# On incomparability and related cardinal functions on ultraproducts of Boolean algebras

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ABSTRACT: Let C denote any of the following cardinal characteristics of Boolean algebras: incomparability, spread, character,  $\pi$ -character, hereditary Lindelöf number, hereditary density. It is shown to be consistent that there exists a sequence  $\langle B_i : i < \kappa \rangle$  of Boolean algebras and an ultrafilter D on  $\kappa$  such that

$$C(\prod_{i<\kappa} B_i/D) < |\prod_{i<\kappa} C(B_i)/D|.$$

This answers a number of problems posed in [M].

## Introduction

For a number of cardinal characteristics C of Boolean algebras it makes sense to ask whether it is consistent to have a sequence  $\langle B_i : i < \kappa \rangle$  of Boolean algebras and an ultrafilter D on  $\kappa$  such that

$$C(\prod_{i<\kappa} B_i/D) < |\prod_{i<\kappa} C(B_i)/D|.$$

For C being the length this was proved in [MSh]. The same method of proof can be used to get the analogous thing for C being any one of the following: incomparability (Inc), spread (s), character  $(\chi)$ ,  $\pi$ -character  $(\pi\chi)$ , hereditary Lindelöf number (hL), hereditary density (hd). This answers problems 47, 48, 52, 56, 60 of [M]. For irredundancy (Monk's problem 25) this will be done in a subsequent paper of the first author. We won't define these notions here, as they are very clearly defined on pp. 2,3 in [M]. We assume that the reader has a good knowledge of [MSh] and [Mg].

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For C a cardinal function of Boolean algebras which is defined as the supremum of all cardinals which have a certain property, we define  $C^+$  as the least cardinal  $\kappa$  such that this property fails for every cardinal  $\lambda \geq \kappa$ . Note that all cardinal functions mentioned above are of this form. For  $\chi$ , note that in [M]  $\chi(B)$  has been defined as the minimal  $\kappa$  such that every ultrafilter on B can be generated by  $\kappa$  elements. Clearly,  $\chi(B)$  can be equivalently defined as

$$\sup\{\chi(U): U \text{ is an ultrafilter on } B\},\$$

where  $\chi(U)$  is the minimal size of a generating subset of U.

In §1 below we deal with incomparability. In §2 we shall show that the results for all the other characteristics can be deduced from this relatively easily.

A key notion for the proofs is that of  $\mu$ -entangled linear order,  $\mu$  being a cardinal (see definition 1.1 below). The reason for this is the following observation of Shelah (see [M, p.225]), where Int(I) denotes the interval algebra of some linear order I, i.e. the subalgebra of  $\mathcal{P}(I)$  generated by the half-open intervals of the form [a, b),  $a, b \in I$ .

**Fact.** Let  $\mu$  be a regular uncountable cardinal and let I be a linear order. The following are equivalent:

- (1) I is  $\mu$ -entangled.
- (2) There is no incomparable subset of Int(I) of size  $\mu$ .

For b a member of some Boolean algebra B,  $b^1$  denotes b and  $b^0$  denotes the complement of b. By Ult(B) we denote the Stone space of B.

## 1. Incomparability

**Definition 1.1.** Let (I, <) be a linear order and let  $D \subseteq \mathcal{P}(\kappa)$  for some infinite cardinal  $\kappa$ .

(1) (I, <) is called  $(\delta, \gamma)$ -entangled, where  $\gamma < \delta$  are cardinals, if for every family  $\langle t_{\alpha,\varepsilon} : \alpha < \delta, \varepsilon < \varepsilon(*) < \gamma \rangle$  of pairwise distinct members of I and for every  $u \subseteq \varepsilon(*)$  there exist  $\alpha < \beta < \delta$  such that

$$\forall \varepsilon < \varepsilon(*) \quad t_{\alpha,\varepsilon} < t_{\beta,\varepsilon} \Leftrightarrow \varepsilon \in u.$$

If  $\gamma = \omega$  we say that (I, <) is  $\delta$ -entangled.

(2) (I, <) is called  $(\delta, D)$ -entangled if for every sequence  $\langle t_{\alpha,\varepsilon,l} : \alpha < \delta, \varepsilon \in A, l < n \rangle$  of pairwise distinct members of I, where  $A \in D$  and  $n < \omega$ , and for every  $u \subseteq n$  there exist  $\alpha < \beta < \delta$  and  $B \subseteq A, B \in D$ , such that

$$\forall \varepsilon \in B \forall l < n \quad t_{\alpha,\varepsilon,l} < t_{\beta,\varepsilon,l} \Leftrightarrow l \in u.$$

Note that if  $\kappa < \gamma$  and (I, <) is  $(\delta, \gamma)$ -entangled then (I, <) is  $(\delta, D)$ -entangled for every  $D \subseteq \mathcal{P}(\kappa)$ .

In the sequel, if  $\gamma < \delta$  are regular cardinals, by  $C(\gamma, \delta)$  we denote the partial order to add  $\delta$  Cohen subsets to  $\gamma$ . More precisely,

$$C(\gamma, \delta) = \prod_{i < \delta} Q_i$$
 (<  $\gamma$ -support product)

where  $Q_i = ({}^{<\gamma}2, \supseteq)$ . Clearly Q is  $\gamma$ -directedly-closed.

**Lemma 1.2.** Let  $\gamma < \delta$  be regular cardinals such that  $\forall \alpha < \delta$   $\alpha^{<\gamma} < \delta$ . Let  $L = \{\eta_i : i < \delta\}$  be  $C(\gamma, \delta)$ -generic. Letting  $<_{lex}$  denote the lexicographic order on  $^{\gamma}2$ , we have that  $(L, <_{lex})$  is a  $(\delta, \gamma)$ -entangled linear order.

Proof: Suppose  $p \parallel_{C(\gamma,\delta)}$  " $\langle \dot{\tau}(\alpha,\varepsilon) : \alpha < \delta, \varepsilon < \varepsilon(*) < \gamma \rangle$  is a sequence of pairwise distinct ordinals below  $\delta$  such that the family  $\langle \dot{\eta}_{\dot{\tau}(\alpha,\varepsilon)} : \alpha < \delta, \varepsilon < \varepsilon(*) < \gamma \rangle$  contradicts  $(\delta,\gamma)$ -entangledness of L, witnessed by set  $u \subseteq \varepsilon(*)$ ". Here  $\dot{\eta}_i$  is the canonical name for the ith Cohen subset of  $\gamma$ .

