

# MONOTONE HULLS FOR $\mathcal{N} \cap \mathcal{M}$

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*Dedicated to László Fuchs for his ninetieth birthday*

ABSTRACT. Using the method of decisive creatures (see Kellner and Shelah [8]) we show the consistency of “there is no increasing  $\omega_2$ -chain of Borel sets and  $\text{non}(\mathcal{N}) = \text{non}(\mathcal{M}) = \text{non}(\mathcal{N} \cap \mathcal{M}) = \omega_2 = 2^\omega$ ”. Hence, consistently, there are no monotone Borel hulls for the ideal  $\mathcal{M} \cap \mathcal{N}$ . This answers Balcerzak and Filipczak [1, Questions 23, 24]. Next we use finite support iteration of ccc forcing notions to show that there may be monotone Borel hulls for the ideals  $\mathcal{M}, \mathcal{N}$  even if they are not generated by towers.

## 0. INTRODUCTION

Brendle and Fuchino [4, Section 3] considered the following spectrum of cardinal numbers

$$\mathfrak{D}\mathfrak{D} = \{ \text{cf}(\text{otp}(\langle X, R \restriction X \rangle)) : \begin{array}{l} R \subseteq \omega_2 \times \omega_2 \text{ is a projective binary relation,} \\ X \subseteq \omega_2 \text{ and } R \cap X^2 \text{ is a well ordering of } X \end{array} \}$$

and they introduced a cardinal invariant  $\mathfrak{d}\mathfrak{o} = \sup \mathfrak{D}\mathfrak{D}$ . The invariant  $\mathfrak{d}\mathfrak{o}$  satisfies  $\min\{\text{non}(\mathcal{I}), \text{cov}(\mathcal{I})\} \leq \mathfrak{d}\mathfrak{o}$  for every ideal  $\mathcal{I}$  on  $\mathbb{R}$  with Borel basis (see [4, Lemma 3.6]). The proof of Kunen [9, Theorem 12.7] essentially shows that adding any number of Cohen (or random) reals to a model of CH results in a model in which  $\mathfrak{d}\mathfrak{o} = \aleph_1$ . Thus both

$$\begin{array}{l} \text{non}(\mathcal{N}) = \text{cov}(\mathcal{M}) = \aleph_2 + \text{non}(\mathcal{M}) = \text{cov}(\mathcal{N}) = \mathfrak{d}\mathfrak{o} = \aleph_1, \text{ and} \\ \text{non}(\mathcal{M}) = \text{cov}(\mathcal{N}) = \aleph_2 + \text{non}(\mathcal{N}) = \text{cov}(\mathcal{M}) = \mathfrak{d}\mathfrak{o} = \aleph_1 \end{array}$$

are consistent (where  $\mathcal{M}, \mathcal{N}$  stand for the ideals of meager and null sets, respectively). This naturally leads to the question if

$$(\otimes) \text{non}(\mathcal{M}) = \text{non}(\mathcal{N}) = \text{non}(\mathcal{N} \cap \mathcal{M}) = \aleph_2 + \mathfrak{d}\mathfrak{o} = \aleph_1 = \text{cov}(\mathcal{N}) = \text{cov}(\mathcal{M})$$

is consistent. In this note we show the consistency of  $(\otimes)$  using the method of *decisive creatures* developed in Kellner and Shelah [8], and this method is in turn a special case of the method of *norms on possibilities* of Rosłanowski and Shelah [11].

Note that if there is a  $\subseteq$ -increasing  $\kappa$ -chain of Borel subsets of  $\omega_2$ , then  $\text{cf}(\kappa) \in \mathfrak{D}\mathfrak{D}$ . (Just consider a relation  $R$  on  $\omega_2 \simeq \omega_2 \times \omega_2$  given by:  $(x, y) R (x', y')$  if and only if “ $y, y'$  are Borel codes and  $x$  belongs to the set coded by  $y'$ ”; cf. Elekes and Kunen [6, Lemma 2.4].) Thus if we set

$$\mathfrak{d}_B = \sup \{ \text{cf}(\gamma) : \text{there is a } \subseteq\text{-increasing chain of Borel subset of } \mathbb{R} \text{ of length } \gamma \}$$

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then  $\mathfrak{d}_{\mathcal{B}} \leq \mathfrak{d}_{\mathfrak{o}}$ . If  $\mathfrak{d}_{\mathcal{B}}$  is smaller than the cofinality of the uniformity number  $\text{non}(\mathcal{I})$  of a Borel ideal  $\mathcal{I}$ , then there is no monotone Borel hull operation on  $\mathcal{I}$  (see Elekes and Máthé [7, Theorem 2.1], Balcerzak and Filipczak [1, Theorem 5]). Thus

- ( $\otimes$ ) if  $\mathcal{I}$  is an ideal with Borel basis on  $\mathbb{R}$ ,  $\mathfrak{d}_{\mathcal{B}} < \text{non}(\mathcal{I})$  and  $\text{non}(\mathcal{I})$  is a regular cardinal, then there is no  $\subset$ -monotone mapping  $\psi : \mathcal{I} \rightarrow \text{Borel}(\mathbb{R}) \cap \mathcal{I}$ .

Therefore in our model for ( $\otimes$ ) we will have (Corollary 3.2)

“there are no monotone Borel hull operations on the ideals  $\mathcal{M}, \mathcal{N}$  and  $\mathcal{M} \cap \mathcal{N}$ ”. This answers Balcerzak and Filipczak [1, Question 23].

We also obtain a positive result providing a new situation in which monotone hulls exist. Consistently, the ideals  $\mathcal{M}, \mathcal{N}$  do not possess tower-basis but they do admit monotone Borel hulls (Corollary 3.9). This model is obtained by finite support iterations of partial Amoeba for Category and Amoeba for Measure  $\mathbb{A}$  forcing notions.

**Notation** Most of our notation is standard and compatible with that of classical textbooks (like Bartoszyński and Judah [2]). However, in forcing we keep the older convention that *a stronger condition is the larger one*.

- For two sequences  $\eta, \nu$  we write  $\nu \triangleleft \eta$  whenever  $\nu$  is a proper initial segment of  $\eta$ , and  $\nu \preceq \eta$  when either  $\nu \triangleleft \eta$  or  $\nu = \eta$ . The length of a sequence  $\eta$  is denoted by  $\ell g(\eta)$ . A *tree* is a family  $T$  of finite sequences closed under initial segments. For a tree  $T$ , the family of all  $\omega$ -branches through  $T$  is denoted by  $[T]$ .

- The Cantor space  ${}^\omega 2$  is the space of all functions from  $\omega$  to 2, equipped with the product topology generated by sets of the form  $[\nu] = \{\eta \in {}^\omega 2 : \nu \triangleleft \eta\}$  for  $\nu \in {}^{<\omega} 2$ . This space is also equipped with the standard product measure  $\mu$ .

- For a forcing notion  $\mathbb{P}$ , all  $\mathbb{P}$ -names for objects in the extension via  $\mathbb{P}$  will be denoted with a tilde below (e.g.  $\underline{A}, \underline{\eta}$ ). The canonical name for a  $\mathbb{P}$ -generic filter over  $\mathbf{V}$  is denoted  $\underline{G}_{\mathbb{P}}$ . Our notation and terminology concerning creatures and forcing with creatures will be compatible with that in [8] (except of the reversed orders). While this is a slight departure from the original terminology established for creature forcing in [11], the reader may find it more convenient when verifying the results on decisive creatures that are quoted in the next section.

## 1. BACKGROUND ON DECISIVE CREATURES

As declared in the introduction, we will follow the notation and the context of [8] (which slightly differs from that of [11]). For reader's convenience we will recall here all relevant definitions and results from that paper.

Let  $\mathbf{H} : \omega \rightarrow \mathcal{H}(\aleph_0)$  (where  $\mathcal{H}(\aleph_0)$  is the family of all hereditarily finite sets). A *creating pair* for  $\mathbf{H}$  is a pair  $(\mathbf{K}, \Sigma)$ , where

- $\mathbf{K} = \bigcup_{n < \omega} \mathbf{K}(n)$ , where each  $\mathbf{K}(n)$  is a finite set; elements of  $\mathbf{K}$  are called *creatures*, each creature  $\mathfrak{c} \in \mathbf{K}(n)$  has some norm  $\text{nor}(\mathfrak{c})$  (a non-negative real number) and a non-empty set of possible values  $\text{val}(\mathfrak{c}) \subseteq \mathbf{H}(n)$ ,
- if  $\mathfrak{c} \in \mathbf{K}(n)$ ,  $\text{nor}(\mathfrak{c}) > 0$ , then  $|\text{val}(\mathfrak{c})| > 1$
- $\Sigma : \mathbf{K} \rightarrow \mathcal{P}(\mathbf{K})$  is such that if  $\mathfrak{c} \in \mathbf{K}(n)$  and  $\mathfrak{c}' \in \Sigma(\mathfrak{c})$ , then  $\mathfrak{c}' \in \mathbf{K}(n)$ ,
- $\mathfrak{c} \in \Sigma(\mathfrak{c})$  and  $\mathfrak{c}' \in \Sigma(\mathfrak{c})$  implies  $\Sigma(\mathfrak{c}') \subseteq \Sigma(\mathfrak{c})$ ,
- if  $\mathfrak{c}' \in \Sigma(\mathfrak{c})$ , then  $\text{nor}(\mathfrak{c}') \leq \text{nor}(\mathfrak{c})$  and  $\text{val}(\mathfrak{c}') \subseteq \text{val}(\mathfrak{c})$ .

If  $\mathfrak{c} \in \mathbf{K}$  and  $x \in \mathbf{H}(n)$ , then we write  $x \in \Sigma(\mathfrak{c})$  if and only if  $x \in \text{val}(\mathfrak{c})$ . For  $x \in \mathbf{H}(n)$  we also set  $\Sigma(x) = \text{val}(x) = \{\mathfrak{c}\}$ .

**Definition 1.1** (See [8, Definitions 3.1, 4.1]). Let  $0 < r \leq 1$ ,  $B, K, m$  be positive integers and  $(\mathbf{K}, \Sigma)$  be a creating pair for  $\mathbf{H}$ .

- (1) A creature  $\mathbf{c}$  is  $r$ -halving if there is a  $\text{half}(\mathbf{c}) \in \Sigma(\mathbf{c})$  such that
- $\text{nor}(\text{half}(\mathbf{c})) \geq \text{nor}(\mathbf{c}) - r$ , and
  - if  $\mathfrak{d} \in \Sigma(\text{half}(\mathbf{c}))$  and  $\text{nor}(\mathfrak{d}) > 0$ , then there is a  $\mathfrak{d}' \in \Sigma(\mathbf{c})$  such that
 
$$\text{nor}(\mathfrak{d}') \geq \text{nor}(\mathbf{c}) - r \quad \text{and} \quad \text{val}(\mathfrak{d}') \subseteq \text{val}(\mathfrak{d}).$$

$\mathbf{K}(n)$  is  $r$ -halving, if all  $\mathbf{c} \in \mathbf{K}(n)$  with  $\text{nor}(\mathbf{c}) > 1$  are  $r$ -halving.

- (2) A creature  $\mathbf{c}$  is  $(B, r)$ -big if for every function  $F : \text{val}(\mathbf{c}) \rightarrow B$  there is a  $\mathfrak{d} \in \Sigma(\mathbf{c})$  such that  $\text{nor}(\mathfrak{d}) \geq \text{nor}(\mathbf{c}) - r$  and the restriction  $F \upharpoonright \text{val}(\mathfrak{d})$  is constant. We say that  $\mathbf{c}$  is hereditarily  $(B, r)$ -big, if every  $\mathfrak{d} \in \Sigma(\mathbf{c})$  with  $\text{nor}(\mathfrak{d}) > 1$  is  $(B, r)$ -big. Also,  $\mathbf{K}(n)$  is  $(B, r)$ -big if every  $\mathbf{c} \in \mathbf{K}(n)$  with  $\text{nor}(\mathbf{c}) > 1$  is  $(B, r)$ -big.
- (3) We say that  $\mathbf{c}$  is  $(K, m, r)$ -decisive, if for some  $\mathfrak{d}^-, \mathfrak{d}^+ \in \Sigma(\mathbf{c})$  we have:  $\mathfrak{d}^+$  is hereditarily  $(2^{K^m}, r)$ -big, and  $|\text{val}(\mathfrak{d}^-)| \leq K$  and  $\text{nor}(\mathfrak{d}^-), \text{nor}(\mathfrak{d}^+) \geq \text{nor}(\mathbf{c}) - r$ . The creature  $\mathbf{c}$  is  $(m, r)$ -decisive if  $\mathbf{c}$  is  $(K', m, r)$ -decisive for some  $K'$ .
- (4)  $\mathbf{K}(n)$  is  $(m, r)$ -decisive if every  $\mathbf{c} \in \mathbf{K}(n)$  with  $\text{nor}(\mathbf{c}) > 1$  is  $(m, r)$ -decisive.

