# JONSSON ALGEBRAS IN SUCCESSOR CARDINALS 

BY

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## ABSTRACT


#### Abstract

We shall show here that in many successor cardinals $\lambda$, there is a Jonsson algebra (in other words $\mathrm{Jn}(\lambda)$, or $\lambda$ is not a Jonsson cardinal). In connection with this we show that, e.g., for every ultrafilter $D$ over $\omega$, in ( $\left.\omega_{\mathrm{a}}<\right)^{\omega} / D$ there is no increasing sequence of length $\boldsymbol{N}_{\left(2^{\alpha_{0}}\right)}$. On Jonsson algebras see e.g. [1]; for successor $\lambda^{+}=2^{\lambda}$ there is a Jonsson algebra, $\operatorname{Jn}(\lambda) \Rightarrow \operatorname{Jn}\left(\lambda^{+}\right)$(due to Chang, Erdös and Hajnal) and even in $2^{\omega_{\alpha}}=N_{\alpha+n}$ ([3]). We give here a method to prove, e.g., $\mathrm{Jn}\left(\boldsymbol{N}_{\omega+1}\right)$ when $2^{\boldsymbol{N}_{0}} \leqq \boldsymbol{N}_{\omega+1}$ and $\operatorname{Jn}\left(2^{\boldsymbol{N}_{0}}\right)$ when $2^{\mu_{0}}=\boldsymbol{N}_{\alpha+1}, \alpha<\omega_{1}$; and similar results for higher cardinals.


Questions. (1) Does $\operatorname{Jn}\left(\boldsymbol{N}_{\omega+1}\right)$ always hold?
(2) Does $\operatorname{Jn}\left(\lambda^{+}\right)$always hold, or at least when $\left(\lambda^{+}\right)^{\alpha_{0}}=\lambda^{+}$?
(3) Does always $\boldsymbol{N}_{\omega+1} \in P \operatorname{cf}\left\langle\boldsymbol{N}_{n}: n<\omega\right\rangle$ ?

Definition 1. (A) A Jonsson algebra is an algebra $M$, with countably many operations (finitary, of course), which has no proper subalgebra of the same cardinality. A Jonsson model is a model with countably many relations and operations which has no proper elementary submodel of the same cardinality.
(B) $\operatorname{Jn}(\lambda)$, or $\lambda$ is not a Jonsson cardinal if there is a Jonsson algebra of cardinality $\lambda$. This is equivalent to the existence of a Jonsson model (expand by Skolem functions).

Convention 2. (A) We do not distinguish between a model and its universe; and unless stated otherwise a model has only countably many operations and relations.
(B) For simplicity we restrict ourselves to models of the form $M_{\lambda}$, where $M_{\lambda}^{1}$ will be $\left(H\left(\lambda^{*}\right), E\right)$ for $\lambda^{*}>\lambda$ (e.g. $\left.\left(2^{\lambda}\right)^{+}\right)\left(H\left(\lambda^{*}\right)\right.$ is the family of sets whose

[^0]transitive closure has cardinality $<\lambda^{*}$ ); let $M_{\lambda}^{2}$ be an elementary submodel of $M_{\lambda}^{1}$ of cardinality $\lambda, \lambda+1 \subseteq M_{\lambda}^{2}$, and $M_{\lambda}=\left(M_{\lambda}^{2}, \in, F\right)$ where $F$ is a one-to-one function from $\lambda$ onto $M_{\lambda}^{2}$. So $M$ will denote some $M_{\lambda}$.

Notice that $\mathrm{Jn}(\lambda)$ implies that any $M_{\lambda}$ is a Jonsson model (proof as for 4A). If there is a Jonsson algebra $\mathfrak{A}=\left(\lambda, f_{i}\right)_{i \in \omega}$ then $\mathfrak{A} \in M_{\lambda}^{1}$, thus $M_{\lambda}^{1}=$ "there is a Jonsson algebra on $\lambda$ ". By way of contradiction, assume there is a $N<$ $M_{\lambda}, N \neq M_{\lambda},\|N\|=\lambda$. Clearly (since $\lambda$ is definable in $M_{\lambda}$ as $\sup \operatorname{Dom} F$ ) $\lambda \in N$ and $N F$ "there is a Jonsson algebra on $\lambda$ ". Let $\mathscr{B}$ be such an algebra but $\mathscr{B} \cap N<\mathscr{B}, \mathscr{B} \cap N \neq \mathscr{B}$ (for $\lambda \nsubseteq N$ ) and $\|\mathscr{B} \cap N\|=\|\lambda \cap N\|=\lambda$. This is a contradiction to $\mathscr{B}$ being Jonsson.

