

ON ULTRAPRODUCTS OF  
BOOLEAN ALGEBRAS AND IRR  
SH703

SAHARON SHELAH

Institute of Mathematics  
The Hebrew University  
Jerusalem, Israel

Rutgers University  
Mathematics Department  
New Brunswick, NJ USA

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## ANNOTATED CONTENT

## §1 Consistent inequality

[We prove the consistency of  $\text{irr}(\prod_{i<\kappa} B_i/D) < \prod_{i<\kappa} \text{irr}(B_i)/D$  where  $D$  is an ultrafilter on  $\kappa$  and each  $B_i$  is a Boolean algebra and  $\text{irr}(B)$  is the maximal size of irredundant subsets of a Boolean algebra  $B$ , see full definition in the text. This solves the last problem, 35, of this form from Monk's list of problems in [M2]. The solution applies to many other properties, e.g. Souslinity.]

## §2 Consistency for small cardinals

[We get similar results with  $\kappa = \aleph_1$  (easily we cannot have it for  $\kappa = \aleph_0$ ) and Boolean algebras  $B_i$  ( $i < \kappa$ ) of cardinality  $< \beth_{\omega_1}$ .]

This article continues Magidor Shelah [MgSh 433] and Shelah Spinus [ShSi 677], but does not rely on them: see [M2] for the background.

## §1 CONSISTENT INEQUALITY

**1.1 Definition.** Assume  $\mu < \lambda$ ,  $\lambda$  is strongly inaccessible Mahlo. Let  $B^* = B_\lambda$  be the Boolean algebra freely generated by  $\{x_\alpha : \alpha < \lambda\}$  and for  $u \subseteq \lambda$  let  $B_u$  be the subalgebra of  $B^*$  generated by  $\{x_\alpha : \alpha \in u\}$ .

1) We define a forcing notion  $\mathbb{Q} = \mathbb{Q}_{\mu, \lambda}^1$  as follows:

$p \in \mathbb{Q}$  iff:  $p$  has form  $(w^p, B^p)$ , we may write  $(w[p], B[p])$  for typographical reasons, satisfying:

- (i)  $w^p = w[p] \subseteq \lambda$
- (ii)  $B^p = B[p]$  is a Boolean algebra of the form  $B_{w[p]}/I^p$  where  $I^p = I[p]$  is an ideal of  $B_{w[p]}$ , so  $B^p$  is generated by  $\{x_\alpha/I^p : \alpha \in w^p\}$
- (iii)  $x_\alpha/I^p \notin \langle \{x_\beta/I^p : \beta \in w^p \cap \alpha\} \rangle_{B[p]}$ , equivalently  $x_\alpha \notin \langle \{x_\beta : \beta \in w^p \cap \alpha\} \cup I^p \rangle_{B_{w[p]}}$
- (iv) for every strongly inaccessible  $\chi \in (\mu, \lambda]$  we have  $|w^p \cap \chi| < \chi$ .

The order is given by  $p \leq q$  iff  $w^p \subseteq w^q$  and  $I^p = I^q \cap B_{w[p]}$ , so, abusing notation, we pretend that  $B^p \subseteq B^q$ , not distinguishing sometimes  $x_\alpha$  from  $x_\alpha/I^p \in B^p$  or (see below) from  $x_\alpha/I$  in  $B$ .

2) We define  $\underline{I} = \cup\{I^p : p \in G_{\mathbb{Q}_{\mu, \lambda}^1}\}$  and  $\underline{B}$  is defined as  $B_\lambda/\underline{I}$ .

**1.2 Claim.** For  $\mu < \lambda$  as in Definition 1.1, the forcing notion  $\mathbb{Q}_{\mu, \lambda}^1$  is  $\mu^+$ -complete (hence, adds no new subsets to  $\mu$ ), has cardinality  $\lambda$ , satisfies the  $\lambda$ -c.c., collapse no cardinal, changes no cofinality, so cardinal arithmetic which holds after the forcing is clear.

*Proof.* Like the proof of the same facts for Easton forcing.

**1.3 Claim.** For the forcing  $\mathbb{Q} = \mathbb{Q}_{\mu, \lambda}^1$  with  $\mu, \lambda$  as in Definition 1.1 we have

- 1)  $\Vdash_{\mathbb{Q}}$  “ $\underline{B}$  is a Boolean Algebra generated by  $\{x_\alpha : \alpha < \lambda\}$  such that  $\alpha < \lambda \Rightarrow x_\alpha \notin \langle \{x_\beta : \beta < \alpha\} \rangle_{\underline{B}}$ , so  $|\underline{B}| = \lambda$  and  $\lambda = \cup\{w^p : p \in G_{\mathbb{Q}}\}$ ”.
- 2)  $\Vdash_{\mathbb{Q}}$  “ $\text{irr}^+(\underline{B}) = \lambda = \text{irr}(\underline{B})$ ”, see Definition 1.4 below.
- 3)  $\Vdash_{\mathbb{Q}}$  “if  $y_\beta \in \underline{B}$  for  $\beta < \lambda$  then for some  $\beta_0 < \beta_1 < \beta_2 < \lambda$  we have  $\underline{B} \models y_{\beta_1} \cap y_{\beta_2} = y_{\beta_0}$ ”.
- 4) Let  $B^*$  be a finite Boolean algebra generated by  $\{a^*, b^*, y_0^*, \dots, y_{n(*)}^*\}$  such that  $y_m^* \notin \langle \{y_\ell^* : \ell < m\} \cup \{a^*, b^*\} \rangle_{B^*}$  and  $0 < a^* < y_m^* < b^* < 1$  for  $m \in \{0, \dots, n(*)\}$ .

*Then it is forced, ( $\Vdash_{\mathbb{Q}_{\mu,\lambda}^1}$ ) that:*

$\square_B^{\lambda, n(*)}$  if  $y_\beta \in \underline{B}$  for  $\beta < \lambda$  and  $\beta \neq \gamma \Rightarrow y_\beta \neq y_\gamma$  then we can find  $a, b$  in  $\underline{B}$  satisfying  $0 < a < b < 1$  and  $\beta_0 < \dots < \beta_{n(*)} < \lambda$  such that

- ( $\alpha$ )  $\underline{B} \models "a < y_{\beta_\ell} < b"$
- ( $\beta$ ) there is an embedding  $f$  of  $B^*$  into  $\underline{B}$  mapping  $a^*$  to  $a, b^*$  to  $b$  and  $y_\ell$  to  $y_{\beta_\ell}^*$  for  $\ell = 0, \dots, n(*)$ .

Recalling

**1.4 Definition.** For a Boolean algebra  $B$  let:

- 1)  $X \subseteq B$  is called irredundant, if no  $x \in X$  belongs to the subalgebra  $\langle X \setminus \{x\} \rangle_B$  of  $B$  generated by  $X \setminus \{x\}$ .
- 2)  $\text{irr}^+(B) = \cup \{|X|^+ : X \subseteq B \text{ is irredundent}\}$ .
- 3)  $\text{irr}(B) = \cup \{|X| : X \subseteq B \text{ is irredundent}\}$  so  $\text{irr}(B)$  is  $\text{irr}^+(B)$  if the latter is a limit cardinal and is the predecessor of  $\text{irr}^+(B)$  if the later is a successor cardinal.

*Remark.* Concerning 1.3, for the case  $\kappa = \aleph_1$  see Rubin [Ru83], generally see [Sh 128], [Sh:e].

*Proof of 1.3.* 1) Should be clear.

2) Clearly for every  $\chi < \lambda$  and  $p \in \mathbb{Q}_{\mu,\lambda}^1$  we can find an  $\alpha < \lambda$  such that  $\alpha > \chi$  and  $w^p \cap [\alpha, \alpha + \chi) = \emptyset$ , hence we can find a  $q$  such that  $p \leq q \in \mathbb{Q}_{\mu,\lambda}^1$  and  $w^q = w^p \cup [\alpha, \alpha + \chi)$  and in  $B^q$  the set  $\{x_\beta : \beta \in [\alpha, \alpha + \chi)\}$  is independent, hence  $q \Vdash " \text{irr}^+(B) > \chi "$ . So we get  $\Vdash " \text{irr}^+(B) \geq \lambda "$ . To prove equality use part (3).

