

# The Ehrenfeucht-Fraïssé-game of length $\omega_1$

by

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## Abstract

Let  $\mathcal{A}$  and  $\mathcal{B}$  be two first order structures of the same vocabulary. We shall consider the *Ehrenfeucht-Fraïssé-game of length  $\omega_1$  of  $\mathcal{A}$  and  $\mathcal{B}$*  which we denote by  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$ . This game is like the ordinary Ehrenfeucht-Fraïssé-game of  $L_{\omega\omega}$  except that there are  $\omega_1$  moves. It is clear that  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  is determined if  $\mathcal{A}$  and  $\mathcal{B}$  are of cardinality  $\leq \aleph_1$ . We prove the following results:

**Theorem 1** *If  $V=L$ , then there are models  $\mathcal{A}$  and  $\mathcal{B}$  of cardinality  $\aleph_2$  such that the game  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  is non-determined.*

**Theorem 2** *If it is consistent that there is a measurable cardinal, then it is consistent that  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  is determined for all  $\mathcal{A}$  and  $\mathcal{B}$  of cardinality  $\leq \aleph_2$ .*

**Theorem 3** *For any  $\kappa \geq \aleph_3$  there are  $\mathcal{A}$  and  $\mathcal{B}$  of cardinality  $\kappa$  such that the game  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  is non-determined.*

## 1 Introduction.

Let  $\mathcal{A}$  and  $\mathcal{B}$  be two first order structures of the same vocabulary  $L$ . We denote the domains of  $\mathcal{A}$  and  $\mathcal{B}$  by  $A$  and  $B$  respectively. All vocabularies are assumed to be relational.

The *Ehrenfeucht-Fraïssé-game of length  $\gamma$  of  $\mathcal{A}$  and  $\mathcal{B}$*  denoted by  $\mathcal{G}_\gamma(\mathcal{A}, \mathcal{B})$  is defined as follows: There are two players called  $\forall$  and  $\exists$ . First  $\forall$  plays  $x_0$  and then  $\exists$  plays  $y_0$ . After this  $\forall$  plays  $x_1$ , and  $\exists$  plays  $y_1$ , and so on. If  $\langle (x_\beta, y_\beta) : \beta < \alpha \rangle$  has been played and  $\alpha < \gamma$ , then  $\forall$  plays  $x_\alpha$  after which  $\exists$  plays  $y_\alpha$ . Eventually a sequence  $\langle (x_\beta, y_\beta) : \beta < \gamma \rangle$  has been played. The rules of the game say that both players have to play elements of  $A \cup B$ . Moreover, if  $\forall$  plays his  $x_\beta$  in  $A$  ( $B$ ), then  $\exists$  has to play his  $y_\beta$  in  $B$  ( $A$ ). Thus the sequence  $\langle (x_\beta, y_\beta) : \beta < \gamma \rangle$  determines a relation  $\pi \subseteq A \times B$ . Player  $\exists$  wins this round of the game if  $\pi$  is a partial isomorphism. Otherwise  $\forall$  wins. The notion of winning strategy is defined in the usual manner. We say that a player *wins*  $\mathcal{G}_\gamma(\mathcal{A}, \mathcal{B})$  if he has a winning strategy in  $\mathcal{G}_\gamma(\mathcal{A}, \mathcal{B})$ .

Recall that

$$\begin{aligned} \mathcal{A} \equiv_{\omega\omega} \mathcal{B} &\iff \forall n < \omega (\exists \text{ wins } \mathcal{G}_n(\mathcal{A}, \mathcal{B})) \\ \mathcal{A} \equiv_{\infty\omega} \mathcal{B} &\iff \exists \text{ wins } \mathcal{G}_\omega(\mathcal{A}, \mathcal{B}). \end{aligned}$$

In particular,  $\mathcal{G}_\gamma(\mathcal{A}, \mathcal{B})$  is determined for  $\gamma \leq \omega$ . The question, whether  $\mathcal{G}_\gamma(\mathcal{A}, \mathcal{B})$  is determined for  $\gamma > \omega$ , is the subject of this paper. We shall concentrate on the case  $\gamma = \omega_1$ .

The notion

$$\exists \text{ wins } \mathcal{G}_\gamma(\mathcal{A}, \mathcal{B}) \tag{1}$$

can be viewed as a natural generalization of  $\mathcal{A} \equiv_{\infty\omega} \mathcal{B}$ . The latter implies isomorphism for countable models. Likewise (1) implies isomorphism for models of cardinality  $|\gamma|$ :

**Proposition 1** *Suppose  $\mathcal{A}$  and  $\mathcal{B}$  have cardinality  $\leq \kappa$ . Then  $\mathcal{G}_\kappa(\mathcal{A}, \mathcal{B})$  is determined:  $\exists$  wins if  $\mathcal{A} \cong \mathcal{B}$ , and  $\forall$  wins if  $\mathcal{A} \not\cong \mathcal{B}$ .*

**Proof.** If  $f : \mathcal{A} \cong \mathcal{B}$ , then the winning strategy of  $\exists$  in  $\mathcal{G}_\kappa(\mathcal{A}, \mathcal{B})$  is to play in such a way that the resulting  $\pi$  satisfies  $\pi \subseteq f$ . On the other hand, if  $\mathcal{A} \not\cong \mathcal{B}$ , then the winning strategy of  $\forall$  is to systematically enumerate  $A \cup B$  so that the final  $\pi$  will satisfy  $A = \text{dom}(\pi)$  and  $B = \text{rng}(\pi)$ .  $\square$

For models of arbitrary cardinality we have the following simple but useful criterion of (1), namely in the terminology of [15] that they are “potentially isomorphic”. We use  $\text{Col}(\lambda, \kappa)$  to denote the notion of forcing which collapses  $|\lambda|$  to  $\kappa$  (with conditions of cardinality less than  $\kappa$ ).

**Proposition 2** *Suppose  $\mathcal{A}$  and  $\mathcal{B}$  have cardinality  $\leq \lambda$  and  $\kappa$  is regular. Player  $\exists$  wins  $\mathcal{G}_\kappa(\mathcal{A}, \mathcal{B})$  if and only if  $\Vdash_{\text{Col}(\lambda, \kappa)} \mathcal{A} \cong \mathcal{B}$ .*

**Proof.** Suppose  $\tau$  is a winning strategy of  $\exists$  in  $\mathcal{G}_\kappa(\mathcal{A}, \mathcal{B})$ . Since  $\text{Col}(\lambda, \kappa)$  is  $< \kappa$ -closed,

$$\Vdash_{\text{Col}(\lambda, \kappa)} \text{“}\tau \text{ is a winning strategy of } \exists \text{ in } \mathcal{G}_\kappa(\mathcal{A}, \mathcal{B})\text{”}.$$

Hence  $\Vdash_{\text{Col}(\lambda, \kappa)} \mathcal{A} \cong \mathcal{B}$  by Proposition 1. Suppose then  $p \Vdash \tilde{f} : \mathcal{A} \cong \mathcal{B}$  for some  $p \in \text{Col}(\lambda, \kappa)$ . While the game  $\mathcal{G}_\kappa(\mathcal{A}, \mathcal{B})$  is played,  $\exists$  keeps extending the condition  $p$  further and further. Suppose he has extended  $p$  to  $q$  and  $\forall$  has played  $x \in A$ . Then  $\exists$  finds  $r \leq q$  and  $y \in B$  with  $r \Vdash \tilde{f}(x) = y$ . Using this simple strategy  $\exists$  wins.  $\square$

**Proposition 3** *Suppose  $T$  is an  $\omega$ -stable first order theory with NDOP. Then  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  is determined for all models  $\mathcal{A}$  of  $T$  and all models  $\mathcal{B}$ .*

**Proof.** Suppose  $\mathcal{A}$  is a model of  $T$ . If  $\mathcal{B}$  is not  $L_{\infty\omega_1}$ -equivalent to  $\mathcal{A}$ , then  $\forall$  wins  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  easily. So let us suppose  $\mathcal{A} \equiv_{\infty\omega_1} \mathcal{B}$ . We may assume  $A$  and  $B$  are of cardinality  $\geq \aleph_1$ . If we collapse  $|A|$  and  $|B|$  to  $\aleph_1$ ,  $T$  will remain  $\omega$ -stable with NDOP, and  $\mathcal{A}$  and  $\mathcal{B}$  will remain  $L_{\infty\omega_1}$ -equivalent. So  $\mathcal{A}$  and

$\mathcal{B}$  become isomorphic by [18, Chapter XIII, Section 1]. Now Proposition 2 implies that  $\exists$  wins  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$ .  $\square$

Hyttinen [10] showed that  $\mathcal{G}_\gamma(\mathcal{A}, \mathcal{B})$  may be non-determined for all  $\gamma$  with  $\omega < \gamma < \omega_1$  and asked whether  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  may be non-determined. Our results show that  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  may be non-determined for  $\mathcal{A}$  and  $\mathcal{B}$  of cardinality  $\aleph_3$  (Theorem 17), but for models of cardinality  $\aleph_2$  the answer is more complicated.