Definition 3. (A) For sets $S_{1}, S_{2}$ of cardinals, and a cardinal (or ordinal) $\mu, S_{1} \rightarrow S_{2}[\mu]$ means that for every $M$ (as in 2B) and $N<M$, if
(i) $\mu+1 \subseteq N$ (for $\mu=N_{0}$ this is empty),
(ii) for every $\lambda \in S_{1},|\lambda \cap N|=\lambda$,
(iii) $S_{1} \subseteq N$ (if each $\lambda \in S_{1}$ is a successor, this follows by (ii)),
(iv) $S_{1}, S_{2} \in N$,
then for some $\lambda \in S_{2},|\lambda \cap N|=\lambda$ and $\lambda \in N$. (The interesting case is $\operatorname{Sup} S_{1} \geqq \operatorname{Sup} S_{2}+\mu$.)
(B) When $S_{l}=\{\lambda\}$ we write $\lambda$ instead of $S_{l}$, and instead of $S_{l}^{1} \cup S_{l}^{2}$ we write $S_{1}^{1}, S_{1}^{2}$. Note that in 3(A) we can replace $S_{1}$ by a sequence, and nothing changes.

For Notational simplicity let $\operatorname{Sup} S=\cup\{\lambda+1: \lambda \in S\}$.
Observation 4. (A) $S_{1} \rightarrow S_{2}[\mu]$ iff (*) iff (**), where
(*) There is a model $N_{0}, \operatorname{Sup} S_{1} \subseteq N_{0}, N_{0}$ has $\leqq|\mu|$ operations and relations and if $N<N_{0},|N \cap \lambda|=\lambda, \lambda \in N$ for each $\lambda \in S_{1}$ then $|N \cap \lambda|=\lambda, \lambda \in N$ for some $\lambda \in S_{2}$.
(**) There is a model $N_{0}$ as in (*) with universe $\operatorname{Sup} S_{1}$.
(B) In Definition 3A(i) we can demand only $\mu \subseteq N$ or even $|\mu| \subseteq N$ for $\mu$ ordinal.
(C) In Definition 3A we can demand $M$ to vary only on $M_{\lambda}<H\left(\lambda^{*}\right)$ where $\lambda=\operatorname{Sup} S_{1}$ and $\lambda^{*}>\lambda$ is a constant, and demand some specific elements $\in M_{\lambda}$.

Proof. $\quad S_{1} \rightarrow S_{2}[\mu] \Rightarrow(*):$ take $\lambda=\operatorname{Sup} S_{1}, N_{0}=\left(M_{\lambda}, S_{1}, S_{2}, i\right)_{i \leq \mu}$.
$(*) \Rightarrow(* *)$ : take $N_{0}$ as in ( $*$ ). Since any $N_{1}<N_{0}$ s.t. $\operatorname{Sup} S_{1} \subseteq N_{1}$ satisfies ( $*$ ) we can assume $\left\|N_{0}\right\|=\operatorname{Sup} S_{1}$. Add Skolem functions to $N_{0}$ and add a name to each formula, getting a model $N_{1}$ satisfying (*). Take $N_{2}=N_{1} \backslash \operatorname{Sup} S_{1}$. We show $N_{2}$ satisfies (**). Let $N_{2}^{\prime}<N_{2}$ such that $\left(\forall \lambda \in S_{1}\right)\left(\lambda \in N_{2}^{\prime} \wedge_{1} \lambda \cap N_{2}^{\prime} \mid=\lambda\right)$; take $N_{0}^{\prime}-$ the Skolem closure of $N_{2}^{\prime}$ in $N_{0}$. By (*) for $N_{0}$ there is $\lambda \in S_{2}$ s.t. $\lambda \in N_{o}^{\prime}$ and
$\left|\lambda \cap N_{0}^{\prime}\right|=\lambda$. Since $\left|N_{0}^{\prime}\right| \cap \operatorname{Sup} S_{1}=\left|N_{2}^{\prime}\right|$ we have $\lambda \in S_{2}$ s.t. $\lambda \in N_{2}^{\prime}$ and $\left|\lambda \cap N_{2}^{\prime}\right|=\lambda$.
$(* *) \Rightarrow S_{1} \rightarrow S_{2}[\mu]$. Suppose $N_{0}$ is as in (**), and with minimal $\mu$ (for the given $S_{1}, S_{2}$ ); hence $\mu \in M_{\lambda}$. Suppose $N<M_{\lambda}$, as in $3(\mathrm{~A})$. Now $N_{0} \in M_{\lambda}^{1}$, but as $M_{\lambda}^{2}<M_{\lambda}^{1}$, w.l.o.g. $N_{0} \in M_{\lambda}^{2}$, and even $N_{0} \in N$. So $N_{0}^{*}$, the submodel of $N_{0}$ with universe $N_{0} \cap N=\left\{a: N \vDash " a \in N_{0} "\right\}$, has universe $N \cap \operatorname{Sup} S_{1}$ and $N_{0}^{*}<N_{0}$.

By the hypothesis of $3(\mathrm{~A})$, the hypothesis of (*) holds, so for some $\lambda \in S_{2}$, $\lambda \cap N_{0}^{*} \mid=\lambda \in N_{0}^{*}$ hence $|\lambda \cap N|=\lambda \in N$, so we finish.
(B), (C) Easy from (A).

