COLLOQUIA MATHEMATICA SOCIETATIS JÁNOS BOLYAI

10. INFINITE AND FINITE SETS, KESZTHELY (HUNGARY), 1973.

GRAPHS WITH PRESCRIBED ASYMMETRY AND MINIMAL NUMBER OF EDGES

S. SHELAH\*

# §0. INTRODUCTION

We shall deal with non-directed graphs, without loops and double edges, and having a finite number of vertices.

A graph is symmetric if it has a non-trivial automorphism = a permutation of its vertices, such that a pair of vertices is connected iff their images are connected. The asymmetry of a graph is the minimal number of changes (i.e. adding and deleting of edges) which is necessary to make the graph symmetric. Erdős and Rényi [1] defined and investigated this notion, and defined, F(n, k) [C(n, k)] for  $k \ge 1$ , n > 1 as the minimal number of edges in a [connected] graph, with n vertices, whose asymmetric is k; if there is no such graph the value of the function will be  $\infty$  (If n is too small, this happens). (see [1], §5 p. 311): They proved that C(6, 1) = 6, C(1, 1) = 0, C(n, 1) = n - 1 for  $n \ge 7$ ; also C(n, 2) > n + 1 for

<sup>\*</sup>Research done in summer 1968, paper written in summer 1970. The preparation of this paper was supported in part by NSF Grant #GP-22937, while the author was supported in part by NSF Grant #GP-27994.

 $n \ge 7$  and  $F(n, 3) \ge 4n/3 - 3/2$ . It is obvious that  $F(n, k) \le C(n, k)$ . They also show that  $C(n, 1) = \infty$  for  $1 < n \le 5$ , and  $C(n, k) = \infty$  for n < 2k + 1.

We shall compute C(n, k) and F(n, k) for k > 1 and n sufficiently larger than k. For k > 2, n sufficiently larger than k, we affirm the conjecture in [1] that C(n, k) = F(n, k). (See [1] p. 314. before the remarks.) It will be interesting to know for any k, from what n our formulas are correct. From the proof a bound can be found, but seemingly it will be far from the exact value.

First we shall formulate the results. Then, in §1, we prove that F(n, k) is not smaller than the values mentioned in the theorems, by generalizing a proof from [1]. In §2 we describe examples of connected graphs with n vertices and asymmetry k, whose number of edges is the number appearing in the theorems. Finally, in §3 we shall prove for the case  $k \ge 41$ , that the graphs described in §2, have the required asymmetry. (For  $3 \le k \le 40$ , the proof is messy and with the same central idea).

The results are the following:

Theorem 0.1. For n sufficiently large

$$F(n, 2) = n + 1$$
,  $C(n, 2) = n + 2$ .

Remark. This was independently found by Nesetril in his M. Sc. thesis.

Theorem 0.2. For odd k > 2, and n sufficiently larger than k

$$F(n, k) = C(n, k) = [(k+3)n/4 - 0.5[2n/(k+3)] + 1/2].$$

Theorem 0.3. For even k > 2 and n sufficiently larger than k

$$F(n, k) = C(n, k) = [(k + 2)n/4 + 1/2].$$

**Notations.** Let G denote a graph, P, Q, R, S vertices of the graph, N = N(G) the number of vertices of G, E = E(G) the number of edges of G. Let  $v_P$  be the valence of P (= the number of edges incident to P), and  $v^i$  the valence of  $P_i$ . Also  $V_k$  will denote the number of

vertices (in G) whose valence is k,  $V_{\geqslant k}$  the number of vertices (in G) whose valence is  $\geqslant k$ , etc. Thus,  $2E = \sum_{p} v_p = \sum_{k} k V_k$ . Let m, n, k, l denote natural numbers, and i, j, r integers. A(G) will stand for the asymmetry of G.

We say that  $P_1, P_2, \ldots, P_m$  is a path, if  $P_1P_2, P_2P_3, \ldots, P_{m-1}P_m$  are edges, and  $P_1P_2 \ldots P_m$  is a circle, if  $P_1P_2, P_2P_3, \ldots, P_{m-1}P_m, P_mP_1$ , are edges. [x] is the integral part of x.

### §1. PROOF OF THE LOWER BOUNDS

First we shall observe some facts, which, in fact, appear in [1].

If P, Q are vertices of G, which are not connected to any other vertices (but PQ may be an edge) then the permutation interchanging them is an automorphism of G. Hence

Observation 1.  $A(G) \le v_P + v_Q$  if P, Q are distinct vertices of G.

Observation 2.  $A(G) \le v_P + v_Q - 2$  if P, Q are vertices of G, and PQ is an edge.

If P, Q, R are vertices of G, such that RP, RQ are edges, and there are no other edges containing P or Q, except possibly PQ, then the permutation interchanging P and Q is an automorphism of G, hence G is symmetric.

