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## On Uncountable Boolean Algebras With No Uncountable Pairwise Comparable or Incomparable Sets of Elements

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Elements a, b, of a Boolean algebra are said to be *comparable* iff either  $a \le b$  or  $b \le a$ , otherwise *incomparable*. A *chain* in a Boolean algebra is a set of pairwise comparable elements, while a *pie* is a set of pairwise incomparable elements.

- In [2] Baumgartner and Komjath proved, using  $\Diamond_{\aleph_1}$ :
- **Theorem 1** (Baumgartner-Komjath) Assume  $\diamond_{\aleph_1}$ . There is an uncountable Boolean algebra with no uncountable chain or pie.
  - In [6] Rubin, also using  $\Diamond_{\aleph_1}$ , proved:
- **Theorem 2** (Rubin) Assume  $\diamondsuit_{\aleph_1}$ . There is a Boolean algebra B, with  $\overline{\overline{B}} = \aleph_1$ , in which every ideal is  $\aleph_0$ -generated and every subalgebra is generated by an ideal and  $\aleph_0$  elements. Thus, B has only  $\aleph_1$  ideals and subalgebras.

Using only CH, Berney and Nyckos [3] and Bonnet [4] proved:

**Theorem 3** Assume CH. There is an uncountable Boolean algebra with no uncountable pie.

They chose a set A of reals of cardinality  $\aleph_1$ , and the Boolean algebra is the Boolean algebra of subsets of the reals generated by (r, s),  $r, s \in A$ .

In the opposite direction, Baumgartner [1] showed:

**Theorem 4** It is consistent with ZFC that  $2^{\aleph_0} = \aleph_2$ , Martin's axiom holds, and every Boolean algebra of cardinality  $\aleph_1$  contains an uncountable pie.

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In fact, the above follows from Martin's axiom + any \(\chi\_1\)-dense sets of reals are isomorphic.

The main result of this paper is (for generalizing to higher powers see the end)

Assume CH. There is a Boolean algebra  $B, \overline{\overline{B}} = \aleph_1$  such that Theorem 5

- B has no uncountable pies.
- (ii) B has no uncountable chains.
- (iii) Every ideal of B is generated by  $\aleph_0$  elements.
- (iv) Among any  $\aleph_1$ -elements of B there are four elements  $x_0$ ,  $x_1$ ,  $x_2$ ,  $x_3$  such that  $x_0 \wedge x_1 = x_2 \wedge x_3$ .

In [6] Rubin used  $\Diamond_{\underline{\aleph}_1}$  to show that there is a Boolean algebra B,  $\overline{\overline{B}} = \aleph_1$ , such that for every  $I \subseteq B$ ,  $\bar{l} = \aleph_1$ , there is a partition of  $1, b_0, \ldots, b_n \in B$ , n > 1, such that for every  $0 < b_l' < b_l'' \le b_l$ ,  $l = 2, \ldots, n$ , there is some  $x \in I$  such that  $x \wedge b_0 = b_0$ ,  $x \wedge b_1 = 0$ , and  $b'_l < x \wedge b_l < b''_l$  for l = 2, ..., n. We will obtain a similar result as Lemma 7 below which will lead directly to Theorem 5.

We first introduce a Boolean algebra B, and then in a series of lemmas show that B satisfies the conditions of Theorem 5. Though our original treatment was somewhat different, here, at the suggestion of the referee, we use forcing to construct B.

We begin with a countable atomless Boolean algebra  $B_0$ . We think of  $B_0$ as being embedded in its completion, and form B by adding some elements of the completion, and taking the closure.

As our set of forcing conditions we take

$$P = \{(a, b): a, b \in B_0 \text{ and } a < b\}.$$

A condition  $(a_1, b_1)$  extends a condition  $(a_2, b_2)$ , written  $(a_1, b_1) \le (a_2, b_2)$  iff  $a_2 \le a_1 < b_1 \le b_2$ . We think of a condition (a, b) as giving information about an element x of the completion, with (a, b) specifying that a < x < b. Thus, as conditions are extended, the value of x is squeezed from below and above.

