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ABSTRACT. We give some sufficient and necessary conditions on a forcing notion \mathbb{Q} for preserving the forcing notion $([\omega]^{\aleph_0}, \supseteq^*)$ being proper. They cover many reasonable forcing notions.

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ANOTATED CONTENT

§0 Introduction, pg.3

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[I.e. Definition 0.2, we define the problem and some variants.]

§1 Properness of $\mathbb{P}_{\mathscr{A}[\mathbf{V}]}$ and CH, pg.5

[Under CH, if non-meagerness of $({}^{\omega}2)^{\mathbf{V}}$ is preserved then $\mathbb{P}_{\mathscr{A}_{*}[\mathbf{V}]}$ is proper, (1.1). If \mathbf{V} fails to satisfy CH, then usually $\mathbb{P}_{\mathscr{A}_{*}[\mathbf{V}]}$ is not proper after a forcing adding a new real and satisfying a relative of being proper, e.g. satisfies c.c.c. or is any true creature forcing.]

§2 General sufficient conditions, pg. 10

[If **V** satisfies CH and \mathbb{Q} is c.c.c. then $\Vdash_{\mathbb{Q}} "\mathbb{P}_{\mathscr{A}[\mathbf{V}]}$ is proper", see in 2.1. In 2.3 we replace $\mathscr{A}_*^{\mathbf{V}}$ by a forcing notion \mathbb{R} adding no ω -sequence, \mathbb{Q} is c.c.c. even in $\mathbf{V}^{\mathbb{P}}$. Instead " \mathbb{Q} satisfies the c.c.c." it suffices to demand \mathbb{Q} satisfies a weaker condition. Lastly, in 2.5 we prove some proper forcing does not preserve.]

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§ 0. INTRODUCTION

We investigate the question " $\operatorname{Pr}_1^+(\mathbb{Q},\mathbb{R})$ ", which means that the proper forcing \mathbb{Q} preserves that the (old) \mathbb{R} is proper for various \mathbb{R} 's. In what follows, $B \subseteq^* A$ means $|B \setminus A| < \aleph_0$, and $A \supseteq^* B$ means the same.

Recall:

Definition 0.1. properness:

- (a) Assume that $N \prec (\mathscr{H}(\chi), \in), \mathbb{P} \in N$ is a forcing notion and $q \in \mathbb{P}$. We say that q is (N, \mathbb{P}) -generic iff for every dense $D \subseteq \mathbb{P}$, if $D \in N$ then $D \cap N$ is pre-dense above q.
- (b) A forcing notion \mathbb{P} is proper <u>iff</u> for every sufficiently large regular χ and every countable $N \prec (\mathscr{H}(\chi), \in)$, if $p, \mathbb{P} \in N$ then there is a condition $q \in \mathbb{P}, q \geq p$ such that q is (N, \mathbb{P}) -generic.

Gitman proved that $\operatorname{Pr}_1^+(\mathbb{Q}, \mathbb{P}_{\mathscr{P}(\omega)[\mathbf{V}]})$ (see definition below, where $\mathbb{P}_{\mathscr{P}(\omega)[\mathbf{V}]}$ is the forcing notion ($\{A \in \mathbf{V} : A \subseteq \omega, |A| = \aleph_0\}, \supseteq^*$), when \mathbb{Q} is adding Cohen reals (or just Cohen subsets even $> 2^{\aleph_0}$ many). But no other examples were known even Sacks forcing. Also for e.g. $\mathbf{V} \models "V = L$ ", we did not know a forcing making it not proper.

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Let us state the problem and relatives. We are interested mainly in the case $\mathbb Q$ is proper.

Definition 0.2. 1) Let $Pr_1(\mathbb{Q}, \mathbb{P})$ means: \mathbb{Q}, \mathbb{P} are forcing notions and $\Vdash_{\mathbb{Q}} "\mathbb{P}$, i.e. $\mathbb{P}^{\mathbf{V}}$ is a proper forcing".

1A) Let $\operatorname{Pr}_1^+(\mathbb{Q},\mathbb{P})$ be defined similarly but adding " \mathbb{Q} is proper".

2) For $\mathscr{A} \subseteq \mathscr{P}(\omega)$ let $\mathbb{P}_{\mathscr{A}}$ be $\mathscr{A} \setminus [\omega]^{<\aleph_0}$ ordered by \supseteq^* , inverse almost inclusion. 3) Let $\mathscr{A}_* = \mathscr{A}_*[\mathbf{V}] = ([\omega]^{\aleph_0})^{\mathbf{V}}$.

Observation 0.3. A necessary condition for $Pr_1(\mathbb{Q}, \mathbb{P})$ is:

- $(*)_1$ if χ is regular and large enough, $N \prec (\mathscr{H}(\chi), \in)$ is countable, $\mathbb{Q}, \mathbb{P} \in N, q_1 \in \mathbb{Q}$ is (N, \mathbb{Q}) -generic and $r_1 \in N \cap \mathbb{P}$ then we can find (q_2, r_2) such that:
 - $(a) \ q_1 \leq_{\mathbb{Q}} q_2$ $(b) \ r_1 \leq_{\mathbb{P}} r_2$

(c) $q_2 \Vdash "r_2 \text{ is } (N[G_{\mathbb{Q}}], \mathbb{P})\text{-generic"}.$

Definition 0.4. 1) We define $Pr^{-}(\mathbb{Q}, \mathbb{P}) = Pr_{2}(\mathbb{Q}, \mathbb{P})$ as the necessary condition from 0.3.

2) Let $\operatorname{Pr}_3(\mathbb{Q}, \mathbb{P})$ mean that \mathbb{Q}, \mathbb{P} are forcing notions and for some λ and stationary $S \subseteq [\lambda]^{\aleph_0}$ from \mathbf{V} we have $\Vdash_{\mathbb{Q}}$ " \mathbb{P} is S-proper", and note that S remains stationary of course.

3) $\operatorname{Pr}_4(\mathbb{Q}, \mathbb{P})$ is defined similarly but $S \in \mathbf{V}^{\mathbb{Q}}$, still $S \subseteq ([\lambda]^{\aleph_0})^{\mathbf{V}}$, so S is actually S, a \mathbb{Q} -name.

4) $\Pr_5(\mathbb{Q}, \mathbb{P})$ is the statement (A) of 0.5(4) below.

5) Let $\operatorname{Pr}_{\ell}^+(\mathbb{Q},\mathbb{P})$ means $\operatorname{Pr}_{\ell}(\mathbb{Q},\mathbb{P})$ and \mathbb{Q} is a proper forcing, for $\ell = 2, 3, 4, 5$.