**Observation 3.**  $A(G) \le v_P + v_Q - 2$ , if P, Q, R are distinct vertices of G, and RP, RQ are edges of G.

**Lemma 1.1.**  $F(n, 2) \ge n + 1$  for n > 7, and  $C(n, 2) \ge n + 2$  for  $n \ge 7$ .

**Proof.** By [1],  $C(n, 2) \ge n + 2$ , for n > 6.

Suppose N(G)=n, A(G)=2; we should prove  $E(G) \ge n+1$ . Let  $G_1,\ldots,G_k$  be the components of G. By [1] there are, up to isomorphism, only two asymmetric connected graphs G with  $E(G) \le N(G)$ . One,  $G^1$  is the graph with one point, and the other  $G^2$  have six vertices

and six edges. Now  $E(G) = \sum_{l=1}^{k} E(G_l)$  and  $N(G) = \sum_{l=1}^{k} N(G_l)$ . Now clearly no two of the  $G_k$ 's can be isomorphic, hence the worst case is when, say,  $G_1 = G^1$ ,  $G_2 = G^2$ . As N(G) > 7, k > 2. Hence

$$E(G) = \sum_{l=1}^{k} E(G_l) = E(G_1) + E(G_2) + \sum_{l=3}^{l} E(G_l) \ge$$

$$\ge 0 + 6 + \sum_{l=3}^{k} (N(G_l) + 2) =$$

$$= 0 + 6 + \sum_{l=3}^{k} N(G_l) + 2(k-2) =$$

$$= 6 + N(G) - 7 + 2(k-2) =$$

$$= N(G) - 1 + 2(k-2) \ge N(G) + 1.$$

Lemma 1.2. If k > 2 is even, then

$$F(n, k) \ge [(k+2)n/4 + 1/2]$$
.

**Proof.** Let G be a graph with n vertices,  $A(G) \ge k$ . We should prove that  $E = E(G) \ge \lfloor (k+2)n/4 + 1/2 \rfloor$ , or, as E(G) is an integer,  $E(G) \ge (k+2)n/4$ , or  $2E(G) \ge (k+2)n/2$ .

If the valency of every vertex is  $\geq (k+2)/2$ , then

$$2E = \sum_l l V_l \ge ((k+2)/2) \sum_l V_l = (k+2)n/2 \; ,$$

so let  $R_0$  be a vertex with valency <(k+2)/2, that is  $\le k/2$ . Then, for any other vertex P we have  $\nu_P \ge k/2$ , because by observation 1

$$k \leq A(G) \leq v_P + v_Q \leq v_P + k/2 \; .$$

Now if  $v_Q \le k/2$ , and PQ is an edge, then  $v_P$  is  $\ge k/2+2$  as by observation 2

$$k \leq A(G) \leq v_P + v_Q - 2 = v_P + k/2 - 2 \; .$$

Similarly if Q, P, S, are vertices of G, QS, PS are edges, then  $v_Q \le k/2$  implies  $v_P \ge k/2 + 2$  (by observation 3).

Assume first  $v_{R_0} = k/2$ . As we have shown that for every other P,  $v_P \ge k/2$ , clearly  $V_{< k/2} = 0$ . As every vertex of valence  $\le k/2$  is connected only with vertices of valence  $\ge k/2 + 2$ , and no vertex is connected with two vertices of valency k/2, clearly  $V_{\ge (k/2+2)} \ge V_{k/2}$ .

Hence

$$\begin{split} 2E &= \sum l V_l \geqslant (k/2) V_{k/2} + (k/2+1) V_{k/2+1} + \\ &+ (k/2+2) V_{\geqslant (k/2+2)} = \\ &= (k/2) V_{k/2} + (k/2+1) (n - V_{k/2} - V_{\geqslant (k/2+2)}) + \\ &+ (k/2+2) V_{\geqslant (k/2+2)} = \\ &= -V_{k/2} + (k/2+1) n + V_{\geqslant (k/2+2)} \geqslant \\ &\geqslant (k/2+1) n = (k+2) n/2 \;. \end{split}$$

Now assume  $v_{R_0} < k/2$ . Then by observation 1, the valency of any other vertex P is  $\geqslant (k+2)/2$ , as  $k \leqslant A(G) \leqslant V_P + k/2 - 1$ . If  $v_{R_0} \neq 0$ , and P is connected with  $R_0$ , then  $v_P \geqslant k - v_{R_0} + 2$ . Hence

$$\begin{split} 2E &= \sum_{Q} v_{Q} \geq (k/2+1)(n-2) + v_{R_{0}} + v_{P} \geq \\ &\geq (k+2)n/2 - (k+2) + v_{R_{0}} + k - v_{R_{0}} + 2 = (k+2)n/2 \;. \end{split}$$

So we are left with the case  $v_{R_0} = 0$ . Then for every  $P \neq R_0$ ,  $v_P \ge k$  (by observation 1). Hence

$$2E \geqslant \sum_{Q} v_{Q} \geqslant k(n-1) = kn - k =$$

$$= (k+2)n/2 + (k-2)n/2 - k \geqslant$$

$$\geqslant (k+2)n/2 + (4-2)n/2 - k =$$

$$= (k+2)n/2 + n - k \geqslant (k+2)n/2$$

(we use the assumption that k is even and > 2, hence  $\ge 4$ ; and that  $n \ge 2k+1 > k$  (for n < 2k+1 > k implies  $F(n, k) = \infty$ ).

