# JONSSON ALGEBRAS IN SUCCESSOR CARDINALS

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#### ABSTRACT

We shall show here that in many successor cardinals  $\lambda$ , there is a Jonsson algebra (in other words  $\operatorname{Jn}(\lambda)$ , or  $\lambda$  is not a Jonsson cardinal). In connection with this we show that, e.g., for every ultrafilter D over  $\omega$ , in  $(\omega_{\omega_0} <)^{\omega}/D$  there is no increasing sequence of length  $\aleph_{(2^{\mu_0})^*}$ . On Jonsson algebras see e.g. [1]; for successor  $\lambda^+ = 2^{\lambda}$  there is a Jonsson algebra,  $\operatorname{Jn}(\lambda) \Rightarrow \operatorname{Jn}(\lambda^+)$  (due to Chang, Erdös and Hajnal) and even in  $2^{\mu_\alpha} = \aleph_{\alpha+n}$  ([3]). We give here a method to prove, e.g.,  $\operatorname{Jn}(\aleph_{\omega+1})$  when  $2^{\mu_0} \leq \aleph_{\omega+1}$  and  $\operatorname{Jn}(2^{\mu_0})$  when  $2^{\mu_0} = \aleph_{\alpha+1}$ ,  $\alpha < \omega_1$ ; and similar results for higher cardinals.

QUESTIONS. (1) Does  $Jn(\aleph_{\omega+1})$  always hold?

- (2) Does  $Jn(\lambda^+)$  always hold, or at least when  $(\lambda^+)^{\mu_0} = \lambda^+$ ?
- (3) Does always  $\aleph_{\omega+1} \in P \operatorname{cf} \langle \aleph_n : n < \omega \rangle$ ?

DEFINITION 1. (A) A Jonsson algebra is an algebra M, with countably many operations (finitary, of course), which has no proper subalgebra of the same cardinality. A Jonsson model is a model with countably many relations and operations which has no proper elementary submodel of the same cardinality.

(B)  $Jn(\lambda)$ , or  $\lambda$  is not a Jonsson cardinal if there is a Jonsson algebra of cardinality  $\lambda$ . This is equivalent to the existence of a Jonsson model (expand by Skolem functions).

CONVENTION 2. (A) We do not distinguish between a model and its universe; and unless stated otherwise a model has only countably many operations and relations.

(B) For simplicity we restrict ourselves to models of the form  $M_{\lambda}$ , where  $M_{\lambda}^{1}$  will be  $(H(\lambda^{*}), \in)$  for  $\lambda^{*} > \lambda$  (e.g.  $(2^{\lambda})^{+}$ )  $(H(\lambda^{*})$  is the family of sets whose

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transitive closure has cardinality  $\langle \lambda^* \rangle$ ; let  $M_{\lambda}^2$  be an elementary submodel of  $M_{\lambda}^1$  of cardinality  $\lambda, \lambda + 1 \subseteq M_{\lambda}^2$ , and  $M_{\lambda} = (M_{\lambda}^2, \in, F)$  where F is a one-to-one function from  $\lambda$  onto  $M_{\lambda}^2$ . So M will denote some  $M_{\lambda}$ .

Notice that  $Jn(\lambda)$  implies that any  $M_{\lambda}$  is a Jonsson model (proof as for 4A). If there is a Jonsson algebra  $\mathfrak{A} = (\lambda, f_i)_{i \in \omega}$  then  $\mathfrak{A} \in M_{\lambda}^1$ , thus  $M_{\lambda}^1 \models$  "there is a Jonsson algebra on  $\lambda$ ". By way of contradiction, assume there is a  $N < M_{\lambda}, N \neq M_{\lambda}, ||N|| = \lambda$ . Clearly (since  $\lambda$  is definable in  $M_{\lambda}$  as sup Dom F)  $\lambda \in N$ and  $N \models$  "there is a Jonsson algebra on  $\lambda$ ". Let  $\mathscr{B}$  be such an algebra but  $\mathscr{B} \cap N < \mathscr{B}, \mathscr{B} \cap N \neq \mathscr{B}$  (for  $\lambda \not \subseteq N$ ) and  $||\mathscr{B} \cap N|| = ||\lambda \cap N|| = \lambda$ . This is a contradiction to  $\mathscr{B}$  being Jonsson.

