

REGULAR SUBALGEBRAS OF COMPLETE BOOLEAN ALGEBRAS

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Abstract. It is proved that the following conditions are equivalent:

- (a) there exists a complete, atomless, σ -centered Boolean algebra, which does not contain any regular, atomless, countable subalgebra,
- (b) there exists a nowhere dense ultrafilter on ω .

Therefore the existence of such algebras is undecidable in ZFC. In "forcing language" condition (a) says that there exists a non-trivial σ -centered forcing not adding Cohen reals

A subalgebra \mathbb{B} of a Boolean algebra \mathbb{A} is called regular whenever for every $X \subseteq \mathbb{B}$, $\sup_{\mathbb{B}} X = \mathbf{1}$ implies $\sup_{\mathbb{A}} X = \mathbf{1}$; see e.g. Heindorf and Shapiro [6]. Clearly, every dense subalgebra is regular. Although every complete Boolean algebra contains a free Boolean algebra of the same size (see the Balcar-Franek Theorem; [2]), not always such an embedding is regular. For instance, if \mathbb{B} is a measure algebra, then it contains a free subalgebra of the same cardinality as \mathbb{B} , but \mathbb{B} cannot contain any infinite free Boolean algebra as a regular subalgebra. Indeed, measure algebras are weakly σ -distributive but free Boolean algebras are not, and a regular subalgebra of a weakly σ -distributive one is again σ -distributive. Thus \mathbb{B} does not contain any free Boolean algebra. On the other hand, measure algebras are not σ -centered. So, a natural question arises whether there exists a σ -centered, complete, atomless Boolean algebra \mathbb{B} without regular free subalgebras. Since countable atomless Boolean algebras are free and every free Boolean algebra contains a countable regular free subalgebra, it is enough to ask whether \mathbb{B} contains a countable regular subalgebra. In the paper we prove that such an algebra exists iff there exists a nowhere dense ultrafilter.

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Definition 1 (Baumgartner [3]). *A filter D on ω is called nowhere dense if for every function f from ω to the Cantor set ${}^\omega 2$ there exists a set $A \in D$ such that $f(A)$ is nowhere dense in ${}^\omega 2$.*

In the sequel we will rather interested in nowhere dense ultrafilters. Observe that every P -ultrafilter (i.e. every P -point in ω^*) is a nowhere dense ultrafilter.

Theorem 1. *There exists an atomless, complete, σ -centered Boolean algebra without any countable atomless regular subalgebras iff there exists a nowhere dense ultrafilter.*

By a recent result of Saharon Shelah [7] there exists a model of ZFC in which there are no nowhere dense ultrafilters. So it is consistent with ZFC that there are no atomless, complete, σ -centered Boolean algebras without any countable regular subalgebras.

In the first part of the paper, forcing methods are used to show that nowhere dense ultrafilters exist whenever there exists a σ -centered forcing \mathbb{P} such that above every element of \mathbb{P} there are two incompatible ones and \mathbb{P} does not add any Cohen real. The forcing constructed here uses some ideas from Gitik and Shelah [5]. They have shown that if \mathbb{P} is a σ -centered forcing notion, $\{A_n : n < \omega\}$ are subsets of \mathbb{P} witnessing this, and both \mathbb{P} and A_n 's are Borel, then \mathbb{P} adds a Cohen real. On the other hand it is known that a forcing \mathbb{P} adds a Cohen real iff the complete Boolean algebra $\mathbb{B} = RO(\mathbb{P})$ contains an element u such that the reduced Boolean algebra $\mathbb{B}|u$ has a regular infinite free Boolean subalgebra. Thus, to prove the Theorem 1 we need to show in particular the following:

Theorem 2. *If there exists a σ -centered forcing \mathbb{P} such that above every element of \mathbb{P} there are two incompatible ones and \mathbb{P} does not add any Cohen real then there exists a nowhere dense ultrafilter on ω .*

We shall proceed with the proof by some definitions and a lemma.

Definition 2. (a) *A forcing \mathbb{P} is called σ -centered if $\mathbb{P} = \bigcup \{A_n : n < \omega\}$ where each A_n is directed, i. e., for every $p, q \in A_n$ there exists $r \in A_n$ such that $p \leq r$ and $q \leq r$.*

(b) *A forcing \mathbb{P} adds a Cohen real if there exists a \mathbb{P} -name $\underline{r} \in {}^\omega 2$ such that for every open dense set $\mathcal{D} \subset {}^\omega 2$ we have $\Vdash_{\mathbb{P}} \underline{r} \in \mathcal{D}^*$, where \mathcal{D}^* denotes the encoding of \mathcal{D} in the Boolean universe.*

Remarks .