Lemma 1.3. If k is odd and > 2, then

$$F(n, k) \ge [(k+3)n/4 - 0.5[2n/(k+3)] + 1/2]$$
.

**Remark.** For k = 3, this slightly improves Th. 8 p. 314 [1].

**Proof.** Let l = (k+1)/2. Let N(G) = n,  $A(G) \ge k$ .

If R is a vertex of G with valency < l, then for every other vertex P we have  $\nu_P \ge l$ , because by observation 1

$$\begin{split} k & \leq A(G) \leq \nu_P + \nu_R \leq \nu_P + l - 1 \\ \nu_P & \geq k - (l - 1) = k - (k + 1)/2 + 1 = \\ & = k/2 - 1/2 + 1 = k/2 + 1/2 = l \;. \end{split}$$

Hence there is at most one vertex with valency < l. Now if  $v_P \le l$ , and P,Q are connected, then  $v_Q \ge l+1$  (by observation 2), and similarly if PR,QR are edges then  $v_Q \ge l+1$  (by observation 3). Hence if  $v_P \le l$  and PQ are connected, then  $v_Q \ge l+1$ , and P is the only vertex connected with Q with a valency  $\le l$ . Hence  $V_{\ge (l+1)} \ge \sum_{m \le l} mV_m \ge lV_l$ .

Case I. Let us assume first  $V_{< l} = 0$ .

Then 
$$n = V_l + V_{>l} \ge V_l + lV_l = (l+1)V_l$$
, or  $V_l \le n/(l+1)$ . 
$$2E(G) = \sum mV_m \ge lV_l + (l+1)V_{\ge (l+1)} = \\ = lV_l + (l+1)(n-V_l) = (l+1)n - V_l \ge \\ \ge (l+1)n - [n/(l+1)] = (k+3)n/2 - [2n/(k+3)]$$
.

So, if  $V_{< l} = 0$ , the lemma holds. Suppose  $V_{< l} \neq 0$ , hence  $V_{< l} = 1$ , as noted in the beginning of the proof, and let  $R_0$  be the only vertex with valency < l.

Case II. Assume now  $v_{R_0} = 0$ . Then, by observation 1, every  $P \neq R_0$  has valency  $\geqslant k$ . Hence  $2E \geqslant k(n-1)$ . For k > 3, as  $k \geqslant 5$ 

$$2E - (k+3)n/2 \ge k(n-1) - (k+3)n/2 =$$

$$= kn - k - kn/2 - 3n/2 = n(k - k/2 - 3/2) - k =$$

$$= n(k/2 - 3/2) - k \ge n - k > 0.$$

This clearly implies the required inequality. For k = 3,  $n \ge 9$ , the required inequality also holds.

$$2E \ge k(n-1) = 3n - 3 = (k+3)n/2 - 3 =$$

$$= (k+3)n/2 - [2 \cdot 9/6] \ge (k+3)n/2 - [2n/(k+3)].$$

As  $\infty = F(n,k)$  for n < 2k+1=7, the remaining cases are k=3, n=7, k=3, n=8. If we remove  $R_0$ , we get a graph  $G_1$ ,  $N(G_1)=n-1$ ,  $E(G_1)=E(G)$ ,  $A(G_1) \ge 3$ , and the valency of every vertex is  $\ge 3$ . For n=7, we get a graph with six vertices and asymmetry 3, contradicting Theorem 1.1 in [1], according to which

$$A(G) \leq (N(G) - 1)/2.$$

So we are left with the case n=8. As  $\sum \nu_P$  is even, there is in  $G_1$  at least one vertex with valency > 4. If there are two such vertices, or one with valency > 4, we get  $E(G)=E(G_1) > 12$  which is the required inequality. So let P be the only vertex of valency four,  $Q_1,Q_2,Q_3,Q_4$  the vertices connected with it, and  $S_1,S_2$  the two other vertices. As  $S_1$  has valency three, and it is not connected with P, it is connected with two of the Q's, say  $Q_1,Q_2$ . Now clearly in order to make the permutation interchanging  $Q_1$  and  $Q_2$  to an automorphism of G, it is sufficient to remove two edges. This is a contradiction. So have finished the case  $\nu_{R_0}=0$ .

Case III. 
$$l > v_{R_0} > 0$$

By observations 2 and 3 it is clear that if P is connected with  $R_0$ , or connected with a vertex which is connected with  $R_0$ , then  $v_P \ge m = k - v_{R_0} + 2$ , and hence  $V_{\ge m} \ge m$ . Clearly  $0 < v_{R_0} < l = (k+1)/2$  implies m > (k+3)/2 = l+1. As noted before in Case I

$$\begin{split} V_{\geqslant (l+1)} \geqslant \sum_{m \leqslant l} m V_m \geqslant l V_l, & \text{ hence} \\ n = 1 + V_l + V_{\geqslant l} \geqslant 1 + V_l + l V_l = 1 + (l+1) V_l \; , \\ V_l \leqslant (n-1)/(l+1) \leqslant n/(l+1) - 1 \end{split}$$