DEFINITION 3. (A) For sets  $S_1, S_2$  of cardinals, and a cardinal (or ordinal)  $\mu, S_1 \rightarrow S_2[\mu]$  means that for every M (as in 2B) and N < M, if

(i)  $\mu + 1 \subseteq N$  (for  $\mu = \aleph_0$  this is empty),

(ii) for every  $\lambda \in S_1$ ,  $|\lambda \cap N| = \lambda$ ,

(iii)  $S_1 \subseteq N$  (if each  $\lambda \in S_1$  is a successor, this follows by (ii)),

(iv)  $S_1, S_2 \in N$ ,

then for some  $\lambda \in S_2$ ,  $|\lambda \cap N| = \lambda$  and  $\lambda \in N$ . (The interesting case is  $\sup S_1 \ge \sup S_2 + \mu$ .)

(B) When  $S_l = \{\lambda\}$  we write  $\lambda$  instead of  $S_l$ , and instead of  $S_l^1 \cup S_l^2$  we write  $S_l^1, S_l^2$ . Note that in 3(A) we can replace  $S_l$  by a sequence, and nothing changes.

For Notational simplicity let  $\sup S = \bigcup \{\lambda + 1 : \lambda \in S\}$ .

OBSERVATION 4. (A)  $S_1 \rightarrow S_2[\mu]$  iff (\*) iff (\*\*), where

(\*) There is a model  $N_0$ ,  $\sup S_1 \subseteq N_0$ ,  $N_0$  has  $\leq |\mu|$  operations and relations and if  $N < N_0$ ,  $|N \cap \lambda| = \lambda$ ,  $\lambda \in N$  for each  $\lambda \in S_1$  then  $|N \cap \lambda| = \lambda$ ,  $\lambda \in N$  for some  $\lambda \in S_2$ .

(\*\*) There is a model  $N_0$  as in (\*) with universe  $\sup S_1$ .

(B) In Definition 3A(i) we can demand only  $\mu \subseteq N$  or even  $|\mu| \subseteq N$  for  $\mu$  ordinal.

(C) In Definition 3A we can demand M to vary only on  $M_{\lambda} < H(\lambda^*)$  where  $\lambda = \sup S_1$  and  $\lambda^* > \lambda$  is a constant, and demand some specific elements  $\in M_{\lambda}$ .

PROOF.  $S_1 \rightarrow S_2[\mu] \Rightarrow (*)$ : take  $\lambda = \sup S_1, N_0 = (M_\lambda, S_1, S_2, i)_{i \leq \mu}$ .

 $(*) \Rightarrow (**)$ : take  $N_0$  as in (\*). Since any  $N_1 < N_0$  s.t. Sup  $S_1 \subseteq N_1$  satisfies (\*) we can assume  $||N_0|| = \text{Sup } S_1$ . Add Skolem functions to  $N_0$  and add a name to each formula, getting a model  $N_1$  satisfying (\*). Take  $N_2 = N_1 || \text{Sup } S_1$ . We show  $N_2$  satisfies (\*\*). Let  $N'_2 < N_2$  such that  $(\forall \lambda \in S_1)$  ( $\lambda \in N'_2 \land |\lambda \cap N'_2| = \lambda$ ); take  $N'_0$ —the Skolem closure of  $N'_2$  in  $N_0$ . By (\*) for  $N_0$  there is  $\lambda \in S_2$  s.t.  $\lambda \in N'_0$  and  $|\lambda \cap N'_0| = \lambda$ . Since  $|N'_0| \cap \text{Sup } S_1 = |N'_2|$  we have  $\lambda \in S_2$  s.t.  $\lambda \in N'_2$  and  $|\lambda \cap N'_2| = \lambda$ .

 $(**) \Rightarrow S_1 \rightarrow S_2[\mu]$ . Suppose  $N_0$  is as in (\*\*), and with minimal  $\mu$  (for the given  $S_1, S_2$ ); hence  $\mu \in M_{\lambda}$ . Suppose  $N < M_{\lambda}$ , as in 3(A). Now  $N_0 \in M_{\lambda}^1$ , but as  $M_{\lambda}^2 < M_{\lambda}^1$ , w.l.o.g.  $N_0 \in M_{\lambda}^2$ , and even  $N_0 \in N$ . So  $N_0^*$ , the submodel of  $N_0$  with universe  $N_0 \cap N = \{a : N \models a \in N_0^*\}$ , has universe  $N \cap \sup S_1$  and  $N_0^* < N_0$ .

By the hypothesis of 3(A), the hypothesis of (\*) holds, so for some  $\lambda \in S_2$ ,  $\lambda \cap N_0^* = \lambda \in N_0^*$  hence  $|\lambda \cap N| = \lambda \in N$ , so we finish.

(B), (C) Easy from (A).