**Lemma 1.2** (See [8, Lemma 4.3]). Assume that  $(\mathbf{K}, \Sigma)$  is a creating pair for  $\mathbf{H}$ ,  $k, m, t \geq 1$ ,  $0 < r \leq 1$ . Suppose that  $\mathbf{K}(n)$  is  $(k, r)$ -decisive and  $\mathbf{c}_0, \dots, \mathbf{c}_{k-1} \in \mathbf{K}(n)$  are hereditarily  $(2^{m^t}, r)$ -big with  $\text{nor}(\mathbf{c}_i) > 1 + r \cdot (k - 1)$  (for each  $i < k$ ). Let  $F : \prod_{i < k} \text{val}(\mathbf{c}_i) \rightarrow 2^{m^t}$ . Then there are  $\mathfrak{d}_0, \dots, \mathfrak{d}_{k-1} \in \mathbf{K}(n)$  such that:

$$\mathfrak{d}_i \in \Sigma(\mathbf{c}_i), \quad \text{nor}(\mathfrak{d}_i) \geq \text{nor}(\mathbf{c}_i) - r \cdot k, \quad \text{and} \quad F \upharpoonright \prod_{i \in k} \text{val}(\mathfrak{d}_i) \text{ is constant.}$$

A creating pair  $(\mathbf{K}, \Sigma)$  determines the forcing notion  $\mathbb{Q}_\infty^*(\mathbf{K}, \Sigma)$  and its special product  $\mathbb{P}_I(\mathbf{K}, \Sigma)$  as described by the following definition. (The forcing notion  $\mathbb{P}_I(\mathbf{K}, \Sigma)$  is a relative of the CS product of  $\mathbb{Q}_\infty^*(\mathbf{K}, \Sigma)$  indexed by the set  $I$ .)

**Definition 1.3** (See [8, Definitions 2.1, 5.2, 5.3]). (1) A condition in the forcing  $\mathbb{Q}_\infty^*(\mathbf{K}, \Sigma)$  is an  $\omega$ -sequence  $p = \langle p(i) : i < \omega \rangle$  such that for some  $n < \omega$  (called the trunk-length of  $p$ ) we have  $p(i) \in \mathbf{H}(i)$  if  $i < n$ ,  $p(i) \in \mathbf{K}(i)$  and  $\text{nor}(p(i)) > 0$  if  $i \geq n$ , and  $\lim_{i \rightarrow \infty} (\text{nor}(p(i))) = \infty$ .

The order on  $\mathbb{Q}_\infty^*(\mathbf{K}, \Sigma)$  is defined by  $q \geq p$  if and only if (both belong to  $\mathbb{Q}_\infty^*(\mathbf{K}, \Sigma)$  and)  $q(i) \in \Sigma(p(i))$  for all  $i$ .<sup>1</sup>

- (2) Let  $I$  be a non-empty (index) set. A condition  $p$  in  $\mathbb{P}_I(\mathbf{K}, \Sigma)$  consists of a countable subset  $\text{dom}(p)$  of  $I$ , of objects  $p(\alpha, n)$  for  $\alpha \in \text{dom}(p)$ ,  $n \in \omega$ , and of a function  $\text{trunklg}(p, \cdot) : \text{dom}(p) \rightarrow \omega$  satisfying the following demands for all  $\alpha \in \text{dom}(p)$ :

- ( $\alpha$ ) If  $n < \text{trunklg}(p, \alpha)$ , then  $p(\alpha, n) \in \mathbf{H}(n)$ .
- ( $\beta$ ) If  $n \geq \text{trunklg}(p, \alpha)$ , then  $p(\alpha, n) \in \mathbf{K}(n)$  and  $\text{nor}(p(\alpha, n)) > 0$ .
- ( $\gamma$ ) Setting  $\text{supp}(p, n) = \{\alpha \in \text{dom}(p) : \text{trunklg}(p, \alpha) \leq n\}$ , we have  $|\text{supp}(p, n)| < n$  for all  $n > 0$  and  $\lim_{n \rightarrow \infty} (|\text{supp}(p, n)|/n) = 0$ .
- ( $\delta$ )  $\lim_{n \rightarrow \infty} (\min(\{\text{nor}(p(\alpha, n)) : \alpha \in \text{supp}(p, n)\})) = \infty$ .

The order on  $\mathbb{P}_I(\mathbf{K}, \Sigma)$  is defined by  $q \geq p$  if and only if (both belong to  $\mathbb{P}_I(\mathbf{K}, \Sigma)$  and)  $\text{dom}(q) \supseteq \text{dom}(p)$  and

<sup>1</sup>Remember our convention that for  $x, y \in \mathbf{H}(i)$  and  $\mathbf{c} \in \mathbf{K}(i)$  we write  $x \in \Sigma(\mathbf{c})$  iff  $x \in \text{val}(\mathbf{c})$ , and  $x \in \Sigma(y)$  iff  $x = y$ .

- ( $\varepsilon$ ) if  $\alpha \in \text{dom}(p)$  and  $n \in \omega$ , then  $q(\alpha, n) \in \Sigma(p(\alpha, n))$ ,
- ( $\zeta$ ) the set  $\{\alpha \in \text{dom}(p) : \text{trunklg}(q, \alpha) \neq \text{trunklg}(p, \alpha)\}$  is finite.

Note that for  $\alpha \in \text{dom}(p)$  the sequence  $\langle p(\alpha, n) : n \in \omega \rangle$  is in  $\mathbb{Q}_\infty^*(\mathbf{K}, \Sigma)$ . However,  $\mathbb{P}_I(\mathbf{K}, \Sigma)$  is not a subforcing of the CS product of  $I$  copies of  $\mathbb{Q}_\infty^*(\mathbf{K}, \Sigma)$  because of a slight difference in the definition of the order relation.

**Proposition 1.4** (See [8, Lemmas 5.4, 5.5]). (1) If  $J \subseteq I$ , then  $\mathbb{P}_J(\mathbf{K}, \Sigma) = \{p \in \mathbb{P}_I(\mathbf{K}, \Sigma) : \text{dom}(p) \subseteq J\}$  is a complete subforcing of  $\mathbb{P}_I(\mathbf{K}, \Sigma)$ .

- (2) Assume CH. Then  $\mathbb{P}_I(\mathbf{K}, \Sigma)$  satisfies the  $\aleph_2$ -chain condition.

**Definition 1.5** (See [8, Definition 5.6]). (1) For a condition  $p \in \mathbb{P}_I(\mathbf{K}, \Sigma)$  we define<sup>2</sup>

$$\text{val}^\Pi(p, <n) = \prod_{\alpha \in \text{dom}(p)} \prod_{m < n} \text{val}(p(\alpha, m)).$$

- (2) If  $w \subseteq \text{dom}(p)$  and  $t \in \prod_{\alpha \in w} \prod_{m < n} \mathbf{H}(m)$ , then  $p \wedge t$  is defined by

$$\text{trunklg}(p \wedge t, \alpha) = \begin{cases} \max(\text{trunklg}(p, \alpha), n) & \text{if } \alpha \in w, \\ \text{trunklg}(p, \alpha) & \text{otherwise} \end{cases}$$

and

$$(p \wedge t)(\alpha, m) = \begin{cases} t(\alpha, m) & \text{if } m < n \text{ and } \alpha \in w, \\ p(\alpha, m) & \text{otherwise.} \end{cases}$$

- (3) If  $\tau$  is a name of an ordinal, then we say that  $p$   $<n$ -decides  $\tau$ , if for every  $t \in \text{val}^\Pi(p, <n)$  the condition  $p \wedge t$  forces a value to  $\tau$ . The condition  $p$  essentially decides  $\tau$ , if  $p$   $<n$ -decides  $\tau$  for some  $n$ .

**Proposition 1.6.** (1)  $p \wedge t \in \mathbb{P}_I(\mathbf{K}, \Sigma)$ , and if  $t \in \text{val}^\Pi(p, <n)$ , then  $p \wedge t \geq p$ .

- (2)  $\text{val}^\Pi(p, <n) \leq \prod_{m < n} |\mathbf{H}(m)|^m$ .

- (3)  $\{p \wedge t : t \in \text{val}^\Pi(p, <n)\}$  is predense above  $p$

**Theorem 1.7** (See [8, Theorems 5.8, 5.9]). Let  $\varphi(<n) = \prod_{m < n} |\mathbf{H}(m)|^m$  and  $0 < r(n) \leq 1/(n^2\varphi(<n))$ . Assume that each  $\mathbf{K}(n)$  is  $(n, r(n))$ -decisive and  $r(n)$ -halving (for  $n \in \omega$ ).

- (1) The forcing notion  $\mathbb{P}_I(\mathbf{K}, \Sigma)$  is proper and  ${}^\omega\omega$ -bounding. If  $|I| \geq 2$  and  $\lambda = |I|^{\aleph_0}$ , then  $\mathbb{P}_I(\mathbf{K}, \Sigma)$  forces  $|I| \leq 2^{\aleph_0} \leq \lambda$ .
- (2) Moreover, if  $\tau(n)$  is a  $\mathbb{P}_I(\mathbf{K}, \Sigma)$ -name for an ordinal (for  $n < \omega$ ) and  $p \in \mathbb{P}_I(\mathbf{K}, \Sigma)$ , then there is a condition  $q \geq p$  which essentially decides all the names  $\tau(n)$ .
- (3) Assume, additionally, that each  $\mathbf{K}(n)$  is  $(g(n), r(n))$ -big, where  $g \in {}^\omega\omega$  is strictly increasing. Suppose that  $\nu(n)$  is a  $\mathbb{P}_I(\mathbf{K}, \Sigma)$ -name and  $p \in \mathbb{P}_I(\mathbf{K}, \Sigma)$  forces that  $\nu(n) < 2^{g(n)}$  for all  $n < \omega$ . Then there is a  $q \geq p$  which  $<n$ -decides  $\nu(n)$  for all  $n$ .

The next theorem is a consequence of (the proof of) [4, Corollaries 4.8(e), 3.9(b)]. However, the results in [4] are stated for products, while  $\mathbb{P}_I(\mathbf{K}, \Sigma)$  is not exactly a product (though it does have all the required features). Therefore we will present the relatively simple proof of this result fully.

<sup>2</sup>Remember our convention that, for  $x \in \mathbf{H}(i)$ ,  $\text{val}(x) = \{x\}$ .

**Theorem 1.8.** *Assume CH. Let  $r, \varphi, \mathbf{K}$  and  $\Sigma$  be as in the assumptions of Theorem 1.7. Then  $\Vdash_{\mathbb{P}_I(\mathbf{K}, \Sigma)} \mathfrak{d}\mathfrak{o} = \mathfrak{d}\mathfrak{B} = \aleph_1$ .*

*Proof.* If  $|I| \leq \aleph_1$ , then  $\Vdash_{\mathbb{P}_I(\mathbf{K}, \Sigma)} \text{CH}$ , so let us assume  $|I| \geq \aleph_2$ .

Every bijection  $\pi : I \xrightarrow{\text{onto}} I$  determines an automorphism  $\tilde{\pi}$  of the forcing  $\mathbb{P}_I(\mathbf{K}, \Sigma)$  in a natural way. Then, for  $J \subseteq I$ ,  $\tilde{\pi} \upharpoonright \mathbb{P}_J(\mathbf{K}, \Sigma)$  is an isomorphism from  $\mathbb{P}_J(\mathbf{K}, \Sigma)$  onto  $\mathbb{P}_{\pi[J]}(\mathbf{K}, \Sigma)$ . Also,  $\pi$  gives rise to a natural bijection from  $\text{val}^{\text{II}}(p, <n)$  onto  $\text{val}^{\text{II}}(\tilde{\pi}(p), <n)$ ; we will denote this mapping by  $\tilde{\pi}$  as well.