Let HC denote the set of hereditarily countable sets. We define a sequence  $\langle N_{\alpha}: \alpha < \omega_1 \rangle$  satisfying

- a.  $B_0 \in N_0$
- b. For  $\alpha < \beta < \omega_1, \langle N_{\alpha}, \epsilon \rangle < \langle N_{\beta}, \epsilon \rangle < \langle HC, \epsilon \rangle$ .
- c. For  $\delta$  a limit ordinal,  $N_{\delta} = \bigcup_{\alpha < \delta}^{\beta} N_{\alpha}$ . d.  $\bigcup_{\alpha < \omega_1} N_{\alpha} = HC$ .
- e. Each  $N_{\alpha}$ ,  $\alpha < \omega_1$ , is countable.
- f. For each  $\alpha < \omega_1$ , there is  $G_{\alpha} \in N_{\alpha+1}$ , P-generic over  $N_{\alpha}$ .

It is very easy to construct such a sequence, but only, of course, if CH holds. Now, for each  $G_{\alpha}$ , we let

$$x_{\alpha} = \bigvee \{a: \exists b [(a, b) \in G_{\alpha}]\}.$$

The supremum in the above is taken in the completion of  $B_0$ . We may now define B as the subalgebra of the completion generated by  $B_0 \cup \{x_\alpha : \alpha < \omega_1\}$ .

2 We now begin the process of showing that B, as just defined, satisfies the conditions of Theorem 5. First, for each  $\alpha < \omega_1$  we define

$$I_{\alpha} = \{b \in B_0: x_{\alpha} \land b \in B_0\}.$$

**Lemma 1**  $I_{\alpha}$  is a proper ideal.

*Proof:* The proof is easy. First suppose  $b \in I_{\alpha}$  and c < b,  $c \in B_0$ . Then  $x_{\alpha} \wedge c = (x_{\alpha} \wedge b \wedge c) = (x_{\alpha} \wedge b) \wedge c \in B_0$ , since  $x_{\alpha} \wedge b \in B_0$ . Now if  $b_1$ ,  $b_2 \in I_{\alpha}$ , then  $x_{\alpha} \wedge (b_1 \vee b_2) = (x_{\alpha} \wedge b_1) \vee (x_{\alpha} \wedge b_2) \in B_0$ , since both  $x_{\alpha} \wedge b_1$ ,  $x_{\alpha} \wedge b_2 \in B_0$ . This shows  $I_{\alpha}$  is an ideal. To see that it is proper, simply note that since  $1 \wedge x_{\alpha} = x_{\alpha}$ ,  $1 \in I_{\alpha}$  iff  $x_{\alpha} \in B_0$ . It is easy to see by genericity that  $x_{\alpha} \notin B_0$ .

**Lemma 2**  $I_{\alpha}$  is maximal.

*Proof:* First we must give an alternate description of  $I_{\alpha}$ . We claim that

$$I_{\alpha} = \{a \vee \overline{b} : (a, b) \in G_{\alpha}\},\$$

where  $\overline{b}$  denotes the complement of b. First, if  $(a,b) \in G_{\alpha}$ , then  $x_{\alpha} \land (a \lor \overline{b}) = a \in B_0$ , so  $a \lor \overline{b} \in I_{\alpha}$ . To obtain the reverse inclusion, suppose  $b \in I_{\alpha}$ , i.e.,  $x_{\alpha} \land b \in B_0$ . Denote  $x_{\alpha} \land b$  by c. Now, for some  $(d,e) \in G_{\alpha}$ ,  $(d,e) \Vdash x_{\alpha} \land \overline{b} = \overline{c}$ . Then we must have  $b \land e \leq d$ , or  $x_{\alpha} \land \overline{b}$  could be "made" smaller by a stronger condition. Then trivially,  $(b \land e) \lor \overline{e} \leq d \lor \overline{e}$ , whence  $b \leq d \lor \overline{e}$ . Now, it is also trivial to verify that both d and  $\overline{e} \in I_{\alpha}$ , viz.,  $d \land x_{\alpha} = d$ ,  $\overline{e} \land x_{\alpha} = 0$ . Now, since  $I_{\alpha}$  is an ideal  $d \lor \overline{e} \in I_{\alpha}$ , and since  $b \leq d \lor \overline{e}$ ,  $b \in I_{\alpha}$ . This finishes the proof of the claim.

