

ITERATION OF λ -COMPLETE FORCING NOTIONS NOT COLLAPSING λ^+ .

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ABSTRACT. We look for a parallel to the notion of “proper forcing” among λ -complete forcing notions not collapsing λ^+ . We suggest such a definition and prove that it is preserved by suitable iterations.

0. INTRODUCTION

This work follows [She03a] and [She03b] (and see history there), but we do not rely on those papers. Our goal in this and the previous papers is to develop a theory parallel to “properness in CS iterations” for iterations with larger supports. In [She03a], [She03b] we have presented parallels to [She77] and [She80b], whereas here we try to have parallels to [She80a], [She82, Ch.III], [She82, Ch.V,§5-§7] and hopefully [She98, Ch.VI], [She98, Ch.XVIII].

It seems too much to hope for a notion fully parallel to “proper” among λ -complete forcing notions as even for “ λ^+ -c.c. λ -complete” there are problems. We should also remember about ZFC limitations for possible iteration theorems. For example, if in the definition of the forcing notion \mathbb{Q}^* in Section 3 we demand $h^p \upharpoonright e_\delta \subseteq h_\delta$, then the proof fails. This may seem a drawback, but one should look at [She98, AP, p.985, 3.6(2) and p.990, 3.9]. By it, if $\mathcal{S}^* = \mathcal{S}_\lambda^{\lambda^+}$, and (A_δ, h_δ) are as in 3.4 and) we ask a success on a club, then for some $\langle h_\delta : \delta \in \mathcal{S}_\lambda^{\lambda^+} \rangle$ we fail. Now, if we allow only $h_\delta : A_\delta \rightarrow 2$ and we ask for “success of the uniformization” on an end segment of A_δ (for all such $\langle A_\delta : \delta \in \mathcal{S}_\lambda^{\lambda^+} \rangle$), then we also fail as we may code colourings with values in λ .

In the first section we formulate our definitions (including *properness over* λ , see 1.3). We believe that our main Definition 1.3 is quite reasonable and applicable. One may also define a version of it where the diamond is “spread out”. The second section is devoted to the proof of the preservation theorem, and the next one gives three (relatively easy) examples of forcing notions fitting our scheme. We conclude the paper with the discussion of applications and variants.

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Notation 0.1. Our notation is rather standard and compatible with that of classical textbooks (like Jech [Jec03]). In forcing we keep the older (Cohen's) convention that *a stronger condition is the larger one*.

- (1) For a filter D on λ , the family of all D -positive subsets of λ is called D^+ . (So $A \in D^+$ if and only if $A \subseteq \lambda$ and $A \cap B \neq \emptyset$ for all $B \in D$.)
- (2) Every forcing notion \mathbb{P} under considerations is assumed to have the weakest condition $\emptyset_{\mathbb{P}}$, i.e., $(\forall p \in \mathbb{P})(\emptyset_{\mathbb{P}} \leq_{\mathbb{P}} p)$. Also we assume $*$ $\notin \mathbb{P}$ is a fixed object belonging to all the N 's we consider.
- (3) A tilde indicates that we are dealing with a name for an object in a forcing extension (like \tilde{x}). The canonical \mathbb{P} -name for the \mathbb{P} -generic filter over \mathbf{V} is denoted by $\tilde{G}_{\mathbb{P}}$. In iterations, if $\bar{\mathbb{Q}} = \langle \mathbb{P}_{\zeta}, \mathbb{Q}_{\zeta} : \zeta < \zeta^* \rangle$ and $p \in \lim(\bar{\mathbb{Q}})$, then we keep convention that $p(\alpha) = \emptyset_{\mathbb{Q}_{\alpha}}$ for $\alpha \in \zeta^* \setminus \text{Dom}(p)$.
- (4) Ordinal numbers will be denoted by the lower case initial letters of the Greek alphabet ($\alpha, \beta, \gamma \dots$) and also by i, j (with possible sub- and superscripts).
- (5) A bar above a letter denotes that the object considered is a sequence; usually \bar{X} will be $\langle X_i : i < \zeta \rangle$, where ζ denotes the length of \bar{X} . Often our sequences will be indexed by a set of ordinals, say $\mathcal{S} \subseteq \lambda$, and then \bar{X} will typically be $\langle X_{\delta} : \delta \in \mathcal{S} \rangle$. Semi-diamond sequences will be called \bar{F} (with possible superscripts).

In our definitions (and proofs) we will use somewhat special diamond-like sequences (see 1.1(2)). The difference between them and classical diamonds is quite minor, so let us remind the following.

Definition 0.2. (1) Let D be a filter on λ . We say that $\bar{F} = \langle F_{\delta} : \delta \in \mathcal{S} \rangle$ is a D -diamond sequence if $\mathcal{S} \in D^+$, $F_{\delta} \in {}^{\delta}\delta$ for $\delta \in \mathcal{S}$, and

$$(\forall f \in {}^{\lambda}\lambda)(\{\delta \in \mathcal{S} : F_{\delta} \subseteq f\} \in D^+).$$

We may also call such \bar{F} a (D, \mathcal{S}) -diamond sequence.

- (2) We say that (D, \mathcal{S}) has diamonds if there is a (D, \mathcal{S}) -diamond. We say that D has diamonds if D is a normal filter on λ and for every $\mathcal{S} \in D^+$ there is a (D, \mathcal{S}) -diamond.

Definition 0.3. A forcing notion \mathbb{P} is λ -complete if every $\leq_{\mathbb{P}}$ -increasing chain of length less than λ has an upper bound in \mathbb{P} . It is λ -lub-complete if every $\leq_{\mathbb{P}}$ -increasing chain of length less than λ has a least upper bound in \mathbb{P} .

Proposition 0.4. (1) *If D is a filter on λ , then the family of all diagonal intersections of members of D constitutes a normal filter (but in general not necessarily proper). We call this family the normal filter generated by D .*

- (2) *If \mathbb{P} is a λ -complete forcing notion and D is a normal filter on λ , then in $\mathbf{V}^{\mathbb{P}}$ the filter D generates a proper normal filter on λ .*

[Abusing notation, we will denote this filter also by D or, if we want to stress that we work in the forcing extension, by $D^{\mathbf{V}[G_{\mathbb{P}}]}$.]

Moreover, by the λ -completeness of \mathbb{P} , if $X \in D^+ \cap \mathbf{V}$, then $\Vdash_{\mathbb{P}} X \in D^+$, and if $X \in \mathbf{V}$, $p \Vdash_{\mathbb{P}} X \in D^{\mathbf{V}^{\mathbb{P}}}$ then $X \in D$.

- (3) If \mathbb{P} is a λ -complete forcing notion and $\bar{F} = \langle F_{\delta} : \delta \in \mathcal{S} \rangle$ is a D -diamond sequence, then

$$\Vdash_{\mathbb{P}} \text{“ } \bar{F} \text{ is a } D\text{-diamond sequence ”.}$$

Definition 0.5 and Proposition 0.6 below are not central for us, but they may be used to get somewhat stronger results, see $[S^+]$.

Definition 0.5. Let pr be a definable pairing function on λ , for example $\text{pr}(\alpha, \beta) = \omega^{\alpha+\beta} + \beta$, and let $\bar{F} = \langle F_{\delta} : \delta \in \mathcal{S} \rangle$ be a D -diamond sequence.

For an ordinal $\alpha < \lambda$ we let $\bar{F}^{[\alpha]} = \langle F_{\delta}^{[\alpha]} : \delta \in \mathcal{S} \rangle$, where each $F_{\delta}^{[\alpha]}$ is a function with domain δ and such that

$$F_{\delta}^{[\alpha]}(\beta) = \begin{cases} F_{\delta}(\text{pr}(\alpha, \beta)) & \text{if well defined,} \\ 0 & \text{otherwise.} \end{cases}$$

Proposition 0.6. *If \bar{F} is a D -diamond sequence, then for every $\alpha < \lambda$, $\bar{F}^{[\alpha]}$ is also a D -diamond sequence.*

Throughout the paper we will assume the following:

- Context 0.7.*
- (a) λ is an uncountable cardinal, $\lambda = \lambda^{<\lambda}$, and
 - (b) D is a normal filter on λ (usually D is the club filter \mathcal{D}_{λ} on λ),
 - (c) $\mathcal{S} \in D^+$ contains all successor ordinals below λ , $0 \notin \mathcal{S}$, and $\mathcal{S}' = \lambda \setminus \mathcal{S}$ is unbounded in λ ,
 - (d) there is a (D, \mathcal{S}) -diamond sequence.

1. THE DEFINITIONS

In this section we define a special genericity game, properness over (D, \mathcal{S}) -semi diamonds and the class of forcing notions we are interested in.

Definition 1.1. Let \mathbb{P} be a forcing notion and let $N \prec (\mathcal{H}(\chi), \in, <_{\chi}^*)$ be such that $\|N\| = \lambda$, $N^{<\lambda} \subseteq N$ and $\{\lambda, \mathbb{P}, D, \mathcal{S}\} \in N$. Let $h : \lambda \rightarrow N$ be such that the range $\text{Rang}(h)$ of the function h includes $\mathbb{P} \cap N$.

- (1) We say that $\bar{F} = \langle F_{\delta} : \delta \in \mathcal{S} \rangle$ is a (D, \mathcal{S}) -semi diamond sequence if $F_{\delta} \in {}^{\delta}\delta$ for $\delta \in \mathcal{S}$ and
- (*) for every $\leq_{\mathbb{P}}$ -increasing sequence $\bar{p} = \langle p_{\alpha} : \alpha < \lambda \rangle \subseteq \mathbb{P} \cap N$ we have

$$\{\delta \in \mathcal{S} : (\forall \alpha < \delta)(h \circ F_{\delta}(\alpha) = p_{\alpha})\} \in D^+.$$

- (2) Let \bar{F} be a (D, \mathcal{S}) -semi diamond. A sequence $\bar{q} = \langle q_{\delta} : \delta \in \mathcal{S} \rangle \subseteq N \cap \mathbb{P}$ is called an (N, h, \mathbb{P}) -candidate over \bar{F} (or: $(N, h, \mathbb{P}, \bar{F})$ -candidate) whenever

(α) for every open dense subset $\mathcal{I} \in N$ of \mathbb{P}

$$\{\delta \in \mathcal{S} : q_\delta \in \mathcal{I}\} = \mathcal{S} \pmod{D},$$

and

(β) if $\delta \in \mathcal{S}$ is a limit ordinal and $\langle h \circ F_\delta(\alpha) : \alpha < \delta \rangle$ is a $\leq_{\mathbb{P}}$ -increasing sequence of members of $\mathbb{P} \cap N$,

then q_δ is its upper bound in \mathbb{P} .

(3) Let \bar{q} be an $(N, h, \mathbb{P}, \bar{F})$ -candidate and $r \in \mathbb{P}$. We define a game $\mathfrak{D}(r, N, h, \mathbb{P}, \bar{F}, \bar{q})$ of two players, the *generic player* and the *anti-generic player*, as follows. A play lasts λ moves, in the i^{th} move conditions $r_i^-, r_i \in \mathbb{P}$ and a set $C_i \in D$ are chosen such that

- $r_i^- \in N$, $r_i^- \leq r_i$, $r \leq r_i$,
- $(\forall j < i)(r_j \leq r_i \ \& \ r_j^- \leq r_i^-)$, and
- the generic player chooses r_i^-, r_i, C_i if $i \in \mathcal{S}$, and the anti-generic player chooses r_i^-, r_i, C_i if $i \in \mathcal{S}'$.

If at some moment during the play there is no legal move for one of the players, then the anti-generic player wins. If the play lasted λ moves, then the generic player wins the play whenever

(\otimes) if $\delta \in \mathcal{S} \cap \bigcap_{i < \delta} C_i$ is a limit ordinal, and $\langle h \circ F_\delta(\alpha) : \alpha < \delta \rangle =$

$$\langle r_\alpha^- : \alpha < \delta \rangle, \text{ then } q_\delta \leq r_\delta.$$

(4) Let \bar{q} be an $(N, h, \mathbb{P}, \bar{F})$ -candidate, \bar{F} a (D, \mathcal{S}) -semi diamond. A condition $r \in \mathbb{P}$ is (N, h, \mathbb{P}) -generic for \bar{q} over \bar{F} if the generic player has a winning strategy in the game $\mathfrak{D}(r, N, h, \mathbb{P}, \bar{F}, \bar{q})$.

