

# Large intervals in the clone lattice

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**ABSTRACT.** We give three examples of cofinal intervals in the lattice of (local) clones on an infinite set  $X$ , whose structure is on the one hand non-trivial but on the other hand reasonably well understood. Specifically, we will exhibit clones  $\mathcal{C}_1$ ,  $\mathcal{C}_2$ ,  $\mathcal{C}_3$  such that

- (1) the interval  $[\mathcal{C}_1, \mathcal{O}]$  in the lattice of local clones is (as a lattice) isomorphic to  $\{0, 1, 2, \dots\}$  under the divisibility relation,
- (2) the interval  $[\mathcal{C}_2, \mathcal{O}]$  in the lattice of local clones is isomorphic to the congruence lattice of an arbitrary semilattice,
- (3) the interval  $[\mathcal{C}_3, \mathcal{O}]$  in the lattice of all clones is isomorphic to the lattice of all filters on  $X$ .

## 1. Introduction

**Definition 1.1.** Let  $X$  be a nonempty set. The *full clone* on  $X$ , called  $\mathcal{O}_X$  or just  $\mathcal{O}$ , is the set of all finitary functions (or “operations”) on  $X$ :  $\mathcal{O}_X = \bigcup_{n=1}^{\infty} \mathcal{O}^{(n)}$  where  $\mathcal{O}^{(n)}$  is the set of all functions from  $X^n$  into  $X$ . A *clone* (on  $X$ ) is a set  $\mathcal{C} \subseteq \mathcal{O}$  which contains all projections and is closed under composition. Alternatively,  $\mathcal{C}$  is a clone if  $\mathcal{C}$  is the set of term functions of some universal algebra over  $X$ . Identifying a clone  $\mathcal{C}$  with the algebra  $(X, \mathcal{C})$  (whose term functions are the elements of  $\mathcal{C}$ ) allows us to talk about subalgebras and automorphisms of  $\mathcal{C}$ .

The set of clones over  $X$  forms a complete algebraic lattice with largest element  $\mathcal{O}$ . The coatoms of this lattice are called “precomplete clones” or “maximal clones”. (See also [Sz86], [PK79].)

**Definition 1.2.** A clone  $\mathcal{C}$  is called a *local clone* iff each set  $\mathcal{C}^{(k)} := \mathcal{C} \cap \mathcal{O}^{(k)}$  is closed in the product topology (Tychonoff topology) on  $X^{X^k}$ , where  $X$  is

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taken to be discrete. In other words,  $\mathcal{C}$  is local iff:

Whenever  $f \in \mathcal{O}^{(k)} \setminus \mathcal{C}$ , then there is a finite “witness” for it, i.e., there is a finite  $A \subseteq X^k$  such that for all  $g \in \mathcal{C}$ :  $g|A \neq f|A$ .

The set of local clones over  $X$  forms again a complete lattice with largest element  $\mathcal{O}$ .

For any  $k$ -ary relation  $\rho \subseteq X^k$ , the set  $\text{Pol}(\rho)$  is the set of all functions preserving  $\rho$ . We will only need two special cases of this construction:

**Definition 1.3.** For any  $A \subseteq X$ , let  $\text{Pol}(A) := \bigcup_{n=1}^{\infty} \{f \in \mathcal{O}^{(n)} : f[A^n] \subseteq A\}$ , and for any unary (partial) function  $h$ , let

$$\text{Pol}(h) := \bigcup_{n=1}^{\infty} \{f \in \mathcal{O}^{(n)} : \forall \bar{x} = (x_1, \dots, x_n) : f(h(x_1), \dots, h(x_n)) = h(f(\bar{x}))\}.$$

#### Definition 1.4.

- The ternary discriminator  $t$  (on the base set  $X$ ) is defined to be the function  $t: X^3 \rightarrow X$  satisfying  $t(x, x, z) = z$  and  $t(x, y, z) = x$  whenever  $x \neq y$ .
- An *internal isomorphism* of an algebra  $(X, \mathcal{C})$  is a bijection  $h: U \rightarrow V$  between two subalgebras of  $(X, \mathcal{C})$  which is compatible with all operations of  $\mathcal{C}$ , i.e.,  $\mathcal{C} \subseteq \text{Pol}(h)$ . We write  $\text{Iso}(\mathcal{C})$  for the set of all internal isomorphisms.
- A local clone  $\mathcal{C}$  is called *locally quasiprimal* iff the elements of  $\mathcal{C}$  are exactly the operations which are compatible with all internal isomorphisms of  $\mathcal{C}$ , i.e., if  $\mathcal{C} = \bigcap_{h \in \text{Iso}(\mathcal{C})} \text{Pol}(h)$ . (Note that the inclusion  $\subseteq$  holds by definition of  $\text{Iso}(\mathcal{C})$ .)