Claim 0.5. 1) $\operatorname{Pr}_2(\mathbb{Q}, \mathbb{P})$ means that for λ large enough, letting $S = ([\lambda]^{\aleph_0})^{\mathbf{V}}$, we have $\Vdash_{\mathbb{Q}}$ " \mathbb{P} is S-proper".

2) $\operatorname{Pr}_1(\mathbb{Q}, \mathbb{P}) \Rightarrow \operatorname{Pr}_2(\mathbb{Q}, \mathbb{P}) \Rightarrow \operatorname{Pr}_3(\mathbb{Q}, \mathbb{P}); \text{ similarly for } \operatorname{Pr}^+.$

3) Also $\operatorname{Pr}_3(\mathbb{Q},\mathbb{P}) \Rightarrow \operatorname{Pr}_4(\mathbb{Q},\mathbb{P}) \Rightarrow \operatorname{Pr}_5(\mathbb{Q},\mathbb{P})$; similarly for Pr^+ .

4) If \mathbb{Q}, \mathbb{P} are forcing notions, χ large enough and regular, <u>then</u> $(A) \Leftrightarrow (B)$ where

(A) for some countable $N \prec (\mathscr{H}(\chi), \in)$ and for some $q \in \mathbb{Q}, p \in \mathbb{P}$ we have (a) q is (N, \mathbb{Q}) -generic

(b) $q \Vdash_{\mathbb{O}} "p is (N[\mathcal{G}_{\mathbb{O}}], \mathbb{P})$ -generic"

(B) for some $q_* \in \mathbb{Q}, p_* \in \mathbb{P}$ we have $\Pr_4(\mathbb{Q}_{\geq q_*}, \mathbb{P}_{\geq p_*})$.

Proof. Easy.

Notation 0.6. $<^*_{\chi}$ denotes a well ordering of $\mathscr{H}(\chi)$.

Recall (Balcar-Pelant-Simon [?], or see, e.g. Blass [?])

Definition 0.7. \mathfrak{h} is the following cardinal invariant, it is the minimal cardinality χ (necessarily regular) such that forcing with $\mathbb{P}_{\mathscr{A}_*}$ adds a new sequence of ordinals of length χ .

Notation 0.8. If \mathscr{T} is a tree, then $\operatorname{suc}_{\mathscr{T}}(p)$ is the set of immediate successors of $p \in \mathscr{T}$ in the tree order.

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§ 1. PROPERNESS OF $\mathbb{P}_{\mathscr{A}_{*}[\mathbf{V}]}$ and CH

Claim 1.1. Assume $\mathbf{V}_0 \models \mathrm{CH}, \mathbf{V}_1 \supseteq \mathbf{V}_0$, e.g. $\mathbf{V}_1 = \mathbf{V}_0^{\mathbb{Q}}$ and let $\mathscr{A} = \mathscr{A}_*[\mathbf{V}_0]$.

- (a) If $\aleph_1^{\mathbf{V}_0}$ is a countable ordinal in \mathbf{V}_1 , then $\mathbf{V}_1 \models \mathbb{P}_{\mathscr{A}}$ is proper".
- (b) If $\aleph_1^{\mathbf{V}_0} = \aleph_1^{\mathbf{V}_1}$ and $\mathbf{V}_1 \models "(^{\omega}2)^{\mathbf{V}_0}$ is non-meager", then $\mathbf{V}_1 \models "\mathbb{P}_{\mathscr{A}}$ is proper".

In both cases, if \mathbf{V}_1 is a generic extension of \mathbf{V}_0 by the forcing notion \mathbb{Q} then it means that $\Pr_1(\mathbb{Q}, \mathbb{P}_{\mathscr{A}})$ holds.

Proof. Assume that $\mathbf{V}_1 \supseteq \mathbf{V}_0$.

If $\mathbf{V}_1 \models ``\aleph_1^{\mathbf{V}_0}$ is countable" then recalling $\mathbf{V}_0 \models$ CH clearly $\mathbf{V}_1 \models ``\mathscr{A}$ is countable" so we know that $\mathbb{P}_{\mathscr{A}}$ is proper in \mathbf{V}_1 , thus proving clause (a). So from now on we assume $\aleph_1^{\mathbf{V}_0}$ is not collapsed.

In \mathbf{V}_0 let $\mathscr{T} = {}^{\omega_1 >} (\omega_1)$ and choose a subset $\mathscr{A}' \subseteq \mathscr{A}$ such that \mathscr{A}' is \subseteq^* -dense in \mathscr{A} and $(\mathscr{A}', \supseteq^*)$ is tree-isomorphic to \mathscr{T} . Let π be the isomorphism between these trees¹. Notice that all this is done in \mathbf{V}_0 (recalling that $\mathbf{V}_0 \models \operatorname{CH}$). In \mathbf{V}_0 there is a sequence $\widetilde{\mathscr{T}} = \langle \mathscr{T}_\alpha : \alpha < \omega_1 \rangle$ which is \subseteq -increasing continuous with union \mathscr{T} and each \mathscr{T}_α countable. Also there is $\overline{C} = \langle C_\delta : \delta < \omega_1, \delta$ is a limit ordinal $\rangle \in \mathbf{V}_0$ such that $C_\delta \subseteq \delta = \sup(C_\delta)$, $\operatorname{otp}(C_\delta) = \omega$. Let $\mathscr{T}_\delta' = \mathscr{T}_\delta \upharpoonright \{\eta \in \mathscr{T}_\delta : \ell g(\eta) \in C_\delta\}$.

In \mathbf{V}_1 choose a sufficiently large regular cardinal χ , and let $N \prec (\mathscr{H}(\chi), \in)$ be countable such that $\mathscr{A}, \pi, \tilde{\mathscr{T}} \in N$ and let $\delta = \omega_1 \cap N$, clearly $\mathscr{T} \cap N = \mathscr{T}_{\delta}$. We have to prove the statement:

 $(*)_0$ "for every $p \in \mathbb{P}_{\mathscr{A}} \cap N$ there is $q \in \mathbb{P}_{\mathscr{A}}$ above p which is $(N, \mathbb{P}_{\mathscr{A}})$ -generic".

As $\mathbf{V}_0 \models \mathrm{CH}$ and the density of \mathscr{A}' in \mathscr{A} and $(\mathscr{A}', \supseteq^*)$ being isomorphic in \mathbf{V}_0 by π to \mathscr{T} this is equivalent (in \mathbf{V}_1 , of course) to:

 $(*)_1$ for every $\nu \in \mathscr{T} \cap N = \mathscr{T}_{\delta}$ there is $\eta \in \mathscr{T}$ which is (N, \mathscr{T}) -generic and $\nu \leq_{\mathscr{T}} \eta$.

