Arch. Math. Logic 44, 597–618 (2005) Digital Object Identifier (DOI): 10.1007/s00153-004-0258-7

Todd Eisworth · Saharon Shelah

# Successors of singular cardinals and coloring theorems I

Received: 24 August 1997/Revised version: 30 May 2004 Published online: 3 May 2005 – © Springer-Verlag 2005

**Abstract.** We investigate the existence of strong colorings on successors of singular cardinals. This work continues Section 2 of [1], but now our emphasis is on finding colorings of pairs of ordinals, rather than colorings of finite sets of ordinals.

## 1. Introduction

The theme of this paper is that strong coloring theorems hold at successors of singular cardinals of uncountable cofinality, except possibly in the case where the singular cardinal is a limit of regular cardinals that are Jonsson in a strong sense.

Our general framework is that  $\lambda = \mu^+$ , where  $\mu$  is singular of uncountable cofinality. We will be searching for colorings of pairs of ordinals  $< \lambda$  that exhibit quite complicated behaviour. The following definition (taken from [2]) explains what "complicated" means in the previous sentence.

**Definition 1.1.** Let  $\lambda$  be an infinite cardinal, and suppose  $\kappa + \theta \leq \mu \leq \lambda$ .  $\Pr_1(\lambda, \mu, \kappa, \theta)$  means that there is a symmetric two-place function *c* from  $\lambda$  to  $\kappa$  such that if  $\xi < \theta$  and for  $i < \mu$ ,  $\langle \alpha_{i,\zeta} : \zeta < \xi \rangle$  is a strictly increasing sequence of ordinals  $< \lambda$  with all  $\alpha_{i,\zeta}$ 's distinct, then for every  $\gamma < \kappa$  there are  $i < j < \mu$  such that

$$\zeta_1 < \xi \text{ and } \zeta_2 < \xi \Longrightarrow c(\alpha_{i,\zeta_1}, \alpha_{i,\zeta_2}) = \gamma.$$
 (1.1)

Just as in [1], one of our main tools is a game that measures how "Jonsson" a given cardinal is.

Recall that a cardinal  $\lambda$  is a Jonsson cardinal if for every  $c : [\lambda]^{<\omega} \to \lambda$ , we can find a subset  $I \subseteq \lambda$  of cardinality  $\lambda$  such that the range of  $c \upharpoonright I$  is a proper subset of  $\lambda$ . A reader seeking more background should investigate [4] and [3] in [5].

*Key words or phrases:* Jonsson cardinals – coloring theorms – successors of singular cardinals This is publication number 535 of the second author.

T. Eisworth: Department of Mathematics, University of Northern Iowa, Cedar Falls, IA 50614, USA. e-mail: eisworth@uni.edu

S. Shelah: Institute of Mathematics, Hebrew University of Jerusalem, Jerusalem, Israel. e-mail: shlhetal@math.huji.ac.il

**Definition 1.2.** Assume  $\mu \leq \lambda$  are cardinals,  $\gamma$  is an ordinal,  $n \leq \omega$ , and J is an ideal on  $\lambda$ . We define the game  $\operatorname{Gm}_{I}^{n}[\lambda, \mu, \gamma]$  as follows:

A play lasts  $\gamma$  moves.

In the  $\alpha^{\text{th}}$  move, the first player chooses a function  $F_{\alpha} : [\lambda]^{< n} \to \mu$ , and the second player responds by choosing (if possible) a subset  $A_{\alpha} \subseteq \lambda$  such that

•  $A_{\alpha} \subseteq \bigcap_{\beta < \alpha} A_{\beta}$ 

• 
$$A_{\alpha} \in J^+$$

• ran $(F_{\alpha} \upharpoonright [A_{\alpha}]^{< n})$  is a proper subset of  $\mu$ .

The second player loses if he has no legal move for some  $\alpha < \gamma$ , and he wins otherwise.

In the previous definition, if  $J = J_{\lambda}^{bd}$  then we may omit it. Note that it causes no harm if we use a set *E* of cardinality  $\lambda$  instead of  $\lambda$  itself; in this case, we write  $\operatorname{Gm}_{I}^{n}[E, \mu, \gamma]$ .

Note that  $\lambda$  is a Jonsson cardinal if and only if Player I does not have a winning strategy in the game  $\text{Gm}^{\omega}[\lambda, \lambda, 1]$ . One may view the lack of a winning strategy for Player I in games of longer length as a strong version of Jonsson-ness or a weak version of measurability — if  $\lambda$  is measurable, then Player II can make sure her moves are elements of some  $\lambda$ -complete ultrafilter.

The following claim investigates how the existence of winning strategies is affected by modifications to the game; the proof is left to the reader.

# Claim 1.3.

- (1) If  $\mu' \leq \mu$  and the first player has a winning strategy in  $\operatorname{Gm}_J^n[\lambda, \mu, \gamma]$ , then she has a winning strategy in  $\operatorname{Gm}_J^n[\lambda, \mu', \gamma]$ .
- (2) Suppose we weaken the demand on the second player to

$$(\exists \zeta < \lambda) [ran(F_{\alpha} \upharpoonright [A_{\alpha} \setminus \zeta]^{< n}) \text{ is a proper subset of } \mu].$$
(1.2)

If  $cf(\lambda) \ge \gamma$  and  $J \supseteq J_{\lambda}^{bd}$ , then the first player has a winning strategy in the revised game if and only if she has a winning strategy in the original game.

(3) If J is  $\gamma$ -complete, then the same applies to the case where we weaken the demand on the second player to

$$(\exists Y \in J)[\operatorname{ran}(F_{\alpha} | [A_{\alpha} \setminus Y]^{< n}) \text{ is a proper subset of } \mu].$$
 (1.3)

- (4) We can allow the second player to pass, i.e., to let A<sub>α</sub> = ⋂<sub>β<α</sub> A<sub>β</sub> (even if this is not a legal move) as long as we declare that the second player loses if the order–type of the set of moves where he did not pass is < γ.</p>
- (5) If Player I has a winning strategy in Gm<sup>n</sup><sub>J</sub>[λ, μ, γ] for every μ < μ\* where μ\* is singular and γ > cf(μ\*) is regular, then Player I has a winning strategy in Gm<sup>n</sup><sub>J</sub>[λ, μ\*, γ]. We can weaken the requirement that γ is regular and instead require that cf(γ) > cf(μ\*) and ω<sup>γ</sup> = γ.

In Section 2 of [1], the existence of winning strategies for Player I in variants of the game is investigated. We will prove one such result here; the reader should look in [1] for others.

Sh:535

Claim 1.4. If  $2^{\chi} < \lambda < \beth_{(2^{\chi})^+}(\chi)$  then Player I has a winning strategy in  $Gm^{\omega}[\lambda, \chi, (2^{\chi})^+]$ .

*Proof.* At a stage *i*, Player I will select a function  $F_i : [\lambda]^{<\omega} \to \chi$  coding the Skolem functions of some model  $M_i$ .

For the initial move, we let the model  $M_0$  have universe  $\lambda$ , and include in our language all relations on  $\lambda$  and all functions from  $\lambda$  to  $\lambda$  of any finite arity that are first order definable in the structure  $\langle H(\lambda^+), \in, <^*_{\lambda^+} \rangle$  with the parameters  $\chi$  and  $\lambda$ .

For subsequent moves,  $M_i$  is an expansion of  $M_0$  with universe  $\lambda$  that has all relations on  $\lambda$  and all functions from  $\lambda$  to  $\lambda$  of any finite arity that are first order definable in the structure  $\langle H(\lambda^+), \in, <^*_{\lambda^+} \rangle$  from the parameters  $\chi$ ,  $\lambda$ ,  $M_0$ , and  $\langle A_j : j < i \rangle$ .

To obtain the function  $F_i$ , we let  $\langle F_n^i : n < \omega \rangle$  list the Skolem functions of  $M_i$ in such a way that  $F_n^i$  has  $m_i(n) \le n$  places. Let  $h : \omega \to \omega$  be such that for all n,  $h(n) \le n$  and  $h^{-1}(\{n\})$  is infinite. We then define

$$F_i(u) = \begin{cases} F_{h(|u|)}^i (\{\alpha \in u : |u \cap \alpha| < m_i(n)\}) & \text{if this is } < \chi \\ 0 & \text{otherwise} \end{cases}$$
(1.4)

The point of doing this is that whenever Player II chooses  $A_i$ , we know that  $\operatorname{ran}(F_i|[A_i]^{<\omega})$  will look like the result of intersecting an elementary submodel of  $M_i$  with  $\chi$ ; in particular, this range will be closed under the functions from  $M_i$ .

Note that  $M_0$  (and all expansions of it) has definable Skolem functions and so for any *i* and  $A \subseteq \lambda$ , the Skolem hull of A in  $M_i$  (denoted by  $Sk_{M_i}(A)$ ) is well-defined.

Let  $\langle (F_i, A_i) : i < (2^{\chi})^+ \rangle$  be a play of the game in which Player I uses this strategy (with  $M_i$  the model corresponding to  $F_i$ ). For each *i*, define

$$\alpha_i = \min\{\alpha : \left| \operatorname{Sk}_{M_0}(A_i) \cap \beth_\alpha(\chi) \right| > \chi \}.$$
(1.5)

By the choice of  $M_0$  and  $M_i$ , clearly  $\alpha(i)$  is a successor ordinal or a limit ordinal of cofinality  $\chi^+$ , and

$$|\operatorname{Sk}_{M_0}(A_i) \cap \beth_{\alpha_i}(\chi)| \le 2^{\chi}.$$
(1.6)

Since  $A_i \subseteq A_j$  for i > j, we know the sequence  $\langle \alpha_i : i < (2^{\chi})^+ \rangle$  is non-decreasing. Furthermore, for each *i* we know

$$\alpha_i < \min\{\beta : \lambda \le \beth_\beta(\chi)\} < (2^{\chi})^+.$$
(1.7)

This means that the sequence  $\langle \alpha_i : i < (2^{\chi})^+ \rangle$  is eventually constant, say with value  $\alpha^*$ . Let  $i^*$  be the least ordinal  $< (2^{\chi})^+$  such that  $\alpha_i = \alpha^*$  for  $i \ge i^*$ .

**Proposition 1.5.** If  $i^* \leq i < (2^{\chi})^+$ , then  $\operatorname{Sk}_{M_0}(A_{i+1}) \cap \beth_{\alpha^*}(\chi)$  is a proper subset of  $\operatorname{Sk}_{M_0}(A_i) \cap \beth_{\alpha^*}(\chi)$ .

*Proof.* Note that  $i^*$ ,  $\alpha^*$ , and  $\beth_{\alpha^*}(\chi)$  are all elements of  $M_{i+1}$  as they are definable in  $\langle H(\lambda^+), \in, <_{\lambda^+} \rangle$  from the parameters  $M_0$  and  $\langle A_j : j \leq i \rangle$ . Furthermore,

$$\gamma^* := \min\{\gamma < \lambda : |\operatorname{Sk}_{M_0}(A_i) \cap \gamma| = \chi\}$$
(1.8)

is also definable in  $M_{i+1}$  (and  $\langle (2^{\chi})^+ \rangle$ ). Thus the language of  $M_{i+1}$  includes a bijection between  $\operatorname{Sk}_{M_0}(A_i) \cap \gamma^*$  and  $\chi$ .

