

## SUFFICIENCY CONDITIONS FOR THE EXISTENCE OF TRANSVERSALS

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**1. Introduction.** A *transversal* of a family of non-empty sets  $\mathcal{F} = \langle F_\nu : \nu \in I \rangle$  is a 1-1 map

$$\varphi : I \rightarrow S(\mathcal{F}) = \bigcup_{\nu \in I} F_\nu$$

such that  $\varphi(\nu) \in F_\nu$  ( $\nu \in I$ ). A number of problems in combinatorial mathematics reduce to the question of whether or not a certain family of sets has a transversal. An up-to-date account of this theory is to be found in the book by Mirsky [9]. The best known result of this kind is the following theorem.

**THEOREM.** *If  $\mathcal{F} = \langle F_\nu : \nu \in I \rangle$  is either a finite family or an arbitrary family of finite sets, then  $\mathcal{F}$  has a transversal if and only if*

$$(1.1) \quad \left| \bigcup_{\nu \in J} F_\nu \right| \geq |J|$$

*holds for all finite sets  $J \subset I$ .*

This was proved for finite  $\mathcal{F}$  by P. Hall [7] (and in an equivalent graph theoretical formulation by J. König [8]) and for an arbitrary family of finite sets by M. Hall [6]. We shall refer to (1.1) as Hall's condition. If  $\mathcal{F}$  is an infinite family with infinite sets, then the problem of finding necessary and sufficient conditions for the existence of a transversal assumes a different complexity and remains unsolved. Rado and Jung [12] observed that if  $\mathcal{F}$  has just one infinite member, say  $F_{\nu_0}$ , then there is a transversal if and only if (1.1) holds and

$$F_{\nu_0} \not\subset \bigcup_{J \in \mathcal{C}} \bigcup_{\nu \in J} F_\nu$$

where  $\mathcal{C}$  is the set of critical subsets of  $I$ , i.e.,  $J \in \mathcal{C}$  if and only if  $J$  is a finite subset of  $I$  for which equality holds in (1.1). Brualdi and Scrimger [3] and Folkman [5] considered the more general problem of a family containing an arbitrary finite number of infinite sets. More recently, Nash-Williams [10] conjectured a condition which is both necessary and sufficient for an arbitrary *countable* family of sets to have a transversal, and this was proved by Damerell and Milner [4]. The conditions given by these authors are not so easily stated and the reader is referred to the original papers.

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That there can be no entirely elementary set of conditions which are necessary and sufficient for an arbitrary family of sets to have a transversal may perhaps be illustrated by considering the two families

$$\mathcal{F}_1 = \langle \alpha + 1 : \omega \leq \alpha < \omega_1 \rangle \quad \text{and} \quad \mathcal{F}_2 = \langle \alpha : \omega \leq \alpha < \omega_1 \rangle.$$

Here  $\omega$  denotes the first infinite ordinal,  $\omega_1$  the first uncountable ordinal and an ordinal  $\alpha = \{\beta : \beta < \alpha\}$  is regarded as the set of all smaller ordinals. Clearly  $\mathcal{F}_1$  has a transversal since  $\alpha \in \alpha + 1$ . However,  $\mathcal{F}_2$  has no transversal. For, if  $\varphi(\alpha) \in \alpha$  ( $\omega \leq \alpha < \omega_1$ ), then by a theorem of Alexandroff and Urysohn [1] on regressive functions, there is some  $\gamma < \omega_1$  such that  $\varphi(\alpha) = \gamma$  for uncountably many  $\alpha < \omega_1$ . The family  $\mathcal{F}_2$  gives a partial answer to [9, Problem 3, p. 220].) It is difficult to imagine any criterion involving inequalities between cardinals of sets which will be delicate enough to distinguish between the families  $\mathcal{F}_1$  and  $\mathcal{F}_2$ .

In view of the difficulty just mentioned it seems of interest therefore to have conditions which, though not necessary, are at least sufficient to ensure the existence of a transversal in a family having infinite members. In this connection Professor L. Mirsky asked if the following condition (which is a kind of dual of the finiteness condition in M. Hall's theorem) is sufficient for the existence of a transversal: *each member of  $\mathcal{F}$  is infinite and each element  $x \in S(\mathcal{F})$  belongs to only a finite number of sets  $F \in \mathcal{F}$ .*

If  $\mathcal{F} = \langle F_\nu : \nu \in I \rangle$  is a family, we write  $F \in \mathcal{F}$  if  $F = F_\nu$  for some  $\nu \in I$ . The cardinality of the family is  $|\mathcal{F}| = |I|$ . For any set  $A$ , put  $\mathcal{F}(A) = \langle F_\nu : \nu \in I, A \cap F_\nu \neq \emptyset \rangle$  and write  $\mathcal{F}(x)$  instead of  $\mathcal{F}(\{x\})$ . Mirsky's question is answered affirmatively by the following theorem.

**THEOREM 1.** *If the family of nonempty sets  $\mathcal{F}$  satisfies*

$$(1.2) \quad |F| \geq |\mathcal{F}(x)| \quad \text{for all } F \in \mathcal{F} \text{ and } x \in S(\mathcal{F}),$$

*then  $\mathcal{F}$  has a transversal.*

Dr. C. J. Knight conjectured that the following, more local type of condition, is also sufficient for a transversal. We write  $\mathcal{F} \in \mathcal{K}$  if and only if the members of  $\mathcal{F}$  are nonempty and

$$(1.3) \quad |F| \geq |\mathcal{F}(F)| \quad (F \in \mathcal{F}).$$

The main result proved in this paper settles Knight's conjecture.

**THEOREM 2.** *If  $\mathcal{F} \in \mathcal{K}$ , then  $\mathcal{F}$  has a transversal.*

A common weakening of the conditions (1.2) and (1.3) is the condition

$$(1.4) \quad |F| \geq |\mathcal{F}(x)| \quad (x \in S(\mathcal{F}), F \in \mathcal{F}(x) \text{ i.e., } x \in F \in \mathcal{F}).$$

We write  $\mathcal{F} \in \mathcal{L}$  if the members of  $\mathcal{F}$  are nonempty and (1.4) is satisfied. Thus a strengthening of both Theorems 1 and 2 is

**THEOREM 3.** *If  $\mathcal{F} \in \mathcal{L}$ , then  $\mathcal{F}$  has a transversal.*

Suppose  $\mathcal{F} = \langle F_\nu : \nu \in I \rangle \in \mathcal{L}$ . Let  $J$  be a finite set,  $J \subset I$ , and let  $\mathcal{F}'$  be the sub-family  $\langle F_\nu : \nu \in J \rangle$ . For  $p \in \{1, 2, \dots, |J|\}$ , put

$$n_p = |\{\nu \in J : |F_\nu| = p\}|, \quad m_p = |\{x \in S(\mathcal{F}') : |\mathcal{F}'(x)| = p\}|.$$

Considering the number of pairs  $(x, F)$  with  $x \in F \in \mathcal{F}'$ ,  $|F| \leq p$ , we obtain by (1.4) the inequality

$$n_1 + 2n_2 + \dots + pn_p \leq m_1 + 2m_2 + \dots + pm_p \quad (1 \leq p \leq |J|).$$

It follows that

$$n_1 + n_2 + \dots + n_p \leq m_1 + m_2 + \dots + m_p \quad (1 \leq p \leq |J|),$$

and hence (1.1) holds. It follows from this that  $\mathcal{L}$  is a sufficient condition for a family of finite sets to have a transversal. The conditions  $\mathcal{L}$  and  $\mathcal{H}$  are easily seen to be equivalent if all the members of  $\mathcal{F}$  are infinite sets and therefore,  $\mathcal{L}$  is also sufficient (by Theorem 2) for a family of infinite sets to have a transversal. In an early version of this paper we left Theorem 3 as an open question since we could not prove the special case

(1.5) *if  $\mathcal{F}$  is a countable family of countable sets and  $\mathcal{F} \in \mathcal{L}$ , then  $\mathcal{F}$  has a transversal.*

In fact, (1.5) and Theorem 2 implies the complete result stated as Theorem 3 (see § 6). Shelah [13] has since proved (1.5) and a simpler proof of this result is given in [2]. In § 7 we prove an even stronger result (Theorem 4).