(a) The order of forcing in this notation is inverse of the one in the Boolean algebra.

(b) We can just assume that there is a member p of \mathbb{P} such that if q is above p then there are r_1 and r_2 above q which are incompatible in \mathbb{P} .

Definition 3. A set $X \subseteq {}^\omega 2$ is somewhere dense if there exists an $\eta \in {}^\omega 2$ such that for every $\nu \in {}^\omega 2$ there is $\rho \in X$ with $\eta \smallfrown \nu \trianglelefteq \rho$, where $\eta \smallfrown \nu$ stands for the concatenation of η and ν and the relation \trianglelefteq means that ρ is an extension of the sequence $\eta \smallfrown \nu$.

Lemma . A filter D on ω is not nowhere dense iff it is a so-called well behaved filter, i.e., there is a function $f: \omega \rightarrow {}^\omega 2$ such that for every $B \in D$ the range of f restricted to B is somewhere-dense.

Proof. Suppose $f: \omega \rightarrow {}^\omega 2$ be such that for every $B \in D$ the image of B is not nowhere dense. Without loss of generality we can assume that the range of f is dense in itself. Since every closed and dense in itself subset of the Cantor cube ${}^\omega 2$ is homeomorphic to the whole ${}^\omega 2$ we can assume also that the range of f is dense in ${}^\omega 2$. Moreover, since it is countable it can be identified with a subset of the set ${}^{\omega} 2$ of all rational points of the Cantor set. Thus without loss of generality we can assume that f maps ω into ${}^{\omega} 2$. On the other hand a set $X \subseteq {}^\omega 2$ is nowhere dense whenever for every $\eta \in {}^\omega 2$ there exists some $\nu \in {}^\omega 2$ such that the set of all sequences extending $\eta \smallfrown \nu$ is disjoint from X . Therefore, since the image of B under f is not nowhere dense in ${}^\omega 2$, it can be identified with a somewhere dense subset of ${}^\omega 2$. This in fact completes the proof of the lemma. \square

Remark . If D is a filter on ω and $\mathcal{P}(\omega)/D$ is infinite then D is not nowhere dense. Indeed, if $\langle A_n: n < \omega \rangle$ is a partition of ω such that $\omega \setminus A_n \notin D$ for all $n < \omega$ and $\langle e_n: n < \omega \rangle$ list the set ${}^\omega 2$ then the map $f: \omega \rightarrow {}^\omega 2$ defined by the formula

$$f(e) = e_n \quad \text{iff} \quad e \in A_n$$

witnesses “ D is well behaved”.

Proof. [of Theorem 2] Assume that there are no nowhere dense ultrafilters. Further assume that \mathbb{P} is a forcing in which above each element there are two incompatible ones and $\mathbb{P} = \bigcup \{A_n: n < \omega\}$ where each A_n is directed. We start with the following known fact which we prove here for the sake of completeness: \square

Fact (0). Every forcing \mathbb{Q} with Knaster condition such that above every element of \mathbb{Q} there are two incompatible ones, adds a real.

In fact, by assumption, forcing with \mathbb{Q} adds a new subset to \mathbb{Q} , hence a new subset to some ordinal. In the set

$$\mathcal{K} = \{(\alpha, p, \underline{\tau}) : p \in \mathbb{Q}, \alpha \text{ an ordinal and } \underline{\tau} \text{ a } \mathbb{Q}\text{-name of a subset of } \alpha \text{ such that } p \Vdash \underline{\tau} \notin V\}$$

we choose $(\alpha, p, \underline{\tau})$ with α being minimal. So necessarily α is a cardinal and

$$p \Vdash \text{“the tree } (\alpha^{>2}, \trianglelefteq) \text{ has a new } \alpha\text{-branch in } V^{\mathbb{Q}}\text{”}$$

So, as \mathbb{Q} satisfies the Knaster condition (which follows from σ -centered), necessarily $\text{cf}(\alpha) = \aleph_0$ and letting $\alpha = \bigcup_{n < \omega} \alpha_n$, where $\alpha_n < \alpha_{n+1}$ for some countable $w \subseteq \alpha^{>2}$ we get

$$p \Vdash \text{“}(\forall n < \omega)(\underline{\tau} \upharpoonright \alpha_n \in w)\text{”},$$

so $p \Vdash$ “we add a new subset to w , $|w| = \aleph_0$ ”.

