

RESEARCH

Real Analysis Exchange
Vol. 40(1), 2014/2015, pp. 129–140

Tomasz Filipczak, Institute of Mathematics, Technical University of Łódź,
ul. Wólczańska 215, 93-005 Łódź, Poland.

email: `tomasz.filipczak@p.lodz.pl`

Andrzej Roslanowski,* Department of Mathematics, University of Nebraska
at Omaha, Omaha, NE 68182-0243, USA.

email: `roslanow@member.ams.org`

Saharon Shelah,† Institute of Mathematics, The Hebrew University of
Jerusalem, 91904 Jerusalem, Israel and Department of Mathematics, Rutgers
University, Hill Center - Busch Campus, 110 Frelinghuysen Road,
Piscataway, NJ 08854-8019, USA. email: `shelah@math.huji.ac.il`

ON BOREL HULL OPERATIONS

Abstract

We show that some set-theoretic assumptions (for example Martin's Axiom) imply that there is no translation invariant Borel hull operation on the family of Lebesgue null sets and on the family of meager sets (in \mathbb{R}^n). We also prove that if the meager ideal admits a monotone Borel hull operation, then there is also a monotone Borel hull operation on the σ -algebra of sets with the property of Baire.

1 Introduction

Sometimes a property of subsets of the real line \mathbb{R} is introduced by means of a cover or a representation of the given set in terms of other sets. For instance,

- (i) a set $A \subseteq \mathbb{R}$ is meager if $A \subseteq \bigcup_{n < \omega} A_n$ for some closed nowhere dense sets $A_0, A_1, A_2, \dots \subseteq \mathbb{R}$;

Mathematical Reviews subject classification: Primary: 54H05, 28A05; Secondary: 03E15, 03E17

Key words: meager ideal, null ideal, Borel hull

Received by the editors April 22, 2014

Communicated by: Miroslav Zelený

*The second and the third author acknowledge support from the United States-Israel Binational Science Foundation (Grant no. 2010405).

†Publication 1031

- (ii) a set $A \subseteq \mathbb{R}$ is said to be Σ_ξ^0 if $A = \bigcup_{n < \omega} A_n$ for some $A_0, A_1, A_2, \dots \in \bigcup_{\zeta < \xi} \Pi_\zeta^0$;
- (iii) a set $A \subseteq \mathbb{R}$ is Lebesgue measurable if $A \subseteq B$ for some Borel set $B \subseteq \mathbb{R}$ such that $B \setminus A$ is Lebesgue negligible;
- (iv) a set $A \subseteq \mathbb{R}$ has the Baire property if for some Borel set $B \subseteq \mathbb{R}$ we have $A \subseteq B$ and $B \setminus A$ is meager, etc.

It is natural to ask if the “witnesses” in the above definitions can be chosen in a somewhat canonical or uniform way. For instance, we may wonder if they can depend monotonically on the input set A or if they can be translation invariant. Thus, in the relation to definition (i), we may ask if there are mappings $\varphi_0, \varphi_1, \varphi_2, \dots$ defined on the ideal of meager subsets of \mathbb{R} , with values in the family of all closed nowhere dense subsets of \mathbb{R} , such that

$$(\otimes) \quad A \subseteq \bigcup_{i < \omega} \varphi_i(A) \quad (\text{for each meager } A \subseteq \mathbb{R})$$

and with one of the following properties $(\otimes)^{\text{tr}}$ or $(\otimes)^{\text{mo}}$.

$(\otimes)^{\text{tr}}$ For every meager set A we have $\varphi_i(A + r) = \varphi_i(A) + r$ for every real number r and for all i .

$(\otimes)^{\text{mo}}$ For meager sets A and B , if $A \subseteq B$, then $\varphi_i(A) \subseteq \varphi_i(B)$ for all i .

Easily, neither of these is possible. Suppose towards contradiction that there are mappings φ_i (for $i < \omega$) satisfying $(\otimes) + (\otimes)^{\text{tr}}$. Then, for each rational number $q \in \mathbb{Q}$ we have $\varphi_i(\mathbb{Q}) = \varphi_i(\mathbb{Q} + q) = \varphi_i(\mathbb{Q}) + q$, and hence each $\varphi_i(\mathbb{Q})$ is a closed nowhere dense set invariant under rational translations. This is impossible. Let us argue that the mappings φ_i (for $i < \omega$) cannot be monotone. So suppose they satisfy $(\otimes) + (\otimes)^{\text{mo}}$. By induction on $\alpha < \omega_1$, construct a sequence $\langle A_\alpha : \alpha < \omega_1 \rangle$ of meager sets so that

$$\bigcup_{\beta < \alpha} \bigcup_{i < \omega} \varphi_i(A_\beta) \subsetneq A_\alpha \quad \text{for all } \alpha < \omega_1.$$

Then for some n , the sequence $\langle \varphi_n(A_\alpha) : \alpha < \omega_1 \rangle$ has a strictly increasing (cofinal) subsequence, a contradiction (as all $\varphi_n(A_\alpha)$ are closed).