As  $C(\gamma, \delta)$  does not add new ordinal sequences of length  $< \gamma$  we may assume that  $u \in V$ . For the same reason, for each  $\alpha < \delta$  we may pick  $p_{\alpha} \leq p$  such that  $p_{\alpha}$  decides the value of  $\langle \dot{\tau}(\alpha, \varepsilon) : \varepsilon < \varepsilon(*) \rangle$ , say as  $\langle \tau(\alpha, \varepsilon) : \varepsilon < \varepsilon(*) \rangle$ . By the  $\Delta$ -system Lemma and some thinning out there exists  $Y \in [\delta]^{\delta}$  and  $r \in [\delta]^{<\gamma}$  such that for all  $\alpha, \beta \in Y$ ,  $\alpha \neq \beta$ , we have:

- (i)  $dom(p_{\alpha}) \cap dom(p_{\beta}) = r$ ,
- (ii)  $\{\tau(\alpha, \varepsilon) : \varepsilon < \varepsilon(*)\} \subset \operatorname{dom}(p_{\alpha}),$
- (iii)  $\langle p_{\alpha}(i) : \alpha \in Y \rangle$  is constant for every  $i \in r$ ,
- (iv)  $\langle p(\tau(\alpha, \varepsilon)) : \alpha \in Y \rangle$  is constant for every  $\varepsilon < \varepsilon(*)$ .

Pick  $\alpha, \beta \in Y$  with  $\alpha < \beta$ . Note that  $p_{\alpha}, p_{\beta}$  are compatible, and hence  $\{\tau(\alpha, \varepsilon) : \varepsilon < \varepsilon(*)\} \cap \{\tau(\beta, \varepsilon) : \varepsilon < \varepsilon(*)\} = \emptyset$ .

Define  $q \leq p_{\alpha}, p_{\beta}$  by  $dom(q) = dom(p_{\alpha}) \cup dom(p_{\beta})$  and

$$q(i) = \begin{cases} p_{\alpha}(i) \hat{\ } 0 & i \in \{\tau(\alpha, \varepsilon) : \varepsilon \in u\} \\ p_{\alpha}(i) \hat{\ } 1 & i \in \{\tau(\alpha, \varepsilon) : \varepsilon \in \varepsilon(*) \setminus u\} \\ p_{\beta}(i) \hat{\ } 0 & i \in \{\tau(\beta, \varepsilon) : \varepsilon \in \varepsilon(*) \setminus u\} \\ p_{\beta}(i) \hat{\ } 1 & i \in \{\tau(\beta, \varepsilon) : \varepsilon \in u\} \\ p_{\alpha}(i) & i \in \text{dom}(p) \setminus \{\tau(\alpha, \varepsilon) : \varepsilon < \varepsilon(*)\} \\ p_{\beta}(i) & \text{otherwise.} \end{cases}$$

Then clearly

$$q \Vdash (\forall \varepsilon < \varepsilon(*)) \eta_{\dot{\tau}(\alpha,\varepsilon)} <_{lex} \eta_{\dot{\tau}(\beta,\varepsilon)} \Leftrightarrow \varepsilon \in u,$$

a contradiction.

Assume GCH. Let  $\mu$  be a supercompact cardinal and let  $\kappa < \mu$  be a measurable cardinal. Fix D a normal measure on  $\kappa$ . By [L] we may assume that the supercompactness of  $\mu$  cannot be destroyed by any  $\mu$ -directedly-closed forcing.

For any ordinal  $\alpha$  let  $F(\alpha)$  denote the least inaccessible cardinal above  $\alpha$ , if it exists. We assume that  $F(\mu)$  exists and denote it with  $\lambda$ .

Let  $Q = C(\mu, \lambda)$ . Clearly Q is  $\mu$ -directedly-closed and  $V^Q \models 2^{\mu} = \lambda$ .

Work in  $V^Q$ . Let U be a normal fine measure on  $[H((2^{\lambda})^+)]^{<\mu}$ . By Lemma 1.2,  $H((2^{\lambda})^+) \models$  "there exists a  $(\lambda, \mu)$ -entangled linear order on  $\lambda$ ". Therefore the set A of all  $a \in [H((2^{\lambda})^+)]^{<\mu}$  such that

- (1)  $(a, \in) \prec (H((2^{\lambda})^+), \in),$
- (2)  $a \cap \mu$  is measurable,
- (3) the Mostowski collapse of a is  $H((2^{F(a\cap\mu)})^+)$ ,
- (4)  $H((2^{F(a\cap\mu)})^+) \models$  there is a  $(F(a\cap\mu), a\cap\mu)$ -entangled linear order on  $F(a\cap\mu)$ , call it  $J_{a\cap\mu}^*$ ,
- (5)  $H((2^{F(a \cap \mu)})^+) \models 2^{a \cap \mu} = F(a \cap \mu)$

belongs to U.

By well-known arguments on large cardinals and elementary embeddings we can build a sequence  $\bar{U} = \langle U_{\alpha} : \alpha < \kappa \rangle$  of normal measures on  $\mu$  such that

- (a)  $\alpha < \beta \Rightarrow U_{\alpha} < U_{\beta}$  (i.e.  $U_{\alpha} \in \text{Ult}(V, U_{\beta})$ ),
- (b)  $\{a \cap \mu : a \in A\} \in U_{\alpha} \text{ for all } \alpha < \kappa.$

The main fact which is used for this is the following lemma which goes back to [SRK]. We thank James Cummings for reconstructing the proof for us.

**Lemma 1.3** For all  $a \in V_{\mu+2}$  there exists a normal measure U on  $\mu$  such that  $a \in Ult(V, U)$  and  $\{a \cap \mu : a \in A\} \in U$ .

Sketch of proof: Let  $j: V \to M$  be the elementary embedding defined by the normal fine measure U above. Fix < a wellordering of  $V_{\mu}$  and let

$$<^*=j(<) \upharpoonright V_{\lambda^+}.$$

Assuming that the Lemma is false, let  $b \in V_{\mu+2}$  be the  $<^*$ -minimal counterexample. Let  $\bar{U} = \{B \subseteq \mu : \mu \in j(B)\}$  be the normal measure on  $\mu$  induced by j and let  $i : V \to N$  be the corresponding elementary embedding. As usual we have another elementary embedding  $k : N \to M$ , defined by  $k([f]_{\bar{U}}) = j(f)(\mu)$ , such that  $j = k \circ i$  (see [J, p.312]). As  $V_{\mu+2} \subseteq M$  we have that

$$M \models b$$
 is the  $j(<)$ -minimal counterexample.

By elementarity there must exist  $\bar{b} \in N$  such that  $k(\bar{b}) = b$  and

$$N \models \bar{b}$$
 is the  $i(<)$ -minimal counterexample.

Note that  $b \notin N$ , as b is a counterexample. Also note that  $V_{\mu+1} \subseteq ran(k)$ . As k is simply the inverse of the transitive collapse map on ran(k), we conclude  $\bar{b} = b$  and hence  $b \in N$ , a contradiction.

