

# WEAK DIAMOND

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ABSTRACT. Under some cardinal arithmetic assumptions, we prove that every stationary subset of  $\lambda$  of a right cofinality has weak diamond. This is a strong negation of uniformization. We then deal with a weaker version of the weak diamond that involves restricting the domain of the colourings. We then deal with semi-saturated (normal) filters.

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*Key words and phrases.* Set theory, Normal ideals, Weak diamond, precipitous filters, semi saturated filters .

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Annotated Content§1. **Weak Diamond: sufficient condition**

[We prove that if  $\lambda = 2^\mu = \lambda^{<\lambda}$  is weakly inaccessible,

$$\Theta = \{\theta : \theta = \text{cf}(\theta) < \lambda \quad \text{and} \quad \alpha < \lambda \Rightarrow |\alpha|^{(\text{tr}, \theta)} < \lambda\} \quad \text{and} \quad S \subseteq \{\delta < \lambda : \text{cf}(\delta) \in \Theta\}$$

is stationary then it has weak diamond. We can omit or weaken the demand  $\lambda = \lambda^{<\lambda}$  if we restrict the colouring  $\mathbf{F}$  (in the definition of the weak diamond) such that for  $\eta \in {}^\delta\delta$ ,  $\mathbf{F}(\eta)$  depends only on  $\eta \upharpoonright C_\delta$  where  $C_\delta \subseteq \delta$ ,  $\lambda = \lambda^{|C_\delta|}$ .

§2. **On versions of precipitousness**

[We show that for successor  $\lambda > \beth_\omega$ , the club filter on  $\lambda$  is not semi-saturated (even every normal filter concentrating on any  $S \in I[\lambda]$  of cofinality from a large family). Woodin had proved  $D_{\omega_2} + S_0^2$  consistently is semi-saturated].

## 1. WEAK DIAMOND: SUFFICIENT CONDITION

On the weak diamond see [DvSh 65], [Sh:f, Appendix §1], [Sh 208], [Sh 638]; there will be subsequent work on the middle diamond.

**Definition 1.1.** For regular uncountable  $\lambda$ ,

- (1) We say  $S \subseteq \lambda$  is small if it is  $\mathbf{F}$ -small for some function  $\mathbf{F}$  from  ${}^{\lambda}\lambda$  to  $\{0, 1\}$ , which means
  - (\*) $_{\mathbf{F}, S}$  for every  $\bar{c} \in {}^S 2$  there is  $\eta \in {}^{\lambda}\lambda$  such that  $\{\lambda \in S : \mathbf{F}(\eta \upharpoonright \delta) = c_{\delta}\}$  is not stationary.
- (2) Let  $D_{\lambda}^{\text{wd}} = \{A \subseteq \lambda : \lambda \setminus A \text{ is small}\}$ , it is a normal ideal (the weak diamond ideal).

**Claim 1.2.** Assume

- (a)  $\lambda = \lambda^{<\lambda} = 2^{\mu}$
- (b)  $\Theta = \{\theta : \theta = \text{cf}(\theta) \text{ and for every } \alpha < \lambda, \text{ we have } |\alpha|^{<\theta} < \lambda \text{ or just } |\alpha|^{<\text{tr}, \theta} < \lambda\}$  (see below; so if  $\lambda > \beth_{\omega}$  every large enough regular  $\theta < \beth_{\omega}$  is in  $\Theta$ )
- (c)  $S \subseteq \{\delta < \lambda : \text{cf}(\delta) \in \Theta, \text{ and } \mu^{\omega} \text{ divides } \delta\}$  is stationary.

Then  $S$  is not in the ideal  $D_{\lambda}^{\text{wd}}$  of small subsets of  $\lambda$ .

**Definition 1.3.** (1) Let  $\chi^{(\theta)} = \text{Min}\{|\mathcal{P}| : \mathcal{P} \subseteq [\chi]^{\theta} \text{ and every } A \in [\chi]^{\theta} \text{ is included in the union of } < \theta \text{ members of } \mathcal{P}\}$ .

- (2)  $\chi^{(\theta)\text{tr}} = \sup\{|\text{lim}_{\theta}(t)| : t \text{ is a tree with } \leq \chi \text{ nodes and } \theta \text{ levels}\}$

*Remark 1.4.* (1) On  $\chi^{(\theta)\text{tr}}$  see [Sh 589], on  $\chi^{(\theta)}$  see there and in [Sh 460] but no real knowledge is assumed here.

- (2) The interesting case of 1.2 is  $\lambda$  (weakly) inaccessible; for  $\lambda$  successor we know more; but in later results even if  $2^{\mu}$  is successor we say on it new things.
- (3) Actually only  $\mathbf{F} \upharpoonright (\bigcup_{\delta \in S} {}^{\delta}\delta)$  mark. ??

*Proof.* Let  $\mathbf{F}$  be a function from  $\bigcup_{\delta \in S} {}^{\delta}\lambda$  to  $\{0, 1\}$ , i.e.,  $\mathbf{F}$  is a colouring, and

we shall find  $f \in {}^S \lambda$  as required for it.

Let  $\{\nu_i : i < \lambda\}$  list  $\bigcup_{\alpha < \lambda} {}^{\alpha}\lambda$  such that

$$\alpha < \text{lg}(\nu_i) \Rightarrow \nu_i \upharpoonright \alpha \in \{\nu_j : j < i\}.$$

For  $\delta \in S$  let  $\mathcal{P}_{\delta} = \{\eta \in {}^{\delta}\delta : (\forall \alpha < \delta)(\eta \upharpoonright \alpha \in \{\nu_i : i < \delta\})\}$ .