Next, fix  $c \in B_0$  and consider the set

$$D = \{(a, b): (a, b) \leq (c, 1) \text{ or } (a, b) \text{ and } (c, 1) \text{ are incompatible}\}.$$

D is, as usual, dense in P, and obviously an element of  $N_{\alpha}$ . Thus there is some  $(a,b) \in G_{\alpha} \cap D$ . Now, if (a,b) is incompatible with (c,1), this must mean  $a \lor c \ge b$ . Then  $\overline{a} \land (a \lor c) \ge \overline{a} \land b$ , again leading to  $\overline{c} \le a \lor \overline{b}$ , which puts  $\overline{c}$  in the ideal  $I_{\alpha}$ . If, on the other hand,  $(a,b) \le (c,1)$ , then  $c \le a \le x_{\alpha}$ . Then,  $c \land x_{\alpha} = c \in B_0$ , so  $c \in I_{\alpha}$ . This shows that  $I_{\alpha}$  is maximal.

**Lemma 3** (i) For  $\alpha < \beta < \omega_1$ ,  $I_{\alpha} \neq I_{\beta}$ . (ii) For  $\alpha < \omega_1$ ,  $G = \{(a,b): a \leq x_{\alpha} \leq b, a, b \in B_0\}$  is P-generic over  $N_{\alpha}$  (i.e.,  $\overline{x}_{\alpha}$  is also "generic".)

*Proof:* (i) Suppose  $I_{\alpha} = I_{\beta}$ ,  $\alpha < \beta$ . Then for some condition (a, b),  $(a, b) \vdash I_{\beta} = I_{\alpha}$ , in the forcing for constructing  $x_{\beta}$ . Since  $B_0$  is atomless, we can choose  $c \in B_0$  such that a < c < b. Suppose  $c \in I_{\alpha}$ , the opposite case being similar. Then  $(a, b) \vdash \text{``\'c} \in I_{\beta}$ ''. However, by choosing  $d \in B_0$  such that a < d < c, we have  $(a, d) \vdash \text{``\'c} \notin I_{\beta}$ ''. This contradicts the fact that (a, d) < (a, b) and so  $(a, d) \vdash \text{``\'c} \notin I_{\beta}$ ''.

(ii) Suppose  $x_{\alpha}$  is generated by the generic subset  $G_{\alpha}$  of P. Let  $\overline{G}_{\alpha} = \{(\overline{b}, \overline{a}): (a, b) \in G\}$ . Then it is easy to check that  $G_{\alpha}$  is generic (e.g., if D is dense so is  $\overline{D}$ , etc.) and that  $\overline{G}_{\alpha}$  generates  $\overline{x}_{\alpha}$ .

The next lemma, the "Product Theorem", is well-known to those familiar with forcing.

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**Lemma 4** Suppose  $\alpha_1 < \alpha_2 < \ldots < \alpha_n < \omega_1$ . Then  $G_{\alpha_1} \times \ldots \times G_{\alpha_n}$  is  $P^n$ -generic over  $N_{\alpha_1}$ .

*Proof:* By induction on n, with the case of n = 1 trivial. Let  $n \ge 1$  and assume the lemma holds for n. The only nonimmediate clause to verify concerns intersections with dense sets.