Let  $Q(\bar{U})$  denote Magidor's forcing to change the cofinality of  $\mu$  to  $\kappa$  by adding a normal sequence  $\langle \mu_i : i < \kappa \rangle$  cofinal in  $\mu$ . Fix such a  $Q(\bar{U})$ -generic sequence with  $\mu_0 > 2^{\kappa}$ . We let

$$\mu'_i = \mu_{\omega i}, \ \theta_i = F(\mu_{i+1}), \ \lambda_i = F(\mu_{\omega i}), \ J_i = J^*_{\mu_{i+1}}.$$

**Lemma 1.4** For every  $i < \kappa$ ,  $V^{Q*Q(\bar{U})} \models \text{``}F(\mu_{i+1}) = \theta_i \text{ and } J_i \text{ is } (\theta_i, D)\text{-entangled''}.$ 

Proof: Work in  $V^Q$ . Let  $\langle \dot{\mu}_i : i < \kappa \rangle$  be a  $Q(\bar{U})$ -name for the generic sequence. Fix  $i < \kappa$ . Let  $p \in Q(\bar{U})$  such that p decides  $\dot{\mu}_j$  as  $\mu_j$  for  $j \in \{i, i+1, i+2\}$ . We may assume that the domain of the first coordinate of p is  $\{i, i+1, i+2\}$ . By the main arguments of [Mg], especially [Mg, Lemma 5.3], it follows that forcing  $Q(\bar{U})$  below p factors as  $P^i_{\mu_i} * Q^i$ , where  $P^i_{\mu_i}$  is the union of  $\mu_i$  many  $\mu_i$ -directed suborders each of them of size  $\leq 2^{\mu_i}$ , and  $Q^i$  does not add new subsets to  $\mu_{i+2}$ . Hence clearly  $V^{Q*Q(\bar{U})} \models F(\mu_{i+1}) = \theta_i$ .

Now suppose  $p \Vdash \text{``}\langle \dot{t}_{\alpha,\varepsilon,l} : \alpha < \theta_i, \varepsilon \in A, l < n \rangle$  is a one-to-one family of elements of  $J_i$ ". By [Mg, Lemma 4.6], for each  $\alpha < \theta_i$  we can find  $p_{\alpha} \leq p$  such that  $p_{\alpha}$  and p have the

same first coordinate and for all  $\varepsilon \in A$  and l < n there exists  $w_{\alpha,\varepsilon,l} \in [i]^{<\omega}$  such that below  $p_{\alpha}$ , the value of  $\dot{t}_{\alpha,\varepsilon,l}$  depends only on the value of  $\langle \dot{\mu}_j : j \in w_{\alpha,\varepsilon,l} \rangle$ . As D is  $\kappa$ -complete and  $i < \kappa$ , there exists  $B_{\alpha,l} \in D$  and  $w_{\alpha,l}$  such that  $w_{\alpha,\varepsilon,l} = w_{\alpha,l}$  for all  $\varepsilon \in B_{\alpha,l}$  and l < n. Let  $w_{\alpha} = \bigcup_{l < n} w_{\alpha,l}$ ,  $B_{\alpha} = \bigcap_{l < n} B_{\alpha,l}$ . As  $2^{\kappa} < \theta_i$  and  $i < \theta_i$  we can find  $Y \subseteq \theta_i$  of size  $\theta_i$ ,  $w^* \in [i]^{<\omega}$  and  $B \in D$  such that  $B_{\alpha} = B$  and  $w^* = w_{\alpha}$  for all  $\alpha \in Y$ . Let v be the domain of the first coordinate of any  $p_{\alpha}$ . By [Mg, Lemma 3.3], for each  $\alpha \in Y$  we can find  $p'_{\alpha} \leq p_{\alpha}$  such that the domain of the first coordinate of  $p'_{\alpha}$  is  $w^* \cup v$ . Then  $p'_{\alpha}$  decides  $\langle \dot{\mu}_j : j \in w^* \rangle$ , say as  $\langle \mu_j^{\alpha} : j \in w^* \rangle$ , and hence  $p'_{\alpha}$  decides  $\langle \dot{t}_{\alpha,\varepsilon,l} : \varepsilon \in B, l < n \rangle$ , say as  $\langle t_{\alpha,\varepsilon,l} : \varepsilon \in B, l < n \rangle$ . Note that this sequence is one-to-one. As  $\mu_i < \theta_i$  we can find  $Y' \subseteq Y$  of size  $\theta_i$  and  $\langle \mu_j : j \in w^* \rangle$  such that  $\langle \mu_j^{\alpha} : j \in w^* \rangle = \langle \mu_j : j \in w^* \rangle$  and  $(p_{\alpha})_{i+2} = (p_{\beta})_{i+2}$  (we use the notation of [Mg, p.67]), for all  $\alpha, \beta \in Y'$ . By [Mg, Lemma 4.1] it follows that  $p_{\alpha}$  and  $p_{\beta}$  are compatible for all  $\alpha, \beta \in Y'$ . It follows that  $\langle t_{\alpha,\varepsilon,l} : \alpha \in Y', \varepsilon \in B, l < n \rangle$  is a one-to-one family. Applying  $(\theta_i, D)$ -entangledness of  $J_i$  in  $V^Q$ , for any  $u \subseteq n$  we obtain  $B' \in D$ ,  $B' \subseteq B$  and  $\alpha < \beta$ ,  $\alpha, \beta \in Y'$ , such that for all  $\varepsilon \in B'$ , for all l < n,  $t_{\alpha,\varepsilon,l} < t_{\beta,\varepsilon,l} < \varepsilon$  if  $\varepsilon$  is a uncertainty of the Lemma.

For every  $i < \kappa$  we define a linear order  $I_i \subseteq \prod_{j < \omega i} \theta_j$  as follows: For every  $i' < \omega i$  fix a family  $\langle A^{\rho} : \rho \in \prod_{j < i'} \theta_j \rangle$  of pairwise disjoint subsets of  $\theta_{i'} \cap Card$ , each of them of cardinality  $\theta_{i'}$ . This is possible as  $|\prod_{j < i'} \theta_j| < \theta_{i'}$  and  $\theta_{i'}$  is a regular limit cardinal. Let  $I_i$  be the set of all  $\eta \in \prod_{j < \omega i} \theta_j$  such that for all  $j < \omega i$ ,  $\eta(j) \in A^{\eta \upharpoonright j}$ . Define a linear order  $<_i$  on  $I_i$  as follows: For distinct  $\eta, \nu \in I_i$  let  $\varepsilon = \min\{j < \omega i : \eta(j) \neq \nu(j)\}$ . Now let

$$\eta <_i \nu \Leftrightarrow \begin{cases} \varepsilon \text{ is even and } \eta(\varepsilon) <_{J_{\varepsilon}} \nu(\varepsilon), \text{ or } \\ \varepsilon \text{ is odd and } \eta(\varepsilon) < \nu(\varepsilon). \end{cases}$$

We claim that in  $I_i$  we can choose a one-to-one family  $\langle \eta^i_{\zeta,\varepsilon} : \varepsilon \leq \zeta < \lambda_i \rangle$  such that the following hold:

- (a)  $\forall \zeta_1 < \zeta_2 \forall \varepsilon_1 \le \zeta_1 \forall \varepsilon_2 \le \zeta_2 \quad \eta^i_{\zeta_1,\varepsilon_1} <_{J^{bd}_{\omega i}} \eta^i_{\zeta_2,\varepsilon_2}$
- (b)  $\langle \eta^i_{\zeta,0}:\zeta<\lambda_i\rangle$  is cofinal in  $\prod_{j<\omega i}\theta_j/J^{bd}_{\omega i}$ ,
- (c) the mapping  $\langle (\eta_{\zeta,2\varepsilon}^i, \eta_{\zeta,2\varepsilon+1}^i) : \varepsilon < \zeta \rangle$  is  $<_i$ -preserving.