A similar question associated with definition (ii) also has the negative answer: Mátrai and Zelený [8] showed that it is not possible to choose monotone presentations for Σ_ξ^0 sets. However, problems concerning the monotonicity of the choice of witnesses for (iii) and (iv) cannot be decided within the standard set theory. A function ψ choosing such witnesses will be called a *Borel*

hull operation, see Definition 1.1 below. Elekes and Máthé [7] proved that the existence of monotone Borel hulls for measurable sets is independent from ZFC, and parallel results for the Baire property were given by Balcerzak and Filipczak [2].

Notation and basic definitions

In the current note, \mathbf{X} is a Polish space, \mathbf{Borel} denotes the family of all Borel subsets of \mathbf{X} , \mathcal{M} is the σ -ideal of all meager subsets of \mathbf{X} , and \mathcal{N} is the σ -ideal of all Lebesgue negligible subsets of \mathbb{R}^n . The same notation $\mathcal{M}, \mathbf{Borel}$ will be used in \mathbb{R}^n , too.

Let \mathcal{I} be a σ -ideal of subsets of \mathbf{X} . We say that a family $\mathcal{D} \subseteq \mathcal{I}$ is a *base* of \mathcal{I} if

$$(\forall A \in \mathcal{I})(\exists B \in \mathcal{D})(A \subseteq B).$$

We say that \mathcal{I} has a *Borel base* if every set from \mathcal{I} can be covered by a Borel set from the ideal \mathcal{I} ; i.e., $\mathbf{Borel} \cap \mathcal{I}$ is a base of \mathcal{I} . For a σ -ideal \mathcal{I} with a Borel base, let $\mathcal{S}_{\mathcal{I}}$ denote the σ -algebra of subsets of \mathbf{X} generated by $\mathbf{Borel} \cup \mathcal{I}$. Thus, in particular, the σ -algebra of all sets with the Baire property is $\mathbf{Baire} = \mathcal{S}_{\mathcal{M}}$.

Let $\mathcal{I} \subseteq \mathcal{P}(\mathbf{X})$ be a proper σ -ideal with a Borel base and containing all finite subsets of \mathbf{X} . We define the following cardinal coefficients of \mathcal{I} :

$$\begin{aligned} \text{add}(\mathcal{I}) &:= \min \left\{ |\mathcal{F}| : \mathcal{F} \subseteq \mathcal{I}, \bigcup \mathcal{F} \notin \mathcal{I} \right\}, \\ \text{cov}(\mathcal{I}) &:= \min \left\{ |\mathcal{F}| : \mathcal{F} \subseteq \mathcal{I}, \bigcup \mathcal{F} = \mathbf{X} \right\}, \\ \text{non}(\mathcal{I}) &:= \min \{ |A| : A \subseteq \mathbf{X}, A \notin \mathcal{I} \}, \\ \text{cof}(\mathcal{I}) &:= \min \{ |\mathcal{F}| : \mathcal{F} \subseteq \mathcal{I}, (\forall A \in \mathcal{I})(\exists B \in \mathcal{F})(A \subseteq B) \}. \end{aligned}$$

If $\mathbf{X} = \mathbb{R}^n$, $r \in \mathbb{R}^n$, and $A, B \subseteq \mathbb{R}^n$, then we define $A + r = \{a + r : a \in A\}$ and $A + B = \{a + b : a \in A, b \in B\}$. A family \mathcal{F} of subsets of \mathbb{R}^n is *translation invariant* if $A + r \in \mathcal{F}$ for all $A \in \mathcal{F}$ and $r \in \mathbb{R}^n$. For a translation invariant ideal $\mathcal{I} \subseteq \mathcal{P}(\mathbb{R}^n)$, we define the *transitive covering number* of \mathcal{I} as

$$\text{cov}^*(\mathcal{I}) := \min \{ |A| : A \subseteq \mathbb{R}^n, (\exists B \in \mathcal{I})(A + B = \mathbb{R}^n) \}.$$

For systematic study of the cardinal invariants mentioned above for the case of \mathcal{N} and \mathcal{M} , we refer the reader to Bartoszyński and Judah [4].

Definition 1.1. Let \mathcal{I} be a σ -ideal on \mathbf{X} with a Borel base and let $\mathcal{F} \subseteq \mathcal{S}_{\mathcal{I}}$.

1. A *Borel hull operation* on \mathcal{F} with respect to \mathcal{I} is a mapping $\psi : \mathcal{F} \rightarrow \mathbf{Borel}$ such that $A \subseteq \psi(A)$ and $\psi(A) \setminus A \in \mathcal{I}$ for all $A \in \mathcal{F}$.