Theorem 3 has an interesting formulation in terms of bipartite graphs. A bipartite graph is a triple  $\Gamma = \langle X, \Delta, Y \rangle$  with vertex set  $X \cup Y$  ( $X, Y$  disjoint sets) and edge set  $\Delta \subset \{\{x, y\} : x \in X, y \in Y\}$ . Let  $v(z) = |\{u \in X \cup Y : \{u, z\} \in \Delta\}|$  ( $z \in X \cup Y$ ) be the valency function of  $\Gamma$ . Then Theorem 3 is equivalent to the following statement: *If  $\Gamma = \langle X, \Delta, Y \rangle$  is a bipartite graph such that  $v(x) > 0$  for  $x \in X$  and  $v(x) \geq v(y)$  whenever  $x \in X$ ,  $y \in Y$  and  $\{x, y\} \in \Delta$ , then there is a matching from  $X$  into  $Y$ , i.e. there is a 1-1 function  $\varphi : X \rightarrow Y$  such that  $\{x, \varphi(x)\} \in \Delta$  ( $x \in X$ ).*

**2. Notation.** Capital letters denote sets and the cardinal power of  $A$  is  $|A|$ . Small Latin and Greek letters denote ordinal numbers unless stated otherwise. As usual, an ordinal  $\alpha$  is the set  $\{\beta : \beta < \alpha\}$  of all smaller ordinals. A cardinal number is an initial ordinal, i.e.,  $\alpha$  is a cardinal if  $\beta < \alpha \Rightarrow |\beta| < |\alpha|$ . The letters  $\kappa, \lambda, \mu$  always denote infinite cardinals.  $\kappa^+$  is the successor cardinal of  $\kappa$ .

$\mathcal{F}$  will always denote the family of non-empty sets  $\langle F_\nu : \nu \in I \rangle$  with index set  $I$ . We write  $|\mathcal{F}| = |I|$  and  $S(\mathcal{F}) = \bigcup_{\nu \in I} F_\nu$ . We shall abuse the usual terminology of sets by applying it to families of sets, but this should not lead to any confusion. Thus, we write  $A \in \mathcal{F}$  if  $A = F_\nu$  for some  $\nu \in I$ . We write

$A, B \in \mathcal{F}$ ,  $A \neq B$  to mean that  $A, B$  are *different* members of  $\mathcal{F}$ , i.e.,  $A = F_\mu$ ,  $B = F_\nu$  and  $\mu \neq \nu$  (even though we may have  $A = B$  in the usual set theoretical sense).  $\mathcal{F}' = \langle F_\nu : \nu \in I' \rangle$  is a *subfamily* of  $\mathcal{F}$ , and we write  $\mathcal{F}' \subset \mathcal{F}$ , if  $I' \subset I$ ; in this case we also write  $\mathcal{F} - \mathcal{F}' = \langle F_\nu : \nu \in I - I' \rangle$ . We write  $\mathcal{F}'' \subset \subset \mathcal{F}$  if  $\mathcal{F}'' = \langle G_\nu : \nu \in I \rangle$  and  $G_\nu \subset F_\nu (\nu \in I)$ . The family  $\mathcal{F}' = \langle F_\nu : \nu \in I' \rangle$  is *disjoint* from  $\mathcal{F}$  if  $I \cap I' = \emptyset$ ; it is *strongly disjoint* from  $\mathcal{F}$  if it is disjoint and in addition  $S(\mathcal{F}) \cap S(\mathcal{F}') = \emptyset$ . If  $\mathcal{F}, \mathcal{F}'$  are disjoint, then  $\mathcal{F} \cup \mathcal{F}' = \langle F_\nu : \nu \in I \cup I' \rangle$ .

A *transversal* of  $\mathcal{F}$  is an 1-1 function  $\varphi : I \rightarrow S(\mathcal{F})$  such that  $\varphi(\nu) \in F_\nu$  ( $\nu \in I$ ). Let  $\text{Trans}(\mathcal{F})$  be the set of all transversals of  $\mathcal{F}$ . If  $\varphi \in \text{Trans}(\mathcal{F})$ ,  $\psi \in \text{Trans}(\mathcal{F}')$ , then  $\text{range}(\varphi) = \{\varphi(\nu) : \nu \in I\}$  and  $\varphi, \psi$  are said to be *disjoint* if  $\text{range}(\varphi) \cap \text{range}(\psi) = \emptyset$ . Thus, if  $\mathcal{F}, \mathcal{F}'$  are disjoint families and  $\varphi, \varphi'$  are disjoint transversals of  $\mathcal{F}$  and  $\mathcal{F}'$  respectively, then  $\varphi \cup \varphi' \in \text{Trans}(\mathcal{F} \cup \mathcal{F}')$ .

For  $A \subset S(\mathcal{F})$ , let  $\mathcal{F}(A)$  denote the subfamily of  $\mathcal{F}$ ,

$$\mathcal{F}(A) = \langle F_\nu : \nu \in I, A \cap F_\nu \neq \emptyset \rangle.$$

In particular, for a singleton we write  $\mathcal{F}(x)$  instead of  $\mathcal{F}(\{x\})$ .  $\mathcal{F}$  has property  $\mathcal{H}$ ,  $\mathcal{F} \in \mathcal{H}$ , if and only if

$$(2.1) \quad |F| \geq |\mathcal{F}(F)| \quad (F \in \mathcal{F}),$$

and  $\mathcal{F} \in \mathcal{L}$  if and only if

$$|F| \geq |\mathcal{F}(x)| \quad (x \in S(\mathcal{F}), F \in \mathcal{F}(x) \text{ i.e., } x \in F \in \mathcal{F}).$$

If  $\lambda$  is an infinite cardinal we write

$$\mathcal{F}^\lambda = \langle F_\nu : \nu \in I, |F_\nu| = \lambda \rangle.$$

$\mathcal{F}^{<\lambda}$ ,  $\mathcal{F}^{\leq\lambda}$ ,  $\mathcal{F}^{>\lambda}$ ,  $\mathcal{F}^{\geq\lambda}$  are similarly defined. For  $x \in S(\mathcal{F})$  put

$$\rho_{\mathcal{F}}(x) = \inf \{|F| : F \in \mathcal{F}(x)\}.$$

Thus  $\mathcal{F} \in \mathcal{L}$  if and only if  $\rho_{\mathcal{F}}(x) \geq |\mathcal{F}(x)|$  ( $x \in S(\mathcal{F})$ ). We usually write  $S = S(\mathcal{F})$ , and then

$$S^\lambda = \{x \in S : \rho_{\mathcal{F}}(x) = \lambda\}.$$

$S^{<\lambda}$ ,  $S^{\leq\lambda}$  are similarly defined.