Clearly  $\delta \in S \Rightarrow |\mathcal{P}_{\delta}| \leq |\delta|^{<\text{tr}, \theta} < \lambda$  by assumption (c). For each  $\eta \in \mathcal{P}_{\delta}$  we define  $h_{\eta} \in {}^{\mu}2$  by:  $h_{\eta}(\varepsilon) = \mathbf{F}(g_{\eta, \varepsilon})$  where for  $\varepsilon < \mu$ , we let  $g_{\eta, \varepsilon} \in {}^{\delta}2$  be defined by  $g_{\eta, \varepsilon}(\alpha) = \eta(\mu\alpha + \varepsilon)$  for  $\alpha < \delta$ , recalling that  $\mu^{\omega}$  divides  $\delta$  as  $\delta \in S$ . So  $\{h_{\eta} : \eta \in \mathcal{P}_{\delta}\}$  is a subset of  ${}^{\mu}2$  of cardinality  $\leq |\mathcal{P}_{\delta}| < \lambda = 2^{\mu}$  hence we can choose  $g_{\delta}^* \in {}^{\mu}2 \setminus \{g_{\eta} : \eta \in \mathcal{P}_{\delta}\}$ . For  $\varepsilon < \mu$  let  $f_{\varepsilon} \in {}^S 2$  be  $f_{\varepsilon}(\delta) = 1 - g_{\delta}^*(\varepsilon)$ . If for some  $\varepsilon < \mu$  the function  $f_{\varepsilon}$  serve as a weak diamond

sequence for  $\mathbf{F}$ , we are done so assume that (for each  $\varepsilon < \mu$ ) there are  $\eta_\varepsilon$  and  $E_\varepsilon$  such that:

- (a)  $E_\varepsilon$  is a club of  $\lambda$ .
- (b)  $\eta_\varepsilon \in {}^\lambda\lambda$ .
- (c) if  $\delta \in E_\varepsilon \cap S$  then  $\mathbf{F}(\eta_\varepsilon \upharpoonright \delta) = 1 - f_\varepsilon(\delta)$  and  $\eta_\varepsilon \upharpoonright \delta \in {}^\delta\delta$ .

Now define  $\eta \in {}^\delta 2$  by  $\eta(\mu\alpha + \varepsilon) = \eta_\varepsilon(\alpha)$  for  $\alpha < \lambda, \varepsilon < \mu$ .

Let  $E = \{\delta < \lambda : \delta \text{ is divisible by } \mu^\omega \text{ and } \varepsilon < \mu \Rightarrow \delta \in E_\varepsilon \text{ and } (\forall \alpha < \delta)[\eta \upharpoonright \alpha \in \{\eta_i : i < \delta\}]\}$ . Clearly  $E$  is a club of  $\lambda$  hence we can find  $\delta \in E \cap S$ . So by the definition of  $\mathcal{P}_\delta$  we have  $\eta \upharpoonright \delta \in \mathcal{P}_\delta$  and for  $\varepsilon < \mu$  we have  $g_{\eta \upharpoonright \delta, \varepsilon} \in {}^\delta\delta$  is equal to  $\eta_\varepsilon \upharpoonright \delta$  (Why? note that  $\mu\delta = \mu$  as  $\delta \in E$  and see the definition of  $g_{\eta \upharpoonright \delta, \varepsilon}$  and of  $\eta$ , so :  $\alpha < \delta \Rightarrow g_{\eta \upharpoonright \delta, \varepsilon}(\alpha) = \eta(\mu\alpha + \varepsilon) = \eta_\varepsilon(\alpha)$ ). Hence  $h_{\eta \upharpoonright \delta} \in {}^\mu 2$  is well defined and by the choice of  $\eta$  we have  $\varepsilon < \mu \Rightarrow g_{\eta \upharpoonright \delta, \varepsilon} = \eta_\varepsilon \upharpoonright \delta$  so by its definition,  $h_{\eta \upharpoonright \delta}$  for each  $\varepsilon < \mu$  satisfies  $h_{\eta \upharpoonright \delta}(\varepsilon) = \mathbf{F}(g_{\eta \upharpoonright \delta, \varepsilon}) = \mathbf{F}(\eta_\varepsilon \upharpoonright \delta)$ . Now by clause (c) and the choice of  $f_\varepsilon$  we have  $\mathbf{F}(\eta_\varepsilon \upharpoonright \delta) = 1 - f_\varepsilon(\delta) = g_\delta^*(\varepsilon)$  so  $h_{\eta \upharpoonright \delta} = g_\delta^*$ , but  $h_{\eta \upharpoonright \delta} \in \mathcal{P}_\delta$  whereas we have chosen  $g_\delta^*$  such that  $g_\delta^* \notin \mathcal{P}_\delta$ , a contradiction.  $\square$

We may consider a generalization.