If Player I has not won the game at this stage, after Player I selects  $A_{i+1}$  we will be able to find an ordinal  $\beta < \chi$  such that  $\beta \notin \operatorname{ran}(F_{i+1} | [A_{i+1}]^{<\omega})$ . By definition of h, we know  $\beta' := h^{-1}(\beta)$  is an element of  $\operatorname{Sk}_{M_0}(A_i) \cap \beth_{\alpha^*}(\chi)$ . However,  $\beta'$  is not an element of  $\operatorname{Sk}_{M_{i+1}}(A_{i+1}) - \operatorname{since} F_{i+1}$  codes the Skolem functions of  $M_{i+1}$ , the range of  $F_{i+1} | [A_{i+1}]^{<\omega}$  is  $\operatorname{Sk}_{M_{i+1}}(A_{i+1}) \cap \chi$ . Since  $\operatorname{Sk}_{M_{i+1}}(A_{i+1})$  is closed under h, this contradicts our choice of  $\beta$ . Since  $\operatorname{Sk}_{M_0}(A_{i+1}) \subseteq \operatorname{Sk}_{M_{i+1}}(A_{i+1})$ , we have established the proposition.  $\Box$ 

Note that the preceding proposition finishes the proof of the claim — if play of the game continues for all  $(2^{\chi})^+$  steps, then  $(\operatorname{Sk}_{M_0}(A_i) \cap \beth_{\alpha^*}(\chi) : i < (2^{\chi})^+)$  is a strictly decreasing family of subsets of  $\operatorname{Sk}_{M_0}(A_{i^*})$ , contradicting (1.6).

# 2. Club-guessing technology

In this section, we prove that if  $\lambda = \mu^+$ , where  $\mu$  is singular, then under certain circumstances we can find a complicated "library" of colorings of smaller cardinals. In the next section, we will use this library of colorings to get a complicated coloring of  $\lambda$ .

The basics of club–guessing are explained in [4], but we will take a few minutes to recall some of the definitions.

Let us recall that if *S* is a stationary subset of  $\lambda$ , then an *S*-club system is a sequence  $\overline{C} = \langle C_{\delta} : \delta \in S \rangle$  such that for (limit)  $\delta \in S$ ,  $C_{\delta}$  is closed unbounded in  $\delta$ .

In this section, we will be concerned with the case where  $\lambda$  is the successor of a singular cardinal, i.e.,  $\lambda = \mu^+$  where  $cf(\mu) < \mu$ . In this context, if  $\overline{C}$  is an *S*-club system, then for  $\delta \in S$  we define an ideal  $J_{\delta}^{b[\mu]}$  on  $C_{\delta}$  by  $A \in J_{\delta}^{b[\mu]}$  if and only if  $A \subseteq C_{\delta}$ , and for some  $\theta < \mu$  and  $\gamma < \delta$ ,

$$\beta \in A \cap \operatorname{nacc}(C_{\delta}) \Rightarrow \left[\beta < \gamma \text{ or } \operatorname{cf}(\beta) < \theta\right].$$

Note that it is a bit easier to understand the definition of  $J_{\delta}^{b[\mu]}$  by looking at the contrapositive — a subset A of  $C_{\delta}$  is "large", i.e., not in  $J_{\delta}^{b[\mu]}$ , if and only if  $A \cap \operatorname{nacc}(C_{\delta})$  is cofinal in  $\delta$ , and the cofinalities of members of any end segment of  $A \cap \operatorname{nacc}(C_{\delta})$  are unbounded below  $\mu$ .

Claim 2.1. Let  $\lambda = \mu^+$ , where  $\mu$  is a singular cardinal of cofinality  $\kappa < \mu$ . Let  $S \subseteq \lambda$  be stationary, and assume that  $\sup\{cf(\delta) : \delta \in S\} = \mu^* < \mu$ . Let  $\overline{C}$  be an *S*-club system, and for each  $\delta \in S$ , let  $J_{\delta}$  be the ideal  $J_{\delta}^{b[\mu]}$ . Let  $\langle \kappa_i : i < \kappa \rangle$  be a non-decreasing sequence of cardinals such that

$$\kappa^* = \sum_{i < \kappa} \kappa_i \le \mu, \tag{2.1}$$

and let  $\gamma^* < \mu$ .

Sh:535

Assume we are given a  $\lambda$ -club system  $\bar{e}$  and a sequence of ideals  $\bar{I} = \langle I_{\alpha} : \alpha < \lambda \rangle$  such that

(1)  $I_{\alpha}$  is an ideal on  $e_{\alpha}$  extending  $J_{e_{\alpha}}^{\text{bd}}$ (2) if  $\delta \in S$ , then for each  $i < \kappa$ ,

 $\{\alpha \in \operatorname{nacc}(C_{\delta}) : \text{Player I wins } \operatorname{Gm}_{L_{\alpha}}^{\omega}[e_{\alpha}, \kappa_{i}, \gamma^{*}]\} = \operatorname{nacc}(C_{\delta}) \mod J_{\delta}$ 

(3) for any club  $E \subseteq \lambda$ , for stationarily many  $\delta \in S$ ,

$$\{\alpha \in \operatorname{nacc}(C_{\delta}) : B_0[E, e_{\alpha}] \notin I_{\alpha}\} \notin J_{\delta}$$

where

$$B_0[E, e_{\alpha}^*] = \{\beta \in \operatorname{nacc}(e_{\alpha}) : E \text{ meets the interval } (\sup(\beta \cap e_{\alpha}), \beta)\}$$

Then there is a function  $h : \lambda \to (\kappa + 1)$  and a sequence

$$\overline{F} = \langle F_{\delta} : \delta < \lambda, \ \delta \text{ a limit } \rangle$$

such that

$$\circledast_1 F_{\delta} : [e_{\delta}]^{<\omega} \longrightarrow \kappa_{h(\delta)} (\text{where } \kappa^* := \kappa_{\kappa})$$

and

 $\circledast_2$  for every club  $E \subseteq \lambda$ , for each  $i < \kappa$  there are stationarily many  $\delta \in S$  such that the set of  $\beta \in \text{nacc}(C_{\delta})$  satisfying the following

•  $h(\beta) \ge i$ •  $B_0[E, e_\beta] \notin I_\beta$ • for all  $\gamma < \beta, \kappa_{h(\beta)} \subseteq \operatorname{ran}(F_\beta \upharpoonright [B_0[E, e_\beta] \setminus \gamma]^{<\omega})$ is *not* in  $J_\delta$ .

Now admittedly the previous claim is quite a lot to digest, so we will take a little time to illuminate the basic situation we have in mind.

Claim 2.2. The assumptions of Claim 2.1 are satisfied if

(1) 
$$\lambda = \mu^+$$
 where  $\kappa = cf(\mu) < \mu$   
(2)  $S \subseteq \{\delta < \lambda : cf(\delta) = \kappa\}$   
(3)  $\delta \in S \rightarrow |\delta| = \mu$  (i.e.,  $S \subseteq \lambda \setminus \mu$ )  
(4)  $\bar{C}$  is an *S*-club system  
(5)  $\bar{J} = \langle J_{\delta} : \delta \in S \rangle$  where  $J_{\delta} = J_{C_{\delta}}^{b[\mu]}$   
(6)  $id_p(\bar{C}, \bar{J})$  is a proper ideal  
(7)  $\langle \kappa_i : i < \kappa \rangle$  is a non-decreasing sequence of cardinals with supremum  
 $\kappa^* \leq \mu$   
(8)  $\chi^* < \mu$  and for each  $i < \kappa$ . Player Lyins the game  $Gm^{\omega}[\theta, \kappa; \chi^*]$  for all

- (8)  $\gamma^* < \mu$ , and for each  $i < \kappa$ , Player I wins the game  $\operatorname{Gm}^{\omega}[\theta, \kappa_i, \gamma^*]$  for all large enough regular  $\theta < \mu$
- (9)  $\bar{e}$  is a  $\lambda$ -club system such that  $|e_{\beta}| < \mu$

(10) for 
$$\alpha < \lambda$$
,  $I_{\alpha} = J_{e_{\alpha}}^{\text{bd}}$ 

.

*Proof of Claim 2.2.* We need only check items (2) and (3) in the statement of Claim 2.1 — everything else is trivially satisfied. Concerning (2), given  $\delta \in S$  and  $i < \kappa$ , we need to show

 $\{\alpha \in \operatorname{nacc}(C_{\delta}) : \operatorname{Player I} \text{ wins } \operatorname{Gm}^{\omega}[e_{\alpha}, \kappa_{i}, \gamma^{*}]\} = \operatorname{nacc}(C_{\delta}) \mod J_{\delta}.$ 

Let *A* consist of those  $\alpha \in \operatorname{nacc}(C_{\delta})$  for which Player I does not win the game  $\operatorname{Gm}^{\omega}[e_{\alpha}, \kappa_{i}, \gamma^{*}]$ . By our assumptions, there is a  $\theta < \mu$  such that  $|e_{\alpha}| < \theta$  for all  $\alpha \in A$ , and therefore *A* is in the ideal  $J_{C_{\delta}}^{b[\mu]} = J_{\delta}$  and we have what we need.

Concerning (3), given  $E \subseteq \lambda$  club, we must find stationarily many  $\delta \in S$  such that

$$\{\alpha \in \operatorname{nacc}(C_{\delta}) : B_0[E, e_{\alpha}] \notin I_{\alpha}\} \notin J_{\delta}.$$

Let  $E' = \{\xi \in E : \operatorname{otp}(E \cap \xi) = \xi \text{ and } \mu \text{ divides } \xi\}$ . Clearly E' is a closed unbounded subset of E, and since  $\operatorname{id}_p(\overline{C}, \overline{J})$  is a proper ideal, the set

$$S^* := \{ \delta \in S \cap E' : E' \cap \operatorname{nacc}(C_{\delta}) \notin J_{\delta} \}$$

is stationary.

Fix  $\delta \in S^*$ , and suppose we are given  $\theta < \mu$  and  $\xi < \delta$ . Since  $E' \cap \operatorname{nacc}(C_{\delta}) \notin J_{\delta}$ , we can find  $\alpha \in E' \cap \operatorname{nacc}(C_{\delta})$  such that  $\alpha > \max\{\xi, \mu\}$  and  $\operatorname{cf}(\alpha) > \theta$ . Since the order-type of  $E \cap \alpha$  is  $\alpha \ge \mu > |e_{\alpha}|$ , we know that  $B_0[E, e_{\alpha}]$  is unbounded in  $e_{\alpha}$  hence a member of  $I_{\alpha}$ . This shows that the set of such  $\alpha$  is in  $J_{\delta}^+$ , as required.  $\Box$ 

Now we return to the proof of Claim 2.1.