A  $\lambda$ -*component* of  $\mathcal{F}$  is a minimal non-empty subfamily  $\mathcal{H} \subset \mathcal{F}^{\leq\lambda}$  such that

$$A \in \mathcal{H}, B \in \mathcal{F}^{\leq\lambda}(A) \Rightarrow B \in \mathcal{H}.$$

Let  $\mathcal{F}^{\leq\lambda} = \langle F_\nu : \nu \in I_\lambda \rangle$ . Consider the graph  $\mathcal{G}_\lambda$  on the index set  $I_\lambda$  in which  $\{\rho, \sigma\}$  is an edge if and only if  $\rho, \sigma \in I_\lambda$ ,  $\rho \neq \sigma$  and  $F_\rho \cap F_\sigma \neq \emptyset$ . Then  $\mathcal{H} = \langle F_\nu : \nu \in J \rangle$  is a  $\lambda$ -component of  $\mathcal{F}$  exactly when  $J$  is the vertex set of a connected component of the graph  $\mathcal{G}_\lambda$ . Two different  $\lambda$ -components of  $\mathcal{F}$  are strongly disjoint subfamilies of  $\mathcal{F}$ . A *large  $\lambda$ -component* of  $\mathcal{F}$  is a minimal non-

empty subfamily  $\mathcal{H} \subset \mathcal{F}$  such that

$$A \in \mathcal{H}, A \cap B \cap S^{\cong \lambda} \neq \emptyset \Rightarrow B \in \mathcal{H}.$$

Thus every set  $A \in \mathcal{F}$  is a member of a large  $\lambda$ -component of  $\mathcal{F}$ ; two large  $\lambda$ -components are disjoint subfamilies of  $\mathcal{F}$  but they are not in general strongly disjoint.

If  $\mathcal{F} \in \mathcal{L}$ , then for any  $\lambda \geq \omega$ , the valency of a vertex  $\nu$  in the graph  $\mathcal{G}_\lambda$  described above is at most  $\lambda$  and hence the vertex set of a connected component has cardinality at most  $\lambda$ , i.e. if  $\mathcal{H}$  is a  $\lambda$ -component of  $\mathcal{F}$ , then  $|\mathcal{H}| \leq \lambda$ .

Suppose  $\mathcal{F}$  is a family of sets such that (2.1) holds and

$$(2.2) \quad |A \cap S^{\cong \lambda}| \leq \lambda \quad \text{for } A \in \mathcal{F}.$$

Now (2.1) implies that each element  $x \in S^{\cong \lambda}$  is a member of at most  $\lambda$  different sets of the family  $\mathcal{F}$ . Therefore, by (2.2), there are at most  $\lambda^2 = \lambda$  different sets  $B \in \mathcal{F}$  such that  $A \cap B \cap S^{\cong \lambda} \neq \emptyset$ . This implies that every large  $\lambda$ -component of  $\mathcal{F}$  also has cardinality at most  $\lambda$ .

The cofinality of the cardinal  $\lambda$ , is the least cardinal  $\mu = \text{cf}(\lambda)$  such that  $\lambda$  can be expressed as the union of  $\mu$  subsets each of cardinal less than  $\lambda$ .  $\lambda$  is *regular* if  $\text{cf}(\lambda) = \lambda$  and *singular* if  $\text{cf}(\lambda) < \lambda$ .

A set of ordinals  $C \subset \lambda$  is *stationary* in  $\lambda$  if for every regressive function  $f: C \rightarrow \lambda$  (i.e.,  $f(\gamma) < \gamma$  for  $\gamma \in C - \{0\}$ ), there is  $\gamma_0$  such that

$$|\{\gamma \in C : f(\gamma) = \gamma_0\}| = \lambda.$$

We use the well-known result (e.g. [11]) that if  $\lambda > \omega$  is regular then the set  $C = \{\gamma < \lambda : \gamma \text{ is a limit ordinal}\}$  is stationary in  $\lambda$ . A set  $C \subset \lambda$  is *cofinal* in  $\lambda$  if for every  $x \in \lambda$  there is  $y \in C$  such that  $x \leq y$ .

**3. Elementary lemmas and proof of Theorem 1.** We need the following well-known fact.

**LEMMA 1.** *If  $|\mathcal{F}| \leq \lambda \leq |F|$  ( $F \in \mathcal{F}$ ), then there are sets  $g(F) \subset F$  ( $F \in \mathcal{F}$ ) such that  $|g(F)| = \lambda$  and  $g(F_1) \cap g(F_2) = \emptyset$  for  $F_1, F_2 \in \mathcal{F}$  and  $F_1 \neq F_2$ .*

*Proof.* We may assume that  $\mathcal{F} = \langle F_\nu : \nu < \alpha \rangle$ ,  $\alpha \leq \lambda$ . Let  $\langle \nu_\rho : \rho < \lambda \rangle$  be any sequence of ordinals such that  $\nu_\rho < \alpha$  ( $\rho < \lambda$ ) and  $|\{\rho < \lambda : \nu_\rho = \nu\}| = \lambda$  ( $\nu < \alpha$ ). Now by transfinite induction we can choose elements  $x_\rho \in F_{\nu_\rho} - \{x_\sigma : \sigma < \rho\}$  and the lemma holds with  $g(F_\nu) = \{x_\rho : \rho < \lambda \text{ and } \nu_\rho = \nu\}$  ( $\nu < \alpha$ ).

Since a family of non-empty pairwise disjoint sets obviously has a transversal, we have the following corollary.

**COROLLARY 1.** *If  $|F| \geq \lambda \geq |\mathcal{F}|$  ( $F \in \mathcal{F}$ ), then  $\text{Trans}(\mathcal{F}) \neq \emptyset$ .*

**LEMMA 2.** *If  $\mathcal{F} \in \mathcal{H}$  and  $|\mathcal{F}| \leq \aleph_0$ , then  $\text{Trans}(\mathcal{F}) \neq \emptyset$ .*

*Remark.* The condition  $\mathcal{F} \in \mathcal{K}$  can be replaced by the weaker hypothesis  $\mathcal{F} \in \mathcal{L}$ , but the proof is much more difficult in this case (see [1; 13]).

*Proof of Lemma 2.* We may assume that  $\mathcal{F} = \langle F_i : i < \tau \rangle$ , where  $\tau \leq \omega$ . Let  $n < \tau$  and suppose that elements  $\varphi(i) \in F_i$  have been chosen for  $i < n$ . Since  $F_n \in \mathcal{F}(F_n)$  and  $\mathcal{F} \in \mathcal{K}$ , we have that

$$|\{i < n : F_i \in \mathcal{F}(F_n)\}| < |F_n|$$

and hence there is  $\varphi(n) \in F_n - \{\varphi(i) : i < n\}$ . This defines a transversal  $\varphi$  of  $\mathcal{F}$  by induction.

**LEMMA 3.** *Let  $\mathcal{F} \in \mathcal{K}$ . If either (i)  $|F| \leq \aleph_0$  for all  $F \in \mathcal{F}$  or (ii)  $|F| = \lambda$  for all  $F \in \mathcal{F}$ , then  $\text{Trans}(\mathcal{F}) \neq \emptyset$ .*

*Proof.* If (i) holds put  $\mu = \omega$ ; if (ii) holds put  $\mu = \lambda$ . Then  $\mathcal{F}$  is the union of its  $\mu$ -components  $\mathcal{G}_i$  ( $i \in J$ ) which are pairwise strongly disjoint. Since  $|\mathcal{G}_i| \leq \mu$  and  $\mathcal{G}_i \in \mathcal{K}$  it follows, from Lemma 2 in the case  $\mu = \omega$  and from Corollary 1 in the case  $\mu > \omega$ , that  $\text{Trans}(\mathcal{G}_i) \neq \emptyset$ . Lemma 3 follows since the  $\mathcal{G}_i$  are strongly disjoint.

