

## MORE ON TIE-POINTS AND HOMEOMORPHISM IN $\mathbb{N}^*$

ALAN DOW AND SAHARON SHELAH

ABSTRACT. A point  $x$  is a (bow) tie-point of a space  $X$  if  $X \setminus \{x\}$  can be partitioned into (relatively) clopen sets each with  $x$  in its closure. We picture (and denote) this as  $X = A \bowtie_x B$  where  $A, B$  are the closed sets which have a unique common accumulation point  $x$ . Tie-points have appeared in the construction of non-trivial autohomeomorphisms of  $\beta\mathbb{N} \setminus \mathbb{N} = \mathbb{N}^*$  (e.g. [10, 7]) and in the recent study [4, 2] of (precisely) 2-to-1 maps on  $\mathbb{N}^*$ . In these cases the tie-points have been the unique fixed point of an involution on  $\mathbb{N}^*$ . One application of the results in this paper is the consistency of there being a 2-to-1 continuous image of  $\mathbb{N}^*$  which is not a homeomorph of  $\mathbb{N}^*$ .

### 1. INTRODUCTION

A point  $x$  is a tie-point of a space  $X$  if there are closed sets  $A, B$  of  $X$  such that  $\{x\} = A \cap B$  and  $x$  is an adherent point of both  $A$  and  $B$ . We let  $X = A \bowtie_x B$  denote this relation and say that  $x$  is a tie-point as witnessed by  $A, B$ . Let  $A \equiv_x B$  mean that there is a homeomorphism from  $A$  to  $B$  with  $x$  as a fixed point. If  $X = A \bowtie_x B$  and  $A \equiv_x B$ , then there is an involution  $F$  of  $X$  (i.e.  $F^2 = \text{id}$ ) such that  $\{x\} = \text{fix}(F)$ . In this case we will say that  $x$  is a symmetric tie-point of  $X$ .

An autohomeomorphism  $F$  of  $\mathbb{N}^*$  is said to be *trivial* if there is a bijection  $f$  between cofinite subsets of  $\mathbb{N}$  such that  $F = \beta f \upharpoonright \mathbb{N}^*$ . Since the fixed point set of a trivial autohomeomorphism is clopen, a symmetric tie-point gives rise to a non-trivial autohomeomorphism.

If  $A$  and  $B$  are arbitrary compact spaces, and if  $x \in A$  and  $y \in B$  are accumulation points, then let  $A \bowtie_{x=y} B$  denote the quotient space of

---

*Date:* October 5, 2020.

*1991 Mathematics Subject Classification.* 03A35.

*Key words and phrases.* automorphism, Stone-Cech, fixed points.

Research of the first author was supported by NSF grant No. NSF-. The research of the second author was supported by The Israel Science Foundation funded by the Israel Academy of Sciences and Humanities, and by NSF grant No. NSF-. This is paper number in the second author's personal listing.

$A \oplus B$  obtained by identifying  $x$  and  $y$  and let  $xy$  denote the collapsed point. Clearly the point  $xy$  is a tie-point of this space.

In this paper we establish the following theorem.

**Theorem 1.1.** *It is consistent that  $\mathbb{N}^*$  has symmetric tie-points  $x, y$  as witnessed by  $A, B$  and  $A', B'$  respectively such that  $\mathbb{N}^*$  is not homeomorphic to the space  $A \underset{x=y}{\boxtimes} A'$*

**Corollary 1.1.** *It is consistent that there is a 2-to-1 image of  $\mathbb{N}^*$  which is not a homeomorph of  $\mathbb{N}^*$ .*

One can generalize the notion of tie-point and, for a point  $x \in \mathbb{N}^*$ , consider how many disjoint clopen subsets of  $\mathbb{N}^* \setminus \{x\}$  (each accumulating to  $x$ ) can be found. Let us say that a tie-point  $x$  of  $\mathbb{N}^*$  satisfies  $\tau(x) \geq n$  if  $\mathbb{N}^* \setminus \{x\}$  can be partitioned into  $n$  many disjoint clopen subsets each accumulating to  $x$ . Naturally, we will let  $\tau(x) = n$  denote that  $\tau(x) \geq n$  and  $\tau(x) \not\geq n+1$ . Each point  $x$  of character  $\omega_1$  in  $\mathbb{N}^*$  is a symmetric tie-point and satisfies that  $\tau(x) \geq n$  for all  $n$ . We list several open questions in the final section.

More generally one could study the symmetry group of a point  $x \in \mathbb{N}^*$ : e.g. set  $G_x$  to be the set of autohomeomorphisms  $F$  of  $\mathbb{N}^*$  that satisfy  $\text{fix}(F) = \{x\}$  and two are identified if they are the same on some clopen neighborhood of  $x$ .

**Theorem 1.2.** *It is consistent that  $\mathbb{N}^*$  has a tie-point  $x$  such that  $\tau(x) = 2$  and such that with  $\mathbb{N}^* = A \underset{x}{\boxtimes} B$ , neither  $A$  nor  $B$  is a homeomorph of  $\mathbb{N}^*$ . In addition, there are no symmetric tie-points.*

The following partial order  $\mathbb{P}_2$ , was introduced by Velickovic in [10] to add a non-trivial automorphism of  $\mathcal{P}(\mathbb{N})/[\mathbb{N}]^{<\aleph_0}$  while doing as little else as possible — at least assuming PFA.