We have shown that $I = \{p \in \mathbb{Q} : p \Vdash \text{“}\underline{r} \in {}^\omega 2 \text{ is new” for some } \mathbb{Q}\text{-name } \underline{r}\}$ is a dense subset of \mathbb{Q} . So let $\{p_i : i < \omega\} \subseteq I$ be a maximal antichain and let \underline{r}_i be such that $p_i \Vdash \text{“}\underline{r}_i \text{ is new”}$. By density of I we can define the \mathbb{Q} -name \underline{r} as follows: $\underline{r} = \underline{r}_i$ if $p_i \in G_{\mathbb{Q}}$. This completes the proof of Fact (0).

Now we fix a \mathbb{P} -name of a new real $\underline{r} \in {}^\omega 2$ added by \mathbb{P} . For every $p \in \mathbb{P}$ we set

$$T_p = \{\eta \in {}^{\omega > 2} : \neg(p \Vdash \neg(\eta \trianglelefteq \underline{r}))\},$$

i.e., $\eta \in T_p$ iff there exists $q \in \mathbb{P}$ such that $p \leq q$ and $q \Vdash \text{“}\eta = \underline{r} \upharpoonright \text{lg } \eta\text{”}$, where $\text{lg } \eta$ denotes the length of the sequence η .

Fact (1). *For every $p \in \mathbb{P}$, T_p is a subtree of ${}^{\omega > 2}$, i.e. $\eta \trianglelefteq \nu$ and $\nu \in T_p$ implies $\eta \in T_p$ and $\langle \rangle \in T_p$, where $\langle \rangle$ denotes the empty sequence.*

Indeed, if $\eta \trianglelefteq \nu$ and $\nu = \underline{r} \upharpoonright \text{lg } \nu$, then $\eta = \underline{r} \upharpoonright \text{lg } \eta$.

Fact (2). *The tree T_p has no maximal elements.*

To prove the Fact (2) we fix $\eta \in T_p$. Then there is $q \in \mathbb{P}$ such that $p \leq q$ and

$$q \Vdash \text{“}\underline{r} \upharpoonright \text{lg } \eta = \eta\text{”}.$$

Let $k = \text{lg}(\eta)$, so $I = \{r \in \mathbb{P} : r \text{ forces a value to } \underline{r} \upharpoonright (k+1)\}$ is a dense and open subset of \mathbb{P} , hence there is $q' \in \mathbb{P}$ such that $q \leq q'$ and q' forces a value to $\underline{r} \upharpoonright (k+1)$, say ϑ . So q' also forces $\underline{r} \upharpoonright k = \vartheta \upharpoonright k$, but $q \leq q'$ and $q \Vdash \text{“}\underline{r} \upharpoonright k = \eta \text{ hence } \vartheta \upharpoonright k = \eta\text{”}$. As q' witnesses $\vartheta \in T_p$ and $\vartheta \in {}^{k+1}2$ and $\eta \in {}^k 2$, $\eta \trianglelefteq \vartheta$, this completes the proof of Fact (2).

Fact (3). *The set $\lim T_p$ of all ω -branches through T_p is closed, i.e., if $\eta \in {}^\omega 2 \setminus \lim T_p$ then there exists $\nu \in {}^{\omega>} 2$ such that $\nu \sqsubseteq \eta$ and the set of all ω -branches extending ν is disjoint from $\lim T_p$.*

Indeed, if $\eta \in {}^\omega 2 \setminus \lim T_p$ then there exists $n \in \omega$ such that $n \leq m < \omega$ implies $\eta \upharpoonright m \notin T_p$. By Fact 1 it is clear that every ω -branch extending $\nu = \eta \upharpoonright n$ does not belong to T_p , which proves the Fact 3.

Now let us observe that the family

$$\{T_p : p \in A_n\}$$

is directed under inclusion, i.e. if $p, q \in A_n$ and $r \in \mathbb{P}$ is such that $p \leq r$ and $q \leq r$ then

$$T_r \subseteq T_p \cap T_q.$$

Indeed, if $\eta \in {}^{\omega>} 2$ and there exists $s \geq r$ such that $s \Vdash \text{“}\eta = \underline{r} \upharpoonright \lg \eta\text{”}$ then of course $s \geq p$ and $s \geq q$ and thus η belongs to T_p and T_q .