The basis of our proof is the following

OBSERVATION 5. (A) If  $\lambda \to \mu^+[\aleph_0]$  for every  $\mu < \lambda$ , then  $Jn(\lambda)$ .

(B) If  $\aleph_{\alpha} \to \mu^{+}[\mu]$  for every  $\mu < \aleph_{\alpha}$  and  $\alpha \subseteq N < M_{\aleph_{\alpha}}, ||N|| = \aleph_{\alpha}$  then  $N = M_{\aleph_{\alpha}}$ .

(C) If  $N < M_{\lambda}$ ,  $||N|| = \lambda$ , and for each  $\mu \in N$ ,  $\mu < \lambda$ ,  $|N \cap \mu^+| = \mu^+$  then  $N = M_{\lambda}$ .

(D) If  $\operatorname{Jn}(\lambda)$ , then  $\lambda \to \kappa [\aleph_0]$  for every  $\kappa \leq \lambda$ .

PROOF. (A) By (C); let  $N < M, ||N|| = \lambda$ , now  $\mu \in N$  implies  $\mu^+ \in N$ , so by a hypothesis  $|N \cap \mu^+| = \mu^+$ .

(B) Like (C), as for  $\mu < \lambda, \mu = \aleph_{\beta}$  for some  $\beta < \alpha$  hence  $\mu \in N$ .

(C) Because of the function F it suffices to prove  $\lambda \subseteq N$ , and we know  $|N \cap \lambda| = \lambda$ .

Let  $\mu$  be a maximal cardinality for which  $\mu \subseteq N$ . If  $\mu = \lambda$  we finish, and if  $\mu \in N$  then by a hypothesis  $|N \cap \mu^+| = \mu^+$ , but then  $\mu^+ \subseteq N$  (there is  $f = f^{\mu} \in N$ , such that for every  $\beta < \mu^+, x \mapsto f(\beta, x)$  is a map from  $\mu$  onto  $\beta$ ; so for each  $\alpha < \mu^+$ , there is  $\beta \in N, \alpha < \beta < \mu^+$ , so for some  $\gamma < \mu, f(\beta, \gamma) = \alpha$ , hence  $\alpha \in N$ ). So  $\mu \notin N$ . Choose a minimal  $\alpha, \mu \leq \alpha \in N$ ; as  $|\alpha| \in N, \alpha$  is a cardinal. Clearly  $\alpha < \lambda$  (as  $||N|| = \lambda$ , and by F) so  $|\alpha|^+ \in N$ , hence  $|N \cap |\alpha|^+| = \alpha|^+$ , so for some  $\gamma \in N, \alpha < \gamma < |\alpha|^+, |N \cap \gamma| = |\alpha| > \mu$ , using  $f^{|\alpha|}(\gamma, x)$  we get a contradiction.

(D) By 4(\*).

LEMMA 6. (A) If  $S_0 \rightarrow S_1[\mu]$ , and for each  $\kappa \in S_1$   $S_0, \kappa \rightarrow S_2[\mu]$  then  $S_0 \rightarrow S_2[\mu]$ .

(B) If  $\lambda_i$  ( $i \leq \alpha$ ) is an increasing sequence of cardinals, and  $\lambda_i \rightarrow \{\lambda_j : j < i\}[\mu]$ then  $\lambda_{\alpha} \rightarrow \lambda_0[\mu]$  (we can replace the assumption by : for every *i* for some nonempty  $S_i \subseteq \{\lambda_i : j < i\}, \lambda_i \rightarrow S_i[\mu]$ ).

(C) The relation  $S_1 \rightarrow S_2[\mu]$  is preserved under increasing  $S_1, S_2$  and  $\mu$ .

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If

**PROOF.** (A) By 4(\*) there is a model on  $\lambda = \sup S_0$  with  $\leq \mu$  relations demonstrating that  $S_0 \rightarrow S_1[\mu]$ . Add to this model  $\mu$  relations demonstrating for every  $\kappa \in S_1$ :  $S_0, \kappa \to S_2[\mu]$ . The resulting model shows  $S_0 \to S_2[\mu]$ .

(B), (C) Similar proofs.

By 5 and 6(B), in order to prove the existence of Jonsson algebras it suffices to prove enough cases of the form  $\lambda \to S[\aleph_0]$ .

