# COVERING A FUNCTION ON THE PLANE BY TWO CONTINUOUS FUNCTIONS ON AN UNCOUNTABLE SQUARE -THE CONSISTENCY

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ABSTRACT. It is consistent that for every function  $f : \mathbb{R} \times \mathbb{R} \to \mathbb{R}$  there is an uncountable set  $A \subseteq \mathbb{R}$  and two continuous functions  $f_0, f_1 : D(A) \to \mathbb{R}$  such that  $f(\alpha, \beta) \in \{f_0(\alpha, \beta), f_1(\alpha, \beta)\}$  for every  $(\alpha, \beta) \in A^2, \alpha \neq \beta$ .

### 1. INTRODUCTION

Suppose that X is a topological space and  $f: X \to \mathbb{R}$  is a real-valued function on X. Is there a "large" subset of X such that the restriction  $f \upharpoonright X$  is continuous? Obviously, if  $A \subseteq X$  is a discrete subspace, then  $f \upharpoonright A$  is continuous. Hence in the case when dom $(f) = \mathbb{R}$ , we can always find an infinite subset on which f is continuous. The problem whether there is such "large" set has been investigated by Abraham, Rubin and Shelah in [ARSh]. They proved that it is consistent that every function from  $\mathbb{R}$  to  $\mathbb{R}$  is continuous on some uncountable set. Later Shelah [Sh 473] showed that every function may be continuous on a non-meager set.

In this paper we consider functions on the plane,  $\mathbb{R} \times \mathbb{R}$ . The reasonable question to ask in this case is: is there a "large" set  $A \subseteq \mathbb{R}$  such that on  $A \times A$  the function f can be cover by two continuous functions? Note that we could not hope for fto be just continuous on  $A \times A$ , e.g., if g is a Sierpinski partition, then for every uncountable set A, g is not continuous on  $A \times A$ . The main result of this paper is the following theorem. For technical reasons we consider squares without the diagonal, i.e. for a set A we consider  $D(A) = \{(x, y) : x, y \in A, x \neq y\}$ .

**Theorem** . Assume  $2^{\aleph_l} = \aleph_{l+1}$  for l < 4, and  $\Diamond_s(\aleph_4, \aleph_1, \aleph_0)$ , see below. Then there is a forcing notion P which preserves cardinals and cofinalities and such that in  $V^P$ ,  $2^{\aleph_0} = \aleph_4$  and for every function  $f : \mathbb{R} \times \mathbb{R} \to \mathbb{R}$  there is an uncountable set  $A \subseteq \mathbb{R}$  and two continuous functions  $f_0, f_1 : D(A) \to \mathbb{R}$  such that  $f(\alpha, \beta) \in$  $\{f_0(\alpha, \beta), f_1(\alpha, \beta)\}$  for every  $(\alpha, \beta) \in D(A)$ .

The proof breaks down into two parts. In Section 2, we prove the consistency of a guessing principle, diamond for systems. Then, is Section 3, we give the proof of the theorem.

*Remark*. (1) We can replace  $\aleph_0$  by any  $\mu = \mu^{<\mu}$ .

(2) Our main goal was to prove the consistency of the statement in the theorem with  $2^{\aleph_0} < \aleph_{\omega}$ . We get  $2^{\aleph_0} = \aleph_4$  naturally from the proof, but the values  $\aleph_3$  or  $\aleph_2$  may be possible.

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1.1. **Notation.** We use standard set-theoretic notation. Below we list some frequently used symbols.

- For A, B subsets of ordinals of the same order type,  $OP_{B,A}$  is the order preserving isomorphism from A to B.
- If C is a set of ordinals, then (C)' denotes the set of accumulation points.
- Let  $\lambda, \chi$  be cardinals,  $\chi$  regular.  $S_{\chi}^{\lambda} = \{ \alpha \in \lambda : cf(\alpha) = \chi \}.$
- For a statement  $\phi$  we define  $TV(\phi) = 0$  if  $\phi$  is true, otherwise  $TV(\phi) = 1$ .
- $\mathbb{R} = {}^{\omega}2.$
- If M is a model,  $X \subseteq M$ , then Sk(X) is the Skolem hull of X in M.
- $\mathcal{L}[\kappa, \theta)$  is a 'universal' vocabulary of cardinality  $\kappa^{<\theta}$ , arity  $< \theta$ .

# 2. DIAMOND FOR SYSTEMS

In this section we prove the consistency of a guessing principle, diamond for systems  $\Diamond_s$ .

**Definition 2.1.** A sequence  $\overline{M} = \langle M_u : u \in [B]^{\leq 2} \rangle$  is a system of models (of some fixed language) if:

- (1)  $M_u \subseteq \text{Ord}, B \subseteq \text{Ord},$
- (2)  $B \cap M_u = u$  for every  $u \in [B]^{\leq 2}$ ,
- (3) for every  $u, v \in [B]^{\leq 2}$ , |u| = |v|, the models  $M_u$  and  $M_v$  are isomorphic and  $OP_{M_u,M_v}$  is the isomorphism from  $M_v$  onto  $M_u$ ,  $OP_{M_u,M_v}(v) = u$ ,
- (4) for every  $u, v \in [B]^{\leq 2}$ ,  $M_u \cap M_v \subseteq M_{u \cap v}$ ,
- (5) if  $|u| = |v|, u' \subseteq u, v' = \{\alpha \in v : (\exists \beta \in u')(|\beta \cap u| = |\alpha \cap v|)\}$ , then  $OP_{M_u,M_{v'}} \subseteq OP_{M_u,M_v}$ , and  $OP_{M_u,M_u} = id_{M_u}$ , and if |w| = |u|, then  $OP_{M_u,M_v} \circ OP_{M_v,M_w} = OP_{M_u,M_w}$ .

*Remark*. See [Sh 289] on the existence of "nice" systems of models for  $\lambda$  a sufficiently large cardinal, e.g., measurable. Here we do not use large cardinals, and try to get a model in which the continuum is small, i.e., less than  $\aleph_{\omega}$ . For this we need a suitable guessing principle.

**Definition 2.2** (Diamond for systems  $\Diamond_s(\lambda, \sigma, \kappa, \theta)$ ). Let  $\{C_\alpha : \alpha \in \lambda\}$  be a square sequence on  $\lambda$ .  $\langle \overline{M}^\alpha : \alpha \in W \rangle$  is a  $\Diamond_s(\lambda, \sigma, \kappa, \theta)$  sequence, (or  $\Diamond_s(\lambda, \sigma, \kappa, \theta)$ -diamond for systems) if:

- (A)  $W \subseteq \lambda$  and for every  $\alpha \in W$ ,  $\overline{M}^{\alpha} = \langle M_u^{\alpha} : u \in [B_{\alpha}]^{\leq 2} \rangle$  is a system of models,  $M_u^{\alpha}$  is a model of cardinality  $\kappa$ , universe  $\subseteq \alpha$ , vocabulary of cardinality  $\leq \kappa$ , arity  $< \theta$ , a subset of  $\mathcal{L}[\kappa, \theta)$ .
- (B)  $B_{\alpha} \subseteq \alpha = \sup(B_{\alpha}), \operatorname{otp}(B_{\alpha}) = \sigma, so \sigma = \operatorname{cf}(\alpha).$
- (C) if M is a model with universe  $\lambda$ , vocabulary of cardinality  $\leq \kappa$ , arity  $< \theta$ , a subset of  $\mathcal{L}[\kappa, \theta)$ , then for stationarily many  $\alpha \in W$  for all  $u \in [B_{\alpha}]^{\leq 2}$ ,  $M_u^{\alpha} \prec M$ ,
- (D) if  $\alpha, \beta \in W$  and  $\operatorname{otp}(C_{\alpha}) < \operatorname{otp}(C_{\beta})$ , then
  - (i) for some  $\zeta \in B_{\beta}$ ,  $\bigcup \{M_u^{\beta} : u \in [B_{\beta}]^{\leq 2}\} \bigcup \{M_u^{\beta} : u \in [B_{\beta} \cap \zeta]^{\leq 2}\}$  is disjoint from  $\bigcup \{M_u^{\alpha} : u \in [B_{\alpha}]^{\leq 2}\},$
- (E) if  $\alpha \neq \beta$  in W,  $\operatorname{otp}(C_{\alpha}) = \operatorname{otp}(C_{\beta})$ , then there is a one-to-one map h from  $\bigcup_{u \in [B_{\alpha}] \leq 2} M_{u}^{\alpha}$  onto  $\bigcup_{u \in [B_{\beta}] \leq 2} M_{u}^{\beta}$ , order preserving, mapping  $B_{\alpha}$  onto  $B_{\beta}$ ,  $M_{u}^{\alpha}$  onto  $M_{h(u)}^{\beta}$  which is the identity on the intersection of these sets and the intersection is an initial segment of  $\bigcup_{u \in [B_{\alpha}] \leq 2} M_{u}^{\alpha}$  and  $\bigcup_{u \in [B_{\beta}] \leq 2} M_{u}^{\beta}$ .
- (F) if  $\sigma = \kappa$  we may omit  $\sigma$ .

