements and is a subset of  $X'_\alpha$  and this contradicts the statement  $(*)_6$  above and so we are done.  $\blacksquare_{2.2}$

**Lemma 2.3.** *Let  $(W, \mathbf{w})$  be a  $\lambda$ -candidate. Assume that  $u \subseteq \lambda$  and  $u = \text{cl}_{(W, \mathbf{w})}(u)$  (see Definition 1.3(1),(d)) and let  $W^{[u]} = W \cap [u]^{<\aleph_0}$  and  $\mathbf{w}^{[u]} = \mathbf{w} \upharpoonright W^{[u]}$ . Furthermore suppose that  $(W, \mathbf{w})$  is non-trivial (which holds in all the cases we construct), i.e.*

$$(*) \quad i \in v \in W \Rightarrow v \setminus \{i\} \in \mathbf{w}(v).$$

Then:

- (1)  $(W^{[u]}, \mathbf{w}^{[u]})$  is a  $\lambda$ -candidate (here  $u = \text{cl}_{(W, \mathbf{w})}(u)$  is irrelevant);
- (2)  $BA(W^{[u]}, \mathbf{w}^{[u]})$  is a subalgebra of  $BA(W, \mathbf{w})$ , moreover  $BA(W^{[u]}, \mathbf{w}^{[u]}) \triangleleft BA(W, \mathbf{w})$ ;
- (3) if  $i \in \lambda \setminus u$  and  $y \in BA(W^{[u]}, \mathbf{w}^{[u]})$  then
 
$$y \neq 0 \Rightarrow y \cap x_i > 0 \ \& \ y - x_i > 0;$$
- (4)  $BA^c(W^{[u]}, \mathbf{w}^{[u]}) \triangleleft BA^c(W, \mathbf{w})$ .

PROOF 1) Trivial.

2) *The first phrase:* if  $f_0$  is a homomorphism from  $BA(W^{[u]}, \mathbf{w}^{[u]})$  to the Boolean Algebra  $\{0, 1\}$  we define a function  $f$  from  $\{x_\alpha : \alpha < \lambda\}$  to  $\{0, 1\}$  by  $f(x_\alpha)$  is  $f_0(x_\alpha)$  if  $\alpha \in u$  and is zero otherwise. Now

$$v \in W \Rightarrow (\exists \alpha \in v)(f(x_\alpha) = 0).$$

Why? If  $v \subseteq u$ , then  $v \in W^{[u]}$  and “ $f_0$  is a homomorphism”, so  $f_0(\bigcap_{\alpha \in v} x_\alpha) = 0$ . Hence  $(\exists \alpha \in v)(f_0(x_\alpha) = 0)$  and hence  $(\exists \alpha \in v)(f(x_\alpha) = 0)$ . If  $v \not\subseteq u$ , then choose  $\alpha \in v \setminus u$ , so  $f(x_\alpha) = 0$ .

So  $f$  respects all the equations involved in the definition of  $BA(W, \mathbf{w})$  hence can be extended to a homomorphism  $\hat{f}$  from  $BA(W, \mathbf{w})$  to  $\{0, 1\}$ . Easily  $f_0 \subseteq \hat{f}$  and so we are done.

As for *the second phrase*, let  $z \in BA(W, \mathbf{w})$ ,  $z > 0$  and we shall find  $y \in BA(W^{[u]}, \mathbf{w}^{[u]})$ ,  $y > 0$  such that

$$(\forall x)[x \in BA(W^{[u]}, \mathbf{w}^{[u]}) \ \& \ 0 < x \leq y \Rightarrow x \cap z \neq 0].$$

We can find disjoint finite subsets  $s_0, s_1$  of  $\lambda$  such that  $0 < z' \leq z$  where  $z' = \bigcap_{\alpha \in s_1} x_\alpha \cap \bigcap_{\alpha \in s_0} (-x_\alpha)$ . Let

$$t = \bigcup \{v : v \in W \text{ a finite subset of } \lambda \text{ and } v \cap s_0 \in \mathbf{w}(v)\} \cup s_0 \cup s_1.$$

We know that  $t$  is finite. We can find a partition  $t_0, t_1$  of  $t$  (so  $t_0 \cap t_1 = \emptyset$ ,  $t_0 \cup t_1 = t$ ) such that  $s_0 \subseteq t_0$  and  $s_1 \subseteq t_1$  and  $y^* = \bigcap_{\alpha \in t_1} x_\alpha \cap \bigcap_{\alpha \in t_0} (-x_\alpha) >$