LEMMA 7. (A)  $\lambda^+ \rightarrow \lambda [\mathbf{N}_0]$  (hence by 6(A)  $\mathbf{N}_{\alpha+n} \rightarrow \mathbf{N}_{\alpha} [\mathbf{N}_0]$ ). (B)  $\lambda \rightarrow \mathrm{cf} \lambda [\mathbf{N}_0]$ . (C)  $2^{\lambda} \rightarrow \lambda [\aleph_0]$  when  $2^{\mu} < 2^{\lambda}$  for every  $\mu < \lambda$ . (D)  $\lambda \to \{\lambda_i : i < \delta\}[\delta]$  if  $\lambda_i < \lambda, \lambda \in P \operatorname{cf}(\lambda_i : i < \delta)$  (see below).  $\lambda \in P \operatorname{Sc}_D(\lambda_i: i < \delta)$ , we can strengthen the demand in 3(A) to  $\{i: |N \cap \lambda_i| \neq \emptyset$ mod D.

DEFINITION 8. (A)  $\lambda \in P \operatorname{Sc}_D \overline{\lambda}$  ( $\lambda$  is a possible scale for  $\overline{\lambda}$ ), where  $\overline{\lambda} =$  $\langle \lambda_i : i < \delta \rangle$ , D a filter over  $\delta$ , D  $\supseteq$  D( $\delta$ ) = {A  $\subseteq \delta$ :  $\delta - A$  bounded}, if  $\lambda$ ,  $\lambda_i$  are regular cardinals or 1 and there are functions  $f_{\alpha}(\alpha < \lambda)$  exemplifying it, i.e.

- (a)  $f_{\alpha}(i) < \lambda_i$  for  $i < \delta$ , and Dom  $f_{\alpha} = \delta$  (that is  $f_{\alpha} \in \prod_{i < \delta} \lambda_i$ ),
- (b)  $f_{\alpha} \leq D_{\beta} f_{\beta}$  for  $\alpha < \beta$  (this means that  $\{i: f_{\alpha}(i) \leq f_{\beta}(i)\} \in D$ ),
- (c) we cannot define  $f_{\lambda}$  satisfying (a) and (b).
- (B)  $\lambda \in P \operatorname{cf} \overline{\lambda}$  iff  $\lambda \in P \operatorname{Sc}_{D} \overline{\lambda}$  for some ultrafilter D over  $\delta$ .
- (C)  $\lambda \in P \operatorname{Sc} \overline{\lambda}$  if  $\lambda \in P \operatorname{Sc}_{D(\delta)} \overline{\lambda}$

(D)  $\overline{\lambda}$  is D trivial if  $\{i: \lambda_i = 1\} \in D$ ; we always assume  $\overline{\lambda}$  is not D-trivial.

OBSERVATION 9. (A) If  $\lambda \in P \operatorname{Sc}_{D} \overline{\lambda}, \overline{\lambda} = \langle \lambda_{i} : i < \delta \rangle, 2^{|\delta|} < \lambda$ , then  $\lambda \in P \operatorname{cf} \overline{\lambda}$ . (B)  $\lambda \in P \operatorname{Sc}_D(\lambda_i : i < \delta)$  is equivalent to  $\lambda = \operatorname{cf}[\prod_{i < \delta} \lambda_i / D]$ , for D an ultrafilter.

(C) Suppose  $h: \delta^1 \to \delta^2, h_1: \delta^2 \to \delta^1, D_1$  a filter over  $\delta'$ ,

$$\{i < \delta^1: \lambda_i \ge \mu_{h(i)}\} \in D_1, A \in D_2 \Rightarrow \{i: h(i) \in A\} \in D_1,$$

$$\{j: hh_1(j) = j, \lambda_{h_1(j)} = \mu_j\} \in D_2$$

and  $\delta^2 - A \notin D_2 \Rightarrow \delta^1 - \{h_1(j) : j \in A\} \notin D_1$ . Then  $\mu \in P \operatorname{Sc}_{D_2}\langle \mu_i : j < \delta^2 \rangle$  implies  $\mu \in P \operatorname{Sc}_{D_1}(\lambda_i: i < \delta^1)$ .

(D)  $\lambda \to \{\lambda_i : i < \delta\}[\delta]$  if  $\lambda \in P \operatorname{Sc}_D(\lambda_i : i < \delta)$ .

PROOF OF LEMMA 7. (A), (B), (C). Immediate.