*Proof of Claim 2.1.* Let  $\sigma = cf(\sigma)$  be a regular cardinal  $< \mu$  that is greater than  $\mu^*$  and  $\gamma^*$ . For each limit  $\beta < \lambda$ , if there is an  $i \le \kappa$  such that Player I wins the version of  $\operatorname{Gm}_{I_{\beta}}^{\omega}[e_{\beta}, \kappa_{i}, \sigma^{+}]$  where we allow Player II to pass, then we let  $h(\beta)$  be the maximal such i — note that i exists by (5) of Claim 1.3 — and let  $\operatorname{Str}_{\beta}$  be a strategy that witnesses this.

Note that since  $\gamma^* < \sigma^+$  and  $J_{\delta} = J_{\delta}^{b[\mu]}$  for  $\delta \in S$ , we have that for  $\delta \in S$  and  $i < \kappa$  that

 $\{\beta \in \operatorname{nacc}(C_{\delta}) : \operatorname{Str}_{\beta} \text{ is defined and } i \leq h(\beta)\} = \operatorname{nacc}(C_{\delta}) \mod J_{\delta}.$ 

We will make  $\sigma^+$  attempts to build  $\overline{F}$  witnessing the conclusion. In stage  $\zeta < \sigma^+$ , we assume that our prior work has furnished us with a decreasing sequence  $\langle E_{\xi} : \xi < \zeta \rangle$  of clubs in  $\lambda$ , and, for each  $\beta < \lambda$  where  $\operatorname{Str}_{\beta}$  is defined, an initial segment  $\langle F_{\beta}^{\xi}, A_{\beta}^{\xi} : \xi < \zeta \rangle$  of a play of  $\operatorname{Gm}_{I_{\beta}}^{\omega}[e_{\beta}, \kappa_{h(\beta)}^{*}, \sigma^{+}]$  in which Player I uses  $\operatorname{Str}_{\beta}$ . (Note that our convention is that if Player II chooses to pass at a stage, we let  $A_{\beta}^{\xi}$  be undefined.)

For each such  $\beta$ , let  $F_{\beta}^{\zeta} : [e_{\beta}]^{<\omega} \to \kappa_{h(\beta)}$  be given by  $\operatorname{Str}_{\beta}$ , and for those  $\beta$  for which  $\operatorname{Str}_{\beta}$  is undefined, we let  $F_{\zeta}^{\beta}$  be any such function. Now if  $\langle F_{\beta}^{\zeta} : \beta < \lambda \rangle :=$ 

Sh:535

 $\overline{F}^{\zeta}$  is as required then we are done. Otherwise, there is a club  $E' \subseteq \lambda$  and  $i_{\zeta} < \kappa$  exemplifying the failure of  $\overline{F}^{\zeta}$ , and without loss of generality,

$$(\forall \delta \in S) \left[ B_{i_{\zeta}} \left[ E_{\zeta}', C_{\delta}, \bar{I}, \bar{e}, \bar{F}^{\zeta} \right] \right] \in J_{\delta}.$$

$$(2.2)$$

Now let  $E_{\zeta} = \operatorname{acc}(E'_{\zeta} \cap \bigcap_{\xi < \zeta} E_{\xi})$ . For each  $\beta$  where  $\operatorname{Str}_{\beta}$  is defined, we let Player II respond to  $F_{\beta}^{\zeta}$  by playing the set  $B_0[E_{\zeta}, e_{\beta}]$  if it is a legal move, otherwise we let him pass. We then proceed to stage  $\zeta + 1$ .

Assuming that this construction continues for all  $\sigma^+$  stages, we will arrive at a contradiction. Let  $E = \bigcap_{\zeta < \sigma^+} E_{\zeta}$ . By assumption (3) there is a  $\delta(*) \in S$  for which

$$A_1 := \{\beta \in \operatorname{nacc}(C_{\delta(*)}) : B_0[E, e_\beta] \notin I_\beta\} \notin J_{\delta(*)}.$$

By assumption (2), we have

$$A_2 := \{\beta \in A_1 : \operatorname{Str}_\beta \text{ is defined }\} \notin J_{\delta(*)}.$$

For  $\beta \in A_2$ , look at the play  $\langle F_{\beta}^{\zeta}, A_{\beta}^{\zeta} : \zeta < \sigma^+ \rangle$ . Since Player I wins, there is a  $\zeta_{\beta} < \sigma^+$  such that Player II passed at stage  $\zeta$  for all  $\zeta \ge \zeta_{\beta}$ . Since  $\sigma > \mu^*$  and  $J_{\delta(*)}$  is  $\mu^*$ -based, for some  $\zeta^* < \sigma^+$ ,

 $A_3 = \{\beta \in A_1 : \operatorname{Str}_{\beta} \text{ is defined and } \zeta_{\beta} \leq \zeta^*\} \notin J_{\delta(*)}.$ 

Now  $E_{\zeta^*}$  was defined so that for some  $i_{\zeta^*}$ , for all  $\delta \in S$ ,

$$B_{i_{r^*}}[E_{\zeta^*}, C_{\delta}, \bar{I}, \bar{e}, \bar{F}^{\zeta^*}] \in J_{\delta},$$

$$(2.3)$$

but (again by assumption (2))

$$A_4 = \{\beta \in A_1 : \operatorname{Str}_{\beta} \text{ is defined}, \zeta_{\beta} \leq \zeta^*, \text{ and } i_{\zeta^*} \leq h(\beta)\} \notin J_{\delta(*)}.$$

For  $\beta \in A_4$ , we know that at stage  $\zeta^*$  of our play of  $\operatorname{Gm}_{I_\beta}^{\omega}[e_{\beta}, \kappa_{h(\beta)}, \sigma^+]$  the set  $B_0[E_{\zeta^*}, e_{\beta}]$  was not a legal move. Since our sequence of clubs is decreasing, we know that  $B_0[E_{\zeta^*}, e_{\beta}]$  is a subset of  $B_0[E_{\xi}, e_{\beta}]$  for all  $\xi < \zeta^*$ ], so we have

$$B_0[E_{\zeta^*}, e_\beta] \subseteq \bigcap_{\xi < \zeta^*} A_\beta^{\xi}$$

Since  $\beta \in A_1$ , we know that  $B_0[E_{\zeta^*}, e_\beta] \notin I_\beta$ . Thus the reason for  $B_0[E_{\zeta^*}, e_\beta]$  being an illegal move must be that for all  $\gamma < \beta$ ,

$$\kappa_{h(\beta)}^* \subseteq \operatorname{ran}(F_{\beta}^{\zeta^*} | [B_0[E_{\zeta^*}, e_{\beta}] \setminus \gamma]^{<\omega}).$$

All of these facts combine to tells us that  $\beta \in B_{i_{\zeta^*}}[E_{\zeta^*}, C_{\delta}, \overline{I}, \overline{e}, \overline{F}^{\zeta^*}]$ , and thus

$$A_4 \subseteq B_{i_{\zeta^*}}[E_{\zeta^*}, C_{\delta}, \bar{I}, \bar{e}^*, \bar{F}^{\zeta^*}] \notin J_{\delta(*)},$$

contradicting (2.3).

The proofs in this section (and the next) can be considerably simplified if we are willing to restrict ourselves to the case  $\kappa^* < \mu$ , as we can dispense with the sequence  $\langle \kappa_i : i < \kappa \rangle$ .

# 3. Building the Coloring

604

We now come to the main point of this paper; we dedicate this section and the next to proving the following theorem.

**Theorem 1.** Assume  $\lambda = \mu^+$ , where  $\mu$  is a singular cardinal of uncountable cofinality, say  $\aleph_0 < \kappa = cf(\mu) < \mu$ . Assume  $\langle \kappa_i : i < \kappa \rangle$  is non–decreasing with supremum  $\kappa^* \leq \mu$ , and there is a  $\gamma^* < \mu$  such that for each *i*, for every large enough regular  $\theta < \mu$ , Player I has a winning strategy in the game  $Gm^{\omega}[\theta, \kappa_i, \gamma^*]$ . Then  $Pr_1(\lambda, \lambda, \kappa^*, \kappa)$  holds.

Let  $\langle S_i : i < \kappa \rangle$  be a sequence of pairwise disjoint stationary subsets of  $\{\delta < \lambda : cf(\delta) = \kappa\}$ . For  $i < \kappa$ , let  $\overline{C}^i$  be an  $S_i$ -club system such that

- $\lambda \notin \mathrm{id}_p(\bar{C}^i, \bar{J}^i)$ , where  $\bar{J}^i = \langle J_{C_s^i}^{b[\mu]} : \delta \in S_i \rangle$
- for  $\delta \in S_i$ ,  $\operatorname{otp}(C^i_{\delta}) = \operatorname{cf}(\delta) = \kappa = \operatorname{cf}(\mu)$

Such ladder systems can be found by Claim 2.6 (and Remark 2.6A (6)) of [2] — for the second statement to hold, we need that  $\mu$  has uncountable cofinality.

*Claim 3.1.* There is a  $\lambda$ -club system  $\bar{e}$  such that  $|e_{\beta}| \leq cf(\beta) + cf(\mu)$ , and  $\bar{e}$  "swallows" each  $\bar{C}^i$ , i.e., if  $\delta \in S_i \cap (e_{\beta} \cup \{\beta\})$ , then  $C^i_{\delta} \subseteq e_{\beta}$ .

*Proof.* Let  $S = \bigcup_{i < \kappa} S_i$ , and let  $\beta < \lambda$  be a limit ordinal. Let  $e_{\beta}^0$  be a closed cofinal subset of  $\beta$  of order-type  $cf(\beta)$ . We will construct the required ladder  $e_{\beta}$  in  $\omega$ -stages, with  $e_{\beta}^n$  denoting the result of the first *n* stages of our procedure. The construction is straightforward, but it is worthwhile to note that we need to use the fact that each member of *S* has uncountable cofinality.

Given  $e_{\beta}^{n}$ , let us define

$$B_n = S \cap (e^n_\beta \cup \{\beta\}). \tag{3.1}$$

Now we let  $e_{\beta}^{n+1}$  be the closure in  $\beta$  of

$$e^n_{\beta} \cup \bigcup \{ C_{\delta} : \delta \in B_n \}.$$
(3.2)

Note that  $|e^{n+1}| \leq cf(\mu) + cf(\beta)$  as  $|C_{\delta}| = cf(\mu) = \kappa$  for each  $\delta \in S$ . Finally, we let  $e_{\beta}$  be the closure of  $\bigcup_{n < \omega} e_{\beta}^{n}$  in  $\beta$ .

Clearly  $|e_{\beta}| \leq cf(\mu) + cf(\beta)$ . Also, since each element of *S* has uncountable cofinality, if  $\delta \in S \cap e_{\beta}$ , then there is an *n* such that  $\delta \in e_{\beta}^{n}$ , and therefore

$$C_{\delta} \subseteq e_{\beta}^{n+1} \subseteq e_{\beta}, \tag{3.3}$$

as required.

For each  $i < \kappa$ , there are  $h_i$  and  $\bar{F}^i = \langle F^i_{\delta} : \delta < \lambda, \delta \text{ limit } \rangle$  as in the conclusion of Claim 2.1 applied to  $\bar{C}^i$  and  $\bar{e}$ ; note that we satisfy the assumptions of Claim 2.1 by way of Claim 2.2.