Observation 1.2. (1) In the game $\mathfrak{D}(r, N, h, \mathbb{P}, \bar{F}, \bar{q})$, for each of the players, if it increases conditions r_i^-, r_i , its choice can only improve its situation. Making sets C_i (for $i \in \mathcal{S}$) smaller can only help the generic player.

(2) If forcing with \mathbb{P} does not add new subsets to λ , then the game in Definition 1.1(5) degenerates as without loss of generality r forces a value to $\mathcal{G}_{\mathbb{P}} \cap N$; the condition does not degenerate, in fact this condition (which implies adding no new λ -sequences) is preserved by $(< \lambda^+)$ -support iterations (see [She03a]).

(3) Also if $\mathcal{S}_1 \subseteq \mathcal{S} \pmod{D}$, $\mathcal{S}_1 \in D^+$, then in Definition 1.1 we can replace \mathcal{S} by \mathcal{S}_1 . (Again, the generic player can guarantee $C_i \cap \mathcal{S}_1 \subseteq \mathcal{S}$.)

(4) If \mathbb{P} is λ -complete and r is (N, \mathbb{P}) -generic (in the usual sense, i.e., $r \Vdash_{\mathbb{P}} "N[\mathcal{G}_{\mathbb{P}}] \cap \mathbf{V} = N"$), then both players have always legal moves in the game $\mathfrak{D}(r, N, h, \mathbb{P}, \bar{F}, \bar{q})$.

Also if the forcing notion \mathbb{P} is λ -lub-complete, then both players have always legal moves in the game $\mathfrak{D}(r, N, h, \mathbb{P}, \bar{F}, \bar{q})$ (for any r).

Definition 1.3. (1) Let $\mathcal{S} \in D^+$. We say that a forcing notion \mathbb{P} is *proper over (D, \mathcal{S}) -semi diamonds* whenever (there is a (D, \mathcal{S}) -diamond and):

- (a) \mathbb{P} is λ -complete, and
 - (b) if χ is large enough, $p \in \mathbb{P}$ and $N \prec (\mathcal{H}(\chi), \in, <_\chi^*)$, $\|N\| = \lambda$, $N^{<\lambda} \subseteq N$ and $\{\lambda, p, \mathbb{P}, D, \mathcal{S}, \dots\} \in N$, and $h : \lambda \rightarrow N$ satisfies $\mathbb{P} \cap N \subseteq \text{Rang}(h)$, and \bar{F} is a (D, \mathcal{S}) -semi diamond for (N, h, \mathbb{P}) , and $\bar{q} = \langle q_\delta : \delta \in \mathcal{S} \rangle$ is an $(N, h, \mathbb{P}, \bar{F})$ -candidate, then there is $r \in \mathbb{P}$ stronger than p and such that r is (N, h, \mathbb{P}) -generic for \bar{q} over \bar{F} .
- (2) \mathbb{P} is said to be *proper over D -semi diamonds* if it is proper over (D, \mathcal{S}) -semi diamonds for every $\mathcal{S} \in D^+$ (so D has diamonds). The family of forcing notions proper over D -semi diamonds is denoted K_D^1 .
- (3) A forcing notion \mathbb{P} is *proper over λ* if it is proper over D -semi diamonds for every normal filter D on λ which has diamonds.

Remark 1.4. Does D matter? Yes, as we may use some “large D ” and be interested in preserving its largeness properties.

Proposition 1.5. *If \mathbb{P} is a λ^+ -complete forcing notion, then \mathbb{P} is proper over λ .*

Proof. Straightforward. □

Proposition 1.6. (1) *If N, \mathbb{P}, h are as in 1.1, \mathbb{P} is λ -complete, and \bar{F} is a (D, \mathcal{S}) -semi diamond, then there is an $(N, h, \mathbb{P}, \bar{F})$ -candidate.*

In fact we can even demand:

- (+) *if $\mathcal{I} \in N$ is an open dense subset of \mathbb{P} , then $q_\delta \in \mathcal{I}$ for every large enough δ .*
- (2) *Let r be (N, h, \mathbb{P}) -generic over \bar{F} for some $(N, h, \mathbb{P}, \bar{F})$ -candidate \bar{q} . Then*
 - (a) *if $\langle r_i^-, r_i, C_i : i < \lambda \rangle$ is a result of a play of $\mathfrak{D}(r, N, h, \mathbb{P}, \bar{F}, \bar{q})$ in which the generic player uses its winning strategy, then*

$$G' = \{p \in \mathbb{P} \cap N : (\exists i < \lambda)(p \leq r_i)\}$$

is a subset of $\mathbb{P} \cap N$ generic over N , and

(b) *r is (N, \mathbb{P}) -generic (in the usual sense).*

- (3) *If \mathbb{P} is proper over (D, \mathcal{S}) -semi diamonds, $\mu \geq \lambda$, $Y \subseteq [\mu]^{\leq \lambda}$, $Y \in \mathbf{V}$, then:*

(a) *forcing with \mathbb{P} does not collapse λ^+ ,*

(b) *forcing with \mathbb{P} preserves the following two properties:*

(i) *Y is a cofinal subset of $[\mu]^{\leq \lambda}$ (under inclusion),*

(ii) *for every large enough χ and $x \in \mathcal{H}(\chi)$, there is $N \prec (\mathcal{H}(\chi), \in)$ such that $\|N\| = \lambda$, $N \cap \lambda^+ \in \lambda^+$, $N^{<\lambda} \subseteq N$, $N \cap \mu \in Y$ (i.e., the stationarity of Y under the relevant filter).*

Proof. 1) Immediate (by the λ -completeness of \mathbb{P}).

2) Clause (a) should be clear (remember 1.1(2)(α)). For clause (b) note that $0 \in \mathcal{S}'$, so in the game $\mathfrak{D}(r, N, h, \mathbb{P}, \bar{F}, \bar{q})$ the condition r_0 is chosen by

the anti-generic player. So if the conclusion fails, then for some \mathbb{P} -name $\dot{\alpha} \in N$ for an ordinal we have $r \Vdash \dot{\alpha} \in N$. Thus the anti-generic player can choose r_0 so that $r_0 \Vdash \dot{\alpha} = \alpha_0$ for some ordinal $\alpha_0 \notin N$, what guarantees it to win the play.

3) Straightforward from 2). □

Very often checking properness over D -semi diamonds (for particular examples of forcing notions) we get somewhat stronger properties, which motivate the following definition.

Definition 1.7. We say that a condition $r \in \mathbb{P}$ is N -generic for D -semi diamonds if it is (N, h, \mathbb{P}) -generic for \bar{q} over \bar{F} whenever h, \bar{q}, \bar{F} are as in 1.1. Omitting D we mean “for every normal filter D with diamonds”.

The following notion is not of main interest in this paper, but surely it is interesting from the point of view of general theory.

Definition 1.8. Let $0 < \alpha < \lambda^+$.

- (1) Let $\mathcal{S} \in D^+$. We say that a forcing notion \mathbb{P} is α -proper over (D, \mathcal{S}) -semi diamonds whenever
 - (a) \mathbb{P} is λ -complete, and
 - (b) if χ is large enough, $p \in \mathbb{P}$ and
 - $\bar{N} = \langle N_\beta : \beta < \alpha \rangle$ is an increasing sequence of elementary submodels of $(\mathcal{H}(\chi), \in)$ such that $\|N_\beta\| = \lambda$, $N_\beta^{<\lambda} \subseteq N_\beta$, $\{\lambda, p, \mathbb{P}, \bar{N} \upharpoonright \beta\} \in N_\beta$, and
 - $\bar{F}^\beta = \langle F_\delta^\beta : \delta \in \mathcal{S} \rangle$, $F_\delta^\beta \in {}^\delta \delta$ (for $\beta < \alpha$),
 - $h_\beta : \lambda \rightarrow N_\beta$, $\mathbb{P} \cap N_\beta \subseteq \text{Rang}(h_\beta)$ and $\langle h_\gamma, \bar{F}^\gamma : \gamma < \beta \rangle \in N_\beta$, and
 - \bar{F}^β is a (D, \mathcal{S}) -semi diamond sequence for $(N_\beta, h_\beta, \mathbb{P})$, and
 - $\bar{q}^\beta = \langle q_\delta^\beta : \delta \in \mathcal{S} \rangle$ is an $(N_\beta, h_\beta, \mathbb{P})$ -candidate over \bar{F}^β , and $\langle \bar{q}^\gamma : \gamma < \beta \rangle \in N_\beta$,

then there is $r \in \mathbb{P}$ above p which is $(N_\beta, h_\beta, \mathbb{P})$ -generic for \bar{q}^β over \bar{F}^β for each $\beta < \alpha$.
- (2) We define “ \mathbb{P} is α -proper over D -semi diamonds” (and K_D^α) and “ \mathbb{P} is α -proper over λ ” in a way parallel to 1.3(2,3).

Remark 1.9. Note that for $\alpha = 1$ (in Definition 1.8) we get the same notions as in Definition 1.3.

2. THE PRESERVATION THEOREM

In 2.7 below we prove a preservation theorem for our forcing notions. It immediately gives the consistency of the suitable Forcing Axiom, see 4.1. Also the proof actually specifies which semi-diamond sequences \bar{F} are used.

First, recall that

Proposition 2.1. *Suppose that $\langle \mathbb{P}_\alpha, \mathbb{Q}_\alpha : \alpha < \zeta^* \rangle$ is a $(< \lambda^+)$ -support iteration such that for each $\alpha < \zeta^*$*

$$\Vdash_{\mathbb{P}_\alpha} \text{“} \mathbb{Q}_\alpha \text{ is } \lambda\text{-complete. ”}$$

Then the forcing \mathbb{P}_{ζ^} is λ -complete.*

Before we engage in the proof of the preservation theorem, let us prove some facts of more general nature than the one of our main context. If, e.g., all iterands are λ -lub-complete, then Proposition 2.3 below is obvious.

Temporary Context 2.2. Let $\bar{\mathbb{Q}} = \langle \mathbb{P}_\alpha, \mathbb{Q}_\alpha : \alpha < \zeta^* \rangle$ be a $(< \lambda^+)$ -support iteration of λ -complete forcing notions. We also suppose that N is a model as in 1.1, $\bar{\mathbb{Q}}, \dots \in N$.

Proposition 2.3. *Suppose that $\zeta \in (\zeta^* + 1) \cap N$ is a limit ordinal of cofinality $\text{cf}(\zeta) < \lambda$ and $r \in \mathbb{P}_\zeta$ is such that*

$$(\forall \varepsilon \in \zeta \cap N)(r \restriction \varepsilon \text{ is } (N, \mathbb{P}_\varepsilon)\text{-generic.})$$

Assume that conditions $s_\beta \in N \cap \mathbb{P}_\zeta$ (for $\beta < \delta$, $\delta < \lambda$) are such that

$$(\forall \beta' < \beta < \delta)(s_{\beta'} \leq s_\beta \leq r).$$

Then there are conditions $s \in N \cap \mathbb{P}_\zeta$ and $r^+ \in \mathbb{P}_\zeta$ such that $s \leq r^+$, $r \leq r^+$ and $(\forall \beta < \delta)(s_\beta \leq s)$.

Proof. Let $\langle i_\gamma : \gamma < \text{cf}(\zeta) \rangle \subseteq N \cap \zeta$ be a strictly increasing continuous sequence cofinal in ζ . By induction on γ choose r_γ^-, r_γ such that

- (α) $r_\gamma^- \in \mathbb{P}_{i_\gamma} \cap N$ is above (in \mathbb{P}_{i_γ}) of all $s_\beta \restriction i_\gamma$ for $\beta < \delta$,
- (β) $r_\gamma \in \mathbb{P}_{i_\gamma}$, $r_\gamma^- \leq_{\mathbb{P}_{i_\gamma}} r_\gamma$, and $r \restriction i_\gamma \leq r_\gamma$,
- (γ) if $\gamma < \varepsilon < \text{cf}(\zeta)$ then $r_\gamma^- \leq r_\varepsilon^-$ and $r_\gamma \leq r_\varepsilon$.

(The choice is clearly possible as $r \restriction i_\gamma$ is $(N, \mathbb{P}_{i_\gamma})$ -generic.)