 $\mathbf{2}$ 

3

**Lemma 2.3.** Assume:  $\kappa < \mu < \lambda$  are uncountable cardinals,  $\lambda = \chi^+$ ,  $2^{\mu} = \chi$ ,  $\Box_{\lambda}$ ,  $\Diamond_{S_{\sigma}^{\chi}}, \kappa = \kappa^{<\theta}, \mu^{\kappa} = \mu, \sigma, \chi, \kappa$  regular cardinals.

Then there exists a diamond for systems on  $\lambda$ ,  $\Diamond_s(\lambda, \sigma, \kappa, \theta)$ .

**PROOF** Let  $\overline{C} = \langle C_{\gamma} : \gamma \in \lambda \rangle$  be a square sequence on  $\lambda$ . We assume that each  $C_{\gamma}$  is closed unbounded in  $\gamma$ , if  $\gamma$  is a limit. Let  $C_{\gamma} = \{\alpha_{\zeta}^{\gamma} : \zeta < \operatorname{otp}(C_{\gamma})\}$ . First choose a sequence  $\langle b_i^{\alpha} : i < \chi \rangle$  for every  $\alpha < \lambda$  such that  $b_i^{\alpha} \subseteq \alpha$ ,  $|b_i^{\alpha}| < \chi$ ,  $b_i^{\alpha}$  increasing, continuous in  $i, \alpha = \bigcup \{b_i^{\alpha} : i < \chi\}$ . Next choose  $a_{\alpha}$  for  $\alpha < \lambda$  such that

- (1)  $a_{\alpha} \subseteq \alpha$ ,
- (2) if  $cf(\alpha) < \chi$ , then  $|a_{\alpha}| < \chi$ ,
- (3) if  $\beta \in (C_{\alpha})'$ , then  $a_{\beta} \subseteq a_{\alpha}$ ,
- (4) if  $\beta \in C_{\alpha}$  and  $i = \operatorname{otp}(C_{\alpha})$ , then  $b_i^{\beta} \subseteq a_{\alpha}$ ,
- (5) if  $\operatorname{otp}(C_{\alpha})$  is a limit of limit ordinals, then  $a_{\alpha} = \bigcup_{\beta \in (C_{\alpha})'} a_{\beta}$ .

Note that if  $\alpha \in S_{\chi}^{\lambda}$ , then there is a club  $C'_{\alpha} \subseteq C_{\alpha}$  such that  $\langle a_{\beta} : \beta \in C'_{\alpha} \rangle$  is an increasing, continuous sequence of subsets of  $\alpha$  of cardinality  $\langle \chi \rangle$  with union  $\alpha$ . Let  $H_0, H_1$  be functions which witness that  $\lambda = \chi^+$ , i.e.,  $H_0, H_1$  are two place functions, for every  $\alpha \in [\chi, \lambda)$ ,  $H_0(\alpha, -)$  is a one-to-one functions from  $\alpha$  onto  $\chi$ and  $H_1(\alpha, H_0(\alpha, i)) = i$  for every  $\alpha \in [\chi, \lambda)$  and  $i < \alpha$ .

Now by induction on  $\alpha < \lambda$  we define the truth value of ' $\alpha \in W$ ', and if we declare it to be true, then we also define  $\overline{M}^{\alpha}$ . Suppose we have defined  $W \cap \alpha$  and  $\overline{M}^{\beta}$  for  $\beta \in W \cap \alpha$ . Now consider the following properties of an ordinal  $\alpha \in \lambda$ .

- (a)  $a_{\alpha} \cap \chi = \operatorname{otp}(C_{\alpha}),$
- (b)  $a_{\alpha}$  is closed under  $H_0$  and  $H_1$ ,
- (c) for every  $\gamma \in a_{\alpha}$  we have:
  - (i) if  $\operatorname{cf}(\gamma) < \chi$ , then  $a_{\alpha} \cap \gamma = b_{\operatorname{otp}(C_{\alpha})}^{\gamma}$  and  $C_{\gamma} \subseteq a_{\alpha}$  and  $\operatorname{otp}(C_{\gamma}) \leq \operatorname{otp}(C_{\alpha})$ ,
    - (ii) if  $\operatorname{cf}(\gamma) = \chi$ , then  $\sup(a_{\alpha} \cap \gamma) = \alpha_{\operatorname{otp}(C_{\alpha})}^{\gamma}$  and  $C_{\alpha_{\operatorname{otp}(C_{\alpha})}^{\gamma}} \subseteq a_{\alpha}$ ,

(d)  $cf(\alpha) = \sigma$ .

If  $\alpha$  does not satisfy one of the conditions (a), (b), (c), and (d), then we declare that  $\alpha \notin W$ . So suppose that  $\alpha$  satisfies (a), (b), (c), and (d). Let  $\langle M_{\zeta} : \zeta \in \chi \rangle$ be the diamond sequence for  $S^{\chi}_{\sigma}$ , i.e., each  $M_{\zeta}$  is a model on  $\zeta$ , vocabulary as above, and for every model M on  $\chi$ , there are stationarily many  $\zeta \in S^{\chi}_{\sigma}$ , such that  $M \cap \zeta = M_{\zeta}$ . We say that  $M_{\zeta}$  is suitable if it is of the form  $(\zeta, <^*_{\zeta}, M^*_{\zeta})$ , where  $<^*_{\zeta}$ is a well-ordering of  $\zeta$ . For each  $\zeta$  such that  $M_{\zeta}$  is suitable, let  $\xi_{\zeta} = \operatorname{otp}(\zeta, <^*_{\zeta})$ . Let  $h_{\zeta} : \zeta \to \xi_{\zeta}$  be the isomorphism between  $(\zeta, <^*_{\zeta})$  and  $(\xi_{\zeta}, <)$ . Let  $M^{\oplus}_{\zeta}$  be the model with universe  $\xi_{\zeta}$ , such that  $h_{\zeta}$  is the isomorphism between  $M^*_{\zeta}$  and  $M^{\oplus}_{\zeta}$ . For  $\alpha \in \lambda$ let  $\zeta(\alpha) = \operatorname{otp}(C_{\alpha})$ . Consider the following properties of  $\alpha \in \lambda$ .

(e) there is a system  $\bar{N}^{\zeta(\alpha)} = \langle N_s^{\zeta(\alpha)} : s \in [\bar{B}_{\zeta(\alpha)}]^{\leq 2} \rangle, \ N_s^{\zeta(\alpha)} \prec M_{\zeta(\alpha)}^{\oplus},$  $||N_s^{\zeta(\alpha)}|| = \kappa, \bar{B}_{\zeta(\alpha)} \text{ cofinal in } \xi_{\zeta(\alpha)}, \operatorname{otp}(\bar{B}_{\zeta(\alpha)}) = \sigma,$ (f)  $\operatorname{otp}(a_\alpha) = \xi_{\zeta(\alpha)}.$ 

If  $\alpha$  does not satisfy (e), and (f), then declare  $\alpha \notin W$ . So assume that  $\alpha$  satisfies (e) and (f). Let  $g_{\alpha} : \xi_{\zeta(\alpha)} \to a_{\alpha}$  be the order preserving isomorphism. Let  $\bar{M}^{\alpha} = \langle M_u^{\alpha} : u \in [B_{\alpha}]^{\leq 2} \rangle$  be the system of models on  $a_{\alpha}$ , which is isomorphic to  $\bar{N}^{\zeta(\alpha)}$  and the isomorphism is  $g_{\alpha}$ . If this system satisfies:

(g) for every  $\beta \in (C_{\alpha})'$  there is  $\nu \in B_{\alpha}$  such that  $a_{\beta} \cap \bigcup \{M_{u}^{\alpha} : u \in [B_{\alpha}]^{\leq 2}\} \subseteq \bigcup \{M_{u}^{\alpha} : u \in [B_{\alpha} \cap \nu]^{\leq 2}\},\$ 

then we declare  $\alpha \in W$ . This finishes the definition of the diamond for systems sequence,  $\langle \overline{M}^{\alpha} : \alpha \in W \rangle$ .