2. If the range of a Borel hull operation ψ consists of sets of some Borel class Γ , then we say that ψ is a Γ *hull operation*.
3. A Borel hull operation ψ on \mathcal{F} is *monotone* if $\psi(A_1) \subseteq \psi(A_2)$ whenever $A_1 \subseteq A_2$ are from \mathcal{F} .
4. Assume $\mathbf{X} = \mathbb{R}^n$ and both \mathcal{I} and \mathcal{F} are translation invariant. If a Borel hull operation ψ on \mathcal{F} satisfies $\psi(A + x) = \psi(A) + x$ for all $A \in \mathcal{F}$ and $x \in \mathbb{R}^n$, then ψ is called a *translation invariant hull operation*.

By [7, 2], under CH there exist monotone Borel hull operations on $\mathcal{S}_{\mathcal{I}}$, where \mathcal{I} denotes either the ideal of Lebesgue negligible sets or the meager ideal. Adding many random or Cohen reals to a model of CH gives a model with no monotone Borel hull operations for \mathcal{I} (where \mathcal{I} is either the null or the meager ideal, respectively). More examples of universes with and without monotone Borel hulls for the null and meager ideals were given in Roslanowski and Shelah [11].

The content of the paper

In [7, Question 4.2], the authors ask if it is possible to define a Borel hull operation on \mathcal{N} which is monotone and translation invariant. In the second section, we show that some set-theoretic assumptions (for example Martin's Axiom) imply that there is no translation invariant Borel hull operation on \mathcal{N} and on \mathcal{M} (even without the requirement of monotonicity).

The non-existence of monotone Borel hull operations on \mathcal{I} implies non-existence of such operations on $\mathcal{S}_{\mathcal{I}}$, but not much had been known about the converse implication. In particular, Balcerzak and Filipczak [2, Question 2.23] asked if it is possible that there exists a monotone Borel hull operation on \mathcal{I} (with respect to \mathcal{I}), but there is no such hull operation on $\mathcal{S}_{\mathcal{I}}$ for a ccc ideal \mathcal{I} . In the third section, we give a negative answer for the case of the meager ideal. We show that the existence of a monotone Borel hull operation on \mathcal{M} (with respect to \mathcal{M}) is equivalent with the existence of such hull operation on $\mathcal{S}_{\mathcal{M}}$.

2 No translation invariant Borel hull operations

It is known that pairs $(\mathcal{S}_{\mathcal{N}}, \mathcal{N})$, and $(\mathcal{S}_{\mathcal{M}}, \mathcal{M})$ have the *Extended Steinhaus Property*; i.e., for any $A, B \in \mathcal{S}_{\mathcal{N}} \setminus \mathcal{N}$ ($\mathcal{S}_{\mathcal{M}} \setminus \mathcal{M}$, respectively) the set $A + B = \{a + b : a \in A, b \in B\}$ has an interior point. Many variants of the Steinhaus Property have been investigated in the literature (see Bartoszewicz, Filipczak and Natkaniec [3]). We need a generalization in which only one of the sets A ,

B has to belong to the σ -algebra. In [9] and [1], such properties were proved for topological groups or locally compact groups with complete Haar measure. We formulate them for \mathbb{R}^n .

Theorem 2.1.

1. [9, Cor. 4] *If $A, B \subseteq \mathbb{R}^n$ are non-meager sets and A has the Baire property, then $A + B$ has an interior point.*
2. [1, Thm. 1] *If $A, B \subseteq \mathbb{R}^n$ are not Lebesgue null sets and A is measurable, then $A + B$ has an interior point.*

To prove that there is no translation invariant Borel hull operation on \mathcal{N} (on \mathcal{M} , respectively), it is enough to show that the additive group \mathbb{R}^n has a subgroup which is a non-meager null set (a meager set of positive outer measure, respectively).

Theorem 2.2.

1. *If \mathbb{R}^n has a subgroup $G \in \mathcal{N} \setminus \mathcal{M}$, then there is no translation invariant Borel hull operation on \mathcal{N} .*
2. *If \mathbb{R}^n has a subgroup $H \in \mathcal{M} \setminus \mathcal{N}$, then there is no translation invariant Borel hull operation on \mathcal{M} .*

PROOF. (1) Suppose, contrary to our claim, that there is a translation invariant hull operation $\varphi : \mathcal{N} \rightarrow \mathcal{N} \cap \mathbf{Borel}$. For every x from G , we have $G + x = G$, which gives $\varphi(G) + x = \varphi(G + x) = \varphi(G)$, and consequently $\varphi(G) + G = \varphi(G)$. Since $G \notin \mathcal{M}$ and $\varphi(G) \in \mathbf{Borel} \setminus \mathcal{M}$, Theorem 2.1 implies that $\varphi(G) + G$ has an interior point, contrary to $\varphi(G) \in \mathcal{N}$. The proof of (2) is similar. \square

We will show that under some set-theoretic assumptions one can find a linear subspace of \mathbb{R}^n (considered over the field \mathbb{Q} of rational numbers) which belongs to $\mathcal{N} \setminus \mathcal{M}$ ($\mathcal{M} \setminus \mathcal{N}$, respectively).

Let us consider inequalities $\text{non}(\mathcal{N}) > \text{non}(\mathcal{M})$ and $\text{non}(\mathcal{M}) > \text{non}(\mathcal{N})$. It is well known that each of them is independent of ZFC.