**Definition 1.5.** (1) We say  $\bar{C}$  is a  $\lambda$ -Wd-parameter if:

- (a)  $\lambda$  is a regular uncountable,
- (b)  $S$  a stationary subsets of  $\lambda$ ,
- (c)  $\bar{C} = \langle C_\delta : \delta \in S \rangle, C_\delta \subseteq \delta$
- (1A) We say  $\bar{C}$  is a  $(\lambda, \kappa, \chi)$ -Wd-parameter if in addition  $(\forall \delta \in S)[\text{cf}(\delta) = \kappa \wedge |C_\delta| < \chi]$ . We may also say that  $\bar{C}$  is  $(S, \kappa, \chi)$ -parameter.
- (2) We say that  $\mathbf{F}$  is a  $\bar{C}$ -colouring if:  $\bar{C}$  is a  $\lambda$ -Wd-parameter and  $\mathbf{F}$  is a function from  ${}^\lambda\lambda$  to 2 such that :
  - if  $\delta \in S, \eta_0, \eta_1 \in {}^\delta\delta$  and  $\eta_0 \upharpoonright C_\delta = \eta_1 \upharpoonright C_\delta$  then  $\mathbf{F}(\eta_0) = \mathbf{F}(\eta_1)$ .
  - (2A) If  $\bar{C} = \langle \delta : \delta \in S \rangle$  we may omit it writing  $S$ -colouring
  - (2B) In part (2) we can replace  $\mathbf{F}$  by  $\langle F_\delta : \delta \in S \rangle$  where  $F_\delta : ({}^{C_\delta}\delta) \rightarrow 2$  such that  $\eta \in {}^\delta\delta \wedge \delta \in S \rightarrow \mathbf{F}(\eta) = F_\delta(\eta \upharpoonright C_\delta)$ . So abusing notation we may write  $\mathbf{F}(\eta \upharpoonright C_\delta)$
- (3) Assume  $\mathbf{F}$  is a  $\bar{C}$ -clouring,  $\bar{C}$  a  $\lambda$ -Wd-parameter.
  - We say  $\bar{c} \in {}^S 2$  (or  $\bar{c} \in {}^\lambda 2$ ) is an  $\mathbf{F}$ -wd-sequence if :
    - (\*) for every  $\eta \in {}^\lambda\lambda$ , the set  $\{\delta \in S : \mathbf{F}(\eta \upharpoonright \delta) = c_\delta\}$  is a stationary subset of  $\lambda$ .
    - We also may say  $\bar{c}$  is an  $(\mathbf{F}, S)$ -Wd-sequence.
  - (3A) We say  $\bar{c} \in {}^S 2$  is a  $D - \mathbf{F}$ -Wd-sequence if  $D$  is a filter on  $\lambda$  to which  $S$  belongs and
    - (\*)for every  $\eta \in {}^\lambda\lambda$  we have
$$\{\delta \in S : \mathbf{F}(\eta \upharpoonright \delta) = c_\delta\} \neq \emptyset \text{ mod } D$$
- (4) We say  $\bar{C}$  is a good  $\lambda$ -Wd-parameter, if for every  $\alpha < \lambda$  we have  $\lambda > |\{C_\delta \cap \alpha : \delta \in S \text{ and } \alpha \in C_\delta\}|$ .

Similarly to 1.2 we have

**Claim 1.6.** *Assume*

- (a)  $\bar{C}$  is a good  $(\lambda, \kappa, \chi)$ -Wd-parameter.
- (b)  $|\alpha|^{(\text{tr}, \kappa)} < \lambda$  for every  $\alpha < \lambda$ .
- (c)  $\lambda = 2^\mu$  and  $\lambda = \lambda^{<\chi}$
- (d)  $\mathbf{F}$  is a  $\bar{C}$ -colouring.

Then there is a  $\mathbf{F}$ -Wd-sequence.

*Proof.* Let  $\text{cd}$  be a 1-to-1 function from  ${}^\mu\lambda$  onto  $\lambda$ , for simplicity, and without loss of generality

$$\alpha = \text{cd}(\langle \alpha_\varepsilon : \varepsilon < \mu \rangle) \Rightarrow \alpha \geq \sup\{\alpha_\varepsilon : \varepsilon < \mu\}$$

and let the function  $\text{cd}_i : \lambda \rightarrow \lambda$  for  $i < \mu$  be such that  $\text{cd}_i(\langle \text{cd}(\alpha_\varepsilon : \varepsilon < \mu) \rangle) = \alpha_i$ .

Let  $T = \{\eta : \text{for some } C \subseteq \lambda \text{ of cardinality } < \chi, \text{ we have } \eta \in {}^C\lambda\}$ , so by assumption (c) clearly  $|T| = \lambda$ , so let us list  $T$  as  $\{\eta_\alpha : \alpha < \lambda\}$  with no repetitions, and let  $T_{<\alpha} = \{\eta_\beta : \beta < \alpha\}$ . For  $\delta \in S$  let  $\mathcal{P}_\delta = \{\eta : \eta \text{ a function from } C_\delta \text{ to } \delta \text{ such that for every } \alpha \in C_\delta \text{ we have } \eta \upharpoonright (C_\delta \cap \alpha) \in T_{<\delta}\}$ .

By  $\bar{C}$  being good and clause (b) of the assumption necessarily  $\mathcal{P}_\delta$  has cardinality  $< \lambda$ . For each  $\eta \in \mathcal{P}_\delta$  and  $\varepsilon < \mu$  we define  $\nu_{\eta, \varepsilon} \in {}^{C_\delta}\delta$  by  $\nu_{\eta, \varepsilon}(\alpha) = \text{cd}_\varepsilon(\eta(\alpha))$  for  $\alpha \in C_\delta$ . Now for  $\eta \in \mathcal{P}_\delta$ , clearly  $\rho_\eta =: \langle \mathbf{F}(\nu_{\eta, \varepsilon}) : \varepsilon < \mu \rangle$  belongs to  ${}^\mu 2$ . Clearly  $\{\rho_\eta : \eta \in \mathcal{P}_\delta\}$  is a subset of  ${}^\mu 2$  of cardinality  $\leq |\mathcal{P}_\delta|$  which as said above is  $< \lambda$ . But  $|{}^\mu 2| = 2^\mu = \lambda$  by clause (c) of the assumption, so we can find  $\rho_\delta^* \in {}^\mu 2 \setminus \{\rho_\eta : \eta \in \mathcal{P}_\delta\}$ .