So by compactness of ${}^{\omega>} 2$ and Facts 1-3 we get the following:

Fact (4). *The set*

$$T_n = \bigcap \{T_p : p \in A_n\}$$

is a subtree of ${}^{\omega>} 2$ and the set of ω -branches of T_n is non-empty.

Now we make a choice:

$$\eta_n^* \text{ is an } \omega \text{-branch of } T_n. \quad (1)$$

Subsequently for every $n < \omega$ and every $p \in A_n$ we define

$$B_p^n = \{k < \omega : (\exists q \in \mathbb{P})(p \leq q \wedge q \Vdash \text{“}\underline{r} \upharpoonright k = \eta_n^* \upharpoonright k \ \& \ \underline{r}(k) \neq \eta_n^*(k)\text{”})\}$$

We have the following:

Fact (5). *For every $n < \omega$ and every $p \in A_n$ the set B_p^n is infinite.*

Indeed, since $p \in A_n$ and T_n is a subtree of T_p , η_n^* is an ω -branch of T_p . Let us fix $m < \omega$. Then, by the definition of T_p , there exists $r \in \mathbb{P}$ such that $r \geq p$ and

$$r \Vdash \text{“}\eta_n^* \upharpoonright m = \underline{r} \upharpoonright m\text{”}.$$

On the other hand

$$\Vdash_{\mathbb{P}} \text{“}\underline{r} \neq \eta_n^*\text{”},$$

because \underline{r} is a new real. Thus for some $q \in \mathbb{P}$, $q \geq r$ and $k < \omega$ we get

$$q \Vdash \text{“}\underline{r} \upharpoonright k \neq \eta_n^* \upharpoonright k\text{”}.$$

We can assume that k is minimal with such a property. Since $r \leq q$, it must be $k > m$. But $q \geq p$ and thus, by minimality of k , we have $k - 1 \in B_p^n$, which proves the Fact 5.

Now we establish for every $n < \omega$ the following definition:

$$\mathcal{D}_n^0 = \{B \subseteq \omega : (\exists p \in A_n)(|B_p^n \setminus B| < \omega)\}.$$

Fact (6). *For every $n < \omega$, \mathcal{D}_n^0 is a filter.*

Indeed, let $B_1, B_2 \in \mathcal{D}_n^0$. Then there exist $p_1, p_2 \in A_n$ such that both $B_{p_1}^n \setminus B_1$ and $B_{p_2}^n \setminus B_2$ are finite. Since A_n is directed we can choose $r \in A_n$ such that $p_1 \leq r$ and $p_2 \leq r$. On the other hand, from the definition of B_p^n it easily follows that

$$p \leq q \text{ implies } B_q^n \subseteq B_p^n.$$

Thus $B_r^n \subseteq B_{p_1}^n \cap B_{p_2}^n$ and therefore

$$B_r^n \setminus (B_1 \cap B_2) \subseteq (B_{p_1}^n \setminus B_1) \cup (B_{p_2}^n \setminus B_2)$$

is finite. Clearly, every superset of an element of \mathcal{D}_n^0 also belongs to \mathcal{D}_n^0 and, by the Fact 5, \mathcal{D}_n^0 does not contain the empty set, which completes the proof of Fact 6.

Now by Fact 5 and Fact 6, we can make the following choice: for $n < \omega$

$$\mathcal{D}_n \text{ is a non-principal ultrafilter containing } \mathcal{D}_n^0 \quad (2)$$

By our hypothesis the ultrafilters \mathcal{D}_n are not nowhere dense and so by Lemma for every $n < \omega$ we can choose a function $f_n: \omega \rightarrow {}^{\omega}>2$ such that

$$(\forall B \in \mathcal{D}_n)(\exists u \in {}^{\omega}>2)(\forall \nu \in {}^{\omega}>2)(\exists k \in B)(u \smallfrown \nu \leq f_n(k)). \quad (3)$$

Without loss of generality we may assume that the empty sequence does not belong to the range of f_n .

Now we have to come back to the sequence $\{\eta_n^*: n < \omega\}$ of ω -branches of the trees T_n . Since it can happen that the sequence is not one-to-one we consider the set

$$Y = \{n < \omega : \eta_n^* \notin \{\eta_m^* : m < n\}\}.$$

Then for $n, m \in Y$ we have $\eta_n^* \neq \eta_m^*$ whenever $n \neq m$.