The basis of our proof is the following
Observation 5. (A) If $\lambda \rightarrow \mu^{+}\left[\boldsymbol{N}_{0}\right]$ for every $\mu<\lambda$, then $\operatorname{Jn}(\lambda)$.
(B) If $\boldsymbol{\kappa}_{\alpha} \rightarrow \mu^{+}[\mu]$ for every $\mu<\boldsymbol{\kappa}_{\alpha}$ and $\alpha \subseteq N<M_{\boldsymbol{N}_{\alpha}},\|N\|=\boldsymbol{N}_{\alpha}$ then $N=M_{\aleph_{\alpha}}$.
(C) If $N<M_{\lambda},\|N\|=\lambda$, and for each $\mu \in N, \mu<\lambda,\left|N \cap \mu^{+}\right|=\mu^{+}$then $N=M_{\lambda}$.
(D) If $\operatorname{Jn}(\lambda)$, then $\lambda \rightarrow \kappa\left[\kappa_{0}\right]$ for every $\kappa \leqq \lambda$.

Proof. (A) By (C); let $N<M,\|N\|=\lambda$, now $\mu \in N$ implies $\mu^{+} \in N$, so by a hypothesis $\left|N \cap \mu^{+}\right|=\mu^{+}$.
(B) Like (C), as for $\mu<\lambda, \mu=\mathcal{N}_{\beta}$ for some $\beta<\alpha$ hence $\mu \in N$.
(C) Because of the function $F$ it suffices to prove $\lambda \subseteq N$, and we know $|N \cap \lambda|=\lambda$.

Let $\mu$ be a maximal cardinality for which $\mu \subseteq N$. If $\mu=\lambda$ we finish, and if $\mu \in N$ then by a hypothesis $\left|N \cap \mu^{+}\right|=\mu^{+}$, but then $\mu^{+} \subseteq N$ (there is $f=f^{\mu} \in N$, such that for every $\beta<\mu^{+}, x \mapsto f(\beta, x)$ is a map from $\mu$ onto $\beta$; so for each $\alpha<\mu^{+}$, there is $\beta \in N, \alpha<\beta<\mu^{+}$, so for some $\gamma<\mu, f(\beta, \gamma)=\alpha$, hence $\alpha \in N$ ). So $\mu \notin N$. Choose a minimal $\alpha, \mu \leqq \alpha \in N$; as $|\alpha| \in N$, $\alpha$ is a cardinal. Clearly $\alpha<\lambda$ (as $\|N\|=\lambda$, and by $F$ ) so $|\alpha|^{+} \in N$, hence $\left.|N \cap| \alpha\right|^{+} \mid=$ $\left.\alpha\right|^{+}$, so for some $\gamma \in N, \alpha<\gamma<|\alpha|^{+},|N \cap \gamma|=|\alpha|>\mu$, using $f^{|\alpha|}(\gamma, x)$ we get a contradiction.
(D) By 4(*).

Lemma 6. (A) If $S_{0} \rightarrow S_{1}[\mu]$, and for each $\kappa \in S_{1} S_{0}, \kappa \rightarrow S_{2}[\mu]$ then $S_{0} \rightarrow S_{2}[\mu]$.
(B) If $\lambda_{1}(i \leqq \alpha)$ is an increasing sequence of cardinals, and $\lambda_{i} \rightarrow\left\{\lambda_{j}: j<i\right\}[\mu]$ then $\lambda_{\alpha} \rightarrow \lambda_{0}[\mu]$ (we can replace the assumption by: for every $i$ for some nonempty $\left.S_{\mathrm{i}} \subseteq\left\{\lambda_{i}: j<i\right\}, \lambda_{i} \rightarrow S_{i}[\mu]\right)$.
(C) The relation $S_{1} \rightarrow S_{2}[\mu]$ is preserved under increasing $S_{1}, S_{2}$ and $\mu$.

Proof. (A) By $4(*)$ there is a model on $\lambda=\operatorname{Sup} S_{o}$ with $\leqq \mu$ relations demonstrating that $S_{0} \rightarrow S_{1}[\mu]$. Add to this model $\mu$ relations demonstrating for every $\kappa \in S_{1}: S_{0}, \kappa \rightarrow S_{2}[\mu]$. The resulting model shows $S_{0} \rightarrow S_{2}[\mu]$.
(B), (C) Similar proofs.

By 5 and 6(B), in order to prove the existence of Jonsson algebras it suffices to prove enough cases of the form $\lambda \rightarrow S\left[\boldsymbol{\aleph}_{0}\right]$.

