

# Stationary Sets and Infinitary Logic

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## Abstract

Let  $K_\lambda^0$  be the class of structures  $\langle \lambda, <, A \rangle$ , where  $A \subseteq \lambda$  is disjoint from a club, and let  $K_\lambda^1$  be the class of structures  $\langle \lambda, <, A \rangle$ , where  $A \subseteq \lambda$  contains a club. We prove that if  $\lambda = \lambda^{<\kappa}$  is regular, then no sentence of  $L_{\lambda+\kappa}$  separates  $K_\lambda^0$  and  $K_\lambda^1$ . On the other hand, we prove that if  $\lambda = \mu^+$ ,  $\mu = \mu^{<\mu}$ , and a forcing axiom holds (and  $\aleph_1^L = \aleph_1$  if  $\mu = \aleph_0$ ), then there is a sentence of  $L_{\lambda\lambda}$  which separates  $K_\lambda^0$  and  $K_\lambda^1$ .

One of the fundamental properties of  $L_{\omega_1\omega}$  is that although every countable ordinal itself is definable in  $L_{\omega_1\omega}$ , the class of all countable well-ordered structures is not. In particular, the classes

$$\begin{aligned} K^0 &= \{ \langle \omega, R \rangle : R \text{ well-orders } \omega \} \\ K^1 &= \{ \langle \omega, R \rangle : \langle \omega, R \rangle \text{ contains a copy of the rationals} \} \end{aligned}$$

cannot be separated by any  $L_{\omega_1\omega}$ -sentence. In this paper we consider infinite quantifier languages  $L_{\kappa\lambda}$ ,  $\lambda > \omega$ . Here well-foundedness is readily definable, but we may instead consider the class

$$T_\lambda = \{ \langle \lambda, R \rangle : \langle \lambda, R \rangle \text{ is a tree with no branches of length } \lambda \}.$$

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If  $\lambda = \lambda^{<\lambda}$ , then a result of Hyttinen [1] implies that  $T_\lambda$  cannot be defined in  $L_{\lambda+\lambda}$ .

The main topic of this paper is the question whether the classes

$$\begin{aligned} K_\lambda^0 &= \{ \langle \lambda, <, A \rangle : A \text{ is disjoint from a club of } \lambda \} \\ K_\lambda^1 &= \{ \langle \lambda, <, A \rangle : A \text{ contains a club of } \lambda \} \end{aligned}$$

can be separated in  $L_{\lambda+\lambda}$  and related languages. Note that a set  $A \subseteq \lambda$  contains a club if and only if the tree  $T(A)$  of continuously ascending sequences of elements of  $A$  has a branch of length  $\lambda$ . We show (Theorem 1) that the classes  $K_\lambda^0$  and  $K_\lambda^1$  cannot be separated by a sentence of  $L_{\lambda+\kappa}$ , if  $\lambda = \lambda^{<\kappa}$  is regular. The proof of this result uses forcing in a way which seems to be new in the model theory of infinitary languages. It follows from this result that the class

$$S_\lambda = \{ \langle \lambda, <, A \rangle : A \text{ is stationary on } \lambda \},$$

that separates  $K_\lambda^0$  and  $K_\lambda^1$ , is undefinable in  $L_{\lambda+\kappa}$ , if  $\lambda = \lambda^{<\kappa}$  is regular. We complement this result by showing (Theorem 10) that if either  $\lambda = \mu^+$  and  $\mu = \mu^{<\mu} > \omega$  or  $\lambda = \omega_1$  and additionally a forcing axiom holds, then there is a sentence of  $L_{\lambda\lambda}$  which defines  $S_\lambda$  and thereby separates  $K_\lambda^0$  and  $K_\lambda^1$ .

Hyttinen [1] actually proves more than undefinability of  $T_\lambda$  in  $L_{\lambda+\lambda}$ . He shows that  $T_\lambda$  is undefinable - assuming  $\lambda = \lambda^{<\lambda}$  - in  $PC(L_{\lambda+\lambda})$ . We show (Theorems 5 and 6) that the related statement that  $S_{\omega_1}$  is definable in  $PC(L_{\omega_2\omega_1})$  is independent of ZFC+CH.

## 1 The case $\lambda = \lambda^{<\mu}$ .

**Theorem 1** *If  $\lambda = \lambda^{<\kappa}$  is regular, then the classes  $K_\lambda^0$  and  $K_\lambda^1$  cannot be separated by a sentence of  $L_{\lambda+\kappa}$ .*

**Proof.** Assume  $\lambda = \lambda^{<\kappa}$  is regular and  $\psi \in L_{\lambda+\kappa}$ . Let  $\mathcal{P}$  be the forcing notion for adding a Cohen subset to  $\lambda$ . Thus  $p \in \mathcal{P}$  if  $p$  is a mapping  $p : \alpha_p \rightarrow 2$  for some  $\alpha_p < \lambda$ . A condition  $p$  extends another condition  $q$ , in symbols  $p \geq q$ , if  $\alpha_p \geq \alpha_q$  and  $p|_{\alpha_q} = q$ . Let  $G$  be  $\mathcal{P}$ -generic and  $g = \bigcup G$ . Thus

$$V[G] \models g^{-1}(1) \text{ is bi-stationary on } \lambda.$$

Now either  $\psi$  or  $\neg\psi$  is true in  $\langle \lambda, <, g^{-1}(1) \rangle$  in  $V[G]$ . We may assume, by symmetry, that it is  $\psi$ . Let  $p \in G$  such that

$$p \Vdash_{-\mathcal{P}} \langle \lambda, <, \tilde{g}^{-1}(1) \rangle \models \psi,$$

where  $\tilde{g}$  is the canonical name for  $g$ . It is easy to use  $\lambda = \lambda^{<\kappa}$  and regularity of  $\lambda$  to construct an elementary chain  $\langle M_\xi : \xi < \lambda \rangle$  such that