whence

$$V_l \le [n/(l+1)] - 1 = [2n/(k+3)] - 1.$$

Now

$$\begin{split} 2E &= \sum_{r} r V_{r} \geqslant v_{R_{0}} \cdot 1 + l V_{l} + \\ &+ (l+1)(V_{\geqslant (l+1)} - V_{\geqslant m}) + m V_{\geqslant m} = \\ &= v_{R_{0}} + l V_{l} + (l+1) V_{\geqslant (l+1)} + (m-l-1) V_{\geqslant m} = \\ &= v_{R_{0}} + l V_{l} + (l+1)(n-1-V_{l}) + (m-l-1) V_{\geqslant m} = \\ &= v_{R_{0}} - V_{l} + (l+1)n - (l+1) + (m-l-1) V_{\geqslant m} \geqslant \\ &\geq (v_{R_{0}} - V_{l}) + (l+1)n - (l+1) + \\ &+ (m-l-1)m \geqslant \\ &\geq - [2n/(k+3)] + (k+3)n/2 + \\ &+ (-(l+1) + (m-l-1)m) \geqslant \\ &\geq (k+3)n/2 - [2n/(k+3)] \end{split}$$

(the last inequality holds, as m > l + 1, implies

$$(m-l-1)m \ge m > (l+1)$$
.

So the required inequality holds in case III, and Lemma 1.3 is proved.

#### § 2. THE DESCRIPTIONS OF THE EXAMPLES

Example 2.1. We will show that  $C(n, 2) \le n + 2$ .

**Description.** Let  $n_1, \ldots, n_6$  be different natural numbers > 0'. The vertices of G will be  $R_1, \ldots, R_4$  (of valency three), and  $P_k^i$ ,  $i = 1, \ldots, 6, \ k = 1, \ldots, n_i$  (of valency 2). Now

$$R_1 P_1^1 \dots P_{n_1}^1 R_2, R_1 P_1^2 \dots P_{n_2}^2 R_3, R_1 P_1^3 \dots$$
  
 $R_1 P_1^3 \dots P_{n_3}^3 R_4, R_2 P_1^4 \dots P_{n_4}^4 R_3,$   
 $R_2 P_1^5 \dots P_{n_5}^5 R_4, R_3 P_1^6 \dots P_{n_6}^6 R_4$ 

will be paths, (and every edge of G appears in one of them)

Example 2.2. Proof of  $F(n, 2) \le n + 1$ .

It is the same as the previous one, if we add one isolated vertex.

**Example 2.3.** We show the upper bound for F(n, k), for even k > 2.

**Remark.** Every pair of vertices which will not be said to be connected, will be considered unconnected. We shall concentrate on the case k > 40.

Construction. Clearly, by Lemma 1.2, every vertex will have a valency l = (k + 2)/2, except one vertex if n is odd. Let us choose numbers  $r_1, \ldots, r_l$  such that

- 1.  $r_i$  is odd, and  $0 < r_1 < -r_2 < r_3 < -r_4 < \ldots < (-1)^l r_l$ .
  - 2. If  $r_{i_1} + r_{i_2} = r_{m_1} + r_{m_2}$  then  $\{i_1, i_2\} = \{m_1, m_2\}.$
- 3. If  $r_{i_1} + r_{i_2} + r_{i_3} = r_{m_1} + r_{m_2} + r_{m_3}$  then  $\{i_1, i_2, i_3\} = \{m_1, m_2, m_3\}$ , and  $i_1 = i_2 = m_1$  implies  $m_1 = m_2$  or  $m_1 = m_3$ .
  - 4. No sum of  $\leq 5$  of the numbers  $\{\pm r_i: 1 \leq i \leq l\}$  is > 0 and  $\leq k$ .

Clearly  $r_i = (-2)^{i+1}(k+1) + 1$  satisfy the conditions, but we can easily find much smaller  $r_i$ 's; for example defining  $r_i$  by induction as the

$$\begin{split} V_{\geqslant (l+1)} \geqslant \sum_{m \leqslant l} m V_m \geqslant l V_l, & \text{ hence} \\ n = 1 + V_l + V_{\geqslant l} \geqslant 1 + V_l + l V_l = 1 + (l+1) V_l, \\ V_l \leqslant (n-1)/(l+1) \leqslant n/(l+1) - 1 \end{split}$$

whence

$$V_l \le [n/(l+1)] - 1 = [2n/(k+3)] - 1 \ .$$

Now

$$\begin{split} 2E &= \sum_{r} r V_{r} \geqslant v_{R_{0}} \cdot 1 + l V_{l} + \\ &+ (l+1)(V_{\geqslant (l+1)} - V_{\geqslant m}) + m V_{\geqslant m} = \\ &= v_{R_{0}} + l V_{l} + (l+1) V_{\geqslant (l+1)} + (m-l-1) V_{\geqslant m} = \\ &= v_{R_{0}} + l V_{l} + (l+1)(n-1-V_{l}) + (m-l-1) V_{\geqslant m} = \\ &= v_{R_{0}} - V_{l} + (l+1)n - (l+1) + (m-l-1) V_{\geqslant m} \geqslant \\ &\geq (v_{R_{0}} - V_{l}) + (l+1)n - (l+1) + \\ &+ (m-l-1)m \geqslant \\ &\geq - [2n/(k+3)] + (k+3)n/2 + \\ &+ (-(l+1) + (m-l-1)m) \geqslant \\ &\geq (k+3)n/2 - [2n/(k+3)] \end{split}$$

(the last inequality holds, as m > l + 1, implies

$$(m-l-1)m \ge m > (l+1)$$
.