*Proof of Theorem 1.* The hypothesis implies that there is a cardinal number  $m$  such that  $|F| \geq m \geq |\mathcal{F}(x)|$  for all  $F \in \mathcal{F}$  and  $x \in S(\mathcal{F})$ . Let  $F'$  be any subset of  $F$  of power  $m$  ( $F \in \mathcal{F}$ ). Then it will be enough to show that the family  $\mathcal{F}' = \langle F' : F \in \mathcal{F} \rangle \subset \mathcal{F}$  has a transversal. If  $m$  is finite then  $\text{Trans}(\mathcal{F}') \neq \emptyset$  by Hall's theorem. If  $m$  is infinite, then for  $F' \in \mathcal{F}'$  and  $x \in F'$  we have

$$|\mathcal{F}'(x)| \leq |\mathcal{F}(x)| \leq m = |F'|$$

i.e.,  $\mathcal{F}' \in \mathcal{K}$ . Therefore, since the members of  $\mathcal{F}'$  all have the same cardinality, it follows from Lemma 3 (ii) that  $\text{Trans}(\mathcal{F}') \neq \emptyset$ .

**4. A strengthening of  $\mathcal{K}$ .** It will be convenient to consider the following strengthening of condition  $\mathcal{K}$ . We write  $\mathcal{F} \in \mathcal{K}^+$  if and only if the following three conditions are satisfied:

- (i)  $\mathcal{F} \in \mathcal{K}$ ,
- (ii)  $A \in \mathcal{F}^{>\mu} \Rightarrow |A \cap S^{\leq \mu}| < \mu$ ,
- (iii)  $\lambda > \omega$ ,  $A \in \mathcal{F}^\lambda$ ,  $A \cap S^{<\lambda} \neq \emptyset \Rightarrow A \subset S^{<\lambda}$ .

It follows from (ii) and (iii) that if  $A \in \mathcal{F}^\lambda$  and  $A \cap S^{<\lambda} \neq \emptyset$ , then  $\lambda$  is a limit cardinal.

**LEMMA 4.** *Let  $\mathcal{F} \in \mathcal{K}^+$ ,  $A \in \mathcal{F}^\lambda$ ,  $A \cap S^{<\lambda} \neq \emptyset$ . Then  $\text{cf}(\lambda) = \omega$ .*

*Proof.* The hypothesis implies that  $\lambda$  is a limit cardinal. Suppose that  $\text{cf}(\lambda) = \kappa > \omega$ . Let  $\langle \lambda_\alpha : \alpha < \kappa \rangle$  be a closed increasing sequence of ordinals with  $\lambda = \lim_{\alpha < \kappa} \lambda_\alpha$ . By (ii), for each limit ordinal  $\alpha < \kappa$  there is an ordinal  $f(\alpha) < \alpha$  such that

$$|A \cap S^{\leq \lambda_\alpha}| \leq \lambda_{f(\alpha)}.$$

The set of limit ordinals  $\alpha < \kappa$  is a stationary subset of  $\kappa$ . Hence there is  $\beta < \kappa$  such that  $f(\alpha) = \beta$  on some cofinal set  $U \subset \kappa$ . Since  $U$  is cofinal in  $\kappa$ , it follows that

$$|A \cap S^{\leq \lambda \alpha}| \leq \lambda_\beta \quad \text{for all } \alpha < \kappa.$$

By (iii), and the fact that the sets  $S^{\leq \lambda \alpha}$  increase with  $\alpha$ , we have

$$A \subset S^{< \lambda} = \bigcup_{\alpha < \kappa} S^{\leq \lambda \alpha}.$$

This gives the contradiction  $|A| \leq \lambda_\beta^+ < \lambda$ .

Before stating the next lemma, we remind the reader that  $\mathcal{H} \subset \mathcal{L}$ .

LEMMA 5. Let  $\mathcal{J} \in \{\mathcal{H}, \mathcal{L}\}$ ,  $\mathcal{F} \in \mathcal{J}$ . Then there is  $\mathcal{F}_1 \subset \subset \mathcal{F}$  so that

- (i)  $\mathcal{F}_1^{\leq \omega} \in \mathcal{J}$ ,
- (ii)  $\mathcal{F}_1^{> \omega} \in \mathcal{H}^+$ .
- (iii)  $\mathcal{F}_1^{\leq \omega}$  and  $\mathcal{F}_1^{> \omega}$  are strongly disjoint.

*Proof.* We shall define sets  $g(F) \subset F$  for  $F \in \mathcal{F}$  by induction on the cardinality of  $F$ . For  $F \in \mathcal{F}^{\leq \omega}$  put  $g(F) = F$ . Now let  $\lambda > \omega$  and assume that  $g(F)$  is defined for  $F \in \mathcal{F}^{< \lambda}$ . Let  $A \in \mathcal{F}^\lambda$ . Then we define  $g(A)$  as follows.

For  $\omega \leq \mu < \lambda$ , put  $A(\mu) = \{x \in A : x \in g(B) \text{ for some } B \in \mathcal{F}^{\leq \mu}\}$ , and for  $\mu \geq \lambda$  put  $A(\mu) = A$ . Then  $A(\mu) \subset A(\kappa)$  for  $\mu \leq \kappa$ . Put

$$C(\mu) = A(\mu) - \bigcup_{\omega \leq \kappa < \mu} A(\kappa).$$

Since  $|A(\lambda)| = \lambda$ , there is a smallest cardinal, say  $\lambda_0$ , such that  $\omega \leq \lambda_0 \leq \lambda$  and  $|A(\lambda_0)| \geq \lambda_0$ .

Case 1. If  $|C(\lambda_0)| \geq \lambda_0$ , let  $g(A)$  be any  $\lambda_0$ -subset of  $C(\lambda_0)$ .

Case 2. If  $|C(\lambda_0)| < \lambda_0$ , put

$$g(A) = \bigcup_{\omega < \kappa < \lambda_0} A(\kappa) - A(\omega).$$

Notice that if Case 2 holds, then  $\lambda_0 > \omega$  (since  $C(\omega) = A(\omega)$ ) and so  $|A(\omega)| < \omega$  and hence  $|g(A)| = \lambda_0$ . Thus, in either case,  $|g(A)| = \lambda_0$  and

$$(4.1) \quad g(A) \subset A(\lambda_0).$$

The family  $\mathcal{F}_1 = \langle g(A) : A \in \mathcal{F} \rangle$  has the required properties.

To prove this we first show that

$$(4.2) \quad A \in \mathcal{F}, x \in S_1 \cap A, \rho_1(x) \leq \mu \Rightarrow x \in A(\mu),$$

where  $S_1 = S(\mathcal{F}_1)$  and  $\rho_1 = \rho_{\mathcal{F}_1}$ . From the hypothesis that  $\rho_1(x) \leq \mu$ , it follows that there is some  $F \in \mathcal{F}$  such that  $x \in g(F)$  and  $|g(F)| \leq \mu$ .

(i)' If  $|F| \leq \mu$ , then  $x \in A(\mu)$  by the definition of  $A(\mu)$ .