- (i)  $M_\xi \prec \langle H(\text{beth}_7(\lambda)), \in, <^* \rangle$ , where  $<^*$  is a well-ordering of  $H(\text{beth}_7(\lambda))$ .
- (ii)  $\lambda + 1 \cup \{p\} \cup \{\mathcal{P}\} \cup TC(\{\psi\}) \subseteq M_0$ .
- (iii)  $\langle M_\eta : \eta < \xi \rangle \in M_{\xi+1}$ .
- (iv)  $M_\nu = \bigcup_{\xi < \nu} M_\xi$  for limit  $\nu$ .
- (v)  $(M_\xi)^{<\kappa} \subseteq M_{\xi+1}$ .
- (vi)  $|M_\xi| = \lambda$ .

Let  $M = \bigcup_{\xi < \lambda} M_\xi$ . Note, that  $M^{<\kappa} \subseteq M$  because  $\lambda$  is regular. We shall construct two  $\mathcal{P}$ -generic sets,  $G^0$  and  $G^1$ , over  $M$ . For this end, list open dense  $D \subseteq \mathcal{P}$  with  $D \in M$  as  $\langle D_\xi : \xi < \lambda \rangle$ . Define  $G^l = \{p_\xi^l : \xi < \lambda\}$  so that  $p_0^l = p$ ,  $p_{\xi+1}^l \geq p_\xi^l$  with  $p_{\xi+1}^l \in D_\xi \cap M$ ,  $p_{\xi+1}^l(\alpha_{p_\xi^l}) = l$ , and  $p_\nu^l = \bigcup_{\xi < \nu} p_\xi^l$  for limit  $\nu$ . Clearly,  $G^l$  is  $\mathcal{P}$ -generic over  $M$  and

$$M[G^l] \models [\langle \lambda, <, (g^l)^{-1}(1) \rangle \models \psi],$$

where  $g^l = \bigcup G^l$ . Note also that  $M[G^l]^{<\kappa} \subseteq M[G^l]$ , because  $M^{<\kappa} \subseteq M$  and  $\mathcal{P}$  is  $<\kappa$ -closed.

**Lemma 2** *If  $\varphi(\vec{x}) \in L_{\lambda+\kappa}$  such that  $TC(\{\varphi(\vec{x})\}) \subseteq M$ ,  $X \in M$ , and  $\vec{a} \in \lambda^{<\kappa}$ , then*

$$\langle \lambda, <, X \rangle \models \varphi(\vec{a}) \iff M[G^l] \models [\langle \lambda, <, X \rangle \models \varphi(\vec{a})].$$

**Proof.** Easy induction on  $\varphi(\vec{x})$ .  $\square$

By the lemma,  $\langle \lambda, <, (g^l)^{-1}(1) \rangle \models \psi$ . By construction,  $\langle \lambda, <, (g^l)^{-1}(1) \rangle \in K_\lambda^l$ . Now we can finish the proof. Suppose  $K_\lambda^0 \subseteq \text{Mod}(\psi)$  and  $K_\lambda^1 \cap \text{Mod}(\psi) = \emptyset$ . This contradicts the fact that  $\langle \lambda, <, (g^1)^{-1}(1) \rangle \in K_\lambda^1 \cap \text{Mod}(\psi)$ . Suppose  $K_\lambda^1 \subseteq \text{Mod}(\psi)$  and  $K_\lambda^0 \cap \text{Mod}(\psi) = \emptyset$ . This contradicts  $\langle \lambda, <, (g^0)^{-1}(1) \rangle \in K_\lambda^0 \cap \text{Mod}(\psi)$ .  $\square$

**Corollary 3** *If  $\lambda = \lambda^{<\kappa}$  is regular, then there is no  $\varphi \in L_{\lambda+\kappa}$  such that for all  $A \subseteq \lambda$ :  $\langle \lambda, <, A \rangle \models \varphi \iff A$  is stationary.*

Theorem 1 gives a new proof of the result, referred to above, that if  $\lambda = \lambda^{<\lambda}$ , then  $T_\lambda$  is not definable in  $L_{\lambda\lambda}$ . Our proof does not give the stronger result that  $T_\lambda$  is not definable in  $PC(L_{\lambda\lambda})$ , and there is a good reason:  $S_{\omega_1}$  may be  $PC(L_{\omega_1\omega_1})$ -definable, even if  $2^{\aleph_0} = \aleph_1$ . This is the topic of the next section.

## 2 An application of Canary trees.

A tree  $\mathcal{C}$  is a *Canary tree* if  $\mathcal{C}$  has cardinality  $\leq 2^\omega$ ,  $\mathcal{C}$  has no uncountable branches, but if a stationary subset of  $\omega_1$  is killed by forcing which does not add new reals, then this forcing adds an uncountable branch to  $\mathcal{C}$ . By [4], this is equivalent to the statement that

- ( $\star$ ) For every co-stationary  $A \subseteq \omega_1$  there is a mapping  $f$  with  $\text{Rng}(f) \subseteq \mathcal{C}$  such that for all increasing closed sequences  $s, s'$  of elements of  $A$ , if  $s$  is an initial segment of  $s'$ , then  $f(s) <_c f(s')$ .