**Definition 1.1.** The partial order  $\mathbb{P}_2$  is defined to consist of all 1-to-1 functions  $f : A \rightarrow B$  where

- $A \subseteq \omega$  and  $B \subseteq \omega$
- for all  $i \in \omega$  and  $n \in \omega$ ,  $f(i) \in (2^{n+1} \setminus 2^n)$  if and only if  $i \in (2^{n+1} \setminus 2^n)$
- $\limsup_{n \rightarrow \omega} |(2^{n+1} \setminus 2^n) \setminus A| = \omega$  and hence, by the previous condition,  $\limsup_{n \rightarrow \omega} |(2^{n+1} \setminus 2^n) \setminus B| = \omega$

The ordering on  $\mathbb{P}_2$  is  $\subseteq^*$ .

We define some trivial generalizations of  $\mathbb{P}_2$ . We use the notation  $\mathbb{P}_2$  to signify that this poset introduces an involution of  $\mathbb{N}^*$  because the conditions  $g = f \cup f^{-1}$  satisfy that  $g^2 = g$ . In the definition of  $\mathbb{P}_2$  it is possible to suppress mention of  $A, B$  (which we do) and

to have the poset  $\mathbb{P}_2$  consist simply of the functions  $g$  (and to treat  $A = \min(g) = \{i \in \text{dom}(g) : i < g(i)\}$  and to treat  $B$  as  $\max(g) = \{i \in \text{dom}(g) : g(i) < i\}$ ).

Let  $\mathbb{P}_1$  denote the poset we get if we omit mention of  $f$  but consisting only of disjoint pairs  $(A, B)$ , satisfying the growth condition in Definition 1.1, and extension is coordinatewise mod finite containment. To be consistent with the other two posets, we may instead represent the elements of  $\mathbb{P}_1$  as partial functions into 2.

More generally, let  $\mathbb{P}_\ell$  be similar to  $\mathbb{P}_2$  except that we assume that conditions consist of functions  $g$  satisfying that  $\{i, g(i), g^2(i), \dots, g^\ell(i)\}$  has precisely  $\ell$  elements for all  $i \in \text{dom}(g)$  (and replace the intervals  $2^{n+1} \setminus 2^n$  by  $\ell^{n+1} \setminus \ell^n$  in the definition).

The basic properties of  $\mathbb{P}_2$  as defined by Velickovic and treated by Shelah and Steprans are also true of  $\mathbb{P}_\ell$  for all  $\ell \in \mathbb{N}$ .

In particular, for example, it is easily seen that

**Proposition 1.1.** *If  $L \subset \mathbb{N}$  and  $\mathbb{P}^* = \prod_{\ell \in L} \mathbb{P}_\ell$  (with full supports) and  $G$  is a  $\mathbb{P}^*$ -generic filter, then in  $V[G]$ , for each  $\ell \in L$ , there is a tie-point  $x_\ell \in \mathbb{N}^*$  with  $\tau(x_\ell) \geq \ell$ .*

For the proof of Theorem 1.1 we use  $\mathbb{P}_2 \times \mathbb{P}_2$  and for the proof of Theorem 1.2 we use  $\mathbb{P}_1$ .

An ideal  $\mathcal{I}$  on  $\mathbb{N}$  is said to be ccc over fin [3], if for each uncountable almost disjoint family, all but countably many of them are in  $\mathcal{I}$ . An ideal is a  $P$ -ideal if it is countably directed closed mod finite.

The following main result is extracted from [6] and [8] which we record without proof.

**Lemma 1.1 (PFA).** *If  $\mathbb{P}^*$  is a finite or countable product (repetitions allowed) of posets from the set  $\{\mathbb{P}_\ell : \ell \in \mathbb{N}\}$  and if  $G$  is a  $\mathbb{P}^*$ -generic filter, then in  $V[G]$  every autohomeomorphism of  $\mathbb{N}^*$  has the property that the ideal of sets on which it is trivial is a  $P$ -ideal which is ccc over fin.*

**Corollary 1.2 (PFA).** *If  $\mathbb{P}^*$  is a finite or countable product of posets from the set  $\{\mathbb{P}_\ell : \ell \in \mathbb{N}\}$ , and if  $G$  is a  $\mathbb{P}^*$ -generic filter, then in  $V[G]$  if  $F$  is an autohomeomorphism of  $\mathbb{N}^*$  and  $\{Z_\alpha : \alpha \in \omega_2\}$  is an increasing mod finite chain of infinite subsets of  $\mathbb{N}$ , there is an  $\alpha_0 \in \omega_2$  and a collection  $\{h_\alpha : \alpha \in \omega_2\}$  of 1-to-1 functions such that  $\text{dom}(h_\alpha) = Z_\alpha$  and for all  $\beta \in \omega_2$  and  $a \subset Z_\beta \setminus Z_{\alpha_0}$ ,  $F[a] =^* h_\beta[a]$ .*

Each poset  $\mathbb{P}^*$  as above is  $\aleph_1$ -closed and  $\aleph_2$ -distributive (see [8, p.4226]). In this paper we will restrict our study to finite products. The following partial order can be used to show that these products are  $\aleph_2$ -distributive.

**Definition 1.2.** Let  $\mathbb{P}^*$  be a finite product of posets from  $\{\mathbb{P}_\ell : \ell \in \mathbb{N}\}$ . Given  $\{\vec{f}_\xi : \xi \in \mu\} = \mathfrak{F} \subset \mathbb{P}^*$  (decreasing in the ordering on  $\mathbb{P}^*$ ), define  $\mathbb{P}(\mathfrak{F})$  to be the partial order consisting of all  $g \in \mathbb{P}^*$  such that there is some  $\xi \in \mu$  such that  $\vec{g} \equiv^* \vec{f}_\xi$ . The ordering on  $\mathbb{P}(\mathfrak{F})$  is coordinatewise  $\supseteq$  as opposed to  $^*\supseteq$  in  $\mathbb{P}^*$ .