Let  $\langle \lambda_i : i < \kappa \rangle$  be a strictly increasing sequence of regular cardinals  $> \kappa$  and cofinal in  $\mu$  such that

$$\lambda = \operatorname{tcf}\left(\prod_{i < \kappa} \lambda_i / J_{\kappa}^{\operatorname{bd}}\right),\tag{3.4}$$

and let  $\langle f_{\alpha} : \alpha < \lambda \rangle$  exemplify this. Finally, let  $h_0^* : \kappa \to \omega$  and  $h_1^* : \kappa \to \kappa$  be such that

$$(\forall n)(\forall i < \kappa)(\exists^{\kappa} j < \kappa)[h_0^*(j) = n \text{ and } h_1^*(j) = i].$$
 (3.5)

Before we can define our coloring, we must recall some of the terminology of [2].

**Definition 3.2.** Let  $0 < \alpha < \beta < \lambda$ , and define

$$\gamma(\alpha, \beta) = \min\{\gamma \in e_{\beta} : \gamma \ge \alpha\}.$$

We also define (by induction on  $\ell$ )

$$\gamma_0(\alpha, \beta) = \beta,$$
  
$$\gamma_{\ell+1}(\alpha, \beta) = \gamma(\alpha, \gamma_{\ell}(\alpha, \beta)) \text{ (if defined).}$$

We let  $k(\alpha, \beta)$  be the first  $\ell$  for which  $\gamma_{\ell}(\alpha, \beta) = \alpha$ . The sequence  $\langle \gamma_i(\alpha, \beta) : i \leq k(\alpha, \beta) \rangle$  will be referred to as the *walk from*  $\beta$  *to*  $\alpha$  *along the ladder system*  $\bar{e}$ .

We now define the coloring *c* that will witness  $Pr_1(\lambda, \lambda, \kappa^*, \kappa)$ . Recall that *c* must be a symmetric two–place function from  $\lambda$  to  $\kappa^*$ .

Given  $\alpha < \beta$ , we let  $i = i(\alpha, \beta)$  be the maximal  $j < \kappa$  such that  $f_{\beta}(j) < f_{\alpha}(j)$  (if such an *j* exists). Next, we walk from  $\beta$  down to  $\alpha$  along  $\bar{e}$  until we reach an ordinal  $\nu(\alpha, \beta)$  such that

$$f_{\alpha}(i) < f_{\nu(\alpha,\beta)}(i),$$

(again, if such an ordinal exists.) After this, we walk along  $\bar{e}$  from  $\alpha$  toward the ordinal max( $\alpha \cap e_{\nu(\alpha,\beta)}$ ) until we reach an ordinal  $\eta(\alpha,\beta)$  for which

$$f_{\nu(\alpha,\beta)}(i) < f_{\eta(\alpha,\beta)}(i).$$

The idea now is to look at how the ladders  $e_{\nu(\alpha,\beta)}$  and  $e_{\eta(\alpha,\beta)}$  intertwine. Let us make a temporary definition by calling an ordinal  $\xi \in e_{\nu(\alpha,\beta)}$  relevant if  $e_{\eta(\alpha,\beta)}$ meets the interval (sup( $\xi \cap e_{\nu(\alpha,\beta)}$ ),  $\xi$ ).

If it makes sense, we let  $w(\alpha, \beta) \subseteq e_{\nu(\alpha,\beta)}$  be the last  $h_0^*(i(\alpha, \beta))$  relevant ordinals in  $e_{\nu(\alpha,\beta)}$  (so we need that the relevant ordinals have order–type  $\gamma + h_0^*(i(\alpha, \beta))$  for some  $\gamma$ ).

Finally, we define our coloring by

$$c(\alpha,\beta) = F_{\nu(\alpha,\beta)}^{h_1^*(i(\alpha,\beta))}(w(\alpha,\beta)).$$
(3.6)

If the attempt to define  $c(\alpha, \beta)$  breaks down at some point for some specific  $\alpha < \beta$ , then we set  $c(\alpha, \beta) = 0$ .

We now prove that this coloring works, so suppose  $\langle t_{\alpha} : \alpha < \lambda \rangle$  are pairwise disjoint subsets of  $\lambda$  such that  $|t_{\alpha}| = \theta_1 < \kappa$  and  $j^* < \kappa^*$ , and without loss of generality  $\alpha < \min t_{\alpha}$  and  $\theta_1 \ge \omega$ . We need to find  $\delta_0$  and  $\delta_1$  such that

$$\alpha \in t_{\delta_0} \text{ and } \beta \in t_{\delta_1} \Rightarrow \alpha < \beta \text{ and } c(\alpha, \beta) = j^*.$$
 (3.7)

Let  $j_1$  be the least j such that  $j^* < \kappa_j$ , and let  $S, \bar{C}$ , and  $\bar{F}$  denote  $S_{j_1}, \bar{C}^{j_1}$ , and  $\bar{F}^{j_1}$  respectively.

Given  $\delta < \lambda$ , we define the *envelope of*  $t_{\delta}$  (denoted  $env(t_{\delta})$ ) by the formula

$$\operatorname{env}(t_{\delta}) = \bigcup_{\zeta \in t_{\delta}} \{ \gamma_{\ell}(\delta, \zeta) : \ell \le k(\delta, \zeta) \}.$$
(3.8)

The envelope of  $t_{\delta}$  is the set of all ordinals obtained by walking down to  $\delta$  from some  $\zeta \in t_{\delta}$  using the ladder system  $\bar{e}$ . This makes sense as we have arranged that  $\delta < \min t_{\delta}$ . Note also that  $|\operatorname{env}(t_{\delta})| \leq |t_{\delta}| = \theta_1$ .

Next we define functions  $g_{\lambda}^{\min}$  and  $g_{\lambda}^{\max}$  in  $\prod_{i < \kappa} \lambda_i$  by

$$g_{\delta}^{\min}(i) = \min\{f_{\gamma}(i) : \gamma \in \operatorname{env}(t_{\delta})\},\tag{3.9}$$

and

$$g_{\delta}^{\max}(i) = \sup\{f_{\gamma}(i) + 1 : \gamma \in \operatorname{env}(t_{\delta})\}.$$
(3.10)

Note that  $g_{\lambda}^{\max}$  is well–defined as we assume that  $\kappa < \min\{\lambda_i : i < \kappa\}$ .

The following claim is quite easy, and the proof is left to the reader.

## Claim 3.3.

- (1)  $f_{\delta} =_{J_{\mu}^{\text{bd}}} g_{\delta}^{\min}$
- (2)  $g_{\delta}^{\min}(i) \leq g_{\delta}^{\max}(i)$  for all  $i < \kappa$ (3) There is a  $\delta' > \delta$  such that  $g_{\delta}^{\max} \leq_{J_{\kappa}^{\operatorname{bd}}} g_{\delta'}^{\min}$ .

Now let  $\chi^* = (2^{\lambda})^+$ , and let  $\langle M_{\alpha} : \alpha < \lambda \rangle$  be a sequence of elementary submodels of  $\langle H(\chi^*), \in, <^*_{\chi^*} \rangle$  that is increasing and continuous in  $\alpha$  and such that each  $M_{\alpha} \cap \lambda$  is an ordinal,  $\langle M_{\beta} : \beta \leq \alpha \rangle \in M_{\alpha+1}$ , and  $\langle f_{\alpha} : \alpha < \lambda \rangle, g, c, \bar{e}, S, \bar{C}$ ,  $\langle t_{\alpha} : \alpha < \lambda \rangle$  all belong to  $M_0$ . Note that  $\mu + 1 \subseteq M_0$ .

The set  $E = \{\alpha < \lambda : M_{\alpha} \cap \lambda = \alpha\}$  is closed unbounded in  $\lambda$ , and furthermore,

$$\alpha < \delta \in E \Rightarrow \sup t_{\alpha} < \delta. \tag{3.11}$$

By the choice of  $\overline{C}$  and  $\overline{F}$ , for some  $\delta \in S \cap E$  we have the set

$$A = \{\beta \in \operatorname{nacc}(C_{\delta}) : (\forall \gamma < \beta) \operatorname{ran}(F_{\beta} \upharpoonright [B_0[E, e_{\beta}] \setminus \gamma]^{<\omega}) \supseteq \kappa_{j_1}\}$$
(3.12)

is not in  $J_{C_{\delta}}^{b[\mu]}$ .

Note that  $A \subseteq \operatorname{acc}(E)$ , as  $B_0[E, e_\beta]$  is unbounded in  $\beta$  for  $\beta \in A$ . For  $\beta \in t_\delta$ , if  $\ell < k(\delta, \beta)$  then  $e_{\gamma_{\ell}(\delta, \beta)} \cap \delta$  is bounded in  $\delta$ , and since it is closed it has a well-defined maximum. Since  $|t_{\delta}| < \kappa = cf(\delta)$ , this means the ordinal

$$\gamma^{\otimes} := \sup\{\max[e_{\gamma_{\ell}(\delta,\beta)} \cap \delta] : \beta \in t_{\delta} \text{ and } \ell < k(\delta,\beta)\}$$

is strictly less than  $\delta$ .

For  $\beta \in t_{\delta}$ , let us define

$$A_{\beta} := \{ \beta' \in A : (\exists \ell \le k(\beta, \delta)) [\operatorname{cf}(\beta') \le |e_{\gamma_{\ell}(\delta, \beta)}|] \}.$$
(3.13)

Since the cardinality of each ladder in  $\bar{e}$  is less than  $\mu$ , each set  $A_{\beta}$  is an element of  $J_{C_{\delta}}^{b[\mu]}$ . The ideal  $J_{C_{\delta}}^{b[\mu]}$  is  $\kappa$ -complete, so the fact that  $|t_{\delta}| < \kappa$  and  $k(\beta, \delta)$  is finite for each  $\beta \in t_{\delta}$  together imply that

$$\bigcup_{\beta \in t_{\delta}} A_{\beta} \in J_{C_{\delta}}^{b[\mu]}.$$
(3.14)

By the definition of A and our choice of  $\delta$ , this means it is possible to choose  $\beta^* \in A \setminus (\gamma^{\otimes} + 1)$  that is not in any  $A_{\beta}$ , i.e.,

$$\beta \in t_{\delta} \text{ and } \ell < k(\delta, \beta) \Longrightarrow \mathrm{cf}(\beta^*) > |e_{\gamma_{\ell}(\delta, \beta)}|.$$
 (3.15)

Claim 3.4.

- (1) If  $\epsilon \in t_{\delta}$ , and  $\ell = k(\delta, \epsilon) 1$ , then  $\beta^* \in \operatorname{nacc}(e_{\gamma_{\ell}(\delta, \epsilon)})$ . (2) If  $\epsilon \in t_{\delta}$  and  $\gamma^{\otimes} < \gamma' \leq \beta^*$ , then
  - $\gamma_{\ell}(\delta, \epsilon) = \gamma_{\ell}(\gamma', \epsilon)$  for  $\ell < k(\delta, \epsilon)$ , and
  - $\gamma_{k(\delta,\epsilon)}(\gamma',\epsilon) = \beta^*$

*Proof.* For the first clause, note that  $\delta$  is an element of  $e_{\gamma_{\ell}(\delta,\epsilon)}$  and hence by our choice of  $\bar{e}$ ,  $C_{\delta} \subseteq e_{\gamma_{\ell}(\delta,\epsilon)}$ . Thus  $\beta^* \in e_{\gamma_{\ell}(\delta,\epsilon)}$ , and since  $cf(\beta^*) > |e_{\gamma_{\ell}(\delta,\epsilon)}|$ , we know that  $\beta^*$  cannot be an accumulation point of  $e_{\gamma_{\ell}(\delta,\epsilon)}$ .