Let  $F(\omega_1)$  be the free group of cardinality  $\aleph_1$ . Using the combinatorial principle  $\square_{\omega_1}$  we construct an abelian group  $G$  of cardinality  $\aleph_2$  such that  $\mathcal{G}_{\omega_1}(F(\omega_1), G)$  is non-determined (Theorem 4). On the other hand, we show that starting with a model with a measurable cardinal one can build a forcing extension in which  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  is determined for all models  $\mathcal{A}$  and  $\mathcal{B}$  of cardinality  $\leq \aleph_2$  (Theorem 14).

Thus the free abelian group  $F(\omega_1)$  has the remarkable property that the question

Is  $\mathcal{G}_{\omega_1}(F(\omega_1), G)$  determined for all  $G$ ?

cannot be answered in ZFC alone. Proposition 3 shows that no model of an  $\aleph_1$ -categorical first order theory can have this property.

We follow Jech [11] in set theoretic notation. We use  $S_n^m$  to denote the set  $\{\alpha < \omega_m : \text{cf}(\alpha) = \omega_n\}$ . Closed and unbounded sets are called cub sets. A set of ordinals is  $\lambda$ -closed if it is closed under supremums of ascending  $\lambda$ -sequences  $\langle \alpha_i : i < \lambda \rangle$  of its elements. A subset of a cardinal is  $\lambda$ -stationary if it meets every  $\lambda$ -closed unbounded subset of the cardinal. The closure of a set  $A$  of ordinals in the order topology of ordinals is denoted by  $\overline{A}$ . The free abelian group of cardinality  $\kappa$  is denoted by  $F(\kappa)$ .

## 2 A non-determined $\mathcal{G}_{\omega_1}(F(\omega_1), G)$ with $G$ a group of cardinality $\aleph_2$ .

In this section we use  $\square_{\omega_1}$  to construct a group  $G$  of cardinality  $\aleph_2$  such that the game  $\mathcal{G}_{\omega_1}(F(\omega_1), G)$  is non-determined (Theorem 4). For background on almost free groups the reader is referred to [4]. However, our presentation does not depend on special knowledge of almost free groups. All groups below are assumed to be abelian.

By  $\square_{\omega_1}$  we mean the principle, which says that there is a sequence  $\langle C_\alpha : \alpha < \omega_2, \alpha = \cup \alpha \rangle$  such that

1.  $C_\alpha$  is a cub subset of  $\alpha$ .
2. If  $\text{cf}(\alpha) = \omega$ , then  $|C_\alpha| = \omega$ .
3. If  $\gamma$  is a limit point of  $C_\alpha$ , then  $C_\gamma = C_\alpha \cap \gamma$ .

Recall that  $\square_{\omega_1}$  follows from  $V = L$  by a result of R. Jensen. For a sequence of sets  $C_\alpha$  as above we can let  $E_\beta = \{\alpha \in S_0^2 : \text{the order type of } C_\alpha \text{ is } \beta\}$ . For some  $\beta < \omega_1$  the set  $E_\beta$  has to be stationary. Let us use  $E$  to denote this  $E_\beta$ . Then  $E$  is a so called *non-reflecting* stationary set, i.e., if  $\gamma = \cup \gamma$  then  $E \cap \gamma$  is non-stationary on  $\gamma$ . Indeed, then some final segment  $D_\gamma$  of the set of limit points of  $C_\gamma$  is a cub subset of  $\gamma$  disjoint from  $E$ . Moreover,  $\text{cf}(\alpha) = \omega$  for all  $\alpha \in E$ .

**Theorem 4** *Assuming  $\square_{\omega_1}$ , there is a group  $G$  of cardinality  $\aleph_2$  such that the game  $\mathcal{G}_{\omega_1}(F(\omega_1), G)$  is non-determined.*

**Proof.** Let  $\mathbb{Z}^{\omega_2}$  denote the direct product of  $\omega_2$  copies of the additive group  $\mathbb{Z}$  of the integers. Let  $x_\alpha$  be the element of  $\mathbb{Z}^{\omega_2}$  which is 0 on coordinates  $\neq \alpha$  and 1 on the coordinate  $\alpha$ . Let us fix for each  $\delta \in S_0^2$  an ascending cofinal sequence  $\eta_\delta : \omega \rightarrow \delta$ . For such  $\delta$ , let

$$z_\delta = \sum_{n=0}^{\infty} 2^n x_{\eta_\delta(n)}.$$

Let  $\langle C_\alpha : \alpha = \cup \alpha < \omega_2 \rangle$ ,  $\langle D_\alpha : \alpha = \cup \alpha < \omega_2 \rangle$  and  $E = E_\beta$  be obtained from  $\square_{\omega_1}$  as above. We are ready to define the groups we need for the proof: Let  $G$  be the smallest pure subgroup of  $\mathbb{Z}^{\omega_2}$  which contains  $x_\alpha$  for  $\alpha < \omega_2$  and  $z_\delta$  for  $\delta \in E$ , let  $G_\alpha$  be the smallest pure subgroup of  $\mathbb{Z}^{\omega_2}$  which contains  $x_\gamma$  for  $\gamma < \alpha$  and  $z_\delta$  for  $\delta \in E \cap \alpha$ , let  $F (= F(\omega_2))$  be the subgroup of  $\mathbb{Z}^{\omega_2}$  generated freely by  $x_\alpha$  for  $\alpha < \omega_2$ , and finally, let  $F_\alpha$  be the subgroup of  $\mathbb{Z}^{\omega_2}$  generated freely by  $x_\gamma$  for  $\gamma < \alpha$ .

The properties we shall want of  $G_\alpha$  are standard but for the sake of completeness we shall sketch proofs. We need that each  $G_\alpha$  is free and for any  $\beta \notin E$  any free basis of  $G_\beta$  can be extended to a free basis of  $G_\alpha$  for all  $\alpha > \beta$ .

The proof is by induction on  $\alpha$ . For limit ordinals we use the fact that  $E$  is non-reflecting. The case of successors of ordinals not in  $E$  is also easy. Assume now that  $\delta \in E$  and the induction hypothesis has been verified up to  $\delta$ . By the induction hypothesis for any  $\beta < \delta$  such that  $\beta \notin E$ , there is  $n_0$  so that

$$G_\delta = G_\beta \oplus H \oplus K$$

where  $K$  is the group freely generated by  $\{x_{\eta_\delta(n)} : n_0 \leq n\}$  and  $x_{\eta_\delta(m)} \in G_\beta$  for all  $m < n_0$ . Then

$$G_{\delta+1} = G_\beta \oplus H \oplus K'$$

where  $K'$  is freely generated by

$$\left\{ \sum_{m=n}^{\infty} 2^{m-n} x_{\eta_\delta(m)} : n_0 \leq n \right\}.$$

On the other hand, if  $\delta \in E$  and  $\{x_{\eta_\delta(n)} : n < \omega\} \subseteq B$ , where  $B$  is a subgroup of  $G$  such that  $z_\delta \notin B$ , then  $G/B$  is non-free, as  $z_\delta + B$  is infinitely divisible by 2 in  $G/B$ .