**Corollary 1.3** (PFA). *If  $\mathbb{P}^*$  is a finite or countable product of posets from the set  $\{\mathbb{P}_\ell : \ell \in \mathbb{N}\}$ , and if  $G$  is a  $\mathbb{P}^*$ -generic filter, then in  $V[G]$  if  $F$  is an involution of  $\mathbb{N}^*$  with a unique fixed point  $x$ , then  $x$  is a  $P_{\omega_2}$ -point and  $\mathbb{N}^* = A \underset{x}{\bowtie} B$  for some  $A, B$  such that  $F[A] = B$ .*

*Proof.* We may assume that  $F$  also denotes an arbitrary lifting of  $F$  to  $[\mathbb{N}]^\omega$  in the sense that for each  $Y \subset \mathbb{N}$ ,  $(F[Y])^* = F[Y^*]$ . Let  $\mathcal{Z}_x = [\mathbb{N}]^\omega \setminus x$  (the dual ideal to  $x$ ). For each  $Z \in \mathcal{Z}_x$ ,  $F[Z]$  is also in  $\mathcal{Z}$  and  $F[Z \cup F[Z]] =^* Z \cup F[Z]$ . So let us now assume that  $\mathcal{Z}$  denotes those  $Z \in \mathcal{Z}_x$  such that  $Z =^* F[Z]$ . Given  $Z \in \mathcal{Z}$ , since  $\text{fix}(F) \cap Z^* = \emptyset$ , there is a collection  $\mathcal{Y} \subset [Z]^\omega$  such that  $F[Y] \cap Y =^* \emptyset$  for each  $Y \in \mathcal{Y}$ , and such that  $Z^*$  is covered by  $\{Y^* : Y \in \mathcal{Y}\}$ . By compactness, we may assume that  $\mathcal{Y} = \{Y_0, \dots, Y_n\}$  is finite. Set  $Z_0 = Y_0 \cup F[Y_0]$ . By induction, replace  $Y_k$  by  $Y_k \setminus \bigcup_{j < k} Z_j$  and define  $Z_k = Y_k \cup F[Y_k]$ . Therefore  $Y_Z = \bigcup_k Y_k$  satisfies that  $Y_Z \cap F[Y_Z] =^* \emptyset$  and  $Z = Y_Z \cup F[Y_Z]$ . This shows that for each  $Z \in \mathcal{Z}$  there is a partition of  $Z = Z^0 \cup Z^1$  such that  $F[Z^0] =^* Z^1$ . It now follows that  $x$  is a  $P$ -point, for if  $\{Z_n = Z_n^0 \cup Z_n^1 : n \in \mathbb{N}\} \subset \mathcal{Z}$  are pairwise disjoint, then  $x \notin \overline{\bigcup_n Z_n^*}$  since  $F[\overline{\bigcup_n (Z_n^0)^*}] = \overline{\bigcup_n (Z_n^1)^*}$  and  $\overline{\bigcup_n (Z_n^0)^*}$  is disjoint from  $\overline{\bigcup_n (Z_n^1)^*}$ .

Now we prove that it is a  $P_{\omega_2}$ -point. Assume that  $\{Z_\alpha : \alpha \in \omega_1\} \subset \mathcal{Z}$  is a mod finite increasing sequence. By Lemma 1.1 (similar to Corollary 1.2) we may assume, by possibly removing some  $Z_{\alpha_0}$  from each  $Z_\alpha$ , that there is a sequence  $\{h_\alpha : \alpha \in \omega_1\}$  of involutions such that  $h_\alpha$  induces  $F \upharpoonright Z_\alpha^*$ . For each  $\alpha \in \omega_1$ , let  $a_\alpha = \min(h_\alpha) = \{i \in \text{dom}(h_\alpha) : i < h_\alpha(i)\}$  and  $b_\alpha = Z_\alpha \setminus a_\alpha$ . It follows that  $F[a_\alpha] =^* b_\alpha$ . Since  $\mathbb{P}^*$  is  $\aleph_2$ -distributive, all of these  $\aleph_1$ -sized sets are in  $V$  which is a model of PFA. If  $x$  is in the closure of  $\bigcup_{\alpha \in \omega_1} Z_\alpha^*$ , then  $x$  is in the closure of each of  $\bigcup_\alpha a_\alpha^*$  and  $\bigcup_\alpha b_\alpha^*$ . Therefore, it suffices to show that  $\mathcal{A} = \{(a_\alpha, b_\alpha) : \alpha \in \omega_1\}$  can not form a gap in  $V$ . As is well-known, if  $\mathcal{A}$  does form a gap, there is a ccc poset  $Q_{\mathcal{A}}$  which adds an uncountable  $I$  such that  $\{(a_\alpha, b_\alpha) : \alpha \in I\}$  forms a Hausdorff-gap (i.e. *freezes* the gap). It is easy to prove that if  $\mathbb{C}$  is the poset for adding  $\omega_1$ -many almost disjoint Cohen reals,  $\{\dot{C}_\xi : \xi \in \omega_1\}$ , then a similar ccc poset  $\mathbb{C} * \dot{Q}$  will introduce, for each  $\xi \in \omega_1$ , an uncountable  $I_\xi \subset \omega_1$ , such that  $\{(\dot{C}_\xi \cap a_\alpha, \dot{C}_\xi \cap b_\alpha) : \alpha \in I_\xi\}$  is a Hausdorff-gap. But now by Lemma