3) Assume toward contradiction that  $p \Vdash " \langle y_\beta : \beta < \lambda \rangle \text{ is a counterexample} "$ . We can find for each  $\beta < \lambda$  a quadruple  $(p_\beta, n_\beta, \langle \alpha_{\beta,\ell} : \ell < n_\beta \rangle, \sigma_\beta)$  such that:

- (i)  $p \leq p_\beta \in \mathbb{Q}_{\mu,\lambda}^1$
- (ii)  $n_\beta < \omega$
- (iii)  $\alpha_{\beta,\ell} \in w^{p_\beta}$  increasing with  $\ell$
- (iv)  $\sigma_\beta(x_0, \dots, x_{n_\beta-1})$  is a Boolean term
- (v)  $p_\beta \Vdash " \text{in } \underline{B} \text{ we have } y_\beta = \sigma_\beta(x_{\alpha_{\beta,0}}, x_{\alpha_{\beta,1}}, \dots, x_{\alpha_{\beta,n_\beta-1}}) "$ . Call the right-hand side  $y_\beta$ , so by part (1), without loss of generality,  $\{\alpha_{\beta,\ell} : \ell < n_\beta\} \subseteq w^{p_\beta}$  hence  $y_\beta$  is a member of  $B_{w[p_\beta]}$ .

So we can choose a stationary  $S \subseteq \{\chi : \chi \text{ strongly inaccessible, } \mu < \chi < \lambda\}$  and  $n, \sigma, m, \langle \alpha_\ell : \ell < m \rangle, w, r$  such that for every  $\beta \in S$  we have:  $n_\beta = n$  &  $\sigma_\beta = \sigma, \ell < m \Rightarrow \alpha_{\beta, \ell} = \alpha_\ell, \ell \in [m, n) \Rightarrow \alpha_{\beta, \ell} \geq \beta$  and  $w^{p_\beta} \cap \beta = w$ . Without loss of generality also  $\alpha < \beta \in S \Rightarrow w^{p_\alpha} \subseteq \beta$ . Without loss of generality

- ⊗ for  $\beta_0, \beta_1$  in  $S$  the mapping  $F_{\beta_0, \beta_1} = \text{id}_w \cup \{(\langle \alpha_{\beta_0, \ell}, \alpha_{\beta_1, \ell} \rangle : \ell < n)\}$  induces an isomorphism  $g_{\beta_1, \beta_0}$  from the Boolean algebra  $\langle \{x_\gamma : \gamma \in w\} \cup \{x_{\beta_0, \ell} : \ell < n\} \rangle_{B[p_{\beta_0}]}$  onto the Boolean algebra  $\langle \{x_\gamma : \gamma \in w\} \cup \{x_{\beta_1, \ell} : \ell < n\} \rangle_{B[p_{\beta_1}]}$  that is  $g_{\beta_1, \beta_0}$  maps  $x_\gamma$  to  $x_\gamma$  for  $\gamma \in w$  and maps  $x_{\beta_0, \ell}$  to  $x_{\beta_1, \ell}$  for  $\ell < n$ .

Choose in  $S$  three ordinals  $\beta_0 < \beta_1 < \beta_2$  and we define  $q \in \mathbb{Q}_{\mu, \lambda}^1$  such that  $w^q = w[p_{\beta_0}] \cup w[p_{\beta_1}] \cup w[p_{\beta_2}]$  and  $B^q$  is the Boolean algebra generated by  $\{x_\alpha : \alpha \in w[p_{\beta_0}] \cup w[p_{\beta_1}] \cup w[p_{\beta_2}]\}$  freely except the equations which hold in  $p_{\beta_\ell}$  for each  $\ell = 0, 1, 2$  and the equation  $y_{\beta_1} \cap y_{\beta_2} = y_{\beta_0}$ , in other words  $I^q$  is the ideal of  $B_{w^q}$  generated by  $I[p_{\beta_0}] \cup I[p_{\beta_1}] \cup I[p_{\beta_2}] \cup \{y_{\beta_1} \cap y_{\beta_2} - y_{\beta_0}, y_{\beta_0} - y_{\beta_1} \cap y_{\beta_2}\}$ . We should prove that  $q \in \mathbb{Q}_{\mu, \lambda}^1$  and  $I[q] \cap B_{w[p_{\beta_\ell}]} = I[p_{\beta_\ell}]$  for  $\ell = 0, 1, 2$  (the rest:  $p_{\beta_\ell} \leq q$  hence  $p \leq q$  and  $q \Vdash "y_{\beta_\ell} = y_{\beta_\ell} \text{ for } \ell = 0, 1, 2 \text{ and } y_{\beta_1} \cap y_{\beta_2} = y_{\beta_0}"$  should be clear).

Let  $B_0$  be the trivial Boolean algebra  $\{0, 1\}$ .

For  $w \subseteq \lambda$  and  $f \in {}^w 2$  let  $\hat{f}$  be the unique homomorphism from the Boolean algebra  $B_w$  freely generated by  $\{x_\alpha : \alpha \in w\}$  to  $\{0, 1\}$  such that  $\alpha \in w \Rightarrow \hat{f}(x_\alpha) = f(\alpha)$ . For  $p^* \in \mathbb{Q}_{\mu, \lambda}^1$  let  $\mathcal{F}[p^*] = \{f : f \in ({}^{w^{p^*}} 2 \text{ and } \{x_\alpha : f(\alpha) = 1\} \cup \{-x_\alpha : f(\alpha) = 0\} \text{ generates an ultrafilter of } B[p^*])\}$ . For each  $f \in \mathcal{F}[p^*]$  let  $f^{[p^*]}$  be the homomorphism from  $B[p^*]$  to  $B_0$  induced by  $f$ , i.e.,  $f^{[p^*]}(x_\alpha) = f(\alpha)$  for every  $\alpha \in w^{p^*}$ . Clearly  $\mathcal{F}[p^*]$  gives all the information on  $p^*$ . Define  $u = w^{p_{\beta_0}} \cup w^{p_{\beta_1}} \cup w^{p_{\beta_2}}$  and let

$$\begin{aligned} \mathcal{F} &= \{f : f \in {}^u 2, \text{ and } \ell \leq 2 \Rightarrow f \upharpoonright w[p_{\beta_\ell}] \in \mathcal{F}[p_{\beta_\ell}] \text{ and} \\ &B_0 \models " \hat{f}(\sigma(\langle x_{\beta_1, \ell} : \ell < n \rangle)) \cap \hat{f}(\sigma(\langle x_{\beta_2, \ell} : \ell < n \rangle)) \\ &= \hat{f}(\sigma(\langle x_{\beta_0, \ell} : \ell < n \rangle)) " \}. \end{aligned}$$

We need to show that  $\mathcal{F}$  is rich enough, clearly  $\otimes_1 + \otimes_2$  below suffice.

- ⊗<sub>1</sub> if  $\ell \in \{0, 1, 2\}$  and  $f_\ell \in \mathcal{F}[p_{\beta_\ell}]$  then there is an  $f \in \mathcal{F}$  extending  $f_\ell$ .

[Why? For  $m = 0, 1, 2$  let  $p'_{\beta_m}$  be such that  $B[p'_{\beta_m}]$  is the subalgebra of  $B[p_{\beta_m}]$  generated by  $\{x_\gamma : \gamma \in w[p_{\beta_m}] \text{ and } \gamma < \beta_m \vee \gamma \in \{\alpha_{\beta_m, 0}, \dots, \alpha_{\beta_m, n-1}\}\}$ . We define for  $m = 0, 1, 2$  a homomorphism  $g_m$  from  $B[p'_{\beta_m}]$  to  $B_0$  such that:  $\gamma \in w \Rightarrow g_m(x_\gamma) = f_\ell(\gamma)$  and  $\gamma = \beta_{m, k} \Rightarrow g_m(x_\gamma) = f_\ell(\beta_{m, k})$ . This is possible by ⊗ and let  $h_m$  be chosen as follows: it is  $f_\ell^{[p_{\beta_\ell}]}$  if  $\ell = m$  and it is chosen as any homomorphism

from  $B[p_{\beta_m}]$  to  $B_0$  extending  $g_m$  if  $m \in \{0, 1, 2\} \setminus \{\ell\}$ , as  $B[p'_{\beta_m}]$  is a subalgebra of  $B[p_{\beta_m}]$  this clearly exists. Let  $f_m \in {}^w[p_{\beta_\ell}]2$  for  $m = 0, 1, 2$  be  $f_m(\gamma) = h_m(x_\gamma)$ ; for  $m = \ell$  the definitions are compatible; i.e., the definition of  $f_\ell$  we have just given and the old one. Finally, let  $f = f_0 \cup f_1 \cup f_2$ . This is clearly a well defined function; now of the three conditions in the definition of  $\mathcal{F}$ , the first holds by the definition of  $u$ , the second by the choice of the  $h_m$ 's and the third by the choice of the  $g_m$ 's, it is easy to see  $f_\ell \subseteq f \in \mathcal{F}$ .]