We have to prove that it is as required. Clauses (A) and (B) are clear.

*Proof of clause (C).* We need the following fact, it is proved essentially in [Sh 300F], but for completeness we give the proof at the end of the section.

# Lemma 2.4. Assume:

4

- (1)  $\lambda = (2^{\mu})^+, \ \mu = \mu^{\kappa}, \ \kappa = \mathrm{cf}(\kappa) > \aleph_0, \ \kappa^{<\theta} = \kappa,$
- (2) *M* is a model with universe  $\lambda$ , at most  $\kappa$  functions each with  $< \theta$  places and  $\leq \kappa$  relations including the well-ordering of  $\lambda$ .

Then: for some club E of  $\lambda$  for every  $\delta \in E$  of cofinality  $\geq \mu^+$  we can find  $I \subseteq \delta = \sup(I)$  and  $\langle N_t : t \in [I]^{\leq 2}, s \in I \rangle$  such that:

(a)  $\langle N_t : t \in [I]^{\leq 2} \rangle$  is a system of elementary submodels of M,  $||N_t|| = \kappa$ .

Suppose that  $\mathcal{A}$  is a model on  $\lambda$ , C a club on  $\lambda$ . We have to find  $\alpha \in C \cap W$  such that  $M_u^{\alpha} \prec \mathcal{A}$  for every  $u \in [B_{\alpha}]^{\leq 2}$ . Let  $E \subseteq \lambda$  be the club given by Lemma 2.4. W.l.o.g. we can assume that  $E \subseteq C'$ , where C' is the set of limit points of C, (so if  $\delta \in E$ , then  $C \cap \delta$  is a club in  $\delta$ ). Fix  $\delta \in S_{\chi}^{\lambda} \cap E$ . Let  $f_{\delta} : \delta \to \chi$  be a bijection and let

$$D_1 = \{\zeta < \chi : \zeta \text{ is a limit, } f_\delta \text{ maps } a_{\alpha^\delta} \text{ onto } \zeta\}.$$

 $D_1$  is a  $\sigma$ -club, i.e., unbounded, closed under  $\sigma$ -sequences. Let  $\mathcal{A}^{[\delta]}$  be  $(\chi, f'_{\delta}(\langle \uparrow \delta), f''_{\delta}(\mathcal{A} \upharpoonright \delta))$ . Note that by Lemma 2.4 we have a system of submodels on  $\mathcal{A} \upharpoonright \delta$ , we transfer this system on  $\mathcal{A}^{[\delta]}$  by the bijection  $f_{\delta}$  and, choosing a subsystem if necessary, we can assume that we have an end-extension system on  $\mathcal{A}^{[\delta]}$  which is cofinal in  $\chi$ , i.e., we have  $\bar{N}^* = \langle N^*_u : u \in I \rangle$ ,  $I \subseteq \chi$ ,  $\sup(I) = \chi$ ,  $N^*_u \prec \mathcal{A}^{[\delta]}$  and if  $\xi < \zeta$  in I, then  $\min(N^*_{\{\zeta\}} \setminus N^*_{\emptyset}) > \sup(N^*_{\{\xi\}})$ , and if u is an initial segment of v, then  $N^*_u$  is an initial segment of  $N^*_v$ . Hence the set

$$D_2 = \{\zeta < \chi : \bigcup_{u \in [\zeta \cap I]^{\leq 2}} N_u^* \subseteq \zeta\}$$

is a club of  $\chi$  and such that for every  $\zeta \in D_2$  there is a system of models on  $\zeta$ ,  $(\langle N_u^* : u \in [\zeta \cap I]^{\leq 2} \rangle)$ . Note that the set

$$D_3 = \{\zeta < \chi : \alpha_{\zeta}^{\delta} \in C \text{ and } \alpha_{\zeta}^{\delta} \text{ satisfies conditions } (a) - (d) \}$$

is a  $\sigma$ -club of  $\chi$ . Note that  $\mathcal{A}^{[\delta]}$  is a model on  $\chi$ . Hence by  $\Diamond_{S_{\sigma}^{\chi}}$ , for stationary many  $\zeta \in S_{\sigma}^{\chi}$  we have guessed it, i.e., the set

$$S = \{ \zeta \in S^{\chi}_{\sigma} : M_{\zeta} = \mathcal{A}^{[\delta]} \upharpoonright \zeta \}$$

is stationary. Now if  $\zeta \in S \cap (D_1)' \cap D_2 \cap D_3$  then  $\alpha_{\zeta}^{\delta} \in C$ , and  $\alpha_{\zeta}^{\delta}$  satisfies conditions (a)-(d). Note that  $\zeta(\alpha_{\zeta}^{\delta}) = \operatorname{otp}(C_{\alpha_{\zeta}^{\delta}}) = \zeta$ . Moreover, as  $\zeta \in D_1 \cap S$  we have  $\xi_{\zeta} = \operatorname{otp}(a_{\alpha_{\zeta}^{\delta}})$ , i.e., condition (f) holds. By the construction it follows that condition (e) holds, (the system of submodels on  $\xi_{\zeta}$  is isomorphic to the system on  $a_{\alpha_{\zeta}^{\delta}}$  given by Lemma 2.4). Finally, (g) holds, as  $\zeta \in (D_1)'$  and the system of models of  $\mathcal{A}^{[\delta]}$  is end-extending.

Hence  $\alpha_{\zeta}^{\delta} \in W \cap C$ , and  $\overline{M}^{\alpha_{\zeta}^{\delta}}$  is a system of models as required.

5

Proof of clause (E). Suppose  $\alpha, \beta \in W, \xi = \operatorname{otp}(C_{\alpha}) = \operatorname{otp}(C_{\beta})$ . By the construction, both  $a_{\alpha}$  and  $a_{\beta}$  are isomorphic to  $M_{\xi}^{\oplus}$  and the isomorphisms are order preserving functions. Hence  $a_{\alpha}$  is order isomorphic to  $a_{\beta}$ . Note that  $a_{\alpha} \cap \chi = a_{\beta} \cap \chi = \xi$ . Since both  $a_{\alpha}$  and  $a_{\beta}$  are closed under  $H_0$  and  $H_1$  it follows that  $a_{\alpha} \cap a_{\beta}$  is an initial segment of both  $a_{\alpha}$  and  $a_{\beta}$ .

Proof of clause (D). Suppose that  $\alpha, \beta \in W$  and  $\operatorname{otp}(C_{\alpha}) < \operatorname{otp}(C_{\beta})$ . As above, since  $a_{\alpha}$  and  $a_{\beta}$  are closed under  $H_0$  and  $H_1$ , it follows that  $a_{\alpha} \cap a_{\beta}$  is an initial segment of  $a_{\alpha}$ . Let  $\gamma = \sup(a_{\alpha} \cap a_{\beta})$ . We have four cases, we will show that the first three never occur.

Case 1.  $\gamma \in a_{\alpha} \cap a_{\beta}$ . We can assume that each  $a_{\alpha}$  is closed under successor, so this case can never happen.

Case 2.  $\gamma \in a_{\alpha} - a_{\beta}$ . Note that  $C_{\gamma} \subseteq a_{\alpha}$ . Let  $\gamma_1 = \min(a_{\beta} - \gamma)$ . By (c)(i) for  $a_{\beta}$  it follows that we must have  $cf(\gamma_1) = \chi$ . Now by (c)(ii),  $\gamma = \sup(a_\beta \cap \gamma_1) = \alpha_{otp(C_\beta)}^{\gamma_1}$ . So  $\gamma \in C_{\gamma_1}$  and  $\operatorname{otp}(C_{\gamma}) = \operatorname{otp}(C_{\beta})$ . Note that  $\operatorname{cf}(\gamma) < \chi$ . Hence by (c)(i) for  $a_{\alpha}$ we have  $\operatorname{otp}(C_{\gamma}) \leq \operatorname{otp}(C_{\alpha})$ , a contradiction.