For each  $\varepsilon < \mu$  we can consider the sequence  $\bar{c}^\varepsilon = \langle 1 - \rho_\delta^*(\varepsilon) : \delta \in S \rangle$  as a candidate for being an  $\mathbf{F}$ -Wd-sequence. If one of them is, we are done. So assume toward contradiction that for each  $\varepsilon < \mu$  there is  $\eta_\varepsilon \in {}^\lambda\lambda$  which exemplify its failure, so there is a club  $E_\varepsilon$  of  $\lambda$  such that

$$\boxtimes_1 \delta \in S \cap E_\varepsilon \Rightarrow \mathbf{F}(\eta_\varepsilon \upharpoonright C_\delta) \neq c_\delta^\varepsilon$$

and without loss of generality

$$\boxtimes_2 \alpha < \delta \in E_\varepsilon \Rightarrow \eta_\varepsilon(\alpha) < \delta.$$

But  $c_\delta^\varepsilon = 1 - \rho_\delta^*(\varepsilon)$  and so  $z \in \{0, 1\} \ \& \ z \neq c_\delta^\varepsilon \Rightarrow z = \rho_\delta^*(\varepsilon)$  hence we have got

$$\boxtimes_3 \delta \in S \cap E \Rightarrow \mathbf{F}(\eta_\varepsilon \upharpoonright C_\delta) = \rho_\delta^*(\varepsilon)$$

Define  $\eta^* \in {}^\lambda\lambda$  by  $\eta^*(\alpha) = \text{cd}(\langle \eta_\varepsilon(\alpha) : \varepsilon < \mu \rangle)$ , now as  $\lambda$  is regular uncountable clearly  $E =: \{\delta < \lambda : \text{for every } \alpha < \delta \text{ we have } \eta^*(\alpha) < \delta \text{ and if } \delta' \in S, C' = C_{\delta'} \cap \alpha \ \& \ \alpha \in C_{\delta'} \text{ then } \eta^* \upharpoonright C' \in T_{<\delta}\}$  is a club of  $\lambda$  (see the choice of  $T, T_{<\delta}$ , recall that by assumption (a) the sequence  $\bar{C}$  is good, see Definition 1.5(4)).

Clearly  $E^* = \cap\{E_\varepsilon : \varepsilon < \mu\} \cap E$  is a club of  $\lambda$ . Now for each  $\delta \in E^* \cap S$ , clearly  $\eta^* \upharpoonright C_\delta \in \mathcal{P}_\delta$ ; just check the definitions of  $\mathcal{P}_\delta$  and  $E, E^*$ . Now recall  $\nu_{\eta^* \upharpoonright C_\delta, \varepsilon}$  is the function from  $C_\delta$  to  $\delta$  defined by

$$\nu_{\eta^* \upharpoonright C_\delta, \varepsilon}(\alpha) = \text{cd}_\varepsilon(\eta^*(\alpha)).$$

But by our choice of  $\eta^*$  clearly  $\text{cd}_\varepsilon(\alpha) = \eta_\varepsilon(\alpha)$ , so

$$\alpha \in C_\delta \Rightarrow \nu_{\eta^* \upharpoonright C_{\delta,\varepsilon}}(\alpha) = \eta_\varepsilon(\alpha) \quad \text{so} \quad \nu_{\eta^* \upharpoonright C_{\delta,\varepsilon}} = \eta_\varepsilon \upharpoonright C_\delta,$$

Hence  $\mathbf{F}(\nu_{\eta^* \upharpoonright C_{\delta,\varepsilon}}) = \mathbf{F}(\eta_\varepsilon \upharpoonright C_\delta)$ , however as  $\delta \in E^* \subseteq E_\varepsilon$  clearly  $\mathbf{F}(\eta_\varepsilon \upharpoonright C_\delta) = \rho_\delta^*(\varepsilon)$ , together  $\mathbf{F}(\nu_{\eta^* \upharpoonright C_{\delta,\varepsilon}}) = \rho_\delta^*(\varepsilon)$ .

As  $\eta^* \upharpoonright C_\delta \in \mathcal{P}_\delta$  clearly  $\rho_{\eta^* \upharpoonright C_\delta} \in {}^\mu 2$ , moreover for each  $\varepsilon < \mu$  we know that  $\rho_{\eta^* \upharpoonright C_\delta}(\varepsilon)$ , see its definition above, is equal to  $\mathbf{F}(\nu_{\eta^* \upharpoonright C_{\delta,\varepsilon}})$  which by the previous sentence is equal to  $\rho_\delta^*(\varepsilon)$ . As this holds for every  $\varepsilon < \mu$  and  $\rho_{\eta^* \upharpoonright C_\delta}, \rho_\delta^*$  are members of  ${}^\mu 2$ , clearly they are equal. But  $\eta^* \upharpoonright C_\delta \in \mathcal{P}_\delta$  so  $\rho_{\eta^* \upharpoonright C_\delta} \in \{\rho_\eta : \eta \in \mathcal{P}_\delta\}$  whereas  $\rho_\delta^*$  has been chosen outside this set, contradiction.  $\square$

Well, are there good  $(\lambda, \kappa, \kappa)$ -parameters? (on  $I[\lambda]$  see [Sh 420, §1]).