1.1, it follows that there is some  $\xi \in \omega_1$  such that  $Z = C_\xi \in \mathcal{Z}$ ,  $F \upharpoonright Z^*$  is trivial and for some uncountable  $I \subset \omega_1$   $\{(Z \cap a_\alpha, Z \cap b_\alpha) : \alpha \in I\}$  forms a Hausdorff-gap. This however is a contradiction because if  $h_Z$  induces  $F \upharpoonright Z^*$ , then  $\min(h_Z) \cap ((Z \cap a_\alpha) \cup (Z \cap b_\alpha))$  is almost equal to  $a_\alpha$  for all  $\alpha \in \omega_1$ , i.e.  $\min(h_Z)$  would have to split the Hausdorff-gap.  $\square$

The forcing  $\mathbb{P}(\mathfrak{F})$  introduces a tuple  $\vec{f}$  which satisfies  $\vec{f} \leq \vec{f}_\alpha$  for  $\vec{f}_\alpha \in \mathfrak{F}$  but for the fact that  $\vec{f}$  may not be a member of  $\mathbb{P}^*$  simply because the domains of the component functions are too big. There is a  $\sigma$ -centered poset which will choose an appropriate sequence  $\vec{f}^*$  of subfunctions of  $\vec{f}$  which is a member of  $\mathbb{P}^*$  and which is still below each member of  $\mathfrak{F}$  (see [6, 2.1]).

A strategic choice of the sequence  $\mathfrak{F}$  will ensure that  $\mathbb{P}(\mathfrak{F})$  is ccc, but remarkably even more is true. Again we are lifting results from [6, 2.6] and [8, proof of Thm. 3.1]. This is an innovative factoring of Velickovic's original amoeba forcing poset and seems to preserve more properties. Let  $\omega_2^{<\omega_1}$  denote the standard collapse which introduces a function from  $\omega_1$  onto  $\omega_2$ .

**Lemma 1.2.** *Let  $\mathbb{P}^*$  be a finite product of posets from  $\{\mathbb{P}_\ell : \ell \in \mathbb{N}\}$ . In the forcing extension,  $V[H]$ , by  $\omega_2^{<\omega_1}$ , there is a descending sequence  $\mathfrak{F}$  from  $\mathbb{P}^*$  which is  $\mathbb{P}^*$ -generic over  $V$  and, for which,  $\mathbb{P}(\mathfrak{F})$  is ccc and  $\omega^\omega$ -bounding.*

It follows also that  $\mathbb{P}(\mathfrak{F})$  preserves that  $\mathbb{R} \cap V$  is of second category. This was crucial in the proof of Lemma 1.1. We can manage with the  $\omega^\omega$ -bounding property because we are going to use Lemma 1.1. A poset is said to be  $\omega^\omega$ -bounding if every new function in  $\omega^\omega$  is bounded by some ground model function.

The following proposition is probably well-known but we do not have a reference.

**Proposition 1.2.** *Assume that  $\mathbb{Q}$  is a ccc  $\omega^\omega$ -bounding poset and that  $x$  is an ultrafilter on  $\mathbb{N}$ . If  $G$  is a  $\mathbb{Q}$ -generic filter then there is no set  $A \subset \mathbb{N}$  such that  $A \setminus Y$  is finite for all  $Y \in x$ .*

*Proof.* Assume that  $\{\dot{a}_n : n \in \omega\}$  are  $\mathbb{Q}$ -names of integers such that  $1 \Vdash_{\mathbb{Q}} \dot{a}_n \geq n$ . Let  $A$  denote the  $\mathbb{Q}$ -name so that  $\Vdash_{\mathbb{Q}} A = \{\dot{a}_n : n \in \omega\}$ . Since  $\mathbb{Q}$  is  $\omega^\omega$ -bounding, there is some  $q \in \mathbb{Q}$  and a sequence  $\{n_k : k \in \omega\}$  in  $V$  such that  $q \Vdash_{\mathbb{Q}} n_k \leq \dot{a}_i \leq n_{k+2} \forall i \in [n_k, n_{k+1})$ . There is some  $\ell \in 3$  such that  $Y = \bigcup_k [n_{3k+\ell}, n_{3k+\ell+1})$  is a member of  $x$ . On the other hand,  $q \Vdash_{\mathbb{Q}} A \cap [n_{3k+\ell+1}, n_{3k+\ell+3})$  is not empty for each  $k$ . Therefore  $q \not\Vdash_{\mathbb{Q}} A \setminus Y$  is finite.  $\square$

Another interesting and useful general lemma is the following.

**Lemma 1.3.** *Let  $\mathcal{F} \subset \mathbb{P}_\ell$  (for any  $\ell \in \mathbb{N}$ ) be generic over  $V$ , then for each  $\mathbb{P}(\mathfrak{F})$ -name  $\dot{h} \in \mathbb{N}^{\mathbb{N}}$ , either there is an  $f \in \mathfrak{F}$  such that  $f \Vdash_{\mathbb{P}(\mathfrak{F})} \dot{h} \upharpoonright \text{dom}(f) \notin V$ , or there is an  $f \in \mathfrak{F}$  and an increasing sequence  $n_0 < n_1 < \dots$  of integers such that for each  $i \in [n_k, n_{k+1})$  and each  $g < f$  such that  $g$  forces a value on  $\dot{h}(i)$ ,  $f \cup (g \upharpoonright [n_k, n_{k+1}))$  also forces a value on  $\dot{h}(i)$ .*