Here  $J_{\omega i}^{bd}$  denotes the ideal of bounded subsets of  $\omega i$ . For the construction of such a family remember from [MSh] that  $\prod_{j<\omega i}\theta_j/J_{\omega i}^{bd}$  has true cofinality  $\lambda_i$ . Clearly, in  $I_i$  we can find a family  $\langle \eta_{\zeta}^i:\zeta<\lambda_i\rangle$  which is increasing and cofinal in  $\prod_{j<\omega i}\theta_j/J_{\omega i}^{bd}$  and satisfies

 $\eta_{\zeta}^{i}(j) \cdot 3 < \eta_{\zeta+1}^{i}(j)$  for almost all  $j < \omega i$ . Now let  $\zeta < \lambda_{i}$  and  $2\varepsilon < \zeta$ . Define  $\eta_{\zeta,2\varepsilon}^{i}$  and  $\eta_{\zeta,2\varepsilon+1}^{i}$  by letting

$$\eta_{\zeta,2\varepsilon+l}^i(j) = \begin{cases} \eta_{\zeta}(j) & \text{if } j \text{ is even,} \\ \eta_{\zeta}(j) + \eta_{\varepsilon}(j) & \text{if } j \text{ is odd and } l = 0, \\ \eta_{\zeta}(j) + \eta_{\varepsilon}(j) \cdot 2 & \text{if } j \text{ is odd and } l = 1. \end{cases}$$

It is easy to see that this definition works.

**Lemma 1.5.** In  $V^{Q*Q(\bar{U})}$  the following holds: Whenever  $\langle J_i : i < \kappa \rangle$  is a family such that for every i,  $J_i$  is a  $(\theta_i, D)$ -entangled linear order on  $\theta_i$  and  $I_i$  is defined as above, then  $(I_i, <_i)$  is  $(\lambda_i, D)$ -entangled but not  $(\lambda', \aleph_0)$ -entangled for any  $\lambda' < \lambda_i$ .

*Proof:* The last statement easily follows from the existence of the family  $\langle \eta_{\zeta,\varepsilon}^i : \varepsilon \leq \zeta < \lambda_i \rangle$ . Let  $\langle t_{\alpha,\varepsilon,l} : \alpha < \lambda_i, \varepsilon \in A, l < n \rangle$  be a family of pairwise distinct members of  $I_i$ , where  $A \in D$  and  $n < \omega$ . Hence

$$t_{\alpha,\varepsilon,l} = \eta^i_{\zeta(\alpha,\varepsilon,l),\nu(\alpha,\varepsilon,l)}$$

for some  $\nu(\alpha, \varepsilon, l) \leq \zeta(\alpha, \varepsilon, l) < \lambda_i$ . Fix  $\alpha < \lambda_i$ . As  $i < \kappa$  there is  $A'_{\alpha} \in D$  and  $i^*_{\alpha} < \omega i$  such that for all distinct  $\varepsilon, \varepsilon' \in A'_{\alpha}$  and l, m < n do we have  $t_{\alpha, \varepsilon, l} \upharpoonright i^*_{\alpha} \neq t_{\alpha, \varepsilon', l} \upharpoonright i^*_{\alpha}, t_{\alpha, \varepsilon, l} \upharpoonright i^*_{\alpha} \neq t_{\alpha, \varepsilon', l} \upharpoonright i^*_{\alpha} \neq t_{\alpha, \varepsilon', l} \upharpoonright i^*_{\alpha} \neq t_{\alpha, \varepsilon', m} \upharpoonright i^*_{\alpha}$ . As  $2^{\kappa} < \lambda_i$  we may assume that  $\langle A'_{\alpha} : \alpha < \lambda_i \rangle$  and  $\langle i^*_{\alpha} : \alpha < \lambda_i \rangle$  are constant, say with values  $A^*$ ,  $i^*$ . As in [Sh462, Claim 3.1.1] one shows that there must exist cofinally many even  $j \in (i^*, \omega i)$  such that for every  $\xi < \theta_j$  there is  $\alpha < \lambda_i$  with the property  $\forall \varepsilon \in A^* \forall l < n \ t_{\alpha, \varepsilon, l}(j) > \xi$ . Fix such j. Construct an increasing sequence  $\langle \alpha(\nu) : \nu < \theta_j \rangle$  such that

$$\forall \nu < \rho < \theta_j \forall \varepsilon, \varepsilon' \in A' \forall l, m < n \quad t_{\alpha(\nu), \varepsilon, l}(j) < t_{\alpha(\rho), \varepsilon', m}(j).$$

As  $(\prod_{l < j} \theta_l)^{\kappa} < \theta_j$ , we may assume that the sequence  $\langle \langle t_{\alpha(\nu),\varepsilon,l} | j : \varepsilon \in A^*, l < n \rangle : \nu < \theta_j \rangle$  is constant. Note that by construction,

$$\langle t_{\alpha(\nu),\varepsilon,l}(j) : \nu < \theta_j, \varepsilon \in A^*, l < n \rangle$$

is a sequence of pairwise distinct members. We can apply  $(\theta_j, D)$ -entangledness of  $J_j$  and, for given  $u \subseteq n$ , we get  $B \in D$ ,  $B \subseteq A^*$ , and  $\nu < \rho < \theta_j$  such that

$$\forall \varepsilon \in B \forall l < n \quad t_{\alpha(\nu),\varepsilon,l}(j) <_{J_i} t_{\alpha(\rho),\varepsilon,l}(j) \Leftrightarrow l \in u.$$

By construction we conclude that

$$\forall \varepsilon \in B \forall l < n \quad t_{\alpha(\nu),\varepsilon,l} <_i t_{\alpha(\rho),\varepsilon,l} \Leftrightarrow l \in u.$$

**Lemma 1.6.** Letting  $I = \prod_{i < \kappa} I_i/D$ , I is  $(\lambda, \aleph_0)$ -entangled in  $V^{Q*Q(\bar{U})*Coll(\mu^+, <\lambda)}$ .

Proof: By Lemmas 1.4 and 1.5 and as  $Coll(\mu^+, <\lambda)$  does not add new subsets to  $\mu$ , in  $V^{Q*Q(\bar{U})*Coll(\mu^+, <\lambda)}$  it is true that  $I_i$  is  $(\lambda_i, D)$ -entangled and D is a normal fine measure on  $\kappa$ . Moreover, note that  $\prod_{i<\kappa}\lambda_i/D$  has order-type  $\lambda$  in  $V^{Q*Q(\bar{U})*Coll(\mu^+, <\lambda)}$ . This is true because it holds in  $V^{Q*Q(\bar{U})}$  by [MSh] and because  $Coll(\mu^+, <\lambda)$  does not add new functions to  $\prod_{i<\kappa}\lambda_i$ . As  $V^{Q*Q(\bar{U})*Coll(\mu^+, <\lambda)} \models \lambda = \mu^{++}$  we have that the cofinality of  $\prod_{i<\kappa}\lambda_i/D$  is  $\lambda$ .

