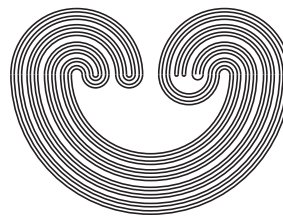

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ON A PROBLEM OF JUHÁSZ AND VAN MILL

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ABSTRACT. A 30-year-old and still open problem of I. Juhász and J. van Mill asks whether there exists a cardinal κ such that every regular dense in itself countably compact space has a dense in itself subset of cardinality at most κ . We give a negative answer for the analogous question where *regular* is weakened to *Hausdorff*, and *countably compact* is strengthened to *sequentially compact*.

1. INTRODUCTION

Every compact Hausdorff dense in itself topological space contains a countable subset which is dense in itself [1]. A space is *countably compact* if each countably infinite subset of that space has a cluster point. M. G. Tkačenko [3] asked whether every regular countably compact dense in itself topological space contains a countable subset which is dense in itself. This was answered in the negative by I. Juhász and J. van Mill [1], who concluded their paper with the following:

Problem 1 (Juhász and van Mill [1]). *Does there exist a cardinal κ such that every regular dense in itself countably compact topological space has a dense in itself subset of cardinality at most κ ?*

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Despite several efforts made in the past, the problem is still open. We will prove the following. A topological space is *scattered* if each of its nonempty subsets has an isolated point.

Theorem 2. *Assume that $\lambda^{\aleph_0} = \lambda$. There exists a Hausdorff space S such that*

- (1) $|S| = \lambda$;
- (2) S is dense in itself;
- (3) each subspace of S of cardinality less than the cofinality of λ is scattered; and
- (4) S is sequentially compact.

The cardinals satisfying the assumption of the theorem are those of the form $\lambda = \mu^{\aleph_0}$. Note that in this case, $\text{cf}(\lambda) > \aleph_0$. By the Hausdorff formula, if $\lambda^{\aleph_0} = \lambda$, then we have that

$$(\lambda^+)^{\aleph_0} = \max\{\lambda^+, \lambda^{\aleph_0}\} = \lambda^+,$$

so for each cardinal κ , we can take $\lambda = (\kappa^{\aleph_0})^+$ (which is regular and greater than κ) to obtain a negative answer to Problem 1 when stated for Hausdorff spaces.

Of course, the original Problem 1 is still interesting, and we hope that this paper will revive the interest in it.

2. PROOF OF THEOREM 2

Definition 3. For a cardinal λ , let λ^* denote the collection of finite sequences of elements of λ , and define a topology on λ^* with subbase consisting of the ϵ -neighborhoods

$$[\eta]_\epsilon = \{\eta\} \cup \{\rho \in \lambda^* : \eta \subset \rho \text{ and } \rho(|\eta|) \geq \epsilon\},$$

of each $\eta \in \lambda^*$, where $\epsilon < \lambda$.

Lemma 4.

- (1) $|\lambda^*| = \lambda$.
- (2) λ^* is dense in itself.
- (3) For each $Y \subseteq \lambda^*$ with $|Y| < \text{cf}(\lambda)$,
 - (a) there exists $\epsilon < \lambda$ such that the ϵ -neighborhoods $[\eta]_\epsilon$, $\eta \in Y$, are pairwise disjoint;
 - (b) Y is closed and discrete.
- (4) Every two disjoint subsets of λ^* of cardinality smaller than $\text{cf}(\lambda)$ can be separated by disjoint open subsets of λ^* .

Proof: (1) is immediate.

To prove (2), note that each $\eta \in \lambda^*$ is the accumulation point of $\{\eta \frown \epsilon : \epsilon < \lambda\}$.

(3a) We claim that for all distinct $\nu, \eta \in \lambda^*$, there exists $\epsilon_{\nu, \eta} < \lambda$ such that $[\eta]_{\epsilon_{\nu, \eta}} \cap [\nu]_{\epsilon_{\nu, \eta}} = \emptyset$. Indeed, if ν and η are incomparable with regards to \subseteq , then we can take $\epsilon_{\nu, \eta} = 0$. Otherwise, assume that $\nu \subset \eta$. Then we can take $\epsilon_{\nu, \eta} = \eta(|\nu|) + 1$.

Assume that $|Y| < \text{cf}(\lambda)$. Define $\epsilon = \sup\{\epsilon_{\nu, \eta} : \nu, \eta \in Y\}$. As $|Y| < \text{cf}(\lambda)$, $\epsilon < \lambda$ and is as required.