Let  $D \in N_{\alpha_1}$  be dense in  $P^{n+1}$ . We must show  $G_1 \times \ldots \times G_n \times G_{n+1} \cap D \neq 0$ . This amounts to showing that  $E \cap G_{\alpha_{n+1}} \neq 0$ , where

$$E = \{p: \exists (p_1, \ldots, p_n) \in G_{\alpha_1} \times \ldots \times G_{\alpha_n} [(p_1, \ldots, p_n, p) \in D] \}.$$

Since  $E \in N_{\alpha_{n+1}}$  it suffices to show that E is dense in P. Thus, given  $q \in P$  we must find  $p \leq q$  and  $(p_1, \ldots, p_n) \in G_{\alpha_1} \times \ldots \times G_{\alpha_n}$  such that  $(p_1, \ldots, p_n, p) \in D$ . To see this it suffices to notice that

$$F = \{(p_1, \ldots, p_n) : \exists p \leq q [(p_1, \ldots, p_n, p) \in D]\}$$

is dense in  $P^n$ , since it is also in  $N_{\alpha_1}$ .

For the purposes of the next lemma we define for  $A = \{\alpha_1, \ldots, \alpha_n\}$ ,  $\alpha_1 < \ldots < \alpha_n < \omega_1$ ,  $G(A) = G_{\alpha_1} \times \ldots \times G_{\alpha_n}$ . It is here that we make use of the choice of the  $N_{\alpha}$ .

**Lemma 5** Let F be an uncountable collection of pairwise disjoint n-element subsets of  $\omega_1$ . Let  $E = \{p \in P^n : \{A \in F : p \in G(A)\} \text{ is countable}\}$ . Then E is not dense in  $P^n$ .

*Proof:* Suppose E were dense in  $P^n$ . Since  $E \in HC$ , there is some  $\beta < \omega_1$  such that  $E \in N_\beta$ . However, if  $A \in F$  and each element of A is greater than  $\beta$ , then  $G(A) \cap E \neq 0$  by the obvious generalization of Lemma 4. Since there are uncountably many such  $A \in F$ , and E is countable, there must be some  $x \in E$  such that  $x \in G(A)$  for uncountably many  $A \in F$ , a contradiction.

The next lemma shows that elements of B can be represented in a special way.

**Lemma 6** If  $x \in B$  then there are  $\alpha_1 < \ldots < \alpha_n$  and disjoint  $b_0, b_1, \ldots, b_n \in B_0$ , such that for  $i \ge 1$ ,  $b_i \notin I_{\alpha_i}$ , and  $x = b_0 \lor \bigvee_{i=1}^n y_i$  where either  $y_i = x_{\alpha_i} \land b_i$  or  $y_i = \overline{x}_{\alpha_i} \land b_i$ .

*Proof:* First, choose a minimal  $n \in \omega$  such that there are some  $\beta_1, \ldots, \beta_n$  such that x is a Boolean combination of  $x_{\beta_1}, \ldots, x_{\beta n}$ , with elements of  $B_0$ . Next, choose a partition  $d_1, \ldots, d_n$  of  $B_0$  such that  $d_l \in I_{\beta m}$  iff l = m. To see how this may be done first choose, for each  $i \le n$ ,  $d_i \in \bigcap_{i \ne j} I_{\beta_i} \setminus I_{\beta_i}$ . This can be done since

the  $I_{\beta}$ 's are distinct maximal ideals by Lemmas 1-3. Just choose for  $j \neq i$ ,  $d_{ij} \in I_{\beta_j} \setminus I_{\beta_i}$  and take  $d_i = \bigwedge_{j \neq i} d_{ij}$ . Now increase one of the  $d_i$ 's, if necessary, to

get a partition. This is no problem since  $\bigvee_{i < n} d_i \in \bigcap_{i \le n} I_i$ .