The first part of the second statement follows because of the definition of  $\gamma^{\otimes}$ . As far as the second part of the second statement goes, it is best visualized as follows:

We walk down the ladder system  $\bar{e}$  from  $\epsilon$  to  $\gamma'$ , we eventually hit a ladder that contains  $\delta$  — this happens at stage  $k(\delta, \epsilon) - 1$ . Since  $C_{\delta}$  is a subset of this ladder, the next step in our walk from  $\epsilon$  to  $\gamma'$  must be down to  $\beta^*$  because  $\gamma^{\otimes} < \gamma' < \beta^*$ .

We can visualize the preceding claim in the following manner:  $\beta^*$  is chosen so that for all sufficiently large  $\gamma' < \beta^*$ , all the walks from some element of  $t_\delta$  to  $\gamma'$  are funnelled through  $\beta^* - \beta^*$  acts as a bottleneck. This will be key when want to prove that our coloring works.

Since  $\beta^* \in A$ , we can choose a finite increasing sequence  $\xi_0 < \xi_1 < \cdots < \xi_n$ of ordinals in  $\operatorname{acc}(E) \cap \operatorname{nacc}(e_{\beta^*}) \setminus (\gamma^{\otimes} + 1)$  such that  $F_{\beta^*}^{j_1}(\{\xi_0, \dots, \xi_n\}) = j^*$ , the color we are aiming for.

For each  $\ell \leq n$ , we can find  $\zeta_{\ell} \in E \setminus (\gamma^{\otimes} + 1)$  such that

$$\sup(e_{\beta^*} \cap \xi_\ell) < \zeta_\ell < \xi_\ell.$$

Now we let  $\phi(x_0, y_0, x_1, y_1, \dots, x_n, y_n, z_0, z_1)$  be the formula (with parameters  $\gamma^{\otimes}$ ,  $\bar{f}$ ,  $\langle \lambda_i : i < \kappa \rangle$ ,  $\bar{C}$ ,  $\bar{e}$ ,  $\langle t_{\alpha} : \alpha < \lambda \rangle$ , h,  $h_0$ ,  $j^*$ ) that describes our current situation with  $x_{\ell}$ ,  $y_{\ell}$  standing for  $\zeta_{\ell}$ ,  $\xi_{\ell}$ , and  $z_0$ ,  $z_1$  standing for  $\beta^*$ ,  $\delta$ , i.e.,  $\phi$  states

•  $\gamma^{\otimes} < x_0 < y_0 < \cdots < x_n < y_n < z_0 < z_1$  are ordinals  $< \lambda$ 

•  $z_1 \in S$  and  $z_0 \in \operatorname{nacc}(C_{z_1})$ 

- $\gamma^{\otimes} = \sup\{\max[e_{\gamma_{\ell}(z_1,\zeta)} \cap z_1] : \ell < k(z_1,\zeta) \text{ and } \zeta \in t_{z_1}\}$
- $z_0 \in \operatorname{nacc}(e_{\gamma_{k(z_1,\epsilon)}(z_1,\epsilon)})$  for all  $\epsilon \in t_{z_1}$
- $F_{z_0}^{j_1}(\{y_0, \dots, y_n\}) = j^*$

Now clearly we have

$$H(\chi) \models \phi[\zeta_0, \xi_0, \dots, \zeta_n, \xi_n, \beta^*, \delta].$$
(3.16)

Recall that all the parameters needed in  $\phi$  are in  $M_0$ , except possibly for  $\gamma^{\otimes}$ , so the model  $M_{\gamma^{\otimes}+1}$  contains all the parameters we need. Also,  $\{\zeta_0, \xi_0, \ldots, \zeta_n, \xi_n\} \in M_{\beta^*}, \beta^* \in M_{\delta} \setminus M_{\beta^*}$ , and since  $\delta \in \lambda \setminus M_{\delta}$ , we have (recalling that  $\exists^* z < \lambda$  means "for unboundedly many  $z < \lambda$ )

$$M_{\delta} \models (\exists^* z_1 < \lambda)\phi(\zeta_0, \xi_0, \dots, \zeta_n, \xi_n, \beta^*, z_1).$$

$$(3.17)$$

Therefore, this formula is true in  $H(\chi)$  because of elementarity. Similarly, we have

$$H(\chi) \models (\exists^* z_0 < \lambda)(\exists^* z_1 < \lambda)\phi(\zeta_0, \xi_0, \dots, \zeta_n, \xi_n, z_0, z_1).$$

Now each of the intervals  $[\gamma^{\otimes} + 1, \zeta_0), [\zeta_0, \xi_0), \ldots$ , contains a member of *E*, so (by the definition of *E*) similar considerations give us

$$H(\chi) \models (\exists^* x_0 < \lambda) \dots (\exists^* y_n < \lambda) (\exists^* z_0 < \lambda) (\exists^* z_1 < \lambda) \phi(x_0, y_0, \dots, z_0, z_1).$$

Now we can choose (in order)

$$\zeta_0^a < \zeta_0^b < \xi_0^a < \zeta_1^a < \xi_0^b < \zeta_1^b < \dots < \zeta_n^a < \xi_{n-1}^b < \zeta_n^b < \xi_n^a$$
(3.18)

such that

$$(\exists^* z_0 < \lambda)(\exists^* z_1 < \lambda)[\phi(\zeta_0^a, \dots, \xi_{n-1}^a, \zeta_n^a, \xi_n^a, z_0, z_1)],$$
(3.19)

and

$$(\exists^* y_n < \lambda)(\exists^* z_0 < \lambda)(\exists^* z_1 < \lambda)[\phi(\zeta_0^b, \dots, \xi_{n-1}^b, \zeta_n^b, y_n, z_0, z_1)],$$
(3.20)

Our goal is to show that for all sufficiently large  $i < \kappa$ , it is possible to choose objects  $\beta^a$ ,  $\delta^a$ ,  $\xi_n^b$ ,  $\beta^b$ , and  $\delta^b$  such that

## Table 1

$$(1) \zeta_{n}^{b} < \beta^{a} < \delta^{a} < \min(t_{\delta^{a}}) \le \max(t_{\delta^{a}}) < \xi_{n}^{b} < \beta^{b} < \delta^{b}$$

$$(2) \phi(\zeta_{0}^{a}, \dots, \xi_{n}^{a}, \beta^{a}, \delta^{a})$$

$$(3) \phi(\zeta_{0}^{b}, \dots, \xi_{n}^{b}, \beta^{b}, \delta^{b})$$

$$(4) \text{ for all } \epsilon \in \operatorname{env}(t_{\delta^{a}}), g_{\delta^{a}}^{\min} \upharpoonright [i, \kappa) \le f_{\epsilon} \upharpoonright [i, \kappa) \le g_{\delta^{a}}^{\max} \upharpoonright [i, \kappa)$$

$$(5) \text{ for all } \epsilon \in \operatorname{env}(t_{\delta^{b}}), g_{\delta^{b}}^{\min} \upharpoonright [i, \kappa) \le f_{\epsilon} \upharpoonright [i, \kappa) \le g_{\delta^{b}}^{\max} \upharpoonright [i, \kappa)$$

$$(6) g_{\delta^{b}}^{\max}(i) < g_{\delta^{a}}^{\min}(i) \le g_{\delta^{a}}^{\max}(i) < f_{\beta^{b}}(i) < f_{\beta^{a}}(i)$$

$$(7) g_{\delta^{a}}^{\max} \upharpoonright [i+1, \kappa) < g_{\delta^{b}}^{\min} \upharpoonright [i+1, \kappa)$$

*Claim 3.5.* If for all sufficiently large  $i < \kappa$  it is possible to find objects satisfying the requirements of Table 1, then we can find  $\delta^a < \delta^b$  such that  $c(\epsilon^a, \epsilon^b) = j^*$  for all  $\epsilon^a \in t_{\delta^a}$  and  $\epsilon^b \in t_{\delta^b}$ .

*Proof.* Let us choose  $i^* < \kappa$  such that

- suitable objects (as above) can be found, and
- $h_1^*(i^*) = j_1$  and  $h_0^*(i^*) = n$

Choose  $\epsilon^a \in t_{\delta^a}$  and  $\epsilon^b \in t_{\delta^b}$ ; we verify that  $c(\epsilon^a, \epsilon^b) = j^*$ .

Subclaim 1.  $i(\epsilon^a, \epsilon^b) = i^*$ .

*Proof.* Immediate by (4)–(7) in the table.

Subclaim 2. 
$$v(\epsilon^a, \epsilon^b) = \beta^b$$
.

*Proof.* Note that  $\gamma^{\otimes} < \epsilon^a < \beta^b$ . Clause (3) of the table implies that the assumptions of Claim 3.4 hold. Thus by Claim 3.4, for  $\ell < k(\delta^b, \epsilon^b)$  we have

$$\gamma_{\ell}(\epsilon^{a},\epsilon^{b})=\gamma_{\ell}(\delta^{b},\epsilon^{b}),$$

hence  $\gamma_{\ell}(\epsilon^a, \epsilon^b) \in \text{env}(t_{\delta^b})$  and (by (6) of the table and the definitions involved)

$$f_{\gamma_{\ell}(\epsilon^{a},\epsilon^{b})}(i^{*}) \leq g_{\delta^{b}}^{\max}(i^{*}) < g_{\delta^{a}}^{\min}(i^{*}) \leq f_{\epsilon^{a}}(i^{*}).$$
(3.21)

For  $\ell = k(\delta^b, \epsilon^b)$ , Claim 3.4 tells us

$$\gamma_{\ell}(\epsilon^a, \epsilon^b) = \beta^b$$

and we have arranged that

$$f_{\epsilon^a}(i^*) \le g_{\delta^a}^{\max}(i^*) < f_{\beta^b}(i^*).$$
 (3.22)

This establishes  $\beta^b = \nu(\epsilon^a, \epsilon^b)$ .

Subclaim 3.  $\eta(\epsilon^a, \epsilon^b) = \beta^a$ .

*Proof.* Let  $\alpha = \max(e_{\beta^b} \cap \epsilon^a)$ . We have arranged that

$$\zeta_n^b < \beta^a < \delta^a < \epsilon^a < \xi_n^b$$

and  $\gamma^{\otimes} < \max(e_{\beta^b} \cap \delta^a)$ , hence  $\gamma^{\otimes} < \alpha < \beta^a$ . For  $\ell < k(\delta^a, \epsilon^a)$ , Claim 3.4 implies

 $\gamma_{\ell}(\alpha, \epsilon^a) = \gamma_{\ell}(\delta^a, \epsilon^a) \in \operatorname{env}(t_{\delta^a}).$ 

By our choice of  $i^*$ , we have

$$f_{\gamma_{\ell}(\alpha,\epsilon^a)}(i^*) \le g_{\delta^a}^{\max}(i^*) < f_{\beta^b}(i^*).$$
(3.23)

For  $\ell = k(\delta^a, \epsilon^a)$ , Claim 3.4 implies  $\gamma_\ell(\alpha, \epsilon^a) = \beta^a$ , and we have ensured

$$f_{\beta^b}(i^*) < f_{\beta^a}(i^*).$$
 (3.24)

Thus  $\beta^a$  is the first ordinal  $\eta$  in the walk from  $\epsilon^a$  to  $\max(e_{\beta^b} \cap \epsilon^a)$  for which  $f_{\eta}(i^*) > f_{\beta^b}(i^*)$ , and therefore  $\eta(\epsilon^a, \epsilon^b) = \beta^a$ .