In \mathbf{V}_0 we let $\bar{S} = \langle S_\delta : \delta < \omega_1$ a limit ordinal where $S_\delta = \{\bar{\nu} : \bar{\nu} = \langle \nu_n : n < \omega \rangle$ is $\langle \mathscr{T}$ -increasing, $\nu_n \in \mathscr{T}'_{\delta}$, moreover $\ell g(\nu_n)$ is the *n*-th member of C_{δ} .

As $(\forall \nu \in \mathscr{T}_{\delta})(\exists \rho)(\nu <_{\mathscr{T}} \rho \in \mathscr{T}'_{\delta})$, and $[\bar{\nu} \in S_{\delta} \Rightarrow$ there is a $<_{\mathscr{T}}$ -upper bound $\rho \in \mathscr{T}$ of $\bar{\nu}$, in \mathbf{V}_0 , of course] recalling $\mathscr{T}_{\delta}, S_{\delta} \in \mathbf{V}_0$ clearly $(*)_1$ is equivalent (in \mathbf{V}_1 , of course) to

(*)₂ for every $\nu \in \mathscr{T}'_{\delta}$ there is $\bar{\nu} \in S_{\delta}$ such that $\nu \in \operatorname{Rang}(\bar{\nu})$ and $\bar{\nu}$ induce a subset of \mathscr{T}_{δ} generic over N (i.e. $(\forall A)[A \in N \text{ is a dense open subset of } \mathscr{T} \Rightarrow A \cap \{\nu_n : n < \omega\} \neq \emptyset].$

Now a sufficient condition for $(*)_2$ is

 $(*)_3 S_{\delta}$, as a set of ω -branches of the tree \mathscr{T}'_{δ} , is non-meagre.

But in $\mathbf{V}_0, \mathscr{T}'_{\delta}$ and $\omega > \omega$ are isomorphic and S_{δ} is the set of all ω -branches of \mathscr{T}'_{δ} , so by an assumption from part (b), (*)₃ holds so we are done. $\Box_{1.1}$

Discussion 1.2. However, there can be $\mathscr{A} \subseteq \mathscr{P}(\omega)$ such that $(\mathscr{A}, \subseteq^*)$ is a variation of Souslin tree.

¹this is trivial as $\mathbf{V}_0 \models CH$, however always there is a dense tree with \mathfrak{h} levels by the celebrated theorem of Balcar-Pelant-Simon

Claim 1.3. 1) We have $\Pr_1(\mathbb{Q}, \mathbb{P}_{\mathscr{A}_*[\mathbf{V}]})$ when:

- (a) $\aleph_1^{\mathbf{V}[\mathbb{Q}]} = \aleph_1$
- (b) $\Vdash_{\mathbb{O}} ``|\lambda| = \aleph_1 where \lambda = (2^{\aleph_0})^{\mathbf{V}}$ "
- (c) moreover letting $\langle u_i : i < \aleph_1 \rangle$ be a Q-name of a \subseteq -increasing continuous sequence of countable subsets of λ with union λ , the Q-name $S = \{i : u_i \in \mathbf{V}\}$ is forced to contain a club (of \aleph_1)
- (d) forcing with \mathbb{Q} preserves " $(^{\omega}2)^{\mathbf{V}}$ is non-meagre".

2) Assume the forcing notion \mathbb{Q} satisfies (a) + (d), $\Pr_4(\mathbb{Q}, \mathbb{P}_{\mathscr{A}_*[\mathbf{V}]})$ as witnessed by S and \mathbb{Q} is proper and \underline{S} is forced to be stationary.

<u>Then</u> the forcing notion \mathbb{Q} *Levy $(\aleph_1, (|\mathbb{Q}|^{\aleph_0})^{\mathbf{V}}) * \mathbb{Q}_S$ preserves " $\mathbb{P}_{\mathscr{A}_*[\mathbf{V}]}$ is proper" where \mathbb{Q}_S is the (well known) shooting of a club through the stationary subsets of ω_1 (to make clause (c) hold).

Proof. Like 1.1.

 $\Box_{1.3}$

In what follows we prove that many forcing notions destroy properness. We need a preliminary concept.

Definition 1.4. For $\lambda > \kappa$ we say that a forcing notion \mathbb{Q} is (λ, κ) -newly proper (omitting κ means $\kappa = \aleph_0$ and we define $(\lambda, < \chi)$ -newly proper similarly) when: if $\overline{N} = \langle (N_{\eta}, \nu_{\eta}) : \eta \in {}^{\omega >} \lambda \rangle$ satisfies \circledast below and $\mathbb{Q} \in N_{<>}$ and $p \in \mathbb{Q} \cap N_{<>}$ then we can find q, η such that \boxtimes below holds where:

 \circledast for some cardinal $\chi > \lambda$

- (a) $N_{\eta} \prec (\mathscr{H}(\chi), \in, <^*_{\chi})$ is countable
- (b) if $\nu \triangleleft \eta$ then $N_{\nu} \prec N_{\eta}$
- (c) $N_{\eta_1} \cap N_{\eta_2} = N_{\eta_1 \cap \eta_2}$ if $\kappa = \aleph_0$ and $N_{\eta_1}^{\kappa} \cap N_{\eta_2}^{\kappa} = N_{\eta_1 \cap \eta_2}^{\kappa}$ generally where $N_{\eta}^{\kappa} := \cup \{ v \in N_{\eta} : |v| \le \kappa \}$
- (d) $\nu_{\eta} \in N_{\eta} \setminus \cup \{N_{\eta \restriction m}^{\kappa} : m < \ell g(\eta)\}$ hence $\nu_{\eta} \notin \cup \{N_{\nu} : \neg(\eta \leq \nu) \text{ and } \nu \in {}^{\omega >}\lambda\}$
- (e) $\nu_{\eta} \in {}^{\ell g(\eta)} \lambda$ and $\ell < \ell g(\eta) \Rightarrow \nu_{\eta \restriction \ell} \trianglelefteq \nu_{\eta}$
- \boxtimes (a) $p \leq_{\mathbb{Q}} q$
 - (b) $q \Vdash_{\mathbb{Q}} " \cup \{N_{\eta \upharpoonright n}[\mathbf{G}_{\mathbb{Q}}] : n < \omega\} \cap \mathbf{V} = \cup \{N_{\eta \upharpoonright n} : n < \omega\}$ "
 - (c) $q \Vdash_{\mathbb{O}} ``\eta \in ``\lambda is new, i.e. \eta \notin (``\lambda)^{\mathbf{V}"}$
 - (c)⁺ moreover if $\kappa > \aleph_0$ and $\mathscr{T} \in \mathbf{V}$ is a sub-tree of ${}^{\omega >}\lambda$ of cardinality $\leq \kappa$ then $\eta \notin \lim(\mathscr{T})$, i.e. $\{\eta \upharpoonright n : n < \omega\} \notin \mathscr{T}$.

