



# On the non-existence of $\kappa$ -mad families

Haim Horowitz<sup>1</sup> · Saharon Shelah<sup>2,3</sup>

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## Abstract

Starting from a model with a Laver-indestructible supercompact cardinal  $\kappa$ , we construct a model of  $ZF + DC_\kappa$  where there are no  $\kappa$ -mad families.

**Keywords** Generalized descriptive set theory · Mad families · Supercompact cardinals

**Mathematics Subject Classification** 03E15 · 03E25 · 03E35 · 03E55

## 1 Introduction

The study of the definability and possible non-existence of mad families has a long tradition, originating with the paper [6] of Mathias where it was proven that mad families can't be analytic and that there are no mad families in the Solovay model constructed from a Mahlo cardinal (as always, by “mad families” we refer to infinite such families). It was later shown by Toernquist that an inaccessible cardinal suffices for the consistency of this statement [8], and it was then shown by the authors that the non-existence of mad families (in  $ZF + DC$ ) is actually equiconsistent with  $ZFC$  [2].

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✉ Haim Horowitz  
haim@math.toronto.edu

Saharon Shelah  
shelah@math.huji.ac.il

<sup>1</sup> Department of Mathematics, University of Toronto, Bahen Centre, 40 St. George St., Room 6290, Toronto, ON M5S 2E4, Canada

<sup>2</sup> Einstein Institute of Mathematics, Edmond J. Safra Campus, The Hebrew University of Jerusalem, 91904 Givat Ram, Jerusalem, Israel

<sup>3</sup> Department of Mathematics, Hill Center - Busch Campus, Rutgers, The State University of New Jersey, 110 Frelinghuysen Road, Piscataway, NJ 08854-8019, USA

The current paper can be seen as a continuation of the line of investigation of [2], as well as of [5], where the definability of  $\kappa$ -mad families was considered. Recall the following definition:

**Definition 1** Let  $\kappa$  be an infinite regular cardinal. A family  $\mathcal{A} \subseteq [\kappa]^\kappa$  is  $\kappa$ -almost disjoint if  $|A \cap B| < \kappa$  for every  $A \neq B \in \mathcal{A}$ .  $\mathcal{A}$  will be called  $\kappa$ -maximal almost disjoint ( $\kappa$ -mad) if  $\mathcal{A}$  is  $\kappa$ -almost disjoint and can't be extended to a larger  $\kappa$ -almost disjoint family.

Assuming the existence of a Laver-indestructible supercompact cardinal  $\kappa$ , we constructed in [5] a generic extension where  $\kappa$  remained supercompact and there are no  $\Sigma_1^1(\kappa) - \kappa$ -mad families, thus obtaining a higher analog of Mathias' result. Our current main goal is to obtain a higher analog of the main result of [2], i.e. for an uncountable cardinal  $\theta > \aleph_0$ , we would like to construct a model of  $ZF + DC_\theta$  where there are no  $\theta$ -mad families. As opposed to [2], we only achieve this goal assuming the existence of a supercompact cardinal. The main result of the paper is the following:

**Theorem 2** *a. Suppose that  $\aleph_0 < cf(\theta) = \theta < cf(\kappa) = \kappa \leq \lambda = \lambda^{<\kappa}$  and  $\theta$  is a Laver indestructible supercompact cardinal, then there is a model of  $ZF + DC_{<\kappa} +$  "there exist no  $\theta$ -mad families" (note that  $\theta$  here has the role of  $\kappa$  in the abstract).  
b. If we start from a universe  $V$ , then the final model  $V_1$  will have the same cardinals and same  $H(\theta)$  as  $V$ .*

We remark that during the time that the current paper was being reviewed, a newer result was announced by Chan, Jackson and Trang [1], where they show the non-existence of certain mad families on uncountable cardinals under  $AD^+$ .

We note that while their result requires a weaker large cardinal assumption, it's incompatible with  $DC_{\omega_1}$ . This should be contrasted with our result which provides us with many high instances of dependent choice.