$\otimes_2$  if  $\ell \in \{0, 1, 2\}$ ,  $\alpha \in w[p_{\beta_\ell}]$  then there are  $f', f'' \in \mathcal{F}$  such that  $f'(\alpha) \neq f''(\alpha)$  but  $f' \upharpoonright (\alpha \cap u) = f'' \upharpoonright (\alpha \cap u)$ .

[Why? As  $p_{\beta_\ell} \in \mathbb{Q}_{\mu, \lambda}^1$  we can find  $f'_\ell, f''_\ell \in \mathcal{F}[p_{\beta_\ell}]$  such that  $f'_\ell(\alpha) \neq f''_\ell(\alpha)$  but  $f'_\ell \upharpoonright (\alpha \cap w[p_{\beta_\ell}]) = f''_\ell \upharpoonright (\alpha \cap w[p_{\beta_\ell}])$ . Now for  $m \in \{0, 1, 2\} \setminus \{\ell\}$  recalling  $\otimes$  above there are  $f'_m \in \mathcal{F}[p_{\beta_m}]$  which extends  $f'_\ell \circ F_{\beta_\ell, \beta_m}$  and  $f''_m \in \mathcal{F}[p_{\beta_m}]$  which extends  $f''_\ell \circ F_{\beta_\ell, \beta_m}$  in both cases this is shown as in the proof of  $\otimes_1$ . If  $\ell = 0$ , let  $f' = f'_0 \cup f'_1 \cup f'_2 \in \mathcal{F}$  and let  $f'' = f''_0 \cup f''_1 \cup f''_2 \in \mathcal{F}$ ; both memberships hold as in the proof of  $\otimes_1$  and we are done. Also if  $\alpha < \beta_\ell$  (so  $\alpha \in w = \bigcap_{m \leq 2} w[p_{\beta_m}]$ )

the same proof works. So assume  $\ell \neq 0$ ,  $\alpha \notin w = \bigcap_{m \leq 2} w[p_{\beta_m}]$ . If  $(f'_\ell)^{[p_{\beta_\ell}]}(y_{\beta_\ell}) =$

$(f''_\ell)^{[p_{\beta_\ell}]}(y_{\beta_\ell})$  let  $f' = f'_0 \cup f'_1 \cup f'_2, f'' = f''_\ell \cup (f' \upharpoonright (w[p_{\beta_0}] \cup w[p_{\beta_{3-\ell}]])$ , clearly O.K. So without loss of generality assume  $(f'_\ell)^{[p_{\beta_\ell}]}(y_{\beta_\ell}) = 0, (f''_\ell)^{[p_{\beta_\ell}]}(y_{\beta_\ell}) = 1, \ell \in \{1, 2\}$  and  $\alpha \in w[p_{\beta_\ell}] \setminus w[p_{\beta_0}]$ ; and then choose  $f' = f'_0 \cup f'_1 \cup f'_2$  as above and  $f'' = f''_\ell \cup (f' \upharpoonright (w[p_{\beta_0}] \cup w[p_{\beta_{3-\ell}]])$ . Now check; the main point is that as  $\hat{f}'_{3-\ell}(y_{\beta_{3-\ell}}) = \hat{f}'_0(y_{\beta_0})$  we have  $B_0 \models \hat{f}''(y_{\beta_1}) \cap \hat{f}''(y_{\beta_2}) = \hat{f}''(y_{\beta_\ell}) \cap \hat{f}''(y_{\beta_{3-\ell}}) = \hat{f}'_\ell(y_{\beta_\ell}) \cap \hat{f}'_\ell(y_{\beta_{3-\ell}}) = 1_{B_0} \cap \hat{f}'_{3-\ell}(y_{\beta_{3-\ell}}) = \hat{f}'_{3-\ell}(y_{\beta_{3-\ell}}) = \hat{f}'_0(y_{\beta_0}) = \hat{f}''(y_{\beta_0})$ .

4) The proof is similar to that of the previous part (with  $a, b$  now in  $p_{\beta_\ell} \upharpoonright \beta_\ell!$ ).

$\square_{1.3}$

**1.5 Claim.** 1) If  $\mathbb{Q} = \mathbb{Q}_{\mu, \lambda}^1 * \mathbb{Q}^2$  and  $\Vdash_{\mathbb{Q}_{\mu, \lambda}^1} \text{“}\mathbb{Q}^2 \text{ satisfies the } (\lambda, 3)\text{-Knaster condition (see below)”, then } \Vdash_{\mathbb{Q}} \text{“irr}^+(B) = \lambda\text{”}$ .

2) If in  $\mathbf{V}$  the condition  $\square_B^{\lambda, n(*)}$  from 1.3(4) holds and the forcing notion  $\mathbb{Q}$  satisfies the  $(\lambda, n^* + 1)$ -Knaster condition then also in  $\mathbf{V}^{\mathbb{Q}}$  the condition  $\square_B^{\lambda, n(*)}$  holds. Hence if  $\mathbb{Q} = \mathbb{Q}_{\mu, \lambda}^1 * \mathbb{Q}^2$  and  $\Vdash_{\mathbb{Q}_{\lambda, \mu}^1} \text{“}\square_B^{\lambda, n(*)} \text{ holds (see 1.3(4))”}$  and  $\Vdash_{\mathbb{Q}_{\lambda, \mu}^1} \text{“}\mathbb{Q}^2 \text{ satisfies the } (\lambda, n(*) + 1)\text{-Knaster condition”}$  then  $\Vdash_{\mathbb{Q}_{\lambda, \mu}^1 * \mathbb{Q}^2} \text{“}\square_B^{\lambda, n(*)} \text{”}$ .

3) In part (1) we even get the conclusion of Claim 1.3(3).

**1.6 Definition.** 1) The  $\lambda$ -Knaster condition says that among any  $\lambda$  members there is a set of  $\lambda$  members which are pairwise compatible. Recall that it is preserved by composition.

2) For  $n^* \leq \omega$ , the  $(\lambda, n^*)$ -Knaster condition says that among any  $\lambda$  member there is a set of  $\lambda$  such that any  $< 1 + n^*$  of them have a common upper bound.

*Proof of 1.5.* 1), 3) Clearly it suffices to prove (3).

This follows immediately by 1.3(3), in fact, just such  $\mathbb{Q}^2$  preserves the properties mentioned there.

2) Similarly using 1.3(4). □<sub>1.5</sub>

**1.7 Theorem.** *Suppose*

- (a)  $\mathbf{V}$  satisfies GCH above  $\mu$  (for simplicity)
- (b)  $\kappa$  is measurable,  $\kappa < \chi < \mu$
- (c)  $\mu$  is supercompact, Laver indestructible, more explicitly,
  - (\*) for some  $h_\ell : \mu \rightarrow \mathcal{H}(\mu)$ , (for  $\ell = 0, 1$ ) we have for every ( $< \mu$ )-directed complete forcing  $\mathbb{Q}$ , cardinal  $\theta \geq \mu$  and  $\mathbb{Q}$ -name  $\dot{x}$  of a subset of  $\theta$ , there is in  $\mathbf{V}[G_{\mathbb{Q}}]$  a normal ultrafilter  $\mathcal{D}$  on  $[\theta]^{<\mu}$  such that
 
$$\prod_{a \in [\theta]^{<\mu}} (h_1(a \cap \mu), h_2(a \cap \mu)) / \mathcal{D} \cong (\theta, \dot{x}[G_{\mathbb{Q}}])$$
- (d)  $\lambda > \mu$  is strongly inaccessible, Mahlo and  $\lambda^*$  is such that  $\lambda^* = (\lambda^*)^\mu \geq \lambda$
- (e)  $D^*$  is a normal ultrafilter on  $\kappa$ .