*Proof.* Given any  $f$ , perform a standard fusion (see [6, 2.4] or [8, 3.4])  $f_k, n_k$  by picking  $L_k \subset [n_{k+1}, n_{k+2})$  (absorbed into  $\text{dom}(f_{k+1})$ ) so that for each partial function  $s$  on  $n_k$  which extends  $f_k \upharpoonright n_k$ , if there is some integer  $i \geq n_{k+1}$  for which no  $< n_k$ -preserving extension of  $s \cup f_k$  forces a value on  $\dot{h}(i)$ , then there is such an integer in  $\text{dom}(f_{k+1})$ . Let  $\bar{f}$  be the fusion and note that either  $\bar{f}$  forces that  $\dot{h} \upharpoonright \text{dom}(\bar{f})$  is not in  $V$ , or it forces that our sequence of  $n_k$ 's does the job. Thus, we have proven that for each  $f$ , there is such a  $\bar{f}$ , hence by genericity, there is such an  $\bar{f}$  in  $\mathfrak{F}$ .  $\square$

## 2. PROOF OF THEOREM 1.1

**Theorem 2.1** (PFA). *If  $G$  is a generic filter for  $\mathbb{P}^* = \mathbb{P}_2 \times \mathbb{P}_2$ , then there are symmetric tie-points  $x, y$  as witnessed by  $A, B$  and  $C, D$  respectively such that  $\mathbb{N}^*$  is not homeomorphic to the space  $A \underset{x=y}{\times} C$*

Assume that  $\mathbb{N}^*$  is homeomorphic to  $A \underset{x=y}{\times} C$  and that  $z$  is the  $\mathbb{P}^*$ -name of the ultrafilter that is sent (by the assumed homeomorphism) to the point  $(x, y)$  in the quotient space  $A \underset{x=y}{\times} C$ .

Further notation: let  $\{a_\alpha : \alpha \in \omega_2\}$  be the  $\mathbb{P}_2$ -names of the infinite subsets of  $\mathbb{N}$  which form the mod-finite increasing chain whose remainders in  $\mathbb{N}^*$  cover  $A \setminus \{x\}$  and, similarly let  $\{c_\alpha : \alpha \in \omega_2\}$  be the  $\mathbb{P}_2$ -names (second coordinates though) which form the chain in  $C \setminus \{y\}$ .

If we represent  $A \underset{x=y}{\times} C$  as a quotient of  $(\mathbb{N} \times 2)^*$ , we may assume that  $F$  is a  $\mathbb{P}^*$ -name of a function from  $[\mathbb{N}]^\omega$  into  $[\mathbb{N} \times 2]^\omega$  such that letting  $Z_\alpha = F^{-1}(a_\alpha \times \{0\} \cup c_\alpha \times \{1\})$  for each  $\alpha \in \omega_2$ , then  $\{Z_\alpha : \alpha \in \omega_2\}$  forms the dual ideal to  $z$ , and  $F : [Z_\alpha]^\omega \rightarrow (a_\alpha \times \{0\} \cup c_\alpha \times \{1\})^\omega$  induces the above homeomorphism from  $Z_\alpha^*$  onto  $(a_\alpha^* \times \{0\}) \cup (c_\alpha^* \times \{1\})$ .

By Corollary 1.2, we may assume that for each  $\beta \in \omega_2$ , there is a bijection  $h_\beta$  between some cofinite subset of  $Z_\beta$  and some cofinite subset of  $(a_\beta \times \{0\}) \cup (c_\beta \times \{1\})$  which induces  $F \upharpoonright [Z_\beta]^\omega$  (since we can just ignore  $Z_{\alpha_0}$  for some fixed  $\alpha_0$ ). We will use  $F \upharpoonright [Z_\beta]^\omega = h_\beta$  to mean that  $h_\beta$  induces  $F \upharpoonright [Z_\beta]^\omega$ . Note that by the assumptions, for each  $\beta \in \omega_2$ , there is a  $\gamma \in \omega_2$  such that each of  $h_\gamma^{-1}(a_\gamma) \setminus Z_\beta$  and  $h_\gamma^{-1}(c_\gamma) \setminus Z_\beta$  are infinite.

Let  $H$  be a generic filter for  $\omega_2^{<\omega_1}$ , and assume that  $\mathfrak{F} \subset \mathbb{P}^*$  is chosen as in Lemma 1.2. In this model, let us use  $\lambda$  to denote the  $\omega_2$  from  $V$ . Using the fact that  $\mathfrak{F}$  is  $\mathbb{P}^*$ -generic over  $V$ , we may treat all the functions  $h_\alpha$  ( $\alpha \in \lambda$ ) as members of  $V$  since we can take the valuation of all the  $\mathbb{P}^*$ -names using  $\mathfrak{F}$ . Assume that  $\dot{h}$  is a  $\mathbb{P}(\mathfrak{F})$ -name of a finite-to-1 function from  $\mathbb{N}$  into  $\mathbb{N} \times 2$  satisfying that  $h_\alpha \subset^* h$  for all  $\alpha \in \lambda$ . We show there is no such  $\dot{h}$ .

Since  $\mathbb{P}(\mathfrak{F})$  is  $\omega^\omega$ -bounding, there is a increasing sequence of integers  $\{n_k : k \in \omega\}$  and an  $\vec{f}_0 = (g_0, g_1) \in \mathfrak{F}$  such that

- (1) for each  $i \in [n_k, n_{k+1})$ ,  $\vec{f}_0 \Vdash_{\mathbb{P}(\mathfrak{F})} \text{“}\dot{h}(i) \in ([0, n_{k+2}) \times 2\text{”}$
- (2) for each  $i \in [n_k, n_{k+1})$ ,  $\vec{f}_0 \Vdash_{\mathbb{P}(\mathfrak{F})} \text{“}\dot{h}^{-1}(\{i\} \times 2) \subset [0, n_{k+2})\text{”}$
- (3) for each  $k$  and each  $j \in \{0, 1\}$  there is an  $m$  such that  $n_k < 2^m < 2^{m+1} < n_{k+1}$ , and  $[2^m, 2^{m+1}) \setminus \text{dom } g_j$  has at least  $k$  elements.