Observation 1.5. If $\langle N_{\eta} : \eta \in {}^{\omega >} \lambda \rangle$ satisfies clauses (a),(b),(c) of \circledast of Definition 1.4, <u>then</u> the following conditions are equivalent:

- •1 there is $\langle \nu_{\eta} : \eta \in {}^{\omega>}\lambda \rangle$ such that clauses (d),(e) of \circledast of Definition 1.4
- •2 if $\eta \in {}^{\omega>}\lambda$, then $N_{\eta} \cap \lambda \nsubseteq \cup \{N_{\eta \restriction \ell} : \ell < \ell g(\eta)\}.$

For a proper forcing notion adding a new real it is quite easy to be \aleph_1 -newly proper; e.g.

Claim 1.6. Assuming $2^{\aleph_0} \ge \lambda = cf(\lambda) > \aleph_1$, sufficient conditions for " \mathbb{Q} is λ -newly proper" are:

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- (a) \mathbb{Q} is c.c.c. and adds a new real
- (b) \mathbb{Q} is Sacks forcing
- (c) \mathbb{Q} is a tree-like creature forcing in the sense of Roslanowski-Shelah [?].

Proof. Easy; for clause (a) we use q = p for \boxplus of the definition noting that: if $\eta \in {}^{\omega>}\lambda$ then p is (N_{η}, \mathbb{Q}) -generic. For clauses (b),(c) we use fusion but in the n-th step use members of $N_{\eta} \cap \mathbb{Q}$ for $\eta \in {}^{n}\lambda$, we get as many distinct η 's as we can. $\Box_{1.6}$

Theorem 1.7. We have $\Vdash_{\mathbb{Q}} "\mathbb{P}_{\mathscr{A}_*[\mathbf{V}]}$ is not proper" <u>when</u>:

- (a) $\mathbf{V} \models 2^{\aleph_0} \ge \aleph_2$
- (b) λ is regular, $\aleph_2 \leq \lambda \leq 2^{\aleph_0}$ and $\alpha < \lambda \Rightarrow cf([\alpha]^{\aleph_0}, \subseteq) < \lambda$ hence (by [?]) there is a stationary $\mathscr{U}_{\alpha} \subseteq [\alpha]^{\aleph_0}$ of cardinality $< \lambda$
- (c) $\mathfrak{h} < \lambda$
- (d) the forcing notion \mathbb{Q} adds at least one real and is λ -newly proper.

Proof. Let χ be large enough and for transparency, $x \in \mathscr{H}(\chi)$.

By Rubin-Shelah [?], see more [?, Ch.XI] in **V** there is a sequence $\langle N_{\eta} : \eta \in {}^{\omega >}\lambda \rangle$ such that:

- $\begin{array}{ll} \boxdot_1 & (\mathbf{a}) \ N_\eta \prec (\mathscr{H}(\chi), \in) \\ & (\mathbf{b}) \ \mathbb{Q}, x \in N_\eta \end{array}$
 - (c) N_{η} is countable
 - (d) $N_{\eta_1} \cap N_{\eta_2} = N_{\eta_1 \cap \eta_2}.$

Now for each $\eta \in {}^{\omega}\lambda$ let $N_{\eta} = \bigcup \{N_{\eta \upharpoonright k} : k < \omega\}$; we can easily add:

- (e) there is \mathscr{W} such that:
 - (α) \mathscr{W} is a subtree of ${}^{\omega>}\lambda$
 - $(\beta) \langle \rangle \in \mathcal{W}$
 - (γ) if $\eta \in \mathscr{W}$ then $(\exists^{\lambda} \alpha)(\eta^{\hat{\ }} \langle \alpha \rangle \in \mathscr{W})$
 - (δ) if $\eta \in \lim(W)$ then $\eta \in {}^{\omega}\lambda$ is increasing, and $\sup(N_{\eta} \cap \lambda) = \sup(\operatorname{Rang}(\eta))$
 - (ε) we can choose $\nu_{\eta} \in N_{\eta}$ for $\nu \in \mathcal{W}$ as in clauses (d),(e) of \circledast of 1.4.

By Balcar-Pelant-Simon [?] there is $\mathscr{T} \subseteq [\omega]^{\aleph_0}$ such that

- \square_2 (α) (\mathscr{T}, \supseteq^*) is a tree with \mathfrak{h} levels (\mathfrak{h} is the cardinal invariant from 0.7, a regular cardinal $\in [\aleph_1, 2^{\aleph_0}]$), the tree \mathscr{T} has a root and each node has 2^{\aleph_0} many immediate successors, i.e. \mathscr{T} has splitting to 2^{\aleph_0})
 - (β) \mathscr{T} is dense in $([\omega]^{\aleph_0}, \supseteq^*)$, i.e. in $\mathbb{P}_{\mathscr{P}(\omega)[\mathbf{V}]} = \mathbb{P}_{\mathscr{A}_*[\mathbf{V}]}$ recalling 0.2(2).

Choose \bar{h} such that

 $\Box_3 \ \bar{h} = \langle h_p : p \in \mathscr{T} \rangle \text{ satisfies: } h_p \text{ is a one-to-one function from } \operatorname{suc}_{\mathscr{T}}(p) \text{ onto} \\ 2^{\aleph_0} \setminus \{h_{p_0}(p_1) : p_0 <_{\mathscr{T}} p_1 <_{\mathscr{T}} p \text{ and } p_1 \in \operatorname{suc}_{\mathscr{T}}(p_0) \}.$

So without loss of generality

²If $\lambda = \aleph_2$ the rest of clause (b) follows.