So the required inequality holds in case III, and Lemma 1.3 is proved.

# § 2. THE DESCRIPTIONS OF THE EXAMPLES

Example 2.1. We will show that  $C(n, 2) \le n + 2$ .

**Description.** Let  $n_1, \ldots, n_6$  be different natural numbers > 0'. The vertices of G will be  $R_1, \ldots, R_4$  (of valency three), and  $P_k^i$ ,  $i = 1, \ldots, 6, \ k = 1, \ldots, n_i$  (of valency 2). Now

$$R_1 P_1^1 \dots P_{n_1}^1 R_2, R_1 P_1^2 \dots P_{n_2}^2 R_3, R_1 P_1^3 \dots$$
  
 $R_1 P_1^3 \dots P_{n_3}^3 R_4, R_2 P_1^4 \dots P_{n_4}^4 R_3,$   
 $R_2 P_1^5 \dots P_{n_5}^5 R_4, R_3 P_1^6 \dots P_{n_6}^6 R_4$ 

will be paths, (and every edge of G appears in one of them)

Example 2.2. Proof of  $F(n, 2) \le n + 1$ .

It is the same as the previous one, if we add one isolated vertex.

**Example 2.3.** We show the upper bound for F(n, k), for even k > 2.

Remark. Every pair of vertices which will not be said to be connected, will be considered unconnected. We shall concentrate on the case k > 40.

Construction. Clearly, by Lemma 1.2, every vertex will have a valency l = (k + 2)/2, except one vertex if n is odd. Let us choose numbers  $r_1, \ldots, r_l$  such that

1. 
$$r_i$$
 is odd, and  $0 < r_1 < -r_2 < r_3 < -r_4 < \ldots < (-1)^l r_l$ .

2. If 
$$r_{i_1} + r_{i_2} = r_{m_1} + r_{m_2}$$
 then  $\{i_1, i_2\} = \{m_1, m_2\}$ .

3. If 
$$r_{i_1} + r_{i_2} + r_{i_3} = r_{m_1} + r_{m_2} + r_{m_3}$$
 then  $\{i_1, i_2, i_3\} = \{m_1, m_2, m_3\}$ , and  $i_1 = i_2 = m_1$  implies  $m_1 = m_2$  or  $m_1 = m_3$ .

4. No sum of  $\leq 5$  of the numbers  $\{\pm r_i: 1 \leq i \leq l\}$  is > 0 and  $\leq k$ .

Clearly  $r_i = (-2)^{i+1}(k+1) + 1$  satisfy the conditions, but we can easily find much smaller  $r_i$ 's; for example defining  $r_i$  by induction as the

first satisfying the conditions.

Let us first define a graph G(n, k).

Case I. n is even.

The vertices are  $P_1, \ldots, P_n$ ; and let  $P(i) = P_i$ , and  $P_i = P_j$  if  $i = j \pmod{n}$ . Now for even i,  $P_i$  is connected with  $P(i + r_j)$  for  $j = 1, \ldots, l$ .

Case II. n is odd.

The points will be  $P_1,\ldots,P_{n-1}$  and Q. As before  $P_i=P(i)$   $P_i=P_j$  if  $i=j\pmod{n-1}$ . For even i,  $P_i$  is connected with  $P(i+r_j)$   $j=1,\ldots,l$ ; except if j=1 and i belongs to  $\{2r\colon 1\leqslant r\leqslant \leqslant (l+1)/2\}$ . Q is connected to  $P_{2r},P_{2r+r_1}$  for  $1\leqslant r\leqslant (l+1)/2$ .

Let  $L_1=6|r_l|,\ L=12|r_l|,\ (|r_l|=(-1)^lr_l).$  (For k>40 this is more then sufficient, but for  $3\leq k\leq 40$ , greater values can be more convenient).

Now we shall define the required graph  $G^*(n, k)$ , by slightly modifying G(n, k). For m = 1, ..., k + 15 we omit the edges

$$P(2[n/4] + 2mL)P(2[n/4] + 2mL + r_1)$$

and  $P(2[n/4] + 2mL + 2L_1 + 2m)P(2[n/4] + 2mL + 2L_1 + 2m + r_1)$  and add the edges  $P(2[n/4] + 2mL)P(2[n/4] + 2mL + 2L_1 + 2m + r_1)$  and  $P(2[n/4] + 2mL + r_1)P(2[n/4] + 2mL + 2L_1 + 2m)$ .

Notice that the  $r_i$ 's and  $L, L_1$  depend only on k and not on n.

Example 2.4. Proof of the upper bound of F(n, k) for odd k > 3.

Clearly here the valencies of the vertices will be l=(k+1)/2 or l+1. Let  $n_1$  be such that  $((l+1)n_1+l(n-n_1))/2$  is the number appearing in Theorem 0.3; clearly there is such a number. We define the  $r_i$ 's as in example 2.3, and also  $L, L_1$ . Clearly  $ln_2 \le n_1$ , where  $n_2 = n - n_1$ . Now we define G(n,k).