Choose any  $(g'_0, g'_1) = \vec{f}_1 < \vec{f}_0$  such that  $\mathbb{N} \setminus \text{dom}(g'_0) \subset \bigcup_k [n_{6k+1}, n_{6k+2})$  and  $\mathbb{N} \setminus \text{dom}(g'_1) \subset \bigcup_k [n_{6k+4}, n_{6k+5})$ . Next, choose any  $\vec{f}_2 < \vec{f}_1$  and some  $\alpha \in \lambda$  such that  $\vec{f}_2 \Vdash_{\mathbb{P}(\mathfrak{F})} \text{“}\text{dom}(g'_0) \subset^* a_\alpha \cup g'_0[a_\alpha]$  and  $\text{dom}(g'_1) \subset^* c_\alpha \cup g'_1[c_\alpha]\text{”}$ . For each  $\gamma \in \lambda$ , note that  $\vec{f}_2 \Vdash_{\mathbb{P}(\mathfrak{F})} \text{“}a_\gamma \setminus a_\alpha \subset^* \mathbb{N} \setminus \text{dom}(g'_0)\text{”}$  and similarly  $\vec{f}_2 \Vdash_{\mathbb{P}(\mathfrak{F})} \text{“}c_\gamma \setminus c_\alpha \subset^* \mathbb{N} \setminus \text{dom}(g'_1)\text{”}$ .

Now consider the two disjoint sets:  $Y_0 = \bigcup_k [n_{6k}, n_{6k+3})$  and  $Y_1 = \bigcup_k [n_{6k+3}, n_{6k+6})$ . Since  $z$  is an ultrafilter in this extension, by possibly extending  $\vec{f}_2$  even more, we may assume that there is some  $j \in \{0, 1\}$  and some  $\beta > \alpha$  such that  $\vec{f}_2 \Vdash_{\mathbb{P}(\mathfrak{F})} \text{“}Y_j \subset^* Z_\beta\text{”}$ . Without loss of generality (by symmetry) we may assume that  $j = 0$ . Consider any  $\gamma \in \lambda$ . Since we are assuming that  $h_\gamma \subset^* \dot{h}$ , we have that  $\vec{f}_2$  forces that  $h_\gamma[Z_\gamma \setminus Z_\alpha] =^* \dot{h}[Z_\gamma \setminus Z_\alpha]$ . We also have that  $\vec{f}_2 \Vdash_{\mathbb{P}(\mathfrak{F})} \text{“}\dot{h}[Y_0] \ast \supset (a_\gamma \setminus a_\alpha) \times \{0\} =^* h_\gamma[Z_\gamma \setminus Z_\alpha] \cap \mathbb{N} \times \{0\}\text{”}$ . Putting this all together, we now have that  $\vec{f}_2$  forces that  $\dot{h}[Z_\beta]$  almost contains  $(a_\gamma \setminus a_\alpha) \times \{0\}$  for all  $\gamma \in \lambda$ ; which clearly contradicts that  $\dot{h}[Z_\beta]$  is supposed to be almost equal to  $h_\beta[Z_\beta]$ .

So now what? Well, let  $H_2$  be a generic filter for  $\mathbb{P}(\mathfrak{F})$  and consider the family of functions  $\mathcal{H}_\lambda = \{h_\alpha : \alpha \in \lambda\}$  which we know does not have a common finite-to-1 extension.

Before proceeding, we need to show that  $\mathcal{H}_\lambda$  does not have any extension  $h$ . If  $\dot{h}$  is any  $\mathbb{P}(\mathfrak{F})$ -name of a function for which it is forced that  $h_\alpha \subset^* \dot{h}$  for all  $\alpha \in \lambda$ , then there is some  $\ell \in \mathbb{N}$  such that  $\dot{Y} = h^{-1}(h(\ell))$  is (forced to be) infinite. It follows easily that  $\dot{Y}$  is forced to be almost contained in every member of  $z$ . By Lemma 1.2 this cannot happen. Therefore the family  $\mathcal{H}_\lambda$  does not have any common extension.

Given such a family as  $\mathcal{H}_\lambda$ , there is a well-known proper poset  $Q_1$  (see [1, 3.1], [3, 2.2.1], and [10, p9]) which will force an uncountable cofinal  $I \subset \lambda$  and a collection of integers  $\{k_{\alpha,\beta} : \alpha < \beta \in I\}$  satisfying that  $h_\alpha(k_{\alpha,\beta}) \neq h_\beta(k_{\alpha,\beta})$  (and both are defined) for  $\alpha < \beta \in I$ . So, let  $\dot{Q}_1$  be the  $\omega_2^{<\omega} * \mathbb{P}(\mathfrak{F})$ -name of the above mentioned poset. In addition, let  $\dot{\varphi}$  be the  $\omega_2^{<\omega} * \mathbb{P}(\mathfrak{F}) * \dot{Q}_1$ -name of the enumerating function from  $\omega_1$  onto  $I$ , and let  $\dot{k}_{\alpha,\beta}$  (for  $\alpha < \beta \in \omega_2$ ) be the name of the integer  $k_{\dot{\varphi}(\alpha), \dot{\varphi}(\beta)}$ . Thus for each  $\alpha < \beta \in \omega_1$ , there is a dense set  $D(\alpha, \beta) \subset \omega_2^{<\omega} * \mathbb{P}(\mathfrak{F}) * \dot{Q}_1$  such that for each member  $p$  of  $D(\alpha, \beta)$ , there are functions  $h_\alpha, h_\beta$  in  $V$  and sets  $Z_\alpha = \text{dom}(h_\alpha), Z_\beta = \text{dom}(h_\beta)$  and integers  $k = k(\alpha, \beta) \in Z_\alpha \cap Z_\beta$  such that

$$p \Vdash_{\omega_2^{<\omega} * \mathbb{P}(\mathfrak{F}) * \dot{Q}_1} "F \upharpoonright [Z_\alpha]^\omega = h_\alpha, F \upharpoonright [Z_\beta]^\omega = h_\beta, h_\alpha(k) \neq h_\beta(k)".$$