 $\square_4 \quad \mathscr{T} \in N_{<>}, \mathfrak{h} \in N_{<>} \text{ and } \bar{h} \in N_{<>}.$

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As \mathbb{Q} is λ -newly proper there are $\underline{\eta}, q$ as in \boxtimes of Definition 1.4. Let $\mathbf{G} \subseteq \mathbb{Q}$ be generic over \mathbf{V} such that $q \in \mathbf{G}$, let $\eta = \underline{\eta}[G]$ and $M_2 := N_{\underline{\eta}[G]} := \cup \{N_{\eta \upharpoonright n}[\mathbf{G}] : n < \omega\}$, so $M_2 \prec (\mathscr{H}(\chi)^{\mathbf{V}[\mathbf{G}]}, \mathscr{H}(\chi)^{\mathbf{V}}, \in)$ is countable, pedantically $(|M_2|, \mathscr{H}(\chi)^{\mathbf{V}} \cap |M_2|, \in$ $||M_2|) \prec (\mathscr{H}(\chi)^{\mathbf{V}[\mathbf{G}]}, \mathscr{H}(\chi)^{\mathbf{V}}, \in [\mathscr{H}(\chi)^{\mathbf{V}[\mathbf{G}]}).$

By \boxtimes of 1.4, i.e. the choice of η, q as $q \in \mathbf{G}$ we have $M_1 = M_2 \cap \mathscr{H}(\chi)^{\mathbf{V}}$ is $\cup \{N_{\eta \restriction n} : n < \omega\}$, and of course $\tilde{M}_1 \prec (\mathscr{H}(\chi), \in)$. Toward contradiction assume $\mathbf{V}[\mathbf{G}] \models \mathscr{P}_{\mathscr{A}_*[\mathbf{V}]}$ is proper", hence some $p_* \in \mathbb{P}_{\mathscr{A}_*[\mathbf{V}]}$ is $(M_2, \mathbb{P}_{\mathscr{A}_*[\mathbf{V}]})$ -generic. But \mathscr{T} is dense in $\mathbb{P}_{\mathscr{A}_*[\mathbf{V}]}$ so without loss of generality $p_* \in \mathscr{T}$ and p_* is (M_2, \mathscr{T}) -generic.

Since $\mathfrak{h} \in N_{<>}$ and $\mathfrak{h} < \lambda$, without loss of generality $\eta \in {}^{\omega>}\lambda \Rightarrow N_{\eta} \cap \mathfrak{h} = N_{<>} \cap \mathfrak{h}$. For any $\alpha < \lambda$ let

 $\mathscr{I}_{\alpha} = \{ p \in \mathscr{T} : \text{ for some } p_0 \in \mathscr{T} \text{ we have } p \in \text{ suc}_{\mathscr{T}}(p_0) \text{ and } h_{p_0}(p) = \alpha \}$

and letting \mathscr{T}_{α} be the α -th level of \mathscr{T} and let

$$\mathscr{I}^+_{\alpha} = \{ p \in \mathbb{P}_{\mathscr{A}_*[\mathbf{V}]} : p \text{ is above some member of } \mathscr{T}_{\alpha} \}.$$

Now clearly (in \mathbf{V} and in $\mathbf{V}[\mathbf{G}]$):

- $(*)_1$ (a) \mathscr{I}_{α} is a pre-dense subset of \mathscr{T} (and of $\mathbb{P}_{\mathscr{A}_*[\mathbf{V}]}$)
 - (b) \mathscr{I}^+_{α} is dense open decreasing with α
 - (c) if $p \in \mathbb{P}_{\mathscr{A}_*[\mathbf{V}]}$ then for every large enough $\alpha < \lambda, p \notin \mathscr{I}_{\alpha}^+$
 - (d) if $p \in \mathbb{P}_{\mathscr{A}_*[\mathbf{V}]}$ and $\alpha < \lambda$ then there is $q \in \mathscr{I}_{\alpha}$ such that $\mathbb{P}_{\mathscr{A}_*[\mathbf{V}]} \models "p \leq q"$.

Also clearly the sequence $\langle \mathscr{I}_{\alpha} : \alpha < \lambda \rangle$ belongs to $N_{\langle \rangle}$ hence if $\alpha \in \lambda \cap N_{\eta[\mathbf{G}]}$ then $\mathscr{I}_{\alpha} \in N_{\eta[\mathbf{G}]}$ and the set $\{p \in \mathscr{T} \cap N_{\eta[\mathbf{G}]} : p \leq_{\mathscr{T}} p_* \text{ and } p \in \mathscr{T}_{\alpha}\}$ is not empty. Now

 $(*)_2$ in V[G] the following functions h_{\bullet}, h_* are well defined

- (a) $\operatorname{Dom}(p_{\bullet}) = \operatorname{Dom}(h_*) = N_{<>} \cap \mathfrak{h}$
- (b) $h_{\bullet}(\gamma)$ is the unique $p \in N_{\eta[\mathbf{G}]} \cap \mathscr{T}$ of level γ which is $\leq_{\mathscr{T}} p_*$
- (c) if $\gamma < \mathfrak{h}$ then $h_*(\gamma) = h_{\gamma+1}(h_{\bullet}(\gamma+1))$
- $(*)_3$ if $\alpha \in \mathfrak{h} \cap N_{\eta[\mathbf{G}]}$ then $h_*(\alpha) \in N_{\eta[\mathbf{G}]} \cap \mathfrak{h} = N_{<>} \cap \mathfrak{h}$

also by the choice of \bar{h} (and genericity) clearly

(*)₄ Rang(h_*) is equal to $u := (2^{\aleph_0}) \cap N_{\eta[\mathbf{G}]}$.

Lastly,

 $(*)_5 h_* \in \mathbf{V}.$

[Why? As its domain, $N_{<>} \cap \mathfrak{h}$ belongs to **V** and $h_*(\gamma)$ is defined from $\langle \mathscr{T}, \bar{h}, \gamma, p_* \rangle \in \mathbf{V}$ and \mathscr{T} is a tree.]

 $\begin{aligned} (*)_6 & (a) & \text{from } u := \lambda \cap N_{\underline{\eta}[\mathbf{G}]} \text{ we can define } \underline{\eta}[\mathbf{G}] \\ (b) & u = \cup \{ N_{\eta \upharpoonright n[\mathbf{G}]} \cap \lambda : n < \omega \}. \end{aligned}$

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[Why? By the choice of \overline{N} .] Together we get that $\eta[\mathbf{G}] \in \mathbf{V}$, contradiction.		$\square_{1.7}$
Claim 1.8. We have $\neg Pr_1(\mathbb{Q}, \mathbb{P}_{\mathscr{A}_*[\mathbf{V}]})$ when		
(a) $2^{\aleph_0} \ge \lambda = \operatorname{cf}(\lambda) > \kappa = \mathfrak{h}$ (b) $\alpha < \lambda \Rightarrow \operatorname{cf}([\alpha]^{\le \kappa}, \subseteq) < \lambda$ (c) \mathbb{Q} is (λ, κ) -newly proper.		
<i>Proof.</i> Similar to 1.7.		$\Box_{1.8}$
Conclusion 1.9. If $\mathfrak{h} < 2^{\aleph_0}$ and \mathbb{Q} is a $(\mathfrak{h}^+, \mathfrak{h})$ -newly proper <u>then</u> $\neg \Pr_1(\mathbb{Q}, \mathbb{P}_{\mathscr{A}_*[\mathbf{V}]})$.		

§ 2. General sufficient conditions

Claim 2.1. Assume $\mathbf{V} \models CH$.