**Theorem 1.5** (Pixley’s theorem, see [Px71] and [Px82]). *A local clone  $\mathcal{C}$  is locally quasiprimal if  $t \in \mathcal{C}$ , where  $t$  is the ternary discriminator.*

In the following sections, we will use Pixley’s theorem to describe intervals in the lattice of local clones. As a warmup, consider the following example:

**Example 1.6.** Fix an infinite set  $X$ , and let  $s: X \rightarrow X$  be a 1–1 onto map without cycles. For  $n > 0$ ,  $s^n$  is the  $n$ -th iterate of  $s$ ,  $s^{-n}$  is the inverse of  $s^n$ , and  $s^0$  is the identity function.

Then  $\mathcal{C}_1 := \text{Pol}(s)$  is a local clone, and the local clones containing  $\text{Pol}(s)$  are exactly the clones  $\text{Pol}(s^n)$  for  $n \in \mathbb{Z}$ ; we have  $\text{Pol}(s^n) \subseteq \text{Pol}(s^k)$  iff  $n$  divides  $k$ .

(These clones, and also the unbounded chain  $\text{Pol}(s^{2^n})$ , were already considered in [RS84].)

*Proof sketch.* First, we note that  $\text{Pol}(s)$  contains the ternary discriminator; hence,  $\text{Pol}(s)$ , as well as any local clone containing it, must be locally quasiprimal. Next, note that:

- (\*) For all  $a, b \in X$ , there is a map  $f \in \text{Pol}(s)$  with  $f(a) = b$ .

[Why? Define  $f(s^n(a)) := s^n(b)$  for all  $n \in \mathbb{Z}$  and  $f(x) = x$  for all  $x$  not of the form  $s^n(a)$ .]

Hence,  $(X, \text{Pol}(s))$  has no proper subalgebras, so the internal isomorphisms of  $(X, \text{Pol}(s))$  are exactly the automorphisms of  $(X, \text{Pol}(s))$ . Clearly,  $s$  is an automorphism of this structure, and using  $(*)$ , it is easy to see that every automorphism must be of the form  $s^n$  for some  $n \in \mathbb{Z}$ .

Now let  $\mathcal{D}$  be a local clone above  $\text{Pol}(s)$ . Let  $I$  be the set of internal isomorphisms (=automorphisms) of  $(X, \mathcal{D})$ . Then  $I$  is a subset and even a subgroup of  $\{s^n : n \in \mathbb{Z}\}$ , say  $I = \{s^{nk_0} : n \in \mathbb{Z}\}$  for some  $k_0 \in \mathbb{Z}$ .

Hence,  $\mathcal{D}$  and  $\text{Pol}(s^{k_0})$  have the same set of internal isomorphisms; as both clones are locally quasiprimal, they must be equal.  $\square$

## 2. A large interval of local clones

**Theorem 2.1.** *Let  $(X, \vee)$  be a semilattice, and let  $\text{Con}(X, \vee)$  be the lattice of congruences on  $(X, \vee)$ . Let  $\mathcal{C}_2$  be the clone of all operations that are bounded by the sup function of the appropriate arity:*

$$\mathcal{C}_2 := \bigcup_{k=1}^{\infty} \{f \in \mathcal{O}^{(k)} : \forall x_1, \dots, x_k \ f(x_1, \dots, x_k) \leq x_1 \vee \dots \vee x_k\}.$$

(Here,  $x \leq y \Leftrightarrow x \vee y = y$  is the usual semilattice order.)

Then  $[\mathcal{C}_2, \mathcal{O}_X] \simeq \text{Con}(X, \vee)$ . That is, there is a lattice isomorphism between the set of local clones above  $\mathcal{C}_2$  and the set of congruences of  $(X, \vee)$ .

