

WEAK DIAMOND

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ABSTRACT. Under some cardinal arithmetic assumptions, we prove that every stationary subset of λ of a right cofinality has weak diamond. This is a strong negation of uniformization. We then deal with a weaker version of the weak diamond that involves restricting the domain of the colourings. We then deal with semi-saturated (normal) filters.

Key words and phrases. Set theory, Normal ideals, Weak diamond, precipitous filters, semi-saturated filters .

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Annotated Content

§1. Weak Diamond: sufficient condition

[We prove that if $\lambda = 2^\mu = \lambda^{<\lambda}$ is weakly inaccessible,

$$\Theta = \{\theta : \theta = \text{cf}(\theta) < \lambda \text{ and } \alpha < \lambda \Rightarrow |\alpha|^{(\text{tr}, \theta)} < \lambda\} \text{ and } S \subseteq \{\delta < \lambda : \text{cf}(\delta) \in \Theta\}$$

is stationary then it has weak diamond. We can omit or weaken the demand $\lambda = \lambda^{<\lambda}$ if we restrict the colouring \mathbf{F} (in the definition of the weak diamond) such that for $\eta \in {}^\delta\delta$, $\mathbf{F}(\eta)$ depends only on $\eta \upharpoonright C_\delta$ where $C_\delta \subseteq \delta$, $\lambda = \lambda^{|C_\delta|}$].

§2. On versions of precipitousness

[We show that for successor $\lambda > \beth_\omega$, the club filter on λ is not semi-saturated (even every normal filter concentrating on any $S \in I[\lambda]$ of cofinality from a large family). Woodin had proved $D_{\omega_2} + S_0^2$ consistently is semi-saturated].

1. WEAK DIAMOND: SUFFICIENT CONDITION

On the weak diamond see [DS78], [She98, Appendix §1], [She85], [She]; there will be subsequent work on the middle diamond.

Definition 1.1. For regular uncountable λ ,

- (1) We say $S \subseteq \lambda$ is small if it is \mathbf{F} -small for some function \mathbf{F} from ${}^{\lambda}\lambda$ to $\{0, 1\}$, which means
 - (*) $_{\mathbf{F}, S}$ for every $\bar{c} \in {}^S 2$ there is $\eta \in {}^\lambda \lambda$ such that $\{\lambda \in S : \mathbf{F}(\eta \upharpoonright \delta) = c_\delta\}$ is not stationary.
- (2) Let $D_\lambda^{\text{wd}} = \{A \subseteq \lambda : \lambda \setminus A \text{ is small}\}$, it is a normal ideal (the weak diamond ideal).

Claim 1.2. *Assume*

- (a) $\lambda = \lambda^{<\lambda} = 2^\mu$
- (b) $\Theta = \{\theta : \theta = \text{cf}(\theta) \text{ and for every } \alpha < \lambda, \text{ we have } |\alpha|^{<\theta} < \lambda \text{ or just } |\alpha|^{<\text{tr}, \theta} < \lambda\}$ (see below; so if $\lambda > \beth_\omega$ every large enough regular $\theta < \beth_\omega$ is in Θ)
- (c) $S \subseteq \{\delta < \lambda : \text{cf}(\delta) \in \Theta, \text{ and } \mu^\omega \text{ divides } \delta\}$ is stationary.

Then S is not in the ideal D_λ^{wd} of small subsets of λ .

Definition 1.3. (1) Let $\chi^{(\theta)} = \text{Min}\{|\mathcal{P}| : \mathcal{P} \subseteq [\chi]^\theta \text{ and every } A \in [\chi]^\theta \text{ is included in the union of } < \theta \text{ members of } \mathcal{P}\}$.

- (2) $\chi^{(\theta)\text{tr}} = \sup\{|\text{lim}_\theta(t)| : t \text{ is a tree with } \leq \chi \text{ nodes and } \theta \text{ levels}\}$

Remark 1.4. (1) On $\chi^{(\theta)\text{tr}}$ see [She00a], on $\chi^{(\theta)}$ see there and in [She00b] but no real knowledge is assumed here.

- (2) The interesting case of 1.2 is λ (weakly) inaccessible; for λ successor we know more; but in later results even if 2^μ is successor we say on it new things.
- (3) Actually only $\mathbf{F} \upharpoonright (\bigcup_{\delta \in S} {}^\delta \delta \text{ mark. ??})$

Proof. Let \mathbf{F} be a function from $\bigcup_{\delta \in S} {}^\delta \lambda$ to $\{0, 1\}$, i.e., \mathbf{F} is a colouring, and

we shall find $f \in {}^S \lambda$ as required for it.

Let $\{\nu_i : i < \lambda\}$ list $\bigcup_{\alpha < \lambda} {}^\alpha \lambda$ such that

$$\alpha < \text{lg}(\nu_i) \Rightarrow \nu_i \upharpoonright \alpha \in \{\nu_j : j < i\}.$$

For $\delta \in S$ let $\mathcal{P}_\delta = \{\eta \in {}^\delta \delta : (\forall \alpha < \delta)(\eta \upharpoonright \alpha \in \{\nu_i : i < \delta\})\}$.