Finally, we briefly describe our proof strategy. We shall force with a partial order  $\mathbb{P}$  where the conditions themselves are forcing notions (this is somewhat similar to [3, 7] and [4], as well as to the recent work of Viale in [9], where a similar approach is applied to the study of generic absoluteness). Forcing with  $\mathbb{P}$  will generically introduce the forcing notion  $\mathbb{Q}$  that will give us the desired results. More specifically, we shall fix a Laver-indestructible supercompact cardinal  $\theta$ . The conditions in  $\mathbb{P}$  will be elements from a suitable  $H(\lambda^+)$  that are  $(< \theta)$ -support iterations along wellfounded partial orders of  $(< \theta)$ -directed closed forcing notions satisfying a strong version of  $\theta^+$ -cc. Given  $\mathbf{q}_1, \mathbf{q}_2 \in \mathbb{P}$ , we will have  $\mathbf{q}_1 \leq_{\mathbb{P}} \mathbf{q}_2$  when the iteration given by  $\mathbf{q}_1$  is an "initial segment" (in an adequate sense) of the iteration given by  $\mathbf{q}_2$ . Forcing with  $\mathbb{P}$  will introduce a generic iteration  $\mathbf{q}_G$  given by the union of  $\mathbf{q} \in \mathbb{P}$  that belong to the generic set. In the further generic extension given by  $\mathbf{q}_G$ , we shall consider  $V_1 = HOD(\mathcal{P}(\theta)^{<\kappa} \cup V)$  (for an adequate fixed  $\kappa$ ). We shall then prove that there are no  $\theta$ -mad families in  $V_1$ . In order to prove this fact, we shall consider towards contradiction a condition  $(\mathbf{q}_0, \tilde{p}_0)$  that forces a counterexample  $\mathcal{A}$ , where  $\mathbf{q}_0$  will be "sufficiently closed". The filter that's dual to the ideal generated by  $\mathcal{A}$  will then be extended to a  $\theta$ -complete ultrafilter (using the Laver-indestructibility of  $\theta$ ), and we

shall obtain a contradiction with the help of an amalgamation argument over  $\mathbf{q}_0$  using a higher analog of Mathias forcing relative to this ultrafilter.

The rest of the paper will be devoted to the proof of Theorem 2.

## 2 Proof of the main result

**Definition 3** A. Let  $K$  be the class of pairs  $(\mathbf{q}, U_{\mathbf{q}})$  that consist of the following objects with the following properties:

a.  $U = U_{\mathbf{q}}$  a well-founded partial order whose elements are ordinals. We let  $U^+ = U \cup \{\infty\}$  where  $\infty$  is a new element above all elements from  $U$ , and for  $\alpha \in U^+$ , we let  $U_{<\alpha} = \{\beta \in U : \beta <_U \alpha\}$ .

b. An iteration  $(\mathbb{P}_{\mathbf{q},\alpha}, \mathbb{Q}_{\mathbf{q},\beta} : \alpha \in U^+, \beta \in U) = (\mathbb{P}_{\alpha}, \mathbb{Q}_{\beta} : \alpha \in U^+, \beta \in U)$ .

We shall often denote the iteration itself by  $\mathbf{q}$ .

c.  $\mathbf{q}$  is a  $(< \theta)$ -support iteration, and in addition:

( $\alpha$ ) Each  $\mathbb{Q}_{\beta}$  is a  $\mathbb{P}_{\beta}$ -name of a forcing notion whose set of elements is an object  $X_{\beta}$  from  $V$ .

( $\beta$ ) Given  $\alpha \in U^+$ ,  $p \in \mathbb{P}_{\alpha}$  iff  $p$  is a function with domain  $dom(p) \in [U_{<\alpha}]^{<\theta}$  such that  $p(\beta)$  is a canonical  $\mathbb{P}_{\beta}$ -name for every  $\beta \in dom(p)$ .

( $\gamma$ )  $\leq_{\mathbb{P}_{\alpha}}$  is defined as usual.

( $\delta$ ) If  $w \subseteq U$  is downward closed (i.e.  $\alpha <_U \beta \in w \rightarrow \alpha \in w$ ) and  $\mathbb{P}_{\mathbf{q},w} = \mathbb{P}_{\infty} \upharpoonright w = \{p \in \mathbb{P}_{\infty} : dom(p) \subseteq w\}$ , then  $\mathbb{P}_{\mathbf{q},w} < \mathbb{P}_{\infty}$ .

d. In  $V^{\mathbb{P}_{\beta}}$ ,  $\mathbb{Q}_{\beta}$  satisfies  $*_{\theta}^{\epsilon}$  for a fixed limit  $\epsilon < \theta$ , namely, if  $\{p_{\alpha} : \alpha < \theta^+\} \subseteq \mathbb{Q}_{\beta}$ ,

then there is some club  $E \subseteq \theta^+$  and a pressing down function  $f : E \rightarrow \theta^+$  such that if  $\delta_1, \delta_2 \in E$ ,  $cf(\delta_1) = cf(\delta_2)$  and  $f(\delta_1) = f(\delta_2)$ , then  $p_{\delta_1}$  and  $p_{\delta_2}$  have a common least upper bound.

e. For  $\beta \in U$ , the following holds in  $V^{\mathbb{P}_{\beta}}$ : If  $I$  is a directed partial order of cardinality  $< \theta$  and  $(p_s : s \in I) \in \mathbb{Q}_{\beta}^I$  is  $\leq_{\mathbb{Q}_{\beta}}$ -increasing, then  $\{p_s : s \in I\}$  has a  $\leq_{\mathbb{Q}_{\beta}}$ -least upper bound.