Then for some forcing notion  $\mathbb{P}$  we have, in  $\mathbf{V}^{\mathbb{P}}$ :

- ( $\alpha$ ) forcing with  $\mathbb{P}$  collapse no cardinal of  $\mathbf{V}$  except those in the interval  $(\mu^+, \lambda)$
- ( $\beta$ ) forcing with  $\mathbb{P}$  adds no subsets to  $\chi$ , preserves “ $\mu$  is strong limit” and makes  $2^\mu = \lambda^*$
- ( $\gamma$ )  $\mu$  is strong limit of cofinality  $\kappa$  and  $\langle \mu_i : i < \kappa \rangle$  is an increasing continuous sequence of strong limit cardinals with limit  $\mu$
- ( $\delta$ ) for each  $i < \kappa$ ,  $\mu_i < \lambda_i \leq \lambda_i^* = (\lambda_i^*)^{\mu_i} = 2^{\mu_i}$  and we let  $\mu_\kappa = \mu$ ,  $\lambda_\kappa = \lambda$ ,  $\lambda_\kappa^* = \lambda^*$
- ( $\varepsilon$ ) for each  $i \leq \kappa$  we have:  $B_i$  is a Boolean algebra of cardinality  $\lambda_i$  and  $\text{irr}^+(B_i) = \lambda_i$
- ( $\zeta$ ) for  $i < \kappa$ ,  $\lambda_i$  is a Mahlo cardinal even strongly inaccessible, but
- ( $\eta$ )  $\lambda = \lambda_\kappa$  is  $\mu^{++}$  (this in  $\mathbf{V}^{\mathbb{P}}$ )

( $\theta$ )  $B = B_\kappa$  is isomorphic to  $\prod_{i < \kappa} B_i/D^*$ , hence

$$\boxtimes \text{irr}^+(B) = \lambda = \mu^{++} \text{ so } \text{irr}(B) = \mu^+ \text{ whereas } \text{irr}(B_i) = \text{irr}^+(B_i) = \lambda_i \\ \text{ and } \prod_{i < \kappa} \lambda_i/D^* = \lambda, \text{ so } \text{irr}\left(\prod_{i < \kappa} B_i/D^*\right) < \prod_{i < \kappa} \text{irr}(B_i)/D^*.$$

*Proof.* Let  $\mathbb{Q}_1 = \mathbb{Q}_{\mu, \lambda}^1$  and  $B$  be from 1.2, let and for  $Z \subseteq \lambda^*$  let  $\mathbb{Q}_{2, Z}$  be  $\{f : f$  a partial function from  $Z$  to  $\{0, 1\}$  with domain of cardinality  $< \mu\}$  ordered by inclusion, let  $\mathbb{Q}_2 = \mathbb{Q}_{2, \lambda^*}$  and let  $\mathbb{Q} = \mathbb{Q}_1 \times \mathbb{Q}_2$ . Let  $G = G_1 \times G_2 \subseteq \mathbb{Q}$  be generic over  $\mathbf{V}$  and let  $\mathbf{V}_0 = \mathbf{V}$ ,  $\mathbf{V}_1 = \mathbf{V}[G_1]$  and  $\mathbf{V}_2 = \mathbf{V}[G] = \mathbf{V}_1[G_2]$ .

$\boxtimes_0$  In  $\mathbf{V}_2, B[G_1]$  is a Boolean algebra of cardinality  $\lambda$  with  $\text{irr}^+(B) = \lambda$  and, for notational simplicity, with a set of elements  $\lambda$ .  
[Why? In  $\mathbf{V}_1, B[G_1]$  is like that by 1.3. Now as in  $\mathbf{V}_1, \mathbb{Q}_2$  satisfies the  $(\lambda, n)$ -Knaster for every  $n$  hence clearly by 1.5 we are done.]

In  $\mathbf{V}_2$  we have  $2^\mu = \lambda^*$  and the cardinal  $\mu$  is still supercompact, hence it is well known that

$\boxtimes_1$  for every  $Y \subseteq 2^\mu$  for some normal ultrafilter  $\mathcal{D}$  on  $\mu$  and  $\bar{Y} = \langle Y_i : i < \mu \rangle, Y_i \subseteq 2^{|i|}$  we have  $\bar{Y}/\mathcal{D}$  is  $Y$  (i.e.  $\bar{Y}/\mathcal{D} \in \mathbf{V}_2^\mu/\mathcal{D}$  and in the Mostowski Collapse of  $\mathbf{V}_2^\mu/\mathcal{D}$  the element  $\bar{Y}/\mathcal{D}$  is mapped to  $Y$ ), hence  $(2^\mu, Y, \mu, <)$  is isomorphic to  $\prod_{i < \mu} (2^{|i|}, Y_i, i, <)/\mathcal{D}$ .

Again it is well known and follows from  $\boxtimes_1$  that there is a sequence  $\bar{\mathcal{D}}^0 = \langle \mathcal{D}_\zeta^0 : \zeta < (2^\mu)^+ \rangle$  of normal (fine) ultrafilters on  $\mu$  satisfying: for each  $\zeta < (2^\mu)^+$  the sequence  $\bar{\mathcal{D}}^0 \upharpoonright \zeta$  belongs to (the Mostowski collapse of)  $\mathbf{V}_2^\mu/\mathcal{D}_\zeta^0$ . In  $\mathbf{V}_2$  we can code  $B = B[G_1]$  and  $\mathcal{P}(\mu)$  and  $\bar{\mathcal{D}}^0 \upharpoonright \kappa$  as a subset  $Y$  of  $2^\mu = \lambda^*$  and get  $\mathcal{D}, \bar{Y}$  as in  $\boxtimes_1$  hence for some set  $A \in \mathcal{D}$  of strongly inaccessible cardinals  $> \chi$  there is a sequence  $\langle (\mu_i, \lambda_i, B_i, \lambda_i^*) : i \in A \rangle$  such that:

- (\*)<sub>1</sub> for  $i \in A$  we have  $i = \mu_i < \lambda_i \leq \lambda_i^* = (\lambda_i^*)^{\mu_i} < \mu$ ,  $\lambda_i$  is weakly inaccessible, Mahlo,  $B_i$  is a Boolean algebra generated by  $\{x_\alpha : \alpha < \lambda_i\}, x_\alpha \notin \langle \{x_\beta : \beta < \alpha\} \rangle_{B_i}$ ,  $\text{irr}^+(B_i) = \lambda_i$  and, for notational simplicity, its sets of elements is  $\lambda_i$
- (\*)<sub>2</sub>  $B$  is isomorphic to  $\prod_{i \in A} B_i/\mathcal{D}$  and  $(\lambda^*, <) \cong \prod_{i \in A} (\lambda_i^*, <)/\mathcal{D}$ .



For  $i \in \mu \setminus A$  choose  $\mu_i, \lambda_i, \lambda_i^*, B_i$  such that  $(*)_1$  holds such that  $\mu_i \geq i$ ; why are there such  $\lambda_i, B_i$ ? Just e.g. use  $\lambda_{\text{Min}(A \setminus i)}, B_{\text{Min}(A \setminus i)}$ .

Let  $\mathcal{D}_i = \mathcal{D}_i^0$  for  $i < \kappa$  and  $\mathcal{D}_\kappa$  be the  $\mathcal{D}$  as above. So  $\mathcal{D}_i$  (for  $i \leq \kappa$ ) is a normal ultrafilter on  $\mu$  and we have  $i < j \leq \kappa \Rightarrow \mathcal{D}_i \in \mathbf{V}_2^\mu / \mathcal{D}_j$ , that is, there is a sequence  $\bar{g} = \langle g_{i,j} : i < j \leq \kappa \rangle$  satisfying  $g_{i,j} \in {}^\mu(\mathcal{H}(\mu))$  such that  $\mathcal{D}_i$  is (the Mostowski collapse of)  $g_{i,j} / \mathcal{D}_j \in \mathbf{V}_2^\mu / \mathcal{D}_j$ .

All this was in  $\mathbf{V}_2 = \mathbf{V}[G]$ . So we have  $\mathbb{Q}$ -names  $\bar{g} = \langle g_{i,j} : i < j \leq \kappa \rangle, \bar{\mathcal{D}} = \langle \mathcal{D}_i : i \leq \kappa \rangle$  and  $\langle (\mu_i, \lambda_i, B_i, \lambda_i^*) : i < \mu \rangle$ . As  $\mathbb{Q} = \mathbb{Q}_1 \times \mathbb{Q}_2, \mathbb{Q}_2$  satisfies the  $\mu^+$ -c.c. and  $\mathbb{Q}_1$  is  $\mu^+$ -complete without loss of generality  $\bar{g}$  is a  $\mathbb{Q}_2$ -name and  $\bar{g}$  is from  $\mathbf{V}[G_2]$ . Hence without loss of generality  $\bar{g}$  and similarly  $\langle (\mu_i, \lambda_i, B_i, \lambda_i^*) : i < \mu \rangle$  belong to  $\mathbf{V}[G_{2,Z}]$  where  $G_{2,Z} = G_2 \cap \mathbb{Q}_{2,Z}$ , as we could have forced first with  $\{f \in \mathbb{Q}_2 : \text{Dom}(f) \subseteq Z\}$  for some  $Z \in [\lambda^*]^{\leq \mu}$ . Let  $\mathbb{P}(\bar{\mathcal{D}}, \bar{g})$  be (the  $\mathbb{Q}$ -name of the) Magidor forcing for  $(\bar{\mathcal{D}}, \bar{g})$  (see [Mg4]). Let  $\langle \mu_i : i < \kappa \rangle$  be the  $\mathbb{P}(\bar{\mathcal{D}}, \bar{g})$ -name of the increasing continuous  $\kappa$ -sequence converging to  $\mu$  which the forcing adds and we can restrict ourselves to the case  $\mu_0 > \chi$ . Clearly clauses  $(\alpha) - (\zeta)$  in the conclusion hold for  $\mathbb{P} = \mathbb{Q} * \mathbb{P}(\bar{\mathcal{D}}, \bar{g})$ . Now