Suppose that  $\varphi(x, y, \tau)$  is a projective definition of a binary relation on  $\omega_2$ , where  $\tau$  is a  $\mathbb{P}_I(\mathbf{K}, \Sigma)$ -name for a real parameter. Assume towards contradiction that there are  $\mathbb{P}_I(\mathbf{K}, \Sigma)$ -names  $\eta_\alpha$  (for  $\alpha < \omega_2$ ) and a condition  $p \in \mathbb{P}_I(\mathbf{K}, \Sigma)$  such that

$$(i) \quad p \Vdash_{\mathbb{P}_I(\mathbf{K}, \Sigma)} \text{“} (\forall \alpha, \beta < \omega_2) (\varphi(\eta_\alpha, \eta_\beta, \tau) \Leftrightarrow \alpha < \beta) \text{”}.$$

For each  $\alpha < \omega_2$  choose a condition  $p_\alpha \geq p$  which essentially decides all  $\eta_\alpha(n)$  (for  $n < \omega$ ). Then we may also pick an increasing sequence  $\bar{N}^\alpha = \langle N_n^\alpha : n < \omega \rangle \subseteq \omega$  and a mapping  $f_\alpha : \bigcup_{n < \omega} \text{val}^{\text{II}}(p_\alpha, <N_n^\alpha) \rightarrow 2$  such that for each  $t \in \text{val}^{\text{II}}(p_\alpha, <N_n^\alpha)$  we have  $(p_\alpha \wedge t) \Vdash \eta_\alpha(n) = f_\alpha(t)$ .

By CH, we may use a standard  $\Delta$ -system argument and the fact that  $\mathbb{P}_I(\mathbf{K}, \Sigma)$  satisfies the  $\aleph_2$ -cc (see 1.4) to choose  $J \in [I]^{\aleph_1}$ ,  $X \in [\omega_2]^{\aleph_2}$  and bijections  $\pi_{\alpha, \beta} : \text{dom}(p_\alpha) \xrightarrow{\text{onto}} \text{dom}(p_\beta)$  such that

- (ii)  $\text{dom}(p) \subseteq J$  and  $\tau$  is a  $\mathbb{P}_J(\mathbf{K}, \Sigma)$ -name, and for distinct  $\alpha, \beta \in X$ :
- (iii)  $\text{dom}(p_\alpha) \cap \text{dom}(p_\beta) = \text{dom}(p_\alpha) \cap J$  and  $\pi_{\alpha, \beta} \upharpoonright (\text{dom}(p_\alpha) \cap J)$  is the identity,
- (iv)  $\tilde{\pi}_{\alpha, \beta}(p_\alpha) = p_\beta$ ,  $\bar{N}^\alpha = \bar{N}^\beta$ , and  $f_\alpha = f_\beta \circ \tilde{\pi}_{\alpha, \beta}$ .

Pick  $\alpha < \beta$  from  $X$ . Let  $\pi$  be a bijection from  $I$  onto  $I$  such that  $\pi_{\alpha, \beta} \subseteq \pi$ ,  $(\pi_{\alpha, \beta})^{-1} \subseteq \pi$  and  $\pi \upharpoonright J$  is the identity. Then

$$(v) \quad \tilde{\pi}(p_\alpha) = p_\beta, \tilde{\pi}(p_\beta) = p_\alpha \text{ and } \tilde{\pi}(\tau) = \tau.$$

Note that  $p_\alpha \cup p_\beta$  does not have to be a condition in  $\mathbb{P}_I(\mathbf{K}, \Sigma)$  as the demand 1.3(2)( $\gamma$ ) may fail. But extending finitely many trunks will easily resolve this problem and we get a condition  $q$  stronger than both  $p_\alpha$  and  $p_\beta$ . We may even do this in such a manner that the condition  $q$  satisfies  $\tilde{\pi}(q) = q$ . Since  $q \geq p_\alpha, p_\beta$ , clause (iv) implies

$$(vi) \quad q \Vdash \text{“} \tilde{\pi}(\eta_\alpha) = \eta_\beta \ \& \ \tilde{\pi}(\eta_\beta) = \eta_\alpha \text{”}.$$

Since  $q \geq p$  and  $\alpha < \beta$  we have  $q \Vdash \varphi(\eta_\alpha, \eta_\beta, \tau)$ . Applying the automorphism  $\tilde{\pi}$  and remembering (vi) we conclude that then also  $\tilde{\pi}(q) = q \Vdash \varphi(\eta_\beta, \eta_\alpha, \tau)$ , contradicting (i).  $\square$

## 2. CONSISTENCY OF $\mathfrak{d}\mathfrak{o} < \text{non}(\mathcal{M} \cap \mathcal{N})$

**Definition 2.1.** Let  $n < \omega$ .

- (1) A *basic  $n$ -block* is a finite non-empty set  $B$  of functions from some non-empty  $v \in [\omega]^{<\omega}$  to 2 (i.e.,  $B \subseteq {}^v 2$ ) such that  $|B|/2^{|v|} < 2^{-n}$ . If  $\eta \in \omega^{>2} \cup \omega^2$  and  $B \subseteq {}^v 2$  is a basic block, then we write  $\eta \prec B$  whenever  $\eta \upharpoonright v \in B$ . For an  $n$ -block  $B \subseteq {}^v 2$  we set  $v(B) = v$ .
- (2) Let  $H_n$  be the family of all pairs  $(b, \mathcal{B})$  such that  $b$  is a positive integer and  $\mathcal{B}$  is a non-empty finite set of basic  $n$ -blocks.

- (3) We define a function  $\text{pnor} : H_n \rightarrow \omega$  by declaring inductively when  $\text{pnor}(b, \mathcal{B}) \geq k$ . We set  $\text{pnor}(b, \mathcal{B}) \geq 0$  always, and then
- $\text{pnor}(b, \mathcal{B}) \geq 1$  if and only if  $(\forall F \in [\omega 2]^b)(\exists B \in \mathcal{B})(\forall \eta \in F)(\eta \prec B)$ ,
  - $\text{pnor}(b, \mathcal{B}) \geq k+1$  if and only if there are positive integers  $b_0, \dots, b_{M-1}$  and disjoint sets  $\mathcal{B}_0, \dots, \mathcal{B}_{M-1} \subseteq \mathcal{B}$  such that
    - ( $\alpha$ )  $M > b^{k+1}$ ,  $b_0 \geq b$  and
    - ( $\beta$ )  $\text{pnor}(b_i, \mathcal{B}_i) \geq k$  and  $(b_i)^2 \cdot 2^{|\mathcal{B}_i|^n} < b_{i+1}$  for all  $i < M$ .

**Proposition 2.2.** *Let  $n < \omega$ ,  $(b, \mathcal{B}), (b', \mathcal{B}') \in H_n$ .*

- (1)  $\text{pnor}(b, \mathcal{B}) \in \omega$  is well defined and  $2^{\text{pnor}(b, \mathcal{B})} \leq |\mathcal{B}|$ .
- (2) If  $\mathcal{B} \subseteq \mathcal{B}'$  and  $b' \leq b$ , then  $\text{pnor}(b, \mathcal{B}) \leq \text{pnor}(b', \mathcal{B}')$ .
- (3) For each  $N$  there is  $(b^*, \mathcal{B}^*) \in H_n$  such that

$$b^* \geq N \text{ and } \text{pnor}(b^*, \mathcal{B}^*) \geq N \text{ and } \min(v(B)) > N \text{ for all } B \in \mathcal{B}^*.$$

- (4) If  $\text{pnor}(b, \mathcal{B}) \geq k+1 \geq 2$  and  $c : \mathcal{B} \rightarrow \{0, \dots, b-1\}$ , then for some  $\ell < b$  we have  $\text{pnor}(b, c^{-1}[\{\ell\}]) \geq k$ .

*Proof.* (1,2) Easy induction on  $\text{pnor}(b, \mathcal{B})$ .

(3) Note that if  $w \in [\omega]^{<\omega}$ ,  $2^n \cdot N < 2^{|w|}$  and  $\mathcal{B}_w$  consists of all basic  $n$ -blocks  $B$  with  $v(B) = w$ , then  $\text{pnor}(N, \mathcal{B}_w) \geq 1$ . Now proceed inductively.

(4) Induction on  $k \geq 1$ . Assume  $\text{pnor}(b, \mathcal{B}) \geq 2$  and  $c : \mathcal{B} \rightarrow b$ . We claim that for some  $\ell < b$  we have  $\text{pnor}(b, c^{-1}[\{\ell\}]) \geq 1$ . If not, then for each  $\ell < b$  we may choose  $F_\ell \in [\omega 2]^b$  such that

$$(\forall B \in \mathcal{B})(\exists \eta \in F_\ell)(c(B) = \ell \Rightarrow \eta \not\prec B).$$

Set  $F = \bigcup_{\ell < b} F_\ell$ . Let  $b_0, \dots, b_{M-1}, \mathcal{B}_0, \dots, \mathcal{B}_{M-1}$  witness  $\text{pnor}(b, \mathcal{B}) \geq 2$ , in particular,  $b_1 > b^2$  and  $\text{pnor}(b_1, \mathcal{B}_1) \geq 1$ . Since  $|F| \leq b^2$  we conclude that there is  $B \in \mathcal{B}_1$  such that  $(\forall \eta \in F)(\eta \prec B)$ . Then  $B$  contradicts the choice of  $F_{c(B)}$ .

Now, for the inductive step, assume our statement holds for  $k$ . Let  $\text{pnor}(b, \mathcal{B}) \geq k+2$  and  $c : \mathcal{B} \rightarrow \{0, \dots, b-1\}$ . Let  $\{(b_i, \mathcal{B}_i) : i < M\}$  witness  $\text{pnor}(b, \mathcal{B}) \geq (k+1)+1$ , so  $M > b^{k+2}$  and  $\text{pnor}(b_i, \mathcal{B}_i) \geq k+1$  and  $b_i \geq b$ . For each  $i < M$  apply the inductive hypothesis to choose  $\ell_i < b$  such that  $\text{pnor}(b_i, \mathcal{B}_i \cap c^{-1}[\{\ell_i\}]) \geq k$ . Choose  $\ell^* < b$  such that  $|\{i < M : \ell^* = \ell_i\}| > b^{k+1}$ . Then  $\{(b_i, \mathcal{B}_i \cap c^{-1}[\{\ell_i\}]) : \ell_i = \ell^*\}$  witnesses that  $\text{pnor}(b, c^{-1}[\{\ell^*\}]) \geq k+1$ .  $\square$

Now, by induction on  $n < \omega$  we define the following objects

$$(\oplus)_n \varphi_{\mathbf{H}^*}(<n), r_{\mathbf{H}^*}(n), a(n), N_n, g(n), \mathbf{H}^*(n), \mathbf{K}^*(n), \Sigma^* \upharpoonright \mathbf{K}^*(n), \varphi_{\mathbf{H}^*}(=n).$$

We start with stipulating  $N_0 = 0$ ,  $\varphi_{\mathbf{H}^*}(<0) = 1$ .

Assume we have defined objects listed in  $(\oplus)_k$  for  $k < n$ , and that we also have defined integers  $N_n, \varphi_{\mathbf{H}^*}(<n)$ . We set

$$(i) \ g(n) = 2^{N_n} + \varphi_{\mathbf{H}^*}(<n), \ r_{\mathbf{H}^*}(n) = \frac{1}{(n+2)^2 \varphi_{\mathbf{H}^*}(<n)} \text{ and } a(n) = 2^{1/r_{\mathbf{H}^*}(n)}.$$

Choose  $(b^*, \mathcal{B}^*) \in H_n$  such that

$$(ii) \ b^* > g(n), \ \min(v(B)) > N_n \text{ for all } B \in \mathcal{B}^* \text{ and } \text{pnor}(b^*, \mathcal{B}^*) > a(n)^{n+972}$$

(possible by 2.2(3)). Set

$$(iii) \ N_{n+1} = \max(\bigcup\{v(B) : B \in \mathcal{B}^*\}) + 1.$$

We let  $\mathbf{H}^*(n)$  be the set of all basic  $n$ -blocks  $B$  such that  $v(B) \subseteq [N_n, N_{n+1})$ , and  $\mathbf{K}^*(n)$  consist of all triples  $\mathbf{c} = (k^c, b^c, \mathcal{B}^c)$  such that

$$(b^c, \mathcal{B}^c) \in H_n, \quad \mathcal{B}^c \subseteq \mathbf{H}^*(n), \quad b^c > g(n), \quad \text{and} \quad k^c \in \omega, \quad k^c < \text{pnor}(b^c, \mathcal{B}^c) - 1.$$

For  $\mathbf{c} \in \mathbf{K}^*(n)$  we set

$$\begin{aligned} \text{(iv)} \quad \text{nor}(\mathbf{c}) &= \log_{a(n)}(\text{pnor}(b^c, \mathcal{B}^c) - k^c), \quad \text{val}(\mathbf{c}) = \mathcal{B}^c \text{ and} \\ \Sigma^*(\mathbf{c}) &= \{\mathfrak{d} \in \mathbf{K}^*(n) : k^c \leq k^{\mathfrak{d}}, \quad b^c \leq b^{\mathfrak{d}}, \quad \mathcal{B}^{\mathfrak{d}} \subseteq \mathcal{B}^c\}. \end{aligned}$$

Finally, we put  $\varphi_{\mathbf{H}^*}(=n) = |\mathbf{H}^*(n)|^n$  and  $\varphi_{\mathbf{H}^*}(<n+1) = \varphi_{\mathbf{H}^*}(<n) \cdot \varphi_{\mathbf{H}^*}(=n)$ . This completes our inductive definition.