Case 3.  $\gamma \notin (a_{\alpha} \cup a_{\beta})$ . Let  $\gamma_0 = \min(a_{\alpha} - \gamma)$  and  $\gamma_1 = \min(a_{\beta} - \gamma)$ . As above we have  $\operatorname{otp}(C_{\gamma}) = \operatorname{otp}(C_{\alpha})$  and  $\operatorname{otp}(C_{\gamma}) = \operatorname{otp}(C_{\beta})$ , a contradiction.

Case 4.  $\gamma \in a_{\beta} - a_{\alpha}$ . Let  $\gamma_0 = \min(a_{\alpha} - \alpha)$ . We have  $cf(\gamma_0) = \chi$  and  $otp(C_{\gamma}) = \chi$  $otp(C_{\alpha})$ , so  $C_{\gamma} \subseteq a_{\alpha}$ . Note that  $a_{\alpha} \cap \gamma = \bigcup_{\zeta \in C_{\gamma}} (a_{\alpha} \cap \zeta)$ . But for  $\zeta \in a_{\alpha}$  with  $\operatorname{cf}(\zeta) < \chi$  we have  $a_{\alpha} \cap \zeta = b_{\operatorname{otp}(C_{\alpha})}^{\zeta}$ . Hence  $a_{\alpha} \cap \gamma = \bigcup_{\zeta \in (C_{\gamma})'} b_{\operatorname{otp}(C_{\alpha})}^{\zeta} \subseteq a_{\beta_1}$ , for some  $\beta_1 \in (C_\beta)'$  large enough. Hence by (g) in the definition of the diamond for systems sequence, the conclusion follows.

**Proof of Lemma 2.4** We prove slightly more. In addition to the sequence  $\langle N_t \rangle$ :  $t \in [I]^{\leq 2}$  there is a sequence  $\langle N'_{\{\alpha\}} : \alpha \in I \rangle$  such that:

- ( $\beta$ )  $N_{\{\alpha\}}, N'_{\{\alpha\}}$  realize the same  $L_{\theta,\theta}$ -type over M, for  $\alpha \in I$ ,
- $(\gamma)$  we have  $N'_{\{\alpha\}} \prec N_{\{\alpha\}}$  for  $\alpha \in I$  and for  $\alpha < \beta$  in I we have  $N_{\{\alpha,\beta\}} =$  $Sk(N_{\{\alpha\}} \cup N'_{\{\beta\}}),$

*Remark*. (1) Note that for  $\alpha < \beta$ ,  $N_{\{\beta\}}$  is not necessarily a subset of  $N_{\{\alpha,\beta\}}$ . (2) The idea of the proof is to define  $N^*_{\{0\}}$ ,  $N^*_{\{1\}}$  and  $N^*_{\{0,1\}}$  (and more, see definition of a witness below). Then we use it as a blueprint and "copy" it many times using elementarity, to obtain a suitable system.

We can assume that M has Skolem functions, even for  $L_{\theta,\theta}$ . Let  $\chi^*$  be large enough. Let for  $i < \lambda$ ,  $\mathcal{B}_i \prec (H(\chi^*), \in, <^*_{\chi^*})$  such that  $||\mathcal{B}_i|| = 2^{\mu} < \lambda$ , and  $M \in \mathcal{B}_i, \mathcal{B}_i$  increasing continuous with i, and if  $cf(i) \ge \mu^+$  or i non-limit, then  $\mathcal{B}_i \prec_{L_{\mu^+,\mu^+}} (H(\chi^*), \in, <^*_{\chi^*}). \text{ Let } E = \{\delta < \lambda : \delta \text{ is a limit and } \mathcal{B}_\delta \cap \lambda = \delta\}, \text{ it is a club of } \lambda. \text{ Fix } \delta \in E \cap S^{\lambda}_{\geq \mu^+}. \text{ Note that } \mathcal{B}_\delta \prec_{L_{\mu^+,\mu^+}} (H(\chi^*), \in, <^*_{\chi^*}).$ 

We say that  $(N_{\emptyset}^*, N_{\{0\}}^*, N_{\{1\}}^*, N_{\{0,1\}}^*, \alpha_0, \alpha_1)$  is a witness if:

- (1)  $N_u^* \prec M, |N_u^*| = \kappa, N_{\{0\}}^* \cap N_{\{1\}}^* = N_{\emptyset}^*, N_{\emptyset}^*, N_{\{0\}}^* \prec M \upharpoonright \mathcal{B}_{\delta}, N_{\{0,1\}}^* =$  $Sk({N^*_{\{1\}}}'\cup N^*_{\{0\}}),$
- (2)  $N_{\{1\}}^* \cap \mathcal{B}_{\delta} = N_{\emptyset}^*, \ \alpha_0 \in N_{\{0\}}^* N_{\emptyset}^*, \ \alpha_1 \in N_{\{1\}}^* N_{\emptyset}^*,$ (3) if  $\alpha \in N_{\{0,1\}}^* \setminus N_{\{1\}}^*', \ \beta = \min(N_{\{1\}}^* \setminus \alpha), \ \text{then } \mathrm{cf}(\beta) \ge \mu^+,$
- (4) for every  $A \subseteq \mathcal{B}_{\delta}$ ,  $|A| \leq \mu$  there are  $N'_{\{1\}} \prec N_{\{1\}}$  and  $N_{\{0,1\}}$  such that (a)  $N'_{\{1\}}, N_{\{0,1\}} \prec M \cap \mathcal{B}_{\delta},$

- (b)  $N'_{\{1\}}$  is order isomorphic to  $N^*_{\{1\}}$ ,
- (c)  $N_{\{1\}}^{(-)}$  is order isomorphic to  $N_{\{0\}}^{(+)}$ ,
- (d)  $OP_{N_{\{0,1\}},N_{\{0,1\}}^*}$  is an isomorphism from  $N_{\{0,1\}}^*$  onto  $N_{\{0,1\}}$  which is the identity on  $N_{\{1\}}^*$ , maps  $N_{\{0\}}^*$  onto  $N_{\{0\}}$ ,
- (e) for  $\alpha \in N^*_{\{0,1\}} \setminus N^*_{\{1\}}'$ , if  $\beta = \min(N'_{\{1\}} \alpha)$ , then  $OP_{N_{\{0,1\}},N^*_{\{0,1\}}}(\alpha) \in \sup(A \cap \beta, \beta)$ ,

### Claim 2.5. There is a witness.

6

We can find  $\mathcal{C} \prec_{L_{\mu},L_{\mu}} (H(\chi^*), \in, <^*_{\chi^*})$  such that  $||\mathcal{C}|| = \mu, \ ^{\kappa}\mathcal{C} \subseteq \mathcal{C}, \ \mu+1 \subseteq \mathcal{C}$  and  $(M, \mathcal{B}_{\delta}, \delta) \in \mathcal{C}$ . As  $\mathcal{B}_{\delta} \prec_{L_{\mu^+,\mu^+}} (H(\chi^*), \in, <^*_{\chi^*})$  it follows that there is a function  $f, \operatorname{dom}(f) = \mathcal{C}, \operatorname{rang}(f) \subseteq \mathcal{B}_{\delta}, f \upharpoonright \mathcal{C} \cap \mathcal{B}_{\delta}$  is the identity, f preserves satisfaction of  $L_{\mu^+,\mu^+}$  formulas, i.e. f is an isomorphism.