Clearly $\delta \in S \Rightarrow |\mathcal{P}_\delta| \leq |\delta|^{<\text{tr}, \theta} < \lambda$ by assumption (c). For each $\eta \in \mathcal{P}_\delta$ we define $h_\eta \in {}^\mu 2$ by: $h_\eta(\varepsilon) = \mathbf{F}(g_{\eta, \varepsilon})$ where for $\varepsilon < \mu$, we let $g_{\eta, \varepsilon} \in {}^\delta 2$ be defined by $g_{\eta, \varepsilon}(\alpha) = \eta(\mu\alpha + \varepsilon)$ for $\alpha < \delta$, recalling that μ^ω divides δ as $\delta \in S$. So $\{h_\eta : \eta \in \mathcal{P}_\delta\}$ is a subset of ${}^\mu 2$ of cardinality $\leq |\mathcal{P}_\delta| < \lambda = 2^\mu$ hence we can choose $g_\delta^* \in {}^\mu 2 \setminus \{g_\eta : \eta \in \mathcal{P}_\delta\}$. For $\varepsilon < \mu$ let $f_\varepsilon \in {}^S 2$ be $f_\varepsilon(\delta) = 1 - g_\delta^*(\varepsilon)$. If for some $\varepsilon < \mu$ the function f_ε serve as a weak diamond

sequence for \mathbf{F} , we are done so assume that (for each $\varepsilon < \mu$) there are η_ε and E_ε such that:

- (a) E_ε is a club of λ .
- (b) $\eta_\varepsilon \in {}^\lambda \lambda$.
- (c) if $\delta \in E_\varepsilon \cap S$ then $\mathbf{F}(\eta_\varepsilon \upharpoonright \delta) = 1 - f_\varepsilon(\delta)$ and $\eta_\varepsilon \upharpoonright \delta \in {}^\delta \delta$.

Now define $\eta \in {}^\delta 2$ by $\eta(\mu\alpha + \varepsilon) = \eta_\varepsilon(\alpha)$ for $\alpha < \lambda, \varepsilon < \mu$.

Let $E = \{\delta < \lambda : \delta \text{ is divisible by } \mu^\omega \text{ and } \varepsilon < \mu \Rightarrow \delta \in E_\varepsilon \text{ and } (\forall \alpha < \delta)[\eta \upharpoonright \alpha \in \{\eta_i : i < \delta\}]\}$. Clearly E is a club of λ hence we can find $\delta \in E \cap S$. So by the definition of \mathcal{P}_δ we have $\eta \upharpoonright \delta \in \mathcal{P}_\delta$ and for $\varepsilon < \mu$ we have $g_{\eta \upharpoonright \delta, \varepsilon} \in {}^\delta \delta$ is equal to $\eta_\varepsilon \upharpoonright \delta$ (Why? note that $\mu\delta = \mu$ as $\delta \in E$ and see the definition of $g_{\eta \upharpoonright \delta, \varepsilon}$ and of η , so : $\alpha < \delta \Rightarrow g_{\eta \upharpoonright \delta, \varepsilon}(\alpha) = \eta(\mu\alpha + \varepsilon) = \eta_\varepsilon(\alpha)$). Hence $h_{\eta \upharpoonright \delta} \in {}^\mu 2$ is well defined and by the choice of η we have $\varepsilon < \mu \Rightarrow g_{\eta \upharpoonright \delta, \varepsilon} = \eta_\varepsilon \upharpoonright \delta$ so by its definition, $h_{\eta \upharpoonright \delta}$ for each $\varepsilon < \mu$ satisfies $h_{\eta \upharpoonright \delta}(\varepsilon) = \mathbf{F}(g_{\eta \upharpoonright \delta, \varepsilon}) = \mathbf{F}(\eta_\varepsilon \upharpoonright \delta)$. Now by clause (c) and the choice of f_ε we have $\mathbf{F}(\eta_\varepsilon \upharpoonright \delta) = 1 - f_\varepsilon(\delta) = g_\delta^*(\varepsilon)$ so $h_{\eta \upharpoonright \delta} = g_\delta^*$, but $h_{\eta \upharpoonright \delta} \in \mathcal{P}_\delta$ whereas we have chosen g_δ^* such that $g_\delta^* \notin \mathcal{P}_\delta$, a contradiction. \square

We may consider a generalization.

Definition 1.5. (1) We say \bar{C} is a λ -Wd-parameter if:

- (a) λ is a regular uncountable,
- (b) S a stationary subsets of λ ,
- (c) $\bar{C} = \langle C_\delta : \delta \in S \rangle, C_\delta \subseteq \delta$
- (1A) We say \bar{C} is a (λ, κ, χ) -Wd-parameter if in addition $(\forall \delta \in S)[\text{cf}(\delta) = \kappa \wedge |C_\delta| < \chi]$. We may also say that \bar{C} is (S, κ, χ) -parameter.
- (2) We say that \mathbf{F} is a \bar{C} -colouring if: \bar{C} is a λ -Wd-parameter and \mathbf{F} is a function from ${}^\lambda \lambda$ to 2 such that :
 - if $\delta \in S, \eta_0, \eta_1 \in {}^\delta \delta$ and $\eta_0 \upharpoonright C_\delta = \eta_1 \upharpoonright C_\delta$ then $\mathbf{F}(\eta_0) = \mathbf{F}(\eta_1)$.
 - (2A) If $\bar{C} = \langle \delta : \delta \in S \rangle$ we may omit it writing S - colouring
 - (2B) In part (2) we can replace \mathbf{F} by $\langle F_\delta : \delta \in S \rangle$ where $F_\delta : ({}^{C_\delta} \delta) \rightarrow 2$ such that $\eta \in {}^\delta \delta \wedge \delta \in S \rightarrow \mathbf{F}(\eta) = F_\delta(\eta \upharpoonright C_\delta)$. So abusing notation we may write $\mathbf{F}(\eta \upharpoonright C_\delta)$
- (3) Assume \mathbf{F} is a \bar{C} -clouring, \bar{C} a λ -Wd-parameter.

We say $\bar{c} \in {}^S 2$ (or $\bar{c} \in {}^{\lambda 2}$) is an \mathbf{F} -wd-sequence if :

- (*) for every $\eta \in {}^\lambda \lambda$, the set $\{\delta \in S : \mathbf{F}(\eta \upharpoonright \delta) = c_\delta\}$ is a stationary subset of λ .

We also may say \bar{c} is an (\mathbf{F}, S) -Wd-sequence.

- (3A) We say $\bar{c} \in {}^S 2$ is a $D - \mathbf{F}$ -Wd-sequence if D is a filter on λ to which S belongs and
 - (*)for every $\eta \in {}^\lambda \lambda$ we have

$$\{\delta \in S : \mathbf{F}(\eta \upharpoonright \delta) = c_\delta\} \neq \emptyset \text{ mod } D$$

- (4) We say \bar{C} is a good λ -Wd-parameter, if for every $\alpha < \lambda$ we have $\lambda > |\{C_\delta \cap \alpha : \delta \in S \text{ and } \alpha \in C_\delta\}|$.

Similarly to 1.2 we have

Claim 1.6. *Assume*

- (a) \bar{C} is a good (λ, κ, χ) -Wd-parameter.
- (b) $|\alpha|^{(\text{tr}, \kappa)} < \lambda$ for every $\alpha < \lambda$.
- (c) $\lambda = 2^\mu$ and $\lambda = \lambda^{< \chi}$
- (d) \mathbf{F} is a \bar{C} -colouring.

Then there is a \mathbf{F} -Wd-sequence.

Proof. Let cd be a 1-to-1 function from ${}^\mu \lambda$ onto λ , for simplicity, and without loss of generality

$$\alpha = \text{cd}(\langle \alpha_\varepsilon : \varepsilon < \mu \rangle) \Rightarrow \alpha \geq \sup\{\alpha_\varepsilon : \varepsilon < \mu\}$$

and let the function $\text{cd}_i : \lambda \rightarrow \lambda$ for $i < \mu$ be such that $\text{cd}_i(\langle \text{cd}(\alpha_\varepsilon : \varepsilon < \mu) \rangle) = \alpha_i$.

Let $T = \{\eta : \text{for some } C \subseteq \lambda \text{ of cardinality } < \chi, \text{ we have } \eta \in {}^C \lambda\}$, so by assumption (c) clearly $|T| = \lambda$, so let us list T as $\{\eta_\alpha : \alpha < \lambda\}$ with no repetitions, and let $T_{< \alpha} = \{\eta_\beta : \beta < \alpha\}$. For $\delta \in S$ let $\mathcal{P}_\delta = \{\eta : \eta \text{ a function from } C_\delta \text{ to } \delta \text{ such that for every } \alpha \in C_\delta \text{ we have } \eta \upharpoonright (C_\delta \cap \alpha) \in T_{< \delta}\}$.

By \bar{C} being good and clause (b) of the assumption necessarily \mathcal{P}_δ has cardinality $< \lambda$. For each $\eta \in \mathcal{P}_\delta$ and $\varepsilon < \mu$ we define $\nu_{\eta, \varepsilon} \in {}^{C_\delta} \delta$ by $\nu_{\eta, \varepsilon}(\alpha) = \text{cd}_\varepsilon(\eta(\alpha))$ for $\alpha \in C_\delta$. Now for $\eta \in \mathcal{P}_\delta$, clearly $\rho_\eta =: \langle \mathbf{F}(\nu_{\eta, \varepsilon}) : \varepsilon < \mu \rangle$ belongs to ${}^\mu 2$. Clearly $\{\rho_\eta : \eta \in \mathcal{P}_\delta\}$ is a subset of ${}^\mu 2$ of cardinality $\leq |\mathcal{P}_\delta|$ which as said above is $< \lambda$. But $|{}^\mu 2| = 2^\mu = \lambda$ by clause (c) of the assumption, so we can find $\rho_\delta^* \in {}^\mu 2 \setminus \{\rho_\eta : \eta \in \mathcal{P}_\delta\}$.