Lemma 7. (A) $\lambda^{+} \rightarrow \lambda\left[\boldsymbol{N}_{0}\right]$ (hence by $\mathbf{6}(\mathrm{A}) \boldsymbol{N}_{\alpha+n} \rightarrow \boldsymbol{N}_{\alpha}\left[\boldsymbol{N}_{0}\right]$ ).
(B) $\lambda \rightarrow \operatorname{cf~} \lambda\left[\aleph_{0}\right]$.
(C) $2^{\lambda} \rightarrow \lambda\left[\boldsymbol{\aleph}_{0}\right]$ when $2^{\mu}<2^{\lambda}$ for every $\mu<\lambda$.
(D) $\lambda \rightarrow\left\{\lambda_{i}: i<\delta\right\}[\delta] \quad$ if $\quad \lambda_{i}<\lambda, \lambda \in P \operatorname{cf}\left\langle\lambda_{i}: i<\delta\right\rangle \quad$ (see below). If $\lambda \in P \mathrm{Sc}_{D}\left\langle\lambda_{i}: i<\delta\right\rangle$, we can strengthen the demand in $3(\mathrm{~A})$ to $\left\{i:\left|N \cap \lambda_{i}\right| \neq \varnothing\right.$ $\bmod D$.

Definition 8. (A) $\lambda \in P \operatorname{Sc}_{D} \bar{\lambda}$ ( $\lambda$ is a possible scale for $\bar{\lambda}$ ), where $\bar{\lambda}=$ $\left\langle\lambda_{i}: i<\delta\right\rangle, D$ a filter over $\delta, D \supseteq D(\delta)=\{A \subseteq \delta: \delta-A$ bounded $\}$, if $\lambda, \lambda_{i}$ are regular cardinals or 1 and there are functions $f_{\alpha}(\alpha<\lambda)$ exemplifying it, i.e.
(a) $f_{\alpha}(i)<\lambda_{i}$ for $i<\delta$, and $\operatorname{Dom} f_{\alpha}=\delta$ (that is $f_{\alpha} \in \Pi_{i<\delta} \lambda_{i}$ ),
(b) $f_{\alpha} \leqq_{D} f_{\beta}$ for $\alpha<\beta$ (this means that $\left\{i: f_{\alpha}(i) \leqq f_{\beta}(i)\right\} \in D$ ),
(c) we cannot define $f_{\lambda}$ satisfying (a) and (b).
(B) $\lambda \in P \operatorname{cf} \bar{\lambda}$ iff $\lambda \in P \mathrm{Sc}_{\mathrm{D}} \bar{\lambda}$ for some ultrafilter $D$ over $\delta$.
(C) $\lambda \in P \mathrm{Sc} \bar{\lambda}$ if $\lambda \in P \mathrm{Sc}_{D(8)} \bar{\lambda}$
(D) $\bar{\lambda}$ is $D$ trivial if $\left\{i: \lambda_{i}=1\right\} \in D$; we always assume $\bar{\lambda}$ is not $D$-trivial.

Observation 9. (A) If $\lambda \in P \operatorname{Sc}_{D} \bar{\lambda}, \bar{\lambda}=\left\langle\lambda_{i}: i<\delta\right\rangle, 2^{i s \mid}<\lambda$, then $\lambda \in P \operatorname{cf} \bar{\lambda}$.
(B) $\lambda \in P \operatorname{Sc}_{D}\left\langle\lambda_{i}: i<\delta\right\rangle$ is equivalent to $\lambda=\operatorname{cf}\left[\Pi_{i<\delta} \lambda_{i} / D\right]$, for $D$ an ultrafilter.
(C) Suppose $h: \delta^{1} \rightarrow \delta^{2}, h_{1}: \delta^{2} \rightarrow \delta^{1}, D_{l}$ a filter over $\delta^{\prime}$,

$$
\begin{aligned}
\left\{i<\delta^{1}: \lambda_{i} \geqq \mu_{h(i)}\right\} \in D_{1}, A \in D_{2} \Rightarrow & \{i: h(i) \in A\} \in D_{1}, \\
& \left\{j: h h_{1}(j)=j, \lambda_{h_{1}(i)}=\mu_{j}\right\} \in D_{2}
\end{aligned}
$$

and $\delta^{2}-A \notin D_{2} \Rightarrow \delta^{1}-\left\{h_{1}(j): j \in A\right\} \notin D_{1}$. Then $\mu \in P \operatorname{Sc}_{D_{2}}\left\langle\mu_{j}: j<\delta^{2}\right\rangle$ implies $\mu \in P$ Sc $_{D_{1}}\left(\lambda_{i}: i<\delta^{1}\right\rangle$.
(D) $\lambda \rightarrow\left\{\lambda_{i}: i<\delta\right\}[\delta]$ if $\lambda \in P \operatorname{Sc}_{D}\left\langle\lambda_{i}: i<\delta\right\rangle$.