Let  $\langle t_{\alpha}^l : \alpha < \lambda, l < n \rangle$ ,  $n < \omega$ , be a family of pairwise distinct elements of I. So  $t_{\alpha}^l$  is of the form

$$t_{\alpha}^{l} = \langle \eta_{\zeta_{i}(\alpha,l),\varepsilon_{i}(\alpha,l)}^{i} : i < \kappa \rangle / D,$$

where  $\eta^{i}_{\zeta_{i}(\alpha,l),\varepsilon_{i}(\alpha,l)} \in I_{i}$ . By the above observations, wlog we may assume that

(\*1)  $\langle \langle \zeta_i(\alpha, l) : i < \kappa \rangle / D : \alpha < \lambda \rangle$  is increasing and cofinal in  $\prod_{i < \kappa} \lambda_i / D$ , for every l < n.

For every  $\alpha < \lambda$  and  $i < \kappa$  there is  $j < \omega i$  such that for every l < m < n, if  $\langle \zeta_i(\alpha,l), \varepsilon_i(\alpha,l) \rangle \neq \langle \zeta_i(\alpha,m), \varepsilon_i(\alpha,m) \rangle$  then

$$\eta^{i}_{\zeta_{i}(\alpha,l),\varepsilon_{i}(\alpha,l)} \upharpoonright j \neq \eta^{i}_{\zeta_{i}(\alpha,m),\varepsilon_{i}(\alpha,m)} \upharpoonright j.$$

By Los' Theorem and since D is normal, there exist  $B'_{\alpha} \in D$  and  $j'_{\alpha} < \kappa$  such that for all  $i \in B'_{\alpha}$  and l < m < n we have

$$\eta^i_{\zeta_i(\alpha,l),\varepsilon_i(\alpha,l)} \restriction j'_\alpha \neq \eta^i_{\zeta_i(\alpha,m),\varepsilon_i(\alpha,m)} \restriction j'_\alpha.$$

As  $2^{\kappa} < \lambda$ , wlog we may assume that

(\*2) there are  $B^1 \in D$  and  $j' < \kappa$  such that for all  $\alpha < \lambda$ ,  $i \in B^1$  and l < m < n

$$\eta^{i}_{\zeta_{i}(\alpha,l),\varepsilon_{i}(\alpha,l)} \upharpoonright j' \neq \eta^{i}_{\zeta_{i}(\alpha,m),\varepsilon_{i}(\alpha,m)} \upharpoonright j'.$$

Moreover we have

(\*3) there exist  $B^2 \in D$ ,  $B^2 \subseteq B^1$ , and  $\langle j_i^2 : i \in B^2 \rangle$  such that  $j_i^2 < \omega i$  and for every  $g \in \prod_{i \in B^2} \lambda_i$ ,  $f_i \in \prod \{\theta_j : j_i^2 \le j < \omega i\}$  and  $\alpha < \lambda$  we can find  $\beta \in (\alpha, \lambda)$  such that for every  $i \in B^2$ ,  $j_i^2 \le j < \omega i$  and l < n we have  $g(i) < \zeta_i(\beta, l)$  and

$$f_i(j) < \eta^i_{\zeta_i(\beta,l),\varepsilon_i(\beta,l)}(j).$$

If  $(*_3)$  failed, for every candidate  $y = \langle B^y, \langle j_i^y : i \in B^y \rangle \rangle$  to satisfy  $(*_3)$  we had  $g^y$ ,  $\langle f_i^y : i \in B^y \rangle$ ,  $\alpha^y$  which witness that y does not satisfy  $(*_3)$ . Note that there are only  $2^{\kappa}$  candidates. Let

$$\alpha = \sup\{\alpha^y : y \text{ is a candidate}\}\$$

and

$$f_i(j) = \sup\{f_i^y(j) : y \text{ is a candidate and } j \in dom(f_i^y)\}.$$

As there are only  $2^{\kappa}$  candidates we have  $\alpha < \lambda$  and  $f_i(j) < \theta_j$ . We can choose  $\beta_i < \lambda_i$  such that  $\beta_i > \zeta_i(\alpha, l)$  for every l < n and

$$f_i <_{J^{bd}_{\omega i}} \eta^i_{\beta_i, \varepsilon}$$

for every  $\varepsilon \leq \beta_i$ . Finally we define  $g \in \prod_{i < \kappa} \lambda_i$  by letting

$$g(i) = \sup\{g^y(i) : y \text{ is a candidate and } i \in B\} \cup \{\beta_i + 1\}.$$

By  $(*_1)$  we can find  $\gamma \in (\alpha, \lambda)$  and  $B \in D$  such that  $g(i) < \langle \zeta_i(\gamma, l) : i < \kappa \rangle$  for all  $i \in B$  and l < n. By construction, for every  $i \in B$  there is  $j_i < \omega i$  such that for all  $j_i \le j < \omega i$  and l < n

$$f_j(j) < \eta^i_{\zeta_i(\gamma,l)\varepsilon_i(\gamma,l)}(j).$$

Then  $y = \langle B, \langle j_i : i \in B \rangle \rangle$  is a candidate which contradicts the definition of  $\alpha, \langle f_i : i < \kappa \rangle$ , g. This finishes the proof ok  $(*_3)$ .

As D is normal, wlog we may assume that in  $(*)_3$ ,  $\langle j_i^2 : i \in B^3 \rangle$  is constant with value  $j^2 < \kappa$ . Now choose  $i^* \in B^3$  even with  $\max\{j^1, j^2\} < i^*$ . Using  $(*_3)$  it is straightforward to find an increasing sequence  $\langle \alpha(\nu) : \nu < \theta_{i^*} \rangle$  in  $\lambda$  such that for all  $i \in B^3 \setminus i^* + 1$  and l, m < n we have

$$\eta^{i}_{\zeta_{i}(\alpha(\nu),l)\varepsilon_{i}(\alpha(\nu),l)}(i^{*}) < \eta^{i}_{\zeta_{i}(\alpha(\nu+1),l)\varepsilon_{i}(\alpha(\nu+1),l)}.$$

As  $(\prod_{j < i^*} \theta_j)^{\kappa} < \theta_{i^*}$ , wlog we may assume that

$$\langle \langle \eta^i_{\zeta_i(\alpha(\nu),l)\varepsilon_i(\alpha(\nu),l)} | i^* : i \in B^3 \setminus (i^*+1), l < n \rangle : \nu < \theta_{i^*} \rangle$$

is constant. By construction we have that, letting

$$s_{\nu,i,l} = \eta^i_{\zeta_i(\alpha(\nu),l)\varepsilon_i(\alpha(\nu),l)}(i^*),$$

$$\langle s_{\nu,i,l} : \nu < \theta_{i^*}, i \in B^3 \setminus (i^* + 1), l < n \rangle$$

is a sequence of pairwise distinct members of  $I_{i^*}$ . Hence by Lemma 1.5, for every  $u \subseteq n$  we can find  $\nu < \xi < \theta_{i^*}$  and  $A \in D$ ,  $A \subseteq B^3 \setminus (i^* + 1)$  such that for all  $i \in A$  and l < n we have

$$s_{\nu,i,l} < s_{\xi,i,l} \Leftrightarrow l \in u.$$

This implies

$$t_{\alpha(\nu)}^l < t_{\alpha(\xi)}^l \Leftrightarrow l \in u,$$

which finishes the proof.