Case I.  $n_1$  is even.

The vertices will be  $P_1,\ldots,P_{n_1},R_1,\ldots,R_{n_2}$ , where  $n_2=n-n_1$ . As before  $P_i=P(i)$ , and  $P_i=P_j$  if  $i=j\pmod{n_1}$ . For even i, we connect  $P_i$  with  $P(i+r_j)$  for  $j=1,\ldots,l$ . We also connect  $R_1$  with  $P_1,\ldots,P_l$ ;  $P_i$ ;  $P_i$  with  $P_i$ ,  $P_i$ ,  $P_i$ ;  $P_i$  with  $P_i$ ,  $P_i$ ,  $P_i$  with  $P_i$ ,  $P_i$ ,

Case II.  $n_1$  is odd.

Note that as  $(l+1)n_1 + ln_2$  is even, l cannot be even. So l is odd and  $n_2$  is even. Now the vertices will be  $P_1, \ldots, P_{n_1-1}, R_1, \ldots$   $\ldots, R_{n_2}$  and Q. As usual  $P_i = P(i)$  and  $P_i = P_j$  if  $i = j \pmod{n_1-1}$ . For even i we connect  $P_i$  with  $P(i+r_j)$ ,  $j=1,\ldots,l$ . We also connect for  $m=1,\ldots,n_2$ ,  $P_m$  with P(ml-l+j) for  $j=1,\ldots,l$ . Now for  $m=1,\ldots,(l+1)/2$ , we "disconnect"  $P(2Lm)P(2Lm+r_1)$  and connect each of them with Q. Note that the  $P_i$ 's and Q have valency l+1, whereas the  $R_i$ 's have valency l. Now the definition of  $G^*(n,k)$  from G(n,k) is the same as in example 3.3.

Example 2.5. Proof of the upper bound for F(n, 3).

Let  $n_1$  be a number such that  $(3n_1 + 2(n - n_1))/2$  is the number in Theorem 0.3, and  $n_2 = n - n_1$ . Clearly  $n_1$  is even, and  $2n_2 \le n_1$ ; and so  $n_1 - 2n_2$  is even, hence it is zero or two. Let us define G(n, k).

Case I.  $n_1 = 2n_2$ .

The vertices of the graph will be  $P_1,\ldots,P_{n_1},R_1,\ldots,R_{n_2}$ .  $P_1,\ldots,P_{n_1}$  will be a circle.  $R_m$  will be connected with  $P_{2m}$  and  $P_{(2m+17)}$  (where as usual  $P_i=P_j$  if  $i=j\pmod{n_1}$ ).

Case II.  $n_1 = 2n_2 + 2$ .

The vertices will be  $P_1, \ldots, P_{n_1-2}, R_1, \ldots, R_{n_2}, S_1, S_2$ .

 $S_1P_1\dots P_{L-1}S_2P_L\dots P_{n_1-2}$  is a circle,  $S_1S_2$  is an edge, and  $R_m$  is connected with  $P_{2m}$ ,  $P_{2m+17}$ .

The definition of  $G^*(n, k)$  is as in 2.3, taking  $r_1 = 1$ ,  $L_1 = 100$ , L = 200.

# §3. PROOF THAT THE EXAMPLES HAVE THE REQUIRED ASYMMETRY

We shall prove it only for k > 40. Let us mention some properties of the graphs  $G^*(n, k)$  we shall need. We assume implicitly that n is always sufficiently large.

Property A. There is no square in the graph.

**Proof.** By property (4) of the  $r_i$ 's, a square cannot contain as a vertex one of the  $R_i$ 's in 2.4, nor Q in 2.3 II. By the definition of L it cannot contain Q from 2.4 II. By the definition of  $G^*(n,k)$  and  $L,L_1$ , it suffices to prove that in G(n,k), there is no circle  $P(i_1)P(i_2)P(i_3)P(i_4)$ . Now if there is a such a circle,  $i_1$  is odd iff  $i_2$  is even. So assume  $i_1$  is even, hence  $i_2, i_4$  are odd,  $i_3$  is even. Moreover;  $i_2 - i_1, i_4 - i_1, i_2 - i_3, i_4 - i_3 \in \{r_m: m = 1, \ldots, l\}$ . As  $(i_4 - i_1) + (i_2 - i_3) = (i_2 - i_1) + (i_4 - i_3)$  by property (2) of the  $r_i$ 's

$$\{i_4 - i_1, i_2 - i_3\} = \{i_2 - i_1, i_4 - i_3\}.$$

Hence  $i_4-i_1=i_2-i_1$  or  $i_4-i_1=i_4-i_3$  so  $i_4=i_2$  or  $i_1=i_3$ , and so this is not a square.

**Property B.** If  $P(i_1) \dots P(i_6)$  is a circle in  $G^*(n, k)$  then  $i_2 - i_1 = i_4 - i_5$ ; and similarly  $i_3 - i_2 = i_5 - i_6$ ,  $i_4 - i_3 = i_6 - i_1$ . Moreover  $i_2 = i_4 = i_6$ ,  $i_1 = i_3 = i_5 \pmod 2$ .