Proof of Lemma 7. (A), (B), (C). Immediate.
(D) Let $M, N$ be as in Definition 3 (so $\lambda,\left\{\lambda_{i}: i<\delta\right\} \in N, \delta+1 \subseteq N$ ). W.l.o.g. $\left\langle\lambda_{i}: i<\delta\right\rangle \in N, D \in N$ (by 4 C ); so there is $\left\langle f_{\alpha}: \alpha<\lambda\right\rangle \in N$ exemplifying $\lambda \in P \operatorname{Sc}_{D}\left\langle\lambda_{i}: i<\delta\right\rangle$. As $\delta+1 \subseteq N, \lambda_{i} \in N$ for each $i$. If for each $i\left|N \cap \lambda_{i}\right|<\lambda_{i}$,
then $A_{i}=\left\{f_{\alpha}(i): \alpha \in N \cap \lambda\right\}$ is a subset of $\lambda_{i}$ of cardinality $<\lambda_{i}$, so by $\lambda_{i}$ 's regularity it has an upper bound $<\lambda_{i}$ which we call $f_{\lambda}(\alpha)$. It follows that for $\alpha \in N f_{\alpha}<_{D(\delta)} f_{\lambda}$ hence $f_{\alpha}<_{D} f_{\lambda}:$ as $|N \cap \lambda|=\lambda$, and $<_{D}$ is transitive $f_{\alpha}<_{D} f_{\lambda}$ for each $\alpha<\lambda$; a contradiction.

Now we shall prove some cases of $\lambda \in P \operatorname{Sc} \bar{\lambda}$.
Lemma 10. (A) Let $\lambda_{i}(i<\delta)$ be increasing, $\delta<\lambda_{*}=\sum_{i<\delta} \lambda_{i}$, each $\lambda_{i} a$ successor (at least for $i$ limit or for an unbounded set of $i$ 's), then for any $f_{\alpha} \in \Pi_{i<\delta} \lambda_{i}\left(\alpha<\lambda_{*}\right)$ there is an upper bound in $\Pi_{i<\delta} \lambda_{i} / D(\delta)$. Hence $\lambda \in$ $P \operatorname{cf}_{D}\left\langle\lambda_{i}: i<\delta\right\rangle$ implies $\lambda>\lambda_{*}$.
(B) $\lambda \in P \operatorname{cf}_{D}\left\langle\lambda_{i}: i<\delta\right\rangle$ implies $\lambda \leqq \Pi_{i<\delta} \lambda_{i}$ (as cardinals).
(C) For every $\bar{\lambda}, D$, for some $\lambda, \lambda \in P \operatorname{Sc}_{D}\left\langle\lambda_{i}: i<\delta\right\rangle$.
(D) If $\left|\Pi_{i<\delta} \lambda_{i} / D\right|=\lambda_{*}^{+}, D \supseteq D(\delta)$ and the assumption of $(\mathrm{A})$ holds then $\lambda_{*}^{+} \in P \operatorname{Sc}_{D} \bar{\lambda}$.

Proof. Immediate (in (A) choose $f$ such that $|\alpha|^{+}<\lambda_{i}$ implies $f_{\alpha}(i)<f(i)$ ).
Lemma 11. Suppose $\bar{\lambda}=\left\langle\lambda_{i}: i<\kappa\right\rangle$, $\kappa$ regular $<\lambda_{*}=\Sigma_{i<\kappa} \lambda_{i}, \lambda_{i}$ is increasing.
(A) If $\lambda \in P \operatorname{Sc}_{D} \bar{\lambda}, \lambda_{*}<\mu<\lambda, \mu$ regular, $D \boldsymbol{N}_{1}$-complete or $2^{\kappa}<\mu$ then $\mu \in P \operatorname{Sc}_{D}\left\langle\lambda_{i}^{\prime}: i<\kappa\right\rangle$ for some $\lambda_{i}^{\prime} \leqq \lambda_{i},\left\langle\lambda_{i}^{\prime}: i<\kappa\right\rangle$ is not $D$-trivial.
(B) In (A), instead of $\lambda \in P \operatorname{Sc}_{D} \bar{\lambda}$ it suffices to assume: in $\Pi_{i<k} \lambda_{i} / D$ there is a $<_{D}$-increasing sequence of length $\mu$ (or even $\leqq_{D}$-increasing, if it is not eventually constant by $=_{D}$ ).
(C) Note that in (A) and (B) if $\lambda_{i}^{\kappa}<\lambda_{*} \leqq \mu$ (for every i) then $\Sigma_{i<\kappa} \lambda_{i}^{\prime}=\lambda_{*}$.
(D) If $\kappa>\boldsymbol{N}_{0}$ or $2^{\boldsymbol{\alpha}_{0}} \leqq \lambda_{*}$ then $\mu=\lambda_{*}^{+}$satisfies the requirement on $\mu$ in (A) for $D=D(\delta)$. (In the first case $D$ is $\boldsymbol{\kappa}_{1}$-complete and in the second $2^{\kappa}<\mu$.)

Proof. (A) follows from (B).
(B) Let $f_{\alpha}(\alpha<\mu)$ be $<_{D}$-increasing (in $\left.\Pi_{i<k} \lambda_{i} / D\right)$ s.t. $(\forall \alpha<\mu)(3 \beta<\mu)$ $\left(\alpha<\beta \wedge \neg f_{\alpha}={ }_{D} f_{\beta}\right)$. If they would exemplify $\mu \in P \operatorname{Sc}_{D} \bar{\lambda}$, we finish. Otherwise we shall show that
(*) there is $f \in \Pi_{i<\kappa} \lambda_{i} / D$ such that $f_{\alpha} \leqq_{D} f$, for $\alpha<\mu$, but for no $g$ is $f_{\alpha} \leqq_{D} g<_{D} f$ for every $\alpha<\mu$.