In the sequel we shall need the following:

Claim . *If $\langle \eta_n : n < \omega \rangle \subseteq {}^{\omega}2$ is a sequence of distinct ω -branches of a tree $T \subseteq {}^{\omega}>2$ there exists an increasing sequence $\langle e_n : n < \omega \rangle \subseteq \omega$ such that for all $n < m < \omega$ we have*

$$\{\eta_n \upharpoonright l : e_n < l < \omega\} \cap \{\eta_m \upharpoonright l : e_m < l < \omega\} = \emptyset. \quad (*)$$

To prove the claim observe that $\eta_n \upharpoonright l \neq \eta_m \upharpoonright l$ and $k > l$ implies $\eta_n \upharpoonright k \neq \eta_m \upharpoonright k$. Now assume that e_0, \dots, e_n are defined so that the condition (*) holds true. Since $\eta_{n+1} \notin \{\eta_0, \dots, \eta_n\}$ there exists $k < \omega$ such that $\eta_0 \upharpoonright k, \dots, \eta_n \upharpoonright k, \eta_{n+1} \upharpoonright k$ are pairwise different. We can assume that $k > e_n$ and e_{n+1} to be the first such k . This completes the proof of the claim.

Now using the claim we can choose an increasing sequence $\langle e_n : n < \omega \rangle \subseteq \omega$ in such a way that, letting

$$C_n = \{\eta_n^* \upharpoonright l : e_n \leq l < \omega\},$$

the sequence $\langle C_n : n \in Y \rangle$ consists of pairwise disjoint sets, and so that we have

$$\eta_n^* = \eta_m^* \Leftrightarrow e_n = e_m \Leftrightarrow C_n = C_m.$$

Finally, for $\eta \in {}^\omega 2$ we define

$$u(\eta) = \{n \in Y : (\exists l < \omega)(\eta \upharpoonright l = \eta_n^* \upharpoonright l \wedge (\forall m < n)(\eta \upharpoonright l \neq \eta_m^* \upharpoonright l))\},$$

$$n_k(\eta) = \text{the } k\text{-th member of } u(\eta),$$

$$m_k(\eta) = \min\{m < \omega : e_{n_k(\eta)} < m \wedge \eta \upharpoonright (m+1) \not\leq \eta_{n_k(\eta)}^*\},$$

i.e. $m_k(\eta)$ is the smallest $m > e_{n_k(\eta)}$ such that

$$\eta \upharpoonright (m+1) \neq \eta_{n_k(\eta)}^* \upharpoonright (m+1).$$

By definition of $m_k(\eta)$, we have

$$e_{n_k(\eta)} < m_k(\eta).$$

Clearly we also have

- (i) $u(\eta)$ is well-defined,
- (ii) $n_k(\eta)$ is well-defined if $k < |u(\eta)|$,
- (iii) $m_k(\eta)$ is well-defined if $k < |u(\eta)|$ and $\eta \neq \eta_{n_k}^*$.

Now we can define a function $\tau : {}^\omega 2 \setminus \{\eta_n^* : n < \omega\} \rightarrow {}^{\omega \geq 2}$ by the formula:

$$\tau(\eta) = f_{n_0(\eta)}(m_0(\eta)) \frown f_{n_1(\eta)}(m_1(\eta)) \frown \dots,$$

where, for $n < \omega$, f_n is the function from the condition (3). From the formula it follows easily that $\tau(\eta) \in {}^{\omega \geq 2}$ and it is well defined if $\eta \notin \{\eta_n^* : n < \omega\}$ and moreover $\tau(\eta)$ is infinite whenever $u(\eta)$ is infinite, as $\langle \rangle \notin \text{Range}(f_n)$.

To complete the proof of the theorem it remains to show:

Fact (7). $\Vdash_{\mathbb{P}} \tau(\underline{x})$ is Cohen over V .

To prove this fact we fix an open dense set $I \subseteq {}^{\omega}2$ and a $p \in \mathbb{P}$ and we show that there is a $q \in \mathbb{P}$ with $p \leq q$ such that $q \Vdash_{\mathbb{P}} \tau(\underline{r}) \in [I]$, where $[I]$ is the name of $\{\eta \in {}^{\omega}2 : t \trianglelefteq \eta \text{ for some } t \in I\}$ in the generic extension. Let $n < \omega$ be such that $p \in A_n$ and let $n^{\otimes} = \min\{m < \omega : \eta_m^* = \eta_n^*\}$. Clearly $n^{\otimes} \leq n$ and $n^{\otimes} \in Y$. Then $u(\eta_n^*)$ is well defined and $n^{\otimes} \in u(\eta_n^*)$; in fact n^{\otimes} is the last member of $u(\eta_n^*)$. Let $k = |u(\eta_n^*)| - 1$, so $n_k(\eta_n^*) = n^{\otimes}$. Also $m_i(\eta_n^*)$ is well defined and finite for $i < k$. Then we set