If \mathbb{Q} is c.c.c. <u>then</u> $\operatorname{Pr}_2(\mathbb{Q}, \mathbb{P}_{\mathscr{A}_*[\mathbf{V}]})$.

Remark 2.2. 1) This works replacing $\mathbb{P}_{\mathscr{A}_*[\mathbf{V}]}$ by any \aleph_1 -complete \mathbb{P} and strengthening the conclusions to \Pr_1 , see 2.3.

2) See Definition 0.4(1).

Proof. Let $\mathbb{P} = \mathbb{P}_{\mathscr{A}_*[\mathbf{V}]}$. Clearly it suffices to prove:

- (*) if $r \in \mathbb{P}$ and $\Vdash_{\mathbb{Q}}$ " \mathscr{I} is a dense open subset of \mathbb{P} " then there is r' such that:
 - (a) $r \leq_{\mathbb{P}} r'$ (b) $\Vdash_{\mathbb{Q}} "r' \in \mathscr{I} \subseteq \mathbb{P}".$

Why (*) holds? We try (all in **V**) to choose (r_{α}, q_{α}) by induction on $\alpha < \omega_1$ but choosing q_{α} together with $r_{\alpha+1}$ such that:

- (a) $r_0 = r$
 - (b) $r_{\alpha} \in \mathbb{P}$ is $\leq_{\mathbb{P}}$ -increasing
 - $(c) \quad q_{\alpha} \in \mathbb{Q}$
 - (d) q_{α}, q_{β} are incompatible in \mathbb{Q} for $\beta < \alpha$
 - (e) $q_{\alpha} \Vdash_{\mathbb{Q}} "r_{\alpha+1} \in \mathscr{I}".$

We cannot succeed in carrying the induction ω_1 many steps because $\mathbb{Q} \models \text{c.c.c.}$ For $\alpha = 0$ no problem as only clause (a) is relevant.

For α limit - easy as \mathbb{P} is \aleph_1 -complete (and the only relevant clause is (b)). For $\alpha = \beta + 1$, we first ask:

Question: Is $\langle q_{\gamma} : \gamma < \beta \rangle$ a maximal antichain of \mathbb{Q} ?

If yes, then r_{β} is as required in (*) on r'; why? if $\mathbf{G}_{\mathbb{Q}} \subseteq \mathbb{Q}$ is generic over \mathbf{V} to which r_{β} belongs, then for some $\gamma < \beta, q_{\gamma} \in \mathbf{G}_{\mathbb{Q}}$ hence $r_{\gamma+1} \in \mathscr{I}[\mathbf{G}_Q]$ but $\mathscr{I}[\mathbf{G}_{\mathbb{Q}}]$ is a dense subset of \mathbb{P} and is open and $r_{\gamma+1} \leq_{\mathbb{P}} r_{\beta}$ so $r_{\beta} \in \mathscr{I}[\mathbf{G}_{\mathbb{Q}}]$.

If no, let $q^{\beta} \in \mathbb{Q}$ be incompatible with q_{γ} for every $\gamma < \beta$. Recalling $\Vdash_{\mathbb{Q}}$ " \mathscr{I} is <u>dense</u> and open" the set $X_{\beta} = \{r \in \mathbb{P}: \text{ for some } q, q^{\beta} \leq_{\mathbb{Q}} q \text{ and } q \Vdash "r \in \mathscr{I}"\}$ is a dense subset of \mathbb{P} hence there is a member of X_{β} above r_{β} , let r_{α} be such member. By $r_{\alpha} \in X_{\beta}$, there is $q, q^{\beta} \leq q$ such that $q \Vdash "r_{\alpha} \in \mathscr{I}"$. So we choose q_{β} as such q, so we can carry the induction step.

As said above we cannot carry the induction for all $\alpha < \omega_1$ because then $\{q_\alpha : \alpha < \omega_1\}$ contradicts "Q satisfies the c.c.c." So for some α we cannot continue, α is neither 0 nor limit hence for some $\beta, \alpha = \beta + 1$. So the answer to the question is yes, hence we get the desired conclusion of (*). $\Box_{2.1}$

We can weaken the demand on the second forcing (above, it is $\mathbb{P}_{\mathscr{A}_{*}[\mathbf{V}]}$).

Claim 2.3. If (A) then (B) where:

- (A) (a) \mathbb{P}, \mathbb{Q} are forcing notions
 - (b) \mathbb{Q} is c.c.c. moreover $\Vdash_{\mathbb{P}} \mathbb{Q}$ is c.c.c."
 - (c) forcing with \mathbb{P} adds no new ω -sequences,³ from λ

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³if you assume \mathbb{P} is proper, $\lambda = \aleph_0$ the proof may be easier to read

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- (d) \mathbb{Q} has cardinality $\leq \lambda$
- (B) (a) if \mathbb{P} is proper in \mathbf{V} <u>then</u> $\operatorname{Pr}_2(\mathbb{Q},\mathbb{P})$
 - (b) for every Q-name I of a dense open subset of P, the set J is dense and open in P where:
 - (*) $\mathcal{J} = \mathcal{J}_{\mathcal{I}}$ is the set of $r \in \mathbb{P}$ such that some \bar{q} witnesses it, i.e. witness it belongs to \mathcal{J} which means:
 - $\bar{q} = \langle q_{\alpha} : \alpha < \alpha_* \rangle$ is a maximal antichain of \mathbb{Q}
 - for each $\alpha < \alpha_*$, the set $\{r' \in \mathbb{P} : q_\alpha \Vdash "r' \in \mathscr{I}"\}$ is an open subset of \mathbb{P} dense above r.

Proof. First, we prove clause (b); so fix \mathscr{I} and \mathscr{J} as there. Let $\langle q_{\varepsilon} : \varepsilon < \kappa := |\mathbb{Q}| \rangle$ list \mathbb{Q} .

For every $r \in \mathbb{P}$ we define a sequence η_r of ordinals $< \kappa \leq \lambda$ as follows:

- $\circledast_1 \eta_r(\alpha)$ is the minimal ordinal $\varepsilon < \kappa$ such that (so $\ell g(\eta_r) = \alpha$ when there is no such ε):
 - (a) $q_{\varepsilon} \Vdash "r \in \mathscr{J}"$
 - (b) if $\beta < \alpha$ then $q_{\varepsilon}, q_{\eta_r(\beta)}$ are incompatible in \mathbb{Q} .