(D) Let M, N be as in Definition 3 (so  $\lambda$ , { $\lambda_i$ :  $i < \delta$ }  $\in N$ ,  $\delta + 1 \subseteq N$ ). W.l.o.g.  $\langle \lambda_i : i < \delta \rangle \in N, D \in N$  (by 4C); so there is  $\langle f_\alpha : \alpha < \lambda \rangle \in N$  exemplifying  $\lambda \in P \operatorname{Sc}_{D} \langle \lambda_{i} : i < \delta \rangle$ . As  $\delta + 1 \subseteq N$ ,  $\lambda_{i} \in N$  for each *i*. If for each  $i | N \cap \lambda_{i} | < \lambda_{i}$ .

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then  $A_i = \{f_{\alpha}(i): \alpha \in N \cap \lambda\}$  is a subset of  $\lambda_i$  of cardinality  $<\lambda_i$ , so by  $\lambda_i$ 's regularity it has an upper bound  $<\lambda_i$  which we call  $f_{\lambda}(\alpha)$ . It follows that for  $\alpha \in N f_{\alpha} <_{D(\delta)} f_{\lambda}$  hence  $f_{\alpha} <_{D} f_{\lambda}$ : as  $|N \cap \lambda| = \lambda$ , and  $<_{D}$  is transitive  $f_{\alpha} <_{D} f_{\lambda}$  for each  $\alpha < \lambda$ ; a contradiction.

Now we shall prove some cases of  $\lambda \in P \operatorname{Sc} \overline{\lambda}$ .

LEMMA 10. (A) Let  $\lambda_i$   $(i < \delta)$  be increasing,  $\delta < \lambda_* = \sum_{i < \delta} \lambda_i$ , each  $\lambda_i$  a successor (at least for i limit or for an unbounded set of i's), then for any  $f_{\alpha} \in \prod_{i < \delta} \lambda_i$   $(\alpha < \lambda_*)$  there is an upper bound in  $\prod_{i < \delta} \lambda_i / D(\delta)$ . Hence  $\lambda \in P \operatorname{cf}_D(\lambda_i: i < \delta)$  implies  $\lambda > \lambda_*$ .

(B)  $\lambda \in P \operatorname{cf}_D \langle \lambda_i : i < \delta \rangle$  implies  $\lambda \leq \prod_{i < \delta} \lambda_i$  (as cardinals).

(C) For every  $\lambda$ , D, for some  $\lambda$ ,  $\lambda \in P \operatorname{Sc}_D \langle \lambda_i : i < \delta \rangle$ .

(D) If  $|\prod_{i<\delta}\lambda_i/D| = \lambda_*^+$ ,  $D \supseteq D(\delta)$  and the assumption of (A) holds then  $\lambda_*^+ \in P \operatorname{Sc}_D \overline{\lambda}$ .

**PROOF.** Immediate (in (A) choose f such that  $|\alpha|^+ < \lambda_i$  implies  $f_{\alpha}(i) < f(i)$ ).

LEMMA 11. Suppose  $\overline{\lambda} = \langle \lambda_i : i < \kappa \rangle$ ,  $\kappa$  regular  $\langle \lambda_* = \sum_{i < \kappa} \lambda_i$ ,  $\lambda_i$  is increasing. (A) If  $\lambda \in P \operatorname{Sc}_D \overline{\lambda}$ ,  $\lambda_* < \mu < \lambda$ ,  $\mu$  regular,  $D \bowtie_1$ -complete or  $2^{\kappa} < \mu$  then  $\mu \in P \operatorname{Sc}_D \langle \lambda_i' : i < \kappa \rangle$  for some  $\lambda_i' \leq \lambda_i$ ,  $\langle \lambda_i' : i < \kappa \rangle$  is not D-trivial.

(B) In (A), instead of  $\lambda \in P \operatorname{Sc}_D \overline{\lambda}$  it suffices to assume: in  $\prod_{i < \kappa} \lambda_i / D$  there is a  $<_D$ -increasing sequence of length  $\mu$  (or even  $\leq_D$ -increasing, if it is not eventually constant by  $=_D$ ).

(C) Note that in (A) and (B) if  $\lambda_i^* < \lambda_* \leq \mu$  (for every i) then  $\sum_{i < \kappa} \lambda_i' = \lambda_*$ .

(D) If  $\kappa > \aleph_0$  or  $2^{\aleph_0} \le \lambda_*$  then  $\mu = \lambda_*^+$  satisfies the requirement on  $\mu$  in (A) for  $D = D(\delta)$ . (In the first case D is  $\aleph_1$ -complete and in the second  $2^{\kappa} < \mu$ .)

PROOF. (A) follows from (B).