$$\nu^{\otimes} = f_{n_0(\eta_n^*)}(m_0(\eta_n^*)) \frown \cdots \frown f_{n_{k-1}(\eta_n^*)}(m_{k-1}(\eta_n^*)),$$

so if $k = 0$, i.e., if $u(\eta_n^*)$ is a singleton, then ν^{\otimes} is the empty sequence.

Clearly $\nu^{\otimes} \in {}^{\omega}2$. Also we have

$$p \not\Vdash_{\mathbb{P}} \underline{r} \upharpoonright (e_n + 1) \not\trianglelefteq \eta_n^*.$$

Hence

$$p \not\Vdash_{\mathbb{P}} \neg\varphi,$$

where φ is the formula asserting $u(\eta_n^*)$ is an initial segment of $u(\underline{r})$. Note that φ implies $(\forall i < k)(n_i(\underline{r}) = n_i(\eta_n^*)) \wedge m_i(\underline{r}) = m_i(\eta_n^*)$. Since $p \Vdash_{\mathbb{P}} \underline{r} \neq \eta_{n^{\otimes}}^*$, it follows that

$$p \Vdash_{\mathbb{P}} \varphi \rightarrow m_k(\underline{r}) \text{ is well-defined}.$$

Let

$$Z = \{\varrho \in {}^{\omega}2 : p \not\Vdash_{\mathbb{P}} \neg(\varphi \wedge f_{n_k(\underline{r})}(m_k(\underline{r})) = \varrho)\}.$$

It is enough to show that Z is a somewhere dense subset of ${}^{\omega}2$. [Suppose that Z is a somewhere dense subset of ${}^{\omega}2$. Then there is $\varrho_0 \in {}^{\omega}2$ such that for any $\nu \in {}^{\omega}2$ there is $\varrho \in Z$ with $\varrho_0 \smallfrown \nu \trianglelefteq \varrho$. Let $\tilde{\varrho}_0 = \nu^{\otimes} \smallfrown \varrho_0$ and let $\nu \in {}^{\omega}2$ be such that $\tilde{\varrho}_0 \smallfrown \nu \in I$. Then there is $\varrho \in Z$ such that $\tilde{\varrho}_0 \smallfrown \nu \trianglelefteq \varrho$. Let $q \geq p$ be such that $q \Vdash_{\mathbb{P}} \varphi \wedge f_{n_k(\underline{r})}(\underline{r}) = \varrho$. Then $q \Vdash_{\mathbb{P}} \tilde{\varrho}_0 \smallfrown \nu \trianglelefteq \tau(\underline{r})$. And hence we can conclude that $q \Vdash_{\mathbb{P}} \tau(\underline{r}) \in [I]$.]

Now, we have

$$p \not\Vdash_{\mathbb{P}} \neg(n_k(\underline{r}) = n^{\otimes} \vee \neg\varphi).$$

Hence

$$Z = \{\varrho \in {}^{\omega}2 : p \not\Vdash_{\mathbb{P}} \neg(f_{n^{\otimes}}(m_k(\underline{r})) = \varrho \wedge \varphi)\}.$$

Thus, by the choice of $f_{n^{\otimes}}$, it is enough to prove:

$$B_0 = \{m < \omega : p \not\Vdash_{\mathbb{P}} m_k(\underline{r}) \neq m \vee \neg\varphi\} \in \mathcal{D}_{n^{\otimes}}.$$

[Suppose that $B_0 \in \mathcal{D}_{n^{\otimes}}$. Then, by (3), there is $\varrho \in {}^{\omega}2$ such that $(\forall \nu \in {}^{\omega}2)(\exists k \in B_0)(\varrho \smallfrown \nu \trianglelefteq f_{n^{\otimes}}(k))$.]