**Theorem 4** (i)  $\text{Con}(\text{ZF}) \rightarrow \text{Con}(\text{ZFC} + \text{CH} + \text{there is a Canary tree})$  [3]  
(ii)  $V=L \rightarrow \text{there are no Canary trees}$  [6].

Thus the non-existence of Canary trees is consistent with CH, relative to the consistency of ZF. This result was first proved in [3] by the method of forcing.

**Theorem 5** *Assuming CH and the existence of a Canary tree, there is a  $\Phi \in PC(L_{\omega_2\omega_1})$  such that for all  $A \subseteq \omega_1$ :  $\langle \omega_1, <, A \rangle \models \Phi \iff A$  is stationary.*

**Proof.** Let  $\mathcal{C}$  be a Canary tree. It is easy to construct a  $PC(L_{\omega_2\omega_1})$ -sentence  $\Psi$  such that the following conditions are equivalent for all  $A \subseteq \omega_1$ :

- (i)  $\langle \omega_1, <, A \rangle \models \Psi$
- (ii) There is a mapping  $f$  with  $\text{Rng}(f) \subseteq \mathcal{C}$  such that for all increasing closed sequences  $s, s'$  of elements of  $A$ , if  $s$  is an initial segment of  $s'$ , then  $f(s) <_c f(s')$ .

We allow predicate symbols with  $\omega$ -sequences of variables in the  $PC(L_{\omega_2\omega_1})$ -sentence  $\Psi$ . Now the claim follows from the property ( $\star$ ) of Canary trees.  $\square$

**Theorem 6** *Con(ZF) implies Con(ZFC + CH + there is no  $\Phi \in PC(L_{\omega_2\omega_1})$  such that for all  $A \subseteq \omega_1$ :  $\langle \omega_1, <, A \rangle \models \Phi \iff A$  is stationary).*

**Proof.** We start with a model of GCH and add  $\aleph_2$  Cohen subsets to  $\omega_1$ . In the extension GCH continues to hold. Suppose there is in the extension a  $\Phi \in PC(L_{\omega_2\omega_1})$  such that for all  $A \subseteq \omega_1$ :

$$\langle \omega_1, <, A \rangle \models \Phi \iff A \text{ is stationary.}$$

Since the forcing to add  $\aleph_2$  Cohen subsets of  $\omega_1$  satisfies the  $\aleph_2$ -c.c.,  $\Phi$  belongs to the extension of the universe by  $\aleph_1$  of the subsets. By first adding all but one of the subsets we can work in  $V[A]$  where  $A$  is a Cohen subset of  $\omega_1$  and  $\Phi$  is in  $V$ . Note that  $A$  is a bi-stationary subset of  $\omega_1$ . Let  $\mathcal{P}$  be in  $V$  the forcing for adding a Cohen generic subset of  $\omega_1$  and let  $\tilde{A}$  be the  $\mathcal{P}$ -name for  $A$ . Let  $p$  force  $\langle \omega_1, <, \tilde{A} \rangle \models \Phi$ . By arguing as in the proof of Theorem 1, we can construct in  $V$  a model  $M$  of cardinality  $\aleph_1$  containing  $\mathcal{P}$  such that  $M^\omega \subseteq M$ ,

$$M \models [p] \Vdash \langle \omega_1, <, \tilde{A} \rangle \models \Phi,$$

and, furthermore, we can extend  $p$  to a  $\mathcal{P}$ -generic set  $H \subseteq \omega_1$  over  $M$  such that  $H$  is non-stationary. Thus  $M[H]$  satisfies

$$\langle \omega_1, <, H \rangle \models \Phi. \tag{1}$$

Now (1) is true in  $V$ , because  $M[H]^\omega \subseteq M[H]$ . Since  $\mathcal{P}$  is countably closed, we have (1) in  $V[A]$ , whence  $H$  is stationary in  $V[A]$ , contrary to the fact that  $H$  is non-stationary in  $V$ .  $\square$

### 3 An application to the topological space ${}^{\omega_1}\omega_1$ .

Let  $\mathcal{N}_1$  denote the generalized Baire space consisting of all functions  $f : \omega_1 \rightarrow \omega_1$ , with the sets

$$N_s = \{f \in \mathcal{N}_1 : f \upharpoonright \text{Dom}(s) = s\},$$

where  $s \in {}^{<\omega_1}\omega_1$ , as basic open sets. We call open sets  $\Sigma_1^0$  and closed sets  $\Pi_1^0$ . A set of the form  $\bigcup_{\xi < \omega_1} A_\xi$ , where each  $A_\xi$  is in  $\bigcup_{\beta < \alpha} \Pi_\beta^0$ , is called  $\Sigma_\alpha^0$ . Respectively, a set of the form  $\bigcap_{\xi < \omega_1} A_\xi$ , where each  $A_\xi$  is in  $\bigcup_{\beta < \alpha} \Sigma_\beta^0$ , is called  $\Pi_\alpha^0$ . In  $\mathcal{N}_1$  it is natural to define Borel sets as follows: A subset of  $\mathcal{N}_1$  is *Borel* if it is  $\Sigma_\alpha^0$  or  $\Pi_\alpha^0$  for some  $\alpha < \omega_2$ . A set  $A \subseteq \mathcal{N}_1$  is  $\Pi_1^1$  if there is an

open set  $B \subseteq \mathcal{N}_1 \times \mathcal{N}_1$  such that  $\forall f(f \in A \iff \forall g((f, g) \in B))$ . A set is  $\Sigma_1^1$  if its complement is  $\Pi_1^1$ .