Now (*) is sufficient, for let $\lambda_{i}^{\prime}=\operatorname{cf} f(i), A_{i} \subseteq f(i)$ a close unbounded set of order-type $\operatorname{cf} f(i), A_{i}=\left\{\alpha(i, j): j<\lambda_{i}^{\prime}\right\}(\alpha(i, j)$ increasing with $j)$ (if $f(i)$ is a successor ordinal $\lambda_{i}^{\prime}=1$ ).

Let $f_{\alpha}^{\prime}(i)=\min \left\{j: \alpha(i, j) \geqq f_{\alpha}(i)\right\}$, then $\quad f_{\alpha}^{\prime} \quad(\alpha<\mu) \quad$ exemplify $\mu \in$ $P \operatorname{Sc}_{D}\left\langle\lambda_{i}^{\prime}: i<\kappa\right\rangle,\left\langle\lambda_{i}^{\prime}: i<\kappa\right\rangle$ is not $D$-trivial, as otherwise we find $g$ contradicting (*).

Let us prove (*).
Case (i). $D$ is $\boldsymbol{\aleph}_{1}$-complete.
In this case $<_{D}$ is well-founded, as we assume there is $f \in \Pi_{i<\kappa} \lambda_{i} / D, f_{\alpha} \leqq{ }_{D} f$ for every $\alpha<\mu$, there is one as required.

Case (ii). $2^{x}<\mu$.
It is well known that there is no decreasing sequence of length $\left(2^{\kappa}\right)^{+}$in $<_{D}$. So define by induction on $\gamma f^{\gamma} \in \Pi_{\imath<\kappa} \lambda_{i}$, such that $\beta<\gamma \Rightarrow f^{\gamma}<_{D} f^{\beta}$, and $\alpha<\mu \Rightarrow$ $f_{\alpha} \leqq_{D} f^{\gamma}$. Now $f^{0}$ exists by an assumption in the beginning of the proof. So there is a first $\gamma_{0}$ for which $f^{\gamma_{0}}$ is not defined. We shall now prove $\gamma_{0}$ is a successor so $f^{\gamma_{0}-1}$ is as required. As mentioned above $\gamma_{0}<\left(2^{*}\right)^{+}$. Let $P_{i}=\left\{f^{\gamma}(i) ; \gamma<\gamma_{0}\right\} \subseteq \lambda_{i}$, so $P_{i} \mid \leqq 2^{\kappa}$. Let $\left(\Pi_{i<\kappa} \lambda_{i} / D, \leqq, P\right)=\Pi_{i}\left(\lambda_{i} \leqq{ }_{D}, P_{i}\right) / D$ so $|P| \leqq \Pi_{i<\kappa}\left|P_{i}\right| \leqq 2^{\kappa}$. Now $2^{\kappa}<\mu, \mu$ regular so for some $\alpha_{0}<\mu$, for every $a \in P$, and $\alpha_{0} \leqq \alpha<\mu, f_{a_{0}} \leqq_{D} a \Leftrightarrow$ $f_{\alpha} \leqq_{D} a$. Now

$$
\left(\lambda_{\mathrm{i}} \leqq \leqq, P_{i}\right)=(\forall x)[(\exists z)(P(z) \wedge x \leqq z) \rightarrow(\exists y)[(P(y) \wedge x \leqq y) \wedge
$$

$$
(\forall z)(P(z) \wedge x \leqq z \rightarrow y \leqq z)]]
$$

This is a Horn sentence, so $\left(\Pi_{i<k} \lambda_{i} / D, \leqq_{D}, P\right)$ satisfies it, so taking $f_{\alpha_{0}}$ for $x$ the antecedent holds ( $z=f^{0}$ ) so we get $f$ for $y$. So $f_{\alpha_{0}} \leqq_{D} f$ hence for every $\alpha f_{\alpha} \leqq{ }_{D} f$ by the choice of $f_{\alpha_{0}}$; also $f \leqq_{D} f^{\gamma}$ as $\left(\Pi_{i<\kappa} \lambda_{i} / D, \leqq_{D}, P\right) \vDash P\left(f^{\gamma}\right) \wedge f_{\alpha(0)} \leqq_{D} f^{\gamma}$. Clearly $f$ is as required.
(C), (D) left to the reader.

Conclusion 12. For $\boldsymbol{N}_{\delta}$ singular, $D$ an ultrafilter over cf $\delta$, in $\left(\omega_{\delta},<\right)^{c t \delta} / D$ there is no increasing sequence of length $\mathcal{N}_{\gamma}$ where $\gamma=\left(|\delta|^{\text {ct } \delta} / D\right)^{+}$.