**Remark 2.2.** If  $\emptyset \subsetneq I \subsetneq X$  is an ideal, then the partition  $\{I, X \setminus I\}$  corresponds to a congruence relation which is a coatom in  $\text{Con}(X, \vee)$ . In fact, all coatoms are obtained in this form. It is clear that  $\text{Con}(X, \vee)$  is dually atomic.

It will be notationally more convenient to deal with congruence orders rather than congruence relations.

**Definition 2.3.** Let  $(X, \vee)$  be a semilattice. We call  $\preccurlyeq \subseteq X \times X$  a *congruence order* on  $(X, \vee)$  if  $\preccurlyeq$  is transitive, extends the semilattice order  $\leq$  and satisfies

$$\forall x, y, z \in X : x \preccurlyeq z \ \& \ y \preccurlyeq z \Rightarrow (x \vee y) \preccurlyeq z. \quad (**)$$

The following fact is easy to check:

**Fact 2.4.** The maps

$$\begin{aligned} \preccurlyeq &\mapsto \{(x, y) : x \preccurlyeq y \ \& \ y \preccurlyeq x\} \\ \theta &\mapsto \{(x, y) : (x \vee y, y) \in \theta\} \end{aligned}$$

are monotone bijections between the congruence relations  $\theta$  and congruence orders  $\preccurlyeq$  on  $(X, \vee)$ , and they are inverses of each other.

**Definition 2.5.** For any clone  $\mathcal{C}$  on the set  $X$  and any subset  $E \subseteq X$ , we write  $\langle E \rangle_{\mathcal{C}}$  for the subalgebra of  $(X, \mathcal{C})$  that is generated by  $E$ . In other words:  $\langle E \rangle_{\mathcal{C}} = \bigcup_{k=1}^{\infty} \{f(\bar{a}) : \bar{a} \in E^k, f \in \mathcal{C}^{(k)}\}$ .

**Definition 2.6.** We define a correspondence between clones on  $X$  and pre-orders (quasiorders) on  $X$  through two maps  $\mathcal{C} \mapsto R_{\mathcal{C}}$  and  $\preccurlyeq \mapsto \mathcal{E}(\preccurlyeq)$ .

- For any clone  $\mathcal{C}$  on  $X$ , let  $R_{\mathcal{C}}$  be the preorder on  $X$  defined by

$$x R_{\mathcal{C}} y \Leftrightarrow x \in \langle y \rangle_{\mathcal{C}}.$$

The associated equivalence relation  $\sim_{\mathcal{C}}$  is then given by  $\langle x \rangle_{\mathcal{C}} = \langle y \rangle_{\mathcal{C}}$ , and the algebra  $\langle y \rangle_{\mathcal{C}}$  generated by  $y$  is just the half-open interval

$$\langle y \rangle_{R_{\mathcal{C}}} := \{x \in X : x R_{\mathcal{C}} y\}.$$

- For any preorder  $\preccurlyeq$  on  $X$ , let the clone  $\mathcal{E}(\preccurlyeq)$  be defined by

$$\mathcal{E}(\preccurlyeq) = \bigcap_{a \in X} \text{Pol}((a]_{\preccurlyeq}).$$

**Lemma 2.7.** *Let  $\leq_1$  and  $\leq_2$  be congruence orders on  $(X, \vee)$ , and assume  $x \leq_1 y \rightarrow x \leq_2 y$ . Then  $\mathcal{E}(\leq_1) \subseteq \mathcal{E}(\leq_2)$ .*

*Proof.* Let  $f$  be a  $k$ -ary function in  $\mathcal{E}(\leq_1)$ . Let  $a \in X$ ,  $b_1, \dots, b_k \leq_2 a$ . We have to show  $f(b_1, \dots, b_k) \leq_2 a$ .

Let  $b^* := b_1 \vee \dots \vee b_k$ . Then

- As  $b_i \leq_1 b^*$  for all  $i$ , we have  $f(b_1, \dots, b_k) \leq_1 b^*$ ; hence  $f(b_1, \dots, b_k) \leq_2 b^*$ .
- As  $\leq_2$  is a congruence order, we conclude from  $b_i \leq_2 a$  that also  $b^* \leq_2 a$  holds.
- Hence,  $f(b_1, \dots, b_k) \leq_2 a$ . □

**Lemma 2.8.** *Let  $\preccurlyeq$  be a preorder on  $X$ . Then the following are equivalent for all  $a, b \in X$ :*

- (i)  $a \preccurlyeq b$ .
- (ii)  $\chi_{a,b} \in \mathcal{E}(\preccurlyeq)$ , where  $\chi_{a,b}$  maps  $b$  to  $a$ , and is the identity otherwise.
- (iii) There is a unary function  $f \in \mathcal{E}(\preccurlyeq)$  with  $f(b) = a$ .
- (iv)  $a \in \langle b \rangle_{\mathcal{E}(\preccurlyeq)}$ , i.e.,  $a R_{\mathcal{E}(\preccurlyeq)} b$ .