Let $r^+ \in \mathbb{P}_\zeta$ be an upper bound of $\langle r_\gamma : \gamma < \text{cf}(\zeta) \rangle$ (remember clause (γ) above); then also $r \leq r^+$. Now we are going to define a condition $s \in \mathbb{P}_\zeta \cap N$. We let $\text{Dom}(s) = \bigcup \{ \text{Dom}(r_{\gamma+1}^-) \cap [i_\gamma, i_{\gamma+1}) : \gamma < \text{cf}(\zeta) \}$, and for $\xi \in \text{Dom}(s)$, $i_\gamma \leq \xi < i_{\gamma+1}$, we let $s(\xi)$ be a \mathbb{P}_ξ -name for the following object in $\mathbf{V}[G_{\mathbb{P}_\xi}]$ (for a generic filter $G_{\mathbb{P}_\xi} \subseteq \mathbb{P}_\xi$ over \mathbf{V}):

- (i) If $r_{\gamma+1}^-(\xi)[G_{\mathbb{P}_\xi}]$ is an upper bound of $\{s_\beta(\xi)[G_{\mathbb{P}_\xi}] : \beta < \delta\}$ in $\mathbb{Q}_\xi[G_{\mathbb{P}_\xi}]$,
then $s(\xi)[G_{\mathbb{P}_\xi}] = r_{\gamma+1}^-(\xi)[G_{\mathbb{P}_\xi}]$.
- (ii) If not (i), but $\{s_\beta(\xi)[G_{\mathbb{P}_\xi}] : \beta < \delta\}$ has an upper bound in $\mathbb{Q}_\xi[G_{\mathbb{P}_\xi}]$,
then $s(\xi)[G_{\mathbb{P}_\xi}]$ is the $<^*_\chi$ -first such upper bound.
- (iii) If neither (i) nor (ii), then $s(\xi)[G_{\mathbb{P}_\xi}] = s_0(\xi)[G_{\mathbb{P}_\xi}]$.

It should be clear that $s \in \mathbb{P}_\zeta \cap N$. Now,

- $s \leq r^+$.

Why? By induction on $\xi \in \zeta \cap N$ we show that $s \restriction \xi \leq r^+ \restriction \xi$. Steps “ $\xi = 0$ ” and “ ξ limit” are clear, so suppose that we have proved $s \restriction \xi \leq r^+ \restriction \xi$, $i_\gamma \leq \xi < i_{\gamma+1}$ (and we are interested in the restrictions to $\xi + 1$). Assume that $G_{\mathbb{P}_\xi} \subseteq \mathbb{P}_\xi$ is a generic filter over \mathbf{V} such that $r^+ \restriction \xi \in G_{\mathbb{P}_\xi}$. Since

$s_\beta \upharpoonright i_{\gamma+1} \leq r_{\gamma+1}^- \leq r_{\gamma+1} \leq r^+$, we also have $\{s_\beta \upharpoonright \xi : \beta < \delta\} \subseteq G_{\mathbb{P}_\xi}$ and $r_{\gamma+1}^- \upharpoonright \xi \in G_{\mathbb{P}_\xi}$. Hence $r_{\gamma+1}^-(\xi)[G_{\mathbb{P}_\xi}]$ is an upper bound of $\{s_\beta(\xi)[G_{\mathbb{P}_\xi}] : \beta < \delta\}$. Therefore, $s(\xi)[G_{\mathbb{P}_\xi}] = r_{\gamma+1}^-(\xi)[G_{\mathbb{P}_\xi}] \leq r_{\gamma+1}(\xi)[G_{\mathbb{P}_\xi}] \leq r^+(\xi)[G_{\mathbb{P}_\xi}]$ (see (i) above) and we are done.

The proof of the proposition will be finished once we show

- $(\forall \beta < \delta)(s_\beta \leq s)$.

Why does this hold? By induction on $\xi \in \zeta \cap N$ we show that $s_\beta \upharpoonright \xi \leq s \upharpoonright \xi$ for all $\beta < \delta$. Steps “ $\xi = 0$ ” and “ ξ limit” are as usual clear, so suppose that we have proved $s_\beta \upharpoonright \xi \leq s \upharpoonright \xi$ (for $\beta < \delta$), $i_\gamma \leq \xi < i_{\gamma+1}$ (and we are interested in the restrictions to $\xi + 1$). Assume that $G_{\mathbb{P}_\xi} \subseteq \mathbb{P}_\xi$ is a generic filter over \mathbf{V} such that $s \upharpoonright \xi \in G_{\mathbb{P}_\xi}$. Then also (by the inductive hypothesis) $\{s_\beta \upharpoonright \xi : \beta < \delta\} \subseteq G_{\mathbb{P}_\xi}$ and therefore $\langle s_\beta(\xi)[G_{\mathbb{P}_\xi}] : \beta < \delta \rangle$ is an increasing sequence of conditions from the (λ -complete) forcing $\mathbb{Q}_\xi[G_{\mathbb{P}_\xi}]$. Thus this sequence has an upper bound, and $s(\xi)[G_{\mathbb{P}_\xi}]$ is such an upper bound (see (i) and (ii) above), as required. \square

In the proof of the preservation theorem we will (like in the proof of the preservation of properness [She98, Ch.III, §3.3]) have to deal with *names* for conditions in the iteration. This motivates the following definition (which is in the spirit of [She98, Ch.X], so this is why “RS”).

Definition 2.4. (1) An *RS-condition* in \mathbb{P}_{ζ^*} is a pair (p, w) such that $w \in [(\zeta^* + 1)]^{<\lambda}$ is a closed set, $0, \zeta^* \in w$, p is a function with domain $\text{Dom}(p) \subseteq \zeta^*$, and

- (\otimes)₁ for every two successive members $\varepsilon' < \varepsilon''$ of the set w , $p \upharpoonright [\varepsilon', \varepsilon'')$ is a $\mathbb{P}_{\varepsilon'}$ -name of an element of $\mathbb{P}_{\varepsilon''}$ whose domain is included in the interval $[\varepsilon', \varepsilon'')$.

The family of all RS-conditions in \mathbb{P}_{ζ^*} is denoted by $\mathbb{P}_{\zeta^*}^{\text{RS}}$.

- (2) If $(p, w) \in \mathbb{P}_{\zeta^*}^{\text{RS}}$ and $G_{\mathbb{P}_{\zeta^*}} \subseteq \mathbb{P}_{\zeta^*}$ is a generic filter over \mathbf{V} , then we write $(p, w) \in' G_{\mathbb{P}_{\zeta^*}}$ whenever

- (\otimes)₂ for every two successive members $\varepsilon' < \varepsilon''$ of the set w ,

$$(p \upharpoonright [\varepsilon', \varepsilon''))[G_{\mathbb{P}_{\zeta^*}} \cap \mathbb{P}_{\varepsilon'}] \in G_{\mathbb{P}_{\zeta^*}} \cap \mathbb{P}_{\varepsilon''}.$$

- (3) If $(p_1, w_1), (p_2, w_2) \in \mathbb{P}_{\zeta^*}^{\text{RS}}$, then we write $(p_1, w_1) \leq' (p_2, w_2)$ whenever

- (\otimes)₃ for every generic $G_{\mathbb{P}_{\zeta^*}} \subseteq \mathbb{P}_{\zeta^*}$ over \mathbf{V} , if $(p_2, w_2) \in' G_{\mathbb{P}_{\zeta^*}}$ then $(p_1, w_1) \in' G_{\mathbb{P}_{\zeta^*}}$ and for each two successive members $\varepsilon' < \varepsilon''$ of the set $w_1 \cup w_2$ we have

$$(p_1 \upharpoonright [\varepsilon', \varepsilon''))[G_{\mathbb{P}_{\zeta^*}} \cap \mathbb{P}_{\varepsilon'}] \leq_{\mathbb{P}_{\varepsilon''}} (p_2 \upharpoonright [\varepsilon', \varepsilon''))[G_{\mathbb{P}_{\zeta^*}} \cap \mathbb{P}_{\varepsilon'}].$$

Remark 2.5. If $(p, w) \in \mathbb{P}_{\zeta^*}^{\text{RS}}$, $\varepsilon' \leq \xi < \varepsilon''$, $\varepsilon', \varepsilon''$ are successive members of w , then $p(\xi)$ is a $\mathbb{P}_{\varepsilon'}$ -name for a \mathbb{P}_ξ -name of a member of \mathbb{Q}_ξ . One may look at this name as a \mathbb{P}_ξ -name. However note that if we apply this approach to each ξ , we may not end up with a condition in \mathbb{P}_{ζ^*} because of the support!

Proposition 2.6. (1) For each $(p, w) \in \mathbb{P}_{\zeta^*}^{\text{RS}}$ there is $q \in \mathbb{P}_{\zeta^*}$ such that $(p, w) \leq' (q, \{0, \zeta^*\})$.

(2) If $(p, w) \in \mathbb{P}_{\zeta^*}$ and $q \in \mathbb{P}_{\zeta^*}$, then there is $q^* \in \mathbb{P}_{\zeta^*}$ stronger than q and such that for each successive members $\varepsilon' < \varepsilon''$ of w the condition $q^* \upharpoonright \varepsilon'$ decides $p \upharpoonright [\varepsilon', \varepsilon'']$ (i.e., $q \upharpoonright \varepsilon' \Vdash "p \upharpoonright [\varepsilon', \varepsilon''] = p_{\varepsilon', \varepsilon''}"$ for some $p_{\varepsilon', \varepsilon''} \in \mathbb{P}_{\zeta^*}$).

(3) Let $(p_i, w_i) \in \mathbb{P}_{\zeta^*}^{\text{RS}} \cap N$ (for $i < \delta < \lambda$), and $s \in \mathbb{P}_{\zeta^*} \cap N$, $r \in \mathbb{P}_{\zeta^*}$ be such that

$$s \leq r \quad \text{and} \quad (\forall j < i < \delta)((p_j, w_j) \leq' (p_i, w_i) \leq' (r, \{0, \zeta^*\})).$$

Assume that either r is $(N, \mathbb{P}_{\zeta^*})$ -generic, or ζ^* is a limit ordinal of cofinality $\text{cf}(\zeta^*) < \lambda$ and for every $\zeta < \zeta^*$ the condition $r \upharpoonright \zeta$ is (N, \mathbb{P}_{ζ}) -generic.

Then there are conditions $s' \in N \cap \mathbb{P}_{\zeta^*}$ and $r' \in \mathbb{P}_{\zeta^*}$ such that $s \leq s' \leq r'$, $r \leq r'$ and $(\forall i < \delta)((p_i, w_i) \leq' (s', \{0, \zeta^*\}))$.

Proof. (1), (2) Straightforward (use the λ -completeness of \mathbb{P}_{ζ^*}).

(3) If r is $(N, \mathbb{P}_{\zeta^*})$ -generic, then our assertion is clear (remember clause (2)). So suppose that we are in the second case (so $\aleph_0 \leq \text{cf}(\zeta^*) < \lambda$). Let $\langle i_\gamma : \gamma < \text{cf}(\zeta^*) \rangle \subseteq N \cap \zeta$ be a strictly increasing continuous sequence cofinal in ζ^* . For $\gamma < \text{cf}(\zeta^*)$ and $i < \delta$ let $p_i^\gamma = p_i \upharpoonright i_\gamma$, $w_i^\gamma = (w_i \cap i_\gamma) \cup \{i_\gamma\}$ (clearly $(p_i^\gamma, w_i^\gamma) \in \mathbb{P}_{i_\gamma}^{\text{RS}}$). Since $r \upharpoonright i_\gamma$ is $(N, \mathbb{P}_{i_\gamma})$ -generic, we may inductively pick conditions s_γ, r_γ (for $\gamma < \text{cf}(\zeta^*)$) such that

- $s \upharpoonright i_\gamma \leq s_\gamma \in \mathbb{P}_{i_\gamma} \cap N$, $r \leq r_\gamma \in \mathbb{P}_{\zeta^*}$,
- $(\forall i < \delta)((p_i^\gamma, w_i^\gamma) \leq' (s_\gamma, \{0, i_\gamma\}))$, $s_\gamma \leq r_\gamma \upharpoonright i_\gamma$,
- if $\beta < \gamma < \text{cf}(\zeta^*)$ then $s \upharpoonright i_\beta \leq s_\beta \leq s_\gamma$ and $r_\beta \leq r_\gamma$.