- Claim 1.7.** (1) *If  $S$  is a stationary subset of the regular cardinal  $\lambda$  and  $S \in I[\lambda]$  and  $(\forall \delta \in S)\text{cf}(\delta) = \kappa$  then for some club  $E$  of  $\lambda$ , there is a good  $(S \cap E, \kappa, \kappa)$ -parameter.*
- (2) *If  $\kappa = \text{cf}(\kappa), \kappa^+ < \lambda = \text{cf}(\lambda)$  then there is a stationary  $S \in I[\lambda]$  with  $(\forall \delta \in S)[\text{cf}(\delta) = \kappa]$ .*

*Proof.* (1) By the definition of  $I[\lambda]$   
(2) By [Sh 420, §1].  $\square$

We can note

- Claim 1.8.** (1) *Assume the assumption of 1.6 or 1.2 with  $C_\delta = \delta$  and  $D$  is a  $\mu^+$ -complete filter on  $\lambda, S \in D$ , and  $D$  include the club filter. Then we can get that there is a  $D - \mathbf{F}$ -Wd-sequence.*
- (2) *In 1.6, we can weaken the demand  $\lambda = 2^\mu$  to  $\lambda = \text{cf}(2^\mu)$  that is, assume*
- $\bar{C}$  is a good  $(\lambda, \kappa, \chi)$ -Wd-parameter.
  - $|\alpha|^{(\text{tr}, \kappa)} < 2^\mu$  for every  $\alpha < \lambda$ .
  - $\lambda = \text{cf}(2^\mu)$  and  $2^\mu = (2^\mu)^{<\chi}$
  - $\mathbf{F}$  is a  $\bar{C}$ -colouring
  - $D$  is a  $\mu^+$ -complete filter on  $\lambda$  extending the club filter to which  $\text{Dom}(\bar{C})$  belongs.
- Then<sup>1</sup> there is a  $D - \mathbf{F}$ -Wd-sequence.
- (3) *In 1.6+1.8(2) we can omit “ $\lambda$  regular”.*

*Proof.* (1) The same proof.  
(2) Let  $H^* : \lambda \rightarrow 2^\mu$  be increasing continuous with unbounded range and let  $S \in I[\lambda]$  be stationary, such that  $(\forall \delta \in S)\text{cf}(\delta) = \kappa$ , and  $\bar{C} = \langle C_\delta : \delta \in S \rangle$  is a good  $(\text{cf}(\lambda), \kappa, \kappa)$ -Wd-parameter, let

$$S' = \{h^*(\alpha) : \alpha \in S\}, C'_{h^*(\delta)} = \{h^*(\alpha) : \alpha \in C_\delta\}, \bar{C}' = \langle C_\beta : \beta \in S' \rangle$$

<sup>1</sup>in fact if  $\lambda = \text{cf}(2^\mu) < 2^\mu$  then the demand “ $\bar{C}$  is good” is not necessary; see more in [Sh 775]

and repeat the proof using  $\lambda' = 2^\mu$ ,  $\bar{C}' = \langle C'_\delta : \delta \in S' \rangle$  instead  $\lambda, \bar{C}$ . Except that in the choice of the club  $E$  we should use  $E' = \{\delta < \lambda : \text{for every } \alpha \in \delta \cap \text{Rang}(h^*) \text{ we have } \eta^*(\alpha) < \delta \text{ and } \delta \text{ is a limit ordinal and } \delta' \in S' \wedge C' = C'_\delta \cap \alpha \Rightarrow \eta^* \upharpoonright C' \in T_{<\delta}\}$ .

(3) Similarly. □

This lead to considering the natural related ideal.

**Definition 1.9.** Let  $\bar{C}$  be a  $(\lambda, \kappa, \chi)$ - parameter.

- (1) For a family  $\mathcal{F}$  of  $\bar{C}$ -colouring and  $\mathcal{P} \subseteq {}^\lambda 2$ , let  $\text{id}_{\bar{C}, \mathcal{F}, \mathcal{P}}$  be  $\{W \subseteq \lambda : \text{for some } \mathbf{F} \in \mathcal{F} \text{ for every } \bar{c} \in \mathcal{P} \text{ for some } \eta \in {}^\lambda \lambda \text{ the set } \{\delta \in W \cap S : \mathbf{F}(\eta \upharpoonright C_\delta) = c_\delta\} \text{ is not stationary}\}$ .
- (2) If  $\mathcal{P}$  is the family of all  $\bar{C}$ - colouring we may omit it. If we write Def instead  $\mathcal{F}$  this mean as in [Sh 576, §1].

We can strengthen 1.6 as follows.

**Definition 1.10.** We say the  $\lambda$ -colouring  $\mathbf{F}$  is  $(S, \chi)$ - good if:

- (a)  $S \subseteq \{\delta < \lambda : \text{cf}(\delta) < \chi\}$  is stationary
- (b) we can find  $E$  and  $\langle C_\delta : \delta \in S \cap E \rangle$  such that
  - ( $\alpha$ )  $E$  a club of  $\lambda$ .
  - ( $\beta$ )  $C_\delta$  is an unbounded subset of  $\delta$ ,  $|C_\delta| < \chi$ .
  - ( $\gamma$ ) if  $\rho, \rho' \in {}^\delta \delta$ ,  $\delta \in S \cap E$ , and  $\rho' \upharpoonright C_\delta = \rho \upharpoonright C_\delta$  then  $\mathbf{F}(\rho') = \mathbf{F}(\rho)$
  - ( $\delta$ ) for every  $\alpha < \lambda$  we have

$$\lambda > |\{C_\delta \cap \alpha : \delta \in S \cap E \text{ and } \alpha \in C_\delta\}|$$

$$(\epsilon) \delta \in S \Rightarrow |\delta_{\text{tr}}^{\langle \text{cf}(\delta) \rangle}| :$$

**Claim 1.11.** *Assume*

- (a)  $\lambda = \text{cf}(2^\mu)$
- (b)  $\mathbf{F}$  is an  $(S, \kappa)$ - good  $\lambda$ -colouring.

Then there is a  $(\mathbf{F}, S)$ -Wd-sequence, see Definition 1.5(3).