Let CUB be the set of characteristic functions of closed unbounded subsets of  $\omega_1$ , and NON-STAT the set of characteristic functions of non-stationary subsets of  $\omega_1$ . Clearly, CUB and NON-STAT are disjoint  $\Sigma_1^1$ . It was proved in [4] that, assuming CH, CUB and NON-STAT are  $\Pi_1^1$  if and only if there is a Canary tree. Another result on [4] says that the sets CUB and NON-STAT cannot be separated by any  $\Pi_3^0$  or  $\Sigma_3^0$  set.

**Theorem 7** *Assuming CH, the sets CUB and NON-STAT cannot be separated by a Borel set.*

**Proof.** Let  $\{s_\alpha : \alpha < \omega_1\}$  enumerate all  $s \in {}^{<\omega_1}\omega_1$ . Let  $C = \bigcup_{\alpha < \omega_2} C_\alpha$ , where

$$\begin{aligned} C_0 &= \{0, 1\} \times \mathcal{N}_1 \\ C_\delta &= \{2, 3\} \times \omega_1 \left( \bigcup_{\alpha < \delta} C_\alpha \right). \end{aligned}$$

Now we define a Borel set  $B_c$  for each  $c \in C$  as follows:

$$\begin{aligned} B_{(0,f)} &= \bigcup_{\alpha < \omega_1} N_{s_{f(\alpha)}} \quad , \quad B_{(1,f)} = \bigcap_{\alpha < \omega_1} \mathcal{N}_1 \setminus N_{s_{f(\alpha)}}, \\ B_{(2,f)} &= \bigcup_{\alpha < \omega_1} B_{f(\alpha)} \quad , \quad B_{(3,f)} = \bigcap_{\alpha < \omega_1} B_{f(\alpha)}. \end{aligned}$$

Clearly, every Borel subset  $X$  of  $\mathcal{N}_1$  is of the form  $B_c$  for some  $c \in C$ . Then we call  $c$  a *Borel code* of  $X$ .

Assume  $A$  is a Borel set which separates CUB and NON-STAT. Let  $c$  be a Borel code of  $A$ . Let  $\mathcal{P}$  be the forcing notion for adding a Cohen subset to  $\omega_1$ . Let  $G$  be  $\mathcal{P}$ -generic and  $g = \bigcup G$ . Thus

$$V[G] \models g^{-1}(1) \text{ is bi-stationary.}$$

Now either  $g^{-1}(1) \in B_c$  or  $g^{-1}(1) \in B_c$  in  $V[G]$ . We may assume, by symmetry, that  $g^{-1}(1) \in B_c$ . Let  $p \in G$  such that

$$p \Vdash_{\mathcal{P}} \tilde{g}^{-1}(1) \in B_c,$$

where  $\tilde{g}$  is the canonical name for  $g$ . Let  $M \prec \langle H(\text{beth}_7(\omega_1)), \in, <^* \rangle$ , where  $<^*$  is a well-ordering of  $H(\text{beth}_7(\lambda))$ , such that  $\omega_1 + 1 \cup \{p\} \cup \{\mathcal{P}\} \cup TC(\{c\}) \subseteq M$ ,  $M^{<\omega_1} \subseteq M$  and  $|M| = \omega_1$ .

We shall construct two  $\mathcal{P}$ -generic sets,  $G^0$  and  $G^1$ , over  $M$ . For this end, list open dense  $D \subseteq \mathcal{P}$  with  $D \in M$  as  $\langle D_\xi : \xi < \omega_1 \rangle$ . Define  $G^l = \{p_\xi^l : \xi < \omega_1\}$  so that  $p_0^l = p$ ,  $p_{\xi+1}^l \geq p_\xi^l$  with  $p_{\xi+1}^l \in D_\xi \cap M$ ,  $p_{\xi+1}^l(\alpha_{p_\xi^l}) = l$ , and  $p_\nu^l = \bigcup_{\xi < \nu} p_\xi^l$  for limit  $\nu$ . Clearly,  $G^l$  is  $\mathcal{P}$ -generic over  $M$  and

$$M[G^l] \models (g^l)^{-1}(1) \in B_c,$$

where  $g^l = \bigcup G^l$ . Note also that  $M[G^l]^{<\omega} \subseteq M[G^l]$ , because  $M^{<\omega} \subseteq M$  and  $\mathcal{P}$  is  $\omega$ -closed.

**Lemma 8** *If  $c \in C$  such that  $TC(\{c\}) \subseteq M$ , and  $f \in M$ , then*

$$f \in B_c \iff M[G^l] \models [f \in B_c].$$

**Proof.** Easy induction on  $c$ .  $\square$

By the lemma,  $(g^l)^{-1}(1) \in B_c$ . By construction,  $(g^0)^{-1}(1) \in \text{NON-STAT}$  and  $(g^1)^{-1}(1) \in \text{CUB}$ . Now we can finish the proof. Suppose  $\text{CUB} \subseteq A$  and  $\text{NON-STAT} \cap A = \emptyset$ . This contradicts the fact that  $(g^0)^{-1}(1) \in \text{NON-STAT} \cap A$ . Suppose  $\text{NON-STAT} \subseteq A$  and  $\text{CUB} \cap A = \emptyset$ . This contradicts the fact that  $(g^1)^{-1}(1) \in \text{CUB} \cap A$ .  $\square$

