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ABSTRACT. Affirming a conjecture of Erdös and Rényi we prove that for any (real number) $c_1 > 0$ for some $c_2 > 0$, if a graph G has no $c_1(\log n)$ nodes on which the graph is complete or edgeless (i.e. G exemplifies $|G| \nleftrightarrow (c_1 \log n)_2^2$) then G has at least 2^{c_2n} non-isomorphic (induced) subgraphs.

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I thank Alice Leonhardt for the beautiful typing

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§0 INTRODUCTION

Erdös and Rényi conjectured (letting I(G) denote the number of (induced) subgraphs of G up to isomorphism and Rm(G) be the maximal number of nodes on which G is complete or edgeless):

(*) for every $c_1 > 0$ for some $c_2 > 0$ for n large enough for every graph G_n with n points

$$\bigotimes Rm(G_n) < c_1(\log n) \Rightarrow I(G_n) \ge 2^{c_2 n}.$$

They succeeded to prove a parallel theorem replacing Rm(G) by the bipartite version:

$$Bipartite(G) =: Max \bigg\{ k : \text{there are disjoint sets } A_1, A_2 \text{ of } k \text{ nodes of } G, \\ \text{such that } (\forall x_1 \in A_1)(\forall x_2 \in A_2)(\{x_1, x_2\} \text{ an edge}) \text{ or } \\ (\forall x_1 \in A_1)(\forall x_2 \in A_2)(\{x_1, x_2\} \text{ is not an edge}) \bigg\}.$$

It is well known that $Rm(G_n) \ge \frac{1}{2} \log n$. On the other hand, Erdös [Er7] proved that for every *n* for some graph $G_n, Rm(G_n) \le 2 \log n$. In his construction G_n is quite a random graph; it seems reasonable that any graph G_n with small $Rm(G_n)$ is of similar character and this is the rationale of the conjecture.

Alon and Bollobas [AlBl] and Erdös and Hajnal [EH9] affirm a conjecture of Hajnal:

- (*) if $Rm(G_n) < (1 \varepsilon)n$ then $I(G_n) > \Omega(\varepsilon n^2)$ and Erdös and Hajnal [EH9] also prove
- (*) for any fixed k, if $Rm(G_n) < \frac{n}{k}$ then $I(G_n) > n^{\Omega(\sqrt{k})}$.

Alon and Hajnal [AH] noted that those results give poor bounds for $I(G_n)$ in the case $\operatorname{Rm}(G_n)$ is much smaller than a multiple of log n, and prove an inequality weaker than the conjecture:

(*)
$$I(G_n) \ge 2^{n/2t^{20 \log(2t)}}$$
 when $t = Rm(G_m)$

so in particular if $t \ge c \log n$ they got $I(G_n) \ge 2^{n/(\log n)^{c \log \log n}}$, that is the constant c_2 in the conjecture is replaced by $(\log n)^{c \log \log n}$ for some c.

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§1

1.1 Notation. log $n = \log_2 n$. Let c denote a positive real. G, H denote graphs, which are here finite, simple and undirected. V^G is the set of nodes of the graph G. E^G is the set of edges of the graph G so $G = (V^G, E^G), E^G$ is a symmetric, irreflexive relation on V^G i.e. a set of unordered pairs. So $\{x, y\} \in E^G, xEy, \{x, y\}$ an edge of G, all have the same meaning. $H \subseteq G$ means that H is an induced subgraph of G; i.e. $H = G \upharpoonright V^H$. Let |X| be the number of elements of the set X.

1.2 Definition. I(G) is the number of (induced) subgraphs of G up to isomorphisms.

1.3 Theorem. For any $c_1 > 0$ for some $c_2 > 0$ we have (for n large enough): if G is a graph with n edges and G has neither a complete subgraph with $\geq c_1 \log n$ nodes nor a subgraph with no edges with $\geq c_1 \log n$ nodes <u>then</u> $I(G) \geq 2^{c_2 n}$.