$\boxtimes_2$  in  $\mathbf{V}_2$ , if  $p \in \mathbb{P}(\bar{\mathcal{D}}, \bar{g})$  and  $p \Vdash "f \in \prod_{i < \kappa} \lambda_{\mu_i}"$  then there are  $q$ , an extension of  $p$  in  $\mathbb{P}(\bar{D}, \bar{g})$  and  $f \in \prod_{i \in A^*} \lambda_i$  such that  $q \Vdash_{\mathbb{P}(\bar{\mathcal{D}}, \bar{g})} "\{i < \kappa : f(i) = f(\mu_i)\} \in D^*" .$

[Why? By the properties of  $\mathbb{P}(\bar{\mathcal{D}}, \bar{g})$  there are a pure extension  $q_0$  of  $p$  in  $\mathbb{P}(\bar{\mathcal{D}}, \bar{g})$  and/or sequence  $\langle u_i : i < \kappa \rangle$  such that above  $q_0$  we have:  $f(i)$  depends just on  $\langle \mu_j : j \in u_i \cup \{i\} \rangle$  where  $u_i \subseteq i$  is finite. As  $D^*$  is a normal ultrafilter on  $\kappa$ , for some  $a^* \in D^*$  and a finite  $u \subseteq \kappa$  we have  $i \in a^* \Rightarrow u_i = u$ . So there is a  $q$  such that  $\mathbb{P}(\bar{\mathcal{D}}, \bar{g}) \Vdash q_0 \leq q$  and  $q \Vdash "\mu_j = \mu_j^*" for  $j \in u$ , and so  $f$  is well defined.]$

Let  $G_3 \subseteq \mathbb{P}(\bar{\mathcal{D}}, \bar{g})$  be generic over  $\mathbf{V}_2$  and  $\mathbf{V}_3 = \mathbf{V}_2[G_3]$  and let  $\mu_i = \mu_i[G_3]$  so really  $\langle \mu_i : i < \kappa \rangle$  is generic for  $\mathbb{P}(\bar{\mathcal{D}}, \bar{g})$ . Now we shall show that:

$\boxtimes_3$  in  $\mathbf{V}_3 = \mathbf{V}_2[G_3]$  we have

$$B \cong \prod_{i < \kappa} B_{\mu_i} / D^* .$$

[Why? In  $\mathbf{V}_2$ , by  $(*)_2$  above there is an isomorphism  $F$  from  $B$  onto  $\prod_{i < \mu} B_i / \mathcal{D} = \prod_{i \in A^*} B_i / \mathcal{D}_\kappa$ , so let  $F(x) = f_x / \mathcal{D}_\kappa$  with  $f_x \in \prod_{i \in A^*} \lambda_i$  for  $x \in B$ , i.e.  $x \in \lambda$ .

In  $\mathbf{V}_3$  let  $f'_x \in \prod_{i < \kappa} \lambda_{\mu_i}$  be defined by  $f'_x(i) = f_x(\mu_i)$  and we define a function  $F'$  from  $B$ , i.e. from  $\lambda$  to  $\prod_{i < \kappa} B_{\mu_i} / D^*$  by  $F'(x) = f'_x / D^*$ . Now

$Y \in \mathcal{D} \Rightarrow \{i < \kappa : \mu_i \in Y\} = \kappa \bmod J_\kappa^{bd}$  by the definition of  $\mathbb{P}(\bar{\mathcal{D}}, \bar{g})$ , so as  $F$  is one to one also  $F'$  is, and  $F'$  commute with the Boolean operations as  $F$  does; lastly  $F'$  is onto by  $\boxtimes_2$ .]

$\boxtimes_4$  if  $i < \kappa$  then  $\mathcal{H}(\mu_{i+1})^{\mathbf{V}_3}$  is the same as  $\mathcal{H}(\mu_{i+1})^{\mathbf{V}_0^{\mathbb{P}^i}}$ , for some  $\mu_i$ -centered forcing notion from  $\mathcal{H}(\mu_{i+1})$  (hence this forcing notion is  $\lambda_{\mu_i}$ -Knaster).

[Why? Note that  $\mathcal{H}(\mu_j)^{\mathbf{V}_2} = \mathcal{H}(\mu_j)^{\mathbf{V}_0}$  for  $j \leq \kappa$ . Also for each  $i < \kappa$  in  $\mathbf{V}_0$  there are  $\mathcal{D}_j^i$ , a normal ultrafilter on  $\mu_i$  such that  $(\bar{\mathcal{D}}^i, \bar{g}^i) = (\langle \mathcal{D}_j^i : j \leq i \rangle, \langle g_{j_1, j_2} \upharpoonright \mu_i : j_1 < j_2 \leq i \rangle) \in \mathbf{V}$  is as above, i.e.  $j_1 < j_2 \leq i \Rightarrow \mathcal{D}_{j_1}^i = g_{j_1, j_2} / \mathcal{D}_{j_2}^i \in \mathbf{V}^{\mu_i} / \mathcal{D}_{j_2}^i, g_{j_1, j_2}^i \in \mu_i(\mathcal{H}(\mu_i))$  so  $\mathbb{P}(\bar{\mathcal{D}}^i, \bar{g}^i)$  is as in [Mg4], and for some  $G_{3,i} \subseteq \mathbb{P}(\langle \mathcal{D}_j^i : j \leq i \rangle, \langle g_{j_1, j_2} \upharpoonright \mu_i : j_1 \leq \mu_2 \leq i \rangle)$  generic over  $\mathbf{V}_0$  (equivalently over  $\mathbf{V}_2$ ) we have  $G_{3,i} \in \mathbf{V}_3$  and  $\mathcal{H}(\mu_{i+1})^{\mathbf{V}_3} = \mathcal{H}(\mu_{i+1})^{\mathbf{V}_2[G_{3,i}]} = \mathcal{H}(\mu_{i+1})^{\mathbf{V}_0[G_{3,i}]}$ . See [Mg4]. As  $\mathbb{P}(\bar{D}^i, \bar{g}^i)$  is  $\mu_i$ -centered, clearly  $\boxtimes_4$  follows.]

So obviously (by 1.5)

$\boxtimes_5$  in  $\mathbf{V}_3$ , for each  $i < \kappa$  we have  $B_{\mu_i}$  is a Boolean algebra of cardinality  $\lambda_{\mu_i}$ ,  $\text{irr}^+(B_{\mu_i}) = \lambda_{\mu_i}$ ,  $\lambda_{\mu_i}$  is weakly Mahlo.

Also in  $\mathbf{V}[G_1]$ , the forcing notion  $\mathbb{Q}_2$  satisfies the  $\lambda$ -Knaster condition and in  $\mathbf{V}_2 = \mathbf{V}[G_1, G_2]$ , the forcing notion  $\mathbb{P}(\bar{\mathcal{D}}, \bar{g})$  from [Mg4] is  $\mu$ -centered hence satisfies the  $\lambda$ -Knaster hence

$\boxtimes_6$  in  $\mathbf{V}_3, B$  is a Boolean Algebra of cardinality  $\lambda$ , a Mahlo cardinal and  $\text{irr}^+(B) = \lambda$ .

Now let  $\mathbb{R} = \text{Levy}(\mu^+, < \lambda)^{\mathbf{V}} = \{f \in \mathbf{V} : \text{Dom}(f) \subseteq \{(\alpha, \gamma) : \alpha < \lambda, \gamma < \mu^+\}, |\text{Dom}(f)| \leq \mu \text{ and for } \gamma < \alpha, \text{ we have } f(\alpha, \gamma) < 1 + \alpha\}$ , ordered by inclusion. Clearly  $\mathbb{R}$  satisfies the  $\lambda$ -Knaster condition, is  $\mu^+$ -complete in  $\mathbf{V}$  and also in  $\mathbf{V}_1$ . Let  $G_{\mathbb{R}} \subseteq \mathbb{R}$  be generic over  $\mathbf{V}_1$ . Now in  $\mathbf{V}[G_1, G_{\mathbb{R}}]$ , the forcing notion  $\mathbb{Q}_2$  has the same definition and same properties. Also (as in [MgSh 433], [ShSi 677]), in  $\mathbf{V}[G_1, G_2, G_{\mathbb{R}}]$  the  $\mathcal{D}_i(i \leq \kappa)$  are still normal ultrafilters on  $\mu$  and the definition of  $\mathbb{P}(\bar{\mathcal{D}}, \bar{g})$  gives the same forcing notion with the same properties and add the same family of subsets to  $\kappa$  (as  $\mathcal{P}(\kappa)^{\mathbf{V}[G_1, G_2]} = \mathcal{P}(\kappa)^{\mathbf{V}[G_1, G_2, G_{\mathbb{R}}]}$ ).