## 4 The case $\lambda^\mu > \lambda$ .

Let  $\mu$  be a cardinal. Sets  $A, B \subseteq \mu$  are called *almost disjoint (on  $\mu$ )* if  $\sup(A \cap B) < \mu$ . An *almost disjoint  $\lambda$ -sequence* of subsets of  $\mu$  is a sequence  $\mathcal{B} = \langle B_\alpha : \alpha < \lambda \rangle$  such that for all  $\alpha \neq \beta$ ,  $|B_\alpha| = \mu$  and the sets  $B_\alpha$  and  $B_\beta$  are almost disjoint. The sequence  $\mathcal{B}$  is said to be *definable on  $L_\lambda$*  if there is a sequence  $\langle \delta_\alpha : \alpha < \lambda \rangle$  such that  $\limsup_{\alpha < \lambda} \delta_\alpha = \lambda$  and the predicates  $x \in B_y \wedge y < \delta_\alpha$  and  $x = \delta_y \wedge x < \alpha \wedge y < \alpha$  are definable on every structure  $\langle L_\alpha, \in \rangle$ , where  $\alpha < \lambda$ , that is, there is a first order formula  $\varphi_0(x, y)$  of the language of set theory such that for  $x, y < \alpha < \lambda$ :

$$x \in B_y \wedge y < \delta_\alpha \iff \langle L_\alpha, \in \rangle \models \varphi_0(x, y).$$

**Lemma 9** *If  $\aleph_1^L = \aleph_1$ , then there is an almost disjoint  $\omega_1$ -sequence of subsets of  $\omega_1$ , which is definable on  $L_{\omega_1}$ .*

**Proof.** There is a set  $\{B_i : i < \omega_1^L\}$  of almost disjoint subsets of  $\omega$  in  $L$ . Since  $\aleph_1^L = \aleph_1$ , this set is really of cardinality  $\aleph_1$ . Let  $\theta(x, y)$  be a  $\Sigma_1$ -formula of set theory such that for all  $\alpha$  and  $x, y \in L_\alpha$ ,  $x <_L y \iff L_\alpha \models \theta(x, y)$ , where  $<_L$  is the canonical well-ordering of  $L$ . The claim follows easily.  $\square$

**Theorem 10** *Suppose*

- (i)  $\lambda = \mu^+$ .
- (ii) *There is an almost disjoint  $\lambda$ -sequence  $\mathcal{B} = \langle B_\alpha : \alpha < \lambda \rangle$  of subsets of  $\mu$  which is definable on  $L_\lambda$ .*
- (iii) *For all club subsets  $C$  of  $\lambda$  there is a subset  $X$  of  $\mu$  such that for all  $\alpha < \lambda$  we have*

$$\alpha \in C \iff \sup(B_\alpha \setminus C) < \mu.$$

*Then there is a sentence  $\varphi \in L_{\lambda\lambda}$  so that for all  $A \subseteq \lambda$ :*

$$\langle \lambda, <, A \rangle \models \varphi \iff A \text{ is stationary.}$$

**Proof.** Suppose  $\varphi_0$  defines the almost disjoint sequence, as above. We define a sequence of formulas of  $L_{\lambda\lambda}$ . The variable vectors  $\vec{x}$  in these formulas are always sequences of the form  $\langle x_i : i < \mu \rangle$ . Let  $\Phi$  be the conjunction of a large but finite number of axioms of  $ZFC + V = L$ . If  $\psi(\vec{z})$  is a formula of set theory, let  $\psi'(\vec{z}, \vec{x}, \vec{u}, \vec{v})$  be the result of replacing every quantifier  $\forall y \dots$  in  $\Phi$  by  $\forall y (\bigvee_{i < \mu} y = x_i \rightarrow \dots)$ , every quantifier  $\exists y \dots$  in  $\Phi$  by  $\exists y (\bigvee_{i < \mu} y = x_i \wedge \dots)$ , and  $y \in z$  everywhere in  $\Phi$  by  $\bigvee_{i < \mu} (y = u_i \wedge z = v_i)$ . The following formulas pick  $\mu$  from  $\langle \lambda, < \rangle$ :

$$\begin{aligned} \varphi_{\approx\mu}(y) &\iff \exists \vec{x} ((\bigwedge_{i < j < \mu} x_i < x_j) \wedge \forall z (z < y \leftrightarrow \bigvee_{i < \mu} z = x_i)), \\ \varphi_{\in\mu}(y) &\iff \forall u (\varphi_{\approx\mu}(u) \rightarrow y < u), \\ \psi_{\in\mu}(\vec{y}) &\iff \bigwedge_{i < \mu} \varphi_{\in\mu}(y_i) \varphi_{B,1}(x, \vec{u}, \vec{v}, z, y) \end{aligned}$$

The following formulas are needed to refer to well-founded models of set theory:

$$\begin{aligned} \varphi_{uni}(\vec{x}, z) &\iff \bigvee_{i < \mu} z = x_i \\ \varphi_{eps}(\vec{x}, \vec{u}, \vec{v}, z, y) &\iff \varphi_{uni}(\vec{x}, z) \wedge \varphi_{uni}(\vec{x}, y) \wedge \bigvee_{i < \mu} (z = u_i \wedge y = v_i) \\ \varphi_{wf}(\vec{x}, \vec{u}, \vec{v}) &\iff \Phi'(\vec{x}, \vec{u}, \vec{v}) \wedge \forall \vec{y} ((\bigwedge_{i < \mu} \varphi_{uni}(\vec{x}, y_i) \rightarrow \\ &\quad \bigvee_{i < \mu} \neg \varphi_{eps}(\vec{x}, \vec{u}, \vec{v}, y_{i+1}, y_i)) \\ \varphi_{cor}(\vec{x}, \vec{u}, \vec{v}, z) &\iff \forall s (s < z \leftrightarrow \bigvee_{i < \mu} (s = u_i \wedge z = v_i)) \end{aligned}$$