As a corollary we obtain the following:

**Theorem 1.7** For  $i < \kappa$  let  $I_i$  be the linear order defined above and let  $B_i = Int(I_i)$ . In the model  $V^{Q*Q(\bar{U})*Coll(\mu^+,<\lambda)}$  the following hold:

- (i)  $Inc(B_i) = Inc^+(B_i) = \lambda_i$  for all  $i < \kappa$ , and hence  $\prod_{i < \kappa} Inc(B_i)/D = \lambda = \mu^{++}$ ,
- (ii)  $Inc^+(\prod_{i<\kappa} B_i/D) \le \lambda$  and hence  $Inc(\prod_{i<\kappa} B_i/D) \le \mu^+$ .

*Proof:* (i) follows from the fact mentioned in the introduction and Lemma 1.5. Note that Lemma 1.5 holds also in  $V^{Q*Q(\bar{U})*Coll(\mu^+,<\lambda)}$  as  $Coll(\mu^+,<\lambda)$  does not add new subset of  $\mu$ .

(ii) follows from the same fact, by Lemma 1.6 and by the fact that  $\prod_{i<\kappa} B_i/D$  is isomorphic to Int  $\prod_{i<\kappa} I_i/D$ . This last fact holds by Los' Theorem and as D is  $\aleph_1$ -complete.  $\square$ 

#### 2. Other characteristics

**Definition 2.1.** If (I, <) is a linear order, by Sq(I) we denote the Boolean subalgebra of  $(\mathcal{P}(I^2), \subseteq)$  generated by sets of the form

$$X_{a,b} = \{(a',b') \in I^2 : a' < a \text{ and } b' < b\},\$$

for  $a, b \in I$ .

Recall that a sequence  $\langle y_{\alpha} : \alpha < \lambda \rangle$  of elements of some Boolean algebra is *left-separated* iff for every  $\alpha < \lambda$ ,  $y_{\alpha}$  does not belong to  $Id\langle y_{\beta} : \beta > \alpha \rangle$ , the ideal generated by  $\langle y_{\beta} : \beta > \alpha \rangle$ . Similarly,  $\langle y_{\alpha} : \alpha < \lambda \rangle$  is *right-separated* if for every  $\alpha < \lambda$ ,  $y_{\alpha}$  does not belong to  $Id\langle y_{\beta} : \beta < \alpha \rangle$ , the ideal generated by  $\langle y_{\beta} : \beta < \alpha \rangle$ .

**Lemma 2.2.** Suppose (I, <) is a  $\lambda$ -entangled linear order, where  $\lambda > \omega$  is regular. Then Sq(I) has neither a left-separated nor a right-separated sequence of length  $\lambda$ .

Proof: We prove the Lemma only for right-separated sequences. The proof for left-separated sequences is similar. Suppose  $\langle y_{\alpha} : \alpha < \lambda \rangle$  is a right-separated sequence in  $\operatorname{Sq}(I)$ . We shall obtain a contradiction. Each  $y_{\alpha}$  is a finite union of finite intersections of sets of the form  $X_{a,b}$  or  $-X_{a,b}$ . One of these finite intersections does not belong to  $\operatorname{Id}\langle y_{\beta} : \beta < \alpha \rangle$ . Hence wlog we may assume that each  $y_{\alpha}$  is such a finite intersection. As  $\operatorname{cf}(\lambda) > \omega$ , wlog there exist  $n < \omega$  and  $\eta : n \to 2$  such that

$$y_{\alpha} = \bigcap_{l < n} X_{a(\alpha,l),b(\alpha,l)}^{\eta(l)}$$

for some  $a(\alpha, l), b(\alpha, l) \in I$ , for all  $\alpha < \lambda$ .

Case I: 
$$\exists l < n \quad \eta(l) = 1$$
.

As the intersection of any two sets of the form  $X_{a,b}$  has the same form, wlog we may assume that  $\eta(0) = 1$  and  $\eta(l) = 0$  for all 0 < l < n. We may also assume that 0 < l < l' < n implies  $a(\alpha, l) \neq a(\alpha, l')$ ,  $b(\alpha, l) \neq b(\alpha, l')$  and  $a(\alpha, l) < a(\alpha, l') \Leftrightarrow b(\alpha, l) > b(\alpha, l')$ , for all  $\alpha < \lambda$ . Otherwise we could choose a smaller n. Hence we have two subcases according to whether  $a(\alpha, 1) < \ldots < a(\alpha, n-1)$  and  $b(\alpha, 1) > \ldots > b(\alpha, n-1)$  or  $a(\alpha, 1) > \ldots > a(\alpha, n-1)$  and  $b(\alpha, 1) < \ldots < b(\alpha, n-1)$  holds. We assume the first alternative holds. The second one is symmetric.

For fixed  $\alpha < \lambda$  define the following sets:

$$z_{0} = X_{a(\alpha,0),b(\alpha,0)} - X_{a(\alpha,n-1),b(\alpha,0)},$$

$$z_{1} = X_{a(\alpha,n-1),b(\alpha,0)} - X_{a(\alpha,n-1),b(\alpha,n-1)} - X_{a(\alpha,n-2),b(\alpha,0)},$$

$$...$$

$$z_{n-2} = X_{a(\alpha,2),b(\alpha,0)} - X_{a(\alpha,2),b(\alpha,2)} - X_{a(\alpha,1),b(\alpha,0)},$$

$$z_{n-1} = X_{a(\alpha,1),b(\alpha,0)} - X_{a(\alpha,1),b(\alpha,1)}.$$

Note that  $y_{\alpha} = \bigcup_{j < n} z_j$ . Hence there exists j < n such that  $z_j \notin Id\langle y_{\beta} : \beta < \alpha \rangle$ . Wlog we may assume that j is the same for all  $\alpha < \lambda$  and that  $y_{\alpha} = z_j$  for all  $\alpha < \lambda$ . Then  $y_{\alpha}$  has the form  $X_{a,b} - X_{a',b} - X_{a,b'}$  or  $X_{a,b} - X_{a,b'}$  for some a' < a and b' < b. Let us assume  $y_{\alpha}$  is of the first form. The others are even easier to handle. Hence we have

$$y_{\alpha} = X_{c(\alpha,0),d(\alpha,0)} - X_{c(\alpha,0),d(\alpha,1)} - X_{c(\alpha,1),d(\alpha,0)},$$

where  $c(\alpha, 1) < c(\alpha, 0)$  and  $d(\alpha, 1) < d(\alpha, 0)$ .