**Proposition 2.3.**  $(\mathbf{K}^*, \Sigma^*)$  is a creating pair for  $\mathbf{H}^*$  such that, for each  $n < \omega$ ,  $\mathbf{K}^*(n)$  is  $(n, r_{\mathbf{H}^*}(n))$ -decisive,  $r_{\mathbf{H}^*}(n)$ -halving and  $(g(n), r_{\mathbf{H}^*}(n))$ -big.

*Proof.* To verify halving, for each  $\mathbf{c} \in \mathbf{K}^*(n)$  with  $\text{nor}(\mathbf{c}) > 1$  set

$$\text{half}(\mathbf{c}) = (k^c + \lfloor \frac{1}{2}(\text{pnor}(b^c, \mathcal{B}^c) - k^c) \rfloor, b^c, \mathcal{B}^c).$$

Note that  $\text{nor}(\mathbf{c}) > 1$  implies  $\text{pnor}(b^c, \mathcal{B}^c) - k^c > 2$  and hence

$$k^c + \lfloor \frac{1}{2}(\text{pnor}(b^c, \mathcal{B}^c) - k^c) \rfloor < \text{pnor}(b^c, \mathcal{B}^c) - 1.$$

Therefore,  $\text{half}(\mathbf{c}) \in \Sigma^*(\mathbf{c})$  and  $\text{nor}(\text{half}(\mathbf{c})) \geq \text{nor}(\mathbf{c}) - r_{\mathbf{H}^*}(n)$ . Now suppose  $\mathfrak{d} \in \Sigma^*(\text{half}(\mathbf{c}))$ , so  $k^c + \lfloor \frac{1}{2}(\text{pnor}(b^c, \mathcal{B}^c) - k^c) \rfloor \leq k^{\mathfrak{d}}$ ,  $b^c \leq b^{\mathfrak{d}}$  and  $\mathcal{B}^{\mathfrak{d}} \subseteq \mathcal{B}^c$ . Also,  $k^{\mathfrak{d}} < \text{pnor}(b^{\mathfrak{d}}, \mathcal{B}^{\mathfrak{d}}) - 1$ , so  $\text{pnor}(b^{\mathfrak{d}}, \mathcal{B}^{\mathfrak{d}}) > k^c + \lfloor \frac{1}{2}(\text{pnor}(b^c, \mathcal{B}^c) - k^c) \rfloor + 1$ . Consider  $\mathfrak{d}' = (k^c, b^{\mathfrak{d}}, \mathcal{B}^{\mathfrak{d}})$ . Plainly  $\mathfrak{d}' \in \Sigma^*(\mathbf{c})$ ,  $\text{val}(\mathfrak{d}') \subseteq \text{val}(\mathfrak{d})$  and

$$\begin{aligned} \text{nor}(\mathfrak{d}') &\geq \log_{a(n)}(\lfloor \frac{1}{2}(\text{pnor}(b^c, \mathcal{B}^c) - k^c) \rfloor + 1) \geq \log_{a(n)}(\frac{1}{2}(\text{pnor}(b^c, \mathcal{B}^c) - k^c)) \\ &= \text{nor}(\mathbf{c}) - r_{\mathbf{H}^*}(n). \end{aligned}$$

It follows from 2.2(4) that

$$(*) \text{ if } \mathbf{c} \in \mathbf{K}^*(n), \text{ nor}(\mathbf{c}) > r_{\mathbf{H}^*}(n), \text{ then } \mathbf{c} \text{ is } (b^c, r_{\mathbf{H}^*}(n))\text{-big.}$$

Hence  $\mathbf{K}^*(n)$  is  $(g(n), r_{\mathbf{H}^*}(n))$ -big (remember the definition of  $\mathbf{K}^*(n)$ ).

Now suppose  $\mathbf{c} \in \mathbf{K}^*(n)$ ,  $\text{nor}(\mathbf{c}) > 1$ . Then  $\text{pnor}(b^c, \mathcal{B}^c) - k^c > 2$ , so by the definition of  $\text{pnor}$  (see 2.1(3)) we may find  $b^c \leq b_0 < b_1 < \dots < b_{M-1}$  and disjoint  $\mathcal{B}_0, \dots, \mathcal{B}_{M-1} \subseteq \mathcal{B}^c$  such that  $\text{pnor}(b_i, \mathcal{B}_i) \geq \text{pnor}(b^c, \mathcal{B}^c) - 1$  and  $(b_i)^2 \cdot 2^{|\mathcal{B}_i|^n} < b_{i+1}$ . Set

$$\mathfrak{d}^- = (k^c, b_0, \mathcal{B}_0), \quad \mathfrak{d}^+ = (k^c, b_1, \mathcal{B}_1), \quad \text{and} \quad K = |\mathcal{B}_0|.$$

Plainly,  $\mathfrak{d}^-, \mathfrak{d}^+ \in \Sigma(\mathbf{c})$ ,  $\min\{\text{nor}(\mathfrak{d}^-), \text{nor}(\mathfrak{d}^+)\} \geq \text{nor}(\mathbf{c}) - r_{\mathbf{H}^*}(n) > r_{\mathbf{H}^*}(n)$  and  $|\text{val}(\mathfrak{d}^-)| = K$ . Also  $\mathfrak{d}^+$  is hereditarily  $(2^{K^n}, r_{\mathbf{H}^*}(n))$ -big (remember  $b_1 > 2^{K^n}$ , use  $(*)$ ). Thus  $\mathfrak{d}^-, \mathfrak{d}^+$  witness that  $\mathbf{c}$  is  $(K, n, r_{\mathbf{H}^*}(n))$ -decisive.  $\square$

**Definition 2.4.** (1) For a cardinal  $\lambda$  we consider the forcing notion  $\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)$  determined by the creating pair  $(\mathbf{K}^*, \Sigma^*)$  as in 1.3(2). For  $\alpha < \lambda$ , a  $\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)$ -name  $\rho_\alpha$  is defined by

$$\Vdash_{\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)} \rho_\alpha = \bigcup \{p(\alpha, n) : \alpha \in \text{dom}(p) \ \& \ n < \text{trunklg}(p, \alpha) \ \& \ p \in G_{\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)}\}.$$

$$(2) \text{ For } \rho \in \prod_{n < \omega} \mathbf{H}^*(n) \text{ we set } F(\rho) = \{\eta \in \omega 2 : (\forall^\infty n < \omega)(\eta \prec \rho(n))\}.$$

Plainly, for each  $\alpha < \lambda$ ,  $\Vdash_{\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)} \rho_\alpha \in \prod_{n < \omega} \mathbf{H}^*(n)$ . Also, for  $\rho \in \prod_{n < \omega} \mathbf{H}^*(n)$ , the set  $F(\rho)$  is a meager and null  $\Sigma_2^0$ -subset of  $\omega 2$ .

**Theorem 2.5.** *Assume CH. Let  $\lambda$  be an uncountable cardinal,  $\lambda = \lambda^{\aleph_0}$ .*

- (1) *Forcing with  $\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)$  preserves cardinalities and cofinalities and  $\Vdash_{\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)} "2^{\aleph_0} = \lambda"$ .*
- (2) *If  $\beta < \lambda$  and  $\nu$  is a  $\mathbb{P}_{\lambda \setminus \{\beta\}}(\mathbf{K}^*, \Sigma^*)$ -name for a member of  $\omega 2$ , then  $\Vdash_{\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)} "\nu \in F(\rho_\beta)"$ .*
- (3) *Consequently,  $\Vdash_{\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)} " \text{non}(\mathcal{N}) = \text{non}(\mathcal{M}) = \lambda "$ .*

*Proof.* (1) It follows from 2.3+1.4(2)+1.7.

(2) The proof is parallel to that of [8, Lemma 9.1]. Assume  $p \in \mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)$ . Remembering 1.4(1) we may use 1.7(3) to find a condition  $q \geq p$  such that

- (\*)<sub>1</sub> the condition  $q \upharpoonright (\lambda \setminus \{\beta\}) < n$ -decides the value of  $\nu \upharpoonright N_n$  (for each  $n$ ), and
- (\*)<sub>2</sub>  $\text{trunklg}(q, \alpha) \geq 972$  for all  $\alpha \in \text{dom}(q)$  and  $\text{nor}(q(\alpha, m)) \geq 972$  whenever  $\alpha \in \text{supp}(q, m)$ , and
- (\*)<sub>3</sub>  $\beta \in \text{dom}(q)$  and if  $\text{supp}(q, m) \neq \emptyset$ , then  $|\text{supp}(q, m)| \geq 972$ .

Thus, for each  $n$ , we have a mapping  $E_n : \text{val}^\Pi(q \upharpoonright (\lambda \setminus \{\beta\}), < n) \longrightarrow N_n 2$  such that

$$(q \upharpoonright (\lambda \setminus \{\beta\})) \wedge t \Vdash_{\mathbb{P}_{\lambda \setminus \{\beta\}}(\mathbf{K}^*, \Sigma^*)} "\nu \upharpoonright N_n = E_n(t)".$$

We will further strengthen  $q$  to a condition  $q^*$  such that  $\text{dom}(q^*) = \text{dom}(q)$  and

- (\*)<sup>goal</sup> for all  $n \geq \text{trunklg}(q^*, \beta)$  and  $t \in \text{val}^\Pi(q^* \upharpoonright (\lambda \setminus \{\beta\}), < (n+1))$  we have

$$(\forall B \in q^*(\beta, n))(E_{n+1}(t) \prec B).$$

Then clearly we will have  $q^* \Vdash_{\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)} "\nu \in F(\rho_\beta)"$  and the proof of 2.5(2) will follow by the standard density argument.

To construct the condition  $q^*$  we set  $\text{dom}(q^*) = \text{dom}(q)$ ,  $\text{trunklg}(q^*, \alpha) = \text{trunklg}(q, \alpha)$ , and we define  $q^*(\alpha, m)$  by induction on  $m$  so that:

- $q^*(\alpha, m) = q(\alpha, m)$  whenever  $\alpha \notin \text{supp}(q, m)$  or  $\beta \notin \text{supp}(q, m)$ , and
- $q^*(\alpha, m) \in \Sigma^*(q(\alpha, m))$ ,  $\text{nor}(q^*(\alpha, m)) \geq \text{nor}(q(\alpha, m)) - 2$  for  $\alpha \in \text{supp}(q, m)$ .

These demands guarantee that  $q^*$  is a condition in  $\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)$  stronger than  $q$ .

Fix an  $n \geq \text{trunklg}(q, \beta)$ . Put  $A = \text{supp}(q, n)$  and note that  $\beta \in A$ ,  $A$  has at least 972 elements (remember (\*)<sub>3</sub>), and  $|A| < n$  (by 1.3(2)( $\gamma$ )).

Set  $\mathfrak{c}_\alpha^0 = q(\alpha, n)$  for  $\alpha \in A$ .