Let

$$\varphi_B(z, y) \iff \exists \vec{x} \exists \vec{u} \exists \vec{v} (\varphi_{wf}(\vec{x}, \vec{u}, \vec{v}) \wedge \varphi_{cor}(\vec{x}, \vec{u}, \vec{v}, z) \wedge \varphi_{cor}(\vec{x}, \vec{u}, \vec{v}, y) \wedge \phi'_0(z, y, \vec{x}, \vec{u}, \vec{v})).$$

The point is that if  $\alpha \in \mu$  and  $\beta \in \lambda$ , then  $\alpha \in B_\beta$  if and only if  $\langle \lambda, < \rangle \models \varphi_B(\alpha, \beta)$ . The following formula says that the element  $y$  of  $\mu$  is in the subset of  $\lambda$  coded by  $\vec{x}$ :

$$\varphi_\varepsilon(y, \vec{x}) \iff \exists u (\varphi_{\in \mu}(u) \wedge \forall z ((\varphi_B(z, y) \wedge \bigwedge_{i < \mu} z \neq x_i) \rightarrow z < u),$$

Finally, if:

$$\begin{aligned} \varphi_{ub}(\vec{x}) &\iff \forall y \exists z (y < z \wedge \varphi_\varepsilon(z, \vec{x})), \\ \varphi_{cl}(\vec{x}) &\iff \forall y (\forall z (z < y \rightarrow \exists u (z < u \wedge u < y \wedge \varphi_\varepsilon(u, \vec{x}))) \rightarrow \varphi_\varepsilon(y, \vec{x})), \\ \varphi_{cub}(\vec{x}) &\iff \varphi_{ub}(\vec{x}) \wedge \varphi_{cl}(\vec{x}) \\ \varphi_{stat} &\iff \forall \vec{x} ((\psi_{\in \mu}(\vec{x}) \wedge \varphi_{cub}(\vec{x})) \rightarrow \exists y (A(y) \wedge \varphi_\varepsilon(y, \vec{x}))), \end{aligned}$$

then  $\langle \lambda, <, A \rangle \models \varphi_{stat}$  if and only if  $A$  is stationary.  $\square$

**Corollary 11** *If  $2^{\aleph_0} > \aleph_1$ ,  $\aleph_1^L = \aleph_1$  and MA, then there is a  $\varphi \in L_{\omega_1 \omega_1}$  such that for all  $A \subseteq \omega_1$ :*

$$\langle \omega_1, <, A \rangle \models \varphi \iff A \text{ is stationary.}$$

**Proof.** We choose  $\lambda = \omega_1$  and  $\mu = \omega_0$  in Theorem 10. Condition (ii) holds by Lemma 9. Condition (iii) is a consequence of MA +  $\neg$ CH by [2].  $\square$

**Note.** The proof of Corollary 11 shows that we actually get the following stronger result: If  $2^{\aleph_0} > \aleph_1$ ,  $\aleph_1^L = \aleph_1$  and MA, then the full second order extension  $L_{\omega_1 \omega_1}^{II}$  of  $L_{\omega_1 \omega_1}$  is reducible to  $L_{\omega_1 \omega_1}$  in expansions of  $\langle \omega_1, < \rangle$ . Then, in particular,  $T_{\aleph_1}$  is  $PC(L_{\omega_1 \omega_1})$ -definable. This kind of reduction cannot hold on all models. For example,  $\omega_1$ -like dense linear orders with a first element are all  $L_{\infty \omega_1}$ -equivalent, but not  $L_{\omega \omega}^{II}$ -equivalent.

For  $\alpha < \lambda = \mu^+$ , let  $\langle a_i^\alpha : i < \mu \rangle$  be a continuously increasing sequence of subsets of  $\alpha$  with  $\alpha = \bigcup_{i < \mu} a_i^\alpha$  and  $|a_i^\alpha| < \mu$ . Define  $f_\alpha : \mu \rightarrow \mu$  by

$$f_\alpha(i) = otp(a_i^\alpha).$$

Let  $D_\mu$  be the club-filter on  $\mu$ . Define for  $f, g \in {}^\mu \mu$ :

$$f \sim_{D_\mu} g \iff \{i : f(i) = g(i)\} \in D_\mu.$$

**Lemma 12**  $f_\alpha/D_\mu$  is independent of the choice of the sequence  $\langle a_i^\alpha : i < \mu \rangle$ .

**Theorem 13** *Suppose*

(i)  $\lambda = \mu^+$ , where  $\mu = \mu^{<\mu} > \aleph_0$ .