Subclaim 4.  $w(\epsilon^a, \epsilon^b) = \{\xi_0^b, \dots, \xi_n^b\}.$ 

*Proof.* Our previous subclaims imply that an ordinal  $\xi \in e_{\beta^b}$  is relevant if and only if the ladder  $e_{\beta^a}$  meets the interval  $(\sup(e_{\beta^b} \cap \xi), \xi)$ . Since  $h_0^*(i^*) = n + 1$ , we know that  $w(\epsilon^a, \epsilon^b)$  consists of the last n + 1 relevant ordinals in  $e_{\beta^b}$ .

For  $i \leq n$ , clearly  $\xi_i^b \in e_{\beta^b}$  and  $\sup(\xi_i^b \cap e_{\beta^b}) \leq \zeta_n^b$ . We have made sure that  $e_{\beta^a} \cap (\zeta_i^b, \xi_i^b) \neq \emptyset$  (for example,  $\xi_i^a$  is an element in this intersection) and so each  $\xi_i^b$  is relevant.

Since  $\beta^a < \xi_n^b$ , it is clear that there are no relevant ordinals larger than  $\xi_n^b$ . Given i < n, if  $\xi \in e_{\beta^b} \cap (\xi_i^b, \xi_{i+1}^b)$ , then

$$\xi_i^b \le \sup(\xi \cap e_{\beta^b}) \le \xi \le \zeta_{i+1}^b.$$

Since  $\zeta_{i+1}^a < \xi_i^b < \zeta_{i+1}^b < \xi_{i+1}^a$ , it follows that

$$[\sup(\xi \cap e_{\beta^b}), \xi) \subseteq [\zeta_{i+1}^a, \xi_{i+1}^a),$$

and so  $\xi$  is not relevant. Thus  $\{\xi_0^b, \dots, \xi_n^b\}$  are the last n + 1 relevant elements of  $e_{\beta^b}$ , as was required.

To finish the proof of Claim 3.5, we note that as  $h_1^*(i^*) = j^*$ , we have

$$c(\epsilon^{a},\epsilon^{b}) = F_{\beta^{b}}^{j_{1}}(\{\xi_{0}^{b},\ldots,\xi_{n}^{b}\}) = j^{*}.$$
(3.25)

## 4. Finding the required ordinals

The whole of this section will be occupied with showing that for all sufficiently large  $i < \kappa$ , it is possible to find objects satisfying the requirements of Table 1.

We begin with some notation intended to simplify the presentation.

- $\phi^a(z_0, z_1)$  abbreviates the formula  $\phi(\zeta_0^a, \dots, \xi_n^a, z_0, z_1)$
- $\phi^b(y_n, z_0, z_1)$  abbreviates the formula  $\phi(\zeta_0^b, \zeta_b^n, y_n, z_0, z_1)$
- For  $i < \kappa$ ,  $\psi(i, z_1)$  abbreviates the formula

$$(\forall \epsilon \in \operatorname{env}(t_{z_1}))[g_{z_1}^{\min} | [i, \kappa) \le f_{\epsilon} | [i, \kappa) \le g_{z_1}^{\max} | [i, \kappa)]$$

$$(4.1)$$

We have arranged things so that the sentence

$$(\exists^{*}z_{0}^{a} < \lambda)(\exists^{*}z_{1}^{a} < \lambda)(\exists^{*}y_{n}^{b} < \lambda) (\exists^{*}z_{0}^{b} < \lambda)(\exists^{*}z_{1}^{b} < \lambda)[\phi^{a}(z_{0}^{a}, z_{1}^{a}) \land \phi^{b}(y_{n}^{b}, z_{0}^{b}, z_{1}^{b})]$$
(4.2)

holds.

There are far too many alternations of quantifiers in the above formula for most people to deal with comfortably; the best way to view them is as a single quantifier that asserts the existence of a tree of 5–tuples with the property that every node of

Sh:535

### Coloring Theorems

the tree has  $\lambda$  successors, and every branch through the tree gives us five objects satisfying  $\phi^a \wedge \phi^b$ .

Let  $\Phi(i, z_0^a, \dots, z_1^b)$  abbreviate the formula

$$\begin{split} \phi^a(z_0^a, z_1^a) &\wedge \phi^b(y_n^b, z_0^b, z_1^b) \wedge \psi(i, z_1^a) \wedge \psi(i, z_1^b) \\ &\wedge \left(g_{z_1^a}^{\max} \upharpoonright [i+1, \kappa) < g_{z_1^b}^{\min} \upharpoonright [i+1, \kappa)\right). \end{split}$$

By pruning the tree so that every branch through it is a strictly increasing 5–tuple, we get

$$\begin{aligned} (\exists^* z_0^a < \lambda) (\exists^* z_1^a < \lambda) (\exists^* y_n^b < \lambda) \\ (\exists^* z_0^b < \lambda) (\exists^* z_1^b < \lambda) (\forall^* i < \kappa) [\Phi(i, z_0^a, \dots, z_1^b)]. \end{aligned}$$
(4.3)

We now make a rather *ad hoc* definition of another quantifier in an attempt to make the arguments that follow a little bit clearer. Given  $i < \kappa$ , let the quantifier  $\exists^{*,i} z_0^b < \lambda$  mean that not only are there unboundedly many  $z_0^b$ 's below  $\lambda$  satisfying whatever property, but also that for each  $\alpha < \lambda_i$ , we can find unboundedly many suitable  $z_0^b$ 's for which  $f_{z_0^b}(i)$  is greater than  $\alpha$ .

*Claim 4.1.* If we choose  $\beta^a < \delta^a < \xi_n^b$  such that

$$(\exists^{*}z_{0}^{b} < \lambda)(\exists^{*}z_{1}^{b} < \lambda)(\forall^{*}i < \kappa)[\Phi(i, \beta^{a}, \delta^{a}, \xi_{n}^{b}, z_{0}^{b}, z_{1}^{b})],$$
(4.4)

then

$$(\forall^* i < \kappa)(\exists^{*,i} z_0^b < \lambda)(\exists^* z_1^b < \lambda)[\Phi(i, \beta^a, \delta^a, \xi_n^b, z_0^b, z_1^b)].$$
(4.5)

*Proof.* Suppose that we have  $\beta^a < \delta^a < \xi_n^b$  such that (4.4) holds but (4.5) fails. Then there is an unbounded  $I \subseteq \kappa$  such that for each  $i \in I$ ,

$$\neg (\exists^{*,i} z_0^b < \lambda) (\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, \delta^a, \xi_n^b, z_0^b, z_1^b)].$$
(4.6)

In (4.4), we can move the quantifier " $\forall^* i < \kappa''$  past the quantifiers to its left, i.e.,

$$(\forall^* i < \kappa) (\exists^* z_0^b < \lambda) (\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, \delta^a, \xi_n^b, z_0^b, z_1^b)],$$
(4.7)

so without loss of generality, for all  $i \in I$ ,

$$(\exists^* z_0^b < \lambda) (\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, \delta^a, \xi_n^b, z_0^b, z_1^b)].$$
(4.8)

Since (4.6) holds for all  $i \in I$ , it must be the case that for each  $i \in I$ , there is a value  $g(i) < \lambda_i$  such that for all sufficiently large  $\beta < \lambda$ , if

$$(\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, \delta^a, \xi_n^b, \beta, z_1^b)],$$
(4.9)

then

$$f_{\beta}(i) \le g(i). \tag{4.10}$$

Since  $\{f_{\alpha} : \alpha < \lambda\}$  witnesses that the true cofinality of  $\prod_{i < \kappa} \lambda_i$  is  $\lambda$ , we know

$$(\forall^* x < \lambda)(\forall^* i \in I)[g(i) < f_x(i)]. \tag{4.11}$$

When we combine this with (4.4), we see that it is possible to choose  $\beta^b < \lambda$  such that

$$(\forall^* i \in I)[g(i) < f_{\beta^b}(i)], \tag{4.12}$$

and

$$(\exists^* z_1^b < \lambda)(\forall^* j < \kappa)[\Phi(j, \beta^a, \delta^a, \xi_n^b, \beta^b, z_1^b)].$$
(4.13)

(Note that we have quietly used the fact that  $|I| < \lambda = cf(\lambda)$  to get a  $\beta^b$  that is "large enough" so that (4.9) implies (4.10) for all  $i \in I$  for this particular  $\beta^b$ .) This last equation implies

$$(\forall^* j < \kappa)(\exists^* z_1^b < \lambda)[\Phi(j, \beta^a, \delta^a, \xi_n^b, \beta^b, z_1^b)],$$

so it is possible to choose  $i \in I$  large enough so that

$$g(i) < f_{\beta^b}(i)$$

and

$$(\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, \delta^a, \xi_n^b, \beta^b, z_1^b)]$$

This is a contradiction, as (4.9) holds for our choice of *i* and  $\beta = \beta^b$ , yet (4.10) fails.