Notational remark: As  $U_{\mathbf{q}}$  is implicitly part of the definition of  $\mathbf{q}$ , we shall often just write  $\mathbf{q}$  instead of  $(\mathbf{q}, U_{\mathbf{q}})$ .

B. Let  $\leq_K$  be the following partial order on  $K$ :

$\mathbf{q}_1 \leq_K \mathbf{q}_2$  iff the following conditions hold:

a.  $U_{\mathbf{q}_1} \subseteq U_{\mathbf{q}_2}$  as partial orders.

b. If  $U_{\mathbf{q}_2} \models \alpha < \beta$  and  $\beta \in U_{\mathbf{q}_1}$ , then  $\alpha \in U_{\mathbf{q}_1}$ .

c. If  $w \subseteq U_{\mathbf{q}_1}$  is downward closed, then  $\mathbb{P}_{\mathbf{q}_1,w} = \mathbb{P}_{\mathbf{q}_2,w}$ .

d. If  $\alpha \in U_{\mathbf{q}_1}$ , then  $\mathbb{Q}_{\mathbf{q}_1,\alpha} = \mathbb{Q}_{\mathbf{q}_2,\alpha}$  (this is well-defined recalling clause (b)).

C. Let  $K_{wf}$  be the class of  $U$  as in (A)(a), and let  $\leq_{wf}$  be the partial order on  $K_{wf}$  defined as in clauses (B)(a) and (B)(b).

We shall now observe some easy basic properties of the objects defined above:

**Observation 4** a. If  $(U_{\alpha} : \alpha < \delta)$  is  $\leq_{wf}$ -increasing, then  $\bigcup_{\alpha < \delta} U_{\alpha}$  is a  $\leq_{wf}$ -least upper bound for  $(U_{\alpha} : \alpha < \delta)$ .

- b.  $\leq_K$  is a partial order on  $K$ .
- c. If  $\mathbf{q}_2 \in K$  and  $U_1 \subseteq U_{\mathbf{q}_2}$  is downward closed, then there is a unique  $\mathbf{q}_1 \in K$  such that  $\mathbf{q}_1 \leq_K \mathbf{q}_2$  and  $U_{\mathbf{q}_1} = U_1$ .
- d. If  $(\mathbf{q}_\alpha : \alpha < \delta)$  is  $\leq_K$ -increasing, then there is a unique  $\mathbf{q}_\delta \in K$  such that  $\alpha < \delta \rightarrow \mathbf{q}_\alpha \leq_K \mathbf{q}_\delta$  and  $U_{\mathbf{q}_\delta} = \bigcup_{\alpha < \delta} U_{\mathbf{q}_\alpha}$ .
- e. If  $U_0, U_1, U_2 \in K_{wf}$ ,  $U_0 = U_1 \cap U_2$  and  $U_0 \leq_{wf} U_l$  ( $l = 1, 2$ ), then there is a unique  $U \in K_{wf}$  such that  $\bigwedge_{l=1,2} U_l \leq_{wf} U$ ,  $\alpha \in U$  iff  $\alpha \in U_1 \vee \alpha \in U_2$  and  $\leq_U = \leq_{U_1} \cup \leq_{U_2}$ . We denote this  $U$  by  $U_1 +_{U_0} U_2$ .
- f. If  $\mathbf{q}_0, \mathbf{q}_1, \mathbf{q}_2 \in K$ ,  $\mathbf{q}_0 \leq_K \mathbf{q}_l$  ( $l = 1, 2$ ) and  $U_{\mathbf{q}_0} = U_{\mathbf{q}_1} \cap U_{\mathbf{q}_2}$ , then there is a unique  $\mathbf{q} \in K$  such that  $\bigwedge_{l=1,2} \mathbf{q}_l \leq_K \mathbf{q}$  and  $U_{\mathbf{q}} = U_{\mathbf{q}_1} +_{U_{\mathbf{q}_0}} U_{\mathbf{q}_2}$ . We shall denote this  $\mathbf{q}$  by  $\mathbf{q}_1 +_{\mathbf{q}_0} \mathbf{q}_2$ .
- g. If  $\alpha \in U_{\mathbf{q}}^+$ , then  $\mathbb{P}_{\mathbf{q}, \alpha}$  is a  $(< \theta)$ -complete forcing satisfying  $*_b^\xi$  (hence  $\theta^+$ -cc).
- h. Suppose that  $\mathbf{q} \in K$  and  $\mathbb{Q}$  is a  $\mathbb{P}_{\mathbf{q}, \infty}$ -name of a forcing notion whose universe is from  $V$ , such that the conditions of definitions 3(d) and 3(e) are satisfied, then there is  $\mathbf{q}' \in K$  such that  $\mathbf{q} \leq_K \mathbf{q}'$ ,  $U_{\mathbf{q}'} = U_{\mathbf{q}} \cup \{\gamma\}$ ,  $U_{\mathbf{q}'} \models \alpha < \gamma$  for every  $\alpha \in U_{\mathbf{q}}$  and  $\mathbb{Q}_{\mathbf{q}', \gamma} = \mathbb{Q}$ .  $\square$