(B) Let  $f_{\alpha}$  ( $\alpha < \mu$ ) be  $<_D$ -increasing (in  $\prod_{i < \kappa} \lambda_i / D$ ) s.t. ( $\forall \alpha < \mu$ ) ( $\exists \beta < \mu$ ) ( $\alpha < \beta \land \neg f_{\alpha} = {}_D f_{\beta}$ ). If they would exemplify  $\mu \in P \operatorname{Sc}_D \overline{\lambda}$ , we finish. Otherwise we shall show that

(\*) there is  $f \in \prod_{i < \kappa} \lambda_i / D$  such that  $f_{\alpha} \leq_D f$ , for  $\alpha < \mu$ , but for no g is  $f_{\alpha} \leq_D g <_D f$  for every  $\alpha < \mu$ .

Now (\*) is sufficient, for let  $\lambda'_i = \operatorname{cf} f(i)$ ,  $A_i \subseteq f(i)$  a close unbounded set of order-type  $\operatorname{cf} f(i)$ ,  $A_i = \{\alpha(i,j): j < \lambda'_i\}$  ( $\alpha(i,j)$  increasing with j) (if f(i) is a successor ordinal  $\lambda'_i = 1$ ).

Let  $f'_{\alpha}(i) = \min\{j: \alpha(i, j) \ge f_{\alpha}(i)\}$ , then  $f'_{\alpha} \quad (\alpha < \mu)$  exemplify  $\mu \in P \operatorname{Sc}_{D} \langle \lambda'_{i}: i < \kappa \rangle$ ,  $\langle \lambda'_{i}: i < \kappa \rangle$  is not *D*-trivial, as otherwise we find g contradicting (\*). Let us prove (\*).

Case (i). D is  $\aleph_1$ -complete.

In this case  $<_D$  is well-founded, as we assume there is  $f \in \prod_{i < \kappa} \lambda_i / D$ ,  $f_{\alpha} \leq_D f$  for every  $\alpha < \mu$ , there is one as required.

Case (ii).  $2^{\star} < \mu$ .

It is well known that there is no decreasing sequence of length  $(2^{\kappa})^*$  in  $<_D$ . So define by induction on  $\gamma f^{\gamma} \in \prod_{i < \kappa} \lambda_i$ , such that  $\beta < \gamma \Rightarrow f^{\gamma} <_D f^{\beta}$ , and  $\alpha < \mu \Rightarrow f_{\alpha} \leq_D f^{\gamma}$ . Now  $f^0$  exists by an assumption in the beginning of the proof. So there is a first  $\gamma_0$  for which  $f^{\gamma_0}$  is not defined. We shall now prove  $\gamma_0$  is a successor so  $f^{\gamma_0-1}$  is as required. As mentioned above  $\gamma_0 < (2^{\kappa})^+$ . Let  $P_i = \{f^{\gamma}(i); \gamma < \gamma_0\} \subseteq \lambda_i$ , so  $P_i \mid \leq 2^{\kappa}$ . Let  $(\prod_{i < \kappa} \lambda_i / D, \leq, P) = \prod_i (\lambda_i, \leq_D, P_i) / D$  so  $|P| \leq \prod_{i < \kappa} P_i \mid \leq 2^{\kappa}$ . Now  $2^{\kappa} < \mu, \mu$  regular so for some  $\alpha_0 < \mu$ , for every  $a \in P$ , and  $\alpha_0 \leq \alpha < \mu, f_{\alpha_0} \leq_D a \Leftrightarrow f_{\alpha} \leq_D a$ . Now

$$(\lambda_i, \leq, P_i) \models (\forall x) [(\exists z)(P(z) \land x \leq z) \rightarrow (\exists y) [(P(y) \land x \leq y) \land (\forall z)(P(z) \land x \leq z \rightarrow y \leq z)]].$$

This is a Horn sentence, so  $(\prod_{i < \kappa} \lambda_i / D, \leq_D, P)$  satisfies it, so taking  $f_{\alpha_0}$  for x the antecedent holds  $(z = f^0)$  so we get f for y. So  $f_{\alpha_0} \leq_D f$  hence for every  $\alpha f_{\alpha} \leq_D f$  by the choice of  $f_{\alpha_0}$ ; also  $f \leq_D f^{\gamma}$  as  $(\prod_{i < \kappa} \lambda_i / D, \leq_D, P) \models P(f^{\gamma}) \land f_{\alpha(0)} \leq_D f^{\gamma}$ . Clearly f is as required.

(C), (D) left to the reader.

CONCLUSION 12. For  $\aleph_{\delta}$  singular, D an ultrafilter over cf  $\delta$ , in  $(\omega_{\delta}, <)^{ct\delta}/D$  there is no increasing sequence of length  $\aleph_{\gamma}$  where  $\gamma = (|\delta|^{ct\delta}/D)^{+}$ .