Finally, let  $\dot{Q}_2$  be the  $\omega_2^{<\omega} * \mathbb{P}(\mathfrak{F}) * \dot{Q}_1$ -name of the  $\sigma$ -centered poset which forces an element  $\vec{f} \in \mathbb{P}^*$  which is below every member of  $\mathfrak{F}$ . Again, there is a countable collection of dense subsets of the proper poset  $\omega_2^{<\omega} * \mathbb{P}(\mathfrak{F}) * \dot{Q}_1 * \dot{Q}_2$  which determine the values of  $\vec{f}$ .

Applying PFA to the above proper poset and the family of  $\omega_1$  mentioned dense sets, we find there is a sequence  $\{h'_\alpha, Z'_\alpha : \alpha \in \omega_1\}$ , integers  $\{k_{\alpha,\beta} : \alpha < \beta \in \omega_1\}$ , and a condition  $\vec{f} \in \mathbb{P}^*$  such that, for all  $\alpha < \beta$  and  $k = k(\alpha, \beta)$ ,

$$\vec{f} \Vdash_{\mathbb{P}^*} "F \upharpoonright [Z'_\alpha]^\omega = h'_\alpha, F \upharpoonright [Z'_\beta]^\omega = h'_\beta, h'_\alpha(k) \neq h'_\beta(k)".$$

But, we also know that we can choose  $\vec{f}$  so that there is some  $\lambda \in \omega_2$ , and some  $h_\lambda, Z_\lambda$  such that, for all  $\alpha \in \omega_1$ ,  $Z'_\alpha \subset^* Z_\lambda$  and  $F \upharpoonright [Z_\lambda]^\omega = h_\lambda$ .

It follows of course that for all  $\alpha \in \omega_1$ , there is some  $n_\alpha$  such that  $h'_\alpha \upharpoonright [n_\alpha, \omega) \subset h_\lambda$ . Let  $J \in [\omega_1]^{\omega_1}$ ,  $n \in \omega$ , and  $h'$  a function with  $\text{dom}(h') \subset n$  such that  $n_\alpha = n$  and  $h'_\alpha \upharpoonright n = h'$  for all  $\alpha \in J$ . We now have a contradiction since if  $\alpha < \beta \in J$  then clearly  $k = k(\alpha, \beta) \geq n$  and this contradicts that  $h'_\alpha(k)$  and  $h'_\beta(k)$  are both supposed to equal  $h_\lambda(k)$ .

### 3. PROOF OF THEOREM 1.2

**Theorem 3.1** (PFA). *If  $G$  is a generic filter for  $\mathbb{P}_1$ , then a tie-point  $x$  is introduced such that  $\tau(x) = 2$  and with  $\mathbb{N}^* = A \times_x B$ , neither  $A$  nor  $B$  is a homeomorph of  $\mathbb{N}^*$ . In addition, there is no involution  $F$  on  $\mathbb{N}^*$  which has a unique fixed point, and so, no tie-point is symmetric.*

Assume that  $V$  is a model of PFA and that  $\mathbb{P} = \mathbb{P}_1$ . The elements of  $\mathbb{P}$  are partial functions  $f$  from  $\mathbb{N}$  into 2 which also satisfy that  $\limsup_{n \in \mathbb{N}} |2^{n+1} \setminus (2^n \cup \text{dom}(f))| = \infty$ . The ordering on  $\mathbb{P}$  is that



$f < g$  ( $f, g \in \mathbb{P}$ ) if  $g \subset^* f$ . For each  $f \in \mathbb{P}$ , let  $a_f = f^{-1}(0)$  and  $b_f = f^{-1}(1)$ .

Again we assume that  $\{a_\alpha : \alpha \in \omega_2\}$  is the sequence of  $\mathbb{P}$ -names satisfying that  $\mathbb{N}^* = A \underset{x}{\times} B$  and  $A \setminus \{x\} = \bigcup \{a_\alpha^* : \alpha \in \omega_2\}$ . Of course by this we mean that for each  $f \in G$ , there are  $\alpha \in \omega_2$ ,  $a \in [\mathbb{N}]^\omega$ , and  $f_1 \in G$  such that  $a_f \subset^* a \subset a_{f_1}$  and  $f_1 \Vdash_{\mathbb{P}} \check{a} = a_\alpha$ .

Next we assume that, if  $A$  is homeomorphic to  $\mathbb{N}^*$ , then  $F$  is a  $\mathbb{P}$ -name of a homeomorphism from  $\mathbb{N}^*$  to  $A$  and let  $z$  denote the point in  $\mathbb{N}^*$  which  $F$  sends to  $x$ . Also, let  $Z_\alpha$  be the  $\mathbb{P}$ -name of  $F^{-1}[a_\alpha]$  and recall that  $\mathbb{N}^* \setminus \{z\} = \bigcup \{Z_\alpha^* : \alpha \in \omega_2\}$ . As above, we may also assume that for each  $\alpha \in \omega_2$ , there is a  $\mathbb{P}$ -name of a function  $h_\alpha$  with  $\text{dom}(h_\alpha) = Z_\alpha$  such that  $F \upharpoonright [Z_\alpha]^\omega$  is induced by  $h_\alpha$ .