For each $\varepsilon < \mu$ we can consider the sequence $\bar{c}^\varepsilon = \langle 1 - \rho_\delta^*(\varepsilon) : \delta \in S \rangle$ as a candidate for being an \mathbf{F} -Wd-sequence. If one of them is, we are done. So assume toward contradiction that for each $\varepsilon < \mu$ there is $\eta_\varepsilon \in {}^\lambda \lambda$ which exemplify its failure, so there is a club E_ε of λ such that

$$\boxtimes_1 \delta \in S \cap E_\varepsilon \Rightarrow \mathbf{F}(\eta_\varepsilon \upharpoonright C_\delta) \neq \bar{c}_\delta^\varepsilon$$

and without loss of generality

$$\boxtimes_2 \alpha < \delta \in E_\varepsilon \Rightarrow \eta_\varepsilon(\alpha) < \delta.$$

But $\bar{c}_\delta^\varepsilon = 1 - \rho_\delta^*(\varepsilon)$ and so $z \in \{0, 1\} \ \& \ z \neq \bar{c}_\delta^\varepsilon \Rightarrow z = \rho_\delta^*(\varepsilon)$ hence we have got

$$\boxtimes_3 \delta \in S \cap E \Rightarrow \mathbf{F}(\eta_\varepsilon \upharpoonright C_\delta) = \rho_\delta^*(\varepsilon)$$

Define $\eta^* \in {}^\lambda \lambda$ by $\eta^*(\alpha) = \text{cd}(\langle \eta_\varepsilon(\alpha) : \varepsilon < \mu \rangle)$, now as λ is regular uncountable clearly $E =: \{\delta < \lambda : \text{for every } \alpha < \delta \text{ we have } \eta^*(\alpha) < \delta \text{ and if } \delta' \in S, C' = C_{\delta'} \cap \alpha \ \& \ \alpha \in C_{\delta'} \text{ then } \eta^* \upharpoonright C' \in T_{< \delta}\}$ is a club of λ (see the choice of $T, T_{< \delta}$, recall that by assumption (a) the sequence \bar{C} is good, see Definition 1.5(4)).

Clearly $E^* = \cap \{E_\varepsilon : \varepsilon < \mu\} \cap E$ is a club of λ . Now for each $\delta \in E^* \cap S$, clearly $\eta^* \upharpoonright C_\delta \in \mathcal{P}_\delta$; just check the definitions of \mathcal{P}_δ and E, E^* . Now recall $\nu_{\eta^* \upharpoonright C_\delta, \varepsilon}$ is the function from C_δ to δ defined by

$$\nu_{\eta^* \upharpoonright C_\delta, \varepsilon}(\alpha) = \text{cd}_\varepsilon(\eta^*(\alpha)).$$

But by our choice of η^* clearly $\text{cd}_\varepsilon(\alpha) = \eta_\varepsilon(\alpha)$, so

$$\alpha \in C_\delta \Rightarrow \nu_{\eta^* \upharpoonright C_{\delta,\varepsilon}}(\alpha) = \eta_\varepsilon(\alpha) \quad \text{so} \quad \nu_{\eta^* \upharpoonright C_{\delta,\varepsilon}} = \eta_\varepsilon \upharpoonright C_\delta,$$

Hence $\mathbf{F}(\nu_{\eta^* \upharpoonright C_{\delta,\varepsilon}}) = \mathbf{F}(\eta_\varepsilon \upharpoonright C_\delta)$, however as $\delta \in E^* \subseteq E_\varepsilon$ clearly $\mathbf{F}(\eta_\varepsilon \upharpoonright C_\delta) = \rho_\delta^*(\varepsilon)$, together $\mathbf{F}(\nu_{\eta^* \upharpoonright C_{\delta,\varepsilon}}) = \rho_\delta^*(\varepsilon)$.

As $\eta^* \upharpoonright C_\delta \in \mathcal{P}_\delta$ clearly $\rho_{\eta^* \upharpoonright C_\delta} \in {}^\mu 2$, moreover for each $\varepsilon < \mu$ we know that $\rho_{\eta^* \upharpoonright C_\delta}(\varepsilon)$, see its definition above, is equal to $\mathbf{F}(\nu_{\eta^* \upharpoonright C_{\delta,\varepsilon}})$ which by the previous sentence is equal to $\rho_\delta^*(\varepsilon)$. As this holds for every $\varepsilon < \mu$ and $\rho_{\eta^* \upharpoonright C_\delta}, \rho_\delta^*$ are members of ${}^\mu 2$, clearly they are equal. But $\eta^* \upharpoonright C_\delta \in \mathcal{P}_\delta$ so $\rho_{\eta^* \upharpoonright C_\delta} \in \{\rho_\eta : \eta \in \mathcal{P}_\delta\}$ whereas ρ_δ^* has been chosen outside this set, contradiction. \square

Well, are there good $(\lambda, \kappa, \kappa)$ -parameters? (on $I[\lambda]$ see [She93, §1]).