(3b) Assume that $|Y| < \text{cf}(\lambda)$. By (3a), Y is discrete. To see that Y is closed, assume that y is in the closure of Y , and apply (3a) to $Y \cup \{y\}$ to see that $y \in Y$.

(4) follow from (3a), either. \square

The proof of the forthcoming Proposition 6 uses weak bases. A *weak base* of a topological space X is a family of the form $\bigcup_{x \in X} \mathcal{B}(x)$ such that

- (1) for each $x \in X$,
 - (a) $x \in B$ for all $B \in \mathcal{B}(x)$;
 - (b) for all $B_1, B_2 \in \mathcal{B}(x)$, $B_1 \cap B_2$ contains an element of $\mathcal{B}(x)$;
- (2) for each $U \subseteq X$, U is open in X if, and only if, for each $x \in U$, U contains an element of $\mathcal{B}(x)$.

A space X with a weak base $\bigcup_{x \in X} \mathcal{B}(x)$ is *weakly locally-countable/Hausdorff/regular* if, respectively,

- (1) for each $x \in X$, there is a countable element in $\mathcal{B}(x)$;
- (2) for all $x, y \in X$, there are disjoint $B_x \in \mathcal{B}(x), B_y \in \mathcal{B}(y)$;
- (3) X is weakly Hausdorff and all elements of $\bigcup_{x \in X} \mathcal{B}(x)$ are closed.

Lemma 5 (Nyikos-Vaughan [2]). *For each weakly locally-countable space X ,*

- (1) X is locally countable, and
- (2) if X is weakly regular, then it is Hausdorff.

Proof: For the reader's convenience, we reproduce the proofs.

(1) Let $x \in X$. Set $U_0 = \{x\}$ and inductively, for each $y \in U_n$ choose a countable $D_y \in \mathcal{B}(y)$, and set $U_{n+1} = \bigcup_{y \in U_n} D_y$. Then $\bigcup_n U_n$ is a countable open neighborhood of x .

(2) Let $x, y \in X$ be distinct. By (1), there is a countable open neighborhood U of x, y . Enumerate $U = \{x_n : n \in \mathbb{N}\}$. Let $U_0 = \{x\}$ and $V_0 = \{y\}$. By induction on n , assume that U_n and V_n are disjoint and closed. If $x_n \in U_n$, pick $B \in \mathcal{B}(x_n)$ such that $B \subseteq U \setminus V_n$ and let $U_{n+1} = U_n \cup B$ and $V_{n+1} = V_n$. Otherwise, pick $B \in \mathcal{B}(x_n)$ such that $B \subseteq U \setminus U_n$ and let $U_{n+1} = U_n$ and $V_{n+1} = V_n \cup B$.

The sets $U = \bigcup_n U_n$ and $V = \bigcup_n V_n$ are disjoint open neighborhoods of x and y , respectively. \square

Proposition 6. *Assume that $\lambda^{\aleph_0} = \lambda$. There exists a Hausdorff space X such that*

- (1) $|X| = \lambda$;
- (2) X is locally countable;
- (3) X is scattered;
- (4) there are λ isolated points in X ; and
- (5) X is sequentially compact.

Proof: This is proved as in Peter J. Nyikos and Jerry E. Vaughan's "Construction of X_u " [2, p. 313]. In our case, we should replace \mathfrak{c} with λ (and use the premise $\lambda^{\aleph_0} = \lambda$), not worry about the ultrafilter u , and verify that there are λ isolated points in X . We give a full direct proof.

The topology is constructed on the space $X = \lambda$.

Enumerate $[\lambda]^{\aleph_0} = \{D_\alpha : \omega \leq \alpha < \lambda\}$, such that $D_\alpha \subseteq \alpha$ for each α . We construct, inductively on α with $\omega \leq \alpha \leq \lambda$, topologies τ_α on α , starting with $\alpha = \omega$ and taking the discrete topology τ_ω on ω . (For each n , we set $\mathcal{B}(n) = \{n\}$.)

When α is a limit ordinal: Let τ_α be the topology having $\bigcup_{\beta < \alpha} \tau_\beta$ as a base.

When $\alpha = \beta + 1$ is a successor ordinal: If D_β has a cluster point in (β, τ_β) , set $\mathcal{B}(\beta) = \{\{\beta\}\}$ and let τ_α be the topology having $\tau_\beta \cup \{\{\beta\}\}$ as a base.