*Remark 1.12.* So if  $\lambda = \text{cf}(2^\mu)$  and we let  $\Theta_\lambda =: \{\theta = \text{cf}(\theta) \text{ and } (\forall \alpha < \lambda)(|\alpha|^{\langle \text{tr}, \theta \rangle} < \lambda)\}$  then

- (a)  $\Theta_\lambda$  “large” (e.g. contains every large enough  $\theta \in \text{Reg} \cap \beth_\omega$  if  $\beth_\omega < \lambda$ ) and
- (b) if  $\theta = \text{cf}(\theta) \wedge \theta^+ < \lambda$  then there is a stationary  $S \in I[\lambda]$  such that  $\delta \in S \Rightarrow \text{cf}(\delta) = \theta$ .
- (c) if  $\theta \in \Theta, S$  are as above then there is a good  $\langle C_\delta : \delta \in S \rangle$
- (d) for  $\theta, S, \bar{C}$  as above, if  $\mathbf{F} = \langle F_\delta : \delta \in S \rangle$  and  $F_\delta(\eta)$  depend just on  $\eta \upharpoonright C_\delta$  and  $D$  is a normal ultrafilter on  $\lambda$  (or less), and lastly  $S \in D$  then there is an  $D - \mathbf{F}$ -Wd-sequence; see Definition 1.5(3A).

## 2. ON VERSIONS OF PRECIPITOUSNESS

- Definition 2.1.** (1) We say the  $D$  is  $(\mathbb{P}, \underline{D})$ -precipitous if
- (a)  $D$  is a normal filter on  $\lambda$ , a regular uncountable cardinal.
  - (b)  $\mathbb{P}$  is forcing notion with  $\emptyset_{\mathbb{P}}$  minimal.
  - (c)  $\underline{D}$  a  $\mathbb{P}$ -name of an ultrafilter of the Boolean Algebra  $\mathcal{P}(\lambda)$
  - (d) letting for  $p \in \mathbb{P}$

$$D_{p, \underline{D}} =: \{A \subseteq \lambda : p \Vdash A \in \underline{D}\}$$

we have:

- ( $\alpha$ )  $D_{\emptyset_{\mathbb{P}}, \underline{D}} = D$  and
  - ( $\beta$ )  $D_{p, \underline{D}}$  is normal filter on  $\lambda$
  - (e)  $\Vdash_{\mathbb{P}} \text{“}\mathbf{V}^{\lambda}/\underline{D} \text{ is well founded”}$ .
- (2) For  $\lambda$  regular uncountable and  $D$  a normal filter on  $\lambda$  let  $\text{NOR}_D = \{D' : D' \text{ a normal filter on } \lambda \text{ extending } D\}$  ordered by inclusion and  $\underline{D} = \cup\{D' : D' \in \mathcal{G}_{\text{NOR}_D}\}$

Woodin [W99] defined and was interested in semi-saturation for  $\lambda = \aleph_2$ , where!

(1A) If  $\underline{D}$  is clear from the context (as in part (2)) we may omit  $\underline{D}$ .

**Definition 2.2.** For  $\lambda$  regular uncountable cardinal, a normal filter  $D$  on  $\lambda$  is called semi-saturated when for every forcing notion  $\mathbb{P}$  and  $\mathbb{P}$ -name  $\underline{D}$  of a normal (for regressive  $f \in \mathbf{V}$ ) ultrafilter on  $\mathcal{P}(\lambda)^{\mathbf{V}}$ , we have:  $D$  is  $(\mathbb{P}, \underline{D})$ -precipitous.

Woodin proved  $\text{Con}(D_{\omega_2} \upharpoonright S_0^2 \text{ is semi saturated})$ , he proved that the existence of such filter has large consistency strength by proving 2.3 below. This is related to [Sh:g, V].

**Claim 2.3.** *If  $\lambda = \mu^+$ ,  $D$  a semi-saturated filter on  $\lambda$ , then every  $f \in {}^\lambda \lambda$  is  $<_D$ - than the  $\alpha$ -th function for some  $\alpha < \lambda^+$  (on the  $\alpha$ -th function see e.g [Sh:g, XVII, §3])*

*In fact*

**Claim 2.4.** *If  $\lambda = \mu^+$  and  $D$  is  $\text{NOR}_\lambda$ -precipitous then every  $f \in {}^\lambda \lambda$  is  $<_D$ - smaller than the  $\alpha$ -th function for some  $\alpha < \lambda^+$*

*Proof.* The point is that

- (a) if  $D$  is a normal filter on  $\lambda$ ,  $\langle f_\alpha : \alpha < \lambda^+ \rangle$  is  $<_D$ -increasing in  $\lambda$  and  $f \in {}^\lambda \lambda$ ,  $\alpha < \lambda^+ \Rightarrow \neg(f \leq_D f_\alpha)$  then there is a normal filter  $D_1$  on  $\lambda$  extending  $D$  such that  $\alpha < \lambda^+ \Rightarrow f_\alpha <_{D_1} f$
- (b) if  $\langle f_\alpha : \alpha \leq \lambda^+ \rangle$  is  $<_D$ - increasing  $f_\alpha \in {}^\lambda \lambda$ , and  $\lambda = \mu^+$  and  $X = \{\delta < \lambda : \text{cf}(f_{\lambda^+}(\delta)) = \theta\} \neq \emptyset \text{ mod } D$  then there are functions  $g_i \in {}^\lambda \lambda$  for  $i < \theta$  such that  $g_i <_{\text{mod}(D+X)} f_{\lambda^+}$ , and  $(\forall \alpha < \lambda^+)(\exists i < \theta)(\neg g_i <_D f_\alpha)$ .