Let $r^* \in \mathbb{P}_{\zeta^*}$ be stronger than all r_γ 's. Now apply 2.3. \square

Now we may state and prove our main result.

Theorem 2.7. Let $D, \mathcal{S}, \mathcal{S}'$ be as in 0.7. Assume that $\bar{\mathbb{Q}} = \langle \mathbb{P}_\alpha, \mathbb{Q}_\alpha : \alpha < \zeta^* \rangle$ is a $(< \lambda^+)$ -support iteration such that for each $\alpha < \zeta^*$

$$\Vdash_{\mathbb{P}_\alpha} " \mathbb{Q}_\alpha \text{ is proper for } D\text{-semi diamonds } " .$$

Then $\mathbb{P}_{\zeta^*} = \lim(\bar{\mathbb{Q}})$ is proper for D -semi diamonds.

Proof. By 2.1, the forcing notion \mathbb{P}_{ζ^*} is λ -complete, so we have to concentrate on showing clause 1.3(1)(b) for it.

So suppose that χ is large enough, $p \in \mathbb{P}_{\zeta^*}$ and $N \prec (\mathcal{H}(\chi), \in, <_\chi^*)$, $\|N\| = \lambda$, $N^{<\lambda} \subseteq N$ and $\{\lambda, p, \bar{\mathbb{Q}}, \mathbb{P}_{\zeta^*}, D, \mathcal{S}, \dots\} \in N$, and $h : \lambda \rightarrow N$ satisfies $\mathbb{P}_{\zeta^*} \cap N \subseteq \text{Rang}(h)$. Furthermore, suppose that $\bar{F} = \langle F_\delta : \delta \in \mathcal{S} \rangle$ is a (D, \mathcal{S}) -semi diamond and $\bar{q} = \langle q_\delta : \delta \in \mathcal{S} \rangle$ is an $(N, h, \mathbb{P}_{\zeta^*}, \bar{F})$ -candidate. We may assume that for each $\delta \in \mathcal{S}$

- (\odot) if $\langle h \circ F_\delta(\alpha) : \alpha < \delta \rangle$ is not a $\leq_{\mathbb{P}_{\zeta^*}}$ -increasing sequence of members of $\mathbb{P}_{\zeta^*} \cap N$,
then $h \circ F_\delta(\alpha) = *$ for all $\alpha < \delta$.

[Just suitably modify F_δ whenever the assumption of (\odot) holds – note that the modification does not change the notion of a candidate, the game from 1.1(3), etc.]

Before we define a generic condition $r \in \mathbb{P}_{\zeta^*}$ for \bar{q} over \bar{F} , let us introduce notation used later and give two important facts.

Let $i \in N \cap (\zeta^* + 1)$ and let $G_{\mathbb{P}_i} \subseteq \mathbb{P}_i$ be generic over \mathbf{V} . We define:

- $h^{(i)}[G_{\mathbb{P}_i}] : \lambda \rightarrow N[G_{\mathbb{P}_i}]$ is such that if $h(\gamma)$ is a function, $i \in \text{Dom}(h(\gamma))$ and $(h(\gamma))(i)$ is a \mathbb{P}_i -name, then $(h^{(i)}[G_{\mathbb{P}_i}])(\gamma) = (h(\gamma))(i)[G_{\mathbb{P}_i}]$, otherwise it is $*$;
- $h^{[i]} : \lambda \rightarrow N$ is defined by $h^{[i]}(\gamma) = (h(\gamma)) \upharpoonright i$ provided $h(\gamma)$ is a function, and $*$ otherwise;
- $\mathcal{S}^{(i)}[G_{\mathbb{P}_i}] = \{\delta \in \mathcal{S} : \text{if } \delta \text{ is limit, then } q_\delta \upharpoonright i \in G_{\mathbb{P}_i}\}$;
- $\bar{q}^{(i)}[G_{\mathbb{P}_i}]$ is $\langle q_\delta(i)[G_{\mathbb{P}_i}] : \delta \in \mathcal{S}^{(i)}[G_{\mathbb{P}_i}]\rangle$;
- $\bar{q}^{[i]} = \langle q_\delta \upharpoonright i : \delta \in \mathcal{S}\rangle$;
- $\bar{F}^{(i)}[G_{\mathbb{P}_i}]$ is $\langle F_\delta : \delta \in \mathcal{S}^{(i)}[G_{\mathbb{P}_i}]\rangle$.

Observe that $h^{[i]} : \lambda \rightarrow N$ is such that $\mathbb{P}_i \cap N \subseteq \text{Rang}(h^{[i]})$ and $h^{(i)}[G_{\mathbb{P}_i}]$ is such that $N[G_{\mathbb{P}_i}] \cap \mathbb{Q}_i[G_{\mathbb{P}_i}] \subseteq \text{Rang}(h^{(i)}[G_{\mathbb{P}_i}])$.

Plainly, by (\odot) ,

Claim 2.7.1. *Assume $i \in N \cap (\zeta^* + 1)$. Then \bar{F} is a (D, \mathcal{S}) -semi diamond sequence for $(N, h^{[i]}, \mathbb{P}_i)$ and $\bar{q}^{[i]}$ is an $(N, h^{[i]}, \mathbb{P}_i, \bar{F})$ -candidate.*

Claim 2.7.2. *Assume that $i \in N \cap (\zeta^* + 1)$ and $r \in \mathbb{P}_i$ is $(N, h^{[i]}, \mathbb{P}_i)$ -generic for $q^{[i]}$ over \bar{F} . Let $G_{\mathbb{P}_i} \subseteq \mathbb{P}_i$ be a generic filter over \mathbf{V} , $r \in G_{\mathbb{P}_i}$. Then in $\mathbf{V}[G_{\mathbb{P}_i}]$:*

- (1) $\mathcal{S}^{(i)}[G_{\mathbb{P}_i}] \in D^+$,
- (2) $\bar{F}^{(i)}[G_{\mathbb{P}_i}]$ is a $(D, \mathcal{S}^{(i)}[G_{\mathbb{P}_i}])$ -semi diamond for $(N[G_{\mathbb{P}_i}], h^{(i)}[G_{\mathbb{P}_i}], \mathbb{Q}_i[G_{\mathbb{P}_i}])$, and
- (3) $\bar{q}^{(i)}[G_{\mathbb{P}_i}]$ is an $(N[G_{\mathbb{P}_i}], h^{(i)}[G_{\mathbb{P}_i}], \mathbb{Q}_i[G_{\mathbb{P}_i}], \bar{F}^{(i)}[G_{\mathbb{P}_i}])$ -candidate.

Proof of the Claim. (1) Will follow from (2).

(2) Assume that this fails. Then we can find a condition $r^* \in \mathbb{P}_i$, a \mathbb{P}_i -name $\bar{q}' = \langle q'_\alpha : \alpha < \lambda \rangle \subseteq N$ for an increasing sequence of conditions from \mathbb{Q}_i , and \mathbb{P}_i -names \underline{A}_ξ for members of $D \cap \mathbf{V}$ such that $r \leq_{\mathbb{P}_i} r^* \in G_{\mathbb{P}_i}$ and

$$r^* \Vdash_{\mathbb{P}_i} \text{“} (\forall \delta \in \mathcal{S}^{(i)} \cap \Delta_{\xi < \lambda} \underline{A}_\xi) (\langle h^{(i)} \circ F_\delta(\alpha) : \alpha < \delta \rangle \neq \bar{q}' \upharpoonright \delta) \text{”}.$$

Consider a play $\langle r_j^-, r_j, C_j : i < \lambda \rangle \subseteq \mathbb{P}_i$ of the game $\mathfrak{D}(r, N, h^{[i]}, \mathbb{P}_i, \bar{F}, \bar{q}^{[i]})$ in which the generic player uses its winning strategy and the anti-generic player plays as follows. In addition to keeping the rules of the game, it makes sure that at stage $j \in \mathcal{S}'$:

- $r_j \geq r^*$ (so $r_0 \geq r^*$; remember the anti-generic player plays at 0),
- r_j decides the values of all \underline{A}_ξ for $\xi < j$.

Let $A_\xi \in D \cap \mathbf{V}$ be such that $r_j \Vdash "A_\xi = A_\xi"$ for sufficiently large $j \in \mathcal{S}'$.

Note that the sequence $\langle r_j^- \widehat{\langle q'_j \rangle} : j < \delta \rangle$ is $\leq_{\mathbb{P}_{i+1}}$ -increasing. So, as D is normal and $A_\xi, C_j \in D$ and \bar{F} is a semi diamond for $(N, h^{[i+1]}, \mathbb{P}_{i+1})$ (by 2.7.1), we may find a limit ordinal $\delta \in \mathcal{S} \cap \bigtriangleup_{\xi < \lambda} A_\xi \cap \bigtriangleup_{j < \lambda} C_j$ such that $\langle h^{[i+1]} \circ F_\delta(j) : j < \delta \rangle = \langle r_j^- \widehat{\langle q'_j \rangle} : j < \delta \rangle$. Then also $\langle h^{[i]} \circ F_\delta(j) : j < \delta \rangle = \langle r_j^- : j < \delta \rangle$, and since the play is won by the generic player, we conclude $q_\delta \upharpoonright i \leq r_\delta$. But then taking sufficiently large $j \in \mathcal{S}'$ we have

$$r_j \Vdash " \delta \in \mathcal{S}^{(i)} \cap \bigtriangleup_{\xi < \lambda} A_\xi \quad \& \quad \langle h^{(i)} \circ F_\delta(\alpha) : \alpha < \delta \rangle = \bar{q} \upharpoonright \delta ",$$

a contradiction.

(3) Should be clear. \square

Fix a bijection $\Upsilon : \zeta^* \cap N \longrightarrow \gamma^* \leq \lambda$. Also let $\langle (\mathcal{T}_i, \zeta_i) : i < \lambda \rangle$ list all pairs $(\mathcal{T}, \zeta) \in N$ such that $\zeta \leq \zeta^*$, $\text{cf}(\zeta) \geq \lambda$ and \mathcal{T} is a \mathbb{P}_ζ -name for an ordinal.

Next, by induction, we choose a sequence $\langle (p_i, w_i) : i < \lambda \rangle \subseteq \mathbb{P}_{\zeta^*}^{\text{RS}} \cap N$ such that

- (i) $(p, \{0, \zeta^*\}) \leq' (p_i, w_i) \leq' (p_j, w_j)$ for $i < j < \lambda$,
- (ii) if $i < j < \lambda$ and $\Upsilon(\varepsilon) \leq i$, then $\varepsilon \in \text{Dom}(p_i)$ and $p_i(\varepsilon) = p_j(\varepsilon)$,
- (iii) if $i < \lambda$ is a limit ordinal, then w_i is the closure of $\bigcup_{j < i} w_j$, and

if, additionally, $\varepsilon \in \text{Dom}(q_i)$ is such that $\Upsilon(\varepsilon) \geq i$ (and $i \in \mathcal{S}$, of course), then $\varepsilon \in \text{Dom}(p_i)$ and $p_i(\varepsilon)$ is such that

(\otimes) for every generic $G_{\mathbb{P}_{\zeta^*}} \subseteq \mathbb{P}_{\zeta^*}$ over \mathbf{V} such that $(p_i, w_i) \in' G_{\mathbb{P}_{\zeta^*}}$, and two successive members $\varepsilon', \varepsilon''$ of the set w_i such that $\varepsilon' \leq \varepsilon < \varepsilon''$ we have:

if $\{p_j(\varepsilon)[G_{\mathbb{P}_{\zeta^*}} \cap \mathbb{P}_{\varepsilon'}][G_{\mathbb{P}_{\zeta^*}} \cap \mathbb{P}_\varepsilon] : j < i\} \cup \{q_i(\varepsilon)[G_{\mathbb{P}_{\zeta^*}} \cap \mathbb{P}_\varepsilon]\}$ has an upper bound in $\mathbb{Q}_\varepsilon[G_{\mathbb{P}_{\zeta^*}} \cap \mathbb{P}_\varepsilon]$,

then $p_i(\varepsilon)[G_{\mathbb{P}_{\zeta^*}} \cap \mathbb{P}_{\varepsilon'}][G_{\mathbb{P}_{\zeta^*}} \cap \mathbb{P}_\varepsilon]$ is such an upper bound,

- (iv) for each $i < \lambda$, for some $\xi \in N \cap \zeta_i$ and a \mathbb{P}_ξ -name $\mathcal{T} \in N$ we have: $\sup(\{\varepsilon < \zeta_i : \Upsilon(\varepsilon) \leq i\} \cup (w_i \cap \zeta_i)) < \xi$, $w_{i+1} = w_i \cup \{\xi\}$, $p_{i+1} \upharpoonright \xi = p_i \upharpoonright \xi$ and

if $G_{\mathbb{P}_{\zeta^*}} \subseteq \mathbb{P}_{\zeta^*}$ is generic over \mathbf{V} and $(p_{i+1}, w_{i+1}) \in' G_{\mathbb{P}_{\zeta^*}}$,

then $\mathcal{T}_i[G_{\mathbb{P}_{\zeta^*}} \cap \mathbb{P}_{\zeta_i}] = \mathcal{T}[G_{\mathbb{P}_{\zeta^*}} \cap \mathbb{P}_\xi]$

(It should be clear that there are no problems in the induction and it is possible to pick (p_i, w_i) as above.) From now on we will treat each $p_i(\xi)$ as a \mathbb{P}_ξ -name for a member of \mathbb{Q}_ξ .