(ii)' If  $|F| > \mu$ , then  $g(F) \subset F(\mu)$  by (4.1) and hence there is  $B \in \mathcal{F}^{\leq \mu}$  such that  $x \in g(B)$ . This again implies that  $x \in A(\mu)$ , and (4.2) follows. We

now verify that  $\mathcal{F}_1$  has the required properties. Let  $C \in \mathcal{F}_1^{\leq \omega}$ ,  $x \in C$ . There is  $A \in \mathcal{F}$  such that  $C = g(A)$  and  $x \in A$ . If  $|A| \leq \omega$ , then  $C = A$  and we have

(a)  $|C| = |A| \geq |\mathcal{F}(A)| \geq |\mathcal{F}_1(C)|$  if  $\mathcal{J} = \mathcal{H}$  and

(b)  $|C| = |A| \geq |\mathcal{F}(x)| \geq |\mathcal{F}_1(x)|$  if  $\mathcal{J} = \mathcal{L}$ . Suppose  $|A| > \omega$ . Then  $|C| = \omega$  and  $C \subset A(\omega)$ . Hence there is  $B \in \mathcal{F}^{\leq \omega}$  such that  $x \in g(B) = B$ . Then, since  $\mathcal{H} \subset \mathcal{L}$ ,  $\omega = |C| \geq |B| \geq |\mathcal{F}(x)| \geq |\mathcal{F}_1(x)|$  and also

$$|\mathcal{F}_1(C)| = \left| \bigcup_{x \in C} \mathcal{F}_1(x) \right| \leq \omega = |C|.$$

This proves (i).

Let  $\lambda > \omega$ ,  $C \in \mathcal{F}_1^\lambda$ ,  $x \in C$ . There is  $A \in \mathcal{F}$  such that  $C = g(A) \subset A(\lambda) \subset S^{\leq \lambda}$ . Thus  $\rho_{\mathcal{F}}(x) \leq \lambda$  and so  $x$  is a member of at most  $\lambda$  sets  $B \in \mathcal{F}$  and hence at most  $\lambda$  sets  $g(B) \in \mathcal{F}_1$ . It follows that  $|\mathcal{F}_1(C)| \leq \lambda^2 = |C|$  and hence  $\mathcal{F}_1^{> \omega} \in \mathcal{H}$ .

Now suppose  $C \in \mathcal{F}_1^{> \mu}$ . There is  $\lambda > \mu$  such that  $C = g(A)$ ,  $A \in \mathcal{F}^\lambda$ . Since  $|C| > \mu$ , it follows from the definition of  $g(A)$  that  $|A(\mu)| < \mu$ . Therefore, by (4.2),

$$|C \cap S_1^{\leq \mu}| \leq |A(\mu)| < \mu.$$

Now let  $\lambda > \omega$ ,  $C \in \mathcal{F}_1^\lambda$ ,  $C \cap S_1^{< \lambda} \neq \emptyset$ . There is  $A \in \mathcal{F}^\kappa$  such that  $C = g(A)$  and  $\kappa \geq \lambda$ . Now  $C \subset A(\lambda)$  and from the definition of  $g(A)$ , either

(a)  $g(A) \cap A(\mu) = \emptyset$  for  $\omega \leq \mu < \lambda$  or

(b)  $g(A) \subset \bigcup_{\omega < \mu < \lambda} A(\mu)$ .

Now (a) is false by (4.2) and the assumption that  $C \cap S_1^{< \lambda} \neq \emptyset$ . So (b) holds. But if  $x \in A(\mu) \cap S_1$ , then  $\rho_1(x) \leq \mu$  by the definition of  $A(\mu)$ . Hence  $g(A) \subset S_1^{< \lambda}$ . This proves (ii).

Finally, suppose  $C \in \mathcal{F}_1^{> \omega}$ . Then  $C = g(A)$  for some  $A \in \mathcal{F}^{> \omega}$  and from the definition of  $g(A)$ , we have  $C \cap A(\omega) = \emptyset$ . Therefore, by (4.2),  $\rho_1(x) > \omega$  for all  $x \in C$ . This proves that  $\mathcal{F}_1^{\leq \omega}$  and  $\mathcal{F}_1^{> \omega}$  are strongly disjoint.

**5. Proof of Theorem 2.** We shall prove the result by induction on

$$\mu(\mathcal{F}) = \inf \{ \mu : |F| \leq \mu \text{ for all } F \in \mathcal{F} \}.$$

By Lemma 3 (i) the theorem is true if  $\mu(\mathcal{F}) = \omega$ . Now assume that  $\lambda > \omega$  and that

$$(5.1) \quad \mathcal{F}' \in \mathcal{H}, \mu(\mathcal{F}') < \lambda \Rightarrow \text{Trans}(\mathcal{F}') \neq \emptyset.$$

Let  $\mathcal{F} \in \mathcal{H}$ ,  $\mu(\mathcal{F}) = \lambda$ . We have to prove that  $\text{Trans}(\mathcal{F}) \neq \emptyset$ . Since  $\mathcal{F}_1 \subset \mathcal{F}$  and  $\text{Trans}(\mathcal{F}_1) \neq \emptyset \Rightarrow \text{Trans}(\mathcal{F}) \neq \emptyset$ , we may assume by Lemma 5 that  $\mathcal{F}_1 \in \mathcal{H}^+$  (and that  $\mathcal{F}_1^{\leq \omega} = \emptyset$ , but we do not use this fact). We shall consider separately the three cases (1)  $\lambda$  a successor cardinal, (2)  $\lambda$  a regular limit cardinal, (3)  $\lambda$  a singular limit cardinal.

*Case 1.*  $\lambda = \mu^+$ : Since  $\mathcal{F} \in \mathcal{H}^+$ , it follows from Lemma 4, that  $\mathcal{F}^\lambda$  and  $\mathcal{F}^{< \lambda}$  are strongly disjoint families (since  $\text{cf}(\lambda) > \omega$ ). Now  $\mathcal{F}^{< \lambda} = \mathcal{F}^{\leq \mu}$  has



a transversal by (5.1) and  $\mathcal{F}^\lambda$  has a transversal by Lemma 3 (ii). Hence  $\mathcal{F} = \mathcal{F}^{<\lambda} \cup \mathcal{F}^\lambda$  also has a transversal.

*Case 2.  $\lambda$  a regular limit cardinal:* By Lemma 4, since  $\text{cf}(\lambda) > \omega$ , the families  $\mathcal{F}^\lambda$  and  $\mathcal{F}^{<\lambda}$  are strongly disjoint. Now  $\mathcal{F}^\lambda$  has a transversal by Lemma 3 (ii) and so is enough to show that  $\mathcal{F}^{<\lambda}$  has a transversal.

Let  $A \in \mathcal{F}^{<\lambda}$ . Put  $X_0 = A$ ,  $X_{n+1} = \bigcup \{B \in \mathcal{F}^{<\lambda} : B \cap X_n \neq \emptyset\}$  ( $n < \omega$ ),  $X = \bigcup_{n < \omega} X_n$ . Then, by induction on  $n$ , we have  $|X_n| < \lambda$  ( $n < \omega$ ) and hence  $|X| < \lambda$ . Hence the  $\lambda$ -component of  $\mathcal{F}^{<\lambda}$  containing  $A$ ,  $\mathcal{G}(A) = \langle B \in \mathcal{F}^{<\lambda} : B \cap X \neq \emptyset \rangle$ , has cardinality  $< \lambda$ . Since  $\lambda$  is weakly inaccessible, it follows that  $\mu(\mathcal{G}(A)) < \lambda$  and hence  $\mathcal{G}(A)$  has a transversal by (5.1). Since  $\mathcal{F}^{<\lambda}$  is the union of all its  $\lambda$ -components which are pairwise strongly disjoint, it follows that  $\text{Trans}(\mathcal{F}^{<\lambda}) \neq \emptyset$ .