So  $G_{\mathbb{R}}$  is a subset of  $\mathbb{R}$  generic over  $\mathbf{V}[G_1, G_2, G_3]$ . Also in  $\mathbf{V}[G_1, G_2]$ ,  $\mathbb{R}$  satisfies the  $\lambda$ -Knaster condition and in  $\mathbf{V}[G_1, G_2, G_{\mathbb{R}}]$ ,  $\mathbb{P}(\bar{\mathcal{D}}, \bar{g})$  is  $\mu$ -centered hence satisfies the  $\lambda$ -Knaster condition. Let  $\mathbf{V}_4 = \mathbf{V}_3[G_{\mathbb{R}}]$ , so in  $\mathbf{V}_4$  all the conclusions above holds but  $\lambda = \mu^{++}$  hence  $\text{irr}(B) = \mu^+$  whereas  $\text{irr}^+(B)$  remains  $\lambda = \mu^{++}$ . So we are done.  $\square_{1.7}$

**1.8 Claim.** 1) *In the Theorem 1.7 we can replace*

*“a Boolean algebra  $B$  of cardinality  $\lambda$ ,  $\text{irr}^+(B) = \lambda$ ” by e.g. “a  $\lambda$ -Souslin tree”*

*The “ $\lambda$  strongly inaccessible Mahlo” is needed just for applying 1.3, etc., but for  $\prod_{i < \kappa} B_i/D^* \cong B$  it is not needed (any model  $M$ , with universe  $\subseteq \lambda$  is O.K.)*

2) *We can apply the proof above to the proof in [Sh 128] hence to theories of cardinality  $< \mu$  for simplicity in logics with Magidor Malitz quantifiers.*

*Proof.* Similar to 1.7.  $\square_{1.7}$

## §2 CONSISTENCY FOR SMALL CARDINALS

Theorem 2.1 generalizes 1.7 in some ways. First  $D^*$ , instead of being a normal ultrafilter on  $\kappa$  is just a normal filter which is large in an appropriate sense so later it will be applied to the case  $\kappa = \aleph_1$  (after a suitable preliminary forcing). Second, we deal with a general model and properties. Thirdly, the forcing makes  $\mu$  to  $\beth_\kappa$  (and more)

**2.1 Theorem.** *Suppose*

- (a)  $\mathbf{V}$  satisfies GCH for every  $\mu' \geq \mu$  (for simplicity)
- (b)  $\kappa$  is regular uncountable,  $\aleph_0 \leq \theta \leq \kappa < \chi < \mu < \vartheta < \lambda \leq \lambda^* = (\lambda^*)^\mu$ , say  $\vartheta = \mu^+$
- (c)  $\mu$  is supercompact, Laver indestructibly or just indestructibly  $\lambda^*$ -hypermeasure (generally on such indestructibility see [GiSh 344], on the amount of hypermeasurable needed here see Gitik Magidor [GM])
- (d)  $D^*$  is a filter on  $\kappa$  including the clubs and if  $f$  is a pressing down function on  $\kappa$  then for some  $u \in [\kappa]^{<\theta}$  we have  $\{\delta < \kappa : f(\delta) \in u\} \in D^*$
- (e)  $\mathbb{Q}_1$  is a  $(< \mu)$ -directed complete forcing,  $|\mathbb{Q}_1| \leq \lambda^*$  and  $\Vdash_{\mathbb{Q}_1}$  “ $\underline{M}$  is a model with universe  $\lambda$  and vocabulary  $\underline{\tau} \in \mathcal{H}(\chi)$ ”
- (f)  $\mathbb{R}$  is a  $\mu^{++}$ -complete forcing notion of cardinality  $\leq \lambda^*$
- (g)  $\mathbb{Q}_2$  is the forcing of adding  $\lambda^*$   $\mu$ -Cohen subsets to  $\mu$  and  $\mathbb{Q} = \mathbb{Q}_1 \times \mathbb{Q}_2$ .

Then for some forcing notion  $\mathbb{P}$  we have  $\mathbb{Q}_1 \times \mathbb{Q}_2 \times \mathbb{R} \triangleleft \mathbb{P}$  and in  $\mathbf{V}^{\mathbb{P}}$ :

- ( $\alpha$ ) the forcing with  $\mathbb{P}$  collapse no cardinal except those collapsed by  $\mathbb{Q}_1 \times \mathbb{R}$ , in fact  $\mathbb{P}/(\mathbb{Q}_1 \times \mathbb{Q}_2 \times \mathbb{R})$  is  $\vartheta^-$ -centered; i.e.,  $\mu^{+\alpha}$ -centered if  $\vartheta = \mu^{+\alpha+1}$
- ( $\beta$ ) forcing with  $\mathbb{P}$  add no subset of  $\chi$ , forcing with  $\mathbb{P}/(\mathbb{Q}_1 \times \mathbb{Q}_2 \times \mathbb{R})$  satisfies  $\boxtimes_{\kappa, \mu, \vartheta, \lambda, \lambda^*}^+$  from Definition 2.2 below as witnessed by  $\langle \mu_i : i < \kappa \rangle$
- ( $\gamma$ )  $\mu_i = \mu_i[G_{\mathbb{P}}]$ ,  $\mu$  is strong limit of cofinality  $\kappa$  and  $\langle \mu_i : i < \kappa \rangle$  is an increasing continuous sequence of strong limit singulars with limit  $\mu$  (and  $\mathcal{H}(\mu_{i+1})$  satisfies a parallel of the statement  $\boxtimes_4$  from the proof of 1.7),
- ( $\delta$ ) for each  $i < \kappa$  we have  $\mu_i < \lambda_i \leq \lambda_i^* = (\lambda_i^*)^{\mu_i}$  and  $\mu_\kappa = \mu$ ,  $\lambda_\kappa = \lambda$ ,  $\lambda_\kappa^* = \lambda^*$  and  $(\mu_i, \lambda_i, \lambda_i^*)$  is quite similar to  $(\mu, \lambda, \lambda^*)$  (see proof), more specifically: in some intermediate universe  $\mathbf{V}_1$ , for some normal ultrafilter  $\mathcal{D}$  on  $\mu$  and  $F, F_* : \mu \rightarrow \mu$  we have  $\prod_{i < \mu} (F(i), <)/D \cong (\lambda, <)$ ,  $\lambda_i = F(\mu_i)$  and

$\prod_{i < \mu} (F_*(i), <)/\mathcal{D} \cong (\lambda^*, <)$  and  $F_*(\mu_i) = \lambda_i^*$  and we have  $\bar{M} = \langle M_i : i < \mu \rangle$

and  $M_i$  a model with universe  $\lambda_i$  and vocabulary  $\tau$ ; and  $\prod_{i < \mu} M_i/\mathcal{D} \cong M$

- (ε) for  $i < \kappa$  we have  $2^{\mu_i} = \lambda_i^*$  and  $2^{\lambda_i^*} = \mu_{i+1}$
- (ζ)  $\prod_{i < \kappa} M_{\mu_i}/D^*$  is isomorphic to  $M$  if  $D^*$  is a normal ultrafilter, in fact,  $\{\langle f(\mu_i) : i < \kappa \rangle / D^* : f \in \mathbf{V}_1 \text{ and } f \in \prod_{i < \mu} F(i)\}$  is the universe of  $\prod_{i < \kappa} M_{\mu_i}/D^*$
- (η) for every  $f \in \prod_{i < \kappa} M_i/D^*$  we can in  $\mathbf{V}_1$  find  $\varepsilon(f) < \theta$  and  $g_{f,\varepsilon} \in \prod_{i < \mu} F(i)$  for  $\varepsilon < \varepsilon(f)$  such that  $\{i < \kappa : \bigvee_{\varepsilon < \varepsilon(f)} f(i) = g_{f,\varepsilon}(\mu_i)\} \in D^*$
- (θ)  $\prod_{i < \kappa} (\lambda_i, <)/D^*$  is  $\lambda$ -like linear ordering (not necessarily well ordering as possibly  $\theta > \aleph_0$ )
- (ι) if  $D^*$  is a normal ultrafilter,  $\mathbb{Q}_1 = \mathbb{Q}_{\mu,\lambda}^1$  (of 1.1) and  $\mathbb{R} = \text{Levy}(\mu, < \lambda)$ , then the conclusion on irr in 1.7 holds.