Now we are going to define an $(N, h, \mathbb{P}_{\zeta^*})$ -generic condition $r \in \mathbb{P}$ for \bar{q} over \bar{F} in the most natural way. Its domain is $\text{Dom}(r) = \zeta^* \cap N$ and for each $i \in \zeta^* \cap N$

$$r \upharpoonright i \Vdash " r(i) \geq p_{\Upsilon(i)}(i) \text{ is } (N[G_{\mathbb{P}_i}], h^{(i)}, \mathbb{Q}_i)\text{-generic for } \bar{q}^{(i)} \text{ over } \bar{F}^{(i)} ".$$

Main Claim 2.7.3. *For every $\zeta \in (\zeta^* + 1) \cap N$, the generic player has a winning strategy in the game $\mathfrak{D}(r \upharpoonright \zeta, N, h^{[\zeta]}, \mathbb{P}_\zeta, \bar{F}, \bar{q}^{[\zeta]})$.*

Proof of the Claim. We will prove the claim by induction on $\zeta \in (\zeta^* + 1) \cap N$. For $\zeta \in \zeta^* \cap N$ this implies that $r(\zeta)$ is well-defined (remember 2.7.2). Of course for $\zeta = \zeta^*$ we finish the proof of the theorem.

Suppose that $\zeta \in (\zeta^* + 1) \cap N$ and we know that $r \upharpoonright \zeta'$ is $(N, h^{[\zeta']}, \mathbb{P}_{\zeta'})$ -generic for $\bar{q}^{[\zeta']}$ over \bar{F} for all $\zeta' \in N \cap \zeta$. We are going to describe a winning strategy for the generic player in the game $\mathfrak{D}(r \upharpoonright \zeta, N, h^{[\zeta]}, \mathbb{P}_\zeta, \bar{F}, \bar{q}^{[\zeta]})$. The inductive hypothesis is not used in the full strength in the definition of the strategy, but we need it in several places, e.g., to know that r is well defined as well as that we have the st_i 's below. Also note that it implies that $(p_i^\zeta, w_i^\zeta) \leq' (r \upharpoonright \zeta, \{0, \zeta\})$ for all $i < \lambda$, where $p_i^\zeta = p_i \upharpoonright \zeta$ and $w_i^\zeta = (w_i \cap \zeta) \cup \{\zeta\}$. Moreover, during the play, both players will always have legal moves. Why? By the inductive hypothesis we know that $r \upharpoonright \zeta'$ is $(N, \mathbb{P}_{\zeta'})$ -generic for all $\zeta' \in \zeta \cap N$. Therefore, if ζ is a successor or a limit ordinal of cofinality $\geq \lambda$, then we immediately get that $r \upharpoonright \zeta$ is (N, \mathbb{P}_ζ) -generic (remember clause (iv) of the choice of the p_i 's!), and thus 1.2(4) applies. If ζ is a limit ordinal of cofinality $\text{cf}(\zeta) < \lambda$, then we may use 2.3.

Let st_i be a \mathbb{P}_i -name for the winning strategy of the generic player in $\mathfrak{D}(r(i), N[G_{\mathbb{P}_i}], h^{(i)}, \mathbb{Q}_i, \bar{F}^{(i)}, \bar{q}^{(i)})$, and let

$$E_0 \stackrel{\text{def}}{=} \{ \delta < \lambda : \delta \text{ is a limit of points from } \mathcal{S}' \}.$$

Plainly, E_0 is a club of λ .

Let the generic player play as follows. Aside it will construct sequences $\langle r_{j'}^\ominus(\varepsilon), r_{j'}^\oplus(\varepsilon) : j' < \lambda, \varepsilon \in \zeta \cap N \rangle$ and $\langle C_{j'}^\xi(\varepsilon) : j', \xi < \lambda, \varepsilon \in \zeta \cap N \rangle$ so that

- $r_{j'}^\ominus(\varepsilon)$ is a \mathbb{P}_ε -name for a member of $\mathbb{Q}_\varepsilon \cap N[G_{\mathbb{P}_\varepsilon}]$, $r_{j'}^\oplus(\varepsilon)$ is a \mathbb{P}_ε -name for a member of \mathbb{Q}_ε , $C_{j'}^\xi(\varepsilon)$ is a \mathbb{P}_ε -name for a member of $D \cap \mathbf{V}$, and
- if $j \in \mathcal{S}$, $j' \leq j$, and $\Upsilon(\varepsilon) \leq j$, then after the j^{th} move (which is a move of the generic player) the terms $\langle C_{j'}^\xi(\varepsilon) : \xi < \lambda \rangle$, $r_{j'}^\ominus(\varepsilon)$, and $r_{j'}^\oplus(\varepsilon)$ are defined.

So suppose that $j^* \in \mathcal{S}$ and $\langle r_j^-, r_j, C_j : j < j^* \rangle$ is the result of the play so far. To clearly describe the answer of the generic player we will consider two (only slightly different) cases in the order in which they appear in the game. (Remember (r_0, C_0) is chosen by the anti-generic player and that all successor moves are done by the generic player.)

CASE 1: $j_0 < j' < \min(\mathcal{S}' \setminus (j_0 + 1)) = j_1$, $j_0 \in \mathcal{S}'$.

First the generic player picks conditions $s^-, s \in \mathbb{P}_\zeta$, $s^- \in N$ such that $r_{j_0}^- \leq s^- \leq s$, $r_{j_0} \leq s$ and for each $\xi \in \zeta \cap N$ we have

$$s^- \upharpoonright \xi \Vdash \text{“} (\forall i < j_0)(p_i(\xi) \leq s^-(\xi)) \text{”}.$$

[Why possible? By 2.6(3).]

Now the generic player looks at $\varepsilon_\gamma < \zeta$ such that $\Upsilon(\varepsilon_\gamma) = \gamma < j_1$. It picks $\mathbb{P}_{\varepsilon_\gamma}$ -names $r_{j'}^\ominus(\varepsilon_\gamma), r_{j'}^\oplus(\varepsilon_\gamma), \mathcal{C}_{j'}^\xi(\varepsilon_\gamma)$ so that $s \upharpoonright \varepsilon_\gamma$ forces that

$$\langle r_{j'}^\ominus(\varepsilon_\gamma), r_{j'}^\oplus(\varepsilon_\gamma), \Delta_{\xi < \lambda} \mathcal{C}_{j'}^\xi(\varepsilon_\gamma) : j' < j_1 \rangle$$

is a play according to $\text{st}_{\varepsilon_\gamma}$ in which the moves of the anti-generic player are determined as follows. First, it keeps the convention that if $j' \in \mathcal{S} \setminus \mathcal{S}^{\langle \varepsilon_\gamma \rangle}$, then $(r_{j'}^\ominus(\varepsilon_\gamma), r_{j'}^\oplus(\varepsilon_\gamma), \Delta_{\xi < \lambda} \mathcal{C}_{j'}^\xi(\varepsilon_\gamma))$ is (a name for) the $<_\chi^*$ -first legal answer

to the play so far. Now, if $\gamma < j_0$, then we have already the play up to j_0 (it easily follows from the inductive construction that $s \upharpoonright \varepsilon_\gamma$ indeed forces that it is a “legal” play). The j_0^{th} move of the anti-generic player is stipulated as $r_{j_0}^\ominus(\varepsilon_\gamma) = s^-(\varepsilon_\gamma), r_{j_0}^\oplus(\varepsilon_\gamma) = s(\varepsilon_\gamma), \mathcal{C}_{j_0}^\xi(\varepsilon_\gamma) = \bigcap_{j \leq j_0} C_j$, and next we continue up to j_1 keeping our convention. If $j_0 \leq \gamma < j_1$, then the generic player lets $r_{j_0}^\ominus(\varepsilon_\gamma) = s^-(\varepsilon_\gamma), r_{j_0}^\oplus(\varepsilon_\gamma) = s(\varepsilon_\gamma), \mathcal{C}_{j_0}^\xi(\varepsilon_\gamma) = \bigcap_{j \leq j_0} C_j$ and then it “plays” the

game according to $\text{st}_{\varepsilon_\gamma}$ up to j_1 keeping our convention for all $j' \notin \mathcal{S}^{\langle \varepsilon_\gamma \rangle}$.

Next, the generic player picks a condition $r^* \in \mathbb{P}_\zeta$ and $\mathbb{P}_{\varepsilon_\gamma}$ -names $\mathcal{I}_{j'}(\varepsilon_\gamma) \in N$ (for $\gamma < j_1, \varepsilon_\gamma < \zeta, j_0 < j' < j_1$) such that

- $r^* \geq s$, and for every $\gamma, j' < j_1$, and

$$r^* \upharpoonright \varepsilon_\gamma \Vdash_{\mathbb{P}_{\varepsilon_\gamma}} “ r_{j'}^\oplus(\varepsilon_\gamma) \leq r^*(\varepsilon_\gamma) \ \& \ r_{j'}^\ominus(\varepsilon_\gamma) = \mathcal{I}_{j'}(\varepsilon_\gamma) ”,$$

- for every $j, \xi < j_1$ and $\gamma < j_1$ with $\varepsilon_\gamma < \zeta$, the condition $r^* \upharpoonright \varepsilon_\gamma$ decides the value of $\mathcal{C}_{j'}^\xi(\varepsilon_\gamma)$, and

$$r^* \upharpoonright \varepsilon_\gamma \Vdash “ \mathcal{C}_{j'}^\xi(\varepsilon_\gamma) \setminus (\xi + 1) = C_{j'}^\xi(\varepsilon_\gamma) ”,$$

where $C_{j'}^\xi(\varepsilon_\gamma) \in D \cap \mathbf{V}$.

Then it lets $r_{j'}^- \in N \cap \mathbb{P}_\zeta$ (for $j' \in (j_0, j_1)$) be conditions such that

$$\text{Dom}(r_{j'}^-) = \text{Dom}(s^-) \cup \{\varepsilon_\gamma : \gamma < j_1 \ \& \ \varepsilon_\gamma < \zeta\},$$

and for $\xi \in \text{Dom}(r_{j'}^-)$

$$r_{j'}^- \upharpoonright \xi \Vdash “ \text{if } \Upsilon(\xi) < j_1 \text{ and } \langle \mathcal{I}_j(\xi) : j_0 < j < j_1 \rangle \text{ is an increasing sequence of conditions stronger than } s^-(\xi), \text{ then } r_{j'}^-(\xi) = \mathcal{I}_{j'}(\xi), \text{ otherwise } r_{j'}^-(\xi) = s^-(\xi) ”.$$

Finally, for $j' \in (j_0, j_1)$ it plays $r_{j'}^-, r^*, \bigcap \{C_{j'}^\xi(\varepsilon_\gamma) : j', \gamma, \xi < j_1, \varepsilon_\gamma < \zeta\}$.

CASE 2: $\sup\{i \in \mathcal{S}' : i < j'\} = j_0 \leq j' < \min(\mathcal{S}' \setminus j_0) = j_1, j_0 \in \mathcal{S}$.

The generic player proceeds as above, the difference is that now j_0 “belongs to” the generic player, and that it is a limit of moves of the anti-generic player. Again, we look at $\varepsilon_\gamma < \zeta$ such that $\Upsilon(\varepsilon_\gamma) = \gamma < j_1$.