Now

- \circledast_2 (a) η_r is well defined
 - (b) $\ell g(\eta_r) < \omega_1.$

[Why? Obviously η_r is a well defined sequence of ordinals, i.e. clause (a) and clause (b) holds because $\mathbb{Q} \models \text{c.c.c.}$]

Note

 \circledast_3 if $r_1 \leq_{\mathbb{P}} r_2$ then <u>either</u> $\eta_{r_1} \leq \eta_{r_2}$ <u>or</u> for some $\alpha < \ell g(\eta_{r_1})$ we have

$$\eta_{r_1} \restriction \alpha = \eta_{r_2} \restriction \alpha$$

$$\eta_{r_1}(\alpha) > \eta_{r_2}(\alpha).$$

[Why? Think about the definition.]

For $s \in \mathbb{P}$ let η'_s be $\cap \{\eta_{s_1} : s \leq_{\mathbb{P}} s_1\}$, i.e. the longest common initial segment of $\{\eta_{s_1} : s \leq_{\mathbb{P}} s_1\}$; clearly $s_1 \leq_{\mathbb{P}} s_2 \Rightarrow \eta'_{s_1} \leq \eta'_{s_2}$. So

 $\underset{\widetilde{\langle q_{\eta^*(i)}: i < \ell g(\widetilde{\eta^*}) \rangle }{=} is a \mathbb{P}\text{-name of a sequence of ordinals} < \kappa \text{ such that} \\ \widetilde{\langle q_{\eta^*(i)}: i < \ell g(\widetilde{\eta^*}) \rangle } is a sequence of pairwise incompatible members of <math>\mathbb{Q}$.

But by clause (A)(b) of the claim, forcing with \mathbb{P} preserve " $\mathbb{Q} \models \text{c.c.c.}$ ", so $\ell g(\tilde{\eta}^*)$ is countable in $\mathbf{V}[\mathbf{G}_{\mathbb{P}}]$. By clause (A)(c) of the claim, forcing by \mathbb{P} adds no new ω -sequences to $\kappa = |\mathbb{Q}|$ (and \mathbb{Q} is infinite) and $\mathbf{V}[\mathbf{G}_{\mathbb{P}}]$ has the same \aleph_1 as \mathbf{V} , so

 $\circledast_5 \eta^*$ is a sequence of countable length of ordinals $< \kappa$ so is old.

Hence

 \circledast_6 the following set is dense open in \mathbb{P}

 $\mathscr{J} = \{ r \in \mathbb{P} : r \text{ forces in } \mathbb{P} \text{ that } \eta^* = \eta^*_r \text{ for some } \eta^*_r \in \mathbf{V} \}$

As for clause (a), let χ, N, q_1, r_1 be as in the assumption of $(*)_1$ of 0.3, so $\mathbb{P}, \mathbb{Q} \in N$. We have to find q_2, r_2 as there.

Let $q_2 = q_1$ and let $r_2 \in \mathbb{P}$ be (N, \mathbb{P}) -generic and above r_1 , exists as \mathbb{P} is a proper forcing in **V**.

We shall show that (r_2, q_2) is as required, i.e. $q_2 \Vdash_{\mathbb{Q}} "r_2$ is $(N[\mathbf{G}_{\mathbb{Q}}], \mathbb{P})$ -generic". Let $\mathbf{G}_{\mathbb{Q}} \subseteq \mathbb{Q}$ be generic over \mathbf{V} such that $q_2 \in \mathbf{G}_{\mathbb{Q}}$ and we should prove that $\mathbf{V}[\mathbf{G}_{\mathbb{Q}}] \models "r_2$ is $(N[\mathbf{G}_{\mathbb{Q}}], \mathbb{P})$ -generic". So let $\mathscr{I} \in N[\mathbf{G}_{\mathbb{Q}}]$ be a dense open subset of \mathbb{P} , and we should prove that $\mathbf{V}[\mathbf{G}_{\mathbb{Q}}] \models "\mathscr{I} \cap N[\mathbf{G}_{\mathbb{Q}}]$ is pre-dense above r_2 ".

It suffices to prove:

(*) if $r_2 \leq_{\mathbb{P}} r_3$ then r_3 is compatible (in \mathbb{P}) with some $r \in \mathscr{J} \cap N$.

So fix $r_3 \in \mathbb{P}$; by the definition of $N[\mathbf{G}_{\mathbb{Q}}]$ there is a \mathbb{Q} -name \mathscr{I} such that $\mathscr{I} = \mathscr{I}[\mathbf{G}_{\mathbb{Q}}]$, for some $\mathscr{I} \in N$; without loss of generality $\Vdash_{\mathbb{Q}}$ " \mathscr{I} is a dense open subset of \mathbb{P} ". Let $\mathscr{J} = \mathscr{J}_{\mathscr{I}} = \{r \in \mathbb{P} : r \text{ has an } \mathscr{I}\text{-witness } \bar{q}_* = \langle q_{\alpha}^* : \alpha < \alpha_* \rangle \}$, see clause (B)(b) of the claim. Clearly $\mathscr{J} \in N$ hence $\mathscr{I} \cap N$ is pre-dense in \mathbb{P} over r_2 hence also over r_3 hence there are $r_4, r_5 \in \mathbb{P}$ such that $r_3 \leq_{\mathbb{P}} r_5, r_4 \leq_{\mathbb{P}} r_5$ and $r_4 \in N \cap \mathscr{J}$. By the definition of \mathscr{J} there is an $\mathscr{I}\text{-witness } \bar{q}_* = \langle q_{\alpha}^* : \alpha < \alpha_* \rangle$ for $r_4 \in \mathscr{I}$.

But $\mathscr{I}, r_4 \in N$ hence without loss of generality $\bar{q}_* \in N$ and \bar{q}_* has countable length, so $\{q^*_{\alpha} : \alpha < \alpha_*\} \subseteq N$. As \bar{q}_* is a witness, necessarily it is a maximal antichain of \mathbb{Q} hence for some $\alpha < \alpha_*$ we have $q^*_{\alpha} \in \mathbf{G}_{\mathbb{Q}}$, as \bar{q}_* is a witness for $r_4 \in \mathscr{J}_{\mathscr{I}}$, necessarily $\mathscr{I}_1 = \{r \in \mathbb{P} : q^*_{\alpha} \Vdash_{\mathbb{Q}} "r \in \mathscr{I}"\}$ is an open subset of \mathbb{P} dense above r_4 .

Clearly $\mathscr{I}_1 \in N$ is an open subset of \mathbb{P} , dense above r_4 and $r_4 \leq_{\mathbb{P}} r_5$ hence $\mathscr{I}_1 \cap N$ is pre-dense above r_5 hence there are $r_6 \leq_{\mathbb{P}} r_7$ from \mathbb{P} such that $r_6 \in \mathscr{I}_1 \cap N$ and $r_5 \leq_{\mathbb{P}} r_7$.