Proof. Otherwise for every $\beta<\gamma, \beta$ successor, $\beta>\delta$ there are $\alpha(\beta, i)<\delta$ $(i<\operatorname{cf} \delta)$ such that $\operatorname{cf}\left[\Pi_{i<\delta}\left(\omega_{\alpha(\beta, i)},<\right) / D\right]=\boldsymbol{N}_{\beta}($ by $11 \mathrm{~A}, 9 \mathrm{~A})$ but the number of possible $\langle\alpha(\beta, i): i<c f \delta\rangle$ is $\leqq|\delta|^{c t \delta} / D$, contradiction.

This has relation to Galvin and Hajnal [2], but 12 is applicable when cf $\boldsymbol{\delta}=\boldsymbol{\alpha}_{0}$ too. In fact

Claim 13. If $\boldsymbol{N}_{\boldsymbol{\delta}}$ is singular, $\operatorname{cf} \boldsymbol{\delta}>\boldsymbol{N}_{0}, \mu \leqq \boldsymbol{N}_{\delta}^{\text {ci } \delta}$ regular, $(\forall \alpha<\delta)(\forall k<\operatorname{cf} \delta) \boldsymbol{N}_{\alpha}^{k}<\mathcal{N}_{\delta}$ then for some $\alpha(i)<\delta, \mu \in P \operatorname{Sc}\left\langle\boldsymbol{N}_{\alpha(2)}: i<\delta\right\rangle$.

If $\beta(i)(i<\mathrm{cf} \delta)$ are increasing and continuous with limit $\delta$, for $\mu=\boldsymbol{\kappa}_{\delta+1}$ we can choose $\alpha(i)=\beta(i)+1$ provided that $\Pi_{i<j} \boldsymbol{N}_{\alpha(i)} \leqq \boldsymbol{N}_{\alpha(j)}$.

We can now apply our theorems.
Conclusions 14. (A) $\operatorname{Jn}\left(\boldsymbol{N}_{\omega+1}\right)$ if $\mathbf{2}^{\boldsymbol{N}} \leqq \boldsymbol{N}_{\omega+1}$.
(B) If $(\forall \lambda)\left(\right.$ cf $\left.\lambda>N_{0} \rightarrow \lambda^{\boldsymbol{N}_{0}}=\lambda\right)$ and there is no weakly inaccessible cardinal then $(\forall \lambda) \operatorname{Jn}\left(\lambda^{+}\right)$.

Proof. (A) First note that for any non-principal ultrafilter $D$ over $\omega$, $\boldsymbol{N}_{\omega+1} \in P \mathrm{Sc}_{D}\left\langle\boldsymbol{N}_{n(k)}: k<\omega\right\rangle$ (for some $n(k)<\omega$ ) (if $2^{\boldsymbol{\alpha}_{o}}=\boldsymbol{N}_{\omega+1}$, by 10 (D), otherwise for some $\lambda, \lambda \in P \mathrm{Sc}_{D}\left\langle\boldsymbol{N}_{n}: n<\omega\right\rangle$; by $10(\mathrm{~A}) \lambda>\boldsymbol{N}_{\omega}$, by 11A $\boldsymbol{N}_{\omega+1} \in$ $P \operatorname{Sc}_{D}\left\langle\boldsymbol{\aleph}_{n(k)}: k<\omega\right\rangle$ for some $n(k)$ ). For a given $m<\omega$, we can assume $n(k) \geqq m \quad$ (as $\{k: n(k)<m\} \notin D)$, by $7(\mathrm{D}) \mathcal{N}_{\omega+1} \rightarrow\left\{\boldsymbol{N}_{n(k)}: k<\omega\right\}\left[\mathcal{N}_{0}\right]$. As $\boldsymbol{N}_{n} \rightarrow \boldsymbol{N}_{m}\left[\boldsymbol{N}_{0}\right]$ for $n \geqq m($ by 7 A$)$, by $6(\mathrm{~A}) \boldsymbol{N}_{\omega+1} \rightarrow \boldsymbol{N}_{m}\left[\boldsymbol{N}_{0}\right]$. So by $5(\mathrm{~A}) \mathrm{Jn}\left(\boldsymbol{N}_{\omega+1}\right)$.
(B) Left to the reader.

Conclusion 15. Jn $\left(2^{\boldsymbol{N}_{0}}\right)$ if $2^{\boldsymbol{\alpha}_{0}}=\boldsymbol{N}_{\alpha+1}, \alpha<\omega_{1}$.
Proof. Let $\beta \leqq \alpha$ and we shall prove $\boldsymbol{N}_{\alpha+1} \rightarrow \boldsymbol{N}_{\beta+1}\left[\boldsymbol{N}_{0}\right]$ (this is sufficient by 5A). We define increasing $\beta(i) \leqq \alpha+1$, and $S_{i} \subseteq\left\{\boldsymbol{\aleph}_{\beta(j)}: j<i\right\}, \beta(0)=\beta+1$, each $\beta(i)$ is a successor, to satisfy $6(\mathrm{~B})$. For $i=0, \beta(0)=\beta+1, \beta(i+1)=$ $\beta(i)+1, S_{i+1}=\left\{\boldsymbol{\aleph}_{\beta(i)}\right\}$. For $i$ limit of cofinality $\omega$ let $i_{n}<i$ be increasing with limit $i, S_{i}=\left\{\boldsymbol{N}_{\beta\left(i_{n}\right)}: n<\omega\right\}$, and we choose a successor $\beta(i)>\bigcup_{n} \beta\left(i_{n}\right), \beta(i) \leqq \alpha+1$ such that $\boldsymbol{N}_{\beta(i)} \rightarrow S_{i}\left[\boldsymbol{N}_{0}\right]$; we can do it by 10 C and 10 A , B. By $6 \mathrm{~B} \boldsymbol{\aleph}_{\alpha+1} \rightarrow \boldsymbol{N}_{\beta+1}\left[\boldsymbol{N}_{0}\right]$, thus we finish.