Let  $\mathcal{N} \prec (H(\chi^*), \in, <^*_{\chi^*})$  be such that  $\{\mathcal{B}_{\delta}, \mathcal{C}, f, \delta\} \in \mathcal{N}, ||\mathcal{N}|| = \kappa$ . Let  $\mathcal{N}_1 = \mathcal{N} \cap \mathcal{C}, \mathcal{N}_0 = \mathcal{N} \cap \mathcal{B}_{\delta}$ . Let  $\mathcal{N}'_0 = f(\mathcal{N}_1)$ , note that  $\mathcal{N}'_0 \subseteq \mathcal{N}_0$ . Let  $\delta_0 = f(\delta_1)$ . W.l.o.g. we can assume that  $\mathcal{N} = Sk(\mathcal{N}_0, \mathcal{N}_1)$ . Let  $\mathcal{N}_{\emptyset} = \mathcal{B}_{\delta} \cap \mathcal{C} \cap \mathcal{N}$ . We claim that  $(\mathcal{N}_{\emptyset}, \mathcal{N}_0, \mathcal{N}'_1, \mathcal{N}, \delta_0, \delta_1)$  is a witness. Note that

(\*) if  $\alpha \in \mathcal{N} \cap (\delta + 1)$ , then  $\min(\mathcal{C} - \alpha) \in \mathcal{N}_1$ .

Let us check condition (3). Suppose that  $\alpha \in \mathcal{N} - \mathcal{N}_1$  and let  $\beta = \min(\mathcal{N}_1 - \alpha)$ . Note that by (\*) we have  $\beta = \min(\mathcal{C} - \alpha)$ . But as  $\mu + 1 \subseteq \mathcal{C}$  and  $\mathcal{C} \prec (H(\chi^*), \in, <^*_{\chi^*})$  we must have  $\operatorname{cf}(\beta) \geq \mu^+$ .

Now to verify (4), suppose that there is a set A such that the conclusion of (4) fails. Then A is definable from:  $\mathcal{N}_1$ , the isomorphism type of  $\mathcal{N}$  over  $\mathcal{N}_1$  and the isomorphism type of  $\mathcal{N}_0$  over  $\mathcal{N}'_0$ . As  $\mathcal{N}_1, \mathcal{N}_{\emptyset}$  are in  $\mathcal{C}$  and  $\mathcal{C} \prec_{L_{\mu}, L_{\mu}} (H(\chi^*), \in, <^*_{\chi^*})$  and  $\kappa < \mu$  it follows that such set A is in  $\mathcal{C}$ . But now the witness itself is a counterexample. Note that clause (e) follows from (\*).

**Claim 2.6.** If there is a witness, then there is a system as required, (for our  $\delta \in E \cap S^{\lambda}_{>\mu^{+}}$ ).

By induction on  $\alpha < \mu^+$  we define  $\delta_{\alpha} < \delta$  and a system  $\langle N'_{\{\alpha\}}, N_{\{\alpha\}}, N_{\{\alpha,\beta\}} \rangle$ , for  $\beta < \alpha$ .

Suppose that we have defined the system for all  $\beta < \alpha$ . Let  $A = \bigcup \{N_u : u \in [\{\delta_\beta : \beta < \alpha\}]^{\leq 2}\}$ . Let  $N'_{\{\alpha\}}$  and  $N_{\{\alpha\}}$ ,  $N_{\{0,\alpha\}}$  be as in the definition of a witness, for the above A. For  $\beta < \alpha$  let  $N_{\{\beta,\alpha\}} = Sk(N_{\{\beta\}}, N'_{\{\alpha\}})$ . It follows that  $N_{\alpha}$  is isomorphic to  $\mathcal{N}_0$  and  $N_{\{\beta,\alpha\}}$  is isomorphic to  $\mathcal{N}$ . Let  $\delta_{\alpha} = OP_{N_{\{0,\alpha\}}, N^*_{\{0,1\}}}(\alpha_0)$ . Note that  $I = \{\delta_{\alpha} : \alpha < \mu^+\}$  is such that  $\sup(I) = \delta$  and  $N_u \cap I = u$  for every  $u \in [I]^{\leq 2}$ . This finishes the proof.

# 3. Proof of the Theorem

Start with a model satisfying the assumptions of the theorem, i.e., we have  $2^{\aleph_l} = \aleph_{l+1}$  for l < 4,  $\{C_\alpha : \alpha \in \omega_4\}$  is a square sequence and  $\langle \bar{M}^i : i \in W \rangle$  is a diamond for systems,  $\Diamond_s(\aleph_4, \aleph_1, \aleph_1, \aleph_0)$ . Let  $\bar{M}^i = \langle M_u^i : u \in [\bar{B}_i]^{\leq 2} \rangle$  and let  $\bar{B}_i = \{\alpha_{\epsilon}^i : \epsilon < \omega_1\}$  be the increasing enumeration.

**Definition 3.1.** (1) A set  $b \subseteq \alpha$  is  $\overline{Q} \upharpoonright \alpha$ -closed, i.e.  $\alpha \in b \Rightarrow a_{\alpha} \subseteq b$ . (2)  $\mathcal{K} = \mathcal{K}_{\mu}$  is the family of FS-iterations  $\overline{Q} = \langle P_{\alpha}, Q_{\alpha}, a_{\alpha}, : \alpha < \alpha^* \rangle$  such that:

(b)  $|a_{\alpha}| \leq \mu$ ,

<sup>(</sup>a)  $a_{\alpha} \subseteq \alpha$ ,

7

- (c)  $\beta \in a_{\alpha} \Rightarrow a_{\beta} \subseteq a_{\alpha}$ ,
- (d) for  $b \subseteq \alpha$ ,  $P_b^* = \{p \in P_\alpha : \operatorname{dom}(p) \subseteq b \text{ and } (\forall \beta \in \operatorname{dom}(p))p(\beta) \text{ is a } P_{b \cap \alpha}^* \text{ name } \}$ ,
- (e)  $Q_{\alpha}$  is a  $P_{a_{\alpha}}^*$ -name, (see 3.2 below),
- (f)  $P_{\alpha^*}^*$  has the property K, (= Knaster).

*Remark* . The above definition proceeds by induction on  $\alpha^*$ , so part (d) is not circular.

**Lemma 3.2.** Suppose  $\bar{Q} = \langle P_{\alpha}, Q_{\alpha}, a_{\alpha}, : \alpha < \alpha^* \rangle \in \mathcal{K}$ . If  $b \subseteq \alpha^*$  is  $\bar{Q}$ -closed, then  $P_b^* \Leftrightarrow P_{\alpha^*}^*$ .

PROOF Straightforward, see [Sh 288] and [Sh 289].

Let  $f: {}^{\omega_1 > 2} \to \aleph_1$  be one-to-one, such that if  $\eta \triangleleft \nu$ , then  $f(\eta) \triangleleft f(\nu)$ . For  $\rho \in {}^{\omega_1 2}$  let  $w_\rho = \{f(\rho \upharpoonright i) : i < \aleph_1\} \in [\aleph_1]^{\aleph_1}$ . Note that if  $\rho_1 \neq \rho_2$  in  ${}^{\omega_1 2}$ , then  $|w_{\rho_1} \cap w_{\rho_2}| < \aleph_1$ . Let R be the countable support forcing adding  $\aleph_4$  many Cohen subsets of  $\omega_1$ ,  $\rho_i$   $(i < \omega_4)$ . Note that in  $V^R$ ,  $\{w_{\rho_i} : i \in \omega_4\}$  is a family of almost disjoint, uncountable subsets of  $\omega_1$ . Let  $B_i = \{\alpha_{\epsilon}^i : \epsilon \in w_{\rho_i}\}$ . Note that  $\{M_u^i : u \in [B_i]^{\leq 2}\}$  is still a system of models on i, hence without loss of generality we can assume that  $w_{\rho_i} = \omega_1$ . For  $\zeta \in \omega_1$  define  $B_i(\zeta) = \{\alpha_{\epsilon}^i : \epsilon < \zeta\}$ . In  $V^R$  we shall define an iteration  $\langle P_i, Q_i, a_i : i < \chi \rangle \in \mathcal{K}_{\aleph_4}$ . Working in  $V^R$ , we define  $\overline{Q} \upharpoonright i$ , by induction on  $i < \omega_4$ , and we prove that it is as in 3.1 (in  $V^R$ ).

We call i good if it satisfies:  $i \in W$ , each  $M_u^i$  has a predetermined predicate describing  $\bar{Q} \upharpoonright M_u^i$  (as an R-name, with the limit  $\tilde{P}_u^i$ ) and an  $R \upharpoonright M_u^i * \tilde{P}_u^i$ -name f for a function from  $\omega_2 \times \omega_2$  into  $\omega_2$  and each  $M_u^i$  is  $\bar{Q}$ -closed. (Recall that we do not distinguish between the model  $M_u^i$  Nan its universe). In this case we put  $a_i = \bigcup \{M_u^i : u \in [B_i]^{\leq 2}\}$  and define  $Q_i$  below.