We choose inductively an enumeration  $\{\alpha_0, \dots, \alpha_{|A|-1}\}$  of  $A$  and creatures  $\mathfrak{c}_{\alpha_k}^\ell$  (for  $\ell \leq k < |A|$ ) and  $\mathfrak{d}_{\alpha_k}$  from  $\Sigma^*(\mathfrak{c}_{\alpha_k}^0)$ . So assume that for some  $\ell \geq 0$  we already have defined a list  $\{\alpha_k : k < \ell\}$  of distinct elements of  $A$  and creatures  $\mathfrak{c}_\alpha^\ell$  for  $\alpha \in A \setminus \{\alpha_0, \dots, \alpha_{\ell-1}\}$ . Each  $\mathfrak{c}_\alpha^\ell$  is  $(K_\alpha^\ell, n, r_{\mathbf{H}^*}(n))$ -decisive for some  $K_\alpha^\ell$ . Put  $K_\ell = \min(\{K_\alpha^\ell : \alpha \in A \setminus \{\alpha_0, \dots, \alpha_{\ell-1}\}\})$ , and choose  $\alpha_\ell$  such that  $K_{\alpha_\ell}^\ell = K_\ell$ . Let  $\mathfrak{d}_{\alpha_\ell} \in \Sigma^*(\mathfrak{c}_{\alpha_\ell}^\ell)$  be such that  $|\text{val}(\mathfrak{d}_{\alpha_\ell})| \leq K_\ell$  and  $\text{nor}(\mathfrak{d}_{\alpha_\ell}) \geq \text{nor}(\mathfrak{c}_{\alpha_\ell}^\ell) - r_{\mathbf{H}^*}(n)$ . For  $\alpha \in A \setminus \{\alpha_0, \dots, \alpha_\ell\}$ , let  $\mathfrak{c}_\alpha^{\ell+1} \in \Sigma^*(\mathfrak{c}_\alpha^\ell)$  be hereditarily  $(2^{(K_\ell)^n}, r_{\mathbf{H}^*}(n))$ -big and such that  $\text{nor}(\mathfrak{c}_\alpha^{\ell+1}) \geq \text{nor}(\mathfrak{c}_\alpha^\ell) - r_{\mathbf{H}^*}(n)$ . Iterate this procedure  $|A| - 1$  times. At the end, there remains one  $\alpha$  that has not been listed as an  $\alpha_\ell$ , so we set  $\alpha_{|A|-1} = \alpha$  and  $\mathfrak{d}_{\alpha_{|A|-1}} = \mathfrak{c}_\alpha^{|A|-1}$ .

Since  $\mathfrak{c}_{\alpha_{\ell+1}}^{\ell+1}$  is hereditarily  $(2^{(K_\ell)^n}, r_{\mathbf{H}^*}(n))$ -big, we see that  $2^{(K_\ell)^n} < K_{\ell+1}$ . Let  $m$  be such that  $\beta = \alpha_m$ , and put

$$K = K_m, \quad S = \{\alpha_\ell : \ell < m\}, \quad L = \{\alpha_\ell : \ell > m\}.$$

It is possible that (at most) one of the sets  $S, L$  is empty. By our choices,

- (\*)<sub>4</sub> (a)  $\mathfrak{d}_\alpha \in \Sigma^*(q(\alpha, n))$ ,  $\text{nor}(\mathfrak{d}_\alpha) \geq \text{nor}(q(\alpha, n)) - (n-1) \cdot r_{\mathbf{H}^*}(n) > 900$ , and



- (b) if  $S \neq \emptyset$  then  $\mathfrak{d}_\beta$  is  $(2^{(K_{m-1})^n}, r_{\mathbf{H}^*}(n))$ -big and hence in particular  $(K_{m-1})^{n-2} < K$ ; if  $S = \emptyset$  then  $K = K_0$ ,
- (c)  $\prod_{\alpha \in S} |\text{val}(\mathfrak{d}_\alpha)| \leq (K_{m-1})^{n-2} < K$  and  $|\text{val}(\mathfrak{d}_\beta)| \leq K$ ,
- (d)  $\varphi_{\mathbf{H}^*}(<n) < K_0 \leq K$  (remember that  $\mathbf{K}(n)$  is  $(g(n), r_{\mathbf{H}^*}(n))$ -big and  $g(n) > \varphi_{\mathbf{H}^*}(<n)$ ),
- (e) if  $\alpha \in L$ , then  $\mathfrak{d}_\alpha$  is  $(2^{K^n}, r_{\mathbf{H}^*}(n))$ -big.

Let  $Z = \{t \in \text{val}^\Pi(q \upharpoonright (\lambda \setminus \{\beta\}), <n+1) : t(\alpha, n) \in \text{val}(\mathfrak{d}_\alpha) \text{ for } \alpha \in A \setminus \{\beta\}\}$  and for  $s \in \prod_{\alpha \in L} \text{val}(\mathfrak{d}_\alpha)$  let  $Z_s = \{t \in Z : t(\alpha, n) = s(\alpha) \text{ for } \alpha \in L\}$ . Next, for  $t \in Z$  put  $\mathcal{C}_t = \{B \in \mathcal{B}^{\mathfrak{d}_\beta} : E_{n+1}(t) \not\prec B\}$ .

If  $S = \emptyset$ , then in what follows ignore  $\prod_{\alpha \in S} \text{val}(\mathfrak{d}_\alpha)$  and set  $K_{m-1} = 1$ . Assume  $L$  is non-empty (otherwise move to  $(*)_6$ ). For each  $s \in \prod_{\alpha \in L} \text{val}(\mathfrak{d}_\alpha)$  consider a function

$$c(s) : \text{val}^\Pi(q \upharpoonright (\lambda \setminus \{\beta\}), <n) \times \prod_{\alpha \in S} \text{val}(\mathfrak{d}_\alpha) \longrightarrow \mathcal{P}(\text{val}(\mathfrak{d}_\beta))$$

such that  $c(s)(t_0, t_1) = \mathcal{C}_{t_0 \frown t_1 \frown s}$ , where  $t_0 \frown t_1 \frown s \in Z_s$  is obtained by natural concatenation. This determines a coloring  $c$  on  $\prod_{\alpha \in L} \text{val}(\mathfrak{d}_\alpha)$  with the range of size at most

$$(2^K)^{\varphi_{\mathbf{H}^*}(<n) \cdot (K_{m-1})^{n-2}} \leq (2^K)^{K \cdot K} = 2^{K^3} < 2^{K^n}.$$

Since  $\mathbf{K}^*(n)$  is  $(n, r_{\mathbf{H}^*}(n))$ -decisive, and each  $\mathfrak{d}_\alpha$  is hereditarily  $(2^{K^n}, r_{\mathbf{H}^*}(n))$ -big (for  $\alpha \in L$ ),  $\text{nor}(\mathfrak{d}_\alpha) > 900$  and  $|L| \leq n - 2$ , therefore we may use Lemma 1.2 to find  $q^*(\alpha, n) \in \Sigma^*(\mathfrak{d}_\alpha)$  for  $\alpha \in L$  such that

- $(*)_5$  (a)  $\text{nor}(q^*(\alpha, n)) \geq \text{nor}(\mathfrak{d}_\alpha) - r_{\mathbf{H}^*}(n) \cdot n \geq \text{nor}(q(\alpha, n)) - 2$ , and
- (b)  $c \upharpoonright \prod_{\alpha \in L} \text{val}(q^*(\alpha, n))$  is constant.

If  $L = \emptyset$  then the procedure described above is not needed. In any case, letting

$$X = \text{val}^\Pi(q \upharpoonright (\lambda \setminus \{\beta\}), <n) \times \prod_{\alpha \in S} \text{val}(\mathfrak{d}_\alpha),$$

we have a mapping  $d : X \longrightarrow \mathcal{P}(\text{val}(\mathfrak{d}_\beta))$  and  $q^*(\alpha, n)$  for  $\alpha \in L$  such that

- $(*)_6$  if  $t \in X$  and  $t(\alpha, n) \in \text{val}(q^*(\alpha, n))$  for  $\alpha \in L$ , then  $\mathcal{C}_t = d(t_0, t_1)$ , where  $t_0 = t \upharpoonright ((\text{dom}(q) \setminus \{\beta\}) \times n) \in \text{val}^\Pi(q \upharpoonright (\lambda \setminus \{\beta\}), <n)$  and  $t_1 = t \upharpoonright (S \times \{n\}) \in \prod_{\alpha \in S} \text{val}(\mathfrak{d}_\alpha)$ .

For each  $(t_0, t_1) \in X$  fix one  $t = [t_0, t_1] \in Z$  such that  $t(\alpha, n) \in \text{val}(q^*(\alpha, n))$  for  $\alpha \in L$ ,  $t_0 = t \upharpoonright ((\text{dom}(q) \setminus \{\beta\}) \times n)$  and  $t_1 = t \upharpoonright (S \times \{n\})$ . Now, for  $B \in \text{val}(\mathfrak{d}_\beta)$  we (try to) choose  $(t_0^B, t_1^B) \in X$  such that  $B \in \mathcal{C}_{[t_0^B, t_1^B]}$ , if possible. Consider a coloring  $e : \text{val}(\mathfrak{d}_\beta) \longrightarrow N_{n+1} \cup \{*\}$  defined by

$$e(B) = \begin{cases} E_{n+1}(t[t_0^B, t_1^B]) & \text{if } (t_0^B, t_1^B) \in X \text{ is defined,} \\ * & \text{otherwise.} \end{cases}$$

Since  $|X| \leq \varphi_{\mathbf{H}^*}(<n) \cdot (K_{m-1})^{n-2} \leq \max\{(K_{m-1})^{n-1}, \varphi_{\mathbf{H}^*}(<n)\}$ , we know that the range of the coloring  $e$  has at most  $\max\{(K_{m-1})^{n-1}, \varphi_{\mathbf{H}^*}(<n)\} + 1$  members. Thus  $\mathfrak{d}_\beta$  is  $(|\text{rng}(e)|, r_{\mathbf{H}^*}(n))$ -big and we may choose  $q^*(\beta, n) \in \Sigma^*(\mathfrak{d}_\beta)$  such that  $\text{nor}(q^*(\beta, n)) \geq \text{nor}(\mathfrak{d}_\beta) - r_{\mathbf{H}^*}(n) \geq \text{nor}(q(\alpha, n)) - 2 > 900$  and  $e \upharpoonright \text{val}(q^*(\alpha, n))$  is

constant. If the constant value were  $\eta \in N_{n+1}2$ , then we would have  $\eta \not\prec B$  for all  $B \in \text{val}(q^*(\alpha, n))$ , contradicting  $\text{nor}(q^*(\beta, n)) > 0$ . Therefore,

(\*)<sub>7</sub>  $(t_0^B, t_1^B)$  is defined for no  $B \in \text{val}(q^*(\beta, n))$  and hence

$$\text{val}(q^*(\beta, n)) \cap \bigcup \{ \mathcal{C}_{t[t_0, t_1]} : (t_0, t_1) \in X \} = \emptyset.$$

For  $\alpha \in S$  we set  $q^*(\alpha, n) = \mathfrak{d}_\alpha$ . Now note that

(\*)<sub>8</sub> if  $t \in Z$  is such that  $t(\alpha, n) \in q^*(\alpha, n)$  for  $\alpha \in S \cup L$  and  $B \in \text{val}(q^*(\beta, n))$ , then  $E_{n+1}(t) \prec B$ .

Why? Assume towards contradiction that  $E_{n+1}(t) \not\prec B$ , i.e.,  $B \in \mathcal{C}_t$ . Represent  $t$  as  $t = t_0 \frown t_1 \frown s$  where  $(t_0, t_1) \in X$ . Then  $\mathcal{C}_t = \mathcal{C}_{t[t_0, t_1]}$  (by (\*)<sub>6</sub>) and therefore  $B \in \mathcal{C}_{t[t_0, t_1]}$ , contradicting (\*)<sub>7</sub>.

This completes the definition of  $q^*$ . It follows from (\*)<sub>8</sub> (for  $n \geq \text{trunklg}(q^*, \beta)$ ) that (\*)<sup>goal</sup> is satisfied.

(3) Follows from (2) and the fact that  $F(\rho) \in \mathcal{N} \cap \mathcal{M}$  for  $\rho \in \prod_{n < \omega} \mathbf{H}(m)$ .  $\square$

**Corollary 2.6.** *It is consistent that*

$$\text{non}(\mathcal{N}) = \text{non}(\mathcal{M}) = \text{non}(\mathcal{N} \cap \mathcal{M}) = \aleph_2 = 2^{\aleph_0} \text{ and } \mathfrak{d}\mathfrak{o} = \aleph_1.$$

*Proof.* Start with a model of CH and force with  $\mathbb{P}_{\aleph_2}(\mathbf{K}^*, \mathbf{\Sigma}^*)$ . It follows from 2.5 and 1.8 that the resulting model is as required.  $\square$

In models for the statement in Corollary 2.6 necessarily  $\text{cov}(\mathcal{N}) = \text{cov}(\mathcal{M}) = \aleph_1$ . However, it is not clear if we could not get a parallel result for  $\mathfrak{d}_B$  and  $\text{cov}$ .