(ii) For every club  $C \subseteq \lambda$  there is some  $X \subseteq \mu \times \mu$  such that

$$\begin{aligned} \alpha \in C &\rightarrow \{i < \mu : (i, f_\alpha(i)) \in X\} \text{ contains a club} \\ \alpha \notin C &\rightarrow \{i < \mu : (i, f_\alpha(i)) \notin X\} \text{ contains a club.} \end{aligned}$$

Then there is a sentence  $\varphi \in L_{\lambda\lambda}$  such that for all  $A \subseteq \lambda$ :

$$\langle \lambda, <, A \rangle \models \varphi \iff A \text{ is stationary.}$$

**Proof.** This is like the proof of Theorem 10. One uses Lemma 12 to refer to the functions  $f_\alpha$ . We leave the details to the reader.  $\square$

The *Generalized Martin's Axiom for  $\mu$*  ( $\text{GMA}_\mu$ ) from [5] is the following principle:

Suppose  $\mathcal{P}$  is a forcing notion with the properties:

**(GMA1)** Every descending sequence of length  $< \mu$  in  $\mathcal{P}$  has a greatest lower bound.

**(GMA2)** If  $p_\alpha \in \mathcal{P}$  for  $\alpha < \mu^+$ , then there is a club  $C \subseteq \mu^+$  and a regressive function  $f : \mu^+ \rightarrow \mu^+$  such that if  $\alpha \in C$  and  $\text{cf}(\alpha) = \mu$ , then the set

$$A = \{p_\beta : \text{cf}(\beta) = \mu, f(\alpha) = f(\beta)\}$$

is well-met (i.e.  $p, q \in A \rightarrow p \vee q \in A$ ).

Then for any dense open sets  $D_\alpha \subseteq \mathcal{P}$ ,  $\alpha < \kappa$ , where  $\kappa < 2^\mu$ , there is a filter in  $\mathcal{P}$  which meets every  $D_\alpha$ .

**Proposition 14** *Suppose  $\lambda = \mu^+$ , where  $\mu = \mu^{<\mu} > \aleph_0$ , and  $\text{GMA}_\mu$ . Then for every club  $C \subseteq \lambda$  there is some  $X \subseteq \mu \times \mu$  such that*

$$\begin{aligned} \alpha \in C &\rightarrow \{i < \mu : (i, f_\alpha(i)) \in X\} \text{ contains a club} \\ \alpha \notin C &\rightarrow \{i < \mu : (i, f_\alpha(i)) \notin X\} \text{ contains a club.} \end{aligned}$$

**Proof.** Let a club  $C \subseteq \lambda$  be given. For  $\alpha < \beta < \lambda$ , let  $C_{\alpha\beta} \in D_\mu$  so that  $f_\alpha|C_{\alpha\beta} < f_\beta|C_{\alpha\beta}$ . Let  $\mathcal{P}$  consist of conditions

$$p = (B^p, f^p, \mathbf{c}^p, g^p),$$

where

- (i)  $B^p \subseteq \lambda$ .  $|B^p| < \mu$ .
- (ii)  $f^p$  is a partial mapping with  $\text{Dom}(f^p) \subseteq \mu \times \mu$ ,  $|\text{Dom}(f^p)| < \mu$ , and  $\text{Rng}(f^p) \subseteq \{0, 1\}$ .
- (iii) If  $\alpha \in B^p$ , then  $\{i < \mu : (i, f_\alpha(i)) \in \text{Dom}(f^p)\}$  is an ordinal  $j_\alpha^p$ .
- (iv)  $\mathbf{c}^p = \langle c_\alpha^p : \alpha \in B^p \rangle$ , where  $c_\alpha^p$  is a closed subset of  $j_\alpha^p$ . We denote  $\max(c_\alpha^p)$  by  $\delta^p$ .
- (v) If  $\alpha \in B^p \cap C$  and  $i \in C_\alpha^p$ , then  $f^p(i, f_\alpha(i)) = 1$ . If  $\alpha \in B^p \setminus C$  and  $i \in C_\alpha^p$ , then  $f^p(i, f_\alpha(i)) = 0$ .
- (vi)  $g^p$  is a partial mapping with  $\text{Dom}(g^p) \subseteq [B^p]^2$  and  $\text{Rng}(g^p) \subseteq \mu$ .
- (vii) If  $\alpha < \beta \in \text{Dom}(g^p)$ , then  $\emptyset \neq c_\alpha^p \setminus g(\alpha, \beta) \subseteq C_{\alpha\beta}$ .

The partial ordering “ $q$  extends  $p$ ” is defined as follows:

$$\begin{aligned} p \leq q &\Leftrightarrow B^p \subseteq B^q, f^p \subseteq f^q, g^p \subseteq g^q, \\ &\forall \alpha \in B^p (c_\alpha^p \text{ is an initial segment of } c_\alpha^q), \\ &\text{and if } \delta^p < \delta^q, \text{ then } \text{Dom}(g^q) \supseteq [B^p]^2. \end{aligned}$$

We show now that  $\mathcal{P}$  satisfies conditions (GMA1) and (GMA2).

**Lemma 15**  $\mathcal{P}$  satisfies (GMA1).

**Proof.** Let  $p_0 \leq \dots \leq p_i \leq \dots (i < \gamma)$  in  $\mathcal{P}$  with  $\gamma < \mu$ . We may assume  $\delta^{p_0} < \delta^{p_1} < \dots$ . Let  $\delta = \sup\{\delta^{p_i} : i < \gamma\}$ . Let  $B = \bigcup_{i < \gamma} B^{p_i}$ . We extend  $\bigcup_i f^{p_i}$  to  $f$  by defining

$$f(\delta, f_\alpha(\delta)) = \begin{cases} 1 & \text{if } \alpha \in B \cap C \\ 0 & \text{if } \alpha \in B \setminus C. \end{cases}$$

We have to check that this definition is coherent, i.e., if  $\alpha \in B \cap C$  and  $\beta \in B \setminus C$ , then  $f_\alpha(\delta) \neq f_\beta(\delta)$ . Suppose  $\alpha \in B^{p_i}$  and  $\beta \in B^{p_{i'}}$  with  $\alpha < \beta$

and  $i < i'$ . Since  $\delta^{p_i} < \delta^{p_{i'}}$ ,  $g(\alpha, \beta)$  is defined and  $c_\alpha^{p_i} \setminus g(\alpha, \beta) \subseteq C_{\alpha\beta}$ . Hence  $\delta \in C_{\alpha\beta}$ , whence  $f_\alpha(\delta) < f_\beta(\delta)$ .