If *i* is not good we put  $a_i = \emptyset$  and define  $Q_i$  to be the Cohen forcing, i.e.,  $Q_i = ({}^{\omega} > 2, \triangleleft)$ . We can assume that if  $\alpha \in B_i$ , then  $Q_\alpha$  is Cohen, (or just replace  $B_i$  by  $\{\alpha + 1 : \alpha \in B_i\}$ ). For  $\alpha \in B_i$ , let  $r_\alpha$  be the Cohen real forced by  $Q_\alpha$ .

*Remark*. The reason we add  $\aleph_4$  almost disjoint subsets of  $\omega_1$  is that, in  $V^R$ , if  $i \neq j$  are good and  $\operatorname{otp}(C_i) = \operatorname{otp}(C_j)$ , then the systems associated with i and j are almost disjoint, i.e., there is  $\zeta \in \omega_1$  such that

$$(\bigcup \{ M_u^i : u \in [B_i]^{\leq 2} \}) \cap (\bigcup \{ M_u^j : u \in [B_j]^{\leq 2} \}) \subseteq \\ (\bigcup \{ M_u^i : u \in [B_i(\zeta)]^{\leq 2} \}) \cap (\bigcup \{ M_u^j : u \in [B_j(\zeta)]^{\leq 2} \})$$

Note that if  $\operatorname{otp}(C_i) \neq \operatorname{otp}(C_j)$  then we have almost disjointness by 2.2(D)(i).

**Notation** For  $\xi, \zeta \in \omega_1$  let  $Z^i_{\xi,\zeta} = M^i_{\{\alpha^i_{\xi},\alpha^i_{\xi}\}} \cup M^i_{\{\alpha^i_{\xi}\}} \cup M^i_{\{\alpha^i_{\zeta}\}}, Z^i_{\xi} = M^i_{\{\alpha^i_{\xi}\}}$ . Now we fix a good *i*. Our goal is to define  $Q_i$ .

**Definition 3.3.** For  $p, q \in R$  (or in  $P_{\omega_4}^*$ ), dom(p), dom $(q) \subseteq Z_{0,1}^i$  we say that p and q are dual if  $OP_{Z_1^i, Z_0^i}(p \upharpoonright Z_0^i) = q \upharpoonright Z_1^i$  and  $OP_{Z_1^i, Z_0^i}(q \upharpoonright Z_0^i) = p \upharpoonright Z_1^i$ .

Using  $G_{R \upharpoonright M_{\emptyset}^{i}}$  we choose, by induction on  $k < \omega$ , conditions  $r_{\eta}^{i}, r_{\eta}^{i,l} \in R$  for  $\eta \in k^{2}, l < 2$ , such that:

- (a)  $r_{\eta}^i \in (R \upharpoonright Z_0^i) / G_{R \upharpoonright M_{\emptyset}^i}$ .
- (b)  $\nu \triangleleft \eta \Rightarrow r_{\nu}^{i} \leq r_{n}^{i}$ .

- (c) if l = m + 1, if  $\eta \in m^2$ , l < 2, then  $r_{\eta}^{i,l} \in (R \upharpoonright Z_{0,1}^i)/G_{R \upharpoonright M_{\emptyset}^i}$  and  $r_{\eta}^i \leq r_{\eta}^{i,l} \upharpoonright Z_0^i \leq r_{\eta \frown < l>}^i$  and  $OP_{Z_1^i, Z_0^i}(r_{\eta}^i) \leq r_{\eta}^{i,l} \upharpoonright Z_1^i \leq OP_{Z_1^i, Z_0^i}(r_{\eta \frown < l-l>}^i)$ , and  $r_{\eta}^{i,0}$  and  $r_{\eta}^{i,1}$  are dual.
- (d)  $r_{\eta}^{i,l}$  forces that  $A_k^{\eta,l} = \{ p_{k,n}^{\eta,l} : n \in \omega \}$  is a predense subset of  $P_{Z_{0,1}^i}^*$ , such that each  $p_{k,n}^{\eta,l}$  forces the value  $f_{k,n}^{\eta,l}$  of  $f(r_{\alpha^i}, r_{\alpha^i}) \upharpoonright k$ .
- that each  $\underline{p}_{k,n}^{\eta,l}$  forces the value  $f_{k,n}^{\eta,l}$  of  $\underline{f}(r_{\alpha_0^i}, r_{\alpha_1^i}) \upharpoonright k$ . (e)  $A_k^{\eta,0}$  and  $A_k^{\eta,1}$  are dual, i.e. for every  $m \in \omega$ ,  $\underline{p}_{k,m}^{\eta,0}$  and  $\underline{p}_{k,m}^{\eta,1}$  are dual. Moreover if  $k_1 < k_2$ , then  $A_{k_2}^{\eta,l}$  refines  $A_{k_1}^{\eta,l}$ .

Suppose we have  $r_{\eta}^{i}$ . We define  $r_{\eta}^{i,0}$ ,  $r_{\eta}^{i,1}$  and  $A_{k}^{\eta,0}$ ,  $A_{k}^{\eta,0}$  as follows.

1. Let  $r_1 = r_{\eta}^i \cup OP_{Z_1^i, Z_0^i}(r_{\eta}^i)$ .

8

2. Let  $r_{1,0} \ge r_1$ ,  $r_{1,0} \in R \upharpoonright Z_{0,1}$ , forces a maximal antichain  $A_{1,0}$  of  $P^*_{Z_{0,1}}$ , such that each element of  $A_{1,0}$  forces a value of  $f(r_{\alpha_0^i}, r_{\alpha_1^i}) \upharpoonright k$ .

3. Let  $r_2 = OP_{Z_1^i, Z_0^i}(r_{1,0} \upharpoonright Z_0^i) \cup OP_{Z_0^i, Z_1^i}(r_{1,0} \upharpoonright Z_1^i)$ . Let  $r_{2,1} \ge r_2, r_{2,1} \in R \upharpoonright Z_{0,1}$ forces  $A_{2,1}$  to be a predense subset of  $P_{Z_{0,1}}^*$  such that each element of  $A_{2,1}$  forces a value of  $f(r_{\alpha_0^i}, r_{\alpha_1^i}) \upharpoonright k$ . Moreover,  $A_{2,1} = \bigcup \{A_p : p \in A_{1,0}\}$ , where for every  $q \in A_p$  we have  $q \ge OP_{Z_1^i, Z_0^i}(p \upharpoonright Z_0^i) \cup OP_{Z_0^i, Z_1^i}(p \upharpoonright Z_1^i)$ .

4. Let  $r_3 = OP_{Z_1^i, Z_0^i}(r_{2,1} \upharpoonright Z_0^i) \cup OP_{Z_0^i, Z_1^i}(r_{2,1} \upharpoonright Z_1^i).$ 

5. Let  $r_{3,0} = r_3 \cup r_{1,0}$  (note:  $r_{3,0}$  is dual to  $r_{2,1}$ ). Let  $A_{3,0} = \{p \cup OP_{Z_1^i, Z_0^i}(q \upharpoonright Z_0^i) \cup OP_{Z_0^i, Z_1^i}(q \upharpoonright Z_1^i) : q \in A_p\}.$ 

6. Let  $r_{\eta}^{i,0} = r_{3,0}, r_{\eta}^{i,1} = r_{2,1}, A_k^{\eta,0} = A_{3,0}$  and  $A_k^{\eta,1} = A_{2,1}$ .

Let for  $\eta \in {}^{\omega}2$ ,  $r_{\eta}^{i} = \bigcup_{k < \omega} r_{\eta \restriction k}^{i}$ . In V choose  $\langle \eta_{\epsilon}^{*} : \epsilon < \omega_{1} \rangle$ , distinct members of  ${}^{\omega}2$ . Recall that  $\rho_{j}$   $(j < \aleph_{4})$  are the Cohen subsets of  $\omega_{1}$  forced by R In  $V[\langle \rho_{j} : j \in \{i\} \cup a_{i} \rangle]$  we can find  $w^{i} \in [\omega_{1}]^{\omega_{1}}$  such that

- $(\alpha) \ \text{if} \ \epsilon \in w^i \ \text{then} \ OP_{Z^i_\epsilon,Z^i_0}(r_{\eta^*_\epsilon}) \in G_{R\restriction Z^i_\epsilon},$
- ( $\beta$ ) if  $\epsilon_0 < \epsilon_1$  are in  $w^i$ ,  $l = TV(\eta^*_{\epsilon_0} <_{lx} \eta^*_{\epsilon_1})$ , then  $OP_{Z^i_{\epsilon_0,\epsilon_1}, Z^i_{0,1}}(r^{i,l}_{\eta^*_{\epsilon_0} \cap \eta^*_{\epsilon_1}}) \in G_{R \upharpoonright Z^i_{\epsilon_0,\epsilon_1}}.$

We choose the members of  $w^i$  inductively using the fact that R has  $(\langle \aleph_1 \rangle)$ -support.