[In details let  $\Gamma = \{(D_1, f, \alpha) : D_1 \in \text{NOR}_\lambda, f \in {}^\lambda \lambda, D_1 \Vdash_{\text{NOR}_\lambda} \text{“}f/\underline{D} \text{ is the } \alpha\text{-th ordinal in } \mathbf{V}^\lambda/\underline{D} \text{ and } \neg f \leq f_\alpha \text{ mod } D_1 \text{ for } \alpha < \lambda^+, \text{ for some}$



$f_\alpha \in \wedge \lambda :< D_1$ - increasing with  $\alpha$ }. If the conclusion fails then  $\Gamma \neq 0$ , choose  $(D_1, f, \alpha) \in \Gamma$  with  $\alpha$  minimal and by clause (a) without loss of generality  $\alpha < \lambda^+ \Rightarrow f_\alpha < f \text{ mod } D_1$ . By (b) there is  $g < f \text{ mod } D_1$  such that  $\alpha < \lambda^+ \Rightarrow \neg(g < f_\alpha \text{ mod } D_1)$ , without loss of generality  $\alpha < \lambda^+ \Rightarrow f_\alpha < g \text{ mod } D_1$  and for some  $\beta < \alpha$  and  $D_2 \in \text{NOR}_\lambda$  extending  $D_1, D_2 \Vdash_{\text{NOR}_\lambda}$  "  $g/\underline{D}$  is the  $\beta$  the ordinal of  $\mathbf{V}^\lambda/\underline{D}$ , contradiction to the minimality of  $\lambda$  ]  $\square$

- Claim 2.5.** (1) *If  $\lambda = \mu^+ \geq \beth_\omega$  then the club filter on  $\lambda$  is not semi-saturated.*
- (2) *If  $\lambda = \mu^+ \geq \beth_\omega$  then for every large enough regular  $\kappa < \beth_\omega$ , there is no semi-saturated normal filter  $D^*$  on  $\lambda$  to which  $S_\kappa^\lambda = \{\delta < \lambda : \text{cf}(\delta) = \kappa\}$  belongs.*
- (3) *If  $2^{2^\kappa} < \lambda = \mu^+ > \kappa = \text{cf}(\kappa) > \aleph_0$  and for every  $f \in {}^\kappa \lambda$  we have  $\text{rk}_{J_\kappa^{\text{bd}}}(f) < \lambda$  then there is no semi-saturated normal filter  $D^*$  on  $\lambda$  to which  $\{\delta < \lambda : \text{cf}(\delta) = \kappa\}$  belongs.*
- (4) *In 1), 2), 3), if "D is  $\text{Nor}_D$ -semi-saturated" then the conclusion holds for D.*

REMARK: We can replace  $\beth_\omega$  by any strong limit uncountable cardinal.

*Proof.* (1) Follows by (2)

- (2) By [Sh 460] for some  $\kappa_0 < \beth_\omega$ , for every regular  $\kappa \in (\kappa_0, \beth_\omega)$  we have:  $\mu^{(\kappa)} = \mu$ , see 1.3. Let  $D = \{A \subseteq \kappa : \text{sup}(\kappa \setminus A) < \kappa\}$ .

By part (3) it is enough to prove

$\boxtimes$  if  $f \in {}^\kappa \lambda$  then  $\text{rk}_D(f) < \lambda$

proof of  $\boxtimes$  If not then for every  $\alpha < \lambda$  there is

$$f_\alpha \in {}^\kappa \lambda \quad \text{such that} \quad f_\alpha <_D f \quad \text{and} \quad \text{rk}_D(f) = \alpha$$

and define

$$D_\alpha =: \{A \subseteq \kappa : A \in D \quad \text{or} \quad \kappa \setminus A \notin D, \text{ and } \text{rk}_{D+(\kappa \setminus A)}(f_\alpha) < \alpha\}.$$

This is a  $\kappa$ -complete filter on  $\kappa$  see [Sh 589]. So for some  $D^*$  the set  $A = \{\alpha : D_\alpha = D^*\}$  is unbounded in  $\lambda$ . By [Sh 589, §4] (alternatively use [Sh:g, V] on normal filters)

(\*) for  $\alpha < \beta$  from  $A, f_\alpha <_{D^*} f_\beta$  and  $D^*$  is a  $\kappa$ -complete filter on  $\kappa$ .

But as  $\mu = \mu^{(\kappa)}$  letting  $\alpha^* = \text{sup}(\text{Rang}(f)) + 1$  which is  $< \lambda$ , so  $|\alpha^*| \leq \mu$ , there is a family  $\mathcal{P} \subseteq [\alpha^*]^\kappa$  such that for every  $a \in [\alpha^*]^\kappa$ , for some  $i(*) < \kappa$  and  $a_i \in \mathcal{P}$  for  $i < i(*)$  we have  $a \subseteq \bigcup_{i < i(*)} a_i$  hence

for every  $\alpha \in A$ , for some  $a_\alpha \in \mathcal{P}$  we have

$$\{i < \kappa : f_\alpha(i) \in a_\alpha\} \neq \emptyset \text{ mod } D^*.$$

So for some  $a^*$  and unbounded  $B \subseteq A$  we have  $\alpha \in B \Rightarrow a_\alpha = a^*$  and moreover for some  $b^* \subseteq \kappa$  we have  $\alpha \in B \Rightarrow b^* = \{i < \kappa : f_\alpha(i) \in a^*\}$  and moreover  $\alpha \in B \Rightarrow f_\alpha \upharpoonright b^* = f^*$ . But this contradict (\*).