The proof is similar to that of (A).

Property C. For every  $P_i$ , the number of vertices among  $\{P(i-j): r_1 < j \le r_l\}$  adjacent to  $P_i$  is  $\ge \lfloor (l-1)/2 \rfloor$ .

**Proof.** Suppose i is even. Then clearly  $P_i$  is connected with

Here with  $r(i-r_{2m})$  for  $m=1,\ldots,\lfloor (i-1)/2\rfloor$ .

Now we shall prove the theorem itself.

Theorem 3.1.  $A[G^*(n, k)] = k$ , for k > 40, and n sufficiently large relative to k. (Clearly it is  $\leq k$ ).

**Proof.** We shall prove a stronger result: if  $\theta$  is a permutation of the vertices of  $G^*(n, k)$ , then the number of edges PQ, such that  $\theta(P)$ ,  $\theta(Q)$  are not connected, is  $\geq k$ .

Suppose G was obtained from  $G^*(n, k)$  by < k changes, and  $\theta$  is an automorphism of G. We should prove  $\theta$  is the identity.

We shall first prove

(\*) there are  $m_1$ ,  $m_2$ , r such that  $(r_l)^2 \le m_2$  and for every i,  $m_1 \le i \le m_1 + m_2$ ,  $\theta(P_i) = P(i+r)$ , or for every i,  $m_1 \le i \le m_1 + m_2$ ,  $\theta(P_i) = P(-i+r)$ .

**Proof of (\*).** Let A be the set of all vertices of  $G^*(n, k)$  satisfying at least one of the following conditions:

- (1) An edge which contains it, was removed or added in the change of G(n, k) to  $G^*(n, k)$  or from  $G^*(n, k)$  to G.
- (2) It is connected to Q or is Q (when there is a vertex named Q in the graph).
  - (3) Its image by  $\theta$  satisfies (1) or (2).

Now the number of vertices satisfying (1) is  $\leq 4(k+15)+2(k-1) \leq 8k$ , the number of vertices satisfying (2) is  $\leq 1+(l+1) \leq k/2+4 \leq 2k$ ; and the number of vertices satisfying (3) cannot be more than 8k+2k. So  $|A| \leq 20k$ . Hence there are  $m_1, m_2$ ;  $(r_l)^2 < m_2$  such that: for every  $i, m_1 \leq i \leq m_1+m_2$ ,  $P_i$  and  $\theta(P_i)$  do not belong to A. (If there are  $> 20k(r_l)^2$   $P_i$ 's, this clearly holds, and we have assumed n is sufficiently large). Now clearly  $P_i$  and  $\theta(P_i)$  have the same valency in  $G^*(n,k)$  (as they both  $\not\in A$ ) and also they are not Q. So  $\theta(P_i)$  is not

92

Q, and not an  $R_i$ , hence it is a  $P_j$  let  $\theta(P_j) = P_{\theta(j)}$ . Clearly for  $m_1 \le i$ ,  $j \le m_1 + m_2$ ,  $P_i$ ,  $P_j$  are connected iff  $P_{\theta(i)}P_{\theta(j)}$  are connected. If i is even,  $P_i P_j$  are connected if  $j - i \in \{r_m : 1 \le m \le l\}$ , and similarly if  $\theta(i)$ is even,  $P_{\theta(i)}P_{\theta(j)}$  are connected iff  $\theta(j) - \theta(i) \in \{r_m : 1 \le m \le l\}$ . Between the ordered pairs from  $\{i: m_1 \le i \le m_1 + m_2\}$  we define a relation  $E_1: (j_1, j_2) E_1 (j_3, j_4)$  holds iff there are  $k_1, k_2$  in this interval such that  $P_{i_1}$ ,  $P_{i_2}P_{k_1}P_{i_4}P_{i_3}P_{k_2}$  is a circle. Let E be the minimal equivalence relation which extends  $E_1$ . By property (B),  $(j_1, j_2) E_1 (j_3, j_4)$  implies  $j_2 - i_1 = j_4 - j_3$ , and  $j_1 = j_3 \pmod{2}$ ,  $j_2 = j_4 \pmod{2}$ . Clearly also  $(j_1, j_2) E(j_3, j_4)$  implies the same. If we restrict ourselves to pairs (i, j)such that  $P_i$ ,  $P_i$  is an edge, we have exactly 2l equivalence classes  $(\langle i,j\rangle)$ and  $\langle j, i \rangle$  belongs to different equivalence classes),  $\langle j_1 j_2 \rangle E \langle i_1, i_2 \rangle$  holds iff  $j_1 = j_2 \pmod{2}$  and  $j_1 - j_2 = i_1 - i_2 \in \{\pm r_m : 1 \le m \le l\}$ . We can define similarly  $E_1'$  and E' among  $\{\theta(i): m_1 \le i \le m_1 + m_2\}$ . On the one hand we can easily see that  $E'_1$  and E' are the images of  $E_1$  and E under  $\theta$ . That is  $\langle j_1, j_2 \rangle E \langle j_3, j_4 \rangle$  holds iff  $\langle \theta(j_1), \theta(j_2) \rangle E' \langle \theta(j_3), \theta(j_4) \rangle$ holds, where  $j_1, j_2, j_3, j_4 \in \{i: m_1 \le i \le m_1 + m_2\}$ . On the other hand repeating the proof for E, and using the fact that E', restricted to pairs  $\langle i,j \rangle$  for which  $P_i P_j$  is an edge, has exactly 2l equivalence classes (as an image of E) we can conclude that:  $\langle j_1, j_2 \rangle E' \langle j_3, j_4 \rangle$  holds iff  $j_1 = j_3 \pmod{2}$  and  $j_1 - j_2 = j_3 - j_4 \in \{\pm r_m : 1 \le l\}$  (where of course  $j_1, j_2, j_3, j_4 \in \{\theta(i) \colon \, m_1 \leq i \leq m_1 + m_2 \}.$ 