**Notation** For  $\xi \in w^i$  denote  $r^i_{\xi} = r_{\alpha^i_{\xi}}$ .

Let *H* be *R*-generic and *G* be  $P_{a_i}^*$ -generic. In V[H][G] we define  $Q_i$ . A condition in  $Q_i$  is  $(u, v, \bar{\nu}, \bar{m}, F_0, F_1)$ , where:

- (1) u is a finite subset of  $w^i$ .
- (2) v is a finite set of elements of the form  $(\eta, \rho)$ , where
  - (a)  $\eta, \rho \in {}^{\omega > 2}$ ,  $\operatorname{lh}(\eta) = \operatorname{lh}(\rho), \rho \neq \eta$ ,
  - (b)  $\eta \lhd r^i_{\beta}$ ,  $\rho \lhd r^i_{\beta}$  for some  $\alpha, \beta \in u$  and if  $\nu = \eta^*_{\alpha} \cap \eta^*_{\beta}$  then for every  $\gamma \in u$  we have: if  $\eta \lhd r^i_{\gamma}$ , then  $\eta^*_{\gamma} \upharpoonright (\ln(\nu) + 1) = \eta^*_{\alpha} \upharpoonright (\ln(\nu) + 1)$ , and if  $\rho \lhd r^i_{\gamma}$ , then  $\eta^*_{\gamma} \upharpoonright (\ln(\nu) + 1) = \eta^*_{\beta} \upharpoonright (\ln(\nu) + 1)$ .
- (3)  $\bar{\nu}$  is a function from v into  $\omega > 2$  such that for  $(\eta, \rho) \in v$  we have:  $\bar{\nu}(\eta, \rho)$  is such that there is  $\alpha, \beta \in u$  such that  $\eta \triangleleft r_{\alpha}^{i}, \rho \triangleleft r_{\beta}^{i}$  and  $\bar{\nu}(\eta, \rho) = \eta_{\alpha}^{*} \cap \eta_{\beta}^{*},$  $(\bar{\nu} \text{ is well defined by (2)}).$
- (4)  $\bar{m}$  is a function from v to  $\omega$ . For  $(\eta, \rho) \in v$ ,  $\bar{m}(\eta, \rho)$  is such that for every  $\alpha, \beta \in u$  such that  $\eta \lhd r_{\alpha}^{i}, \rho \lhd r_{\beta}^{i}$ , we have  $OP_{Z_{\alpha,\beta}^{i}, Z_{0,1}^{i}}(p_{lh(\eta),\bar{m}(\eta,\rho)}^{\nu,l}) \in G$ , where  $l = TV(\eta_{\alpha}^{*} <_{lx} \eta_{\beta}^{*})$  and  $\nu = \eta_{\alpha}^{*} \cap \eta_{\beta}^{*}$ .

9

- (5) For  $l = 0, 1, F_l$  is a function from v into  $\omega > 2$ , defined by: for  $(\eta, \rho) \in v$ ,  $F_l(\eta, \rho)$  is the value of  $\underline{f}(r_0, r_1) \upharpoonright lh(\eta)$  forced by  $p_{lh(\eta), \overline{m}(\eta, \rho)}^{\overline{\nu}(\eta, \rho), l}$ .
- (6) For  $(\eta, \rho), (\eta_1, \rho_1) \in v$ , if  $\eta \triangleleft \eta_1$  and  $\rho \triangleleft \rho_1$ , then  $F_l(\eta, \rho) \triangleleft F_l(\eta_1, \rho_1)$ , for l = 0, 1.

Order:  $(u, v, \bar{\nu}, \bar{m}, F_0, F_1) \leq (u^1, v^1, \bar{\nu}^1, \bar{m}^1, F_0^1, F_1^1)$  if

- (7)  $u \subseteq u^1$ ,
- (8)  $v \subseteq v^1$ ,
- (9)  $F_l = F_l^1 \upharpoonright v, \bar{\nu} = \bar{\nu}^1 \upharpoonright v, \bar{m} = \bar{m}^1 \upharpoonright v, l = 0, 1.$

**Lemma 3.4.** Suppose  $(q_{\alpha}, p_{\alpha})$ , (for  $\alpha \in \omega_1$ ), are in  $P_{a_i}^* * Q_i$ ,  $q_{\alpha}$  forces  $p_{\alpha}$  to be a real 6-tuple in  $Q_i$ , not just a  $P_{a_i}^*$ -name of such a tuple, dom $(q_{\alpha})$  ( $\alpha \in \omega_1$ ) form a delta system with the root  $\Delta$ ,  $\zeta \in \omega_1$ . Let  $b = \bigcup \{M_u^i : u \in [B_i(\zeta)]^{\leq 2}\}$ . Suppose  $\Delta - \{i\} \subseteq b$  and dom $(q_{\alpha}) \cap b = \Delta$  for  $\alpha \in \omega_1$ .

Then there is an uncountable set  $E \subseteq \omega_1$  such that for every  $\alpha, \beta \in E$ ,  $(q_\alpha, p_\alpha)$ and  $(q_\beta, p_\beta)$  are compatible, moreover if  $q \in P_b^*$ ,  $q \ge q_\alpha \upharpoonright b, q_\beta \upharpoonright b$ , then  $q, (q_\alpha, p_\alpha)$ and  $(q_\beta, p_\beta)$  are compatible.

**PROOF** By thinning out we can find an uncountable set  $E \subseteq \omega_1$  such that:

- (a) For  $\alpha \in E$  let  $w_{\alpha} = \bigcup \{ u \in [B_i]^{\leq 2} : \operatorname{dom}(q_{\alpha}) \cap M_u^i \neq \emptyset \}$ , (each  $w_{\alpha}$  is finite). The sets  $w_{\alpha}$ ,  $(\alpha \in E)$  form a delta system with the root w and if  $\alpha < \beta$ ,  $\xi \in w_{\alpha}, \zeta \in w_{\beta}$ , then  $\xi \leq \zeta$ .
- (b)  $u^{p_{\alpha}}$  ( $\alpha \in E$ ) form a delta system with the root u and  $\alpha < \beta$ ,  $\xi \in u^{p_{\alpha}}, \zeta \in u^{p_{\beta}}$ , then  $\xi \leq \zeta$ ,  $|u^{p_{\alpha}}| = n^*$ .
- (c)  $v^{p_{\alpha}} = v^*$  for  $\alpha \in E$  and the structures  $(u^{p_{\alpha}}, \{q_{\alpha}(\xi) : \xi \in u^{p_{\alpha}}\}, v^*, \{\eta_{\xi}^* \upharpoonright m^* : \xi \in u^{p_{\alpha}}\})$  are isomorphic, (isomorphism given by the order preserving bijection between respective  $u^{p_{\alpha}}$ 's), where  $m^*$  is such that  $\ln(\eta_{\xi}^* \cap \eta_{\zeta}^*) < m^*$  for every  $\xi \neq \zeta$  in  $u^{p_{\alpha}}$ .