**Claim 1**  $\exists$  does not win  $\mathcal{G}_{\omega_1}(F, G)$ .

Suppose  $\tau$  is a winning strategy of  $\exists$ . Let  $\alpha \in E$  such that the pair  $(G_\alpha, F_\alpha)$  is closed under the first  $\omega$  moves of  $\tau$ , that is, if  $\forall$  plays his first  $\omega$  moves inside  $G_\alpha \cup F_\alpha$ , then  $\tau$  orders  $\exists$  to do the same. We shall play  $G_{\omega_1}(F, A)$  pointing out the moves of  $\forall$  and letting  $\tau$  determine the moves of  $\exists$ . On his move number  $2n$   $\forall$  plays the element  $x_{\eta_\alpha(n)}$  of  $G_\alpha$ . On his move number  $2n + 1$   $\forall$  plays some element of  $F_\alpha$ . Player  $\forall$  plays his moves in  $F_\alpha$  in such a way that during the first  $\omega$  moves eventually some countable direct summand  $K$  of  $F_\alpha$  as well as some countable  $B \subseteq G_\alpha$  are enumerated. Let  $J$  be the smallest pure subgroup of  $G$  containing  $B \cup \{z_\alpha\}$ . During the next  $\omega$  moves of  $G_{\omega_1}(F, A)$  player  $\forall$  enumerates  $J$  and  $\exists$  responds by enumerating some  $H \subseteq F$ . Since  $\tau$  is a winning strategy,  $H$  has to be a subgroup of  $F$ . But now  $H/K$  is free, whereas  $J/B$  is non-free, so  $\forall$  will win the game, a contradiction.

**Claim 2**  $\forall$  does not win  $\mathcal{G}_{\omega_1}(F, G)$ .

Suppose  $\tau$  is a winning strategy of  $\forall$ . If we were willing to use CH, we could just take  $\alpha$  of cofinality  $\omega_1$  such that  $(F_\alpha, G_\alpha)$  is closed under  $\tau$ , and derive a contradiction from the fact that  $F_\alpha \cong G_\alpha$ . However, since we do not want to assume CH, we have to appeal to a longer argument.

Let  $\kappa = (2^\omega)^{++}$ . Let  $\mathcal{M}$  be the expansion of  $\langle H(\kappa), \in \rangle$  obtained by adding the following structure to it:

- (H1) The function  $\delta \mapsto \eta_\delta$ .
- (H2) The function  $\delta \mapsto z_\delta$ .
- (H3) The function  $\alpha \mapsto C_\alpha$ .
- (H4) A well-ordering  $<$  of the universe.
- (H5) The winning strategy  $\tau$ .

Let  $\mathcal{N} = \langle N, \in, \dots \rangle$  be an elementary submodel of  $\mathcal{M}$  such that  $\omega_1 \subseteq N$  and  $N \cap \omega_2$  is an ordinal  $\alpha$  of cofinality  $\omega_1$ .

Let  $D_\alpha = \{\beta_i : i < \omega_1\}$  in ascending order. Since  $C_{\beta_i} = C_\alpha \cap \beta_i$ , every initial segment of  $C_\alpha$  is in  $N$ . By elementarity,  $G_{\beta_i} \in N$  for all  $i < \omega_1$ . Let  $\phi$  be an isomorphism  $G_\alpha \rightarrow F_\alpha$  obtained as follows:  $\phi$  restricted to  $G_{\beta_0}$  is the  $<$ -least isomorphism between the free groups  $G_{\beta_0}$  and  $F_0$ . If  $\phi$  is defined on all  $G_{\beta_j}$ ,  $j < i$ , then  $\phi$  is defined on  $G_{\beta_i}$  as the  $<$ -least extension of  $\bigcup_{j < i} \phi_{\beta_j}$  to an isomorphism between  $G_{\beta_i}$  and  $F_i$ . Recall that by our choice of  $D_\alpha$   $G_{\beta_{i+1}}/G_{\beta_i}$  is free, so such extensions really exist.

We derive a contradiction by showing that  $\exists$  can play  $\phi$  against  $\tau$  for the whole duration of the game  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$ . To achieve this we have to show that, when  $\exists$  plays his canonical strategy based on  $\phi$  the strategy  $\tau$  of  $\forall$  directs  $\forall$  to go on playing elements which are in  $N$ , that is, elements of  $G_\alpha \cup F_\alpha$ .

Suppose a sequence  $s = \langle (x_\gamma, y_\gamma) : \gamma < \mu \rangle$ ,  $\mu < \omega_1$ , has been played. It suffices to show that  $s \in N$ . Choose  $\beta_i$  so that the elements of  $s$  are in  $G_{\beta_i} \cup F_{\beta_i}$ . Now  $s$  is uniquely determined by  $\phi \upharpoonright G_{\beta_i}$  and  $\tau$ . Note that because  $C_{\beta_i} = C_\alpha \cap \beta_i$ ,  $\phi \upharpoonright G_{\beta_i}$  can be defined inside  $N$  similarly as  $\phi$  was defined above, using  $C_{\beta_i}$  instead of  $C_\alpha$ . Thus  $s \in N$  and we are done.

We have proved that  $\mathcal{G}_{\omega_1}(F, G)$  is nondetermined. This clearly implies  $\mathcal{G}_{\omega_1}(F(\omega_1), G)$  is nondetermined.  $\square$

**Remark.** R. Jensen [14, p. 286] showed that if  $\square_{\omega_1}$  fails, then  $\omega_2$  is Mahlo in  $L$ . Therefore, if  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  is determined for all almost free groups

$\mathcal{A}$  and  $\mathcal{B}$  of cardinality  $\aleph_2$ , then  $\omega_2$  is Mahlo in  $L$ . If we start with  $\square_\kappa$ , we get an almost free group  $A$  of cardinality  $\kappa^+$  such that  $\mathcal{G}_{\omega_1}(F(\omega_1), A)$  is nondetermined.

### 3 $\mathcal{G}_{\omega_1}(F(\omega_1), G)$ can be determined for all $G$ .

In this section all groups are assumed to be abelian. It is easy to see that  $\exists$  wins  $\mathcal{G}_{\omega_1}(F(\omega_1), G)$  for any uncountable free group  $G$ , so in this exposition  $F(\omega_1)$  is a suitable representative of all free groups. In the study of determinacy of  $\mathcal{G}_{\omega_1}(F(\omega_1), \mathcal{A})$  for various  $\mathcal{A}$  it suffices to study groups  $\mathcal{A}$ , since for other  $\mathcal{A}$  player  $\forall$  easily wins the game.

Starting from a model with a Mahlo cardinal we construct a forcing extension in which  $\mathcal{G}_{\omega_1}(F(\omega_1), G)$  is determined, when  $G$  is any group of cardinality  $\aleph_2$ . This can be extended to groups  $G$  of any cardinality, if we start with a supercompact cardinal.

In the proof of the next results we shall make use of *stationary logic*  $L(aa)$ . For the definition and basic facts about  $L(aa)$  the reader is referred to [1]. This logic has a new quantifier  $aa s$  quantifying over variables  $s$  ranging over countable subsets of the universe. A cub set of such  $s$  is any set which contains a superset of any countable subset of the universe and which is closed under unions of countable chains. The semantics of  $aa s$  is defined as follows:

$$aa s \phi(s, \dots) \iff \phi(s, \dots) \text{ holds for a cub set of } s.$$

Note that a group of cardinality  $\aleph_1$  is free if and only if it satisfies

$$aa s aa s'(s \subseteq s' \rightarrow s'/s \text{ is free}). \quad (2)$$

**Proposition 5** *Let  $G$  be a group. Then the following conditions are equivalent:*

- (1)  $\exists$  wins  $\mathcal{G}_{\omega_1}(F(\omega_1), G)$ .
- (2)  $G$  satisfies (2).
- (3)  $G$  is the union of a continuous chain  $\langle G_\alpha : \alpha < \omega_2 \rangle$  of free subgroups with  $G_{\alpha+1}/G_\alpha$   $\aleph_1$ -free for all  $\alpha < \omega_2$ .