If $\gamma < j_0$, then every condition in $\mathbb{P}_{\varepsilon_\gamma}$ stronger than all $r_j \upharpoonright \varepsilon_\gamma$ (for $j < j_0$) forces that

$$\langle \underline{r}_{j'}^\ominus(\varepsilon_\gamma), \underline{r}_{j'}^\oplus(\varepsilon_\gamma), \Delta_{\xi < \lambda} C_{j'}^\xi(\varepsilon_\gamma) : j' < j_0 \rangle$$

is a legal play in which the generic player uses $\text{st}_{\varepsilon_\gamma}$. The generic player determines $\underline{r}_{j'}^\ominus(\varepsilon_\gamma)$, $\underline{r}_{j'}^\oplus(\varepsilon_\gamma)$, and $C_{j'}^\xi(\varepsilon_\gamma)$ for $j' \in [j_0, j_1)$ “playing the game” as earlier (with the same convention that if $j' \in \mathcal{S} \setminus \mathcal{S}^{(\varepsilon_\gamma)}$, then the j' -th move of the anti-generic player is stipulated as the $<_\chi^*$ -first legal move).

If $j_0 \leq \gamma < j_1$, then (any condition stronger than all $r_j \upharpoonright \varepsilon_\gamma$ for $j < j_0$ forces that) $\langle r_j^-(\varepsilon) : j < j_0 \rangle$, $\langle r_j(\varepsilon) : j < j_0 \rangle$ are increasing, and $r_j^-(\varepsilon_\gamma) \leq r_j(\varepsilon_\gamma)$ and $r(\varepsilon_\gamma)$ is $(N[\mathbb{G}_{\mathbb{P}_{\varepsilon_\gamma}}], \mathbb{Q}_{\varepsilon_\gamma})$ -generic. So, by 1.2(4), the generic player may let $(r_0^\ominus(\varepsilon_\gamma), r_0^\oplus(\varepsilon_\gamma))$ be the $<_\chi^*$ -first such that for all $j < j_0$ we have $r_j^-(\varepsilon) \leq r_0^\ominus(\varepsilon_\gamma) \in N[\mathbb{G}_{\mathbb{P}_{\varepsilon_\gamma}}]$, $r_j(\varepsilon_\gamma) \leq r_0^\oplus(\varepsilon_\gamma)$. It also lets $C_0^\xi(\varepsilon_\gamma) = \bigcap_{j < j_0} C_j$.

Then the generic player chooses $\underline{r}_{j'}^\ominus(\varepsilon_\gamma)$, $\underline{r}_{j'}^\oplus(\varepsilon_\gamma)$, and $C_{j'}^\xi(\varepsilon_\gamma)$ for $0 < j' < j_1$ “playing the game” with the strategy $\text{st}_{\varepsilon_\gamma}$ (and keeping the old convention for $j' \notin \mathcal{S}^{(\varepsilon_\gamma)}$).

Next the generic player picks a condition $r^* \in \mathbb{P}_\zeta$ (stronger than all r_j for $j < j_0$), $\mathbb{P}_{\varepsilon_\gamma}$ -names $\mathcal{I}_{j'}(\varepsilon_\gamma) \in N$ and sets $C_{j'}^\xi(\varepsilon_\gamma) \in D \cap \mathbf{V}$ (for $j', \gamma, \xi < j_1$) as in the previous case. Then it chooses conditions $s^- \in N \cap \mathbb{P}_\zeta$ and $r^+ \in \mathbb{P}_\zeta$ such that $r^* \leq r^+$ and $(\forall j < j_0)(r_j^- \leq s^- \leq r^+)$. [Why possible? If ζ is limit of cofinality $\text{cf}(\xi) < \lambda$, use 2.3; otherwise we know that r is (N, \mathbb{P}_ζ) -generic.] Next it defines conditions $r_{j'}^- \in N \cap \mathbb{P}_\zeta$ (for $j_0 \leq j' < j_1$) so that

$$\text{Dom}(r_{j'}^-) = \text{Dom}(s^-) \cup \{\varepsilon_\gamma : \gamma < j_1 \ \& \ \varepsilon_\gamma < \zeta\},$$

and for $\xi \in \text{Dom}(r_{j'}^-)$

$$\begin{aligned} r_{j'}^- \upharpoonright \xi \Vdash & \text{ “ if } \Upsilon(\xi) < j_1 \text{ and } \langle \mathcal{I}_j(\xi) : j_0 \leq j < j_1 \rangle \text{ is an increasing} \\ & \text{sequence of conditions above all } r_j^-(\xi) \text{ for } j < j_0, \\ & \text{then } r_{j'}^-(\xi) = \mathcal{I}_{j'}(\xi), \quad \text{otherwise } r_{j'}^-(\xi) = s^-(\xi) \text{ ”.} \end{aligned}$$

Finally, for $j_0 \leq j' < j_1$ it plays $r_{j'}^-, r^+, \bigcap \{C_{j'}^\xi(\varepsilon_\gamma) : j', \gamma, \xi < j_1, \varepsilon_\gamma < \zeta\}$.

Why does the strategy described above work? Suppose that $\langle r_j, C_j : j < \lambda \rangle$ is a play of the game $\partial(r \upharpoonright \zeta, N, h^{[\zeta]}, \mathbb{P}_\zeta, \bar{F}, \bar{q}^{[\zeta]})$ in which the generic player used this strategy and let $\langle r_{j'}^-(\varepsilon) : j' < \lambda, \varepsilon \in \zeta \cap N \rangle$ and $\langle C_{j'}^\xi(\varepsilon) : j', \xi < \lambda, \varepsilon \in \zeta \cap N \rangle$ be the sequences it constructed aside. (As we said earlier, the game surely lasted λ steps and thus the sequences described above have length λ .)

Let us argue that condition 1.1(3)(*) holds.

Assume that a limit ordinal $\delta \in \mathcal{S} \cap \bigcap_{j < \delta} C_j$ (so in particular $\delta \in E_0$) is such that

$$(*)_\delta \quad \langle h^{[\zeta]} \circ F_\delta(\alpha) : \alpha < \delta \rangle = \langle r_\alpha^- : \alpha < \delta \rangle.$$

We are going to show that $q_\delta \leq r_\delta$ and for this we prove by induction on $\varepsilon \in (\zeta + 1) \cap N$ that $q_\delta \upharpoonright \varepsilon \leq r_\delta \upharpoonright \varepsilon$. For $\varepsilon = \zeta$ this is the desired conclusion.

For $\varepsilon = 0$ this is trivial, and for a limit ε it follows from the definition of the order (and the inductive hypothesis).

So assume that we have proved $q_\delta \upharpoonright \varepsilon \leq r_\delta \upharpoonright \varepsilon$, $\varepsilon < \zeta$, and let us consider the restrictions to $\varepsilon + 1$. If $\Upsilon(\varepsilon) \geq \delta$ then by the choice of conditions s, s^- in Case 1, we know that

$$r_\delta \upharpoonright \varepsilon \Vdash “ (\forall i < \delta) (\exists j' < \delta) (p_i(\varepsilon) \leq r_{j'}^-(\varepsilon)) ”.$$

Now look at the clause (iii) of the choice of the p_δ at the beginning: what we have just stated (and $(*)_\delta$) implies that

$$r_\delta \upharpoonright \varepsilon \Vdash “ p_\delta(\varepsilon) \text{ is an upper bound to } \{q_\delta(\varepsilon)\} \cup \{p_i(\varepsilon) : i < \delta\} ”.$$

thus, $r_\delta \upharpoonright \varepsilon \Vdash “ q_\delta(\varepsilon) \leq p_\delta(\varepsilon) \leq r_\delta(\varepsilon) ”$, so we are done. Suppose now that $\Upsilon(\varepsilon) < \delta$ and let $j_1 = \min(\mathcal{S}' \setminus \delta)$. Look at what the generic player has written aside: $r_\delta \upharpoonright \varepsilon$ forces that $\langle r_{j'}^\ominus(\varepsilon), r_{j'}^\oplus(\varepsilon), \Delta_{\xi < \lambda} C_j^\xi(\varepsilon) : j < j_1 \rangle$ is a play

according to st_ε and $\delta \in \bigcap_{j, \xi < \delta} C_j^\xi(\varepsilon) \cap \mathcal{S}'^{(\delta)}$, so we are clearly done in this case too (remember the choice of r^*). □

□

Remark 2.8. Note that if the iterands \mathbb{Q}_ξ are (forced to be) λ -lub-closed, then the proof of 2.7 substantially simplifies.

3. EXAMPLES

Our first example of a proper over λ forcing notion is a relative of the forcing introduced by Baumgartner for adding a club to \aleph_1 . Its variants were also studied in Abraham and Shelah [AS83]; see also [She98, Ch.III].

The forcing notion \mathbb{P}^* is defined as follows:

a condition in \mathbb{P}^* is a function p such that

- (a) $\text{Dom}(p) \subseteq \lambda^+$, $\text{Rang}(p) \subseteq \lambda^+$, $|\text{Dom}(p)| < \lambda$, and
- (b) if $\alpha_1 < \alpha_2$ are both from $\text{Dom}(p)$, then $p(\alpha_1) < p(\alpha_2)$;

the order \leq of \mathbb{P}^* is the inclusion \subseteq .

Clearly,

Proposition 3.1. \mathbb{P}^* is λ -lub-complete and $|\mathbb{P}^*| = \lambda^+$.

But also,

Proposition 3.2. \mathbb{P}^* is proper over λ .

Proof. Assume $N \prec (\mathcal{H}(\chi), \in, <_\chi^*)$ is as in 1.1, and $p \in \mathbb{P}^* \cap N$.

Put $j^* = N \cap \lambda^+$ and $r = p \cup \{\langle j^*, j^* \rangle\}$.

Claim 3.2.1. (1) If $r' \in \mathbb{P}$, $r \leq r'$, then $r' \upharpoonright j^* \in N \cap \mathbb{P}^*$ and $r' \upharpoonright j^* \leq r'$.

- (2) If $r' \in \mathbb{P}$, $r \leq r'$, and $r' \upharpoonright j^* \leq r'' \in N \cap \mathbb{P}^*$, then $r' \cup r'' \in \mathbb{P}^*$ is stronger than both r' and r'' .
- (3) If $\bar{p} = \langle p_\xi : \xi < \zeta^* \rangle \subseteq \mathbb{P}^*$ is \leq -increasing and $\zeta^* < \lambda$, then \bar{p} has a least upper bound $q \in \mathbb{P}^*$, and $q \upharpoonright j^*$ is a least upper bound of $\langle p_\xi \upharpoonright j^* : \xi < \zeta^* \rangle$.

Proof of the Claim. (1) By the definition of \mathbb{P}^* ,

$$\alpha \in \text{Dom}(r') \cap j^* \quad \Rightarrow \quad r'(\alpha) < j^* \quad \Rightarrow \quad r'(\alpha) \in N.$$

(2), (3) Should be clear. □

Claim 3.2.2. r is N -generic for semi-diamonds (see 1.7).

Proof of the Claim. Suppose that D is a normal filter on λ , $\mathcal{S} \in D^+$. Let $h : \lambda \rightarrow N$ be such that $N \cap \mathbb{P}^* \subseteq \text{Rang}(h)$, $\bar{F} = \langle F_\delta : \delta \in \mathcal{S} \rangle$ be a (D, \mathcal{S}) -semi diamond, and let $\bar{q} = \langle q_\delta : \delta \in \mathcal{S} \rangle$ be an $(N, h, \mathbb{P}^*, \bar{F})$ -candidate.

We have to show that the condition r is (N, h, \mathbb{P}^*) -generic for \bar{q} over \bar{F} , and for this we have to show that the generic player has a winning strategy in the game $\mathfrak{D}(r, N, h, \mathbb{P}^*, \bar{F}, \bar{q})$. Note that the set

$$E_0 \stackrel{\text{def}}{=} \{ \delta < \lambda : \delta \text{ is a limit of members of } \mathcal{S} \}$$

is a club of λ (so $E_0 \in D$). Now, the strategy that works for the generic player is the following one:

At stage $\delta \in \mathcal{S}$ of the play, when a sequence $\langle r_i^-, r_i, C_i : i < \delta \rangle$ has been already constructed, the generic player lets $C_\delta = E_0 \setminus (\delta + 1)$ and it asks:

(*) Is there a common upper bound to $\{r_i : i < \delta\} \cup \{q_\delta\}$?