- (3) We can find  $\langle u_{\alpha,\varepsilon} : \varepsilon < \lambda, \alpha < \lambda^+ \rangle$  such that:
- (a)  $\langle u_{\alpha,\varepsilon} : \varepsilon < \lambda \rangle$  is  $\subseteq$ -increasing continuous such that  $|u_{\alpha,\varepsilon}| < \lambda$ , and  $\cup\{u_{\alpha,\varepsilon} : \varepsilon < \lambda\} = \alpha$ .
  - (b) if  $\alpha < \beta < \lambda^+$  and  $\alpha \in u_{\beta,\varepsilon}$  then  $u_{\beta,\varepsilon} \cap \alpha = u_{\alpha,\varepsilon}$ .

Let  $f_\alpha \in {}^\lambda\lambda$  be  $f_\alpha(\varepsilon) = \text{otp}(u_{\alpha,\varepsilon})$ , so it is well known that  $f_\alpha/D_\lambda$  is the  $\alpha$ -th function, in particular  $\alpha < \beta \Rightarrow f_\alpha <_{D_\lambda} f_\beta$  where  $D_\lambda$  is the club filter on  $\lambda$ ; in fact  $\alpha < \beta < \lambda^+ \Rightarrow f_\alpha <_{J_\lambda^{bd}} f_\beta$ . Choose<sup>2</sup>  $\bar{C} = \langle C_\delta : \delta \in S_\kappa^\lambda \rangle$ ,  $C_\delta$  a club of  $\delta$  of order type  $\kappa$ , and let  $g_\delta \in {}^\kappa\delta$  enumerate  $C_\delta$ , i.e.  $g_\delta(i)$  is the  $i$ -th member of  $C_\delta$

For  $\zeta < \lambda$  let  $g_\zeta^* \in {}^\kappa\lambda$  be constantly  $\zeta$ , and let  $g^* \in {}^\lambda\lambda$  be defined by  $g^*(\zeta) = \text{rk}_{J_\kappa^{bd}}(g_\zeta^*)$

- (\*)<sub>0</sub>  $g^* \in {}^\lambda\lambda$  and  $\zeta \leq g^*(\zeta)$   
 [why? by an assumption]

For  $\alpha < \lambda^+$  we define  $f_\alpha^* \in {}^\lambda\lambda$  by:

$$f_\alpha^*(\varepsilon) = \begin{cases} \text{rk}_{J_\kappa^{bd}}(f_\alpha \circ g_\varepsilon) & \text{if } \varepsilon \in S_\kappa^\lambda \\ 0 & \text{if } \varepsilon \in \lambda \setminus S_\kappa^\lambda \end{cases}$$

Note that  $f_\alpha \circ g_\delta$  is a function from  $\kappa$  to  $\lambda$ .

Now

- (\*)<sub>1</sub>  $f_\alpha^* \in {}^\lambda\lambda$  for  $\alpha < \lambda^+$   
 [Why? as  $f_\alpha \circ g_\delta \in {}^\kappa\lambda$ , so by a hypothesis  $\text{rk}_{J_\kappa^{bd}}(f_\alpha \circ g_\delta) < \lambda$ ]
- (\*)<sub>2</sub> for  $\alpha < \lambda^+$

$$(*)_\alpha^2 E_\alpha = \{\delta < \lambda : \text{if } \varepsilon < \delta \text{ then } f_\alpha^*(\varepsilon) < \delta\}$$

is a club of  $\lambda$

[Why? Obvious]

- (\*)<sub>3</sub> for  $\alpha < \lambda^+$  we have

$$\delta \in E_\alpha \Rightarrow f_\alpha^*(\delta) < g^*(\delta), \text{ so } f_\alpha^* <_{D_\lambda} g^* \in {}^\lambda\lambda$$

[Why? the first statement by the definition of  $E_\alpha$ , of  $f_\alpha^*$  and of  $g^*(\delta)$ . The second by the first (\*<sub>0</sub>).]

- (\*)<sub>4</sub> if  $\alpha < \beta < \lambda^+$  then  $f_\alpha^* <_{J_\lambda^{bd}} f_\beta^*$  hence  $f_\alpha^* <_{D_\lambda} f_\beta^*$

[Why? the first as  $f_\alpha <_{J_\lambda^{bd}} f_\beta$  hence for some  $\varepsilon < \lambda$ , we have

$$\varepsilon < \zeta < \lambda \rightarrow f_\alpha(\zeta) < f_\beta(\zeta) \text{ hence } \delta \in S_\kappa^\lambda \setminus (\varepsilon + 1) \Rightarrow$$

$$f_\alpha \upharpoonright C_\delta <_{J_{C_\delta}^{bd}} f_\beta \upharpoonright C_\delta \Rightarrow f_\alpha \circ g_\delta <_{J_\kappa^{bd}} f_\beta \circ g_\delta \Rightarrow \text{rk}_{J_\kappa^{bd}}(f_\alpha \circ g_\delta) < \text{rk}_{J_\kappa^{bd}}(f_\beta \circ g_\delta) \Rightarrow f_\alpha^*(\delta) < f_\beta^*(\delta)$$

Let  $f_{\lambda^+}^* =: g^*$ , so

$$(*) \alpha \leq \lambda^+ \Rightarrow f_\alpha^* \in {}^\lambda\lambda \quad \text{and} \quad \alpha < \beta \leq \lambda^+ \Rightarrow f_\alpha <_{D_\lambda} f_\beta$$

This of course suffices by ??.

<sup>2</sup>recall  $S_\kappa^\lambda = \{\delta < \lambda : \text{cf}(\delta) = \kappa\}$

(4) The same proof. □

REMARK: In the proof of 2.5(2) it is enough that  $\bigcup_{J_{\kappa}^{bd}}(\mu) = \mu$  (see [Sh 589]).

[References of the form math.XX/... refer to arXiv.org ]

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