Notice that an immediate corollary of the preceding claim is

$$\begin{aligned} (\exists^* z_0^a < \lambda)(\exists^* z_1^a < \lambda)(\exists^* y_n^b < \lambda)(\forall^* i < \kappa) \\ (\exists^{*,i} z_0^b < \lambda)(\exists^* z_1^b < \lambda)[\Phi(i, \beta^a, \delta^a, \xi_n^b, z_0^b, z_1^b)]. \end{aligned}$$
(4.14)

*Claim 4.2.* If  $\beta^a < \lambda$  is chosen so that

$$\begin{aligned} (\exists^* z_1^a < \lambda) (\exists^* y_n^b < \lambda) (\forall^* i < \kappa) \\ (\exists^{*,i} z_0^b < \lambda) (\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, z_1^a, y_n^b, z_0^b, z_1^b)], \end{aligned} \tag{4.15}$$

then

$$(\forall^* i < \kappa)(\exists v < \lambda_i)(\exists^* z_1^a < \lambda)[\psi' \land \psi'']$$

where

$$\psi' := g_{z_1^a}^{\max}(i) < v,$$

and

$$\begin{split} \psi'' &:= (\exists^* y^b_n < \lambda)(\exists^* z^b_0 < \lambda) \\ & \left[ v < f_{z^b_0}(i) \text{ and } (\exists^* z^b_1 < \lambda)[\Phi(i, \beta^a, z^a_1, y^b_n, z^b_0, z^b_1)] \right]. \end{split}$$

*Proof.* In (4.15), we can move the quantifier " $(\forall^* i < \kappa)$ " past the other quantifiers to its left, so

$$(\forall^* i < \kappa) (\exists^* z_1^a < \lambda) (\exists^* y_n^b < \lambda) (\exists^{*,i} z_0^b < \lambda) (\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, z_1^a, y_n^b, z_0^b, z_1^b)]$$
(4.16)

holds. The claim will be established if we show that for each  $i < \kappa$  for which

$$\begin{aligned} (\exists^* z_1^a < \lambda) (\exists^* y_n^b < \lambda) \\ (\exists^{*,i} z_0^b < \lambda) (\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, z_1^a, y_n^b, z_0^b, z_1^b)] \end{aligned} (4.17)$$

holds, it is possible to find  $v < \lambda_i$  such that

$$\begin{aligned} (\exists^{*}z_{1}^{a} < \lambda) \bigg| g_{z_{1}^{a}}^{\max}(i) < v \text{ and} \\ (\exists^{*}y_{n}^{b} < \lambda) (\exists^{*}z_{0}^{b} < \lambda) \bigg[ v < f_{z_{0}^{b}}(i) \text{ and } (\exists^{*}z_{1}^{b} < \lambda) [\Phi(i, \beta^{a}, z_{1}^{a}, y_{n}^{b}, z_{0}^{b}, z_{1}^{b})] \bigg] \bigg]. \\ (4.18) \end{aligned}$$

Despite the lengths of the formulas involved, this is not that hard to accomplish. Since  $\lambda_i < \lambda = cf(\lambda)$ , we can find  $v < \lambda_i$  such that

$$\begin{aligned} (\exists^* z_1^a < \lambda) \Big[ g_{z_1^a}^{\max}(i) < v \text{ and} \\ (\exists^* y_n^b < \lambda) (\exists^{*,i} z_0^b < \lambda) (\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, z_1^a, y_n^b, z_0^b, z_1^b)] \Big], \end{aligned}$$

and now the result follows from of the definition of " $\exists^{*,i} z_1^b < \lambda$ ".

Thus there are unboundedly many  $z_0^a < \lambda$  for which there is a function  $g \in \prod_{i < \kappa} \lambda_i$  such that for all sufficiently large  $i < \kappa$ ,

$$(\exists^{*}z_{1}^{a} < \lambda) \bigg[ g_{z_{1}^{a}}^{\max}(i) \leq g(i) \text{ and} \\ (\exists^{*}y_{n}^{b} < \lambda) (\exists^{*}z_{0}^{b} < \lambda) \big[ g(i) < f_{z_{0}^{b}}(i) \\ \text{and} \ (\exists^{*}z_{1}^{b} < \lambda) [\Phi(i, z_{0}^{a}, z_{1}^{a}, y_{n}^{b}, z_{0}^{b}, z_{1}^{b})] \bigg] \bigg].$$
(4.19)

Now this is logically equivalent to the statement

$$(\exists^{*}z_{1}^{a} < \lambda)(\exists^{*}y_{n}^{b} < \lambda)(\exists^{*}z_{0}^{b} < \lambda) \left[g_{z_{1}^{a}}^{\max}(i) \le g(i) < f_{z_{0}^{b}}(i) \text{ and } (\exists^{*}z_{1}^{b} < \lambda)[\Phi(i, z_{0}^{a}, z_{1}^{a}, y_{n}^{b}, z_{0}^{b}, z_{1}^{b})]\right].$$
(4.20)

Suppose we are given a particular  $z_0^a < \lambda$  for which a function g as above can be found, and let us fix  $i < \kappa$  "large enough" so that (4.19) holds. Also fix ordinals  $\delta^a < \lambda$  and  $\xi_n^b < \lambda$  that serve as suitable  $z_1^a$  and  $y_n^b$ . Just to be clear, this means that for these choices we have

$$(\exists^* z_0^b < \lambda) \Big[ g_{\delta^a}^{\max}(i) \le g(i) < f_{z_0^b}(i) \text{ and } (\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, \delta^a, \xi_n^b, z_0^b, z_1^b)] \Big].$$

Since  $\lambda_i < \lambda = cf(\lambda)$ , there must be some value w satisfying

$$(\exists^* z_0^b < \lambda) \left[ g(i) < f_{z_0^b}(i) < w \text{ and } (\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, \delta^a, \xi_n^b, z_0^b, z_1^b)] \right].$$

This implies for our particular  $\beta^a$ , g, i,  $\delta^a$ , and  $\xi^b_n$  that

$$(\forall^* w < \lambda_i) (\exists^* z_0^b < \lambda) [g_{\delta^a}^{\max}(i) \le g(i) < f_{z_1^b}(i) < w \text{ and} (\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, \delta^a, y_n^b, z_0^b, z_1^b)]].$$

$$(4.21)$$

Since  $\lambda_i < \lambda = cf(\lambda)$ , the quantifier  $(\forall^* w < \lambda_i)$  can move to the left past the quantifiers  $(\exists^* z_1^a < \lambda)(\exists^* y_n^b < \lambda)$ . This tells us that for our  $\beta^a$  and g,

$$(\forall^* i < \kappa) (\forall^* w < \lambda_i) (\exists^* z_1^a < \lambda) (\exists^* y_n^b < \lambda) (\exists^* z_0^b < \lambda) [g_{z_1^a}^{\max}(i) \le g(i) < f_{z_0^b}(i) < w \text{ and} (\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, z_1^a, y_n^b, z_0^b, z_1^b)]].$$

$$(4.22)$$

When we put all this together, we end up with the statement

$$\begin{aligned} (\exists^{*}z_{0}^{a} < \lambda)(\forall^{*}i < \kappa)(\exists v < \lambda_{i})(\forall^{*}w < \lambda_{i})(\exists^{*}z_{1}^{a} < \lambda) \\ (\exists^{*}y_{n}^{b} < \lambda)(\exists^{*}z_{0}^{b} < \lambda)\Big[g_{z_{1}^{a}}^{\max}(i) \le v < f_{z_{0}^{b}}(i) < w \\ & \text{and} \ (\exists^{*}z_{1}^{b} < \lambda)[\Phi(i, \beta^{a}, z_{1}^{a}, y_{n}^{b}, z_{0}^{b}, z_{1}^{b})]\Big]. \end{aligned}$$
(4.23)

Since both  $\kappa$  and  $\lambda_i$  are less than  $\lambda = cf(\lambda)$ , we can move some quantifiers around and achieve

$$\begin{aligned} (\forall^* i < \kappa) (\forall^* w < \lambda_i) (\exists^* z_0^a < \lambda) (\exists v < \lambda_i) (\exists^* z_1^a < \lambda) \\ (\exists^* y_n^b < \lambda) (\exists^* z_0^b < \lambda) [g_{z_1^a}^{\max}(i) \le v < f_{z_0^b}(i) < w \\ \text{and } (\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, z_1^a, y_n^b, z_0^b, z_1^b)]]. \end{aligned}$$

$$(4.24)$$

Thus there is a function  $h \in \prod_{i < \kappa} \lambda_i$  such that

$$\begin{aligned} (\forall^* i < \kappa) (\exists^* z_0^a < \lambda) (\exists v < \lambda_i) (\exists^* z_1^a < \lambda) \\ (\exists^* y_n^b < \lambda) (\exists^* z_0^b < \lambda) [g_{z_1^a}^{\max}(i) \le v < f_{z_0^b}(i) < h(i) \\ \text{and} \ (\exists^* z_1^b < \lambda) [\Phi(i, \beta^a, z_1^a, y_n^b, z_0^b, z_1^b)]]. \end{aligned}$$

$$(4.25)$$

After all this work, it is finally time to prove that we can select objects  $\beta^a < \delta^a < \xi_n^b < \beta^b < \delta^b$  that satisfy all of our requirements.

Clearly, for every unbounded  $\Lambda \subseteq \lambda$ ,

$$(\exists i < \kappa)(\exists^* x \in \Lambda)(h \upharpoonright [i, \kappa) < f_x \upharpoonright [i, \kappa).$$

Thus we can choose  $i^* < \kappa$  such that  $h_1^*(i^*) = j_1$  and  $h_0^*(i^*) = n$ , and

$$(\exists^* z_0^a < \lambda) \bigg[ h \upharpoonright [i^*, \kappa) < f^{z_0^a} \upharpoonright [i^*, \kappa) \text{ and } (\exists v < \lambda_i) (\exists^* z_1^a < \lambda) (\exists^* y_n^b < \lambda) (\exists^* z_0^b < \lambda) \big[ g_{z_1^a}^{\max}(i^*) \le v < f_{z_0^b}(i^*) < h(i^*) \text{ and} (\exists^* z_1^b < \lambda) [\Phi(i^*, z_0^a, \dots, z_1^b)] \bigg] \bigg].$$

So now we choose  $\beta^a$  such that  $h(i^*) < f_{\beta^a}(i^*)$  and for some  $\alpha < \lambda_{i^*}$ ,

$$\begin{aligned} (\exists^* z_1^a < \lambda) (\exists^* y_n^b < \lambda) (\exists^* z_0^b < \lambda) \Big[ g_{z_1^a}^{\max}(i^*) \le \alpha < f_{z_0^b}(i^*) < h(i^*) \text{ and} \\ (\exists^* z_1^b < \lambda) [\Phi(i^*, z_0^a, \dots, z_1^b)] \Big]. \end{aligned}$$

Now we choose  $\delta^a$ ,  $\xi^b_n$ ,  $\beta^b$ , and  $\delta^b$  such that

•  $\beta^a < \delta^a < \xi_n^b < \beta^b$ •  $g_{\delta^a}^{\max}(i^*) \le \alpha < f_{\beta^b}(i^*) < h(i^*) < f_{\beta^a}(i^*)$ •  $\Phi(i^*, \beta^a, \delta^a, \xi_n^b, \beta^b, \delta^b)$ 

It is straightforward to check that these objects satisfy all the requirements listed in Table 1, so by Claim 3.5, we are done.

# 5. Conclusions

In this final section, we will deduce some conclusions in a few concrete cases.

**Theorem 2.** If  $\mu$  is a singular cardinal of uncountable cofinality that is not a limit of regular Jonsson cardinals, then  $Pr_1(\mu^+, \mu^+, \mu^+, cf(\mu))$  holds.

*Proof.* The proof of this theorem occurs in two stages—we first show that  $Pr_1(\mu^+, \mu^+, \mu, cf(\mu))$  holds, and then we show that this result can be upgraded to obtain  $Pr_1(\mu^+, \mu^+, \mu^+, cf(\mu))$ .

Let  $\mu$  be as hypothesized, and let us define  $\lambda = \mu^+$  and  $\kappa = cf(\mu)$ .

*Claim 5.1.*  $Pr_1(\lambda, \lambda, \mu, \kappa)$  holds.

*Proof.* Let  $\langle \kappa_i : i < \kappa \rangle$  be a strictly increasing continuous sequence cofinal in  $\mu$ . Let  $S \subseteq \{\delta \in [\mu, \lambda) : cf(\delta) = \kappa\}$  be stationary. Standard club–guessing results tell us that there is an *S*–club system  $\bar{C}$  such that  $id_p(\bar{C}, \bar{J})$  is a proper ideal, where  $J_{\delta}$ is the ideal  $J_{C_{\delta}}^{b[\mu]}$  for  $\delta \in S$ , and furthermore, satisfying  $|C_{\delta}| = \kappa$ . (Note that this last requires that  $\kappa = cf(\mu)$  is uncountable.)