*Proof.* The implications (i)  $\Rightarrow$  (ii)  $\Rightarrow$  (iii)  $\Rightarrow$  (i) and (iii)  $\Leftrightarrow$  (iv) are all easy. □

**Lemma 2.9.** *Let  $\mathcal{C}$  be a clone on  $X$  and  $\mathcal{D} := \mathcal{E}(R_{\mathcal{C}})$ . Then  $\mathcal{C}$  and  $\mathcal{D}$  have the same 1-generated subalgebras:  $\forall b \in X : \langle b \rangle_{\mathcal{C}} = \langle b \rangle_{\mathcal{D}}$ .*

*Proof.* By the equivalence (i)  $\Leftrightarrow$  (iv) in Lemma 2.8, the relations  $R_{\mathcal{C}}$  and  $R_{\mathcal{D}}$  coincide. Now  $\langle b \rangle_{\mathcal{C}} = (b]_{R_{\mathcal{C}}} = (b]_{R_{\mathcal{D}}} = \langle b \rangle_{\mathcal{D}}$ . □

The relation  $\langle x \rangle_{\mathcal{C}} \subseteq \langle y \rangle_{\mathcal{C}}$  carries information only about the unary functions of  $\mathcal{C}$ ; in our context, however, this is sufficient, because our clones  $\mathcal{C}$  are generated by  $\mathcal{C}^{(1)} \cup \{\vee\}$ . The “encoding” property defined below will help us to reduce questions about subalgebras to questions about 1-generated subalgebras.

**Definition 2.10.** Let  $\mathcal{C}$  be a clone on  $X$ ,  $*$  a binary function in  $\mathcal{C}$ . We say that  $(\mathcal{C}, *)$  encodes pairs iff  $\langle x, y \rangle_{\mathcal{C}} = \langle x * y \rangle_{\mathcal{C}}$  for all  $x, y \in X$ .

**Fact 2.11.** Assume that both  $(\mathcal{C}, *)$  and  $(\mathcal{D}, *)$  encode pairs. Then the following are equivalent:

- (1)  $\mathcal{C}$  and  $\mathcal{D}$  have the same subalgebras.

- (2)  $\mathcal{C}$  and  $\mathcal{D}$  have the same finitely generated subalgebras.  
(3)  $\langle x \rangle_{\mathcal{C}} = \langle x \rangle_{\mathcal{D}}$  for all  $x \in X$ .

**Lemma 2.12.** *Let  $(X, \vee)$  be a semilattice, and let  $x \leq y$  iff  $x \vee y = y$ . Then*

- (1)  $\mathcal{E}(\leq)$  is a local clone containing the binary function  $\vee$  as well as the ternary discriminator. In fact,  

$$\mathcal{E}(\leq) = \bigcup_{k=1}^{\infty} \{f \in \mathcal{O}^{(k)} : \forall x_1, \dots, x_k f(x_1, \dots, x_k) \leq x_1 \vee \dots \vee x_k\} = \mathcal{C}_2.$$
- (2)  $\langle x, y \rangle_{\mathcal{C}_2} = \langle x \vee y \rangle_{\mathcal{C}_2}$ , and similarly  $\langle x, y \rangle_{\mathcal{D}} = \langle x \vee y \rangle_{\mathcal{D}}$  for all clones  $\mathcal{D} \supseteq \mathcal{C}_2$ .
- (3) If  $U, V \leq (X, \mathcal{C}_2)$ , and  $h: U \rightarrow V$  is an isomorphism with respect to the operations in  $\mathcal{C}_2$ , then one of the following holds:
  - $U = V$ , and  $h$  is the identity on  $U$ .
  - $U$  and  $V$  are singleton subalgebras.

*Proof.* (1) and (2) are obvious.