Claim 1.7. (1) *If S is a stationary subset of the regular cardinal λ and $S \in I[\lambda]$ and $(\forall \delta \in S)\text{cf}(\delta) = \kappa$ then for some club E of λ , there is a good $(S \cap E, \kappa, \kappa)$ -parameter.*

(2) *If $\kappa = \text{cf}(\kappa), \kappa^+ < \lambda = \text{cf}(\lambda)$ then there is a stationary $S \in I[\lambda]$ with $(\forall \delta \in S)[\text{cf}(\delta) = \kappa]$.*

Proof. (1) By the definition of $I[\lambda]$

(2) By [She93, §1]. \square

We can note

Claim 1.8. (1) *Assume the assumption of 1.6 or 1.2 with $C_\delta = \delta$ and D is a μ^+ -complete filter on $\lambda, S \in D$, and D include the club filter. Then we can get that there is a $D - \mathbf{F}$ -Wd-sequence.*

(2) *In 1.6, we can weaken the demand $\lambda = 2^\mu$ to $\lambda = \text{cf}(2^\mu)$ that is, assume*

(a) \bar{C} is a good (λ, κ, χ) -Wd-parameter.

(b) $|\alpha|^{(\text{tr}, \kappa)} < 2^\mu$ for every $\alpha < \lambda$.

(c) $\lambda = \text{cf}(2^\mu)$ and $2^\mu = (2^\mu)^{<\chi}$

(d) \mathbf{F} is a \bar{C} -colouring

(e) D is a μ^+ -complete filter on λ extending the club filter to which $\text{Dom}(\bar{C})$ belongs.

Then¹ there is a $D - \mathbf{F}$ -Wd-sequence.

(3) *In 1.6+1.8(2) we can omit “ λ regular”.*

Proof. (1) The same proof.

(2) Let $H^* : \lambda \rightarrow 2^\mu$ be increasing continuous with unbounded range and let $S \in I[\lambda]$ be stationary, such that $(\forall \delta \in S)\text{cf}(\delta) = \kappa$, and $\bar{C} = \langle C_\delta : \delta \in S \rangle$ is a good $(\text{cf}(\lambda), \kappa, \kappa)$ -Wd-parameter, let

$$S' = \{h^*(\alpha) : \alpha \in S\}, C'_{h^*(\delta)} = \{h^*(\alpha) : \alpha \in C_\delta\}, \bar{C}' = \langle C_\beta : \beta \in S' \rangle$$

¹in fact if $\lambda = \text{cf}(2^\mu) < 2^\mu$ then the demand “ \bar{C} is good” is not necessary; see more in [She05]

and repeat the proof using $\lambda' = 2^\mu$, $\bar{C}' = \langle C'_\delta : \delta \in S' \rangle$ instead λ, \bar{C} . Except that in the choice of the club E we should use $E' = \{\delta < \lambda : \text{for every } \alpha \in \delta \cap \text{Rang}(h^*) \text{ we have } \eta^*(\alpha) < \delta \text{ and } \delta \text{ is a limit ordinal and } \delta' \in S' \wedge C' = C'_\delta \cap \alpha \Rightarrow \eta^* \upharpoonright C' \in T_{<\delta}\}$.

(3) Similarly.

□

This lead to considering the natural related ideal.

Definition 1.9. Let \bar{C} be a (λ, κ, χ) - parameter.

- (1) For a family \mathcal{F} of \bar{C} -colouring and $\mathcal{P} \subseteq \lambda^2$, let $\text{id}_{\bar{C}, \mathcal{F}, \mathcal{P}}$ be

$$\{W \subseteq \lambda : \text{for some } \mathbf{F} \in \mathcal{F} \text{ for every } \bar{c} \in \mathcal{P} \text{ for some } \eta \in \lambda^\lambda \text{ the set } \{\delta \in W \cap S : \mathbf{F}(\eta \upharpoonright C_\delta) = c_\delta\} \text{ is not stationary}\}.$$
- (2) If \mathcal{P} is the family of all \bar{C} - colouring we may omit it. If we write Def instead \mathcal{F} this mean as in [She01, §1].

We can strengthen 1.6 as follows.

Definition 1.10. We say the λ -colouring \mathbf{F} is (S, χ) - good if:

- (a) $S \subseteq \{\delta < \lambda : \text{cf}(\delta) < \chi\}$ is stationary
- (b) we can find E and $\langle C_\delta : \delta \in S \cap E \rangle$ such that
 - (α) E a club of λ .
 - (β) C_δ is an unbounded subset of δ , $|C_\delta| < \chi$.
 - (γ) if $\rho, \rho' \in {}^\delta \delta$, $\delta \in S \cap E$, and $\rho' \upharpoonright C_\delta = \rho \upharpoonright C_\delta$ then $\mathbf{F}(\rho') = \mathbf{F}(\rho)$
 - (δ) for every $\alpha < \lambda$ we have

$$\lambda > |\{C_\delta \cap \alpha : \delta \in S \cap E \text{ and } \alpha \in C_\delta\}|$$

- (ϵ) $\delta \in S \Rightarrow |\delta_{\text{tr}}^{\langle \text{cf}(\delta) \rangle}| :$

Claim 1.11. *Assume*

- (a) $\lambda = \text{cf}(2^\mu)$
- (b) \mathbf{F} is an (S, κ) - good λ -colouring.