Choose  $F \subseteq 2 \times 2$  maximal such that there exist  $\sigma: F \to I$  and cofinally many  $\alpha \in \lambda$  with the property that  $(0,j) \in F$  implies  $c(\alpha,j) = \sigma(0,j)$  and  $(1,j) \in F$  implies  $d(\alpha,j) = \sigma(1,j)$  for all j < 2. Wlog we may assume that the above holds for all  $\alpha < \lambda$  and that for all  $\alpha < \beta < \lambda$  and  $(i,j) \in 2 \times 2 \setminus F$ , if i = 0 then  $c(\alpha,j) \neq c(\beta,j)$  and if i = 1 then  $d(\alpha,j) \neq d(\beta,j)$ . Depending on F we have 16 cases to consider. However we consider only the case  $F = \emptyset$ , as the others are similar.

We have more subcases to consider according to the order-type of the sequence  $\langle c(\alpha,0),c(\alpha,1),d(\alpha,0),d(\alpha,1)\rangle$ . Wlog we may assume that it does not depend on  $\alpha$ . We only work through two typical examples. Let us first assume that this sequence consists of pairwise distinct elements. As we assumed  $F=\emptyset$  we conclude that  $\langle c(\alpha,j),d(\alpha,j):\alpha<\lambda,j<2\rangle$  is a family of pairwise distinct elements. By  $\lambda$ -entangledness of I we get  $\alpha>\beta$  such that  $c(\alpha,0)< c(\beta,0), d(\alpha,0)< d(\beta,0), c(\alpha,1)> c(\beta,1)$  and  $d(\alpha,1)> d(\beta,1)$ . We conclude  $y_{\alpha}\leq y_{\beta}$ , a contradiction. Now suppose  $c(\alpha,0)=d(\alpha,0)< c(\alpha,1)< d(\alpha,1)$ . In this case the family  $\langle c(\alpha,j),d(\alpha,1):\alpha<\lambda,j<2\rangle$  consists of pairwise distinct elements. By  $\lambda$ -entangledness we obtain  $\alpha>\beta$  such that  $c(\alpha,0)< c(\beta,0), c(\alpha,1)> c(\beta,1)$  and  $d(\alpha,1)>d(\beta,1)$ . Again we conclude  $y_{\alpha}\leq y_{\beta}$ , a contradiction. The other cases are similar.

Case II:  $\forall l < n \quad \eta(l) = 0$ .

Again we may assume that  $a(\alpha, 0) < a(\alpha, 1) < \ldots < a(\alpha, n-1)$  and  $b(\alpha, 0) > b(\alpha, 1) > \ldots > b(\alpha, n-1)$  for all  $\alpha < \lambda$ . Notice that wlog we may assume that

$$X_{a(\alpha,n-1),b(\alpha,0)}^0 \notin Id\langle y_\beta : \beta < \alpha \rangle$$

for all  $\alpha < \lambda$ , as otherwise we may replace  $y_{\alpha}$  by  $y_{\alpha} \cap X_{a(\alpha,n-1),b(\alpha,0)}$  and proceed as in Case I. Hence wlog

$$y_{\alpha} = X_{a(\alpha, n-1), b(\alpha, 0)}^{0}$$

for all  $\alpha < \lambda$ . Let  $a_{\alpha} = a(\alpha, n-1), b_{\alpha} = b(\alpha, 0)$ . Clearly, if  $a_{\alpha} = a_{\beta}$  for some  $\alpha < \beta$  then  $b_{\alpha} < b_{\beta}$ , as otherwise  $y_{\alpha} \leq y_{\beta}$ . Similarly,  $b_{\alpha} = b_{\beta}$  implies  $a_{\alpha} < a_{\beta}$ . As a  $\lambda$ -entangled linear order does not have any increasing or decreasing sequences of length  $\lambda$ , wlog we may assume that both families  $\langle a_{\alpha} : \alpha < \lambda \rangle$  and  $\langle b_{\alpha} : \alpha < \lambda \rangle$  are one-to-one. By a similar argument we may assume that  $a_{\alpha} \neq b_{\alpha}$  for all  $\alpha < \lambda$  and also that  $a_{\alpha} \neq b_{\beta}$  for all  $\alpha \neq \beta$ . We can apply  $\lambda$ -entangledness of I to the family  $\langle a_{\alpha}, b_{\alpha} : \alpha < \lambda \rangle$  and get some  $\alpha > \beta$  such that  $a_{\alpha} > a_{\beta}$  and  $b_{\alpha} > b_{\beta}$ . Hence  $y_{\alpha} \leq y_{\beta}$ , a contradiction.

**Lemma 2.3.** Let (I, <) be a linear order and  $\mu$  a cardinal such that there exist  $\{(a_{\alpha}, b_{\alpha}) : \alpha < \mu\} \subseteq I^2$  and  $c \in I$  with the property that  $a_{\alpha} \neq a_{\beta}$ ,  $b_{\alpha} < c$  and that  $a_{\alpha} < a_{\beta}$  implies  $b_{\alpha} < b_{\beta}$  for all  $\alpha, \beta < \mu$ ,  $\alpha \neq \beta$ . Then  $s^+(Sq(I)) > \mu$  holds.

*Proof:* Let  $y_{\alpha} = X_{a_{\alpha},c} - X_{a_{\alpha},b_{\alpha}}$ , for  $\alpha < \mu$ . Note that

$$y_{\alpha} \not \leq \bigcup_{\beta \in F} y_{\beta}$$

for all  $\alpha < \mu$  and finite  $F \subseteq \mu$  with  $\alpha \not\in F$ . Indeed, let  $F_0 = \{\beta \in F : a_\alpha < a_\beta\}$ ,  $F_1 = F \setminus F_0$ , let  $\beta_0$  be the subscript of the smallest  $a_\beta$ ,  $\beta \in F_0$  and let  $\beta_1$  be the subscript of the largest  $a_\beta$ ,  $\beta \in F_1$ . Then  $y_\alpha \setminus \bigcup_{\beta \in F} y_\beta = y_\alpha \setminus (y_{\beta_0} \cup y_{\beta_1})$ . As  $(a_\alpha, b_\alpha) \in y_\alpha \setminus (y_{\beta_0} \cup y_{\beta_1})$  we are done. Hence there exists a family of ultrafilters  $\langle U_\alpha : \alpha < \mu \rangle$  with  $y_\alpha \in U_\alpha$  and  $-y_\beta \in U_\alpha$  for all  $\alpha \neq \beta$ . Then  $\langle U_\alpha : \alpha < \mu \rangle$  is a discrete set of cardinality  $\mu$  in the Stone space of Sq(I).

Corollary 2.4. Using the notation of §1, letting  $B_i = Sq(I_i)$  for  $i < \kappa$ , in the model  $V^{Q*Q(\bar{U})*Coll(\mu^+,<\lambda)}$  the following hold:

- (i)  $s(B_i) = s^+(B_i) = hL(B_i) = hL^+(B_i) = hd(B_i) = hd^+(B_i) = \lambda_i \text{ for all } i < \kappa,$ and hence  $|\prod_{i < \kappa} s(B_i)/D| = |\prod_{i < \kappa} hL(B_i)/D| = |\prod_{i < \kappa} hd(B_i)/D| = \lambda = \mu^{++},$
- (ii)  $hL^+(\prod_{i<\kappa} B_i/D) = hd^+(\prod_{i<\kappa} B_i/D) \le \lambda$  and hence  $s(\prod_{i<\kappa} B_i/D)$ ,  $hL(\prod_{i<\kappa} B_i/D)$  and  $hd(\prod_{i<\kappa} B_i/D)$  are all at most  $\mu^+$ .