*Case 3.  $\text{cf}(\lambda) = \kappa < \lambda$ :* Let  $\langle \lambda_\alpha : \alpha \leq \kappa \rangle$  be a continuous increasing sequence of cardinals,

$$\kappa < \lambda_0 < \lambda_1 < \dots < \lambda_\kappa = \lambda = \lim_{\alpha < \kappa} \lambda_\alpha.$$

Denote by  $\mathcal{C}_\alpha$  the set of all the large  $\lambda_\alpha$ -components of  $\mathcal{F}$ , and let  $\mathcal{C} = \bigcup_{\alpha \leq \kappa} \mathcal{C}_\alpha$ . If  $\mathcal{G} \in \mathcal{C}_\alpha$ , then  $|\mathcal{G}| \leq \lambda_\alpha$  and we may write

$$\mathcal{G}^{\lambda_\alpha} = \langle G_\nu : \nu < \xi(\mathcal{G}) \rangle$$

where  $\xi(\mathcal{G})$  is some initial ordinal  $\leq \lambda_\alpha$ . For any ordinal  $\beta$  put

$$\mathcal{G}(\beta) = \begin{cases} \langle G_\nu : \nu < \beta \rangle, & \text{if } \beta \leq \xi(\mathcal{G}), \\ \mathcal{G}^{\lambda_\alpha}, & \text{if } \beta > \xi(\mathcal{G}). \end{cases}$$

If  $\mathcal{G}, \mathcal{G}' \in \mathcal{C}$ ,  $\mathcal{G} \neq \mathcal{G}'$  and  $\beta, \beta'$  are ordinals, then

$$(5.2) \quad \mathcal{G}(\beta) \cap \mathcal{G}'(\beta') = \emptyset.$$

For, there are  $\alpha, \alpha' \leq \kappa$  such that  $\mathcal{G} \in \mathcal{C}_\alpha, \mathcal{G}' \in \mathcal{C}_{\alpha'}$ . If  $\alpha = \alpha'$  then  $\mathcal{G}$  and  $\mathcal{G}'$  are disjoint since a set  $F \in \mathcal{F}^{\lambda_\alpha}$  is a member of exactly one large  $\lambda_\alpha$ -component; if  $\alpha \neq \alpha'$  then  $\mathcal{G}^{\lambda_\alpha}$  and  $\mathcal{G}'^{\lambda_{\alpha'}}$  are disjoint since members of these families have cardinalities  $\lambda_\alpha$  and  $\lambda_{\alpha'}$  respectively.

For  $\alpha \leq \kappa$  put

$$\mathcal{F}_\alpha^* = \bigcup_{\mathcal{G} \in \mathcal{C}} \bigcup_{\gamma < \alpha} \mathcal{G}(\lambda_\gamma), \quad \mathcal{F}_\alpha^{**} = \mathcal{F}^{<\lambda_\alpha} \cup \mathcal{F}_\alpha^*.$$

It is easy to see that

$$(5.3) \quad \mathcal{F}_{\alpha_0}^{**} = \bigcup_{\alpha < \alpha_0} \mathcal{F}_\alpha^{**}$$

if  $\alpha_0$  is a limit ordinal. For, if  $A \in \mathcal{F}^{\lambda_{\alpha_0}}$ , then there is a large  $\lambda_{\alpha_0}$ -component  $\mathcal{G} \in \mathcal{C}$  and  $\gamma < \alpha_0$  so that  $A \in \mathcal{G}(\lambda_\gamma)$  and hence  $A \in \mathcal{F}_{\gamma+1}^{**}$ . We also remark that (put  $\mathcal{F}_{\kappa+1}^* = \mathcal{F}_\kappa^*$ )

$$(5.4) \quad |\langle B \in \mathcal{F}_{\alpha+1}^* : A \cap B \neq \emptyset \rangle| \leq \lambda_\alpha \quad (\alpha \leq \kappa, A \in \mathcal{F}).$$

For, to each  $\rho \leq \kappa$  there is at most one large  $\lambda_\rho$ -component containing  $A$ , and

if  $|B| = \lambda_\rho$  and  $A, B$  are members of different large  $\lambda_\rho$ -components then  $A \cap B = \emptyset$ . Thus  $|\langle B \in \mathcal{F}_{\alpha+1}^* : A \cap B \neq \emptyset \rangle| \leq \kappa \cdot \lambda_\alpha = \lambda_\alpha$ .

We are going to define functions  $\varphi_\alpha$  for  $\alpha \leq \kappa$  by transfinite induction so that

- (i)  $\varphi_\alpha$  is a transversal of  $\mathcal{F}_{\alpha}^{**}$ , and
- (ii)  $\varphi_\alpha$  is an extension of  $\varphi_\gamma$  for  $\gamma < \alpha$ .

Then  $\varphi_\kappa$  will be a transversal of  $\mathcal{F} = \mathcal{F}_\kappa^{**}$  as required.

Let  $\alpha_0 \leq \kappa$  and assume that  $\varphi_\alpha$  has already been defined for  $\alpha < \alpha_0$  so that (i) and (ii) hold. If  $\alpha_0 = 0$ , then  $\mathcal{F}_{\alpha_0}^{**} = \mathcal{F}^{\leq \lambda_0}$  has a transversal  $\varphi_0$  by (5.1). If  $\alpha_0$  is a limit ordinal, then  $\varphi_{\alpha_0} = \bigcup \varphi_\alpha$  is a transversal of  $\mathcal{F}_{\alpha_0}^{**}$  of the required kind by (5.3) and (ii). It only remains to define  $\varphi_{\alpha_0}$  in the case when  $\alpha_0$  is a successor ordinal, say  $\alpha_0 = \alpha + 1$ .

First we show that

$$(5.5) \quad |A \cap \text{range}(\varphi_\alpha)| \leq \lambda_\alpha \quad (A \in \mathcal{F}).$$

We may assume  $A \in \mathcal{F}^{> \lambda_\alpha}$ . Then  $|A \cap S^{\leq \lambda_\alpha}| < \lambda_\alpha$  and each element  $x \in A \cap S^{\leq \lambda_\alpha}$  is a member of at most  $\lambda_\alpha$  different sets  $B \in \mathcal{F}$ . Therefore,

$$|\langle B \in \mathcal{F}^{\leq \lambda_\alpha} : A \cap B \neq \emptyset \rangle| \leq \lambda_\alpha.$$

This and (5.4) proves (5.5).

Put

$$\mathcal{F}_1 = \mathcal{F}^{\leq \lambda_{\alpha+1}} - \mathcal{F}_\alpha^{**}, \quad \mathcal{F}_2 = \mathcal{F}_{\alpha+1}^* - (\mathcal{F}_\alpha^{**} \cup \mathcal{F}_1).$$

Then  $\mathcal{F}_{\alpha+1}^{**}$  is the disjoint union of  $\mathcal{F}_\alpha^{**}$ ,  $\mathcal{F}_1$  and  $\mathcal{F}_2$ . The members of  $\mathcal{F}_1$  all have cardinality  $\lambda_{\alpha+1}$  and so, by (5.5) and Lemma 3 (ii), there is a transversal  $\psi_1$  of  $\mathcal{F}_1$  which is disjoint from  $\varphi_\alpha$ . We shall extend  $\varphi'_\alpha = \varphi_\alpha \cup \psi_1$  to a transversal of  $\mathcal{F}_{\alpha+1}^{**}$  by selecting suitable elements from each set  $F \in \mathcal{F}_2$ . We do this component by component.