1.4 Remark. 1) Suppose $n \nleftrightarrow (r_1, r_2)$ and m are given. Choose a graph H on $\{0, \ldots, n-1\}$ exemplifying $n \nleftrightarrow (r_1, r_2)^2$ (i.e. with no complete subgraphs with r_1 nodes and no independent set with r_2 nodes). Define the graph G with set of nodes $V^G = \{0, \ldots, mn-1\}$ and set of edges $E^G = \{\{mi_1 + \ell_1, mi_2 + \ell_2\} : \{i_1, i_2\} \in E^H$ and $\ell_1, \ell_2 < m\}$. Clearly G has nm nodes and it exemplifies $mn \nleftrightarrow (r_1, mr_2)$. So $I(G) \leq (m+1)^n \leq 2^{n \log_2(m+1)}$ (as the isomorphism type of $G' \subseteq G$ is determined by $\langle |G' \cap [mi, mi + m)| : i < n \rangle$). We conjecture that this is the worst case. 2) Similarly if $n \nleftrightarrow \left(\begin{bmatrix} r_1 \\ r_2 \end{bmatrix} \right)_2^2$; i.e. there is a graph with n nodes and no disjoint $A_1, A_2 \subseteq V^G, |A_1| = r_1, |A_2| = r_2$ such that $A_1 \times A_2 \subseteq E^G$ or $(A_1 \times A_2) \cap E^G = \emptyset$, then there is G exemplifying $mn \to \left(\begin{bmatrix} n_1m \\ r_2m \end{bmatrix} \right)_2^2$ such that $I(G) \leq 2^{n \log(m+1)}$.

Proof. Let c_1 , a real > 0, be given. Let m_1^* be¹ such that for every n (large enough) $\frac{n}{(\log n)^2 \log \log n} \rightarrow (c_1 \log n, \frac{c_1}{m_1^*} \log n)$. [Why does it exist? By Erdös and Szekeres [ErSz] $\binom{n_1+n_2-2}{n-1} \rightarrow (n_1, n_2)^2$ and hence for any k letting $n_1 = km, n_2 = m$ we have $\binom{km+m-2}{m-1} \rightarrow (km, m)^2$, now $\binom{m+m-2}{m-1} \leq 2^{2(m-1)}$ and

$$\binom{(k+1)m+m-2}{m-1} / \binom{km+m-2}{m-1} = \prod_{i=0}^{m-2} (1 + \frac{m}{km+i})$$
$$\leq \prod_{i=0}^{m-2} (1 + \frac{m}{km}) = (1 + \frac{1}{k})^{m-1}$$

¹the log log n can be replaced by a constant computed from m_1^*, m_2^*, c_ℓ later

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hence $\binom{km+m-2}{m-1} \leq \left(4 \cdot \prod_{\ell=0}^{k-2} (1+\frac{1}{\ell+1})\right)^{m-1}$, and choose k large enough (see below). For (large enough) n we let $m = (c_1 \log n)/k$, more exactly the first integer is not below this number so

$$\log\binom{km+m-2}{m-1} \le \log\left(4 \cdot \prod_{\ell=0}^{k-2} (1+\frac{1}{\ell+1})\right)^{m-1} \le (\log n) \cdot \frac{c_1}{k} \cdot \log\left(4 \cdot \prod_{\ell=0}^{k-2} (1+\frac{1}{\ell+1})\right) \le \frac{1}{2}(\log n)$$

(the last inequality holds as k is large enough); lastly let m_1^* be such a k. Alternatively, just repeat the proof of Ramsey's theorem.]

Let m_2^* be minimal such that $m_2^* \to (m_1^*)_2^2$. Let $c_2 < \frac{1}{m_2^*}$ (be a positive real). Let $c_3 \in (0,1)_{\mathbb{R}}$ be such that $0 < c_3 < \frac{1}{m_2^*} - c_2$. Let $c_4 \in \mathbb{R}^+$ be $4/c_3$ (even $(2 + \varepsilon)/c_3$ suffices). Let $c_5 = \frac{1-c_2-c_3}{m_2^*}$ (it is > 0). Let $\varepsilon \in (0,1)_{\mathbb{R}}$ be small enough.

Now suppose

 $(*)_0$ n is large enough, G a graph with n nodes and $I(G) < 2^{c_2 n}$.