(3): For any  $a, b$ , define  $\psi_{a,b}(a, b) = a$ , and  $\psi_{a,b}(x, y) = y$  otherwise. Clearly,  $\psi_{a,b} \in \mathcal{C}_2$ .

Assume that  $U$  contains at least 2 elements, and let  $u \in U$  with  $h(u) \neq u$ . If there is some element  $a < u$  in  $U$ , then the inequality

$$h(\psi_{a,u}(a, u)) = h(a) \neq h(u) = \psi_{a,u}(h(a), h(u))$$

shows that  $h$  is not an internal isomorphism. Otherwise, let  $u' \in U \setminus \{u\}$  and  $b := u \vee u' \in U$ , then  $u < b$ , and we get

$$h(\psi_{u,b}(u, b)) = h(u) \neq h(b) = \psi_{u,b}(h(u), h(b)). \quad \square$$

*Proof of Theorem 2.1.* We just need to collect a few implications:

- (1) For any local clone  $\mathcal{D} \supseteq \mathcal{C}_2$ , the relation  $R_{\mathcal{D}}$  is a congruence order. [The main property to check is 2.3(\*\*): If  $\langle x \rangle_{\mathcal{D}} \subseteq \langle z \rangle_{\mathcal{D}}$  and  $\langle y \rangle_{\mathcal{D}} \subseteq \langle z \rangle_{\mathcal{D}}$ , then  $\langle x \vee y \rangle_{\mathcal{D}} = \langle x, y \rangle_{\mathcal{D}} \subseteq \langle z \rangle_{\mathcal{D}}$ .]
- (2) The map  $\mathcal{D} \mapsto R_{\mathcal{D}}$  is monotone on the set of all clones  $\mathcal{D} \supseteq \mathcal{C}_2$ . [Obvious.]
- (3) For any congruence order  $\preccurlyeq$ , the clone  $\mathcal{E}(\preccurlyeq)$  is a local clone extending  $\mathcal{C}_2$ . [Obvious.]
- (4) The map  $\preccurlyeq \mapsto \mathcal{E}(\preccurlyeq)$  is monotone on the set of congruence orders. [By 2.7.]
- (5) Any congruence order  $\preccurlyeq$  coincides with  $R_{\mathcal{E}(\preccurlyeq)}$ . [By 2.8.]
- (6) Finally, we claim that each local clone  $\mathcal{D} \supseteq \mathcal{C}_2$  coincides with  $\mathcal{D}' := \mathcal{E}(R_{\mathcal{D}})$ : From 2.9, we know that  $\mathcal{D}$  and  $\mathcal{D}'$  have the same 1-generated subalgebras, so from 2.11, we conclude that they have the same subalgebras. By 2.12, they have the same internal isomorphisms, so by Pixley's theorem they must be equal.  $\square$

**Example 2.13.** Let  $(X, <)$  be a linearly ordered set. Then the congruence relations on  $(X, \max)$  are exactly the equivalence relations with convex classes. As a special case, consider the semilattice  $(\mathbb{N}, \max)$ . A congruence relation is just a partition of  $\mathbb{N}$  into disjoint intervals.

The map  $\theta \mapsto A_\theta := \{\max E : E \text{ is a finite congruence class}\}$  is an antitone 1–1 map from the congruence relations onto  $\mathcal{P}(\mathbb{N})$ , the power set of  $\mathbb{N}$ . The empty set corresponds to  $\emptyset$ , or to the equivalence relation with a single class; the set  $\mathbb{N}$  itself corresponds to  $\mathcal{C}_2$ , or to the equivalence with singleton classes.

### 3. A large interval of clones

On any infinite set  $X$ , we will define a clone  $\mathcal{C}_3$  such that the interval  $[\mathcal{C}_3, \mathcal{O}]$  in the full clone lattice is very large (with  $2^{2^{|X|}}$  precomplete elements) but still reasonably well understood.