Let  $\mathcal{C} = \{\mathcal{G}_\sigma : \sigma < \tau\}$ . Let  $\sigma < \tau$  and suppose we have already defined a transversal  $\chi$ , say, of  $\mathcal{G}_\sigma^* = \bigcup_{\rho < \sigma} \mathcal{G}_\rho(\lambda_\alpha) - \mathcal{F}_\alpha^{**} \cup \mathcal{F}_1$  which is disjoint from  $\varphi'_\alpha$ . If

$$A \in \mathcal{G}' = \mathcal{G}_\sigma(\lambda_\alpha) - (\mathcal{G}_\sigma^* \cup \mathcal{F}_\alpha^{**} \cup \mathcal{F}_1),$$

then  $|A| > \lambda_{\alpha+1}$ . Therefore,  $|A \cap S^{\leq \lambda_{\alpha+1}}| < \lambda_{\alpha+1}$  and so

$$|A \cap \text{range}(\psi_1)| \leq \lambda_\alpha.$$

Also, by (5.4) we have

$$|A \cap \text{range}(\chi)| \leq \lambda_\alpha.$$

These two inequalities together with (5.5) show that

$$(5.6) \quad |A \cap \text{range}(\varphi_\alpha \cup \psi_1 \cup \chi)| < \lambda_{\alpha+1} \quad (A \in \mathcal{G}').$$

Since  $|\mathcal{G}'| \leq \lambda_\alpha < |A|$  ( $A \in \mathcal{G}'$ ), it follows from (5.6) and Corollary 1 that  $\mathcal{G}'$  has a transversal  $\chi'$  disjoint from  $\varphi_\alpha \cup \psi_1 \cup \chi$ . It follows, by transfinite induction on  $\sigma < \tau$ , that  $\mathcal{F}_2$  has a transversal  $\psi_2$  disjoint from  $\varphi_\alpha \cup \psi_1$ . Then

$\varphi_{\alpha+1} = \varphi_\alpha \cup \psi_1 \cup \psi_2$  is a transversal of  $\mathcal{F}_{\alpha+1}^{**}$  which extends  $\varphi_\alpha$ . This completes the proof of Theorem 2.

**6. Proof of Theorem 3.** We assume the special case of this theorem (proved in [13; 2]):

(6.1) if  $\mathcal{F}'$  is a countable family of countable sets, then

$$\mathcal{F}' \in \mathcal{L} \Rightarrow \text{Trans}(\mathcal{F}') \neq \emptyset.$$

Now let  $\mathcal{F}$  be an arbitrary family satisfying condition  $\mathcal{L}$ . By Lemma 5 there is  $\mathcal{F}_1 \subset \subset \mathcal{F}$  such that  $\mathcal{F}_1^{\leq \omega}$  and  $\mathcal{F}_1^{> \omega}$  are strongly disjoint,  $\mathcal{F}_1^{\leq \omega} \in \mathcal{L}$  and  $\mathcal{F}_1^{> \omega} \in \mathcal{H}^+$ .

The  $\omega$ -components of  $\mathcal{F}_1^{\leq \omega}$  are countable and strongly disjoint and every such component has a transversal by (6.1).  $\mathcal{F}_1^{> \omega}$  has a transversal by Theorem 2. Therefore  $\mathcal{F}_1$ , and hence  $\mathcal{F}$ , has a transversal.

**7. A generalization.** We shall now prove a generalization of Theorem 3 using a different idea. A family  $\mathcal{F}$  has property  $\mathcal{P}$  if and only if the following three conditions are satisfied:

$$\mathcal{P}_1. \mathcal{F}^{< \omega} \in \mathcal{L};$$

$$\mathcal{P}_2. |\mathcal{F}^\lambda(x)| \leq \lambda \text{ for } x \in S(\mathcal{F}) \text{ and } \lambda \geq \omega;$$

$$\mathcal{P}_3. \text{ If } \lambda \text{ is inaccessible and } x \in S(\mathcal{F}), \text{ then } \{\mu < \lambda : \mathcal{F}^\mu(x) \neq \emptyset\} \text{ is a non-stationary subset of } \lambda.$$

It is clear that if  $\mathcal{F} \in \mathcal{L}$ , then  $\mathcal{F} \in \mathcal{P}$  (if  $\lambda$  inaccessible,  $x \in S(\mathcal{F})$  and  $\mathcal{F}^\kappa(x) \neq \emptyset$ , then  $|\{\mu < \lambda : \mathcal{F}^\mu(x) \neq \emptyset\}| \leq \kappa$ ). It is also easy to verify that

(7.1) if  $\mathcal{F} \in \mathcal{P}$  and  $g(F) \subset F$ ,  $|g(F)| = |F|$  ( $F \in \mathcal{F}$ ), then

$$\mathcal{F}_1 = \langle g(F) : F \in \mathcal{F} \rangle \in \mathcal{P}.$$

**THEOREM 4.**  $\mathcal{F} \in \mathcal{P} \Rightarrow \text{Trans}(\mathcal{F}) \neq \emptyset$ .

*Proof of Theorem 4.* For each infinite cardinal  $\mu$ , the  $\mu$ -components of  $\mathcal{F}$  are pairwise strongly disjoint. Every such component has cardinality  $\leq \mu$  and so, by Lemma 1, the  $\mu$ -sets of a  $\mu$ -component can be replaced by subsets of power  $\mu$  which are pairwise disjoint. By (7.1) the family thus obtained still enjoys property  $\mathcal{P}$ . So we may assume without loss of generality that

(7.2) if  $\lambda \geq \omega$  and  $A, B \in \mathcal{F}^\lambda$ ,  $A \neq B$ , then  $A \cap B = \emptyset$ .

As in the proof of Theorem 2, we shall prove the theorem by transfinite induction on  $\mu(\mathcal{F})$ . If  $\mu(\mathcal{F}) = \omega$ , then  $\mathcal{F} \in \mathcal{L}$  and  $\text{Trans}(\mathcal{F}) \neq \emptyset$  by Theorem 3. Suppose  $\mu(\mathcal{F}) = \lambda > \omega$ .

*Case 1.*  $\lambda = \kappa^+$ : By (7.2) the members of  $\mathcal{F}$  having power  $\kappa^+$  are pairwise disjoint. Therefore, if we replace every such set by a subset of power  $\omega$ , the resulting family  $\mathcal{F}_1$ , say, has property  $\mathcal{P}$  and  $\mu(\mathcal{F}_1) = \kappa$ . Thus  $\text{Trans}(\mathcal{F}_1) \neq \emptyset$  by the induction hypothesis and hence  $\text{Trans}(\mathcal{F}) \neq \emptyset$ .

*Case 2.  $\lambda = \mu(\mathcal{F})$  is singular:* Let  $\text{cf}(\lambda) = \kappa < \lambda$ , and let  $\langle \lambda_\rho : \rho \leq \kappa \rangle$  be a closed increasing sequence of ordinals

$$\kappa < \lambda_0 < \dots < \lambda_\kappa = \lambda = \lim_{\rho < \kappa} \lambda_\rho.$$

Form a new family  $\mathcal{F}_1$  from  $\mathcal{F}$  by replacing each set  $A \in \bigcup_{\rho \leq \kappa} \mathcal{F}^{\lambda_\rho}$  by a subset  $g(A) \subset A$  of power  $\kappa$ . Any element  $x \in S(\mathcal{F})$  belongs to at most  $\kappa$  new sets of power  $\kappa$  and so  $\mathcal{F}_1 \in \mathcal{P}$ . We may as well assume that  $\mathcal{F} = \mathcal{F}_1$ , i.e.

$$(7.3) \quad \bigcup_{\rho < \kappa} \mathcal{F}^{\lambda_\rho} = \emptyset.$$

If  $A \in \mathcal{F}^{\leq \lambda_\rho}$ , let  $\mathcal{G}_\rho(A)$  be the unique  $\lambda_\rho$ -component of  $\mathcal{F}$  which contains  $A$ ; if  $A \in \mathcal{F}^{> \lambda_\rho}$ , let  $\mathcal{G}_\rho(A) = \emptyset$ , the empty family. Then

$$\mathcal{G}_\rho(A) \subset \mathcal{G}_\sigma(A) \quad \text{for } \rho < \sigma \leq \kappa.$$