**PROOF.** Otherwise for every  $\beta < \gamma$ ,  $\beta$  successor,  $\beta > \delta$  there are  $\alpha(\beta, i) < \delta$ ( $i < \operatorname{cf} \delta$ ) such that  $\operatorname{cf}[\prod_{i < \delta} (\omega_{\alpha(\beta, i)}, <)/D] = \aleph_{\beta}$  (by 11A, 9A) but the number of possible  $\langle \alpha(\beta, i) : i < \operatorname{cf} \delta \rangle$  is  $\leq |\delta|^{\operatorname{cf} \delta}/D$ , contradiction.

This has relation to Galvin and Hajnal [2], but 12 is applicable when  $cf \delta = \aleph_0$  too. In fact

CLAIM 13. If  $\aleph_{\delta}$  is singular,  $\mathrm{cf}\,\delta > \aleph_{0}, \mu \leq \aleph_{\delta}^{\mathrm{cf}\,\delta}$  regular,  $(\forall \alpha < \delta)(\forall k < \mathrm{cf}\,\delta)\aleph_{\alpha}^{k} < \aleph_{\delta}$  then for some  $\alpha(i) < \delta, \mu \in P\operatorname{Sc}\langle\aleph_{\alpha(i)}: i < \delta\rangle$ .

If  $\beta(i)$  ( $i < cf \delta$ ) are increasing and continuous with limit  $\delta$ , for  $\mu = \aleph_{\delta+1}$  we can choose  $\alpha(i) = \beta(i) + 1$  provided that  $\prod_{i < j} \aleph_{\alpha(i)} \leq \aleph_{\alpha(j)}$ .

We can now apply our theorems.

CONCLUSIONS 14. (A)  $\operatorname{Jn}(\aleph_{\omega+1})$  if  $2^{\aleph_0} \leq \aleph_{\omega+1}$ .

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PROOF. (A) First note that for any non-principal ultrafilter D over  $\omega$ ,  $\mathbf{N}_{\omega^{+1}} \in P \operatorname{Sc}_D \langle \mathbf{N}_{n(k)} : k < \omega \rangle$  (for some  $n(k) < \omega$ ) (if  $2^{\mathbf{N}_0} = \mathbf{N}_{\omega^{+1}}$ , by 10(D), otherwise for some  $\lambda$ ,  $\lambda \in P \operatorname{Sc}_D \langle \mathbf{N}_n : n < \omega \rangle$ ; by 10(A)  $\lambda > \mathbf{N}_{\omega}$ , by 11A  $\mathbf{N}_{\omega^{+1}} \in P \operatorname{Sc}_D \langle \mathbf{N}_{n(k)} : k < \omega \rangle$  for some n(k)). For a given  $m < \omega$ , we can assume  $n(k) \ge m$  (as  $\{k : n(k) < m\} \notin D$ ), by 7(D)  $\mathbf{N}_{\omega^{+1}} \rightarrow \{\mathbf{N}_{n(k)} : k < \omega\} [\mathbf{N}_0]$ . As  $\mathbf{N}_n \rightarrow \mathbf{N}_m [\mathbf{N}_0]$  for  $n \ge m$  (by 7A), by 6(A)  $\mathbf{N}_{\omega^{+1}} \rightarrow \mathbf{N}_m [\mathbf{N}_0]$ . So by 5(A)  $\operatorname{Jn}(\mathbf{N}_{\omega^{+1}})$ .

(B) Left to the reader.

CONCLUSION 15. Jn  $(2^{\mu_0})$  if  $2^{\mu_0} = \aleph_{\alpha+1}, \alpha < \omega_1$ .

PROOF. Let  $\beta \leq \alpha$  and we shall prove  $\aleph_{\alpha+1} \rightarrow \aleph_{\beta+1}[\aleph_0]$  (this is sufficient by 5A). We define increasing  $\beta(i) \leq \alpha + 1$ , and  $S_i \subseteq \{\aleph_{\beta(i)}: j < i\}, \beta(0) = \beta + 1$ , each  $\beta(i)$  is a successor, to satisfy 6(B). For  $i = 0, \beta(0) = \beta + 1, \beta(i+1) = \beta(i) + 1, S_{i+1} = \{\aleph_{\beta(i)}\}$ . For *i* limit of cofinality  $\omega$  let  $i_n < i$  be increasing with limit  $i, S_i = \{\aleph_{\beta(i_n)}: n < \omega\}$ , and we choose a successor  $\beta(i) > \bigcup_n \beta(i_n), \beta(i) \leq \alpha + 1$  such that  $\aleph_{\beta(i)} \rightarrow S_i[\aleph_0]$ ; we can do it by 10C and 10A, B. By 6B  $\aleph_{\alpha+1} \rightarrow \aleph_{\beta+1}[\aleph_0]$ , thus we finish.