Theorem 2.3 (S. Głab).

1. *If $\text{non}(\mathcal{N}) > \text{non}(\mathcal{M})$, then there exists a linear subspace of \mathbb{R}^n which belongs to $\mathcal{N} \setminus \mathcal{M}$.*
2. *If $\text{non}(\mathcal{M}) > \text{non}(\mathcal{N})$, then there exists a linear subspace of \mathbb{R}^n which belongs to $\mathcal{M} \setminus \mathcal{N}$.*

PROOF. (1) Let $A \subseteq \mathbb{R}^n$ be a set such that $|A| = \text{non}(\mathcal{M})$ and $A \notin \mathcal{M}$. Let $\text{span}(A)$ be the linear subspace of \mathbb{R}^n generated by A (over \mathbb{Q}). Since $|\text{span}(A)| = |A| < \text{non}(\mathcal{N})$, we obtain $\text{span}(A) \in \mathcal{N} \setminus \mathcal{M}$. The proof of (2) is similar. \square

A κ -Luzin set for an ideal \mathcal{I} on \mathbb{R}^n is a subset of \mathbb{R}^n of cardinality $\geq \kappa$ such that its intersection with any set from \mathcal{I} has cardinality less than κ (compare Bukovský [5, Section 8.2] or Cichoń [6]). If $\mathcal{I} = \mathcal{N}$, then κ -Luzin sets for \mathcal{I} are also called κ -Sierpiński sets and κ -Luzin sets for the meager ideal are called just κ -Luzin. If $\kappa = \aleph_1$, then we may omit it.

Of course, a κ -Luzin set for \mathcal{I} does not belong to \mathcal{I} . If there exists a κ -Luzin set for \mathcal{I} , then $\text{non}(\mathcal{I}) \leq \kappa$ and $\text{cf}(\kappa) \leq \text{cov}(\mathcal{I})$. It is known that if $\text{cov}(\mathcal{I}) = \text{cof}(\mathcal{I}) = \kappa$, then there exists a κ -Luzin set for \mathcal{I} (see [5, Theorem 8.26]). Since \mathbb{R}^n can be decomposed into a null set and a meager set, it follows that for any regular κ , every κ -Luzin set has measure zero and every κ -Sierpiński set is meager.

Theorem 2.4 ([5, Theorem 8.28]).

1. If $\kappa \leq \text{non}(\mathcal{N})$ and A is a κ -Luzin set, then $A \in \mathcal{N} \setminus \mathcal{M}$. In particular, if κ is regular and A is a κ -Luzin set, then $A \in \mathcal{N} \setminus \mathcal{M}$.
2. If $\kappa \leq \text{non}(\mathcal{M})$ and A is a κ -Sierpiński set, then $A \in \mathcal{M} \setminus \mathcal{N}$. In particular, if κ is regular and A is a κ -Sierpiński set, then $A \in \mathcal{M} \setminus \mathcal{N}$.

Smítal proved that if the Continuum Hypothesis holds, then there exists a linear subspace of \mathbb{R}^n which is a Luzin set (see [12]). One can construct a linear subspace which belongs to $\mathcal{N} \setminus \mathcal{M}$ ($\mathcal{M} \setminus \mathcal{N}$) assuming a condition weaker than CH. The proof is a small modification of the proof of [12, Lemma 1].

Theorem 2.5. Let $\{\mathcal{I}, \mathcal{J}\} = \{\mathcal{N}, \mathcal{M}\}$.

1. If $\text{cov}^*(\mathcal{I}) \geq \text{cof}(\mathcal{I})$, then there exists a linear subspace H of \mathbb{R}^n such that $H \in \mathcal{J} \setminus \mathcal{I}$.
2. [Bukovský [5, Exercise 8.7(b)]] If $\text{cov}(\mathcal{I}) = \text{cof}(\mathcal{I}) = \kappa$, then there exists a linear subspace H of \mathbb{R}^n which is a κ -Luzin set for \mathcal{I} .

PROOF. (1) Let $\kappa = \text{cof}(\mathcal{I}) \leq \text{cov}^*(\mathcal{I})$. Let $B \in \mathcal{I}$ be such that $\mathbb{R}^n \setminus B \in \mathcal{J}$ and let $\{B_\alpha : \alpha < \kappa\}$ be a base for the ideal \mathcal{I} such that $B \subseteq B_\alpha$ for all $\alpha < \kappa$. By induction on α , we choose a sequence $\langle x_\alpha : \alpha < \kappa \rangle$. Suppose that $\langle x_\beta : \beta < \alpha \rangle$ has been defined and let $Z_\alpha := \text{span}(\{x_\beta : \beta < \alpha\})$; i.e., it is the linear subspace of \mathbb{R}^n generated by $\{x_\beta : \beta < \alpha\}$ over \mathbb{Q} . The set