Suppose  $x=\tau(\ldots x_{\beta_l}\ldots,\ldots c_j\ldots)$ , for some Boolean term  $\tau$ , with the  $c_j$ 's in  $B_0$ . Then  $x \wedge d_i = \tau(\ldots x_{\beta_l} \wedge d_i\ldots,\ldots c_j \wedge d_i\ldots) \wedge b_i = \tau'(x_{\beta_i} \wedge d_i,\ldots)$  elements of  $B_0\ldots) \wedge d_i$ , for some other term  $\tau'$ . It is easy to find disjoint  $d^0, d_i^1, d_i^2 \leq d_i$  such that  $x \wedge d_i = d_i^0 \vee (x_{\beta_i} \wedge d_i^1) \vee (\overline{x}_{\beta_i} \wedge d_i^2)$ . We can also arrange this so that if  $x_{\beta_i} \wedge d_i^1 \in B_0$ , then  $d_i^1 = 0$ , and similarly if  $\overline{x}_{\beta_i} \wedge d_i^2 \in B_0$ . Since  $d_i^1 \in I_{\beta_i}$  or  $d_i^2 \in I_{\beta_i}$ ,  $x_{\beta_i} \wedge d_i^1 \in B_0$  or  $\overline{x}_{\beta_i} \wedge d_i^2 \in B_0$ . Thus,  $d_i^1 = 0$ , or  $d_i^2 = 0$  (or both). Now, let  $b_0 = \bigvee_{i=1}^n d_i^0$ ,  $b_i = d_i^1 \vee d_i^2$ , and  $b_i = (x_{\beta_i} \wedge d_i^1) \vee (\overline{x}_{\beta_i} \wedge d_i^2)$ , for  $b_i = 1,\ldots,m$ . Note that  $b_i = 1,\ldots,b_i$  are disjoint (since  $b_i = 1,\ldots,b_i = 1$ ) and  $b_i = 1,\ldots,b_i = 1$ . Now  $b_i = 1$  are disjoint (since  $b_i = 1$ ) and  $b_i = 1$  are disjoint (since  $b_i = 1$ ) and  $b_i = 1$  are disjoint (since  $b_i = 1$ ) are disjoint (since disjoint) and  $b_i = 1$  are disjoint (since disjoint) are disjoint (since d

We now come to the key lemma.

**Lemma** 7 For every uncountable  $I \subseteq B$ , there is a partition of  $1, c_0, \ldots, c_n \in B_0$ , and  $c \in B$ , with  $c \le c_0$ , such that for every  $b'_l < b''_l \le c_l$  in  $B_0$ ,  $l = 1, \ldots, n$ , there is some  $x \in I$  such that  $x \land c_0 = c$  and  $b'_l < x \cap c_l < b''_l$ ,  $l = 1, \ldots, n$ . In fact, there are  $\aleph_1$  such x.

*Proof*: Let  $I \subseteq B$  be uncountable. We apply Lemma 6 to each  $x \in I$ . Since  $B_0$  is countable we may thin I down to some uncountable J, such that each  $x \in J$  determines the same sequence  $b_0, \ldots, b_n$ , and so that the sets  $A_x = \{\alpha_1, \ldots, \alpha_n\}$  form a  $\Delta$ -system (cf. [5]). By appealing to Lemma 3(ii) and a further thinning of J to some uncountable K, we may assume that for each  $x \in K$ , only  $y_i$  of the form  $x_{\alpha_i} \wedge b_i$  occur.

Let F be the kernel of the  $\Delta$ -system. For simplicity, let us first assume that F=0. We apply Lemma 5 to K to see that  $E=\{p \in P^n: \{x \in K: p \in G(A_x)\}\}$  is countable} is not dense in  $P^n$ . Fix some  $p \in P^n$  such that if  $q \leq p$ , then  $q \notin E$ . Suppose  $p=(p_1,\ldots,p_n)$ , where  $p_i=(a_i^1,a_i^2)$ ,  $i=1,\ldots,n$ . Now define  $c_i=b_i \wedge a_i^2 \wedge \overline{a}_i^1$  for  $i=1,\ldots,n$  and let  $c_0=\overline{c_1 \vee \ldots \vee c_n}$ . Finally, let  $c=b_0 \vee \left(\bigvee_{1=i}^n a_i^1\right)$ .