**Lemma 3.5.**  $P_{i+1}$  has the property K.

PROOF Let  $\{p_{\alpha} : \alpha \in \omega_1\}$  be an uncountable subset of  $P_{i+1}$ . W.l.o.g. we can assume that dom $(p_{\alpha})$ ,  $(\alpha \in \omega_1)$  form a delta system with the root  $\Delta$ . We have to find an uncountable subset  $E \subseteq \omega_1$  such that for any  $\alpha, \beta \in E$ ,  $p_{\alpha}$  and  $p_{\beta}$  are compatible. We prove it by induction on  $k = |\Delta|$ .

For k = 0, trivial. For the induction step assume that  $\Delta = \{i_0, \ldots, i_k\}$  ordered by  $\triangleleft$ , where for  $\alpha, \beta < \omega_4$ , we define  $\alpha \triangleleft \beta$  iff  $\operatorname{otp}(C_{\alpha}) < \operatorname{otp}(C_{\beta})$  or  $\operatorname{otp}(C_{\alpha}) = \operatorname{otp}(C_{\beta})$  and  $\alpha < \beta$ .

By the induction hypothesis there is an uncountable set  $E' \subseteq \omega_1$  such that for  $\alpha, \beta \in E', p_{\alpha} \upharpoonright \bigcup_{l < k} a_{i_l}$  and  $p_{\beta} \upharpoonright \bigcup_{l < k} a_{i_l}$  are compatible. Note that there is  $\zeta \in \omega_1$  such that  $a_{i_k} \cap (\bigcup_{l < k} a_{i_l}) \subseteq \bigcup \{M_u^{i_k} : u \in [B_{i_k}(\zeta)]^{\leq 2}\}$ , (see 2.2(D)). Now use the previous lemma.

Now suppose that G(i) is  $Q_i$ -generic. Let

$$A' = \bigcup \{ u : \exists (v, \bar{\nu}, \bar{m}, F_0, F_1), (u, v, \bar{\nu}, \bar{m}, F_0, F_1) \in G(i) \}.$$

In V[G] let  $A = \{r_{\alpha}^{i} : \alpha \in A'\}$  and let  $f_{l} : [A]^{2} \to {}^{\omega}2$  be defined by:

$$f_l(r_{\alpha}^i, r_{\beta}^i) = \bigcup \{F_l(\eta, \rho) : \exists (u, v, \bar{\nu}, \bar{m}, F_0, F_1) \in G(i), \\ \alpha, \beta \in u, (\eta, \rho) \in v, \eta \lhd r_{\alpha}^i, \rho \lhd r_{\beta}^i \}.$$
  
Let  $\mathcal{V} = \bigcup \{v : \exists (u, \bar{\nu}, \bar{m}, F_0, F_1) : (u, v, \bar{\nu}, \bar{m}, F_0, F_1) \in G(i) \}.$ 

**Lemma 3.6.** (1) For every  $\alpha, \beta \in A'$  and  $n \in \omega$  there is  $(\eta, \rho) \in \mathcal{V}$  such that  $\operatorname{lh}(\eta) = \operatorname{lh}(\rho) \geq n$  and  $\eta \triangleleft r_{\alpha}$  and  $\rho \triangleleft r_{\beta}$ ,

- (2) A is uncountable,
- (3)  $f_0, f_1$  are continuous,
- (4) for every  $(\alpha, \beta) \in [A]^2$ , if  $l = TV(\eta^*_\alpha <_{lx} \eta^*_\beta)$ , then  $f(r^i_\alpha, r^i_\beta) = f_l(r^i_\alpha, r^i_\beta)$ .

PROOF (1) and (2) follow by a density argument. To prove (1) suppose that  $(p,q) \in P_i * Q_i$ , p forces that  $\alpha, \beta \in u^q$ . W.l.o.g.  $\alpha, \beta \in \text{dom}(p)$ . Let  $p_1 \in P_i$  be such that  $\text{dom}(p) = \text{dom}(p_1)$ ,  $p(\zeta) = p_1(\zeta)$  for  $\zeta \in \text{dom}(p) \setminus \{\alpha, \beta\}$ ,  $p(\alpha) \triangleleft p_1(\alpha)$ ,  $p(\beta) \triangleleft p_1(\beta)$ ,  $\text{lh}(p_1(\alpha)) = \text{lh}(p_1(\beta)) \ge n$ , (remember that  $Q_\alpha, Q_\beta$  are Cohen). Let  $\eta = p_1(\alpha)$ ,  $\rho = p_1(\beta)$ ,  $\nu = \eta^*_\alpha \cap \eta^*_\beta$ ,  $l = TV(\eta^*_\alpha <_{lx} \eta^*_\beta)$ . Let  $m \in \omega$  be such that  $OP_{Z_{\alpha,\beta},Z_{0,1}}(p_{\text{lh}(\eta),m}^{\nu,l})$  is compatible with  $p_1$ , and let  $p_2$  be the common upper bound. Now define  $q_1 \ge q$  as follows.  $u^{q_1} = u^q, v^{q_1} = v^q \cup \{(\eta,\rho)\}, \bar{\nu}^{q_1}(\eta,\rho) = \nu$ ,  $\bar{m}^{q_1}(\eta,\rho) = m$ ,  $F_l^{q_1}(\eta,\rho)$  is the value forced by  $p_{\text{lh}(\eta),m}^{\nu,l}$ . Hence  $(p_2,q_1) \ge (p,q)$  and it forces what is required.

To prove (2) it is enough to show, in  $V^R$ , that for every  $\alpha \in \omega_1$  and  $(p,q) \in P_i * Q_i$ there is  $\beta > \alpha$  and  $(p_1, q_1) \ge (p, q)$ , such that  $\beta \in u^{q_1}$ . Let  $\beta > \alpha$  be such that  $\operatorname{dom}(p) \cap Z^i_{\gamma,\beta} \subseteq M^i_{\emptyset}$  and  $\beta > \gamma$  for every  $\gamma \in u^q$ . Let  $\gamma \in u^q$  be such that  $(\eta^*_{\gamma_1} \cap \eta^*_{\beta}) \lhd (\eta^*_{\gamma} \cap \eta^*_{\beta})$  for every  $\gamma_1 \in u^q$ . Define condition  $q_1(\beta) = q(\gamma)$  and let  $p_1$ be a condition extending p and each of conditions  $OP_{Z^i_{\gamma_1,\beta},Z^i_{0,1}}(p^{\bar{\nu}(\eta,\rho),l}_{\operatorname{lh}(\eta),\bar{m}(\eta,\rho)})$  such that  $(\eta,\rho) \in v, \eta \lhd q(\gamma_1), \rho \lhd q(\gamma)$  and  $l = TV(\eta^*_{\gamma_1} < \eta^*_{\beta})$ . Finally extend q to  $q_1$ such that  $u^{q_1} = u^q \cup \{\beta\}$ .

Condition (3) follows from (1) and (5) and (6) in the definition of  $Q_i$ .

To prove (4) it is enough to show that for every  $n \in \omega$ ,  $f(r_{\alpha}^{i}, r_{\beta}^{i}) \upharpoonright n = f_{l}(r_{\alpha}^{i}, r_{\beta}^{i}) \upharpoonright$  n. By condition (1) there is  $(\eta, \rho) \in V$  such that  $k = \ln(\eta) \geq n$  and  $\eta \triangleleft r_{\alpha}^{i}$  and  $\rho \triangleleft r_{\beta}^{i}$ . Recall that  $p = p_{\ln(\eta),\bar{m}(\eta,\rho)}^{\bar{\nu}(\eta,\rho),l}$  forces that  $f(r_{0}^{i}, r_{1}^{i}) \upharpoonright k = h$  for some fixed h. Now working in V consider  $(r_{\eta_{\alpha}^{i}\cap\eta_{\beta}^{*}}^{i,l}, p) \in R * P_{i} \upharpoonright Z_{0,1}^{i}$ . By the construction the condition  $(r', p) = OP_{Z_{\alpha,\beta}^{i}, Z_{0,1}^{i}}(r_{\eta_{\alpha}^{i}\cap\eta_{\beta}^{*}}^{i,l}, p) \in H * G$ , and forces that  $f(r_{\alpha}^{i}, r_{\beta}^{i}) = h$ . On the other hand, by definition  $F_{l}(\eta, \rho) = h$  and  $F_{l}(\eta, \rho) \triangleleft f_{l}(r_{\alpha}^{i}, r_{\beta}^{i})$  This finishes the proof.

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10