Let  $\mathbf{c} = \langle c_\alpha : \alpha \in B \rangle$  where  $c_\alpha = \bigcup_i c_\alpha^{p_i} \cup \{\delta\}$ . Let  $j = \bigcup_i j^{p_i} \cup \{\delta\}$ . Now the condition  $p = (B, f, \mathbf{c}, g)$  is the needed l.u.b. of  $(p_i)_{i < \mu}$ .  $\square$

**Lemma 16**  $\mathcal{P}$  satisfies (GMA2).

**Proof.** Suppose  $p_\alpha, \alpha < \lambda$ , are in  $\mathcal{P}$ . Let  $h$  be a one-one mapping from  $\mathcal{P}$  to odd ordinals  $< \lambda$ . By  $\mu^{<\mu} = \mu$  there is a club  $C \subseteq \lambda$  such that if  $\alpha \in C$ ,  $\text{cf}(\alpha) = \mu$ , and  $B^p \subseteq \alpha$ , then  $h(p) < \alpha$ , and if  $\alpha < \beta$ ,  $\alpha, \beta \in C$ , then  $B^{p_\alpha} \subseteq \beta$ . Choose a regressive function  $g$  from the complement of  $C$  to the even ordinals that is one-one on ordinals of cofinality  $\mu$ . Suppose  $\text{cf}(\alpha) = \mu$ . Let  $f(\alpha) = g(\alpha)$  if  $\alpha \notin C$ , and  $f(\alpha) = h(p_\alpha|\alpha)$  if  $\alpha \in C$ . Suppose now  $\alpha < \beta$ ,  $\text{cf}(\alpha) = \text{cf}(\beta) = \mu$ , and  $f(\alpha) = f(\beta)$ . W.l.o.g.  $\alpha, \beta \in C$ . Thus  $h(p_\alpha|\alpha) = h(p_\beta|\beta)$ , whence  $p_\alpha|\alpha = p_\beta|\beta$ . It follows that  $p_\alpha$  and  $p_\beta$  have a l.u.b.  $\square$

Let

$$D_{\alpha\beta} = \{p \in \mathcal{P} : \alpha \in B^p \text{ and } \delta^p \geq \beta\}$$

where  $\alpha < \lambda$ ,  $\beta < \mu$ . We show that  $D_{\alpha\beta}$  is dense open. Suppose therefore  $p \in \mathcal{P}$  is given. We construct  $q \in D_{\alpha\beta}$  with  $p \leq q$ . Let  $B^q = B^p \cup \{\alpha\}$ . Let

$$E = \bigcap \{C_{\xi\eta} : \xi, \eta \in B^q, \xi < \eta\} \in D_\mu.$$

Let  $\delta^q \in E \setminus \beta$ . Define  $\mathbf{c}^q = \langle c_\xi^q : \xi \in B^q \rangle$  by

$$c_\xi^q = \begin{cases} c_\xi^p \cup \langle \delta^q \rangle, & \text{if } \xi \neq \alpha \\ \langle \delta^q \rangle, & \text{if } \xi = \alpha. \end{cases}$$

Let

$$f^q = f^p \cup \begin{cases} \{((j, f_\alpha(j)), 1) : j^p \leq j \leq \delta^q\}, & \text{if } \alpha \in C \\ \{((j, f_\alpha(j)), 0) : j^p \leq j \leq \delta^q\}, & \text{if } \alpha \notin C. \end{cases}$$

Let  $g^q(\xi, \eta) = \delta^p$  for  $(\xi, \eta) \in [M^p]^2 \setminus \text{Dom}(g^p)$ . Let  $q = (B^q, f^q, \mathbf{c}^q, \delta^q)$ . Then  $q \in D_{\alpha\beta}$ , and  $p \leq q$ .

Let  $G$  be a filter that meets every  $D_{\alpha\beta}$ . Let

$$\begin{aligned} B &= \bigcup \{B^p : p \in G\} \\ f &= \bigcup \{f^p : p \in G\} \\ c_\alpha &= \bigcup \{c_\alpha^p : p \in G\} \end{aligned}$$

Then  $B = \lambda$  and each  $c_\alpha$  is a club of  $\mu$ . Let  $X = \{(\alpha, \beta) \in \mu \times \mu : f(\alpha, \beta) = 1\}$ . Suppose  $\alpha \in C$  and  $i \in c_\alpha$ . Then  $f(i, f_\alpha(i)) = 1$  whence  $(i, f_\alpha(i)) \in X$ . Suppose  $\alpha \notin C$  and  $i \in c_\alpha$ . Then  $f(i, f_\alpha(i)) = 0$  whence  $(i, f_\alpha(i)) \notin X$ .  $\square$

**Corollary 17** *Suppose  $\lambda = \mu^+$ , where  $\mu = \mu^{<\mu} > \aleph_0$ , and  $\text{GMA}_\mu$ . Then there is a sentence  $\varphi \in L_{\lambda\lambda}$  such that for all  $A \subseteq \lambda$ :*

$$\langle \lambda, <, A \rangle \models \varphi \iff A \text{ is stationary.}$$

**Proof.** The claim follows from Theorem 13 and Proposition 14.  $\square$

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