We show that if  $p \in G(A_x)$  then  $x \land c_0 = c$ . Computing, we get  $x \land c_0 = (b_0 \lor \bigvee_{i=1}^n (b_i \land x_{\alpha_i})) \land c_0$ . Now, taking the meet of  $c_0$  with each member of the join separately, and recalling that  $a_i^1 \le x_{\alpha_i} \le a_i^2$ , we get, tracing back the definition  $c_0$ , the result  $b_0 \lor \bigvee_{i=1}^n a_i^1 = c$ , each term in this join coming from the corresponding term in the original join. (To see this it is easiest just to draw a Venn diagram.)

Now suppose  $b_i' < b_i'' < c_i$ , i = 1, ..., n,  $b_i'$ ,  $b_2'' \in B_0$ . Let  $q_i = (a_i^1 \lor b_i', a_i^1 \lor b_i'')$  for i = 1, ..., n. Then  $q_i \le p$  so there are uncountably many  $x \in K$  with

 $(q_1,\ldots,q_n)$   $\in G(A_x)$ . Let  $A_x=\{\alpha_1,\ldots,\alpha_n\}$  be such a set and so  $x=b_0 \vee \bigvee_{i=1}^n x_{\alpha_i} \wedge b_i$ . Computing again, we get by disjointness  $x \wedge c_i=b_0 \vee (\bigvee_{j=1}^n b_j \wedge x_{\alpha_j}) \wedge c_i=c_i \wedge x_i$ . Expanding this last term we have  $b_i \wedge a_i^2 \wedge \overline{a}_i^1 \wedge x_{\alpha_i}$ . Now, since  $a_i^1 \vee b_i' < x_{\alpha_i} < a_i^1 \vee b_i''$  and since  $b_i' < b_i'' \leq b_i \wedge a_i^2 \wedge \overline{a}_i^1$ , we get  $b_i < b_i \wedge a_i^2 \wedge \overline{a}_i^1 \wedge x_{\alpha_i} < b_i''$ , and this concludes the calculation.

Finally, if the kernel  $D \neq 0$ , then we define instead  $c = b_0 \vee \bigvee_{\alpha_i \in D} (x_{\alpha_i} \wedge b_i)$ , and apply Lemma 3(ii) to  $\{A \setminus D : A \in K\}$ .

This concludes the sequence of lemmas.

Proof of Theorem 5: (i) Let  $I \subseteq B$  be uncountable. Let  $c_0, \ldots, c_n, c$ , be as in Lemma 7. Since  $B_0$  is atomless we may choose  $0 < b_l' < b_l'' < b_l''' < c_l$ ,  $l = 1, \ldots, n$  all in  $B_0$ . Now, applying Lemma 7 for  $b_l'$ ,  $b_l''$ , there is some  $x_1 \in I$  with  $x_1 \wedge c_0 = c$  and  $b_l' < x_1 \wedge c_l < b_l''$ ,  $l = 1, \ldots, n$ . Similarly, applying Lemma 7 to  $b_l''$ ,  $b_l'''$ , we obtain  $x_2 \in I$  with  $x_2 \wedge c_0 = c$  and  $b_l'' < x_2 \wedge c_l < b_l'''$ . Trivially  $x_1 \neq x_2$ .

Now, since  $\bigvee_{l=0}^{n} c_l = 1$ ,  $x_1 = x_1 \land \left(\bigvee_{l=0}^{n} c_l\right) = (x_1 \land c_0) \lor \bigvee_{l=1}^{n} (x_1 \land c_l) \le c \lor \bigvee_{l=1}^{n} b_l'' \le (x_2 \land c_0) \lor \bigvee_{l=1}^{n} (x_2 \land c_l) = x_2 \land \left(\bigvee_{l=1}^{n} c_l\right) = x_2$ . Thus,  $x_1 < x_2$ , and so I is not a pie.