**Problem 2.7.** Is it consistent that

$$\text{cov}(\mathcal{N}) = \text{cov}(\mathcal{M}) = \aleph_2 = 2^{\aleph_0} \text{ and } \mathfrak{d}_B = \aleph_1 ?$$

In particular, is it consistent that  $\mathfrak{d}\mathfrak{o} > \mathfrak{d}_B$  ?

Directly from 2.6 we also obtain

**Corollary 2.8.** *It is consistent that  $\text{non}(\mathcal{N} \cap \mathcal{M}) = \aleph_2$  and there is no  $\subset$ -increasing chain of Borel subset of  ${}^\omega 2$  of length  $\omega_2$ .*

### 3. MONOTONE HULLS

The interest in Corollary 2.8 came from the questions concerning Borel hulls.

**Definition 3.1.** Let  $\text{Borel}({}^\omega 2)$  be the family of all Borel subsets of  ${}^\omega 2$ ,  $\mathcal{I}$  be a  $\sigma$ -ideal on  ${}^\omega 2$  with Borel basis and  $\mathcal{S}_{\mathcal{I}}$  be the  $\sigma$ -algebra of subsets of  ${}^\omega 2$  generated by  $\text{Borel}({}^\omega 2) \cup \mathcal{I}$ . Let  $\mathcal{F} \subseteq \mathcal{S}_{\mathcal{I}}$ . A *monotone Borel hull* on  $\mathcal{F}$  with respect to  $\mathcal{I}$  is a mapping  $\psi : \mathcal{F} \rightarrow \text{Borel}({}^\omega 2)$  such that

- $A \subseteq \psi(A)$  and  $\psi(A) \setminus A \in \mathcal{I}$  for all  $A \in \mathcal{F}$ , and
- if  $A_1 \subseteq A_2$  are from  $\mathcal{F}$ , then  $\psi(A_1) \subseteq \psi(A_2)$ .

If the range of  $\psi$  consists of sets of some Borel class  $\mathcal{K}$ , then we say that  $\psi$  is a monotone  $\mathcal{K}$  hull operation.

As discussed in Balcerzak and Filipczak [1, Question 24], 2.8 implies the following.

**Corollary 3.2.** *It is consistent that*

- there are no monotone Borel hulls on  $\mathcal{M}$  with respect to  $\mathcal{M}$ , and
- there are no monotone Borel hulls on  $\mathcal{N}$  with respect to  $\mathcal{N}$ , and

- there are no monotone Borel hulls on  $\mathcal{M} \cap \mathcal{N}$  with respect to  $\mathcal{M} \cap \mathcal{N}$ .

The non-existence of monotone Borel hulls on  $\mathcal{I}$  implies non-existence of such hulls on  $\mathcal{S}_{\mathcal{I}}$ . While some partial results were presented in [7] and [1], not much is known about the converse implication.

**Problem 3.3** (Cf. Balcerzak and Filipczak [1, Question 26]). Let  $\mathcal{I} \in \{\mathcal{M}, \mathcal{N}\}$ . Is it consistent that there exists a monotone Borel hull on  $\mathcal{I}$  (with respect to  $\mathcal{I}$ ) but there is no such hull on  $\mathcal{S}_{\mathcal{I}}$ ? In particular, is it consistent that  $\text{add}(\mathcal{I}) = \text{cof}(\mathcal{I})$  but there is no monotone Borel hull on  $\mathcal{S}_{\mathcal{I}}$ ?

It was noted in [1, Proposition 7] (see also Elekes and Máthé [7, Theorem 2.4]) that  $\text{add}(\mathcal{I}) = \text{cof}(\mathcal{I})$  implies that there exists a monotone Borel hull on  $\mathcal{I}$  (with respect to  $\mathcal{I}$ ). It appears that was the only situation in which the positive result of this kind was known. Using a finite support iteration of ccc forcing notions we will show in this section that, consistently, we may have  $\text{add}(\mathcal{I}) < \text{cof}(\mathcal{I})$  (for  $\mathcal{I} \in \{\mathcal{N}, \mathcal{M}\}$ ) and yet there are monotone hulls for  $\mathcal{I}$ .

**Definition 3.4.** Let  $\mathcal{I}$  be an ideal of subsets of  $\omega^2$ .

- (1) We say that a family  $\mathcal{B} \subseteq \text{Borel}(\omega^2) \cap \mathcal{I}$  is an *mhg-base* for  $\mathcal{I}$  if<sup>3</sup>
  - (a)  $\mathcal{B}$  is a basis for  $\mathcal{I}$ , i.e.,  $(\forall A \in \mathcal{I})(\exists B \in \mathcal{B})(A \subseteq B)$ , and
  - (b) if  $\langle B_i : i < \omega_1 \rangle$  is a sequence of elements of  $\mathcal{B}$ , then for some  $i < j < \omega_1$  we have  $B_i \subseteq B_j$ .
- (2) Let  $\alpha^*, \beta^*$  be limit ordinals. An  $\alpha^* \times \beta^*$ -base for  $\mathcal{I}$  is a sequence  $\langle B_{\alpha, \beta} : \alpha < \alpha^* \ \& \ \beta < \beta^* \rangle$  of Borel sets from  $\mathcal{I}$  such that it forms a basis for  $\mathcal{I}$  (i.e.,
  - (a) above holds) and
  - (c) for each  $\alpha_0, \alpha_1 < \alpha^*, \beta_0, \beta_1 < \beta^*$  we have

$$B_{\alpha_0, \beta_0} \subseteq B_{\alpha_1, \beta_1} \iff \alpha_0 \leq \alpha_1 \ \& \ \beta_0 \leq \beta_1.$$

**Proposition 3.5.** Assume that  $\langle B_{\alpha, \beta} : \alpha < \alpha^* \ \& \ \beta < \beta^* \rangle$  is an  $\alpha^* \times \beta^*$ -base for  $\mathcal{I}$ . Then:

- (i)  $B_{\alpha, \beta} \neq B_{\alpha', \beta'}$  whenever  $(\alpha, \beta) \neq (\alpha', \beta')$ ,  $\alpha, \alpha' < \alpha^*$ ,  $\beta, \beta' < \beta^*$ .
- (ii)  $\{B_{\alpha, \beta} : \alpha < \alpha^* \ \& \ \beta < \beta^*\}$  is an *mhg-base* for  $\mathcal{I}$ .
- (iii)  $\text{add}(\mathcal{I}) = \min\{\text{cf}(\alpha^*), \text{cf}(\beta^*)\}$  and  $\text{cof}(\mathcal{I}) = \max\{\text{cf}(\alpha^*), \text{cf}(\beta^*)\}$ .

*Proof.* Straightforward. □

**Proposition 3.6.** Suppose that an ideal  $\mathcal{I}$  on  $\omega^2$  has an *mhg-base*  $\mathcal{B} \subseteq \text{Borel}(\omega^2) \cap \mathcal{I}$ . Then there exists a monotone hull operation  $\psi : \mathcal{I} \rightarrow \text{Borel}(\omega^2) \cap \mathcal{I}$  on  $\mathcal{I}$ . If, additionally,  $\mathcal{B} \subseteq \Pi_{\xi}^0$ ,  $\xi < \omega_1$ , then  $\psi$  can be taken to have values in  $\Pi_{\xi}^0$ .

*Proof.* For a set  $A \in \mathcal{I}$  let  $\mathcal{S}_A$  be the family of all sequences  $\bar{B} = \langle B_i : i < \gamma \rangle \subseteq \mathcal{B}$  satisfying

- (\*)<sub>1</sub>  $(\forall i < \gamma)(A \subseteq B_i)$  and
- (\*)<sub>2</sub>  $(\forall i < j < \gamma)(B_i \not\subseteq B_j)$ .

Note that for each  $\bar{B} \in \mathcal{S}_A$  we have  $\ell g(\bar{B}) < \omega_1$  (by 3.4(1)(b) and (\*)<sub>2</sub>). Clearly, every  $\leq$ -increasing chain of elements of  $\mathcal{S}_A$  has a  $\leq$ -upper bound in  $\mathcal{S}_A$ , so we may choose  $\bar{B}_A = \langle B_i^A : i < \gamma_A \rangle \in \mathcal{S}_A$  which has no proper extension in  $\mathcal{S}_A$ . Put  $\psi(A) = \bigcap_{i < \gamma_A} B_i^A$ . Plainly,  $A \subseteq \psi(A) \in \mathcal{I}$  and  $\psi(A)$  is a Borel set, and if  $\mathcal{B} \subseteq \Pi_{\xi}^0$  then also  $\psi(A) \in \Pi_{\xi}^0$ .

<sup>3</sup>“mhg” stands for “monotone hull generating”

**Claim 3.6.1.**  $\psi(A) = \bigcap\{B \in \mathcal{B} : A \subseteq B\}$

*Proof of the Claim.* By  $(*)_1$  we see that  $\psi(A) \supseteq \bigcap\{B \in \mathcal{B} : A \subseteq B\}$ . To show the converse inclusion suppose  $B \in \mathcal{B}$ ,  $A \subseteq B$ . By the choice of  $\bar{B}_A$  we know that  $\bar{B}_A \frown \langle B \rangle \notin \mathcal{S}_A$  and hence  $B_i^A \subseteq B$  for some  $i < \gamma_A$ . Consequently  $\psi(A) \subseteq B$ .  $\square$

It follows from the above claim that  $A_1 \subseteq A_2 \in \mathcal{I}$  implies  $\psi(A_1) \subseteq \psi(A_2)$ .  $\square$

Bartoszyński and Kada [3] showed that for any  $\sigma$ -directed partial order  $Q$  there is a ccc forcing notion  $\mathbb{P}$  such that

$\Vdash_{\mathbb{P}}$  “  $\mathcal{M}$  has a basis order isomorphic to  $Q$  with respect to set-inclusion ”.

A parallel result for  $\mathcal{N}$  was given by Burke and Kada [5]. These theorems imply that for uncountable cardinals  $\kappa$  and  $\lambda$  we may force that  $\mathcal{M}$  has a  $\kappa \times \lambda$ -basis, and we may also force that  $\mathcal{N}$  has a  $\kappa \times \lambda$ -basis. The corresponding forcing notions (for both cases) were essentially versions of “FS iterations with partial memories” used in Shelah [13, 14, 15], Mildenberger and Shelah [10] and Shelah and Thomas [16]. We will use explicitly the method of “FS iterations with partial memories” to construct a model in which *both* ideals have  $\kappa \times \lambda$ -bases.

**Theorem 3.7.** *Let  $\kappa, \lambda$  be cardinals of uncountable cofinality,  $\kappa \leq \lambda$ . There is a ccc forcing notion  $\mathbb{Q}^{\kappa, \lambda}$  of size  $\lambda^{\aleph_0}$  such that*

$\Vdash_{\mathbb{Q}^{\kappa, \lambda}}$  “ the meager ideal  $\mathcal{M}$  has a  $\kappa \times \lambda$ -basis consisting of  $\Sigma_2^0$  sets, and the null ideal  $\mathcal{N}$  has a  $\kappa \times \lambda$ -basis consisting of  $\Pi_2^0$  sets ”.

*Proof.* The forcing notion  $\mathbb{Q}^{\kappa, \lambda}$  will be obtained by means of finite support iteration of ccc forcing notions. The iterands will be products of the Amoeba for Category  $\mathbb{B}$  and Amoeba for Measure  $\mathbb{A}$  but *considered over partial sub-universes only*.

We will use the notation and some basic facts stated in the third section of [16].

Let us recall the forcings  $\mathbb{A}$  and  $\mathbb{B}$  used as iterands.

- A condition in  $\mathbb{A}$  is a tree  $T \subseteq \omega^{>2}$  such that  $\mu([T]) > \frac{1}{2}$  and  $\mu([t] \cap [T]) > 0$  for all  $t \in T$ . The order  $\leq_{\mathbb{A}}$  of  $\mathbb{A}$  is the reverse inclusion.
- A condition in  $\mathbb{B}$  is a pair  $(n, T)$  such that  $n \in \omega$ ,  $T \subseteq \omega^{>2}$  is a tree with no maximal nodes and  $[T]$  is a nowhere dense subset of  $\omega^2$ . The order  $\leq_{\mathbb{B}}$  of  $\mathbb{B}$  is given by:  
 $(n, T) \leq_{\mathbb{B}} (n', T')$  if and only if  $n \leq n'$ ,  $T \subseteq T'$  and  $T \cap n^2 = T' \cap n^2$ .