Then there is a (\mathbf{F}, S) -Wd-sequence, see Definition 1.5(3).

Remark 1.12. So if $\lambda = \text{cf}(2^\mu)$ and we let $\Theta_\lambda =: \{\theta = \text{cf}(\theta) \text{ and } (\forall \alpha < \lambda)(|\alpha|^{\langle \text{tr}, \theta \rangle} < \lambda)\}$ then

- (a) Θ_λ “large” (e.g. contains every large enough $\theta \in \text{Reg} \cap \beth_\omega$ if $\beth_\omega < \lambda$) and
- (b) if $\theta = \text{cf}(\theta) \wedge \theta^+ < \lambda$ then there is a stationary $S \in I[\lambda]$ such that $\delta \in S \Rightarrow \text{cf}(\delta) = \theta$.
- (c) if $\theta \in \Theta, S$ are as above then there is a good $\langle C_\delta : \delta \in S \rangle$
- (d) for θ, S, \bar{C} as above, if $\mathbf{F} = \langle F_\delta : \delta \in S \rangle$ and $F_\delta(\eta)$ depend just on $\eta \upharpoonright C_\delta$ and D is a normal ultrafilter on λ (or less), and lastly $S \in D$ then there is an $D - \mathbf{F}$ -Wd-sequence; see Definition 1.5(3A).

2. ON VERSIONS OF PRECIPITOUSNESS

Definition 2.1. (1) We say the D is $(\mathbb{P}, \underline{D})$ -precipitous if

- (a) D is a normal filter on λ , a regular uncountable cardinal.
- (b) \mathbb{P} is forcing notion with $\emptyset_{\mathbb{P}}$ minimal.
- (c) \underline{D} a \mathbb{P} -name of an ultrafilter of the Boolean Algebra $\mathcal{P}(\lambda)$
- (d) letting for $p \in \mathbb{P}$

$$D_{p, \underline{D}} =: \{A \subseteq \lambda : p \Vdash A \in \underline{D}\}$$

we have:

- (α) $D_{\emptyset_{\mathbb{P}}, \underline{D}} = D$ and
 - (β) $D_{p, \underline{D}}$ is normal filter on λ
 - (e) $\Vdash_{\mathbb{P}} \text{“}\mathbf{V}^{\lambda}/\underline{D} \text{ is well founded”}$.
- (2) For λ regular uncountable and D a normal filter on λ let $\text{NOR}_D = \{D' : D' \text{ a normal filter on } \lambda \text{ extending } D\}$ ordered by inclusion and $\underline{D} = \cup\{D' : D' \in \underline{G}_{\text{NOR}_D}\}$

Woodin [W99] defined and was interested in semi-saturation for $\lambda = \aleph_2$, where!.

(1A) If \underline{D} is clear from the context (as in part (2)) we may omit \underline{D} .

Definition 2.2. For λ regular uncountable cardinal, a normal filter D on λ is called semi-saturated when for every forcing notion \mathbb{P} and \mathbb{P} -name \underline{D} of a normal (for regressive $f \in \mathbf{V}$) ultrafilter on $\mathcal{P}(\lambda)^{\mathbf{V}}$, we have: D is $(\mathbb{P}, \underline{D})$ -precipitous.

Woodin proved $\text{Con}(D_{\omega_2} \upharpoonright S_0^2)$ is semi saturated), he proved that the existence of such filter has large consistency strength by proving 2.3 below. This is related to [She94, V].

Claim 2.3. *If $\lambda = \mu^+$, D a semi-saturated filter on λ , then every $f \in {}^\lambda \lambda$ is $<_D$ -smaller than the α -th function for some $\alpha < \lambda^+$ (on the α -th function see e.g [She94, XVII, §3])*

In fact

Claim 2.4. *If $\lambda = \mu^+$ and D is NOR_λ -precipitous then every $f \in {}^\lambda \lambda$ is $<_D$ -smaller than the α -th function for some $\alpha < \lambda^+$*

Proof. The point is that

- (a) if D is a normal filter on λ , $\langle f_\alpha : \alpha < \lambda^+ \rangle$ is $<_D$ -increasing in λ and $f \in {}^\lambda \lambda, \alpha < \lambda^+ \Rightarrow \neg(f \leq_D f_\alpha)$ then there is a normal filter D_1 on λ extending D such that $\alpha < \lambda^+ \Rightarrow f_\alpha <_{D_1} f$
- (b) if $\langle f_\alpha : \alpha \leq \lambda^+ \rangle$ is $<_D$ -increasing $f_\alpha \in {}^\lambda \lambda$, and $\lambda = \mu^+$ and $X = \{\delta < \lambda : \text{cf}(f_{\lambda^+}(\delta)) = \theta\} \neq \emptyset \text{ mod } D$ then there are functions $g_i \in {}^\lambda \lambda$ for $i < \theta$ such that $g_i <_{f_{\lambda^+} \text{ mod } (D + X)}$, and $(\forall \alpha < \lambda^+)(\exists i < \theta)(\neg g_i <_D f_\alpha)$.