$\mathbb{Q}B_\alpha := \{qx : x \in B_\alpha, q \in \mathbb{Q}\}$ belongs to \mathcal{I} and $|Z_\alpha| < \text{cov}^*(\mathcal{I})$, so we may choose

$$(*)_\alpha x_\alpha \in \mathbb{R}^n \setminus \bigcup_{y \in Z_\alpha} (\mathbb{Q}B_\alpha + y).$$

Then, after the construction is carried out, we set

$$H := \text{span}(\{x_\alpha : \alpha < \kappa\}).$$

Since $x_\alpha \notin B_\alpha$, we have $H \notin \mathcal{I}$. Also, $H \setminus \{0\} \subseteq \mathbb{R}^n \setminus B$. Indeed, suppose towards contradiction that $x \in H \cap B \setminus \{0\}$ and let $x = q_1x_{\alpha_1} + \dots + q_mx_{\alpha_m}$, where $\alpha_1 < \alpha_2 < \dots < \alpha_m < \kappa$, $q_1, \dots, q_m \in \mathbb{Q}$ and $q_m \neq 0$. Let $y = -\frac{1}{q_m}(q_1x_{\alpha_1} + \dots + q_{m-1}x_{\alpha_{m-1}})$. Clearly, $y \in Z_{\alpha_m}$. Since $x_{\alpha_m} \notin \mathbb{Q}B_{\alpha_m} + y$ and $x \in B \subseteq B_{\alpha_m}$, we conclude

$$x_{\alpha_m} \neq \frac{1}{q_m}x - \frac{1}{q_m}(q_1x_{\alpha_1} + \dots + q_{m-1}x_{\alpha_{m-1}}) = x_{\alpha_m},$$

a contradiction. Now we easily see that $H \in \mathcal{J} \setminus \mathcal{I}$.

(2) The arguments are essentially the same as in (1). Let $\kappa = \text{cov}(\mathcal{I}) = \text{cof}(\mathcal{I})$ and let $\{B_\alpha : \alpha < \kappa\}$ be a base for \mathcal{I} . Choose a sequence $\langle x_\alpha : \alpha < \kappa \rangle$ so that

$$(*)^+_\alpha x_\alpha \in \mathbb{R}^n \setminus \bigcup \{ \mathbb{Q}B_\beta + y : \beta \leq \alpha \text{ \& } y \in Z_\alpha \},$$

where $Z_\alpha := \text{span}(\{x_\beta : \beta < \alpha\})$. Finally put $H := \text{span}(\{x_\alpha : \alpha < \kappa\})$. Let us argue that $|H \cap B_\alpha| < \kappa$ for each $\alpha < \kappa$. Suppose $x \in H \cap B_\alpha$, $x \neq 0$. Then $x = q_1x_{\alpha_1} + \dots + q_mx_{\alpha_m}$ for some $q_1, \dots, q_m \in \mathbb{Q}$, $q_m \neq 0$ and $\alpha_1 < \dots < \alpha_m$. So,

$$y_0 := x_{\alpha_m} - \frac{x}{q_m} = -\left(\frac{q_1}{q_m}x_{\alpha_1} + \dots + \frac{q_{m-1}}{q_m}x_{\alpha_{m-1}}\right) \in Z_{\alpha_m}$$

and

$$x_{\alpha_m} = \frac{1}{q_m}x + y_0 \in \mathbb{Q}B_\alpha + Z_{\alpha_m}.$$

Since, by $(*)^+_\alpha$, $x_{\alpha_m} \notin \mathbb{Q}B_\beta + Z_{\alpha_m}$ for $\beta \leq \alpha_m$, we conclude $\alpha > \alpha_m$. Thus $H \cap B_\alpha \subseteq Z_\alpha$, and consequently $|H \cap B_\alpha| < \kappa$. \square

Remark 2.6. Concerning the assumptions in Theorem 2.5(1), note that

$$\text{cov}^*(\mathcal{N}) \leq \text{non}(\mathcal{M}) \leq \text{cof}(\mathcal{N}),$$

so in the case of the null ideal, the assumption here is actually $\text{cov}^*(\mathcal{N}) = \text{cof}(\mathcal{N})$. However, for the meager ideal we can only say that $\text{cov}^*(\mathcal{M}) \leq \text{non}(\mathcal{N})$ and it is consistent that $\text{cov}^*(\mathcal{M}) > \text{cof}(\mathcal{M})$. For further discussion

of $\text{cov}^*(\mathcal{M})$ we refer the reader to Bartoszyński and Judah [4, Section 2.7] or Miller and Steprāns [10].

In Theorem 2.5(2) note that $\text{cov}(\mathcal{I}) \leq \text{non}(\mathcal{J})$ and therefore, by Theorem 2.4, the subspace H defined there satisfies $H \in \mathcal{J} \setminus \mathcal{I}$.

It is well known that for $\mathcal{I} \in \{\mathcal{N}, \mathcal{M}\}$, the Martin Axiom MA implies $\text{add}(\mathcal{I}) = \text{cov}(\mathcal{I}) = \text{cov}^*(\mathcal{I}) = \text{non}(\mathcal{I}) = \text{cof}(\mathcal{I}) = 2^{\aleph_0}$.