We choose $A \subseteq V^G$ in the following random way: for each $x \in V^G$ we flip a coin with probability $c_3/\log n$, and let A be the set of $x \in V^G$ for which we succeed. For any $A \subseteq V^G$ let \approx_A be the following relation on $V^G, x \approx_A y$ iff $x, y \in V^G$ and $(\forall z \in A)[zE^Gx \leftrightarrow zE^Gy]$. Clearly \approx_A is an equivalence relation; and let $\approx'_A = \approx_A \upharpoonright (V^G \backslash A)$.

For distinct $x, y \in V^G$ what is the probability that $x \approx_A y$? Let

$$\operatorname{Dif}(x, y) \coloneqq \{ z : z \in V^G \text{ and } z E^G x \leftrightarrow \neg z E^G y \},\$$

and $\operatorname{dif}(x, y) = |\operatorname{Dif}(x, y)|$, so the probability of $x \approx_A y$ is $\left(1 - \frac{c_3}{\log n}\right)^{\operatorname{dif}(x, y)} \sim e^{-c_3 \operatorname{dif}(x, y)/\log n}$.

Hence the probability that for some $x \neq y$ in V^G satisfying $\operatorname{dif}(x, y) \geq c_4 (\log n)^2$ we have $x \approx_A y$ is at most

$$\binom{n}{2} e^{-c_3(c_4(\log n)^2)/\log n} \le \binom{n}{2} e^{-4\log n} \le 1/n^2$$

(remember $c_3c_4 = 4$ and $(4/\log e) \ge 2$). Hence for some set A of nodes of G we have

 $(*)_1 A \subseteq V^G$ and A has $\leq \frac{c_3}{\log n} \cdot n$ elements and A is non-empty and $(*)_2$ if $x \approx_A y$ then $\operatorname{dif}(x, y) \leq c_4 (\log n)^2$.

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Next

$$(*)_3 \ \ell := |(V^G \setminus A) / \approx_A |$$
 (i.e. the number of equivalence classes of $\approx'_A = \approx_A \upharpoonright (V^G \setminus A))$ is $< (c_2 + c_3) \cdot n$

[why? let C_1, \ldots, C_{ℓ} be the \approx'_A -equivalence classes. For each $u \subseteq \{1, \ldots, \ell\}$ let $G_u = G \upharpoonright (A \cup \bigcup_{i \in u} C_i)$. So G_u is an induced subgraph of G and $(G_u, c)_{c \in A}$ for $u \subseteq \{1, \ldots, \ell\}$ are pairwise non- isomorphic structures, so

$$2^{\ell} = |\{u : u \subseteq \{1, \dots, \ell\}\}| \le |\{f : f \text{ a function from } A \text{ into } V^G\}| \times I(G)$$
$$\le n^{|A|} \times I(G),$$

hence (first inequality by the hypothesis toward contradiction)

$$2^{c_2n} > I(G) \ge 2^{\ell} \times n^{-|A|} \ge 2^{\ell} \cdot n^{-c_3n/\log n}$$

= $2^{\ell} \times 2^{-c_3n}$

hence

$$c_2n > \ell - c_3n$$
 so $\ell < (c_2 + c_3)n$ and we have gotten $(*)_3$].

Let $\{B_i : i < i^*\}$ be a maximal family such that:

- (a) each B_i is a subset of some \approx'_A -equivalence class
- (b) the B_i 's are pairwise disjoint
- (c) $|B_i| = m_1^*$
- (d) $G \upharpoonright B_i$ is a complete graph or a graph with no edges.

Now if $x \in V^G \setminus A$ then $(x / \approx'_A) \setminus \bigcup_{i < i^*} B_i$ has $\langle m_2^* \text{ elements (as } m_2^* \to (m_1^*)_2^2 \text{ by}$ the choice of m_2^* and " $\langle B_i : i < i^* \rangle$ is maximal"). Hence

$$n = |V^{G}| = |A| + |\bigcup_{i < i^{*}} B_{i}| + |V^{G} \setminus A \setminus \bigcup_{i < i^{*}} B_{i}|$$

$$\leq c_{3} \frac{n}{\log n} + m_{1}^{*} \times i^{*} + |(V^{G} \setminus A) / \approx'_{A}| \times m_{2}^{*}$$