Clearly $r_6 \in \mathscr{I}[\mathbf{G}_{\mathbb{Q}}] \cap N$ and r_6 is compatible with r_3 in \mathbb{P} , so we are done proving r_2 is $(N[\mathbf{G}_{\mathbb{Q}}], \mathbb{P})$ -generic.

So we are done.

 $\square_{2.3}$

Remark 2.4. In 2.1, 2.3 we can replace "c.c.c." by "strongly proper". <u>But</u> such \mathbb{Q} preserves "($^{\omega}2$)^V-non-meagre".

Claim 2.5. 1) There is a proper forcing \mathbb{Q} which forces " $\mathbb{P}_{\mathscr{A}_*}[\mathbf{V}]$ as a forcing notion is not proper", (i.e. $\neg \Pr_1(\mathbb{Q}, \mathbb{P}))$. 2) Even (A) of 0.5(3) fails, i.e. $\neg \Pr_5(\mathbb{Q}, \mathbb{P}_{\mathscr{A}_*}[\mathbf{V}])$.

Proof. We use the proof of [?, Ch.17,Sec.2] and see references there. We repeat in short.

We use a finite iteration so let \mathbb{P}_0 be the trivial forcing notion, $\mathbb{P}_{k+1} = \mathbb{P}_k * \mathbb{Q}_k$ for $k \leq 3$ and the \mathbb{P}_k -name \mathbb{Q}_k is defined below.

<u>Step A</u>: $\mathbb{Q}_0 = \text{Levy}(\aleph_1, 2^{\aleph_0})$ so $\Vdash_{\mathbb{Q}_0}$ "CH".

Step B: \mathbb{Q}_1 is Cohen forcing.

Step C: In $\mathbf{V}^{\mathbb{P}_2}$, \mathbb{Q}_2 in the Levy collapse of $2^{2^{\aleph_0}}$ to \aleph_1 , i.e. $\mathbb{Q}_2 = \text{Levy}(\aleph_1, \beth_2)^{\mathbf{V}[\mathbb{P}_2]}$.

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<u>Step D</u>: Let $\mathscr{T} = ({}^{(\omega_1 >)}\omega_1)^{\mathbf{V}[\mathbb{P}_1]} = ({}^{(\omega_1 >)}\omega_1)^{\mathbf{V}[\mathbb{P}_0]}$ be a tree, so we know that $\lim_{\omega_1}(\mathscr{T})^{\mathbf{V}[\mathbb{P}_1]} = \lim_{\omega_1}(\mathscr{T})^{\mathbf{V}[\mathbb{P}_2]} = \lim_{\omega_1}(\mathscr{T})^{\mathbf{V}[\mathbb{P}_3]}$ hence has cardinality \aleph_1 in $\mathbf{V}^{\mathbb{P}_3}$ and

 $(*)_1$ in $\mathbf{V}^{\mathbb{P}_1}, \mathscr{T}$ is isomorphic to a dense subset of $\mathbb{P}_{\mathscr{A}_*[\mathbb{P}_1]} = \mathbb{P}_{\mathscr{A}_*[\mathbb{P}_0]}$.

So in $\mathbf{V}^{\mathbb{P}_3}$ there is a list $\langle \eta_{\varepsilon}^* : \varepsilon < \omega_1 \rangle$ of $\lim_{\omega_1}(\mathscr{T})^{\mathbf{V}[\mathbb{P}_1]}$ and let $\langle \eta_{\varepsilon}^* \upharpoonright [\gamma_{\varepsilon}, \omega_1) : \varepsilon < \omega_1 \rangle$ be pairwise disjoint end segments so $\gamma_{\varepsilon} < \omega_1, \langle \gamma_{\varepsilon} : \varepsilon < \omega_1 \rangle \in \mathbf{V}^{\mathbb{P}_3}$ and $\varepsilon_1 < \varepsilon_2 < \omega_1 \land \beta_1 \in [\gamma_{\varepsilon_1}, \omega_1) \land \beta_2 \in [\gamma_{\varepsilon_2}, \omega_1) \Rightarrow \eta_{\varepsilon_1}^* \upharpoonright \gamma_1 \neq \eta_{\varepsilon_2}^* \upharpoonright \gamma_2.$

 $\begin{array}{l} \underline{\operatorname{Step}} \ E: \ \operatorname{In} \ \mathbf{V}^{\mathbb{P}_3} \ \text{there is} \ \mathbb{Q}_3, \ \text{a c.c.c. forcing notion specializing} \ \mathscr{T} \ \text{in the sense of} \\ \hline [?], \ \text{i.e. there is} \ h_* \in \mathbf{V}^{\mathbb{P}_4} \ \text{such that} \ h_* : \ \mathscr{T} \to \omega, \ h_* \ \text{is increasing in} \ \mathscr{T} \ \text{except being} \\ \text{constant on each end segment} \ \eta_{\varepsilon}^* \upharpoonright [\gamma_{\varepsilon}, \omega_1) \ \text{for} \ \varepsilon < \omega_1, \ \text{i.e.} \ \rho <_{\mathscr{T}} \nu \wedge h_*(\rho) = h_*(\nu) \Rightarrow \\ (\exists \varepsilon) [\rho, \nu \in \{\eta_{\varepsilon}^* \upharpoonright \gamma : \gamma \in [\gamma_{\varepsilon}, \omega_1)\}. \end{array}$

 \boxtimes after forcing with $\mathbb{P}_4 = \mathbb{Q}_0 * \mathbb{Q}_1 * \mathbb{Q}_2 * \mathbb{Q}_3$, i.e. in $\mathbf{V}^{\mathbb{P}_4}$ the forcing notion $\mathbb{P}_{\mathscr{A}_*[\mathbf{V}]}$ is not proper, in fact it collapses \aleph_1 .

Why? Recall $(*)_1$ and note

 $(*)_2 \ \mathscr{I}_n := \{ \rho \in \mathscr{T} : (\forall \nu) (\rho \leq_{\mathscr{T}} \nu \to h_*(\nu) \neq n \} \text{ is dense open in } \mathscr{T}$

and trivially

- $(*)_3 \cap \mathscr{I}_n = \emptyset$; in fact if $\mathbf{G} \subseteq \mathscr{T}$ is generic, then:
 - (A) **G** is a branch of \mathscr{T} of order type $\omega_1^{\mathbf{V}}$ let its name be $\langle \rho_{\gamma} : \gamma < \omega_1 \rangle$
 - (B) letting $\gamma_n = \text{Min}\{\gamma < \omega_1 : \rho_\gamma \in \mathscr{I}_n\}$ we have $\Vdash_{\mathscr{T}} ``\{\gamma_n : n < \omega\}$ is unbounded in ω_1 ".

 $\square_{2.5}$

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