The following corollary sums up our previous considerations.

Corollary 2.7.

1. *There is no translation invariant Borel hull operation on \mathcal{N} if any of the following conditions hold:*

$$\text{non}(\mathcal{N}) > \text{non}(\mathcal{M}) \text{ or } \text{cov}^*(\mathcal{M}) \geq \text{cof}(\mathcal{M}) \text{ or } \text{MA}.$$

2. *There is no translation invariant Borel hull operation on \mathcal{M} if any of the following conditions hold:*

$$\text{non}(\mathcal{M}) > \text{non}(\mathcal{N}) \text{ or } \text{cov}^*(\mathcal{N}) = \text{cof}(\mathcal{N}) \text{ or } \text{MA}.$$

3 Monotone Borel hull operations on Baire

Let us fix a countable base \mathcal{B} of our Polish space \mathbf{X} . We also require that \mathcal{B} is closed under intersections and $\mathbf{X} \in \mathcal{B}$.

Definition 3.1. A monotone Borel hull operation $\varphi : \mathcal{M} \rightarrow \text{Borel} \cap \mathcal{M}$ is \mathcal{B} -regular whenever

$$\varphi(A) \cap U \subseteq \varphi(A \cap U)$$

for all $A \in \mathcal{M}$ and $U \in \mathcal{B}$.

Theorem 3.2. *The following conditions are equivalent:*

- (i) *There is a monotone Borel hull operation on \mathcal{M} with respect to \mathcal{M} .*
- (ii) *There is a \mathcal{B} -regular monotone Borel hull operation on \mathcal{M} with respect to \mathcal{M} .*
- (iii) *There is a monotone Borel hull operation on Baire with respect to \mathcal{M} .*

PROOF. (i) \Rightarrow (ii) Let $\varphi : \mathcal{M} \rightarrow \text{Borel} \cap \mathcal{M}$ be a monotone Borel hull operation on \mathcal{M} . For $A \in \mathcal{M}$ let

$$\psi(A) = \bigcap \{(\mathbf{X} \setminus U) \cup \varphi(A \cap U) : U \in \mathcal{B}\}.$$

Clearly, $\psi(A)$ is a Borel subset of \mathbf{X} (as a countable intersection of Borel sets) and $A \subseteq \psi(A) \subseteq \varphi(A)$ (as $\mathbf{X} \in \mathcal{B}$). Also, if $A \subseteq B \in \mathcal{M}$ and $U \in \mathcal{B}$, then $\varphi(A \cap U) \subseteq \varphi(B \cap U)$ (as φ is monotone) and hence $(\mathbf{X} \setminus U) \cup \varphi(A \cap U) \subseteq (\mathbf{X} \setminus U) \cup \varphi(B \cap U)$. Consequently, if $A \subseteq B \in \mathcal{M}$, then $\psi(A) \subseteq \psi(B)$. Therefore, $\psi : \mathcal{M} \rightarrow \mathbf{Borel} \cap \mathcal{M}$ is a monotone Borel hull on \mathcal{M} . To show that it is \mathcal{B} -regular, suppose $A \in \mathcal{M}$ and $U \in \mathcal{B}$.

Fix $V \in \mathcal{B}$ for a moment and let $W = U \cap V$. Then, $W \in \mathcal{B}$ and

$$\begin{aligned} \psi(A) \cap U &\subseteq ((\mathbf{X} \setminus W) \cup \varphi(A \cap W)) \cap U \\ &= ((\mathbf{X} \setminus (U \cap V)) \cup \varphi(A \cap U \cap V)) \cap U \\ &\subseteq (U \setminus V) \cup \varphi(A \cap U \cap V) \\ &\subseteq (\mathbf{X} \setminus V) \cup \varphi((A \cap U) \cap V). \end{aligned}$$

Thus, $\psi(A) \cap U \subseteq (\mathbf{X} \setminus V) \cup \varphi((A \cap U) \cap V)$ for all $V \in \mathcal{B}$, and therefore, $\psi(A) \cap U \subseteq \psi(A \cap U)$.

(ii) \Rightarrow (iii) Suppose that $\varphi : \mathcal{M} \rightarrow \mathbf{Borel} \cap \mathcal{M}$ is a \mathcal{B} -regular monotone Borel hull operation on \mathcal{M} . For a set $Z \subseteq \mathbf{X}$, let

$$\mathbf{K}(Z) = \mathbf{X} \setminus \bigcup \{U \in \mathcal{B} : U \cap Z \in \mathcal{M}\}.$$

Clearly, $\mathbf{K}(Z)$ is a closed subset of \mathbf{X} and

- (*)₁ $Z \subseteq Y \subseteq \mathbf{X}$ implies $\mathbf{K}(Z) \subseteq \mathbf{K}(Y)$,
- (*)₂ $Z \setminus \mathbf{K}(Z) \in \mathcal{M}$, and
- (*)₃ if $Z \subseteq \mathbf{X}$ has the Baire property, then $\mathbf{K}(Z) \setminus Z \in \mathcal{M}$.