0. Note that  $y \stackrel{\text{def}}{=} \bigcap_{\alpha \in u \cap t_1} x_\alpha \cap \bigcap_{\alpha \in u \cap t_0} (-x_\alpha)$  is  $> 0$  and, of course,  $y \in BA(W^{[u]}, \mathbf{w}^{[u]})$ . We shall show that  $y$  is as required. So assume  $0 < x \leq y$ ,  $x \in BA(W^{[u]}, \mathbf{w}^{[u]})$ . As we can shrink  $x$ , without loss of generality, for some disjoint finite  $r_0, r_1 \subseteq u$  we have  $t \cap u \subseteq r_0 \cup r_1$  and  $x = \bigcap_{\alpha \in r_1} x_\alpha \cap \bigcap_{\alpha \in r_0} (-x_\alpha)$ , so clearly  $t_1 \cap u \subseteq r_1$ ,  $t_0 \cap u \subseteq r_0$ .

We need to show  $x \cap z \neq 0$ , and for this it is enough to show that  $x \cap z' \neq 0$ . Now, it is enough to find a function  $f : \{x_\alpha : \alpha < \lambda\} \rightarrow \{0, 1\}$  respecting all the equations in the definition of  $BA(W, \mathbf{w})$  such that  $\hat{f}$  maps  $x \cap z'$  to 1. So let  $f(x_\alpha) = 1$  for  $\alpha \in r_1 \cup s_1$  and  $f(x_\alpha) = 0$  otherwise. If this is O.K., fine as  $f \upharpoonright r_0, f \upharpoonright s_0$  are identically zero and  $f \upharpoonright r_1, f \upharpoonright s_1$  are identically one. If this fails, then for some  $v \in \mathbf{w}$  we have  $v \subseteq r_1 \cup s_1$ . But then  $v \cap r_1 \in \mathbf{w}(v)$  or  $v \cap s_1 \in \mathbf{w}(v)$ . Now if  $v \cap r_1 \in \mathbf{w}(v)$  as  $r_1 \subseteq u$  necessarily  $v \subseteq u$ , but  $v \subseteq r_1 \cup s_1$  and  $s_1 \cap u \subseteq t_1 \subseteq r_1$ , so  $v \subseteq r_1$  is a contradiction to  $x > 0$ . Lastly, if  $v \cap s_1 \in \mathbf{w}(v)$ , then  $v \subseteq t$  so as  $v \subseteq r_1 \cup s_1$  we have  $v \subseteq s_1 \cup (t \cap r_1)$  and so  $v \subseteq s_1 \cup t_1$  and hence  $v \subseteq t_1$  — a contradiction to  $y^* > 0$ . So  $f$  is O.K. and we are done.

3) Let  $f_0$  be a homomorphism from  $BA(W^{[u]}, \mathbf{w}^{[u]})$  to the trivial Boolean Algebra  $\{0, 1\}$ . For  $t \in \{0, 1\}$  we define a function  $f$  from  $\{x_\alpha : \alpha < \lambda\}$  to  $\{0, 1\}$  by

$$f(x_\alpha) = \begin{cases} f_0(x_\alpha) & \text{if } \alpha \in u \\ t & \text{if } \alpha = i \\ 0 & \text{if } \alpha \in \lambda \setminus u \setminus \{i\}. \end{cases}$$

Now  $f$  respects the equations in the definition of  $BA(W, \mathbf{w})$ . Why? Let  $v \in W$ . We should prove that  $(\exists \alpha \in v)(f(\alpha) = 0)$ . If  $v \subseteq u$ , then

$$f \upharpoonright \{x_\alpha : \alpha \in v\} = f_0 \upharpoonright \{x_\alpha : \alpha \in v\} \quad \text{and} \\ 0 = f_0(0_{BA(W^{[u]}, \mathbf{w}^{[u]})}) = f_0\left(\bigcap_{\alpha \in v} x_\alpha\right) = \bigcap_{\alpha \in v} f_0(x_\alpha),$$

so  $(\exists \alpha \in v)(f_0(x_\alpha) = 0)$ . If  $v \not\subseteq u \cup \{i\}$  let  $\alpha \in v \setminus u \setminus \{i\}$ , so  $f(x_\alpha) = 0$  as required.

So we are left with the case  $v \subseteq u \cup \{i\}$ ,  $v \not\subseteq u$ . Then by the assumption (\*),  $v \cap u = v \setminus \{i\} \in \mathbf{w}(v)$  and  $v \subseteq u$ , a contradiction.

4) Follows. ■2.3

*Remark 2.4.* We can replace  $\aleph_0$  by say  $\kappa = \text{cf}(\kappa)$  (so in 2.2,  $\mu = \kappa^{++}$ , in 1.7,  $(\forall \alpha < \mu)(|\alpha|^{<\kappa} < \mu = \text{cf}(\mu))$ ).



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