LEMMA 16. If  $\lambda \to \mu^+[\aleph_0]$  for every  $\mu, \lambda_0 \leq \mu < \lambda$  and  $N < M_{\lambda}, ||N|| = \lambda$  then: (A) If  $\lambda_0 \leq \mu \leq \lambda$  then  $\mu \in N$  and  $|\mu \cap N| = \mu$  (so  $\lambda \to \mu[\aleph_0]$ ). (B) For every  $a \in \lambda$  there is b such that  $a \in b \in N$ , and  $|b| < \lambda_0$ . (C) If  $\lambda^{\lambda_0} = \lambda$  then  $\operatorname{Jn}(\lambda)$ .

**PROOF.** (A) Like 5(A) (notice we can assume  $\lambda_0$  is minimal with such properties, hence definable in  $M_{\lambda}$ ).

(B) Let  $\mu$  be a minimal cardinal such that for some  $b_{\mu}$ ,  $|b_{\mu}| \leq \mu$ ,  $a \in b_{\mu} \in N$ . Now  $\mu \leq \lambda$  as we can choose  $b_{\lambda} = \lambda$ .

Let us prove  $\mu < \lambda_0$ ; otherwise as  $b_{\mu} \in N$  also  $\mu = |b_{\mu}| \in N$ , so in N there is a function f from  $\mu$  onto  $b_{\mu}$ . We know by 15(A) that  $|\mu \cap N| = \mu$ , so  $N \cap \mu$  is unbounded in  $\mu$ , so there is  $\alpha < \mu, \alpha \in N$  such that  $a \in \{f(\beta); \beta < \alpha\}$ . Now  $b' = \{f(\beta): \beta < \alpha\} \in N$  contradicts  $\mu$ 's minimality.

(C) It suffices to prove  $\lambda \subseteq N$ , so let  $a \in \lambda$ . By 15(B) there is  $b \in N, |b| \leq \lambda_0, a \in b$ , and as  $\lambda_0 \in N$  we can assume  $|b| = \lambda_0$ . As  $|N \cap \lambda| = \lambda$  there is a set  $A \subseteq \lambda \cap N, |A| = \lambda_0$  and necessarily  $A \in M_{\lambda}^1$  but possibly  $A \notin N$ . Let  $F^* \in N$  be a function from  $\lambda$  onto  $\{B \subseteq \lambda : |B| = \lambda_0\}$ ; so for some  $i, j < \lambda, F^*(i) = A, F^*(j) = b$ . By 15(A) there is  $C \in N, |C| \leq \lambda_0$  such that  $i, j \in C$ .  $\{F^*(\alpha) : \alpha \in C\}$  is a family of  $\leq \lambda_0$  sets each of power exactly  $\lambda_0$ . So there is a

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function  $g \in N$ , Dom  $g = \bigcup_{\alpha \in C} F^*(\alpha)$ , such that for every  $\alpha \in C$ ,  $\{g(x): x \in F^*(\alpha)\} = \text{Dom } g$  (clearly  $|\text{Dom } g| = \lambda_0$ ).

This holds for  $\alpha = i$ , but  $g \in N$ ,  $A = F^*(i) \subseteq N$ ; so Dom  $g \subseteq N$ , but  $a \in b = F^*(j)$ ,  $j \in C$  so  $a \in N$ .

Conclusion 17. Suppose  $2^{\mathbf{N}_{\alpha}} = \mathbf{N}_{\alpha+\gamma+1}$ , then  $\operatorname{Jn}(2^{\mathbf{N}_{\alpha}})$  if (A) or (B) or (C): (A)  $\gamma < \omega_1$ , (B)  $2^{\mathbf{N}_{\alpha}} \rightarrow \mu[\mathbf{N}_0]$  for every  $\mu \leq |\gamma|$ , (C)  $\beta < \alpha \Rightarrow 2^{\mathbf{N}_{\beta}} < 2^{\mathbf{N}_{\alpha}}$ , and  $\operatorname{Jn}(\mathbf{N}_{\alpha})$  and  $\gamma < \mathbf{N}_{\alpha+1}$ .

PROOF. Similar to 14.

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