**Proof.** (1) implies (2): Suppose  $\exists$  wins  $\mathcal{G}_{\omega_1}(F(\omega_1), G)$ . By Proposition 2 we have  $\Vdash_{Col(|G|, \omega_1)}$  “ $G$  is free.” Using the countable completeness of  $Col(|G|, \omega_1)$  it is now easy to construct a cub set  $S$  of countable subgroups of  $G$  such that if  $A \in S$  then for all  $B \in S$  with  $A \subseteq B$  we have  $B/A$  free. Thus  $G$  satisfies (2). (2) implies (3) quite trivially. (3) implies (1): Suppose a continuous chain as in (3) exists. If we collapse  $|G|$  to  $\aleph_1$ , then in the extension the chain has length  $< \omega_2$ . Now we use Theorem 1 of [8]:

If a group  $A$  is the union of a continuous chain of  $< \omega_2$  free subgroups  $\{A_\alpha : \alpha < \gamma\}$  of cardinality  $\leq \aleph_1$  such that each  $A_{\alpha+1}/A_\alpha$  is  $\aleph_1$ -free, then  $A$  is free.

Thus  $G$  is free in the extension and (1) follows from Proposition 2.  $\square$

Let us consider the following principle:

(\*) For all stationary  $E \subseteq S_0^2$  and countable subsets  $a_\alpha$  of  $\alpha \in E$  such that  $a_\alpha$  is cofinal in  $\alpha$  and of order type  $\omega$  there is a closed  $C \subseteq \omega_2$  of order type  $\omega_1$  such that  $\{\alpha \in E : a_\alpha \setminus C \text{ is finite}\}$  is stationary in  $C$ .

**Lemma 6** *The principle (\*) implies that  $\mathcal{G}_{\omega_1}(F(\omega_1), G)$  is determined for all groups  $G$  of cardinality  $\aleph_2$ .*

**Proof.** Suppose  $G$  is a group of cardinality  $\aleph_2$ . We may assume the domain of  $G$  is  $\omega_2$ . Let us assume  $G$  is  $\aleph_2$ -free, as otherwise  $\forall$  easily wins. If we prove that  $G$  satisfies (2), then Proposition 5 implies that  $\exists$  wins  $\mathcal{G}_{\omega_1}(F(\omega_1), G)$ .

To prove (2), assume the contrary. By Proposition 5 we may assume that  $G$  can be expressed as the union of a continuous chain  $\langle G_\alpha : \alpha < \omega_2 \rangle$  of free groups with  $G_{\alpha+1}/G_\alpha$  non- $\aleph_1$ -free for  $\alpha \in E$ ,  $E \subseteq \omega_2$  stationary. By Fodor’s Lemma, we may assume  $E \subseteq S_0^2$ . Also we may assume that for all  $\alpha$ , every ordinal in  $G_{\alpha+1} \setminus G_\alpha$  is greater than every ordinal in  $G_\alpha$ . Finally by intersecting with a closed unbounded set we may assume that for all  $\alpha \in E$  the set underlying  $G_\alpha$  is  $\alpha$ . Choose for each  $\alpha \in E$  some countable subgroup  $b_\alpha$  of  $G_{\alpha+1}$  with  $b_\alpha + G_\alpha/G_\alpha$  non-free. Let  $c_\alpha = b_\alpha \cap G_\alpha$ . We will choose  $a_\alpha$  so that any final segment generates a subgroup containing  $c_\alpha$ . Enumerate  $c_\alpha$  as  $\{g_n : n < \omega\}$  such that each element is enumerated infinitely often. Choose an increasing sequence  $(\alpha_n : n < \omega)$  cofinal in  $\alpha$  so that for all  $n$ ,  $g_n \in G_{\alpha_n}$ . Finally, for each  $n$ , choose  $h_n \in G_{\alpha_{n+1}} \setminus G_{\alpha_n}$ . Let  $a_\alpha = \{h_n : n < \omega\} \cup \{h_n + g_n : n < \omega\}$ . It is now easy to check that  $a_\alpha$  is a

sequence of order type  $\omega$  which is cofinal in  $\alpha$  and any subgroup of  $G$  which contains all but finitely many of the elements of  $a_\alpha$  contains  $c_\alpha$ .

By (\*) there is a continuous  $C$  of order type  $\omega_1$  such that  $\{\alpha \in C : a_\alpha \setminus C \text{ is finite}\}$  is stationary in  $C$ . Let  $D = \langle C \cup \sum_{\alpha \in C} b_\alpha \rangle$ . Since  $|D| \leq \aleph_1$ ,  $D$  is free.

For any  $\alpha \in C$ , let

$$D_\alpha = \langle (C \cap \alpha) \cup \left( \sum_{\beta \in (C \cap \alpha)} b_\beta \right) \rangle.$$

Note that  $D = \bigcup_{\alpha \in C} D_\alpha$ , each  $D_\alpha$  is countable and for limit point  $\delta$  of  $C$ ,  $D_\delta = \bigcup_{\alpha \in (C \cap \delta)} D_\alpha$ . Hence there is an  $\alpha \in C \cap E$  such that  $a_\alpha \setminus C$  is finite and  $D/D_\alpha$  is free. Hence  $b_\alpha + D_\alpha/D_\alpha$  is free. But

$$b_\alpha + D_\alpha/D_\alpha \cong b_\alpha/b_\alpha \cap D_\alpha = b_\alpha/b_\alpha \cap G_\alpha,$$

which is not free, a contradiction.  $\square$

For the next theorem we need a lemma from [6]. A proof is included for the convenience of the reader.

**Lemma 7** [6] *Suppose  $\lambda$  is a regular cardinal and  $\mathbb{Q}$  is a notion of forcing which satisfies the  $\lambda$ -c.c. Suppose  $\mathcal{I}$  is a normal  $\lambda$ -complete ideal on  $\lambda$  and  $\mathcal{I}^+ = \{S \subseteq \lambda : S \notin \mathcal{I}\}$ . For all sets  $S \in \mathcal{I}^+$  and sequences of conditions  $\langle p_\alpha : \alpha \in S \rangle$ , there is a set  $C$  with  $\lambda \setminus C \in \mathcal{I}$  so that for all  $\alpha \in C \cap S$ ,*

$$p_\alpha \Vdash_{\mathbb{Q}} \{ \beta : p_\beta \in \tilde{G} \} \in \mathcal{J}^+, \text{ where } \mathcal{J} \text{ is the ideal generated by } \mathcal{I}^+.$$

**Proof.** Suppose the lemma is false. So there is an  $\mathcal{I}$ -positive set  $S' \subseteq S$  such that for all  $\alpha \in S'$  there is an extension  $r_\alpha$  of  $p_\alpha$  and a set  $I_\alpha \in \mathcal{I}$  (note:  $I_\alpha$  is in the ground model) so that

$$r_\alpha \Vdash \{ \beta : p_\beta \in \tilde{G} \} \subseteq I_\alpha.$$

Let  $I$  be the diagonal union of  $\{I_\alpha : \alpha \in S'\}$ .

Suppose now that  $\alpha < \beta$  and  $\alpha, \beta \in (S' \setminus I)$ . Since  $\beta \notin I$ ,  $r_\alpha \Vdash p_\beta \notin \tilde{G}$ . Hence  $r_\alpha \Vdash r_\beta \notin \tilde{G}$ . So  $r_\alpha, r_\beta$  are incompatible. Hence  $\{r_\alpha : \alpha \in S' \setminus I\}$  is an antichain which, since  $S'$  is  $\mathcal{I}$ -positive, is of cardinality  $\lambda$ . This is a contradiction.  $\square$

**Theorem 8** *Assuming the consistency of a Mahlo cardinal, it is consistent that  $(*)$  holds and hence that  $\mathcal{G}_{\omega_1}(F(\omega_1), G)$  is determined for all groups  $G$  of cardinality  $\aleph_2$ .*

**Proof.** By a result of Harrington and Shelah [7] we may start with a Mahlo cardinal  $\kappa$  in which every stationary set of cofinality  $\omega$  reflects, that is, if  $S \subseteq \kappa$  is stationary, and  $\text{cf}(\alpha) = \omega$  for  $\alpha \in S$ , then  $S \cap \lambda$  is stationary in  $\lambda$  for some inaccessible  $\lambda < \kappa$ .

For any inaccessible  $\lambda$  let  $\mathbb{P}_\lambda$  be the Levy-forcing for collapsing  $\lambda$  to  $\omega_2$ . The conditions of  $\mathbb{P}_\lambda$  are countable functions  $f : \lambda \times \omega_1 \rightarrow \lambda$  such that  $f(\alpha, \beta) < \alpha$  for all  $\alpha$  and  $\beta$  and each  $f$  is increasing and continuous in the second coordinate. It is well-known that  $\mathbb{P}_\lambda$  is countably closed and satisfies the  $\lambda$ -chain condition [11, p. 191].