#### Definition 3.1.

- (1) For  $A \subseteq X$  and  $n \geq 1$ , let  $\Delta_n(A) := \{(a, \dots, a) \in X^n : a \in A\}$ .
- (2) For any function  $f \in \mathcal{O}^{(n)}$ , let  $f^{(1)} \in \mathcal{O}^{(1)}$  be defined by  $f^{(1)}(x) = f(x, \dots, x)$ .
- (3) For any function  $f \in \mathcal{O}^{(n)}$ , we let  $\text{fix}(f) = \{x : f^{(1)}(x) = x\}$ , and  $\text{nix}(f) = \{x : f^{(1)}(x) \neq x\}$ .
- (4) For any clone  $\mathcal{C}$ , we define  $\text{fix}(\mathcal{C}) := \{\text{fix}(f) : f \in \mathcal{C}\}$ , and  $\text{nix}(\mathcal{C}) := \{\text{nix}(f) : f \in \mathcal{C}\}$ .
- (5) For any family  $T \subseteq \mathcal{P}(X)$ , we define

$$\mathcal{E}_T := \{f \in \mathcal{O} : \text{fix}(f) \in T\} = \bigcup_{A \in T} \bigcap_{a \in A} \text{Pol}(\{a\}).$$

**Definition 3.2.** Let  $\mathcal{C}_3 := \mathcal{E}_{\{X\}}$  be the clone of *idempotent* functions, i.e., of all functions satisfying  $f(x, \dots, x) = x$  for all  $x$ .

**Theorem 3.3.** *The map  $T \rightarrow \mathcal{E}_T$  is an order isomorphism between the set of all filters on  $X$  (including the improper filter  $\mathcal{P}(X)$ ) and the set of all clones above  $\mathcal{C}_3$ .*

In particular, the precomplete clones above  $\mathcal{C}_3$  are exactly the clones of the form  $\mathcal{E}_U$ , where  $U$  is an ultrafilter on  $X$ .

**Remark 3.4.** The subalgebras of  $\mathcal{C}_3$  are exactly all singleton sets, and  $\mathcal{C}_3$  contains the ternary discriminator. Hence, every local clone above  $\mathcal{C}_3$  is determined by its subalgebras. For every  $A \subseteq X$ , the clone

$$\mathcal{E}_{\{A\}} := \bigcap_{a \in A} \text{Pol}(\{a\}) = \{f : f(a, a, \dots, a) = a \text{ for all } a \in A\}$$

is a local clone whose subalgebras are exactly the singleton sets  $\{a\}$  with  $a \in A$ . Hence, the local clones above  $\mathcal{C}_3$  are exactly the clones of the form  $\mathcal{E}_{\{A\}}$  for  $A \subseteq X$ . In the language of Theorem 3.3: the local clones above  $\mathcal{C}_3$  are exactly the clones corresponding to principal filters.

**Lemma 3.5.** *Assume that  $\mathcal{D} \supseteq \mathcal{C}_3$  is a clone,  $f \in \mathcal{D}$ , and  $\text{fix}(f) \subseteq \text{fix}(g)$ . Then  $g \in \mathcal{D}$ . Hence, every clone  $\mathcal{D} \supseteq \mathcal{C}_3$  is determined by  $\text{nix}(\mathcal{D})$ :  $f \in \mathcal{D} \Leftrightarrow \text{nix}(f) \in \text{nix}(\mathcal{D})$ .*

*Proof.* For  $\bar{x} = (x_1, \dots, x_n)$ , define

$$G(\bar{x}, y) = \begin{cases} x_1 & \text{if } x_1 = \dots = x_n = y, \\ g(\bar{x}) & \text{otherwise.} \end{cases}$$

Clearly,  $G \in \mathcal{C}_3$ . Let  $\bar{x} \in \Delta_n(\text{fix}(f)) \subseteq \Delta_n(\text{fix}(g))$ ; then  $g(\bar{x}) = G(\bar{x}, f^{(1)}(x_1)) = x_1$ . If  $\bar{x} \in \Delta_n(X) \setminus \Delta_n(\text{fix}(f))$ , then  $f^{(1)}(x_1) \neq x_1$ , so  $G(\bar{x}, f^{(1)}(x_1)) = g(\bar{x})$  by definition; the same holds for  $\bar{x} \notin \Delta_n(X)$ . Hence,  $g(\bar{x}) = G(\bar{x}, f^{(1)}(x_1))$  for all  $\bar{x} \in X^n$ .  $\square$

**Lemma 3.6.** *Let  $\mathcal{D}$  be a clone with  $\mathcal{C}_3 \subseteq \mathcal{D}$ ,  $\mathcal{D} \neq \emptyset$ . Then  $\text{nix}(\mathcal{D})$  is an ideal, and  $\text{fix}(\mathcal{D})$  is a filter.*

*Proof.* Lemma 3.5 shows that  $\text{nix}(\mathcal{D})$  is downward closed. Now let  $A_\ell = \text{nix}(f_\ell)$ ,  $f_\ell \in \mathcal{D}$  for  $\ell = 1, 2$ , and assume that  $A_1 \cap A_2 = \emptyset$ .