At this point, we have satisfied all of the assumptions of Claim 2.2 except possibly for clause (8). It suffices to show that for each  $i < \kappa$ , for all sufficiently large regular  $\theta < \mu$ , Player I has a winning strategy in the game  $\text{Gm}^{\omega}[\theta, \kappa_i, 1]$ . Since  $\mu$  is not a limit of regular Jonsson cardinals, it follows that for all sufficiently large regular  $\theta < \mu$ , Player I has a winning strategy in  $\text{Gm}^{\omega}[\theta, \theta, 1]$ . This implies, by Lemma 1.3 (1), that for all sufficiently large regular  $\theta$ , Player I has a scheme that  $\omega$ , Player I has a winning strategy in  $\text{Gm}^{\omega}[\theta, \kappa_i, 1]$ .

To finish the proof of Theorem 2, it remains to show that we can increase the number of colors from  $\mu$  to  $\lambda = \mu^+$  — we need  $Pr_1(\lambda, \lambda, \lambda, \kappa)$  instead of  $Pr_1(\lambda, \lambda, \mu, \kappa)$ .

**Lemma 5.2.** There is a coloring  $c_1 : [\lambda]^2 \to \lambda$  such that whenever we are given

- $\theta < \kappa$ ,
- $\langle t_{\alpha} : \alpha < \lambda \rangle$  a sequence of pairwise disjoint elements of  $[\lambda]^{\theta}$ ,

•  $\zeta_{\alpha} \in t_{\alpha}$  for  $\alpha < \lambda$ , and •  $\Upsilon < \lambda$ .

616

we can find  $\alpha < \beta$  such that  $t_{\alpha} \subseteq \min(t_{\beta})$  and

$$(\forall \zeta \in t_{\alpha})[c_1(\zeta, \zeta_{\beta}) = \Upsilon].$$
(5.1)

*Proof.* Let  $c : [\lambda]^2 \to \mu$  be a coloring that witnesses  $Pr_1(\lambda, \lambda, \mu, \kappa)$ . For each  $\alpha < \lambda$ , let  $g_\alpha$  be a one-to-one function from  $\alpha$  into  $\mu$ . We define

$$c_1(\alpha,\beta) = g_{\beta}^{-1}(c(\alpha,\beta)).$$
(5.2)

Suppose now that we are given objects  $\theta$ ,  $\langle t_{\alpha} : \alpha < \lambda \rangle$ ,  $\langle \zeta_{\alpha} : \alpha < \lambda \rangle$ , and  $\Upsilon$  as in the statement of the lemma. Clearly we may assume that  $\min(t_{\alpha}) > \alpha$ .

For  $i < \mu$ , we define  $X_i := \{\alpha \in [\gamma, \lambda) : g_{\zeta_{\alpha}}(\Upsilon) = i\}$ . Since  $\lambda$  is a regular cardinal, it is clear that there is  $i^* < \mu$  for which  $|X_{i^*}| = \lambda$ . Since *c* exemplifies  $\Pr_1(\lambda, \lambda, \mu, \kappa)$ , for some  $\alpha < \beta$  in  $X_{i^*}$  we have  $t_{\alpha} \subseteq \min(t_{\beta})$  and

$$(\forall \zeta \in t_{\alpha})[c(\zeta, \zeta_{\beta}) = i^*].$$
(5.3)

By definition, this means

$$(\forall \zeta \in t)[c_1(\zeta, \zeta_\beta) = g^{-1}(c(\alpha, \beta)) = g^{-1}(i^*) = \Upsilon],$$
 (5.4)

hence  $\alpha$  and  $\beta$  are as required.

To continue the proof of Theorem 2, we define a coloring  $c_2 : [\lambda]^2 \to \lambda$  by

$$c_2(\alpha, \beta) = c_1(\alpha, \nu(\alpha, \beta)), \tag{5.5}$$

where  $\nu(\alpha, \beta)$  is as in the proof of Theorem 1.

It remains to check that  $c_2$  witnesses  $Pr_1(\lambda, \lambda, \lambda, \kappa)$ . Toward this end, suppose we are given  $\theta < \kappa$ ,  $\langle t_\alpha : \alpha < \lambda \rangle$  a sequence of pairwise disjoint members of  $[\lambda]^{\theta}$ , and  $\Upsilon < \lambda$ . We need to find  $\delta^a$  and  $\delta^b$  less than  $\lambda$  such that

$$\epsilon^{a} \in t_{\delta^{a}} \wedge \epsilon^{b} \in t_{\delta^{b}} \Longrightarrow c_{2}(\epsilon^{a}, \epsilon^{b}) = \Upsilon.$$
(5.6)

**Lemma 5.3.** There is a stationary set of  $\gamma_1 < \lambda$  such that for some  $\gamma_0 < \gamma_1$  and  $\beta \in [\gamma_1, \lambda)$ , if  $\gamma_0 \le \alpha < \gamma_1$ , then the function  $\nu$  is constant on  $t_{\alpha} \times t_{\beta}$ .

*Proof.* Let *E* be an arbitrary closed unbounded subset of  $\lambda$ , and let *W* be the set of ordinals  $< \lambda$  satisfying the properties of  $\gamma_1$ . In the proof of Theorem 1, without loss of generality we can have  $E \in M_0$ . This means that the ordinal  $\beta^*$  found in the course of that proof will be in *E*, so we finish by observing that  $\beta^* \in W$ .  $\Box$ 

An application of Fodor's Lemma gives us a single ordinal  $\gamma_0$  and a stationary  $W' \subseteq W$  such that for all  $\gamma \in W'$ , there is a  $\beta_{\gamma} \in [\gamma, \lambda)$  such that for all  $\alpha \in [\gamma_0, \gamma), \nu \upharpoonright (t_{\alpha} \times t_{\beta})$  is constant.

Using properties of the coloring  $c_1$ , we can find  $\alpha$  and  $\gamma$  such that

• 
$$\gamma_0 \leq \alpha < \lambda$$

•  $\gamma \in W' \setminus (\sup(t_{\alpha}) + 1)$ , and

•  $\zeta \in t_{\alpha} \Longrightarrow c_1(\zeta, \gamma) = \Upsilon$ .

Now given  $\epsilon^a \in t_{\alpha}$  and  $\epsilon^b \in t_{\beta_{\gamma}}$ , we find

$$c_2(\epsilon^a, \epsilon^b) = c_1(\epsilon^a, \gamma) = \Upsilon, \tag{5.7}$$

and therefore  $c_2$  exemplifies  $Pr(\lambda, \lambda, \lambda, \kappa)$ .

Theorem 2 strengthens results in [1] as clearly  $Pr_1(\mu^+, \mu^+, \mu^+, cf(\mu))$  implies that  $\mu^+$  has a Jonsson algebra (i.e.,  $\mu^+$  is not a Jonsson cardinal). The question of whether the successor of a singular cardinal can be a Jonsson cardinal is a well– known open question.

We note that many of the results from Section 2 of [1] dealing with the existence of winning strategies for Player I in  $\text{Gm}^{\omega}[\lambda, \mu, \gamma]$  can be combined with Theorem 1 to give new results. For example, we have the following result from [1].

**Proposition 5.4.** If  $\tau \leq 2^{\kappa}$  but  $(\forall \theta < \kappa)[2^{\theta} < \tau]$ , then Player I has a winning strategy in the game  $\operatorname{Gm}^{\omega}(\tau, \kappa, \kappa^+)$ .

*Proof.* See Claim 2.3(1) and Claim 2.4(1) of [1].

Armed with this, the following claim is straightforward.

*Claim 5.5.* Let  $\mu$  be a singular cardinal of uncountable cofinality. Further assume that  $\chi$  is a cardinal such that  $2^{<\chi} \le \mu < 2^{\chi}$ . Then  $\Pr_1(\mu^+, \mu^+, \chi, cf(\mu))$  holds.

*Proof.* If  $2^{<\chi} < \mu$ , then Claims 2.3(1) and 2.4(1) of [1] imply that for every sufficiently large  $\theta < \mu$ , Player I has a winning strategy in the game  $\text{Gm}^{\omega}(\theta, \chi, \chi^+)$ .

If  $\mu = 2^{<\chi}$ , then  $cf(\mu) = cf(\chi)$ . Let  $\langle \kappa_i : i < cf(\mu) \rangle$  be a strictly increasing continuous sequence of cardinals cofinal in  $\chi$ . Given  $i < cf(\mu)$ , we claim that for all sufficiently large regular  $\tau < \mu$ , Player I has a winning strategy in  $Gm^{\omega}(\tau, \kappa_i, \chi)$ . Once we have established this,  $Pr_1(\mu^+, \mu^+, \chi, cf(\mu))$  follows by Theorem 1.

Given  $\tau = cf(\tau)$  satisfying  $2^{\kappa_i} < \tau < \mu$ , let  $\eta$  be the least cardinal such that  $\tau \leq 2^{\eta}$ . Clearly  $\kappa_i < \eta < \chi$ . By Proposition 5.4, Player I wins the game  $Gm^{\omega}(\tau, \eta, \eta^+)$ . This implies (since  $\eta^+ < \chi$  and  $\kappa_i < \eta$ ) that Player I wins the game  $Gm^{\omega}(\tau, \kappa_i, \chi)$  as required.

We can also use Claim 1.4 to prove similar results. For example we have the following.

*Claim 5.6.* Let  $\mu$  be a singular cardinal of uncountable cofinality. Further assume that  $\chi < \mu$  satisfies  $2^{\chi} < \mu < \beth_{(2^{\chi})^+}(\chi)$ . Then  $\Pr_1(\mu^+, \mu^+, \chi, cf(\mu))$  holds.

*Proof.* Again, the main point is that for all sufficiently large regular  $\theta < \mu$ , Player I has a winning strategy in the game  $\text{Gm}^{\omega}[\theta, \chi, (2^{\chi})^+]$ . This follows immediately from Claim 1.4. Since  $(2^{\chi})^+ < \mu$ , Theorem 1 is applicable.

In a sequel to this paper, we will address the situation where  $\lambda$  is the successor of a singular cardinal of countable cofinality. Similar results hold, but the combinatorics involved are trickier.

# References

- [1] Shelah, S.: More Jonsson algebras. Archive for Mathematical Logic 42 (2003), no.1, 1–44.
- [2] Shelah, S.: Cardinal arithmetic. Oxford Logic Guides, vol. 29, Oxford University Press, 1994
- [3] Shelah, S.: Jonsson Algebras in an inaccessible λ not λ-Mahlo. Cardinal Arithmetic, Oxford Logic Guides, vol. 29, Oxford University Press, 1994
- [4] Shelah, S.: There are Jonsson algebras in many inaccessible cardinals. Cardinal Arithmetic, Oxford Logic Guides, vol. 29 Oxford University Press, 1994
- [5] Shelah, S.: Proper and improper forcing. Perspectives in Mathematical Logic, Springer, 1998