Let  $\mathbb{P} = \mathbb{P}_\kappa$ . Suppose  $p \in \mathbb{P}$  and

$p \Vdash \text{“}\tilde{E} \subseteq S_0^2 \text{ is stationary and } \forall \alpha \in \tilde{E} (\tilde{a}_\alpha \subseteq \alpha \text{ is cofinal in } \alpha \text{ and of order type } \omega)\text{”}$ .

Let

$$S = \{\alpha < \kappa : \exists q \leq p (q \Vdash \alpha \in \tilde{E})\}.$$

For any  $\alpha \in S$  let  $p_\alpha \leq p$  such that  $p_\alpha \Vdash \alpha \in \tilde{E}$ . Since  $\mathbb{P}$  is countably closed, we can additionally require that for some countable  $a_\alpha \subseteq \alpha$  we have  $p_\alpha \Vdash \tilde{a}_\alpha = a_\alpha$ .

The set  $S$  is stationary in  $\kappa$ , for if  $C \subseteq \kappa$  is cub, then  $p \Vdash C \cap \tilde{E} \neq \emptyset$ , whence  $C \cap S \neq \emptyset$ . Also  $\text{cf}(\alpha) = \omega$  for  $\alpha \in S$ . Let  $\lambda$  be inaccessible such that  $S \cap \lambda$  is stationary in  $\lambda$ . We may choose  $\lambda$  in such a way that  $\alpha \in S \cap \lambda$  implies  $p_\alpha \in \mathbb{P}_\lambda$ . By Lemma 7 there is a  $\delta \in S \cap \lambda$  such that

$$p_\delta \Vdash_{\mathbb{P}_\lambda} \text{“}\tilde{E}_1 = \{\alpha < \lambda : p_\alpha \in \tilde{G}\} \text{ is stationary.”}$$

Let  $\mathbb{Q}$  be the set of conditions  $f \in \mathbb{P}$  with  $\text{dom}(f) \subseteq (\kappa \setminus \lambda) \times \omega_1$ . Note that  $\mathbb{P} \cong \mathbb{P}_\lambda \otimes \mathbb{Q}$ . Let  $G$  be  $\mathbb{P}$ -generic containing  $p_\delta$  and  $G_\lambda = G \cap \mathbb{P}_\lambda$  for any inaccessible  $\lambda \leq \kappa$ . Then  $G_\lambda$  is  $\mathbb{P}_\lambda$ -generic and  $\omega_2$  of  $V[G_\lambda]$  is  $\lambda$ . Let us work now in  $V[G_\lambda]$ . Thus  $\lambda$  is the current  $\omega_2$ ,  $E_1 = \{\alpha < \lambda : p_\alpha \in G_\lambda\}$  is stationary, and we have the countable sets  $a_\alpha \subseteq \alpha$  for  $\alpha \in E_1$ . Since  $\mathbb{Q}$  collapses  $\lambda$  there is a name  $\tilde{f}$  such that

$$\Vdash_{\mathbb{Q}} \text{“}\tilde{f} : \omega_1 \rightarrow \lambda \text{ is continuous and cofinal.”}$$

More precisely  $\tilde{f}$  is the name for the function  $f$  defined by  $f(\alpha) = \beta$  if and only if there is some  $g \in G$  so that  $g(\lambda, \alpha) = \beta$ . Let  $\tilde{C}$  denote the range of  $\tilde{f}$ . We shall prove the following statement:

**Claim:**  $\Vdash_{\mathbb{Q}} \{ \alpha < \lambda : a_\alpha \setminus \tilde{C} \text{ is finite} \}$  is stationary in  $\tilde{C}$ .

Suppose  $q \in \mathbb{Q}$  so that  $q \Vdash \text{“}\tilde{D} \subseteq \omega_1 \text{ is a cub.}”$  Let  $\mathcal{M}$  be an appropriate expansion of  $\langle H(\kappa), \in \rangle$  and  $\langle \mathcal{N}_i : i < \lambda \rangle$ ,  $\mathcal{N}_i = \langle N_i, \in, \dots \rangle$ , a sequence of elementary submodels of  $\mathcal{M}$  such that:

- (i) Everything relevant is in  $N_0$ .
- (ii) If  $\alpha_i = N_i \cap \lambda$ , then  $\alpha_i < \alpha_j$  for  $i < j < \lambda$ .
- (iii)  $N_{i+1}$  is closed under countable sequences.
- (iv)  $|N_i| = \omega_1$ .
- (v)  $N_i = \bigcup_{j < i} N_j$  for  $i$  a limit ordinal.

Choose  $\gamma = \alpha_i \in E_1$  and let  $\langle i_n : n < \omega \rangle$  be a sequence of successor ordinals such that  $\gamma = \sup\{\alpha_{i_n} : n < \omega\}$ . Let  $q_0 \leq q$  and  $\beta_0 \in \omega_1$  such that  $q_0, \beta_0 \in N_{i_0}$ ,

$$q_0 \Vdash \text{“}\beta_0 \in \tilde{D}\text{”}$$

and  $q_0$  decides the value of  $\tilde{f}''\beta_0$  (which will by elementarity necessarily be a subset of  $\alpha_{i_0}$ ).

If  $q_n$  and  $\beta_n$  are defined we choose  $q_{n+1} \leq q_n$  and  $\beta_{n+1} \in \omega_1$  such that  $q_{n+1}, \beta_{n+1} \in N_{i_{n+1}}$ ,

$$q_{n+1} \Vdash \text{“}\beta_{n+1} \in \tilde{D} \text{ and } a_\gamma \cap (\alpha_{i_{n+1}} \setminus \alpha_{i_n}) \subseteq \tilde{f}''\beta_{n+1} \subseteq \alpha_{i_{n+1}}\text{”}$$

and  $q_{n+1}$  decides  $\tilde{f}''\beta_{n+1}$ . Finally, let  $q_\omega = \bigcup\{q_n : n < \omega\}$  and  $\beta = \bigcup\{\beta_n : n < \omega\}$ . Then

$$q_\omega \Vdash \text{“}\beta \in \tilde{D} \text{ and } a_\gamma \setminus \tilde{f}''\beta \text{ is finite.}”$$

The claim, and thereby the theorem, is proved.  $\square$

**Corollary 9** *The statement that  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  is determined for every structure  $\mathcal{A}$  of cardinality  $\aleph_2$  and every uncountable free group  $\mathcal{B}$ , is equiconsistent with the existence of a Mahlo cardinal.*

**Remark.** If  $\mathcal{G}_{\omega_1}(A, F(\omega_1))$  is determined for all groups  $A$  of cardinality  $\kappa^+$ ,  $\kappa$  singular, then  $\square_\kappa$  fails. This implies that the Covering Lemma fails for the Core Model, whence there is an inner model for a measurable cardinal. This shows that the conclusion of Theorem 8 cannot be strengthened to arbitrary  $G$ . However, by starting with a larger cardinal we can make this extension:

**Theorem 10** *Assuming the consistency of a supercompact cardinal, it is consistent that  $\mathcal{G}_{\omega_1}(F(\omega_1), G)$  is determined for all groups  $G$ .*

**Proof.** Let us assume that the stationary logic  $L_{\omega_1\omega}(aa)$  has the Löwenheim-Skolem property down to  $\aleph_1$ . This assumption is consistent relative to the consistency of a supercompact cardinal [2]. Let  $G$  be an arbitrary  $\aleph_2$ -free group. Let  $H$  be an  $L(aa)$ -elementary submodel of  $G$  of cardinality  $\aleph_1$ . Thus  $H$  is a free group. The group  $H$  satisfies the sentence (2), whence so does  $G$ . Now the claim follows from Proposition 5.  $\square$

**Corollary 11** *Assuming the consistency of a supercompact cardinal, it is consistent that  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  is determined for every structure  $\mathcal{A}$  and every uncountable free group  $\mathcal{B}$ .*

## 4 $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$ can be determined for all $\mathcal{A}$ and $\mathcal{B}$ of cardinality $\aleph_2$ .