**Definition 5** The forcing notion  $\mathbb{P}$  will be defined as follows:

- The conditions of  $\mathbb{P}$  are the elements  $\mathbf{q}$  of  $K \cap H(\lambda^+)$  such that  $U_{\mathbf{q}} \subseteq \lambda^+$ , and for every  $\beta \in U_{\mathbf{q}}$ ,  $\mathbb{Q}_\beta$  is a name for a forcing whose underlying set of conditions is some  $X_\beta \subseteq \lambda^+$ .
- Given  $\mathbf{q}_1, \mathbf{q}_2 \in \mathbb{P}$ ,  $\mathbb{P} \models \mathbf{q}_1 \leq \mathbf{q}_2$  iff  $\mathbf{q}_1 \leq_K \mathbf{q}_2$ .
- Given a generic set  $G \subseteq \mathbb{P}$ , we let  $\mathbf{q}_G = \bigcup \{\mathbf{q} : \mathbf{q} \in G\}$ .

Before the next claim, we shall remind the reader of the definition of  $(< \kappa)$ -strategic completeness. Given a forcing  $\mathbb{P}$ , a condition  $p \in \mathbb{P}$  and an ordinal  $\alpha$ , the two-player game  $G_\alpha(p, \mathbb{P})$  will consist of  $\alpha$  moves. In the  $\beta$ th move, player **I** chooses  $p_\beta \in \mathbb{P}$  above  $p$  and all  $q_\gamma$  ( $\gamma < \beta$ ) previously chosen by player **II**. Player **II** will respond with a condition  $q_\beta \in \mathbb{P}$  above  $p_\beta$ . Player **I** wins the game iff for each  $\beta < \alpha$  he has a legal move.  $\mathbb{P}$  is  $\alpha$ -strategically complete if player **I** has a winning strategy in  $G_\alpha(p, \mathbb{P})$  for every  $p \in \mathbb{P}$ . Finally,  $\mathbb{P}$  is  $(< \kappa)$ -strategically complete if it's  $\alpha$ -strategically complete for every  $\alpha < \kappa$ .

**Claim 6** a.  $\mathbb{P}$  is  $(< \kappa)$ -strategically complete. Moreover, it's  $(< \lambda^+)$ -complete and  $(< \theta)$ -directed closed.

b.  $\Vdash_{\mathbb{P}} \mathbf{q}_G \in K$ , hence  $\Vdash_{\mathbb{P}} \mathbb{P}_{\mathbf{q}_G, \infty}$  is  $(< \theta)$ -directed closed and  $\theta^+$ -cc".

c. If  $\delta < \lambda^+$ ,  $cf(\delta) > \theta$  and  $(\mathbf{q}_\alpha : \alpha < \delta)$  is  $\leq_{\mathbb{P}}$ -increasing, then  $\mathbf{q} := \bigcup_{\alpha < \delta} \mathbf{q}_\alpha$  belongs to  $\mathbb{P}$  and  $\mathbb{P}_{\mathbf{q}} = \bigcup_{\alpha < \delta} \mathbb{P}_{\mathbf{q}_\alpha}$ . By  $\theta^+$ -c.c.,  $\mathbb{Q}$  is a canonical  $\mathbb{P}_{\mathbf{q}}$ -name of a member of  $[\theta]^\theta$  iff  $\mathbb{Q}$  is a canonical  $\mathbb{P}_{\mathbf{q}_\alpha}$ -name of a member of  $[\theta]^\theta$  for some  $\alpha < \delta$ .

**Proof** The claim follows directly from the definitions. The fact that  $\Vdash_{\mathbb{P}} \mathbf{q}_G \in K$  follows from the general fact that if  $I$  is a directed set,  $\{\mathbf{q}_t : t \in I\} \subseteq K$  and  $s \leq_I t \rightarrow \mathbf{q}_s \leq_K \mathbf{q}_t$ , then  $\bigcup \{\mathbf{q}_t : t \in I\}$  is well-defined and belongs to  $K$ . This also shows that  $\mathbb{P}$  is  $(< \theta)$ -directed closed.  $\square$

We shall now define our desired model:

**Definition 7** a. In  $V^{\mathbb{P}}$ , let  $\mathbb{Q} = \mathbb{P}_{\mathbf{q}_G, \infty}$ .

b. Let  $V_2 = V^{\mathbb{P} \star \mathbb{Q}}$ .

c. Let  $V_1$  be  $HOD(\mathcal{P}(\theta)^{<\kappa} \cup V)$  inside  $V_2$ .