We have $\mathcal{D}_{n^{\otimes}} = \mathcal{D}_n$. Hence it is enough to show $B_0 \in \mathcal{D}_n$. By definition of $m_k(\underline{r})$ and since $\varphi \rightarrow n_k(\underline{r}) = n^{\otimes}$, this is equivalent to:

$$\{m < \omega : p \not\Vdash_{\mathbb{P}} \underline{r} \upharpoonright m \neq \eta_{n^{\otimes}}^* \upharpoonright m \vee \underline{r}(m+1) = \eta_{n^{\otimes}}^*(m+1) \vee \neg\varphi\} \in \mathcal{D}_n.$$

But $\eta_{n^\otimes}^* = \eta_n^*$ and $p \in A_n$. Hence, by definition of \mathcal{D}_n^0 , the set above does belong to $\mathcal{D}_n^0 \subseteq \mathcal{D}_n$. \square

Finally we prove that the converse to Theorem 2 is also true, i. e., we shall show that whenever there exists a nowhere dense ultrafilter there exists a σ -centered forcing \mathbb{P} with the property that above each element there are two incompatible ones and moreover \mathbb{P} does not add a Cohen real. To prove this fact we shall use some topological methods, but we can also write it using forcing.

Recall, a subalgebra \mathbb{B} of a Boolean algebra \mathbb{A} is *regular whenever* $\sup_{\mathbb{A}} X = 1$ for every $X \subseteq \mathbb{B}$ such that $\sup_{\mathbb{B}} X = 1$. The subalgebra \mathbb{B} is regular iff the corresponding map of the Stone spaces is semi-open, i. e., the image of every non-empty clopen set has non-empty interior. Using nowhere dense ultrafilters we construct a dense in itself, separable, extremally disconnected compact space (= Stone space of an atomless, σ -centered, complete Boolean algebra) which has no semi-open continuous maps onto the Cantor set.

We use a topology on the set ${}^\omega > \omega = \bigcup \{ {}^n \omega : n < \omega \}$. If $s \in {}^\omega > \omega$ is a sequence of length n and $k \in \omega$, then $s \frown k$ denotes the sequence of length $n+1$ extending s in such a way that the n -th term is k . For a set $A \subseteq \omega$ we set $s \frown A = \{ s \frown k : k \in A \}$. For a given ultrafilter $p \subseteq \mathcal{P}(\omega)$ we consider a topology \mathcal{T}_p on ${}^\omega > \omega$ given by the formula:

$$U \in \mathcal{T}_p \text{ iff for every } s \in U \text{ there exists } A \in p \text{ such that } s \frown A \subseteq U.$$

The set ${}^\omega > \omega$ equipped with the topology \mathcal{T}_p we denote G_p . The space G_p is known to be Hausdorff and extremally disconnected; see e. g. Dow, Gubbi and Szymanski, ([4]). Hence the Čech-Stone extension βG_p is extremally disconnected, compact, separable, and dense in itself.

Under a much stronger assumption that there exists a P -point the next theorem was proved by A. Blass [1].

Theorem 3. *If there exists a nowhere dense ultrafilter then there exists a σ -centered forcing \mathbb{P} such that above every element of \mathbb{P} there are two incompatible ones and \mathbb{P} does not add any Cohen real.*

Proof. By virtue of a theorem of Silver, it is enough to show that there exists a σ -centered, complete, atomless Boolean algebra \mathbb{B} such that \mathbb{B} does not contain any regular free subalgebra. For this goal we shall use the topological space G_p described above. It remains to show that whenever p is a nowhere dense ultrafilter and $f: \beta G_p \rightarrow {}^\omega \{0, 1\}$ is continuous, then there exists a non-empty clopen set $H \subseteq \beta G_p$ such that $\text{int } f(H) = \emptyset$.

First of all we notice that since p is a nowhere dense ultrafilter, for every $s \in {}^\omega \omega$ there exists $A_s \in p$ such that

$$\text{int cl } f(s \frown A_s) = \emptyset. \quad (4)$$

In the sequel L_n will denote the set of all sequences of length n , i. e., L_n is the n -th level of the tree ${}^\omega \omega$. In particular, $L_0 = \{s_0\}$ is the empty sequence. By induction we define a sequence of sets $\{U_n : n < \omega\}$ such that $U_n \subseteq L_n$ for every $n < \omega$ and, moreover

$$\text{int cl } f(U_n) = \emptyset, \quad (5)$$

for every $s \in U_n$ there exists $A \in p$ such that $s \frown A \subseteq U_{n+1}$. (6)