- (ii) Next choose  $b_l'$ ,  $b_l'' \in B_0$  such that  $b_l' \wedge b_l'' = 0$  and  $0 < b_l' < c_l$ ,  $0 < b_l'' < c_l$ . Now find  $x_1 \in I$  such that  $x_1 \wedge c_0 = c$  and  $b_l' < x_1 \wedge c_l < b_l' \vee b_l''$ ,  $l = 1, \ldots, n$ . Similarly find  $x_2 \in I$  such that  $x_2 \wedge c_0 = c$  and  $b_l'' < x_2 \wedge c_l \leq b_l' \vee b_l''$ ,  $l = 1, \ldots, n$ . Now,  $b_1' \leq x_1$ , but  $b_1'' \leq x_1$  or else  $b_1' \vee b_1'' \leq x_1$ , whence  $b_1' \vee b_1'' \leq x \wedge c_1$ . Similarly  $b_1'' \leq x_2$ , but  $b_1' \leq x_2$ . Thus neither  $x_1 \leq x_2$  nor  $x_2 \leq x_1$ , and so I is not a chain.
- (iii) Suppose I is an ideal of B not generated by  $\aleph_0$  elements. Choose inductively a set  $J = \{a_\alpha \colon \alpha < \omega_1\}$  such that  $a_\alpha \in I$  and  $a_\alpha$  is not in the ideal generated by  $\{a_\beta \colon \beta < \alpha\}$ . We will apply Lemma 7 to J choosing  $c_0, \ldots, c_n, c$  as described. Next, choose  $0 < b_l' < b_l'' < b_l''' < c_l, l = 1, \ldots, n$ . By Lemma 7, for some  $\alpha < \omega_1$ ,  $a_\alpha \wedge c_0 = c$  and  $b_l'' < a_\alpha \wedge c_l < b_l'''$ ,  $l = 1, \ldots, n$ . Now, applying the last sentence of Lemma 7, we know that for  $\aleph_1$  different  $\beta < \omega_1$ ,  $a_\beta \wedge c_0 = c$ , and  $b_l' < a_\beta \wedge c_l < b_l''$ . In particular this is true for some  $\beta > \alpha$ . But, now, arguing as in the proof of part (i) we get  $a_\beta \leqslant a_\alpha$ , so that  $a_\beta$  is in the ideal generated by  $\{a_\alpha \colon \alpha < \beta\}$ , a contradiction.
- (iv) Begin by choosing I,  $c_0, \ldots, c_n, c$ ,  $b'_l$ ,  $b''_l$ ,  $l=1, \ldots, n$  as in (ii) above. There are, by Lemma 7,  $\aleph_1$  elements  $x_i \in I$  such that  $x_i \wedge c_0 = c$  and  $x_i \wedge c_l < b'_l$ ,  $l=1, \ldots, n$ . Similarly, there are  $\aleph_1$ ,  $y_i \in I$  such that  $y_i \wedge c_0 = c$  and  $y_i \wedge c_l < b''_l$ ,  $l=1, \ldots, n$ . Then, for each i,  $x_i \wedge y_i \wedge c_0 = (x_i \wedge c_0) \wedge (y_2 \wedge c_0) = c$ , and for any j,  $x_i \wedge y_j \wedge c_l = (x_i \wedge c_l) \wedge (y_i \wedge c_l) \leq b'_l \wedge b''_l = 0$ . Now, since  $\bigvee_{l=0}^n c_l = 1$ , we must have  $x_i \wedge y_j = c$ .