Both  $\mathbb{A}$  and  $\mathbb{B}$  are (nice definitions of) ccc forcing notions,  $\mathbb{B}$  is  $\sigma$ -centered and if  $\mathbf{V}' \subseteq \mathbf{V}''$  are universes of set theory then  $\mathbb{A}^{\mathbf{V}'}$  is still ccc in  $\mathbf{V}''$ . We will use the following immediate properties of these forcing notions.

- ( $\otimes$ )<sub>1</sub> If  $G \subseteq \mathbb{A}$  is generic over  $\mathbf{V}$ ,  $F = \bigcap\{[T] : T \in G\}$ , then  $F$  is a closed subset of  $\omega^2$ ,  $\mu(F) = \frac{1}{2}$  and  $F$  is disjoint from every Borel null set coded in  $\mathbf{V}$ . Hence the set  $F^* = \{x \in \omega^2 : (\forall y \in F)(\exists^\infty n)(x(n) \neq y(n))\}$  is a null  $\Pi_2^0$  set and it includes all Borel null sets coded in  $\mathbf{V}$ .  
 Let  $\bar{F}_{\mathbb{A}}, \bar{F}_{\mathbb{A}}^*$  be  $\mathbb{A}$ -names for the sets  $F, F^*$ , respectively.
- ( $\otimes$ )<sub>2</sub> If  $G \subseteq \mathbb{B}$  is generic over  $\mathbf{V}$ ,  $F = \bigcup\{[T] : (\exists n)((n, T) \in G)\}$ , then  $F$  is a closed nowhere dense subset of  $\omega^2$ . Letting  $F^* = \{x \in \omega^2 : (\exists y \in F)(\forall^\infty n)(x(n) = y(n))\}$  we get a meager  $\Sigma_2^0$  set including all Borel meager sets coded in  $\mathbf{V}$ .  
 Let  $\bar{F}_{\mathbb{B}}, \bar{F}_{\mathbb{B}}^*$  be  $\mathbb{B}$ -names for the sets  $F, F^*$ , respectively.
- ( $\otimes$ )<sub>3</sub> If  $T \in \mathbb{A}$ ,  $t \in T$ , then there is  $T' \geq_{\mathbb{A}} T$  such that  $T' \Vdash_{\mathbb{A}} [t] \cap \bar{F}_{\mathbb{A}} \neq \emptyset$ .

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- ( $\otimes$ )<sub>3</sub><sup>b</sup> If  $T \in \mathbb{A}$ ,  $n \in \omega$ , then there is  $N > n$  such that for each  $\nu \in {}^{[n, N]}2$  there is  $T' \geq_{\mathbb{A}} T$  with  $T' \Vdash_{\mathbb{A}} (\forall y \in \underline{F}_{\mathbb{A}})(y \upharpoonright [n, N] \neq \nu)$ .
- ( $\otimes$ )<sub>4</sub><sup>a</sup> If  $(n, T) \in \mathbb{B}$ ,  $t \in T$ ,  $\ell g(t) \leq n$ ,  $m_1 > m_0 \geq n$  and  $\nu \in {}^{[m_0, m_1]}2$ , then there are  $(n', T') \geq_{\mathbb{B}} (n, T)$  and  $s \in T'$  such that  $t \triangleleft s$  and  $s \upharpoonright [m_0, m_1] = \nu$  (and  $(n', T') \Vdash_{\mathbb{B}} [s] \cap \underline{F}_{\mathbb{B}} \neq \emptyset$ ).
- ( $\otimes$ )<sub>4</sub><sup>b</sup> If  $(n, T) \in \mathbb{B}$ ,  $m_0 < \omega$ , then there are  $m_1 > m_0$  and  $\nu \in {}^{[m_0, m_1]}2$  and  $(n', T') \geq_{\mathbb{B}} (n, T)$  such that  $(n', T') \Vdash_{\mathbb{B}} (\forall y \in \underline{F}_{\mathbb{B}})(y \upharpoonright [m_0, m_1] \neq \nu)$ .

Fix an ordinal  $\gamma$  and a bijection  $\pi : \kappa \times \lambda \xrightarrow{\text{onto}} \gamma$  such that

$$\alpha_0 \leq \alpha_1 < \kappa \ \& \ \beta_0 \leq \beta_1 < \lambda \quad \Rightarrow \quad \pi(\alpha_0, \beta_0) \leq \pi(\alpha_1, \beta_1).$$

For  $i = \pi(\alpha_1, \beta_1)$  let  $a_i = \{\pi(\alpha_0, \beta_0) : \alpha_0 \leq \alpha_1 \ \& \ \beta_0 \leq \beta_1\} \setminus \{i\}$ . We say that a set  $b \subseteq \gamma$  is *closed* if  $a_i \subseteq b$  for all  $i \in b$ . It follows from our choice of  $\pi$  that for each  $i < \gamma$  we have

- ( $\otimes$ )<sub>5</sub>  $a_i \subseteq i$  and the sets  $a_i, i, a_i \cup \{i\}$  are closed.

Now, by induction we define  $\langle \mathbb{P}_i, \mathbb{Q}_i, \underline{F}_i^0, \underline{F}_i^1, \underline{F}_i^{\mathbb{A}}, \underline{F}_i^{\mathbb{B}} : i < \gamma \rangle$  and  $\mathbb{P}_b^*$  for closed  $b \subseteq \gamma$  simultaneously proving the correctness of the definition and the desired properties listed below.<sup>4</sup>

- ( $\otimes$ )<sub>6</sub>  $\langle \mathbb{P}_j, \mathbb{Q}_i : j \leq \gamma, i < \gamma \rangle$  is a finite support iteration of ccc forcing notions.
- ( $\otimes$ )<sub>7</sub>  $\mathbb{P}_b^* = \{p \in \mathbb{P}_\gamma : \text{supp}(p) \subseteq b \ \& \ p(i) \text{ is a } \mathbb{P}_{a_i}^* \text{-name (for a member of } \mathbb{Q}_i) \text{ for each } i \in \text{supp}(p)\}$ .
- ( $\otimes$ )<sub>8</sub>  $\mathbb{P}_b^*$  is a complete suborder of  $\mathbb{P}_\gamma$ ,  $\mathbb{P}_{a_i \cup \{i\}}^*$  is isomorphic with the composition  $\mathbb{P}_{a_i}^* * \mathbb{Q}_i$ .
- ( $\otimes$ )<sub>9</sub>  $\mathbb{Q}_i$  is a  $\mathbb{P}_{a_i}^*$ -name for the product<sup>5</sup>  $\mathbb{A} \times \mathbb{B}$ .
- ( $\otimes$ )<sub>10</sub>  $\underline{F}_i^0, \underline{F}_i^1, \underline{F}_i^{\mathbb{A}}, \underline{F}_i^{\mathbb{B}}$  are  $\mathbb{P}_{a_i \cup \{i\}}^*$ -names for the sets  $\underline{F}_{\mathbb{A}}, \underline{F}_{\mathbb{B}}, \underline{F}_{\mathbb{A}}^*, \underline{F}_{\mathbb{B}}^*$  added by the forcings at the last coordinate of  $\mathbb{P}_{a_i \cup \{i\}}^* \simeq \mathbb{P}_{a_i}^* * (\mathbb{A} \times \mathbb{B})$ .
- ( $\otimes$ )<sub>11</sub> (a)  $\mathbb{P}_i^*$  is a dense subset of  $\mathbb{P}_i$  (for  $i \leq \gamma$ ).  
 (b) If  $q \in \mathbb{P}_\gamma^*$ , then  $q \upharpoonright b \in \mathbb{P}_b^*$ .  
 (c) If  $p, q \in \mathbb{P}_\gamma^*$ ,  $p \leq q$  and  $i \in \text{supp}(q)$  then  $p \upharpoonright a_i \leq_{\mathbb{P}_{a_i}^*} q \upharpoonright a_i$  and  $q \upharpoonright a_i \Vdash_{\mathbb{P}_{a_i}^*} p(i) \leq q(i)$ .  
 (d) If  $q \in \mathbb{P}_\gamma^*$ ,  $p \in \mathbb{P}_b^*$  and  $p \leq q$ , then  $p \leq_{\mathbb{P}_b^*} q \upharpoonright b$ .  
 (e) If  $q \in \mathbb{P}_b^*$ ,  $p \in \mathbb{P}_\gamma^*$ ,  $p \upharpoonright b \leq_{\mathbb{P}_b^*} q$  and  $r$  is defined by

$$r(\xi) = \begin{cases} q(\xi) & \text{if } \xi \in b, \\ p(\xi) & \text{otherwise} \end{cases} \quad \text{for } \xi < \gamma$$

then  $r \in \mathbb{P}_\gamma^*$  and  $r \geq q, r \geq p$ .

Also,

- ( $\otimes$ )<sub>12</sub> if  $\tau$  is a canonical<sup>6</sup>  $\mathbb{P}_\gamma^*$ -name for a member of  ${}^\omega 2$ , then  $\tau$  is a  $\mathbb{P}_{a_i}^*$ -name for some  $i < \gamma$ .

[Why? Note that if  $(\alpha_n, \beta_n) \in \kappa \times \lambda$ ,  $n < \omega$ , then there is  $(\alpha^*, \beta^*) \in \kappa \times \lambda$  such that  $\alpha_n \leq \alpha^*, \beta_n \leq \beta^*$  for all  $n < \omega$ .]

The main technical point of our argument is given in the following observation.

<sup>4</sup>See [16, 3.1–3.7] for the order in which these should be shown.

<sup>5</sup>Since  $\mathbb{B}^{\mathbb{P}_{a_i}^*}$  is  $\sigma$ -centered we know that the product is ccc.

<sup>6</sup>i.e., determined in a standard way by a sequence of maximal antichains

( $\otimes$ )<sub>13</sub> Suppose  $i, j < \gamma$ ,  $i \notin a_j$ ,  $j \notin a_i$ ,  $i \neq j$ ,  $\ell \in \{0, 1\}$ . Assume that  $p \in \mathbb{P}_\gamma^*$ ,  $\eta \in {}^n 2$ ,  $n < \omega$  and  $p \Vdash_{\mathbb{P}_\gamma^*} [\eta] \cap \underline{F}_i^\ell \neq \emptyset$ . Then there are  $\nu \in {}^{[n, N]} 2$ ,  $n < N < \omega$  and  $q \geq_{\mathbb{P}_\gamma^*} p$  such that

$$q \Vdash_{\mathbb{P}_\gamma^*} \text{“ } [\eta \smallfrown \nu] \cap \underline{F}_i^\ell \neq \emptyset \text{ and } (\forall y \in \underline{F}_j^\ell)(y \upharpoonright [n, N] \neq \nu) \text{”}.$$

[Why? Let us provide detailed arguments for  $\ell = 0$ . By ( $\otimes$ )<sub>3</sub><sup>b</sup> + ( $\otimes$ )<sub>9</sub> + ( $\otimes$ )<sub>11</sub> we may find  $N > n$  and a condition  $p'_0 \in \mathbb{P}_{a_j}^*$  such that  $p'_0 \geq p \upharpoonright a_j$  and

$$p'_0 \Vdash_{\mathbb{P}_{a_j}^*} \text{“ for each } \nu \in {}^{[n, N]} 2 \text{ there is } p_j \geq_{\mathbb{Q}_j} p(j) \text{ such that } p_j \Vdash_{\mathbb{Q}_j} (\forall y \in \underline{F}_\mathbb{A})(y \upharpoonright [n, N] \neq \nu) \text{”}.$$