We set $U_0 = \{s_0\}$ and $U_1 = s_0 \frown A_{s_0}$. Assume U_n is defined, say $U_n = \{s_k : k < \omega\}$. Then by continuity of f and the condition (4) we can choose $A_k \in p$ in such a way that $\text{int cl } f(s_k \frown A_k) = \emptyset$ and moreover, the diameter of $\text{cl } f(s_k \frown A_k)$ is not greater than $\frac{1}{k}$. Clearly, s_k is an accumulation point of $s_k \frown A_k$, because $A_k \in p$. Hence, for every $k < \omega$ we get

$$\text{cl } f(s_k \frown A_k) \cap \text{cl } f(U_n) \neq \emptyset.$$

Therefore, since diameters of the sets $\text{cl } f(s_k \frown A_k)$ tend to zero, the set of accumulation points of the set $\bigcup \{\text{cl } f(s_k \frown A_k) : k < \omega\}$ is contained in $\text{cl } f(U_n)$. Indeed, every ε -neighbourhood of the set $\text{cl } f(U_n)$ has to contain all but finitely many sets of the form $\text{cl } f(s_k \frown A_k)$. So the set $\text{cl } f(U_n) \cup \bigcup \{\text{cl } f(s_k \frown A_k) : k < \omega\}$ is closed. It is also nowhere dense as it is a countable union of nowhere dense sets and is closed. Now we set

$$U_{n+1} = \bigcup \{s_k \frown A_k : k < \omega\}$$

and observe that

$$\text{cl } f(U_{n+1}) \subseteq \text{cl } f(U_n) \cup \bigcup \{\text{cl } f(s_k \frown A_k) : k < \omega\}.$$

Thus the set $f(U_{n+1})$ is nowhere dense, which completes the construction of U_n 's.

By the condition (5), there exists a dense set

$$\{x_n : n < \omega\} \subseteq {}^\omega \{0, 1\} \setminus \bigcup \{\text{cl } f(U_n) : n < \omega\}.$$

In particular, for every $n, k < \omega$ we have

$$f^{-1}(\{x_n\}) \cap \text{cl } U_k = \emptyset,$$

where “cl” denotes here the closure in βG_p . Now, for every $n < \omega$ we choose a clopen set $V_n \subseteq \beta G_p$ such that

$$f^{-1}(\{x_n\}) \subseteq V_n \subseteq \beta G_p \setminus (\text{cl } U_0 \cup \dots \cup U_n). \quad (7)$$

By induction we construct a sequence $\{W_n: n < \omega\}$ such that the following conditions hold:

$$W_n \subseteq U_n \text{ for } n < \omega \text{ and } W_0 = U_0 \quad (8)$$

for every $s \in W_n$ there exists $B_s \in p$ such that

$$s \frown B_s \subseteq U \setminus (V_0 \cup \dots \cup V_n), \quad (9)$$

$$W_{n+1} = \bigcup \{s \frown B_s : s \in W_n\}. \quad (10)$$

Assume the sets W_0, \dots, W_n are defined in such a way that (8), (9) and (10) are satisfied. Then we have in particular

$$W_n \subseteq U_n \setminus (V_0 \cup \dots \cup V_{n-1});$$

by the condition (7) we also have

$$U_n \subseteq \beta G_p \setminus V_n.$$

Hence we get $W_n \subseteq U_n \setminus (V_0 \cup \dots \cup V_n)$. Since the set $U_n \setminus (V_0 \cup \dots \cup V_n)$ is open, for every $s \in W_n$ we can choose $B_s \in p$ such that $s \frown B_s \subseteq U_n \setminus (V_0 \cup \dots \cup V_n)$. Then it is enough to set $W_{n+1} = \bigcup \{s \frown B_s : s \in W_n\}$.

Clearly the set $W = \bigcup \{W_n : n < \omega\}$ is open in G_p and $W \cap V_n = \emptyset$ for every $n < \omega$. Indeed, if $m > n$, then $W_m \cap V_n = \emptyset$ by the conditions (9) and (10), whereas for $m \leq n$, $W_m \cap V_n = \emptyset$ because $W_m \subseteq U_m$ and $U_m \cap V_n = \emptyset$ by the condition (7). Since V_n is a clopen set in βG_p we also have

$$\text{cl } W \cap V_n = \emptyset$$

for every $n < \omega$. Since βG_p is extremally disconnected, $\text{cl } W$ is clopen subset of βG_p and, by the last equality and condition (7) we get

$$f(\text{cl } W) \cap \{x_n : n < \omega\} = \emptyset.$$

Therefore $f(\text{cl } W)$ is nowhere dense, because $\{x_n : n < \omega\}$ is dense in ${}^\omega\{0, 1\}$, which completes the proof. \square

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