If the answer to (*) is “yes”, then the generic player puts $Y = \{r_i : i < \delta\} \cup \{q_\delta\}$; otherwise it lets $Y = \{r_i : i < \delta\}$. Now it chooses r_δ to be the $<_\chi^*$ -first element of \mathbb{P}^* stronger than all members of Y and $r_\delta^- = r_\delta \upharpoonright j^* \in N$.

Why the strategy described above is the winning one? Let $\langle r_i^-, r_i, C_i : i < \lambda \rangle$ be a play according to this strategy. Suppose that $\delta \in \mathcal{S} \cap \triangle_{i < \lambda} C_i$ is a limit ordinal such that $\langle h \circ F_\delta(\alpha) : \alpha < \delta \rangle = \langle r_\alpha^- : \alpha < \delta \rangle$. So, q_δ is stronger than all r_α^- (for $\alpha < \delta$), and for cofinally many $\alpha < \delta$ we have $r_\alpha^- = r_\alpha \upharpoonright j^*$. Therefore, $q_\delta \geq r_\alpha^- \upharpoonright j^*$ and (by 3.2.1) $\{r_\alpha : \alpha < \delta\} \cup \{q_\delta\}$ has an upper bound. Now look at the choice of r_δ . □

The proposition follows immediately from 3.2.2. □

Proposition 3.3. (1) \mathbb{P}^* is α -proper over λ if and only if $\alpha < \lambda$.

(2) If D is a normal filter on λ , $\mathcal{S} \in D^+$, and \bar{F} is a (D, \mathcal{S}) -diamond, $0 < \alpha < \lambda^+$, then $\mathbb{P}^* \in K_D^{\alpha, \mathcal{S}}[\bar{F}]$ if and only if $\alpha < \lambda$.

Proof. (1) Follows from (2).

(2) Assume $\alpha < \lambda$.

Let $\bar{N} = \langle N_\beta : \beta < \alpha \rangle$, $h_\beta : \lambda \longrightarrow N_\beta$ and \bar{q}^β be as in 1.8(1b), $p \in \mathbb{P}^* \cap N_0$. Let $j_\beta^* = N_\beta \cap \lambda^+$ (for $\beta < \alpha$) and put $r = p \cup \{(j_\beta^*, j_\beta^*) : \beta < \alpha\}$. Clearly $r \in \mathbb{P}^*$ and $r \restriction j_\beta^* \in N_\beta$ for each $\beta < \alpha$ (remember $\bar{N} \restriction \beta \in N_\beta$). By the proof of 3.2, the condition $r \restriction j_{\beta+1}^*$ is $(N_\beta, h_\beta, \mathbb{P}^*)$ -generic for \bar{q}^β over \bar{F}

To show that $\mathbb{P}^* \notin K_D^{\alpha, s}[\bar{F}]$ for $\alpha \geq \lambda$, it is enough to do this for $\alpha = \lambda$. So, pick any $\bar{N} = \langle N_\beta : \beta < \lambda \rangle$, $h_\beta : \lambda \longrightarrow N_\beta$ and \bar{q}^β as in 1.8(1b), and let $N_\lambda = \bigcup_{\alpha < \lambda} N_\alpha$.

Let φ be a \mathbb{P}^* -name for the generic partial function from λ^+ to λ^+ , that is, $\Vdash_{\mathbb{P}^*} \varphi = \bigcup G_{\mathbb{P}^*}$. We claim that

$$(\otimes) \Vdash_{\mathbb{P}^*} \text{“} (\exists \beta < \lambda)(\exists i \in \text{Dom}(\varphi) \cap N_\beta)(\varphi(i) \notin N_\beta) \text{”}.$$

Why? Let $p \in \mathbb{P}^*$. Take $\beta_0 < \lambda$ such that $\text{Dom}(p) \cap N_\lambda \subseteq N_{\beta_0}$ (remember $|p| < \lambda$). If for some $i \in \text{Dom}(p) \cap N_{\beta_0}$ we have $p(i) \notin N_{\beta_0}$, then

$$p \Vdash \text{“} (\exists i \in \text{Dom}(\varphi) \cap N_{\beta_0})(\varphi(i) \notin N_{\beta_0}) \text{”}.$$

Otherwise, we let $\delta^* = N_{\beta_0} \cap \lambda^+$ and $\delta^{**} = N_{\beta_0+1} \cap \lambda^+$, and we put $q = p \cup \{(\delta^*, \delta^{**})\}$. Then clearly $q \in \mathbb{P}^*$ is a condition stronger than p and

$$q \Vdash \text{“} (\exists i \in \text{Dom}(\varphi) \cap N_{\beta_0+1})(\varphi(i) \notin N_{\beta_0+1}) \text{”}.$$

It should be clear that (\otimes) implies that there is no condition $r \in \mathbb{P}^*$ which is $(N_\beta, h_\beta, \mathbb{P}^*)$ -generic for \bar{q}^β for all $\beta < \alpha$ (remember 1.6(2)). \square

For the second example we assume the following.

- Context 3.4.* (a) $\lambda, D, \mathcal{S}, \mathcal{S}'$ are as in 0.7,
 (b) $\mathcal{S}^* \subseteq \mathcal{S}_\lambda^{\lambda^+} \stackrel{\text{def}}{=} \{\delta < \lambda^+ : \text{cf}(\delta) = \lambda\}$,
 (c) $\langle A_\delta, h_\delta : \delta \in \mathcal{S}^* \rangle$ is such that for each $\delta \in \mathcal{S}^*$:
 (d) $A_\delta \subseteq \delta$, $\text{otp}(A_\delta) = \lambda$ and A_δ is a club of δ , and
 (e) $h_\delta : A_\delta \longrightarrow \lambda$.

The forcing notion \mathbb{Q}^* is defined as follows:

a condition in \mathbb{Q}^* is a tuple $p = (u^p, v^p, \bar{e}^p, h^p)$ such that

- (a) $u^p \in [\lambda^+]^{<\lambda}$, $v^p \in [\mathcal{S}^*]^{<\lambda}$,
 (b) $\bar{e}^p = \langle e_\delta^p : \delta \in v^p \rangle$, where each e_δ^p is a closed bounded subset of A_δ , and $e_\delta^p \subseteq u^p$, and
 (c) if $\delta_1 < \delta_2$ are from v^p , then

$$\sup(e_{\delta_2}) > \delta_1 \quad \text{and} \quad \sup(e_{\delta_1}) > \sup(A_{\delta_2} \cap \delta_1),$$

- (d) $h^p : u^p \longrightarrow \lambda$ is such that for each $\delta \in v^p$ we have

$$h^p \restriction \{\alpha \in e_\delta : \text{otp}(\alpha \cap e_\delta) \in \mathcal{S}'\} \subseteq h_\delta;$$

the order \leq of \mathbb{Q}^* is such that $p \leq q$ if and only if $u^p \subseteq u^q$, $h^p \subseteq h^q$, $v^p \subseteq v^q$, and for each $\delta \in v^p$ the set e_δ^q is an end-extension of e_δ^p .

A tuple $p = (u^p, v^p, \bar{e}^p, h^p)$ satisfying clauses (a), (b) and (d) above will be called a *pre-condition*. Note that every pre-condition can be extended to a condition in \mathbb{Q}^* .

Plainly:

Proposition 3.5. *The forcing notion \mathbb{Q}^* is λ -lub-complete. Also \mathbb{Q}^* satisfies the λ^+ -chain condition.*

Proposition 3.6. *\mathbb{Q}^* is proper over λ .*

Proof. Assume $N \prec (\mathcal{H}(\chi), \in, <_\chi^*)$ is as in 1.1, $\langle A_\delta, h_\delta : \delta \in \mathcal{S}^* \rangle \in N$ and $p \in \mathbb{Q}^* \cap N$. We are going to show that the condition p is N -generic for semi-diamonds.

So suppose that h, \bar{q} and \bar{F} are as in 1.1. For $r \in \mathbb{Q}^*$, let $r \upharpoonright N$ be such that $u^{r \upharpoonright N} = u \cap N$, $v^{r \upharpoonright N} = v \cap N$, $\bar{e}^{r \upharpoonright N} = \bar{e}^r \upharpoonright N$, $h^{r \upharpoonright N} = h^r \upharpoonright N$. Note that $r \upharpoonright N \in N$. Let us describe the winning strategy of the generic player in the game $\mathfrak{D}(p, N, h, \mathbb{P}^*, \bar{F}, \bar{q})$. For this we first fix a list $\{j_i : i < \lambda\}$ of $N \cap \mathcal{S}^*$, and we let $E_0 = \{\delta < \lambda : \delta \text{ is a limit of members of } \mathcal{S}\}$.

Suppose that we arrive to a stage $\delta \in \mathcal{S}$ and $\langle r_i^-, r_i, C_i : i < \delta \rangle$ is the sequence played so far. The generic player first picks a condition r'_δ stronger than all r_i 's played so far and, if possible, stronger than q_δ . Then it plays a condition r_δ above r'_δ such that

- if $\gamma \in v^{r_\delta}$, then $\text{otp}(e_\gamma^{r_\delta}) > \delta$, and
- $\{j_i : i < \delta\} \subseteq v^{r_\delta}$,

and $r_\delta^- = r_\delta \upharpoonright N$. The set C_δ played at this stage is $[\alpha, \lambda) \cap E_0$, where α is the first ordinal such that $v^{r_\delta} \cap N \subseteq \{j_i : i < \alpha\}$ and $\text{otp}(A_\gamma \cap (\max(e_\gamma^{r_\delta}) + 1)) < \alpha$ for all $\gamma \in v^{r_\delta}$.

Why is this a winning strategy? Let $\langle r_i^-, r_i, C_i : i < \lambda \rangle$ be a play according to this strategy, and suppose that $\delta \in \mathcal{S} \cap \bigtriangleup_{i < \lambda} C_i$ is a limit ordinal such that

$$\langle h \circ F_\delta(\alpha) : \alpha < \delta \rangle = \langle r_\alpha^- : \alpha < \delta \rangle.$$

Note that then

- (i) if $\gamma \in \bigcup_{i < \delta} v^{r_i}$ then $\bigcup_{i < \delta} e_\gamma^{r_i}$ is an unbounded subset of $\{\varepsilon \in A_\gamma : \text{otp}(\varepsilon \cap A_\gamma) < \delta\}$, and
- (ii) $\bigcup_{i < \delta} v^{r_i} \cap N = \{j_i : i < \delta\}$.

We want to show that there is a common upper bound to $\{r_i : i < \delta\} \cup \{q_\delta\}$ (which, by the definition of our strategy, will finish the proof).

First we choose a pre-condition $r = (u^r, v^r, \bar{e}^r, h^r)$ such that:

- $v^r = v^{q_\delta} \cup \bigcup_{i < \delta} v^{r_i}$,
- if $\gamma \in v^{q_\delta}$, then we let $e_\gamma^r = e_\gamma^{q_\delta}$, if $\gamma \in \bigcup_{i < \delta} v^{r_i} \setminus v^{q_\delta}$, then

$$e_\gamma^r = \bigcup \{e_\gamma^{r_i} : i < \delta, \gamma \in v^{r_i}\} \cup \{\text{the } \delta^{\text{th}} \text{ member of } A_\gamma\},$$

- $u^r = u^{q_\delta} \cup \bigcup_{i < \delta} u^{r_i} \cup \{\text{the } \delta^{\text{th}} \text{ member of } A_\gamma : \gamma \in v^r \setminus v^{q_\delta}\}$,
- $h^r \supseteq h^{q_\delta} \cup \bigcup_{i < \delta} h^{r_i}$.

Why is the choice possible? As $\delta \notin \mathcal{S}'$! Now we may extend r to a condition in \mathbb{Q}^* picking for each $\gamma \in v^r$ large enough $\beta_\gamma \in A_\gamma$ and adding β_γ to e_γ^r (and extending u^r, h^r suitably). \square

Our last example is a natural generalization of the forcing notion \mathbb{D}_ω from Newelski and Rosłanowski [NR93]. Let us work in the context of 0.7.