We prove the consistency of the statement that  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  is determined for all  $\mathcal{A}$  and  $\mathcal{B}$  of cardinality  $\leq \aleph_2$  assuming the consistency of a measurable cardinal. Actually we make use of an assumption that we call  $I^*(\omega)$  concerning stationary subsets of  $\omega_2$ . This assumption is known to imply that  $\omega_2$  is measurable in an inner model. It follows from the previous section that some large cardinal axioms are needed to prove the stated determinacy.

Let  $I^*(\omega)$  be the following assumption about  $\omega_1$ -stationary subsets of  $\omega_2$ :

$I^*(\omega)$  Let  $\mathcal{I}$  be the  $\omega_1$ -nonstationary ideal  $NS_{\omega_1}$  on  $\omega_2$ . Then  $\mathcal{I}^+$  has a  $\sigma$ -closed dense subset  $K$ .

Hodges and Shelah [9] define a principle  $I(\omega)$ , which is like  $I^*(\omega)$  except that  $\mathcal{I}$  is not assumed to be the  $\omega_1$ -nonstationary ideal. They use  $I(\omega)$  to prove

the determinacy of an Ehrenfeucht-Fraïssé-game played on several boards simulataneously.

Note that  $I^*(\omega)$  implies  $\mathcal{I}$  is precipitous, so the consistency of  $I^*(\omega)$  implies the consistency of a measurable cardinal [12].

**Theorem 12** ([12]) *The assumption  $I^*(\omega)$  is consistent relative to the consistency of a measurable cardinal.*

We shall consider models  $\mathcal{A}, \mathcal{B}$  of cardinality  $\aleph_2$ , so we may as well assume they have  $\omega_2$  as universe. For such  $\mathcal{A}$  and  $\alpha < \omega_2$  we let  $\mathcal{A}_\alpha$  denote the structure  $\mathcal{A} \cap \alpha$ . Similarly  $\mathcal{B}_\alpha$ .

**Lemma 13** *Suppose  $\mathcal{A}$  and  $\mathcal{B}$  are structures of cardinality  $\aleph_2$ . If  $\forall$  does not have a winning strategy in  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$ , then*

$$S = \{\alpha : \mathcal{A}_\alpha \cong \mathcal{B}_\alpha\}$$

*is  $\omega_1$ -stationary.*

**Proof.** Let  $C \subseteq \omega_2$  be  $\omega_1$ -closed and unbounded. Suppose  $S \cap C = \emptyset$ . We derive a contradiction by describing a winning strategy of  $\forall$ : Let  $\pi : \omega_1 \rightarrow \omega_1 \times \omega_1 \times 2$  be onto with  $\alpha, \beta, d \leq \pi(\alpha, \beta, d)$  for all  $\alpha, \beta < \omega_1$  and  $d < 2$ . If  $\alpha < \omega_2$ , let  $\theta_\alpha : \omega_1 \rightarrow \alpha$  be onto. Suppose the sequence  $\langle (x_i, y_i) : i < \alpha \rangle$  has been played. Here  $x_i$  denotes a move of  $\forall$  and  $y_i$  a move of  $\exists$ . During the game  $\forall$  has built an ascending sequence  $\{c_i : i < \alpha\}$  of elements of  $C$ . Now he lets  $c_\alpha$  be the smallest element of  $C$  greater than all the elements  $x_i, y_i, i < \alpha$ . Suppose  $\pi(\alpha) = (i, \gamma, d)$ . Now  $\forall$  will play  $\theta_{c_i}(\gamma)$  as an element of  $\mathcal{A}$ , if  $d = 0$ , and as an element of  $\mathcal{B}$  if  $d = 1$ .

After all  $\omega_1$  moves of  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  have been played, some  $\mathcal{A}_\alpha$  and  $\mathcal{B}_\alpha$ , where  $\alpha \in C$ , have been enumerated. Since  $\alpha \notin S$ ,  $\forall$  has won the game.  $\square$

**Theorem 14** *Assume  $I^*(\omega)$ . The game  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  is determined for all  $\mathcal{A}$  and  $\mathcal{B}$  of cardinality  $\leq \aleph_2$ .*

**Proof.** Suppose  $\forall$  does not have a winning strategy. By Lemma 13 the set  $S = \{\alpha : \mathcal{A}_\alpha \cong \mathcal{B}_\alpha\}$  is  $\omega_1$ -stationary. Let  $I$  and  $K$  be as in  $I^*(\omega)$ . If  $\alpha \in S$ , let  $h_\alpha : \mathcal{A}_\alpha \cong \mathcal{B}_\alpha$ . We describe a winning strategy of  $\exists$ . The idea of this strategy is that  $\exists$  lets the isomorphisms  $h_\alpha$  determine his moves. Of course,

different  $h_\alpha$  may give different information to  $\exists$ , so he has to decide which  $h_\alpha$  to follow. The key point is that  $\exists$  lets some  $h_\alpha$  determine his move only if there are stationarily many other  $h_\beta$  that agree with  $h_\alpha$  on this move.

Suppose the sequence  $\langle (x_i, y_i) : i < \alpha \rangle$  has been played. Again  $x_i$  denotes a move of  $\forall$  and  $y_i$  a move of  $\exists$ . Suppose  $\forall$  plays next  $x_\alpha$  and this is (say) in  $A$ . During the game  $\exists$  has built a descending sequence  $\{S_i : i < \alpha\}$  of elements of  $K$  with  $S_0 \subseteq S$ . The point of the sets  $S_i$  is that  $\exists$  has taken care that for all  $i < \alpha$  and  $\beta \in S_i$  we have  $y_i = h_\beta(x_i)$  or  $x_i = h_\beta(y_i)$  depending on whether  $\forall$  played  $x_i$  in  $A$  or  $B$ . Now  $\exists$  lets  $S'_\alpha \subseteq \bigcap_{i < \alpha} S_i$  so that  $S'_\alpha \in K$  and  $\forall i \in S'_\alpha (x_\alpha < i)$ . For each  $i \in S'_\alpha$  we have  $h_i(x_\alpha) < i$ . By normality, there are an  $S_\alpha \subseteq S'_\alpha$  in  $K$  and a  $y_\alpha$  such that  $h_i(x_\alpha) = y_\alpha$  for all  $i \in S_\alpha$ . This element  $y_\alpha$  is the next move of  $\exists$ . Using this strategy  $\exists$  wins.  $\square$

## 5 A non-determined $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$ with $\mathcal{A}$ and $\mathcal{B}$ of cardinality $\aleph_3$ .

We construct directly in ZFC two models  $\mathcal{A}$  and  $\mathcal{B}$  of cardinality  $\aleph_3$  with  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  non-determined. It readily follows that such models exist in all cardinalities  $\geq \aleph_3$ . The construction uses a square-like principle (Lemma 16), which is provable in ZFC.

**Lemma 15** [17, 19] *There is a stationary  $X \subseteq S_1^3$  and a sequence  $\langle D_\alpha : \alpha \in X \rangle$  such that*

1.  $D_\alpha$  is a cub subset of  $\alpha$  for all  $\alpha \in X$ .
2. The order type of  $D_\alpha$  is  $\omega_1$ .
3. If  $\alpha, \beta \in X$  and  $\gamma < \min\{\alpha, \beta\}$  is a limit of both  $D_\alpha$  and  $D_\beta$ , then  $D_\alpha \cap \gamma = D_\beta \cap \gamma$ .
4. If  $\gamma \in D_\alpha$ , then  $\gamma$  is a limit point of  $D_\alpha$  if and only if  $\gamma$  is a limit ordinal.

**Proof.** We shall sketch, for completeness, a proof of this given by Burke and Magidor [3, Lemma 7.7].

Let  $<^*$  be a well-ordering of  $H(\omega_3)$ . For each  $\alpha \in S_1^3$ , let  $\langle N_\delta^\alpha : \delta < \omega_2 \rangle$  be a continuously increasing chain of elementary submodels of  $\langle H(\omega_3), \in, <^* \rangle$  such that

(N1)  $(\omega_1 + 1) \cup \{\omega_2, \alpha\} \subseteq N_0^\alpha$ .

(N2)  $|N_\delta^\alpha| \leq \omega_1$ .

(N3)  $N_\delta^\alpha \cap \omega_2 \in \omega_2$ .