**2.2 Definition.** 1) We say  $\boxtimes_{\gamma,\mu,\vartheta,\lambda^*}(\mathbb{Q})$  or we say  $\mathbb{Q}$  satisfies  $\boxtimes_{\gamma,\mu,\vartheta,\lambda^*}$  (as witnessed by  $(\bar{\mu}, \mathcal{D})$ ) if:

- (i)  $\mathbb{Q}$  is a forcing notion of cardinality  $\leq \lambda^*$
- (ii)  $\mathbb{Q}$  satisfies the  $\vartheta$ -c.c.
- (iii)  $\mathbb{Q}$  (i.e. forcing with  $\mathbb{Q}$ ) add a sequence  $\langle \mu_i : i < \gamma \rangle$  of cardinals  $< \mu$ , strongly inaccessible in  $\mathbf{V}$ , strong limit in  $\mathbf{V}^{\mathbb{Q}}$
- (iv)  $\Vdash_{\mathbb{Q}} \text{“}\mu_i (i < \gamma) \text{ is increasing continuous”}$
- (v)  $\mathcal{D}$  is a normal ultrafilter on  $\mu$
- (vi) for every  $p \in \mathbb{Q}$  for some  $\beta < \gamma$  for every  $A \in \mathcal{D}$  there is  $q$  satisfying  $p \leq q \in \mathbb{Q}$  such that  $q \Vdash \text{“}\{\mu_i : \beta < i < \gamma\} \subseteq A\text{”}$
- (vii) if  $\gamma$  is a limit ordinal then  $\Vdash_{\mathbb{Q}} \text{“}\mu = \bigcup_{i < \gamma} \mu_i\text{”}$
- (viii) in  $\mathbf{V}^{\mathbb{Q}}$  we have  $2^\mu = \lambda^*$  and  $\mu$  is strong limit.

2) We say  $\boxtimes_{\gamma,\mu,\vartheta,\lambda^*}^+(\mathbb{Q})$  or we say  $\mathbb{Q}$  satisfies  $\boxtimes_{\gamma,\mu,\vartheta,\lambda^*}^+$  (as witnessed by  $(\bar{\mu}, f_\theta, f_{\lambda^*})$ ) if:

- (a)  $\mathbb{Q}$  satisfies  $\boxtimes_{\gamma,\mu,\vartheta,\lambda^*}$  as witnessed by  $\bar{\mu} = \langle \mu_i : i < \gamma \rangle$
- (b) if  $G \subseteq \mathbb{Q}$  is generic over  $\mathbf{V}$  then for every  $\beta < \gamma$  we have  $\mathcal{H}(\mu_{\beta+1})^{\mathbf{V}^{\mathbb{Q}}}$  is gotten from  $\mathcal{H}(\mu_{\beta+1})^{\mathbf{V}}$  by a forcing  $\mathbb{Q}_{\beta+1}$  which is like  $\mathbb{Q}$  with  $(\beta, \mu_\beta)$  here standing for  $(\gamma, \mu)$  there.

*Proof.* Like the proof of 1.7 but we use [GM] instead of [Mg4]; note that  $\vartheta = \mu^{+3}$  comes from making the forcing  $\mu^{+3}$ -c.c. So the pure decision of  $\mathbb{P}(\bar{\mathcal{D}}, \bar{g})$  is changed accordingly. Of course, the change in the assumption on  $D^*$  also has some influence.  $\square_{2.1}$

So we get e.g.

**2.3 Conclusion:** Assume  $\mathbf{V}$  satisfies ZFC +  $\mu$  is supercompact + " $\lambda > \mu$  is strong inaccessible".

1) For some forcing extension  $\mathbf{V}^*$ , for some ultrafilter  $D^*$  on  $\omega_1$  there is  $\langle \lambda_i : i < \omega_1 \rangle$  such that:

- (i) for  $i < \omega_1$ ,  $\lambda_i$  is weakly inaccessible  $< \beth_{\omega_1}$
- (ii)  $\lambda = \beth_{\omega_1}^{++}$
- (iii) the linear order  $\prod_{i < \omega_1} (\lambda_i, <)/D^*$  is  $\lambda$ -like,
- (iv)  $\lambda_i$  is first weakly inaccessible  $> \beth_i$ .

2) In part (1) we have: for some sequence  $\langle B_i : i < \omega_1 \rangle$  of Boolean algebras, each of cardinality  $< \beth_{\omega_1}$  we have  $\text{Length}(\prod_{i < \omega_1} B_i/D^*) < \prod_{i < \omega_1} \text{Length}(B_i)/D^*$ .

3) If  $\lambda$  in  $\mathbf{V}$ ,  $\lambda > \mu$  is Mahlo, replace (iv) by (iv)' and we can demand in addition that for some sequence  $\langle B_i : i < \omega_1 \rangle$  of Boolean algebra,  $|B_i| = \text{irr}(B_i) = \lambda_i$  we have  $\text{irr}(\prod_{i < \omega_1} B_i/D^*) = \beth_{\omega_1}^+ < \prod_{i < \omega_1} \lambda_i/D^*$  where

- (iv)'  $\lambda_i$  is the first weakly inaccessible Mahlo cardinal  $> \beth_i$ .

*Proof.* 1) We start getting by forcing using a forcing notion from  $\mathcal{H}(\mu)$  (see [Sh:f, Ch.XVI,2.5,p.793] and history there) a normal filter  $D^0$  on  $\omega_1$  such that  $\mathcal{P}(\omega_1)/D^*$

is layered<sup>1</sup> and  $\diamond_{\aleph_1} + 2^{\aleph_1} = \aleph_2$ . Hence (see [FMSH 252] and history there) there is an ultrafilter  $D^*$  on  $\omega_1$  extending  $D$  as required in 2.1 clause (d) for  $\kappa = \theta = \aleph_1$ , that is: if  $g \in {}^{\omega_1}\omega_1$  is pressing down on some member of  $D^*$  then for some  $\alpha < \omega_1$ ,  $\{\beta < \omega_1 : g(\beta) < \alpha\} \in D^*$ . Next by forcing with some  $\aleph_2$ -complete  $\mu$ -c.c. forcing notion of cardinality  $\mu$ , we get Laver indestructibility (by [L]). Now apply 2.1 with  $\kappa = \theta = \aleph_1$ ,  $\mathbb{R} = \text{Levy}(\mu^+, < \lambda)$ ,  $\mathbb{Q}_1$  trivial or for part (3) as in 1.1 recall that  $\lambda$  is inaccessible. Note that easily in  $\mathbf{V}^{\mathbb{P}}$ ,  $(\forall \lambda_1 < \lambda)(\lambda_1^{\aleph_0} < \lambda)$ . The main new point is clause (iii) which follows by clause ( $\eta$ ) of the conclusion of 2.1 and the previous sentence; see the proof of part (3).

2) The proofs in [MgSh 433] applies also in our changed circumstances.

3) But for irr the problem seems more involved. We use 2.5 below instead of 1.3 and note that  $\mathbb{Q}_2, \mathbb{R}$  and the Gitik Magidor forcing  $\mathbb{P}/(\mathbb{Q}_1 \times \mathbb{Q}_2 \times \mathbb{R})$  though not fully preserving  $(*)_{\lambda, < \mu, B}$  of 2.5 below it still preserves enough as we now prove. So in  $\mathbf{V}^{\mathbb{P}}$  let  $f_\alpha/D^* \in \prod_{i < \kappa} B_{\mu_i}/D^*$  so  $f_\alpha \in \prod_{i < \kappa} B_{\mu_i}$  for  $\alpha < \lambda$ . For each

$\alpha$  we can find in  $\mathbf{V}_2$  a sequence  $\langle g_{\alpha, n} : n < \omega \rangle$  satisfying  $g_{\alpha, n} \in \prod_{i < \mu} B_i$  such

that  $\{i < \omega_1 : (\exists n)(f_\alpha(i) = g_{\alpha, n}(\mu_i))\} \in D^*$ . Without loss of generality we have  $A_{\alpha, n} = A_n$  where  $A_{\alpha, n} = \{i < \omega_1 : f_\alpha(i) = g_{\alpha, n}(\mu_i)\}$ , as  $2^{\aleph_1} < \beth_{\omega_1} < \lambda = \text{cf}(\lambda)$ .