[In details let $\Gamma = \{(D_1, f, \alpha) : D_1 \in \text{NOR}_\lambda, f \in {}^\lambda \lambda, D_1 \Vdash_{\text{NOR}_\lambda} \text{“}f/\underline{D} \text{ is the } \alpha\text{-th ordinal in } \mathbf{V}^\lambda/\underline{D} \text{ and } \neg f \leq f_\alpha \text{ mod } D_1 \text{ for } \alpha < \lambda^+, \text{ for some}$

$f_\alpha \in \dot{\lambda} :< D_1$ - increasing with α }. If the conclusion fails then $\Gamma \neq 0$, choose $(D_1, f, \alpha) \in \Gamma$ with α minimal and by clause (a) without loss of generality $\alpha < \lambda^+ \Rightarrow f_\alpha < f \bmod D_1$. By (b) there is $g < f \bmod D_1$ such that $\alpha < \lambda^+ \Rightarrow \neg(g < f_\alpha \bmod D_1)$, without loss of generality $\alpha < \lambda^+ \Rightarrow f_\alpha < g \bmod D_1$ and for some $\beta < \alpha$ and $D_2 \in \text{NOR}_\lambda$ extending $D_1, D_2 \Vdash_{\text{NOR}_\lambda} g/\underline{D}$ is the β the ordinal of $\mathbf{V}^\lambda/\underline{D}$, contradiction to the minimality of λ \square

- Claim 2.5.** (1) If $\lambda = \mu^+ \geq \beth_\omega$ then the club filter on λ is not semi-saturated.
- (2) If $\lambda = \mu^+ \geq \beth_\omega$ then for every large enough regular $\kappa < \beth_\omega$, there is no semi-saturated normal filter D^* on λ to which $S_\kappa^\lambda = \{\delta < \lambda : \text{cf}(\delta) = \kappa\}$ belongs.
- (3) If $2^{2^\kappa} < \lambda = \mu^+ > \kappa = \text{cf}(\kappa) > \aleph_0$ and for every $f \in {}^\kappa\lambda$ we have $\text{rk}_{J_{\text{bd}}}(f) < \lambda$ then there is no semi-saturated normal filter D^* on λ to which $\{\delta < \lambda : \text{cf}(\delta) = \kappa\}$ belongs.
- (4) In 1), 2), 3), if “ D is Nor_D -semi-saturated” then the conclusion holds for D .

REMARK: We can replace \beth_ω by any strong limit uncountable cardinal.

Proof. (1) Follows by (2)

- (2) By [She00b] for some $\kappa_0 < \beth_\omega$, for every regular $\kappa \in (\kappa_0, \beth_\omega)$ we have: $\mu^{(\kappa)} = \mu$, see 1.3. Let $D = \{A \subseteq \kappa : \sup(\kappa \setminus A) < \kappa\}$.

By part (3) it is enough to prove

\boxtimes if $f \in {}^\kappa\lambda$ then $\text{rk}_D(f) < \lambda$

proof of \boxtimes If not then for every $\alpha < \lambda$ there is

$$f_\alpha \in {}^\kappa\lambda \quad \text{such that} \quad f_\alpha <_D f \quad \text{and} \quad \text{rk}_D(f) = \alpha$$

and define

$$D_\alpha =: \{A \subseteq \kappa : A \in D \quad \underline{\text{or}} \quad \kappa \setminus A \notin D, \text{ and } \text{rk}_{D+(\kappa \setminus A)}(f_\alpha) < \alpha\}.$$

This is a κ -complete filter on κ see [She00a]. So for some D^* the set $A = \{\alpha : D_\alpha = D^*\}$ is unbounded in λ . By [She00a, §4] (alternatively use [She94, V] on normal filters)

(*) for $\alpha < \beta$ from $A, f_\alpha <_{D^*} f_\beta$ and D^* is a κ -complete filter on κ .

But as $\mu = \mu^{(\kappa)}$ letting $\alpha^* = \sup(\text{Rang}(f)) + 1$ which is $< \lambda$, so $|\alpha^*| \leq \mu$, there is a family $\mathcal{P} \subseteq [\alpha^*]^\kappa$ such that for every $a \in [\alpha^*]^\kappa$, for some $i(*) < \kappa$ and $a_i \in \mathcal{P}$ for $i < i(*)$ we have $a \subseteq \bigcup_{i < i(*)} a_i$ hence

for every $\alpha \in A$, for some $a_\alpha \in \mathcal{P}$ we have

$$\{i < \kappa : f_\alpha(i) \in a_\alpha\} \neq \emptyset \bmod D^*.$$

So for some a^* and unbounded $B \subseteq A$ we have $\alpha \in B \Rightarrow a_\alpha = a^*$ and moreover for some $b^* \subseteq \kappa$ we have $\alpha \in B \Rightarrow b^* = \{i < \kappa : f_\alpha(i) \in a^*\}$ and moreover $\alpha \in B \Rightarrow f_\alpha \upharpoonright b^* = f^*$. But this contradict (*).