$$\leq c_{3} \frac{n}{\log n} + m_{1}^{*} \times i^{*} + m_{2}^{*}(c_{2} + c_{3})n$$

$$= c_{3} \frac{n}{\log n} + m_{1}^{*} \times i^{*} + (1 - m_{2}^{*}c_{5}) \cdot n$$

hence

 $(*)_4 \ i^* \ge \frac{n}{m_1^*} (m_2^* c_5 - \frac{c_3}{\log n}).$

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For $i < i^*$ let

$$B_i = \{x_{i,0}, x_{i,2}, \dots, x_{m_1^* - 1}\},\$$

and let

$$u_{i} =: \left\{ j < i^{*} : j \neq i \text{ and for some } \ell_{1} \in \{1, \dots, m_{1}^{*} - 1\} \text{ and} \\ \ell_{2} \in \{0, \dots, m_{1}^{*} - 1\} \text{ we have} \\ x_{j,\ell_{2}} \in \operatorname{Dif}(x_{i,0}, x_{i,\ell_{1}}) \right\}.$$

Clearly

 $(*)_5 |u_i| \le m_1^* (m_1^* - 1) c_4 (\log n)^2.$

Next we can find W such that

$$\begin{array}{ll} (*)_6 & (i) \ W \subseteq \{0, \dots, i^* - 1\} \\ & (ii) \ |W| \ge i^* / (m_1^* (m_1^* - 1) c_4 (\log n)^2) \\ & (iii) \ \text{if } i \ne j \text{ are members of } W \text{ then } j \notin u_i \end{array}$$

[Why? By de Bruijn and Erdös [ErBr]; however we shall give a proof when we weaken the bound. First weaken the demand to

(*iii*)' $i \in W \& j \in W \& i < j \Rightarrow j \notin u_i$. This we get as follows: choose the *i*-th member by induction. Next we find $W' \subseteq W$ such that W' satisfies (iii); then choose this is done similarly but we choose the members from the top down (inside W) so the requirement on *i* is $i \in W \& (\forall j)(i < j \in W' \rightarrow i \notin u_j)$ so our situation is similar. So we have proved the existence, except that we get a somewhat weaker bound, which is immaterial here].

Now for some $W' \subseteq W$

(*) $W' \subseteq W, |W'| \ge \frac{1}{2}|W|$, and all the $G \upharpoonright B_i$ for $i \in W'$ are complete graphs or all are independent sets.

By symmetry we may assume the former. Let us sum up the relevant points:

- (A) $W' \subseteq \{0, \dots, i^* 1\},$ $|W'| \ge \frac{(m_2^* c_5 - \frac{c_3}{\log n}) \cdot n}{2(m_1^*)^2 (m_1^* - 1) c_4 (\log n)^2}$
- (B) $G \upharpoonright B_i$ is a complete graph for $i \in W'$
- (C) $B_i = \{x_{i,\ell} : \ell < m_1^*\}$ without repetition and $i_1, i_2 < i^*, \ell_1, \ell_2 < m_1^* \Rightarrow x_{i_1,\ell_1} E^G x_{i_2,\ell_2} \equiv x_{i_1,0} E^G x_{i_2,0}.$

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But by the choice of m_1^* (and as n is large enough hence |W'| is large enough) we know $|W'| \rightarrow \left(\frac{c_1}{m_1^*} \log n, \frac{c_1}{1} \log n\right)^2$. We apply it to the graph $\{x_{i,0} : i \in W'\}$. So one of the following occurs:

(a) there is $W'' \subseteq W'$ such that $|W''| \geq \frac{c_1}{m_1^*} \log n$ and $\{x_{i,0} : i \in W''\}$ is a complete graph

or

(β) there is $W'' \subseteq W'$ such that $|W'| \ge c_1(\log n)$ and $\{x_{i,0} : i \in W''\}$ is a graph with no edges.

Now if possibility (β) holds, then $\{x_{i,0} : i \in W''\}$ is as required and if possibility (α) holds then $\{x_{i,t} : i \in W'', t < m_1^*\}$ is as required (see (C) above).

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