Also, by (7.3),

$$\mathcal{G}_\sigma(A) = \bigcup_{\rho < \sigma} \mathcal{G}_\rho(A) \quad \text{if } \sigma \text{ is a limit ordinal } \leq \kappa.$$

Put  $S_\rho(A) = S(\mathcal{G}_\rho(A))$  ( $\rho \leq \kappa$ ). Since  $|\mathcal{G}_\rho(A)| \leq \lambda_\rho$  and  $|B| < \lambda_\rho$  ( $B \in \mathcal{G}_\rho(A)$ ), it follows that  $|S_\rho(A)| \leq \lambda_\rho$  ( $\rho < \kappa$ ). For  $B \in \mathcal{F} - \mathcal{G}_\rho(A)$  we have that either (i)  $|B| \leq \lambda_\rho$  and  $B \cap S_\rho(A) = \emptyset$  or (ii)  $|B| > \lambda_\rho$ . Therefore, by (7.1),

$$\mathcal{G}_\rho^*(A) = \langle B - S_\rho(A) : B \in \mathcal{G}_{\rho+1}(A) - \mathcal{G}_\rho(A) \rangle \in \mathcal{P}$$

for  $\rho < \kappa$ . Now  $\mathcal{G}_0(A)$  has a transversal and so does  $\mathcal{G}_\rho^*(A)$  ( $\rho < \kappa$ ) since  $\mu(\mathcal{G}_\rho^*(A)) \leq \lambda_{\rho+1} < \lambda$ . Therefore, since the families  $\mathcal{G}_0(A)$ ,  $\mathcal{G}_\rho^*(A)$  ( $\rho < \kappa$ ) are pairwise strongly disjoint, the family

$$\mathcal{G}'(A) = \mathcal{G}_0(A) \cup \bigcup_{\rho < \kappa} \mathcal{G}_\rho^*(A)$$

has a transversal. This clearly implies that the  $\lambda$ -component,  $\mathcal{G}_\kappa(A)$ , containing  $A$  also has a transversal. This holds for any  $A \in \mathcal{F}$  and so  $\mathcal{F}$  has a transversal since the  $\lambda$ -components of  $\mathcal{F}$  are strongly disjoint.

*Case 3.  $\lambda$  is weakly inaccessible:* Since the  $\lambda$ -components of  $\mathcal{F}$  are strongly disjoint, we may assume that  $\mathcal{F}$  has but a single  $\lambda$ -component. Then  $|\mathcal{F}| \leq \lambda$  and  $|S(\mathcal{F})| \leq \lambda$  and so we can assume further that  $\mathcal{F}$  is a family of subsets of  $\lambda$ . (As usual, an ordinal is the set of all smaller ordinals.) Now by (7.2) the members of  $\mathcal{F}$  which have power  $\lambda$  are pairwise disjoint and, if we replace these by subsets of power  $\omega$ , the resulting family still has property  $\mathcal{P}$ . Thus we can assume that

$$(7.4) \quad |A| < \lambda \quad (A \in \mathcal{F}).$$

By  $\mathcal{P}_3$ , for each  $x \in \lambda$  there is a function  $f_x : \lambda \rightarrow \lambda$  such that

$$(7.5) \quad \begin{aligned} f_x(\alpha) &\leq \alpha \quad (\alpha < \lambda), \\ x &\leq f_x(|A|) < |A| \quad (A \in \mathcal{F}(x), |A| > x), \\ |\{\alpha < \lambda : f_x(\alpha) = \gamma\}| &< \lambda \quad (\gamma < \lambda). \end{aligned}$$

We now define a function  $g : \lambda \rightarrow \lambda$  by putting

$$g(\alpha) = \sup (\alpha \cup \{y \in \lambda : (\exists x < \alpha)(\exists A \in \mathcal{F}(x))(y \in A \text{ and } f_x(|A|) < \alpha)\}).$$

(If  $C$  is a set of ordinals then  $\sup C$  is the smallest ordinal  $\beta$  such that  $\beta > \gamma$  for all  $\gamma \in C$ .) We immediately have from the definition of  $g$ , (7.5) and  $\mathcal{P}_2$ , that

$$(7.6) \quad \alpha \leq g(\alpha) \leq g(\beta) < \lambda \quad \text{for } \alpha < \beta < \lambda.$$

If  $\alpha$  is a limit ordinal such that  $g(\gamma) < \alpha$  for all  $\gamma < \alpha$ , then  $g(\alpha) = \alpha$ . Put

$$C = \{0\} \cup \{\alpha < \lambda : \alpha \text{ a limit ordinal, } g(\alpha) = \alpha\}.$$

Now  $C$  is a cofinal subset of  $\lambda$ . For if  $\gamma < \lambda$ , put  $\alpha_0 = \gamma$ ,  $\alpha_{n+1} = g(\alpha_n + 1)$  ( $n < \omega$ ). Then  $\gamma < \alpha = \lim_{n < \omega} \alpha_n$  and  $\alpha \in C$ . Therefore, we may write

$$C = \{\beta_\nu : \nu < \lambda\},$$

where  $0 = \beta_0 < \beta_1 < \dots < \lambda = \lim_{\nu < \lambda} \beta_\nu$  and  $\beta_\nu$  is a limit ordinal satisfying  $g(\beta_\nu) = \beta_\nu$  ( $\nu < \lambda$ ).

We will prove that, for  $A \in \mathcal{F}$  there is  $\nu = \nu(A) < \lambda$  such that

$$(7.7) \quad |A \cap [\beta_\nu, \beta_{\nu+1})| = |A|.$$

Let  $x$  be the first element of  $A$ . If  $|A| \leq x$ , then  $f_x(|A|) \leq x$  and hence  $A \subset [x, g(x+1))$ . Now there is  $\nu < \lambda$  such that  $x \in [\beta_\nu, \beta_{\nu+1})$ . Then  $g(x+1) \leq \beta_{\nu+1} = g(\beta_{\nu+1})$  and (7.7) holds. Now suppose that  $|A| > x$ . There is  $\nu < \lambda$  such that  $f_x(|A|) \in [\beta_\nu, \beta_{\nu+1})$ . Hence, there is  $\gamma$  such that

$$x \leq f_x(|A|) < \gamma < \beta_{\nu+1}.$$

Then  $A \subset [x, g(\gamma))$ . Since  $g(\gamma) < \beta_{\nu+1}$  and  $\beta_\nu \leq f_x(|A|) < |A|$ , we again obtain (7.7).

By (7.7) and (7.1) we can replace each set  $A \in \mathcal{F}$  by the subset  $g(A) = A \cap [\beta_\nu, \beta_{\nu+1})$  to obtain a family  $\mathcal{F}_1$  also with property  $\mathcal{P}$ . For  $A \in \mathcal{F}$ , if  $\mathcal{G}(A)$  is the  $\lambda$ -component of  $\mathcal{F}_1$  containing  $g(A)$ , then  $\mathcal{G}(A)$  is a family of subsets of  $[\beta_{\nu(A)}, \beta_{\nu(A)+1})$ . Thus  $\mu(\mathcal{G}(A)) < \lambda$  and so  $\mathcal{G}(A)$  has a transversal. Since different  $\lambda$ -components of  $\mathcal{F}_1$  are strongly disjoint, it follows that  $\mathcal{F}_1$  (and hence  $\mathcal{F}$ ) has a transversal.

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