**Claim 8** a.  $V_1 \models ZF + DC_{<\kappa}$ . b.  $(Ord^{<\kappa})^{V_1} = (Ord^{<\kappa})^{V_2}$ , hence  $\mathcal{P}(\theta)^{V_1} = \mathcal{P}(\theta)^{V_2}$ .

**Proof** We shall prove the first part of clause (b), the rest should be clear. Clearly,  $(Ord^{<\kappa})^{V_1} \subseteq (Ord^{<\kappa})^{V_2}$ . Now let  $\eta \in (Ord^\gamma)^{V_2}$  for some  $\gamma < \kappa$ , then  $\eta = \eta[G]$  for some name  $\eta$  of a member of  $Ord^\gamma$ , where  $G \subseteq \mathbb{P} \star \mathbb{Q}$  is generic.  $G = G_1 \star G_2$  where  $G_1 \subseteq \mathbb{P}$  is generic and  $G_2 \subseteq \mathbb{Q}[G_1]$  is generic. Working in  $V[G_1]$ ,  $\eta/G_1$  is a  $\mathbb{Q}[G_1]$ -name. As  $\mathbb{Q}[G_1]$  is  $\theta^+$ -cc, for every  $\beta < \gamma$  there is a maximal antichain  $\{p_{\beta,i} : i < \theta\} \subseteq \mathbb{Q}[G_1]$  of conditions that force a value to  $\eta/G_1(\beta)$ . Let  $\{\zeta_{\beta,i} : i < \theta\}$  be the set corresponding values forced by the above conditions. Let  $\Gamma = \{p_{\beta,i}, \zeta_{\beta,i} : \beta < \gamma, i < \theta\}$  be the corresponding  $\mathbb{P}$ -names for the above objects (so we can regard them as  $\mathbb{P}$ -names for ordinals). As there are  $< \kappa$  such names and  $\mathbb{P}$  is  $(< \kappa)$ -strategically complete, there is a dense set of  $\mathbf{q} \in \mathbb{P}$  that force values to all elements of  $\Gamma$ . Therefore, there is some  $\mathbf{q} \in \mathbb{P} \cap G_1$  that forces values to all elements of  $\Gamma$  (and the values forced are necessarily  $\{p_{\beta,i}, \zeta_{\beta,i} : \beta < \gamma, i < \theta\}$ ). It follows that  $\{p_{\beta,i}, \zeta_{\beta,i} : \beta < \gamma, i < \theta\} \in V$ . In  $V_2$ , there is a function  $f : \gamma \rightarrow \theta$  such that for every  $\beta < \gamma$ ,  $\eta(\beta) = \zeta_{\beta, f(\beta)}$ . As  $f \in \mathcal{P}(\theta)^{<\kappa}$  and  $\{p_{\beta,i}, \zeta_{\beta,i} : \beta < \gamma, i < \theta\} \in V$ , it follows that  $\eta \in V_1$ .  $\square$

**Main Claim 9** There are no  $\theta$ -mad families in  $V_1$ .

The rest of the paper will be devoted to the proof of Claim 9.

Suppose towards contradiction that there is a  $\theta$ -mad family in  $V_1$ , so there is some  $(\mathbf{q}_0, p_0) \in \mathbb{P} \star \mathbb{Q}$  forcing this statement about  $\mathcal{A}$  where  $\mathcal{A}$  is a canonical  $\mathbb{P} \star \mathbb{Q}$ -name of a  $\theta$ -mad family definable using  $\eta$ , and  $\eta$  is a canonical  $\mathbb{P} \star \mathbb{Q}$ -name of a parameter (so  $\eta = ((a_\epsilon : \epsilon < \epsilon^*), x)$ , where  $\Vdash " \epsilon^* < \kappa "$ , each  $a_\epsilon$  is a  $\mathbb{P} \star \mathbb{Q}$ -name of a subset of  $\theta$  and  $\Vdash " x \in V "$ ). Let  $G_0 \subseteq \mathbb{P}$  be generic over  $V$  such that  $\mathbf{q}_0 \in G_0$ . In  $V[G_0]$ ,  $\eta$  is a  $\mathbb{P}_{\mathbf{q}_{G_0}, \infty}$ -name, and by increasing  $\mathbf{q}_0$ , we may assume wlog that  $p_0 := p_0[G_0] \in \mathbb{P}_{\mathbf{q}_0}$ ,  $x = x[G_0] \in V$ ,  $\epsilon^* = \epsilon^*[G_0] \in \kappa$  and that each  $a_\epsilon$  ( $\epsilon < \epsilon^*$ ) is a canonical  $\mathbb{P}_{\mathbf{q}_0}$ -name of a subset of  $\theta$ . Given  $\mathbf{q} \in \mathbb{P}$  above  $\mathbf{q}_0$ , let  $\mathcal{A}_{\mathbf{q}}$  be the set of canonical  $\mathbb{P}_{\mathbf{q}}$ -names  $a$  such that  $(\mathbf{q}, p_0) \Vdash_{\mathbb{P} \star \mathbb{Q}} " a \in \mathcal{A} "$ , so  $\mathbf{q}_0 \leq \mathbf{q}_1 \leq \mathbf{q}_2 \rightarrow \mathcal{A}_{\mathbf{q}_1} \subseteq \mathcal{A}_{\mathbf{q}_2}$ . Note that if  $\mathbf{q}_0 \leq \mathbf{q}_1$ ,  $\mathbb{P}_{\mathbf{q}_1, \infty} \models " p_0 \leq p_1 "$  and  $(\mathbf{q}_1, p_1) \Vdash " b \in [\theta]^\theta "$ , then for some  $(\mathbf{q}_2, a)$  we have  $\mathbf{q}_1 \leq \mathbb{P} \mathbf{q}_2$ ,  $a \in \mathcal{A}_{\mathbf{q}_2}$  and  $(\mathbf{q}_2, p_0) \Vdash " b \cap a \in [\theta]^\theta "$ . By extending any given  $\mathbf{q}_1 \in \mathbb{P}$  above  $\mathbf{q}_0$  in this way sufficiently many times to add witnesses for madness, and recalling Claim 6(c), we establish that the set  $\{\mathbf{q}_1 : \mathbf{q}_0 \leq \mathbb{P} \mathbf{q}_1 \text{ and } \Vdash_{\mathbb{P}_{\mathbf{q}_1}} " \mathcal{A}_{\mathbf{q}_1} \text{ is } \theta\text{-mad} "\}$  is dense in  $\mathbb{P}$  above  $\mathbf{q}_0$ .