(N4)  $\overline{N_\delta^\alpha \cap \omega_3} \in N_{\delta+1}^\alpha$ .

Let  $A_\delta^\alpha = N_\delta^\alpha \cap \alpha$  for each  $\alpha \in S_1^3$ . Since,  $\alpha \in N_\delta^\alpha$ ,  $A_\delta^\alpha$  is cofinal in  $\alpha$ . Let  $X \subseteq S_1^3$  be stationary such that for some  $\delta, \rho < \omega_2$  and for all  $\alpha \in X$  we have

1.  $\delta =$  least ordinal of cofinality  $\omega_1$  with  $N_\delta^\alpha \cap \omega_2 = \delta$ .
2. The order type of  $\overline{A_\delta^\alpha}$  is  $\rho + 1$ .

Let  $f : \omega_1 \rightarrow \rho$  be cofinal and continuous. Let  $g : \rho + 1 \cong \overline{A_\delta^\alpha}$  such that  $gf$  maps successors to successors. Let  $D_\alpha$  be the image of  $\omega_1$  under  $gf$ .  $\square$

**Lemma 16** *There are sets  $S, T$  and  $C_\alpha$  for  $\alpha \in S$  such that the following hold:*

1.  $S \subseteq S_0^3 \cup S_1^3$  and  $S \cap S_1^3$  is stationary.
2.  $T \subseteq S_0^3$  is stationary and  $S \cap T = \emptyset$ .
3. If  $\alpha \in S$ , then  $C_\alpha \subseteq \alpha \cap S$  is closed and of order-type  $\leq \omega_1$ .
4. If  $\alpha \in S$  and  $\beta \in C_\alpha$ , then  $C_\beta = C_\alpha \cap \beta$ .
5. If  $\alpha \in S \cap S_1^3$ , then  $C_\alpha$  is cub on  $\alpha$ .

**Proof.** Let  $S$  and  $\langle D_\alpha : \alpha \in S \rangle$  be as in Lemma 15. Let  $S' = X \cup Y$ , where  $Y$  consists of ordinals which are limit points  $< \alpha$  of some  $D_\alpha, \alpha \in X$ . If  $\alpha \in X$ , we let  $C_\alpha$  be the set of limit points  $< \alpha$  of  $D_\alpha$ . If  $\alpha \in Y$ , we let  $C_\alpha$  be the set of limit points  $< \alpha$  of  $D_\beta \cap \alpha$ , where  $\beta > \alpha$  is chosen arbitrarily from  $X$ .

Now claims 1,3,4 and 6 are clearly satisfied.



Let  $S_0^3 = \bigcup_{i < \omega_2} T_i$  where the  $T_i$  are disjoint stationary sets. Since  $|\overline{C_\alpha}| \leq \omega_1$ , there is  $i_\alpha < \omega_2$  such that  $i \geq i_\alpha$  implies  $\overline{C_i} \cap T_i = \emptyset$ . Let  $S'' \subseteq S'$  be stationary such that  $\alpha \in S''$  implies  $i_\alpha$  is constant  $i$ . Let  $T = T_i$ . Finally, let  $S = S'' \cup \bigcup \{C_\alpha : \alpha \in S''\}$ . Claim 2 is satisfied, and the Lemma is proved.  $\square$

**Theorem 17** *There are structures  $\mathcal{A}$  and  $\mathcal{B}$  of cardinality  $\aleph_3$  with one binary predicate such that the game  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  is non-determined.*

**Proof.** Let  $S, T$  and  $\langle C_\alpha : \alpha \in S \rangle$  be as in Lemma 16. We shall construct a sequence  $\{M_\alpha : \alpha < \omega_3\}$  of sets and a sequence  $\{G_\alpha : \alpha \in S\}$  of functions such that the conditions (M1)–(M6) below hold. Let  $W_\alpha$  be the set of all mappings

$$G_{\gamma_0}^{d_0} \dots G_{\gamma_n}^{d_n},$$

where  $\gamma_0, \dots, \gamma_n \in S \cap \alpha$ ,  $d_i \in \{-1, 1\}$ ,  $G_\gamma^1$  means  $G_\gamma$  and  $G_\gamma^{-1}$  means the inverse of  $G_\gamma$ . Let  $W = W_{\omega_3}$ . (Note that  $W$  consists of a set of partial functions.)

The conditions on the  $M_\alpha$ 's and the  $G_\alpha$ 's are:

- (M1)  $M_\alpha \subseteq M_\beta$  if  $\alpha < \beta$ , and  $M_\alpha \subset M_{\alpha+1}$  if  $\alpha \in S$ .
- (M2)  $M_\nu = \bigcup_{\alpha < \nu} M_\alpha$  for limit  $\nu$ .
- (M3)  $G_\alpha$  is a bijection of  $M_{\alpha+1}$  for  $\alpha \in S$ .
- (M4) If  $\beta \in S$  and  $\alpha \in C_\beta$ , then  $G_\alpha \subseteq G_\beta$ .
- (M5) If for some  $\beta$ ,  $G_\beta(a) = b$  and for some  $w \in W$ ,  $w(a) = b$ , then there is some  $\gamma$  so that  $w \subseteq G_\gamma$ . Furthermore if  $\beta$  is the minimum ordinal so that  $G_\beta(a) = b$  then  $\gamma = \beta$  or  $\beta \in C_\gamma$ .

In order to construct the set  $M = \bigcup_{\alpha < \omega_3} M_\alpha$  and the mappings  $G_\alpha$  we define an oriented graph with  $M$  as the set of vertices. We use the terminology of Serre [16] for graph-theoretic notions. If  $x$  is an edge, the origin of  $x$  is denoted by  $o(x)$  and the terminus by  $t(x)$ . Our graph has an inverse edge  $\bar{x}$  for each edge  $x$ . Thus  $o(\bar{x}) = t(x)$  and  $t(\bar{x}) = o(x)$ . Some edges are called *positive*, the rest are called *negative*. An edge is positive if and only if its inverse is negative. For each edge  $x$  of  $M$  there is a set  $L(x)$  of labels. The set of possible labels for positive edges is  $\{g_\alpha : \alpha < \omega_3\}$ . The negative edges

can have elements of  $\{g_\alpha^{-1} : \alpha < \omega_3\}$  as labels. The labels are assumed to be given in such a way that a positive edge gets  $g_\alpha$  as a label if and only if its inverse gets the label  $g_\alpha^{-1}$ . During the construction the sets of labels will be extended step by step.

The construction is analogous to building an acyclic graph on which a group acts freely. The graph then turns out to be the Cayley graph of the group. The labelled graph we will build will be the ‘‘Cayley graph’’ of  $W$  which will be as free as possible given (M1)–(M4). Condition (M5) is a consequence of the freeness of the construction.

Let us suppose the sets  $M_\beta, \beta < \alpha$ , of vertices have been defined. Let  $M_{<\alpha} = \bigcup_{\beta < \alpha} M_\beta$ . Some vertices in  $M_{<\alpha}$  have edges between them and a set  $L(x)$  of labels has been assigned to each such edge  $x$ .

If  $\alpha$  is a limit ordinal, we let  $M_\alpha = M_{<\alpha}$ . So let us assume  $\alpha = \beta + 1$ . If  $\beta \notin S$ ,  $M_\alpha = M_\beta$ . So let us assume  $\beta \in S$ . Let  $\gamma = \sup(C_\beta)$ . Notice that since  $S$  consists entirely of limit ordinals and  $C_\beta \subseteq S$ , either  $\gamma = \beta$  or  $\gamma + 1 < \beta$ .

**Case 1.**  $\gamma = \beta$ : We extend  $M_\beta$  to  $M_\alpha$  by adding new vertices  $\{P_z : z \in \mathbb{Z}\}$  and for each  $z \in \mathbb{Z}$  a positive edge  $x_\alpha^{P_z}$  with  $o(x_\alpha^{P_z}) = P_z$  and  $t(x_\alpha^{P_z}) = P_{z+1}$ . We also let  $L(x_\alpha^{P_z}) = \{g_\beta\} \cup \{g_\delta : \beta \in C_\delta\}$ .