If D_β has no cluster point in (β, τ_β) , then it is closed and discrete in (β, τ_β) . Let $\mathcal{B}(\beta)$ be the family of all sets $C \cup \{\beta\}$ such that C is a cofinite subset of D_β . Let τ_α be the topology on α with weak base given by the families $\mathcal{B}(\gamma)$, $\gamma < \alpha$. In other words, τ_α is the topology having as a base the sets $U \in \tau_\beta$ as well as the sets U such that $\beta \in U$, $U \cap \beta \in \tau_\beta$, and U contains some member of $\mathcal{B}(\beta)$.

This completes the inductive construction. We take $X = \lambda$, with the topology τ_λ .

For each α , consider the space (α, τ_α) with the weak base given by the families $\mathcal{B}(\beta)$, $\beta < \alpha$, defined in the construction. By definition, it is weakly locally countable. We prove that (α, τ_α) is weakly regular.

The construction is such that open sets remain open in all extensions, and no new relative open sets are added to the already constructed spaces. Thus, sets which are compact at some stage remain compact. At each step, the added weak base elements are either singletons or convergent sequences, and consequently are compact. It thus remains to prove that the spaces are Hausdorff, and this is done by induction. (By Lemma 5, for spaces with a weak base consisting of countable compact sets, being Hausdorff is equivalent to being weakly regular.)

$\alpha = \omega$: This is evident.

α is a limit ordinal: If $\beta < \gamma < \alpha$ are distinct, by the induction hypothesis, they are separated by disjoint open sets from $\tau_{\gamma+1}$.

$\alpha = \beta + 1$ is a successor ordinal: If D_β has a cluster point in (β, τ_β) , then $\mathcal{B}(\beta) = \{\{\beta\}\}$. For each $\gamma < \beta$, the open sets β and $\{\beta\}$ are disjoint and contain the points γ and β , respectively. By the induction hypothesis, any two points in β are separated by disjoint open sets. Thus, (α, τ_α) is Hausdorff.

In the remaining case, where D_β is closed discrete in (β, τ_β) , we prove that (α, τ_α) is weakly regular. Let $\gamma \in \beta$. Then $D_\beta \cup \{\gamma\}$ is discrete in (β, τ_β) . Take $U \in \tau_\beta$ such that $\gamma \in U$ and $U \cap (D_\beta \setminus \{\gamma\}) = \emptyset$. Let $V \in \mathcal{B}(\gamma)$ be a subset of U . Then V is disjoint from $(D_\beta \setminus \{\gamma\}) \cup \{\beta\} \in \mathcal{B}(\beta)$. Thus, (β, τ_β) is weakly Hausdorff.

It remains to show that the elements of the weak base of (α, τ_α) are closed. Let $B \in \mathcal{B}(\beta)$. $B \setminus \{\beta\}$ is a cofinite subset of D_β , and thus $\alpha \setminus B = \beta \setminus (B \setminus \{\beta\}) \in \tau_\beta \subseteq \tau_\alpha$. For $\gamma < \beta$, let $B \in \mathcal{B}(\gamma)$. As B is compact and D_β is closed and discrete in (β, τ_β) , $B \cap D_\beta$ is finite. By the induction hypothesis, B is closed in (β, τ_β) , and therefore $\beta \setminus B \in \tau_\beta$, and contains a cofinite subset of D_β . Thus, $\alpha \setminus B = (\beta \setminus B) \cup \{\beta\} \in \tau_\alpha$.

This completes the proof that X is Hausdorff.

Each nonempty subset A of X has an isolated point: Take $\alpha = \min A$. Then $\alpha \in \alpha + 1 \in \tau_{\alpha+1} \subseteq \tau_\lambda$, and $\alpha + 1$ is disjoint from $A \setminus \{\alpha\}$.

X has λ many isolated points: Let $\beta < \lambda$ be such that $D_\beta = \omega$. For each $\alpha > \beta$, $\omega \cup \{\alpha\}$ is a countable subset of λ which is not a subset of β . Thus, these sets are considered in (successor) stages later than $\beta + 1$, where they already have a cluster point. Consequently, in each of these λ many stages, a new isolated point is added.

X is countably compact: Let A be a countable subset of λ . Let β be such that $D_\beta = A$. Then either A has a cluster point in (β, τ_β) and thus in X (since β is open in X), or else A converges to β (in $(\beta + 1, \tau_{\beta+1})$) and therefore in X .