Now, in  $V_2$ , let  $I = \{A \subseteq \theta : A \text{ is contained in a union of } < \theta \text{ members of } \mathcal{A}\}$ , then  $I$  is a  $\theta$ -complete ideal and  $\theta \notin I$ . Let  $F$  be the dual filter of  $I$ , then  $F$  is  $\theta$ -complete, and as  $\theta$  is supercompact in  $V_2$  (recalling that  $\theta$  is Laver indestructible and that  $\mathbb{P} \star \mathbb{Q}$  is  $(< \theta)$ -directed closed), there is a  $\mathbb{P} \star \mathbb{Q}$ -name  $\tilde{D}$  such that  $(\mathbf{q}_0, p_0) \Vdash_{\mathbb{P} \star \mathbb{Q}} \text{"}\tilde{D} \text{ is a } \theta\text{-complete ultrafilter on } \theta \text{ that extends } F, \text{ and hence is disjoint to } \mathcal{A}\text{"}$ . By Claim 6 and what we observed in the previous paragraph, we may assume wlog that  $\mathbf{q}_0 \Vdash_{\mathbb{P}} \text{"}\mathcal{A}_{\mathbf{q}_0} \text{ is } \theta\text{-mad and } D_{\mathbf{q}_0} := \tilde{D} \cap \mathcal{P}(\theta)^{V^{\mathbb{P}_{\mathbf{q}_0, \infty}}} \text{ is a } \mathbb{P}_{\mathbf{q}_0, \infty}\text{-name of an ultrafilter on } \theta\text{"}$ .

Given an ultrafilter  $U$  on  $\theta$ , the forcing  $\mathbb{Q}_U$  is defined as follows: the conditions of  $\mathbb{Q}_U$  have the form  $(u, A)$  where  $u \in [\theta]^{< \theta}$  and  $A \in U$ . The order is defined naturally, i.e.  $(u_1, A_1) \leq (u_2, A_2)$  iff  $u_1 \subseteq u_2$ ,  $u_2 \setminus u_1 \subseteq A_1$  and  $A_2 \subseteq A_1$ .

We may assume wlog that  $\mathbb{P}_{\mathbf{q}_0, \infty}$  forces  $2^\theta = \lambda$ , hence there is a canonical  $\mathbb{P}_{\mathbf{q}_0, \infty}$ -name  $f$  of a bijection from  $\mathbb{Q}_{\tilde{D}_{\mathbf{q}_0}}$  onto  $\lambda$ . Let  $\tilde{Q}'$  be a name for the forcing such that  $\Vdash_{\mathbb{P}_{\mathbf{q}_0}} \text{"}f \text{ is an isomorphism from } \mathbb{Q}_{\tilde{D}_{\mathbf{q}_0}} \text{ onto } \tilde{Q}'\text{"}$ . Let  $\tilde{B} = B_{\tilde{D}_{\mathbf{q}_0}}$  be the  $\mathbb{Q}_{\tilde{D}_{\mathbf{q}_0}}$ -name

$\bigcup \{u : (u, A) \in G_{\mathbb{Q}_{\tilde{D}_{\mathbf{q}_0}}}\}$ , so  $\Vdash_{\mathbb{P}_{\mathbf{q}_0, \infty} \star \mathbb{Q}_{\tilde{D}_{\mathbf{q}_0}}} \text{"}\tilde{B} \in [\theta]^\theta \text{ is } \theta\text{-almost disjoint to } \mathcal{A}_{\mathbf{q}_0}\text{"}$ . Let  $\tilde{B}'$  be the canonical  $\mathbb{P}_{\mathbf{q}_0, \infty} \star \mathbb{Q}_{\tilde{D}_{\mathbf{q}_0}}$ -name for the image of  $\tilde{B}$  under  $f$ .