Proof: We first prove (i). The proofs of Theorem 6.7 and Lemma 6.8 in [M] show that for every Boolean algebra B, if  $hd(B) = \kappa$ ,  $\kappa$  being regular and infinite, then hd(B) is attained (i.e. there exists a subspace  $X \subseteq Ult(B)$  with  $d(X) = \kappa$ ) iff B has a left-separated sequence of length  $\kappa$ . Similarly, the proof of Theorem 15.1 in [M] shows that if hL(B) is regular and infinite, then  $hL(B) = \kappa$  is attained iff B has a right-separated sequence of length  $\kappa$ . As trivially  $s^+(B) \leq \min\{hL^+(B), hd^+(B)\}$  and hence  $s(B) \leq \max\{hL^+(B), hd^+(B)\}$  and hence  $s(B) \leq \min\{hL^+(B), hd^+(B)\}$ 

min $\{hL(B), hd(B)\}$  holds, we conclude that all cardinal coefficients of  $B_i$  mentioned in (i) are at most  $\lambda_i$ . That they are at least  $\lambda_i$  follows from Lemma 2.3, the construction of  $I_i$  and the trivial fact that every linear order of cardinality  $\mu^+$ , for some cardinal  $\mu$ , has a subset of size  $\mu$  which has an upper bound.

In order to prove (ii) note that by Los' Theorem and  $\aleph_1$ -completeness of D we have that  $\prod_{i<\kappa} B_i/D$  is isomorphic to  $Sq(\prod_{i<\kappa} I_i/D)$ . By Lemmas 1.6 and 2.2 and the previous argument we get (ii).

**Definition 2.5.** Let  $\langle y_{\alpha} : \alpha < \lambda \rangle$  be a one-to-one enumeration of some infinite linear order  $(J, <_J)$ . Define a linear order (L(J), <) by letting  $L(J) = \{(y_{\alpha}, \beta) : \alpha < \lambda, \beta < \alpha\}$  and

$$(y_{\alpha}, \beta) < (y'_{\alpha}, \beta') \Leftrightarrow (y_{\alpha} <_J y_{\alpha'}) \lor (y_{\alpha} = y_{\alpha'} \land \beta < \beta').$$

**Lemma 2.6.** Let  $\sigma$  be an infinite, regular cardinal which is not the successor of a singular cardinal. Let  $(J, <_J)$  be a linear order of size  $\lambda$  which does not have any increasing or decreasing chain of length  $\lambda$ . Then

$$\chi^+(Int(L(J))) = \pi \chi^+(Int(L(J))) = \lambda$$

holds.

Proof: As trivially  $\pi \chi^+(B) \leq \chi^+(B)$  holds for every Boolean algebra B, it suffices to show  $\chi^+(Int(L(J))) \leq \lambda$  and  $\pi \chi^+(Int(L(J))) \geq \lambda$ . Let U be an ultrafilter on Int(L(J)). Let

$$L_U = \{ z \in L(J) : (-\infty, z) \in U \}.$$

Clearly  $L_U$  is a (possibly empty) end-segment of L(J). It is straightforward to see that

$$\chi(U) \leq cf(L \setminus L_U) + cf(L_U^*),$$

where the cofinality of a linear order is the minimal length of a well-ordered cofinal subset, and  $L_U^*$  is the inverse order of  $L_U$ . We claim that  $cf(L \setminus L_U) + cf(L_U^*) < \lambda$ . Let us first consider  $cf(L \setminus L_U)$ . If  $(L \setminus L_U) \cap J \times \{0\}$  is unbounded in  $L \setminus L_U$  then  $cf(L \setminus L_U)$  equals the cofinality of some well-ordered increasing chain in J, which is assumed to be  $< \lambda$ . Otherwise  $L \setminus L_U \subseteq \{(y_\beta, \gamma) : \beta \le \alpha, \gamma < \beta\}$  for some  $\alpha < \lambda$ . Then  $cf(L \setminus L_U) \le |\alpha| < \lambda$ . We conclude  $\chi^+(Int(L(J))) \le \lambda$ .

In order to prove  $\pi \chi^+(Int(L(J))) \ge \lambda$  let  $\sigma < \lambda$  be regular. Let U be the ultrafilter on Int(L(J)) generated by the intervals

$$[(y_{\sigma+1}, \alpha), (y_{\sigma+1}, \sigma)), \quad \alpha < \sigma.$$

Now let  $Y \subseteq Int(L(J)) \setminus \{0\}$  be dense in U. If  $|U| < \sigma$  there exists  $y \in Y$  such that  $y \subseteq [(y_{\sigma+1}, \alpha), (y_{\sigma+1}, \sigma))$  holds for cofinally many  $\alpha < \sigma$ . This is clearly impossible.  $\square$ 

Corollary 2.7. Using the notation of §1 and definition 2.5, letting  $B_i = Int(L(I_i))$  for  $i < \kappa$ , in the model  $V^{Q*Q(\bar{U})*Coll(\mu^+,<\lambda)}$  the following hold:

(i) 
$$\pi(B_i) = \pi^+(B_i) = \pi \chi(B_i) = \pi \chi^+(B_i) = \lambda_i$$
 for all  $i < \kappa$ , and hence  $|\prod_{i < \kappa} \chi(B_i)/D| = |\prod_{i < \kappa} \chi^+(B_i)/D| = \lambda = \mu^{++}$ ,

(ii) 
$$\chi^+(\prod_{i<\kappa} B_i/D) = \pi \chi^+(\prod_{i<\kappa} B_i/D) = \lambda$$
 and hence  $\chi(\prod_{i<\kappa} B_i/D) = \pi \chi(\prod_{i<\kappa} B_i/D) = \mu^+$ .

Proof: First note that  $I_i$ ,  $i < \kappa$ , has a dense subset of size  $\mu_{\omega i}$ . Indeed, for each  $s \in \bigcup_{j' < \omega i} \prod_{j < j'} \theta_j$  choose  $\eta_s \in I_i$  with  $s \subseteq \eta_s$  if this is possible. It is easy to see that the collection of all these  $\eta_s$  is dense in  $I_i$ . As there are only  $\mu_{\omega i}$  many s we are done. Hence clearly  $I_i$  does not have a well-ordered increasing or decreasing chain of length  $\lambda_i$ . Hence by Lemma 2.6 we have (i). By Los' Theorem and  $\aleph_1$ -completeness of D we have that  $\prod_{i < \kappa} B_i/D$  is isomorphic to  $Int(L(\prod_{i < \kappa} I_i/D))$ . By Los' Theorem again and as  $\prod_{i < \kappa} \lambda_i = \lambda$ , it follows that  $\prod_{i < \kappa} I_i/D$  does not have a well-ordered increasing or decreasing chain of length  $\lambda$ . By Lemma 2.6 we conclude (ii).

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