For  $2 \le m \le l$ , let  $\theta(r_m) = \theta(j + r_m) - \theta(j)$  for any even  $j_1$ ,  $m_1 \le j \le m_1 + m_2$ . (Clearly  $\theta(r_m)$  is independent of the choice of j). It is easy to prove that either for every m,  $\theta(r_m) \in \{r_i : 1 \le i \le l\}$ , or for every m,  $\theta(r_m) \in \{-r_i : 1 \le i \le l\}$ . Now we shall prove that in the first case  $\theta(r_m) = r_m$  and in the second  $\theta(r_m) = -r_m$ . This is done by considering circles whose edges are from four equivalence classes. Clearly, this implies (\*).

Now we shall prove that

(\*\*) either for every i,  $\theta(P_i) = P(i+r)$  or for every i.  $\theta(P_i) = P(-i+r)$ .

Suppose this is not true. We prove first that there are less than nine

the vertices  $\{P(i_j-m): r, < m < r_l\}$  in  $G^*(n,k)$  and G(n,k). It is easy to observe that there are no  $k_1, k_2 < i_j, k_1, k_2 \neq i_1, \ldots, i_{j-1}, i_j - r_1$  such that  $P_{k_1}P_{i_j}, P_{k_2}P_{i_j}$  are connected in  $G^*(n,k)$  and G(n,k), and also their images are connected in  $G^*(n,k)$  and G(n,k). (Otherwise  $P(\theta(k_1))P(\theta(k_2))P(\pm i_j + r)$  is a square in  $G^*(n,k)$ , contradiction.) Hence the number of edges (in  $G^*(n,k)$ )  $P_iP_{i_j}$ ,  $i \leq i_j$  such that  $P_{\theta(i)}P_{\theta(ij)}$  is not an edge in  $G^*(n,k)$ , is  $\geq [(l-1)/2] - j$ . Hence the number of changes is

(if k > 203/5 = 40.6) contradiction.

So we have proved that (\*\*) holds except perhaps for  $\leq 8P_i$ 's. Noticing the edges we add to G(n,k) to create  $G^*(n,k)$ , clearly, for every i, except possibly the nine mentioned above,  $\theta(P_i) = P_i$ .

Suppose there are m > 2, i's for which  $\theta(P_i) \neq P_i$ , and let  $i_1, \ldots, i_m$  be such indices. Then as before, for each  $i_j$ , if  $\theta(P_i) = P_i$ ,  $P_i P_{i_j}$  are connected then  $\theta(P_i)\theta(P_{i_j})$  are not connected, except possibly one i. Hence the number of edges  $P_i P_{i_j}$  such that  $\theta(P_i)\theta(P_{i_j})$  are not connected, is  $\geq l-m$ . Hence the number of changes made in  $G^*(n,k)$  to create G is  $\geq m(l-m)$ ; a contradiction, for 2 < m < 9, k > 40.

So except possibly for two i's  $\theta(P_i) = P_i$ . Now it is not hard to see that also the number of vertices not transferred to themselves is  $\leq 2$ .

So if  $\theta$  is not the identity, it interchanges only two vertices. As in  $G^*(k, n)$  there is no square or triangle, clearly this also leads to contradiction. So we proved theorem 3.1.

Hint to the proof for k < 41. The same way, as we choose  $i_1 < \ldots < i_9$ , we can choose  $i^1 > \ldots > i^9$ , which are  $< m_1$  and are the greatest nine i's for which (\*\*) fails. Also we can have several intervals satisfying (\*), and we can prove their number is not too large, and that the number of i's not in any of them is also not too large. Then we should examine many cases separately, until at least if follows that (\*\*) holds, and the rest is similar to the proof that appears here. For k = 3 (\*) should include the  $R_i$ 's as well as the  $P_i$ 's.

#### REFERENCES

- [1] P. Erdős A. Rényi, Asymmetric graphs, Magyar Tudományos Akadémia Budapest, *Acta Math.*, 14 (1963), 295-317.
- [2] P. Hell J. Nesetril, Rigid and inverse rigid graphs, Combinatorial structures and their applications. Gordon and Breach, N.Y., London, Paris, 1970, 169-171.
- [3] R. Frucht A. Gewirtz, Asymetric graphs; Recent trends in graph theory, ed. Dold, Springer-Verlag 186.