X is sequentially compact: This can be proved by quoting basic facts. Indeed, X is countably compact and has a countable weak base at each point. Thus, X is sequential, and therefore sequentially compact.

Alternatively, we can argue directly. Let A be a countable subset of λ . Let β be the minimal cluster point of A . As β is not isolated in X , D_β converges to β . In (τ_β, β) , $A \cap \beta$ is closed, and therefore $U = \beta \setminus A$ is open in X . If $D_\beta \setminus U$ is finite, then $U \cup \{\beta\}$ is an open neighborhood of β and $(U \cup \{\beta\}) \cap A \subseteq \{\beta\}$, in contradiction to β being a cluster point of A . Thus, $D_\beta \setminus U = D_\beta \cap A$ is infinite, and is therefore a subsequence of A converging to β . \square

Proof of Theorem 2: Assume that $\lambda^{\aleph_0} = \lambda$, and let X be as in Lemma 6. We may assume that $X \cap \lambda^* = \emptyset$. We will define the required topology on $S = X \cup \lambda^*$.

Let I be the set of isolated points of X , and let $\{A_x : x \in I\}$ be maximal almost disjoint in $[\lambda^*]^{\aleph_0}$. The open sets in the topology of S are those of the form

$$U \cup V,$$

where U is open in X , V is open in λ^* , and for each $x \in I \cap U$, $A_x \setminus V$ is finite. (Note that for $U = \emptyset$, we get that each open set in λ^* is also open in S .)

Clearly, $|S| = \lambda$.

S is Hausdorff: Consider any distinct $x_0, x_1 \in X$ and any distinct $y_0, y_1 \in \lambda^*$. We will find disjoint open sets G_0 and G_1 such that $x_i, y_i \in G_i$, $i = 0, 1$. X is Hausdorff and locally countable. Take disjoint countable open subsets U_0 and U_1 of X containing x_0 and x_1 , respectively. Enumerate $I \cap (U_0 \cup U_1) = \{x_n : n \in \mathbb{N}\}$. For each n , define $\tilde{A}_{x_n} = A_{x_n} \setminus \bigcup_{k < n} A_{x_k}$. Note that \tilde{A}_{x_n} is a cofinite subset of A_{x_n} . By Lemma 4, there are disjoint open sets V_0 and V_1 in λ^* such that

$$(\{y_i\} \cup \bigcup_{x \in I \cap U_i} \tilde{A}_x) \setminus \{y_{1-i}\} \subseteq V_i,$$

$i = 0, 1$. The sets $G_i = U_i \cup V_i$, $i = 0, 1$, are as required.

S is dense in itself: λ^* is dense in itself; hence, (by the definition of open sets in S), each $y \in \lambda^*$ is an accumulation point in S . Let $x \in X$. If x is an accumulation point in X , then x is an accumulation point in S .

Otherwise, x is in the closure of A_x : Indeed, the sets $\{x\} \cup V$, where V is open in λ^* and $A_x \setminus V$ is finite, form a neighborhood base at x , and therefore the elements of A_x converge to x .

Each $Z \subseteq S$ with $|Z| < \text{cf}(\lambda)$ is scattered.

Case 1: $Z \subseteq X$. As X is scattered, we can find $x \in Z$ isolated in X . Then $\{x\} \cup \lambda^*$ is a neighborhood of x in S and is disjoint from $Z \setminus \{x\}$.

Case 2: $Z \cap \lambda^* \neq \emptyset$. $|Z \cap \lambda^*| < \text{cf}(\lambda) \leq \lambda = |I|$. Thus, $Z \cap \lambda^*$ is discrete in λ^* . As each open set in λ^* is also open in S , we are done.

S is sequentially compact: Assume that $Z \in [S]^{\aleph_0}$. If $Z \cap X$ is infinite, then there are $x \in X$ and a subsequence of $Z \cap X$ converging to x . It is clear that the same subsequence will also converge to x in the topology of S . And if not, then $Z \cap \lambda^*$ is infinite. As $\{A_x : x \in I\}$ is maximal almost disjoint in $[\lambda^*]^{\aleph_0}$, there is $x \in I$ such that $A_x \cap Z \cap \lambda^*$ is infinite. Being a subsequence of A_x , it converges to x .

This completes the proof of Theorem 2. □

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