Let  $p_0 \in \mathbb{P}_\gamma^*$  be such that  $p_0(\xi) = p'_0(\xi)$  for  $\xi \in a_j$  and  $p_0(\xi) = p(\xi)$  otherwise (see ( $\otimes$ )<sub>11</sub>(e)); so  $p_0$  is a common extension of  $p'_0$  and  $p$ . Note that  $p_0(j) = p(j)$ . Use ( $\otimes$ )<sub>3</sub><sup>a</sup> to choose  $\nu \in {}^{[n, N]} 2$  and a condition  $p'_1 \in \mathbb{P}_{a_i \cup \{i\}}^*$  such that  $p'_1 \geq p_0 \upharpoonright (a_i \cup \{i\})$  and  $p'_1 \Vdash_{\mathbb{P}_{a_i \cup \{i\}}^*} [\eta \smallfrown \nu] \cap \underline{F}_i^0 \neq \emptyset$ . Let  $p_1 \in \mathbb{P}_\gamma^*$  be such that  $p_1(\xi) = p'_1(\xi)$  if  $\xi \in a_i \cup \{i\}$  and  $p_1(\xi) = p_0(\xi)$  otherwise. Then  $p_1$  is stronger than both  $p'_1$  and  $p_0$ , and  $p_1(j) = p_0(j) = p(j)$ . Hence

$$p_1 \upharpoonright a_j \Vdash_{\mathbb{P}_{a_j}^*} \text{“ there is } p_j \geq_{\mathbb{Q}_j} p_1(j) \text{ such that } p_j \Vdash_{\mathbb{Q}_j} (\forall y \in \underline{F}_\mathbb{A})(y \upharpoonright [n, N] \neq \nu) \text{”}.$$

Let  $q(j)$  be a  $\mathbb{P}_{a_j}^*$ -name for a  $p_j$  as above and let  $q(\xi) = p_1(\xi)$  for  $\xi \neq j$ . Clearly  $q \in \mathbb{P}_\gamma^*$  and  $q \upharpoonright (a_j \cup \{j\}) \Vdash_{\mathbb{P}_{a_j \cup \{j\}}^*} (\forall y \in \underline{F}_j^0)(y \upharpoonright [n, N] \neq \nu)$ , and (as  $q \upharpoonright (a_i \cup \{i\}) = p_1 \upharpoonright (a_i \cup \{i\}) = p'_1 \upharpoonright (a_i \cup \{i\}) \Vdash_{\mathbb{P}_{a_i \cup \{i\}}^*} [\eta \smallfrown \nu] \cap \underline{F}_i^0 \neq \emptyset$ ). Using ( $\otimes$ )<sub>8</sub> + ( $\otimes$ )<sub>10</sub> + ( $\otimes$ )<sub>11</sub> we get that the condition  $q$  is as required. If  $\ell = 1$  then the arguments are similar, but instead of ( $\otimes$ )<sub>3</sub><sup>a</sup>, ( $\otimes$ )<sub>3</sub><sup>b</sup> we use ( $\otimes$ )<sub>4</sub><sup>a</sup>, ( $\otimes$ )<sub>4</sub><sup>b</sup>.]

For  $\alpha < \kappa$ ,  $\beta < \lambda$  let  $B_{\alpha, \beta}^\mathbb{A} = \underline{F}_{\pi(\alpha, \beta)}^\mathbb{A}$  and  $B_{\alpha, \beta}^\mathbb{B} = \underline{F}_{\pi(\alpha, \beta)}^\mathbb{B}$ . Immediately from ( $\otimes$ )<sub>12</sub> + ( $\otimes$ )<sub>1</sub> + ( $\otimes$ )<sub>2</sub> we conclude that

$$(\otimes)_{14} \Vdash_{\mathbb{P}_\gamma^*} \text{“ } \{B_{\alpha, \beta}^\mathbb{A} : \alpha < \kappa \ \& \ \beta < \lambda\} \text{ is a basis for } \mathcal{N} \text{ and } \{B_{\alpha, \beta}^\mathbb{B} : \alpha < \kappa \ \& \ \beta < \lambda\} \text{ is a basis for } \mathcal{M} \text{”}$$

and

$$(\otimes)_{15} \text{ if } \alpha_0 \leq \alpha_1 < \kappa, \beta_0 \leq \beta_1 < \lambda, (\alpha_0, \beta_0) \neq (\alpha_1, \beta_1), \text{ then}$$

$$\Vdash_{\mathbb{P}_\gamma^*} \text{“ } B_{\alpha_0, \beta_0}^\mathbb{A} \subsetneq B_{\alpha_1, \beta_1}^\mathbb{A} \ \& \ B_{\alpha_0, \beta_0}^\mathbb{B} \subsetneq B_{\alpha_1, \beta_1}^\mathbb{B} \text{”}.$$

Also

$$(\otimes)_{16} \text{ if } \alpha_0, \alpha_1 < \kappa, \beta_0, \beta_1 < \lambda \text{ and } \neg(\alpha_0 \leq \alpha_1 \ \& \ \beta_0 \leq \beta_1) \text{ then}$$

$$\Vdash_{\mathbb{P}_\gamma^*} \text{“ } B_{\alpha_0, \beta_0}^\mathbb{A} \not\subseteq B_{\alpha_1, \beta_1}^\mathbb{A} \ \& \ B_{\alpha_0, \beta_0}^\mathbb{B} \not\subseteq B_{\alpha_1, \beta_1}^\mathbb{B} \text{”}.$$

[Why? If  $\alpha_1 \leq \alpha_0$  and  $\beta_1 \leq \beta_0$ , then ( $\otimes$ )<sub>15</sub> applies, so we may assume additionally  $\neg(\alpha_1 \leq \alpha_0 \ \& \ \beta_1 \leq \beta_0)$ . Then our assumptions on  $\alpha_0, \alpha_1, \beta_0, \beta_1$  mean that, letting  $j = \pi(\alpha_0, \beta_0)$  and  $i = \pi(\alpha_1, \beta_1)$ , we have  $i \notin a_j$ ,  $j \notin a_i$ ,  $i \neq j$ . So using ( $\otimes$ )<sub>13</sub> for  $\ell = 0$  we easily build a  $\mathbb{P}_\gamma^*$ -name  $\eta$  for a member of  ${}^\omega 2$  such that

$$\Vdash_{\mathbb{P}_\gamma^*} \text{“ } \eta \in [\underline{F}_i^0] \subseteq {}^\omega 2 \setminus \underline{F}_i^\mathbb{A} = {}^\omega 2 \setminus B_{\alpha_1, \beta_1}^\mathbb{A} \ \& \ \eta \in \underline{F}_j^\mathbb{A} = B_{\alpha_0, \beta_0}^\mathbb{A} \text{”}.$$

Similarly, using ( $\otimes$ )<sub>13</sub> for  $\ell = 1$  and interchanging the role of  $i$  and  $j$  we may construct a  $\mathbb{P}_\gamma^*$ -name  $\eta'$  such that  $\Vdash_{\mathbb{P}_\gamma^*} \text{“ } \eta' \in B_{\alpha_0, \beta_0}^\mathbb{B} \setminus B_{\alpha_1, \beta_1}^\mathbb{B} \text{”}.$  ]

Finally we note that  $\mathbb{P}_\gamma^*$  has a dense subset of size  $\lambda^{\aleph_0}$ , so we may choose it as our desired forcing  $\mathbb{Q}^{\kappa, \lambda}$ .  $\square$

*Remark 3.8.* In a manner similar to our proof of  $(\otimes)_{13}$  above one may argue for the following stronger property.

$(\otimes)_{13}^2$  Suppose  $i, j < \gamma$ ,  $i \notin a_j$ ,  $j \notin a_i$ ,  $i \neq j$ ,  $\ell \in \{0, 1\}$ . Assume that  $p \in \mathbb{P}_\gamma^*$ ,  $\eta \in {}^n 2$ ,  $n < \omega$  and  $p \Vdash_{\mathbb{P}_\gamma^*} [\eta] \cap F_i^\ell \neq \emptyset$ . Then there are  $\nu_0, \nu_1 \in {}^{[n, N]} 2$ ,  $n < N < \omega$  and  $q \geq_{\mathbb{P}_\gamma^*} p$  such that  $\nu_0 \neq \nu_1$  and

$$q \Vdash_{\mathbb{P}_\gamma^*} \text{“} [\eta \widehat{\nu}_0] \cap F_i^\ell \neq \emptyset \neq [\eta \widehat{\nu}_1] \cap F_i^\ell \text{ and } (\forall y \in F_j^\ell)(y \upharpoonright [n, N] \notin \{\nu_0, \nu_1\}) \text{”}.$$

Then, if  $i = \pi(\alpha_0, \beta_0)$ ,  $j = \pi(\alpha_1, \beta_1)$ ,  $i \notin a_j$ ,  $j \notin a_i$  and  $i \neq j$ , we may use this property to construct  $\mathbb{P}_\gamma^*$ -names  $\underline{T}^A$  and  $\underline{T}^B$  for perfect subtrees of  $\omega^{>2}$  such that

$$\Vdash_{\mathbb{P}_\gamma^*} \text{“} [\underline{T}^A] \subseteq B_{\alpha_0, \beta_0}^A \setminus B_{\alpha_1, \beta_1}^A \text{ and } [\underline{T}^B] \subseteq B_{\alpha_0, \beta_0}^B \setminus B_{\alpha_1, \beta_1}^B \text{”}.$$

Also  $(\otimes)_{15}$  can easily strengthen to

$(\otimes)_{15}^+$  if  $\alpha_0 \leq \alpha_1 < \kappa$ ,  $\beta_0 \leq \beta_1 < \lambda$ ,  $(\alpha_0, \beta_0) \neq (\alpha_1, \beta_1)$ , then

$$\Vdash_{\mathbb{P}_\gamma^*} \text{“} \text{both } B_{\alpha_1, \beta_1}^A \setminus B_{\alpha_0, \beta_0}^A \text{ and } B_{\alpha_1, \beta_1}^B \setminus B_{\alpha_0, \beta_0}^B \text{ are uncountable”}.$$

Consequently, in  $\mathbf{V}^{\mathbb{P}_\gamma^*}$ , the  $\kappa \times \lambda$ -bases  $\{B_{\alpha, \beta}^A : \alpha < \kappa, \beta < \lambda\}$  and  $\{B_{\alpha, \beta}^B : \alpha < \kappa, \beta < \lambda\}$  have the additional property that

$$\Vdash_{\mathbb{P}_\gamma^*} \text{“} \alpha_0 > \alpha_1 \vee \beta_0 > \beta_1 \Rightarrow |B_{\alpha_0, \beta_0}^A \setminus B_{\alpha_1, \beta_1}^A| = |B_{\alpha_0, \beta_0}^B \setminus B_{\alpha_1, \beta_1}^B| = 2^{\aleph_0} \text{”}.$$

This is used in Rosłanowski and Shelah [12].

**Corollary 3.9.** *It is consistent that*

- $\text{add}(\mathcal{N}) = \text{add}(\mathcal{M}) < \text{cof}(\mathcal{N}) = \text{cof}(\mathcal{M}) = 2^\omega$  (and hence the ideals  $\mathcal{M}, \mathcal{N}$  do not pose tower bases), and
- there is a monotone  $\Pi_3^0$  hull operation on  $\mathcal{M}$  with respect to  $\mathcal{M}$ , and
- there is a monotone  $\Pi_2^0$  hull operation on  $\mathcal{N}$  with respect to  $\mathcal{N}$ , and
- there is a monotone  $\Pi_3^0$  hull operation on  $\mathcal{M} \cap \mathcal{N}$  with respect to  $\mathcal{M} \cap \mathcal{N}$ .

*Proof.* Start with a universe satisfying CH and use the forcing given by Theorem 3.7 for  $\kappa = \aleph_1$  and  $\lambda = \aleph_2$ . Propositions 3.6 and 3.5 imply that the resulting model is as required.  $\square$

*Remark 3.10.* In Theorem 3.7 we obtained a universe of set theory in which both  $\mathcal{N}$  and  $\mathcal{M}$  have bases that are (with respect to the inclusion) order isomorphic to  $\kappa \times \lambda$ . We may consider any partial order  $(S, \sqsubseteq)$  such that

- (a)  $|S| = \lambda$  and  $(S, \sqsubseteq)$  is well founded, and
- (b) every countable subset of  $S$  has a common  $\sqsubseteq$ -upper bound.

Then by a very similar construction we get a forcing extension in which both  $\mathcal{N}$  and  $\mathcal{M}$  have bases order isomorphic to  $(S, \sqsubseteq)$ . If additionally

- (c) for every sequence  $\langle s_i : i < \omega_1 \rangle \subseteq S$  there are  $i < j < \omega_1$  such that  $s_i \sqsubseteq s_j$ ,

then those bases will be mhg. (Note that forcings with the Knaster property preserve the demand described in (c).)

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