Definition 3.7. (1) A set $T \subseteq {}^{<\lambda}\lambda$ is a *complete λ -tree* if

- (a) $(\forall \eta \in T)(\exists \nu \in T)(\eta \triangleleft \nu)$, and T has the \triangleleft -smallest element called $\text{root}(T)$,
- (b) $(\forall \eta, \nu \in {}^{<\lambda}\lambda)(\text{root}(T) \trianglelefteq \eta \triangleleft \nu \in T \Rightarrow \eta \in T)$,
- (c) if $\langle \eta_i : i < \delta \rangle \subseteq T$ is a \triangleleft -increasing chain, $\delta < \lambda$, then there is $\eta \in T$ such that $\eta_i \triangleleft \eta$ for all $i < \delta$.

Let $T \subseteq {}^{<\lambda}\lambda$ be a complete λ -tree.

- (2) For $\eta \in T$ we let $\text{succ}_T(\eta) = \{\alpha < \lambda : \eta \widehat{\ } \langle \alpha \rangle \in T\}$.
- (3) We let $\text{split}(T) = \{\eta \in T : |\text{succ}_T(\eta)| > 1\}$.
- (4) A sequence $\rho \in {}^\lambda\lambda$ is a *λ -branch through T* if

$$(\forall \alpha < \lambda)(\text{lh}(\text{root}(T)) \leq \alpha \Rightarrow \rho \upharpoonright \alpha \in T).$$

The set of all λ -branches through T is called $\text{lim}_\lambda(T)$.

- (5) A subset \mathbf{F} of the λ -tree T is a *front* in T if no two distinct members of \mathbf{F} are \triangleleft -comparable and

$$(\forall \eta \in \text{lim}_\lambda(T))(\exists \alpha < \lambda)(\eta \upharpoonright \alpha \in \mathbf{F}).$$

- (6) For $\eta \in T$ we let $(T)^{[\eta]} = \{\nu \in T : \eta \triangleleft \nu\}$.

Now we define a forcing notion \mathbb{D}_λ :

A condition in \mathbb{D}_λ is a complete λ -tree T such that

- (a) $\text{root}(T) \in \text{split}(T)$ and $(\forall \eta \in \text{split}(T))(\text{succ}_T(\eta) = \lambda)$,
- (b) $(\forall \eta \in T)(\exists \nu \in T)(\eta \triangleleft \nu \in \text{split}(T))$,
- (c) if $\delta < \lambda$ is limit and a sequence $\langle \eta_i : i < \delta \rangle \subseteq \text{split}(T)$ is \triangleleft -increasing, then $\eta = \bigcup_{i < \delta} \eta_i \in \text{split}(T)$.

The order of \mathbb{D}_λ is the reverse inclusion.

Proposition 3.8. \mathbb{D}_λ is proper over λ .

Proof. First let us argue that \mathbb{D}_λ is λ -lub-complete. So suppose that $T_\alpha \in \mathbb{D}_\lambda$ are such that $(\forall \alpha < \beta < \delta)(T_\beta \subseteq T_\alpha)$, $\delta < \lambda$. We claim that $T \stackrel{\text{def}}{=} \bigcap_{\alpha < \delta} T_\alpha$ is a condition in \mathbb{D}_λ . Clearly T is a tree, and $\text{root}(T) = \bigcup_{\alpha < \delta} \text{root}(T_\alpha)$. By clause (c) (for T_α 's) we see that $\text{succ}_T(\text{root}(T)) = \lambda$, and in a similar way we justify that T satisfies other demands as well.

Now suppose that $D, \mathcal{S}, N, h, \bar{F}$ and \bar{q} are as in 1.1, $T \in \mathbb{D}_\lambda \cap N$. Choose inductively complete λ -trees $T_\alpha \in \mathbb{D}_\lambda \cap N$ and fronts $\mathbf{F}_\alpha \subseteq T_\alpha$ (of T_α) such that

- (i) $\text{root}(T_\alpha) = \text{root}(T)$,

- (ii) if $\alpha \leq \beta < \lambda$, then $T_\beta \subseteq T_\alpha \subseteq T$ and $\mathbf{F}_\alpha \subseteq \text{split}(T_\beta)$, and
- (iii) if $\eta \in \mathbf{F}_\alpha$ then $\text{otp}(\{i < \text{lh}(\eta) : \eta \upharpoonright i \in \text{split}(T_\alpha)\}) = \alpha$,
- (iv) if δ is limit, then $T_\delta = \bigcap_{\alpha < \delta} T_\alpha$,
- (v) if $\delta \in \mathcal{S}$ is limit and $\langle h \circ F_\delta(\alpha) : \alpha < \delta \rangle$ is an increasing sequence of conditions from $\mathbb{D}_\lambda \cap N$ and $\bigcap_{\alpha < \delta} h \circ F_\delta(\alpha) \subseteq T_\delta$, and $\eta = \bigcup_{\alpha < \delta} \text{root}(h \circ F_\delta(\alpha)) \in \mathbf{F}_\delta$, then for some $\nu \in T_\delta$ we have

$$\eta \triangleleft \nu \in \mathbf{F}_{\delta+1} \quad \text{and} \quad q_\delta \leq (T_{\delta+1})^{[\nu]}.$$

Now we let $r = \bigcap_{\alpha < \lambda} T_\alpha$. It should be clear that $r \in \mathbb{D}_\lambda$.

Claim 3.8.1. *r is $(N, h, \mathbb{D}_\lambda)$ -generic for \bar{q} over \bar{F} .*

Proof of the Claim. We have to describe a winning strategy of the generic player in the game $\mathfrak{D}(r, N, h, \mathbb{D}_\lambda, \bar{F}, \bar{q})$. Let E_0 be the club of limits of members of \mathcal{S}' . Let the generic player play as follows.

Assume we have arrived to stage $i \in \mathcal{S}$ of the play when $\langle r_j^-, r_j, C_j : j < i \rangle$ has been already constructed. If $i \notin E_0$ then the generic player chooses $r_i^-, r_i \in \mathbb{D}_\lambda$ such that

$$(A) \quad r_i \subseteq \bigcap_{j < i} r_j, \quad r_i^- \subseteq \bigcap_{j < i} r_j^- \cap \bigcap_{j < i} T_j, \quad \text{and} \quad r_i^- \in N, \quad r_i^- \leq r_i,$$

$$(B) \quad \text{root}(r_i^-) = \text{root}(r_i) \in \mathbf{F}_{\alpha(i)} \text{ for some } \alpha(i) > i,$$

and lets $C_i = E_0 \setminus (\alpha(i) + 1)$. If $i \in E_0$ then the generic player picks r_i, r_i^- satisfying (A) + (B) and such that

$$(C) \quad \text{if possible, then } q_\delta \leq r_i^-$$

and it takes C_i as earlier.

Why is this a winning strategy? First, as \mathbb{D}_λ is λ -lub-complete, the play really lasts λ moves. Suppose that $\delta \in \mathcal{S} \cap \bigcap_{i < \delta} C_i$ is such that

$$\langle h \circ F_\delta(\alpha) : \alpha < \delta \rangle = \langle r_\alpha^- : \alpha < \delta \rangle.$$

Let $\eta = \bigcup_{\alpha < \delta} \text{root}(r_\alpha^-)$. Note that (as $\delta \in E_0$ and by (B)) we have $\eta \in \mathbf{F}_\delta$

and (by (A)) $\bigcap_{\alpha < \delta} r_\alpha^-$ is included in T_δ . Therefore, by clause (v) of the choice

of the T_δ , for some $\nu \in T_\delta$ we have $\eta \triangleleft \nu \in \mathbf{F}_{\delta+1}$ and $q_\delta \leq (T_{\delta+1})^{[\nu]}$. But this immediately implies that it was possible to choose r_i^- stronger than q_δ

in (C) (remember $r = \bigcap_{\alpha < \delta} T_\alpha$). □

□

4. DISCUSSION

4.1. The Axiom. We can derive Forcing Axiom as usual, see [She98, Ch. VII, VIII]. E.g., if κ is a supercompact cardinal larger than λ , then we can find a κ -cc λ -complete, proper over D -semi diamonds forcing notion \mathbb{P} of cardinality κ such that

- $\Vdash_{\mathbb{P}} 2^\lambda = \kappa$
- \mathbb{P} collapses every $\mu \in (\lambda^+, \kappa)$, no other cardinal is collapsed,
- in $\mathbf{V}^{\mathbb{P}}$:
 - if \mathbb{Q} is a forcing notion proper over D -semi diamonds, \mathcal{I}_α are open dense subsets of \mathbb{Q} for $\alpha < \lambda^+$,
 - then there is a directed set $G \subseteq \mathbb{Q}$ intersecting every \mathcal{I}_α (for $\alpha < \lambda^+$).

If we restrict ourselves to $|\mathbb{Q}| = \kappa$, it is enough that κ is indiscretable enough.

In ZFC, we have to be more careful concerning \mathbb{Q} .

4.2. Future applications. Real applications of the technology developed here will be given in a forthcoming paper Rosłanowski and Shelah [RS07], where we will present more examples of proper for λ forcing notions (concentrating on the case of inaccessible λ). We start there developing a theory parallel to that of Rosłanowski and Shelah [RS99], [RS97], [RS04] aiming at generalizing many of the cardinal characteristics of the continuum to larger cardinals.

4.3. Why our definitions? The main reason why our definitions are (perhaps) somewhat complicated is that, in addition to ZFC limitations, we wanted to cover some examples with “large creatures” (to be presented in [RS07]). We also wanted to have a real preservation theorem: the (limit of the) iteration is of the same type as the iterands (though for many applications the existence of (N, \mathbb{P}_ζ) -generic conditions could be enough).

Why do we have the sets C_i in the game, and not just say that “the set of good δ 's is in D ”? It is caused by the fact that already if we want to deal with the composition of two forcing notions (the successor step), the respective set from D would have appeared only *after* the play, and there would be simply too many possible sets to consider. With the current definition the generic player discovers during the play which $\delta \in \mathcal{S}$ are active.

Why semi-diamonds (and not just diamonds)? As we want that $\bar{q}^{(i)}, \bar{F}^{(i)}$ are as claimed in 2.7.2 (for the respective parameters).

4.4. Strategic completeness. We may replace “ λ -complete” by (a variant of) “strategically λ -complete”. This requires some changes in our definitions (and proofs) and it will be treated in [S⁺].

4.5. Relation to [She03a]. There is a drawback in the approach presented in this paper: we do not include the one from [She03a], say when $\mathcal{S} \subseteq \mathcal{S}_\lambda^{\lambda^+}$ is stationary and $\mathcal{S}_\lambda^{\lambda^+} \setminus \mathcal{S}$ is also stationary.

One of possible modification of the present definitions for the case of inaccessible λ , can be sketched as follows. We have $\langle \lambda_\delta : \delta \in \mathcal{S} \rangle$, $\lambda_\delta = (\lambda_\delta)^{|\delta|}$; $\bar{q} = \langle q_\delta : \delta \in \mathcal{S} \rangle$ is replaced by $\bar{q} = \langle q_{\delta,t} : \delta \in \mathcal{S}, t \in \text{Par}_{\delta^*,\delta} \rangle$ (where $\delta^* = N \cap \lambda^+$), and $\bar{\text{Par}} = \langle \text{Par}_{\delta^*,\delta} : \delta \in \mathcal{S} \rangle \in \mathbf{V}$ is constant for the iteration (like D).

In the forcing \mathbb{P} : for $\bar{p} = \langle p_j : j < \delta \rangle$, $\delta \in \mathcal{S}$, $t \in \text{Par}_{\delta^*,\delta}$, there is an upper bound $q[\bar{p}, t]$ of \bar{p} (this is a part of \mathbb{P}).

For each δ , each $\text{Par}_{\delta^*, N_\delta \cap \gamma}$, $\prod_{i \in N_\delta} \text{Par}_{\delta^*, \delta}$ has cardinality $\lambda_\delta = (\lambda_\delta)^{|\delta|}$ (N_δ is of cardinality $|\delta|$; γ is the length of the iteration). Having $\langle p_j : j < \delta \rangle \subseteq N_\delta$ we can find $\langle q_t^\delta : t \in \text{Par}_{\delta, N_\delta \cap \gamma} \rangle$ as in [She03a].

Several (more complex) variants of properness over semi-diamonds will be presented in [S⁺] and Rosłanowski and Shelah [RS07].

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