Now in  $\mathbf{V}_1$ , there is an isomorphism  $\mathbf{j}$  from  $\prod_{i < \mu} B_i/\mathcal{D}$  onto  $B$ , so  $\mathbf{j}(g_{\alpha, n}/\mathcal{D}) \in B$ .

In  $\mathbf{V}_2[G_{\mathbb{R}}]$  we apply  $(*)_{\lambda, \aleph_0, B}$  of 2.5 and find  $\beta_0 < \beta_1 < \beta_2 < \beta_3 < \lambda$  such that  $n < \omega \Rightarrow B \models \mathbf{j}(g_{\beta_0, n}/\mathcal{D}) = \sigma(\mathbf{j}(g_{\beta_0, n}/\mathcal{D}), \mathbf{j}(g_{\beta_1, n}/\mathcal{D}), \mathbf{j}(g_{\beta_2, n}/\mathcal{D}), \mathbf{j}(g_{\beta_3, n}/\mathcal{D}))$  where  $\sigma$  is the Boolean term  $\sigma^*(x_0, x_1, x_2) = (x_0 \cap x_1) \cup (x_0 \cap x_2) \cup (x_1 \cap x_2)$ . Hence

$$Y_n = {}^{df} \{ \zeta < \mu : B_\zeta \models g_{\beta_0, n}(\zeta) = \sigma^*(g_{\beta_1, n}(\zeta), g_{\beta_2, n}(\zeta), g_{\beta_3, n}(\zeta)) \} \in \mathcal{D}$$

hence  $Y = \bigcap_{n < \omega} Y_n \in \mathcal{D}$  hence for some  $i^* < \kappa$ ,  $(\forall i)[i^* \leq i < \kappa \rightarrow \mu_i \in Y]$

but  $\mu_i \in Y \Rightarrow (\forall n < \omega)[B_{\mu_i} \models g_{\beta_0, n}(\mu_i) = \sigma(g_{\beta_1, n}(\zeta), g_{\beta_2, n}(\zeta), g_{\beta_3, n}(\zeta))]$ . As  $A_{\beta_\ell, n} = A_n$  we are done.  $\square_{2.3}$

*2.4 Remark.* 1) In 2.3(1),(2) without loss of generality  $\beth_{\omega_1}$  is the limit of the first  $\omega_1$  (weakly) inaccessible.

2) In 2.3(3) without loss of generality  $\beth_{\omega_1}$  is the limit of the first  $\omega_1$  Mahlo (weakly)

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<sup>1</sup>it means that this Boolean algebra is  $\bigcup_{i < \omega_2} B_i^*$ ,  $B_i^*$  is a Boolean algebra of cardinality  $\aleph_1$ , increasing continuous with  $i$ , and  $\text{cf}(i) = \aleph_1 \Rightarrow B_i \in \mathcal{P}(\omega_1)/D^*$

inaccessible. Can we omit Mahlo?

3) Of course, 2.3 is just one extreme variant.

4) If we would like to replace in 2.3,  $\aleph_1$  by  $\kappa = \kappa^{<\kappa} > \aleph_1$ , we can use [FMSH 252], hence higher large cardinals.

**2.5 Claim.** 1) For  $\mathbb{Q} = \mathbb{Q}_{\mu,\lambda}^1, \underline{B}$  as in 1.3 we have, for  $\tau < \mu$  it is forced ( $\Vdash_{\mathbb{Q}_{\mu,\lambda}^1}$ ) that:

(\*) $_{\lambda,\tau,B}$  if  $y_{\alpha,\varepsilon} \in \underline{B}$  for  $\alpha < \lambda, \varepsilon < \tau$  then for some  $\beta_0 < \beta_1 < \beta_2 < \beta_3$  we have

$$\varepsilon < \tau \Rightarrow y_{\beta_0,\varepsilon} = \sigma^*(y_{\beta_1,\varepsilon}, y_{\beta_2,\varepsilon}, y_{\beta_3,\varepsilon}) \text{ where } \sigma^*(y_1, y_2, y_3) = (y_1 \cap y_2) \cup (y_1 \cap y_3) \cup (y_2 \cap y_3).$$

2) If  $B$  is a Boolean algebra,  $\tau < \lambda$  and  $\mathbb{Q}^*$  is  $\tau^+$ -complete (or just do not add new  $\tau$ -sequence of ordinals  $< |B|$ ) and satisfies the  $(\lambda, 4)$ -Knaster property (i.e. among any  $\lambda$  conditions there are  $\lambda$ , any three of them has a common upper bound), then forcing by  $\mathbb{Q}^*$  preserve (\*) $_{\lambda,\tau,B}$ .

*Proof.* 1) As in the proof of 1.3, again the point is checking (\*) $_{\lambda,\tau,B}$  so let  $p \Vdash \langle y_{\beta,\varepsilon} : \beta < \lambda, \varepsilon < \tau \rangle$  be a counterexample". For each  $\alpha < \lambda$  choose  $p_\alpha$  such that  $p \leq p_\alpha$  and  $p_\alpha \Vdash \langle y_{\alpha,\varepsilon} = y_{\alpha,\varepsilon} \rangle$  for  $\varepsilon < \tau$  and without loss of generality  $y_{\alpha,\varepsilon} \in B_{w[p_\alpha]}$  and choose  $\alpha_{\beta,\zeta} \in w[p_\beta]$  for  $\zeta < \zeta_\beta$  such that  $y_{\beta,\varepsilon} \in \langle \{x_\gamma : \gamma \in \{\alpha_{\beta,\varepsilon} : \varepsilon < \zeta_\beta\}\} \rangle_{B[p_{\beta_\ell}]}$  for some  $\zeta_\beta < \tau^+$  with  $\alpha_{\beta,\varepsilon}$  increasing with  $\varepsilon$ , and let  $\xi_\beta \leq \zeta_\beta$  be such that  $(\forall \varepsilon)[\alpha_{\beta,\varepsilon} < \beta \equiv \varepsilon < \xi_\beta]$ . Let  $y_{\beta,\varepsilon} = \sigma_{\beta,\varepsilon}(\dots, x_{\alpha_{\beta,\varepsilon}}, \dots)_{\varepsilon < \zeta_\beta}$  (so the term  $\sigma_{\beta,\varepsilon}$  uses only finitely many of its variables). We choose  $S, w, r$ , etc., as in the proof there with  $\xi \leq \zeta, \langle \alpha_\varepsilon : \varepsilon < \xi \rangle, \langle \sigma_\varepsilon : \varepsilon < \tau \rangle$  replacing  $m \leq n, \langle \alpha_\ell : \ell < m \rangle, \sigma$ .

We choose  $\beta_0 < \beta_1 < \beta_2 < \beta_3$  in  $S$  and it is enough to find  $q \in \mathbb{Q}_{\mu,\lambda}^1$  such that  $\ell < 4 \Rightarrow p_{\beta_\ell} \leq q$  and  $q \Vdash \langle y_{\beta_0,\varepsilon} = \sigma(y_{\beta_1,\varepsilon}, y_{\beta_2,\varepsilon}, y_{\beta_3,\varepsilon}) \rangle$  for  $\varepsilon < \tau$ ". We define  $u = \bigcup_{\ell < 4} w[p_{\beta_\ell}]$  and  $\mathcal{F}$  as there, i.e.,

$$\{f : f \in {}^u 2, f \upharpoonright w[p_{\beta_\ell}] \in \mathcal{F}[p_{\beta_\ell}] \text{ for } \ell < 4 \text{ and for some } \ell \in \{1, 2, 3\} \text{ we have } m \in \{0, 1, 2, 3\} \setminus \{\ell\} \ \& \ \zeta < \mu \Rightarrow f(x_{\alpha_{\beta_0,\zeta}}) = f(x_{\alpha_{\beta_m,\zeta}})\}.$$

Now check.

2) Straightforward. □<sub>2.5</sub>

Another case is, e.g.



**2.6 Claim.** *We can replace in all the results above  $\text{irr}(B)$  by  $\text{-cof}(B)$ .*

*Remark.* Recall  $\text{h-cof}^+(B) = \cup\{|Y|^+ : Y \subseteq B \text{ and } Y = \{a_\alpha : \alpha < |Y|\}\}$  satisfies  $\alpha < \beta \Rightarrow \neg(a_\beta \leq a_\alpha)$ , see [M2, Th.18.1,p.226].

*Proof.* Similar just easier.

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