For $Z \in \mathbf{Baire}$ let

$$\psi(Z) = \mathbf{K}(Z) \cup \varphi(Z \setminus \mathbf{K}(Z)).$$

Then, $\psi : \mathbf{Baire} \rightarrow \mathbf{Borel}$ and for $Z \in \mathbf{Baire}$:

- (*)₄ $Z \subseteq \mathbf{K}(Z) \cup (Z \setminus \mathbf{K}(Z)) \subseteq \mathbf{K}(Z) \cup \varphi(Z \setminus \mathbf{K}(Z)) = \psi(Z)$, and
- (*)₅ $\psi(Z) \setminus Z \subseteq (\mathbf{K}(Z) \setminus Z) \cup \varphi(Z \setminus \mathbf{K}(Z)) \in \mathcal{M}$.

Thus, ψ is a Borel hull operation on \mathbf{Baire} . Let us argue that ψ is monotone; i.e.,

- (*)₆ if $Z \subseteq Y \subseteq \mathbf{X}$, $Z, Y \in \mathbf{Baire}$, then $\psi(Z) \subseteq \psi(Y)$.

Suppose that sets $Z \subseteq Y$ have the Baire property, and let us argue that $\psi(Z) \subseteq \psi(Y)$. Assume $x \in \psi(Z)$. If $x \in \mathbf{K}(Y)$, then $x \in \psi(Y)$ by the definition of ψ . So suppose that $x \notin \mathbf{K}(Y)$. Then also $x \notin \mathbf{K}(Z)$ (remember

(*)₁), and so $x \in \varphi(Z \setminus \mathbf{K}(Z))$. Let $U \in \mathcal{B}$ be such that $x \in U \subseteq \mathbf{X} \setminus \mathbf{K}(Y)$. Then, $(Z \setminus \mathbf{K}(Z)) \cap U \subseteq Y \setminus \mathbf{K}(Y)$, and, since φ is \mathcal{B} -regular,

$$x \in \varphi(Z \setminus \mathbf{K}(Z)) \cap U \subseteq \varphi((Z \setminus \mathbf{K}(Z)) \cap U) \subseteq \varphi(Y \setminus \mathbf{K}(Y)) \subseteq \psi(Y).$$

(iii) \Rightarrow (i) Straightforward. □

The next corollary follows from the proof of Theorem 3.2.

Corollary 3.3. *If there is a monotone Σ_ξ^0 (Π_ξ^0 , respectively) hull operation on \mathcal{M} with respect to \mathcal{M} , $2 \leq \xi < \omega_1$, then there exists a monotone $\Pi_{\xi+1}^0$ (Π_ξ^0 , respectively) hull operation on **Baire** with respect to \mathcal{M} .*

Assuming CH, or more generally, $\text{add}(\mathcal{M}) = \text{cof}(\mathcal{M})$, the σ -ideal of meager sets has a monotone Σ_2^0 hull operation. Hence, under the same assumption, there is a monotone Π_3^0 hull operation on **Baire**, see [2].

Definition 3.4 (See [11, Definition 3.4]). Let \mathcal{I} be an ideal of subsets of \mathbf{X} and let α^*, β^* be limit ordinals. An $\alpha^* \times \beta^*$ -base for \mathcal{I} is a sequence $\langle B_{\alpha, \beta} : \alpha < \alpha^* \ \& \ \beta < \beta^* \rangle$ of Borel sets from \mathcal{I} such that

- (a) the family $\{B_{\alpha, \beta} : \alpha < \alpha^*, \beta < \beta^*\}$ is a base for \mathcal{I} , and
- (b) for each $\alpha_0, \alpha_1 < \alpha^*, \beta_0, \beta_1 < \beta^*$ we have

$$B_{\alpha_0, \beta_0} \subseteq B_{\alpha_1, \beta_1} \iff \alpha_0 \leq \alpha_1 \ \& \ \beta_0 \leq \beta_1.$$

Note that if an ideal \mathcal{I} has an $\alpha^* \times \beta^*$ -base, then

$$\text{add}(\mathcal{I}) = \min\{\text{cf}(\alpha^*), \text{cf}(\beta^*)\} \quad \text{and} \quad \text{cof}(\mathcal{I}) = \max\{\text{cf}(\alpha^*), \text{cf}(\beta^*)\}.$$

Theorem 3.5 (See [11, Proposition 3.6]). *Assume that α^*, β^* are limit ordinals. If an ideal \mathcal{I} has an $\alpha^* \times \beta^*$ -base consisting of Π_ξ^0 sets, $\xi < \omega_1$, then there exists a monotone Π_ξ^0 hull operation on \mathcal{I} with respect to \mathcal{I} .*

Theorem 3.6 (See [11, Theorem 3.7]). *Let κ, λ be cardinals of uncountable cofinality, $\kappa \leq \lambda$. There is a ccc forcing notion $\mathbb{P}^{\kappa, \lambda}$ of size λ^{\aleph_0} such that*

$$\Vdash_{\mathbb{P}^{\kappa, \lambda}} \text{“the meager ideal } \mathcal{M} \text{ has a } \kappa \times \lambda \text{-base consisting of } \Sigma_2^0 \text{ sets.”}$$

Putting the results quoted above together with Corollary 3.3 we obtain the following result.