**Case 2.**  $\gamma + 1 < \beta$ : We extend  $M_\beta$  to  $M_\alpha$  by adding new vertices  $\{P'_z : z \in \mathbb{Z} \setminus \{0\}\}$  for each  $P \in M_\beta \setminus M_{\gamma+1}$ . For notational convenience let  $P'_0 = P$ . Now we add for each  $P \in M_\beta \setminus M_{\gamma+1}$  new edges as follows. For each  $z \in \mathbb{Z}$  we add a positive edge  $x_\alpha^{P'_z}$  with

$$o(x_\alpha^{P'_z}) = P'_z, t(x_\alpha^{P'_z}) = P'_{z+1}, L(x_\alpha^{P'_z}) = \{g_\beta\} \cup \{g_\delta : \beta \in C_\delta\}$$

This determines completely the inverse of  $x_\alpha^{P'_z}$ .

This ends the construction of the graph. In the construction each vertex  $P$  in  $M_{\alpha+1}, \alpha \in S$ , is made the origin of a unique edge  $x_\alpha^P$  with  $g_\alpha \in L(x_\alpha^P)$ . We define

$$G_\alpha(P) = t(x_\alpha^P).$$

The construction of the sets  $M_\alpha$  and the mappings  $G_\alpha$  is now completed. It follows immediately from the construction that each  $G_\alpha, \alpha \in S$ , is a bijection of  $M_{\alpha+1}$ . So (M1)–(M3) hold. (M4) holds, because  $g_\alpha$  is added to the labels of any edge with  $g_\beta$ , where  $\beta \in C_\alpha$ , as a label. Finally, (M5) is a consequence of the fact that the graph is circuit-free.

Let us fix  $a_0 \in M_1$  and  $b_0 = G_{\beta_0}(a_0)$ , where  $\beta_0 \in C_\alpha$  for all  $\alpha \in S$ . Note that we may assume, without loss of generality, the existence of such a  $\beta_0$ .

If  $a_0, a_1 \in M$ , let

$$R_{(a_0, a_1)} = \{(a'_0, a'_1) \in M^2 : \exists w \in W(w(a_0) = a'_0 \wedge w(a_1) = a'_1)\}.$$

We let

$$\mathcal{M} = \langle M, (R_{(a_0, a_1)})_{(a_0, a_1) \in M^2} \rangle$$

$$\mathcal{A} = \langle \mathcal{M}, a_0 \rangle$$

$$\mathcal{B} = \langle \mathcal{M}, b_0 \rangle$$

and show that  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  is non-determined.

The reduction of the language of  $\mathcal{A}$  and  $\mathcal{B}$  to one binary predicate is easy. One just adds a copy of  $\omega_3$ , together with its ordering, and a copy of  $M \times M$  to the structures with the projection maps. Then fix a bijection  $\phi$  from  $\omega_3$  to  $M^2$ . Add a new binary predicate  $R$  to the language and interpret  $R$  to be contained in  $\omega_3 \times M^2$  such that  $R(\beta, (a, b))$  holds if and only if  $R_{\phi(\alpha)}(a, b)$  holds. We can now dispense with the old binary predicates. We have replaced our structure by one in a finite language without making any difference to who wins the game  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$ . The extra step of reducing to a single binary predicate is standard.

An important property of these models is that if  $\alpha \in S \cap S_1^3$ , then  $G_\alpha \upharpoonright M_\alpha$  is an automorphism of the restriction of  $\mathcal{M}$  to  $M_\alpha$  and takes  $a_0$  to  $b_0$ .

**Claim 3**  $\forall$  does not win  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$ .

Suppose  $\forall$  has a winning strategy  $\tau$ . Again, there is a quick argument which uses CH: Find  $\alpha \in S$  such that  $M_\alpha$  is closed under  $\tau$  and  $\text{cf}(\alpha) = \omega_1$ . Now  $C_\alpha$  is cub on  $\alpha$ , whence  $G_\alpha$  maps  $M_\alpha$  onto itself. Using  $G_\alpha$  player  $\exists$  can easily beat  $\tau$ , a contradiction.

In the following longer argument we need not assume CH. Let  $\kappa$  be a large regular cardinal. Let  $\mathcal{H}$  be the expansion of  $\langle H(\kappa), \in \rangle$  obtained by adding the following structure to it:

(H1) The function  $\alpha \mapsto M_\alpha$ .

(H2) The function  $\alpha \mapsto G_\alpha$ .

(H3) The function  $\alpha \mapsto C_\alpha$ .

(H4) A well-ordering  $<^*$  of the universe.

(H5) The winning strategy  $\tau$ .

(H6) The sets  $S$  and  $T$ .

Let  $\mathcal{N} = \langle N, \in, \dots \rangle$  be an elementary submodel of  $\mathcal{H}$  such that  $\alpha = N \cap \omega_3 \in S \cap S_1^3$ .

Now  $C_\alpha$  is a cub of order-type  $\omega_1$  on  $\alpha$  and  $G_\alpha$  maps  $M_\alpha$  onto  $M_\alpha$ . Moreover,  $G_\alpha$  is a partial isomorphism from  $\mathcal{A}$  into  $\mathcal{B}$ . Provided that  $\tau$  does not lead  $\forall$  to play his moves outside  $M_\alpha$ ,  $\exists$  has an obvious strategy: he lets  $G_\alpha$  determine his moves. So let us assume a sequence  $\langle (x_\xi, y_\xi) : \xi < \gamma \rangle$  has been played inside  $M_\alpha$  and  $\gamma < \omega_1$ . Let  $\beta \in C_\alpha$  such that  $M_\beta$  contains the elements  $x_\xi, y_\xi$  for  $\xi < \gamma$ . The sequence  $\langle y_\xi : \xi < \gamma \rangle$  is totally determined by  $G_\beta$  and  $\tau$ . Since  $G_\beta \in N$ ,  $\langle y_\xi : \xi < \gamma \rangle \in N$ , and we are done.

**Claim 4**  $\exists$  does not win  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$ .

Suppose  $\exists$  has a winning strategy  $\tau$ . Let  $\mathcal{H}$  be as above and  $\mathcal{N} = \langle N, \in, \dots \rangle$  be an elementary submodel of  $\mathcal{H}$  such that  $\alpha = N \cap \omega_3 \in T$ . We let  $\forall$  play during the first  $\omega$  moves of  $\mathcal{G}_{\omega_1}(\mathcal{A}, \mathcal{B})$  a sequence  $\langle a_n : n < \omega \rangle$  in  $\mathcal{A}$  such that if  $\alpha_n$  is the least  $\alpha_n$  with  $a_n \in M_{\alpha_n}$ , then the sequence  $\langle \alpha_n : n < \omega \rangle$  is ascending and  $\sup\{\alpha_n : n < \omega\} = \alpha$ . Let  $\exists$  respond following  $\tau$  with  $\langle b_n : n < \omega \rangle$ . As his move number  $\omega$  player  $\forall$  plays some element  $a_\omega \in M \setminus M_\alpha$  in  $\mathcal{A}$  and  $\exists$  answers according to  $\tau$  with  $b_\omega$ .

For all  $i \leq \omega$ ,  $R_{(a_0, a_i)}(a_0, a_i)$  holds. Hence  $R_{(a_0, a_i)}(b_0, b_i)$  holds. So there is  $w_i$  such that  $w_i(a_0) = b_0$  and  $w_i(a_i) = b_i$ . Since  $G_{\beta_0}(a_0) = b_0$ , by (M5), for each  $i$  there is  $\beta_i$  so that  $G_{\beta_i}(a_i) = b_i$ . We can assume that  $\beta_i$  is chosen to be minimal. Notice that for all  $i$ ,  $\beta_i > \alpha_i$  and for  $i < \omega$ ,  $\beta_i \in \mathcal{N}$ . So  $\sup\{\beta_i : i < \omega\} = \alpha$ .

Also, by the same reasoning as above, for each  $i < \omega$ ,  $R_{(a_i, a_\omega)}(b_i, b_\omega)$  holds. Applying (M5), we get that  $G_{\beta_\omega}(a_i) = b_i$ . Using (M5) again and the minimality of  $\beta_i$ , for all  $i < \omega$ ,  $\beta_i \in C_{\beta_\omega}$ . Thus  $\alpha$  is a limit of elements of  $C_{\beta_\omega}$ , contradicting  $\alpha \in T$ .  $\square$

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