(3) We can find $\langle u_{\alpha,\varepsilon} : \varepsilon < \lambda, \alpha < \lambda^+ \rangle$ such that:

- (a) $\langle u_{\alpha,\varepsilon} : \varepsilon < \lambda \rangle$ is \subseteq -increasing continuous such that $|u_{\alpha,\varepsilon}| < \lambda$, and $\cup\{u_{\alpha,\varepsilon} : \varepsilon < \lambda\} = \alpha$.
- (b) if $\alpha < \beta < \lambda^+$ and $\alpha \in u_{\beta,\varepsilon}$ then $u_{\beta,\varepsilon} \cap \alpha = u_{\alpha,\varepsilon}$.

Let $f_\alpha \in {}^\lambda\lambda$ be $f_\alpha(\varepsilon) = \text{otp}(u_{\alpha,\varepsilon})$, so it is well known that f_α/D_λ is the α -th function, in particular $\alpha < \beta \Rightarrow f_\alpha <_{D_\lambda} f_\beta$ where D_λ is the club filter on λ ; in fact $\alpha < \beta < \lambda^+ \Rightarrow f_\alpha <_{J_\lambda^{bd}} f_\beta$. Choose² $\bar{C} = \langle C_\delta : \delta \in S_\kappa^\lambda \rangle$, C_δ a club of δ of order type κ , and let $g_\delta \in {}^\kappa\delta$ enumerate C_δ , i.e. $g_\delta(i)$ is the i -th member of C_δ

For $\zeta < \lambda$ let $g_\zeta^* \in {}^\kappa\lambda$ be constantly ζ , and let $g^* \in {}^\lambda\lambda$ be defined by $g^*(\zeta) = \text{rk}_{J_\kappa^{bd}}(g_\zeta^*)$

(*)₀ $g^* \in {}^\lambda\lambda$ and $\zeta \leq g^*(\zeta)$

[why? by an assumption]

For $\alpha < \lambda^+$ we define $f_\alpha^* \in {}^\lambda\lambda$ by:

$$f_\alpha^*(\varepsilon) = \begin{cases} \text{rk}_{J_\kappa^{bd}}(f_\alpha \circ g_\varepsilon) & \text{if } \varepsilon \in S_\kappa^\lambda \\ 0 & \text{if } \varepsilon \in \lambda \setminus S_\kappa^\lambda \end{cases}$$

Note that $f_\alpha \circ g_\delta$ is a function from κ to λ .

Now

(*)₁ $f_\alpha^* \in {}^\lambda\lambda$ for $\alpha < \lambda^+$

[Why? as $f_\alpha \circ g_\delta \in {}^\kappa\lambda$, so by a hypothesis $\text{rk}_{J_\kappa^{bd}}(f_\alpha \circ g_\delta) < \lambda$]

(*)₂ for $\alpha < \lambda^+$

$$(*)_\alpha^2 E_\alpha = \{\delta < \lambda : \text{if } \varepsilon < \delta \text{ then } f_\alpha^*(\varepsilon) < \delta\}$$

is a club of λ

[Why? Obvious]

(*)₃ for $\alpha < \lambda^+$ we have

$$\delta \in E_\alpha \Rightarrow f_\alpha^*(\delta) < g^*(\delta), \text{ so } f_\alpha^* <_{D_\lambda} g^* \in {}^\lambda\lambda$$

[Why? the first statement by the definition of E_α , of f_α^* and of $g^*(\delta)$. The second by the first (*)₀.]

(*)₄ if $\alpha < \beta < \lambda^+$ then $f_\alpha^* <_{J_\lambda^{bd}} f_\beta^*$ hence $f_\alpha^* <_{D_\lambda} f_\beta^*$

[Why? the first as $f_\alpha <_{J_\lambda^{bd}} f_\beta$ hence for some $\varepsilon < \lambda$, we have

$$\varepsilon < \zeta < \lambda \rightarrow f_\alpha(\zeta) < f_\beta(\zeta) \text{ hence } \delta \in S_\kappa^\lambda \setminus (\varepsilon + 1) \Rightarrow$$

$$f_\alpha \upharpoonright C_\delta <_{J_{C_\delta}^{bd}} f_\beta \upharpoonright C_\delta \Rightarrow f_\alpha \circ g_\delta <_{J_\kappa^{bd}} f_\beta \circ g_\delta \Rightarrow \text{rk}_{J_\kappa^{bd}}(f_\alpha \circ g_\delta) < \text{rk}_{J_\kappa^{bd}}(f_\beta \circ g_\delta) \Rightarrow$$

$$f_\alpha^*(\delta) < f_\beta^*(\delta)$$

Let $f_{\lambda^+}^* =: g^*$, so

$$(*) \alpha \leq \lambda^+ \Rightarrow f_\alpha^* \in {}^\lambda\lambda \quad \text{and} \quad \alpha < \beta \leq \lambda^+ \Rightarrow f_\alpha <_{D_\lambda} f_\beta$$

This of course suffices by ??.

²recall $S_\kappa^\lambda = \{\delta < \lambda : \text{cf}(\delta) = \kappa\}$

(4) The same proof.

□

REMARK: In the proof of 2.5(2) it is enough that $\mathbf{U}_{J_{\kappa}^{bd}}(\mu) = \mu$ (see [She00a]).

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