## **Concluding Remarks**

(1) Suppose  $\lambda = \lambda^{<\lambda}$  and  $2^{\lambda} = \lambda^{+}$  (so  $\lambda$  is regular.) We can find a saturated atomless Boolean algebra  $B_0$  of power  $\lambda$ . Letting  $H(\lambda^{+})$  be the family of sets of

hereditary power  $\leq \lambda$ , we can find  $N_{\alpha}(\alpha < \lambda)$ , increasing continuous,  $||N_{\alpha}|| \leq \lambda$ ,  $H(\lambda^+) = \bigcup_{\alpha < \lambda^+} N_{\alpha}, \ N_{\alpha+1} \text{ is } \lambda\text{-closed (i.e., } a \subseteq N_{\alpha+1}, \ |a| < \lambda \text{ implies } a \in N_{\alpha+1}). \text{ We}$ now can define P,  $P^n$ , and even  $P^{\alpha}$  as in Lemma 4. Moreover, we can define inductively  $x_i$ ,  $G_i(i < \lambda^+)$  such that for every  $\alpha_0 < \ldots < \alpha_{n-1} < \lambda^+$ ,  $(n < \omega)$ ,  $G_{\alpha_0} \times \ldots \times G_{\alpha_{n-1}}$  is  $P^n$ -generic over  $N_{\alpha_0}$ , and all the lemmas still hold as well the consequences (replacing  $\aleph_0$ ,  $\aleph_1$  by  $\lambda$ ,  $\lambda^+$ ).

- (2) However the construction in (1) has a defect: we would like to demand that if  $\xi < \lambda$ ,  $\alpha_0 < \ldots < \alpha_i < \ldots (i < \xi)$ , then  $\prod_{i < \xi} G_{\alpha_i}$  is  $P^{\xi_0}$ -generic over  $N_{\alpha_0}$ . This is possible (by [7]) if we assume  $\Diamond_{\lambda}$ , or even  $(Dl)_{\lambda}$ , which follows from " $\lambda$  strongly inaccessible" hence holds for any  $\lambda \neq \aleph_1$  when GCH holds (recall we are assuming  $\lambda = \lambda^{<\lambda}$  and  $2^{\lambda} = \lambda^{+}$ ), (see [7] and [8]).
- (3) The need for the strengthening mentioned in (2) arises when we asked our Boolean algebra to be, e.g.,  $\sigma$ -closed. For this it is natural to let B be the Boolean algebra from (2),  $B^c$  its completion, and  $B^*$  the closure of B in  $B^c$ under countable meets and complementation.

If  $a \in B^*$ , clearly there is a Boolean term  $\tau$  (countable) such that a = $\tau(\overline{b}, x_{\alpha_0}, \ldots, x_{\alpha_i}, \ldots)_{i < \xi} \ \xi < \aleph_1, \ \overline{b}$  a countable sequence of elements of  $B_0$ . Because  $\prod_{i < \xi} G_{\alpha_i}$  is  $N_{\alpha_i}$ -generic, without loss of generality  $b_i (i < \xi)$  are pairwise disjoint, and  $a = \tau(\overline{b}, \ldots, b_i \cap x_{\alpha_i}, \ldots)_{i < \xi}$ . Now, as in Lemma 7, we can prove:

For every  $I \subseteq B^*$  of power  $\lambda^+$ , there are  $\xi < \omega_1$ , pairwise disjoint  $b_i \in B_0$   $(i < \xi)$ , and  $J \subseteq I$ ,  $|J| = \lambda^+$  and for every  $a \in J$ ,  $i < \xi$ ,  $J(a, i) < \lambda^+$  pairwise distinct, such that:

- for some b', for every  $a \in J$ ,  $a \bigvee_{i \le k} b_i = b'$
- (ii) for each  $\beta$  either  $(\forall a \in J) \ a \land b_{\beta} = x_{J(a,i)} \land b_{\beta}$
- or  $(\forall a \in J)$   $a \land b_{\beta} = b_{\beta} x_{J(a,i)}$ (iii) for every  $b_i' \leq b_i'' \leq b_i (i \leq \xi)$  there is  $a \in J$  such that  $b_i' \leq a \cap b_i \leq b_i''$ .

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