Corollary 3.7. *Let κ, λ be uncountable regular cardinals, $\kappa \leq \lambda$. There is a ccc forcing notion $\mathbb{P}^{\kappa, \lambda}$ of size λ^{\aleph_0} such that*

$$\begin{aligned} \Vdash_{\mathbb{P}^{\kappa, \lambda}} \text{“there is a monotone } \Pi_3^0 \text{ hull operation on } \mathbf{Baire} \text{ and} \\ \text{add}(\mathcal{M}) = \kappa \text{ and } \text{cof}(\mathcal{M}) = \lambda.” \end{aligned}$$

4 Open problems

Problem 4.1.

1. Can we find, in ZFC, a subgroup of \mathbb{R}^n which belongs to $\mathcal{N} \setminus \mathcal{M}$ ($\mathcal{M} \setminus \mathcal{N}$, respectively)?
2. When do such subgroups exist in a locally compact group (with complete Haar measure)?

If the answer to Problem 4.1(1) is positive, then in ZFC there is no translation invariant Borel hull on \mathcal{N} (\mathcal{M} , respectively). Should the existence of such subgroups be independent from ZFC, we still may suspect that there are no translation invariant Borel hulls, or at least that there are no translation invariant monotone Borel hulls.

Problem 4.2. Is it consistent that there are translation invariant Borel hulls on \mathcal{N} (\mathcal{M} , respectively)? If yes, can we additionally have that this hull operation is monotone?

The following problem is motivated by Theorem 2.5(2).

Problem 4.3. Let $\mathcal{I} \in \{\mathcal{N}, \mathcal{M}\}$. Assume that there exists a κ -Luzin set for \mathcal{I} . Does there exist a subgroup of \mathbb{R}^n which is also a κ -Luzin set for \mathcal{I} ?

Every set with Baire property has a Σ_2^0 hull, so one may wonder if in Corollary 3.7 we may claim the existence of monotone Σ_2^0 hulls. Or even:

Problem 4.4. Is it consistent that there is a monotone Π_3^0 hull operation on **Baire** but that there is no monotone Σ_2^0 hull operation on **Baire** (with respect to \mathcal{M})?

We do not know if an analogue of Theorem 3.2 holds for the null ideal.

Problem 4.5 (Cf. Balcerzak and Filipczak [2, Question 2.23]). Is it consistent that there exists a monotone Borel hull on the ideal \mathcal{N} of Lebesgue negligible subsets of \mathbb{R} (with respect to \mathcal{N}) but that there is no such hull on the algebra $\mathcal{S}_{\mathcal{N}}$ of Lebesgue measurable sets? In particular, is it consistent that $\text{add}(\mathcal{N}) = \text{cof}(\mathcal{N})$ but that there is no monotone Borel hull operation on $\mathcal{S}_{\mathcal{N}}$?

References

- [1] A. Beck, H. H. Corson and B. Simon, *The interior points of the product of two subsets of a locally compact group*, Proc. Amer. Math. Soc., **9** (1958), 648–652.

- [2] M. Balcerzak and T. Filipczak, *On monotone hull operations*, MLQ Math. Log. Q., **57** (2011), 186–193.
- [3] A. Bartoszewicz, M. Filipczak and T. Natkaniec, *On Smital properties*, Topology Appl., **158** (2011), 2066–2075.
- [4] T. Bartoszyński and H. Judah, *Set Theory: On the Structure of the Real Line*, A K Peters, Wellesley, MA, 1995.
- [5] L. Bukovský, *The Structure of the Real Line*, Birkhäuser, Basel, Switzerland, 2011.
- [6] J. Cichoń, *On two-cardinal properties of ideals*, Trans. Amer. Math. Soc., **314** (1989), 693–708.
- [7] M. Elekes and A. Máthé, *Can we assign the Borel hulls in a monotone way?*, Fund. Math., **205** (2009), 105–115.
- [8] T. Mátrai and M. Zelený, *On monotone presentations of Borel sets*, Real Anal. Exchange, **34** (2009), 311–317.
- [9] E. J. McShane, *Images of sets satisfying the condition of Baire*, Ann. of Math. (2), **51** (1950), 380–386.
- [10] A. W. Miller and J. Steprāns, *The number of translates of a closed nowhere dense set required to cover a Polish group*, Ann. Pure Appl. Logic, **140** (2006), 52–59.
- [11] A. Roslanowski and S. Shelah, *Monotone hulls for $\mathcal{N} \cap \mathcal{M}$* , Period. Math. Hungar., to appear.
- [12] J. Smítal, *On the functional equation $f(x + y) = f(x) + f(y)$* , Rev. Roumaine Math. Pures Appl., **13** (1968), 555–561.