Now observe that there is  $\mathbf{q}' \in \mathbb{P}$  such that  $\mathbf{q}_0 \leq_{\mathbb{P}} \mathbf{q}'$ ,  $U_{\mathbf{q}'} = U_{\mathbf{q}_0} \cup \{\gamma\}$ ,  $\alpha < U_{\mathbf{q}'}$   $\gamma$  for every  $\alpha \in U_{\mathbf{q}_0}$  and  $\mathbb{Q}_{\mathbf{q}', \gamma} = \tilde{Q}'$ . As before, there is  $\mathbf{q}'' \in \mathbb{P}$  above  $\mathbf{q}'$  such that  $p_0 \Vdash_{\mathbb{P}_{\mathbf{q}'', \infty}} \text{"}\mathcal{A}_{\mathbf{q}''} \text{ is } \theta\text{-mad}\text{"}$ . Therefore, there is some  $\mathbb{P}_{\mathbf{q}'', \infty}$ -name  $\tilde{A} \in \mathcal{A}_{\mathbf{q}''}$  such that  $p_0 \Vdash_{\mathbb{P}_{\mathbf{q}'', \infty}} \text{"}\tilde{A} \cap \tilde{B}' \in [\theta]^\theta \text{, so } \tilde{A} \text{ has intersection of size } \theta \text{ with every member of } D_{\tilde{q}_0} \text{ and } \tilde{A} \notin \mathcal{A}_{\mathbf{q}_0}\text{"}$ .

Now let  $(\mathbf{q}_1, \tilde{B}_1, \tilde{A}_1) = (\mathbf{q}'', \tilde{B}', \tilde{A})$  and let  $(\mathbf{q}_2, \tilde{B}_2, \tilde{A}_2)$  be an isomorphic copy of  $(\mathbf{q}_1, \tilde{B}_1, \tilde{A}_1)$  over  $\mathbf{q}_0$  such that  $U_{\mathbf{q}_1} \cap U_{\mathbf{q}_2} = U_{\mathbf{q}_0}$  and  $\mathbf{q}_2 \in \mathbb{P}$ .

**Claim 10** Let  $\mathbf{q}_0, (\mathbf{q}_1, \tilde{B}_1, \tilde{A}_1)$  and  $(\mathbf{q}_2, \tilde{B}_2, \tilde{A}_2)$  be as above (so  $\mathbf{q}_0 \leq_K \mathbf{q}_l$  ( $l = 1, 2$ )),  $U_{\mathbf{q}_1} \cap U_{\mathbf{q}_2} = U_{\mathbf{q}_0}$  and  $\bigwedge_{l=1,2} \Vdash_{\mathbb{P}_{\mathbf{q}_l, \infty}} \text{"}\tilde{A}_l \in \tilde{\mathcal{A}} \setminus \mathcal{A}_{\mathbf{q}_0}\text{"}$  and let  $G \subseteq \mathbb{P}_{\mathbf{q}_0, \infty}$  be generic over  $V$ , then  $\Vdash_{\mathbb{P}_{\mathbf{q}_1, \infty}/G \times \mathbb{P}_{\mathbf{q}_2, \infty}/G} \text{"}\tilde{A}_2 \setminus \tilde{A}_1, \tilde{A}_1 \setminus \tilde{A}_2 \in [\theta]^{\theta''}\text{"}$ .