Lemma 16. If $\lambda \rightarrow \mu^{+}\left[\boldsymbol{N}_{0}\right]$ for every $\mu, \lambda_{0} \leqq \mu<\lambda$ and $N<M_{\lambda},\|N\|=\lambda$ then:
(A) If $\lambda_{0} \leqq \mu \leqq \lambda$ then $\mu \in N$ and $|\mu \cap N|=\mu$ (so $\lambda \rightarrow \mu\left[\boldsymbol{N}_{0}\right]$ ).
(B) For every $a \in \lambda$ there is $b$ such that $a \in b \in N$, and $|b|<\lambda_{0}$.
(C) If $\lambda^{\lambda_{0}}=\lambda$ then $\mathrm{Jn}_{\mathrm{n}}(\lambda)$.

Proof. (A) Like 5(A) (notice we can assume $\lambda_{0}$ is minimal with such properties, hence definable in $M_{\lambda}$ ).
(B) Let $\mu$ be a minimal cardinal such that for some $b_{\mu},\left|b_{\mu}\right| \leqq \mu, a \in b_{\mu} \in N$. Now $\mu \leqq \lambda$ as we can choose $b_{\lambda}=\lambda$.

Let us prove $\mu<\lambda_{0}$; otherwise as $b_{\mu} \in N$ also $\mu=\left|b_{\mu}\right| \in N$, so in $N$ there is a function $f$ from $\mu$ onto $b_{\mu}$. We know by 15(A) that $|\mu \cap N|=\mu$, so $N \cap \mu$ is unbounded in $\mu$, so there is $\alpha<\mu, \alpha \in N$ such that $a \in\{f(\beta) ; \beta<\alpha\}$. Now $b^{\prime}=\{f(\beta): \beta<\alpha\} \in N$ contradicts $\mu$ 's minimality.
(C) It suffices to prove $\lambda \subseteq N$, so let $a \in \lambda$. By 15 (B) there is $b \in N,|b| \leqq$ $\lambda_{0}, a \in b$, and as $\lambda_{0} \in N$ we can assume $|b|=\lambda_{0}$. As $|N \cap \lambda|=\lambda$ there is a set $A \subseteq \lambda \cap N,|A|=\lambda_{0}$ and necessarily $A \in M_{\lambda}^{1}$ but possibly $A \notin N$. Let $F^{*} \in N$ be a function from $\lambda$ onto $\left\{B \subseteq \lambda:|B|=\lambda_{0}\right\}$; so for some $i, j<\lambda, F^{*}(i)=$ $A, F^{*}(j)=b$. By $15(\mathrm{~A})$ there is $C \in N,|C| \leqq \lambda_{0}$ such that $i, j \in C$. $\left\{F^{*}(\alpha): \alpha \in C\right\}$ is a family of $\leqq \lambda_{0}$ sets each of power exactly $\lambda_{0}$. So there is a
function $g \in N, \operatorname{Dom} g=\bigcup_{\alpha \in C} F^{*}(\alpha)$, such that for every $\alpha \in C,\{g(x): x \in$ $\left.F^{*}(\alpha)\right\}=\operatorname{Dom} g$ (clearly $|\operatorname{Dom} g|=\lambda_{0}$ ).

This holds for $\alpha=i$, but $g \in N, A=F^{*}(i) \subseteq N$; so Dom $g \subseteq N$, but $a \in b=$ $F^{*}(j), j \in C$ so $a \in N$.

Conclusion 17. Suppose $2^{\aleph_{\alpha}}=\boldsymbol{N}_{\alpha+\gamma+1}$, then $\operatorname{Jn}\left(2^{\kappa_{\alpha}}\right)$ if (A) or (B) or (C):
(A) $\gamma<\omega_{1}$,
(B) $2^{\mu_{\alpha}} \rightarrow \mu\left[\aleph_{0}\right]$ for every $\mu \leqq|\gamma|$,
(C) $\beta<\alpha \Rightarrow 2^{\boldsymbol{N}_{\beta}}<2^{\boldsymbol{N}_{\alpha}}$, and $\operatorname{Jn}\left(\boldsymbol{N}_{\alpha}\right)$ and $\gamma<\boldsymbol{N}_{\alpha+1}$.

Proof. Similar to 14.

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