Let  $B = X \setminus (A_1 \cup A_2)$ . We may assume that either  $|A_1| \geq 2$ , or  $B \neq \emptyset$  (or both). In either case, there is a unary function  $f'_1$  with  $\text{nix}(f'_1) = A_1$ , and  $f'_1$  maps  $A_1$  into  $A_1 \cup B$ . By Lemma 3.5,  $f'_1 \in \mathcal{D}$ . So,  $f_2 \circ f'_1 \in \mathcal{D}$ . Since  $\text{nix}(f_2 \circ f'_1) = A_1 \cup A_2$ , we see that  $\text{nix}(\mathcal{D})$  is closed under  $\cup$ .  $\square$

**Fact 3.7.** For all filters  $T$  and all  $A \in T$ , there is a unary function  $f$  satisfying  $\text{fix}(f) = A$ .

*Proof of Theorem 3.3.* Again, we just collect some implications.

- (1) The maps  $\mathcal{D} \mapsto \text{fix}(\mathcal{D})$  and  $T \mapsto \mathcal{E}_T$  are clearly monotone with respect to set inclusion.
- (2) For every clone  $\mathcal{C} \supseteq \mathcal{C}_3$ , the set  $\text{fix}(\mathcal{C})$  is a filter (by Lemma 3.6).
- (3) For every filter  $T$ , the set  $\mathcal{E}_T$  is a clone. (Obvious.)
- (4) For every filter  $T$ , we have  $T = \text{fix}(\mathcal{E}_T)$ . (By Fact 3.7.)
- (5) For every clone  $\mathcal{D} \supseteq \mathcal{C}_3$ , we have  $\mathcal{D} = \mathcal{E}_{\text{fix}(\mathcal{D})}$ : Let  $\mathcal{D}' = \mathcal{E}_{\text{fix}(\mathcal{D})}$ . From the previous item we conclude  $\text{fix}(\mathcal{D}') = \text{fix}(\mathcal{D})$ .

Now by Lemma 3.5 we see  $\mathcal{D} = \mathcal{D}'$ .  $\square$

**Remark 3.8.** If we regard the set  $X$  as a discrete topological space, then the Stone–Čech compactification of  $X$  is

$$\beta X = \{U : U \text{ is an ultrafilter on } X\}.$$

There is a canonical 1–1 order-preserving correspondence between the filters on  $X$  (ordered by  $\subseteq$ ) and the closed subsets of  $\beta X$  (ordered by  $\supseteq$ ).

So, the interval  $[\mathcal{C}_3, \emptyset]$  in the full clone lattice is isomorphic (as a complete lattice) to the family of closed subsets of  $\beta X$ , ordered by reverse inclusion:  $\emptyset$  corresponds to the empty set, each precomplete clone in  $[\mathcal{C}_3, \emptyset]$  corresponds to a singleton set.

Note that for any closed subset  $F \subseteq \beta X$  and any  $p \in \beta X \setminus F$ , also  $F \cup \{p\}$  is closed, and moreover,

$F$  covers  $G$  (i.e.,  $F \supset G$ , and the interval  $(G, F)$  is empty) iff  $G = F \cup \{p\}$  for some  $p \in \beta X \setminus F$

In particular, let  $\mathcal{C}_{\text{bd}} \supseteq \mathcal{C}_3$  be the clone corresponding to the ideal of small sets, i.e.,

$$\mathcal{C}_{\text{bd}} := \{f \in \mathcal{O} : \exists B \subseteq X, |B| < |X|, \forall x \in X \setminus B : f(x, \dots, x) = x\}.$$

Then every clone  $\mathcal{C} \supsetneq \mathcal{C}_{\text{bd}}$  has exactly  $2^{2^{|X|}}$  lower neighbors in the clone lattice; the clone corresponds to a closed set  $F$ , and the lower neighbors correspond to closed sets  $F \cup \{p\}$ . This is a special case of a theorem of [Ma81].

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