**Proof** We shall prove the claim for  $\tilde{A}_2 \setminus \tilde{A}_1$ , the other case is similar. Suppose towards contradiction that  $(p_1, p_2)$  forces that  $\tilde{A}_2 \setminus \tilde{A}_1 \subseteq \gamma < \theta$ . For  $l \in \{1, 2\}$ , let  $B_l = \{\epsilon < \theta : p_l \not\Vdash_{\mathbb{P}_{\mathbf{q}_l, \infty}/G} \text{"}\epsilon \notin \tilde{A}_l\text{"}\} \in V[G]$ . By the assumption of the claim,  $B_l \in [\theta]^\theta$ . By the  $\theta$ -madness of  $\tilde{\mathcal{A}}_0[G]$  in  $V[G]$ , there is some  $Y \in \tilde{\mathcal{A}}_0[G]$  such that  $|Y \cap B_2| = \theta$ . As  $p_1 \Vdash_{\mathbb{P}_{\mathbf{q}_1, \infty}/G} \text{"}|A_1 \cap Y| < \theta\text{"}$ , there are  $q_1$  and  $\beta_1 < \theta$  such that  $p_1 \leq q_1 \in \mathbb{P}_{\mathbf{q}_1, \infty}/G$  and  $q_1 \Vdash_{\mathbb{P}_{\mathbf{q}_1, \infty}/G} \text{"}A_1 \cap Y \subseteq \beta_1\text{"}$ . Let  $\beta_2 \in Y \cap B_2$  such that  $\max\{\gamma, \beta_1\} < \beta_2$  (recalling that  $|Y \cap B_2| = \theta$ ). By the definition of  $B_2$ , there is  $q_2 \in \mathbb{P}_{\mathbf{q}_2, \infty}/G$  above  $p_2$  that forces " $\beta_2 \in \tilde{A}_2$ ". Therefore,  $(p_1, p_2) \leq (q_1, q_2) \in$

$\mathbb{P}_{\mathbf{q}_1, \infty}/G \times \mathbb{P}_{\mathbf{q}_2, \infty}/G$  and  $(q_1, q_2) \Vdash_{\mathbb{P}_{\mathbf{q}_1, \infty}/G \times \mathbb{P}_{\mathbf{q}_2, \infty}/G} \beta_2 \in A_2 \setminus A_1$ , a contradiction. It follows that  $\Vdash_{\mathbb{P}_{\mathbf{q}_1, \infty}/G \times \mathbb{P}_{\mathbf{q}_2, \infty}/G} A_2 \setminus A_1 \in [\theta]^\theta$ .  $\square$

**Claim 11** Under the assumptions of Claim 10 (recalling that  $\Vdash_{\mathbb{P}_{\mathbf{q}_l, \infty}} A_l \cap B \neq \emptyset$  for every  $B \in D_{\mathbf{q}_0}$  ( $l = 1, 2$ )), we have  $\Vdash_{\mathbb{P}_{\mathbf{q}_1, \infty}/G \times \mathbb{P}_{\mathbf{q}_2, \infty}/G} A_1 \cap A_2 \in [\theta]^\theta$ .

**Proof** Assume towards contradiction that  $(p_1, p_2) \in \mathbb{P}_{\mathbf{q}_1, \infty}/G \times \mathbb{P}_{\mathbf{q}_2, \infty}/G$  forces that  $A_1 \cap A_2 \subseteq \gamma$  for some  $\gamma < \theta$ . It's forced by  $(p_1, p_2)$  that  $A_l \subseteq B_l$  ( $l = 1, 2$ ) where  $B_l$  is as in the proof of the previous claim, hence it's forced by  $(p_1, p_2)$  that each  $B_l$  intersects each member of  $D_{\mathbf{q}_0}$ . As  $B_1, B_2 \in V[G]$ , it follows that  $B_1, B_2 \in D_{\mathbf{q}_0}[G]$ .

Therefore, there is some  $\beta \in (B_1 \cap B_2) \setminus \gamma$ , hence there is  $q_l \in \mathbb{P}_{\mathbf{q}_l, \infty}/G$  above  $p_l$  that forces " $\beta \in A_l$ " ( $l = 1, 2$ ). It follows that  $(p_1, p_2) \leq (q_1, q_2) \in \mathbb{P}_{\mathbf{q}_1, \infty}/G \times \mathbb{P}_{\mathbf{q}_2, \infty}/G$  and  $(q_1, q_2) \Vdash_{\mathbb{P}_{\mathbf{q}_1, \infty}/G \times \mathbb{P}_{\mathbf{q}_2, \infty}/G} \beta \in A_1 \cap A_2$ , contradicting the choice of  $\gamma$  and  $(p_1, p_2)$ . It follows that  $\Vdash_{\mathbb{P}_{\mathbf{q}_1, \infty}/G \times \mathbb{P}_{\mathbf{q}_2, \infty}/G} A_1 \cap A_2 \in [\theta]^\theta$ .  $\square$

Now given  $\mathbf{q}_0, (\mathbf{q}_1, B_1, A_1)$  and  $(\mathbf{q}_2, B_2, A_2)$  as above, let  $\mathbf{q}_3 = \mathbf{q}_1 +_{\mathbf{q}_0} \mathbf{q}_2$ . Then  $\mathbf{q}_3 \in \mathbb{P}, \mathbf{q}_1, \mathbf{q}_2 \leq_K \mathbf{q}_3$ , and by claims 10 and 11, we get a contradiction. This completes the proof of Main Claim 9 and hence of Theorem 2.  $\square$

We conclude with the following natural question:

**Question** What's the consistency strength of  $ZF + DC_\theta +$  "there are no  $\theta$ -mad families" for some  $\theta > \aleph_0$ ?

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