Furthermore if  $\tau(x) > 2$ , then one of  $A \setminus \{x\}$  or  $B \setminus \{x\}$  can be partitioned into disjoint clopen non-compact sets. We may assume that it is  $A \setminus \{x\}$  which can be so partitioned. Therefore there is some sequence  $\{c_\alpha : \alpha \in \omega_2\}$  of  $\mathbb{P}$ -names such that for each  $\alpha < \beta \in \omega_2$ ,  $c_\beta \subset a_\beta$  and  $c_\beta \cap a_\alpha =^* c_\alpha$ . In addition, for each  $\alpha < \omega_2$  there must be a  $\beta \in \omega_2$  such that  $c_\beta \setminus a_\alpha$  and  $a_\beta \setminus (c_\beta \cup a_\alpha)$  are both infinite.

Now assume that  $H$  is  $\omega_2^{<\omega_1}$ -generic and again choose a sequence  $\mathfrak{F} \subset \mathbb{P}$  which is  $V$ -generic for  $\mathbb{P}$  and which forces that  $\mathbb{P}(\mathfrak{F})$  is ccc and  $\omega^\omega$ -bounding. For the rest of the proof we work in the model  $V[H]$  and we again let  $\lambda$  denote the ordinal  $\omega_2^V$ .

In the case of  $\mathbb{P}_1$  we are able to prove a significant strengthening of Lemma 1.3.

**Lemma 3.1.** *Assume that  $\dot{h}$  is a  $\mathbb{P}(\mathfrak{F})$ -name of a function from  $\mathbb{N}$  to  $\mathbb{N}$ . Either there is an  $f \in \mathfrak{F}$  and such that  $f \Vdash_{\mathbb{P}(\mathfrak{F})} \check{h} \upharpoonright \text{dom}(f) \notin V$ , or there is an  $f \in \mathfrak{F}$  and an increasing sequence  $m_1 < m_2 < \dots$  of integers such that  $\mathbb{N} \setminus \text{dom}(f) = \bigcup_k S_k$  where  $S_k \subset 2^{m_{k+1}} \setminus 2^{m_k}$  and for each  $i \in S_k$  the condition  $f \cup \{(i, 0)\}$  forces a value on  $\dot{h}(i)$ .*

*Proof.* First we choose  $f_0 \in \mathfrak{F}$  and some increasing sequence  $n_0 < n_1 < \dots < n_k < \dots$  as in Lemma 1.3. We may choose, for each  $k$ , an  $m_k$  such that  $n_k \leq 2^{m_k} < 2^{m_{k+1}} \leq n_{k+1}$  such that  $\limsup_k |2^{m_{k+1}} \setminus (2^{m_k} \cup \text{dom}(f_0))| = \infty$ . For each  $k$ , let  $S_k^0 = 2^{m_{k+1}} \setminus (2^{m_k} \cup \text{dom}(f_0))$ . By re-indexing we may assume that  $|S_k^0| \geq k$ , and we may arrange that  $\mathbb{N} \setminus \text{dom}(f_0)$  is equal to  $\bigcup_k S_k^0$  and set  $L_0 = \mathbb{N}$ . For each  $k \in L_0$ , let  $i_k^0 = \min S_k^0$  and choose any  $f_1' < f_0$  such that (by definition of  $\mathbb{P}$ )  $I_0 = \{i_k^0 : k \in L_0\} \subset (f_1')^{-1}(0)$  and (by assumption on  $\dot{h}$ )  $f_1'$  forces a value on  $\dot{h}(i_k^0)$  for each  $k \in L_0$ . Set  $f_1 = f_1' \upharpoonright (\mathbb{N} \setminus I_0)$  and for each  $k \in L_0$ , let  $S_k^1 = S_k^0 \setminus (\{i_k^0\} \cup \text{dom}(f_1))$ . By further extending  $f_1$  we may also assume that  $f_1 \cup \{(i_k^0, 1)\}$  also forces a value on  $\dot{h}(i_k^0)$ . Choose

$L_1 \subset L_0$  such that  $\lim_{k \in L_1} |S_k^1| = \infty$ . Notice that each member of  $i_k^0$  is the minimum element of  $S_k^1$ . Again, we may extend  $f_1$  and assume that  $\mathbb{N} \setminus \text{dom}(f_1)$  is equal to  $\bigcup_{k \in L_1} S_k^1$ . Suppose now we have some infinite  $L_j$ , some  $f_j$ , and for  $k \in L_j$ , an increasing sequence  $\{i_k^0, i_k^1, \dots, i_k^{j-1}\} \subset S_k^0$ . Assume further that

$$S_k^j \cup \{i_k^\ell : \ell < j\} = S_k^0 \setminus \text{dom}(f_j)$$

and that  $\lim_{k \in L_j} |S_k^j| = \infty$ . For each  $k \in L_j$ , let  $i_k^j = \min(S_k^j \setminus \{i_k^\ell : \ell < j\})$ . By a simple recursion of length  $2^j$ , there is an  $f_{j+1} < f_j$  such that, for each  $k \in L_j$ ,  $\{i_k^\ell : \ell \leq j\} \subset S_k^0 \setminus \text{dom}(f_{j+1})$  and for each function  $s$  from  $\{i_k^\ell : \ell \leq j\}$  into 2, the condition  $f_{j+1} \cup s$  forces a value on  $\dot{h}(i_k^j)$ . Again find  $L_{j+1} \subset L_j$  so that  $\lim_{k \in L_{j+1}} |S_k^{j+1}| = \infty$  (where  $S_k^{j+1} = S_k^0 \setminus \text{dom}(f_{j+1})$ ) and extend  $f_{j+1}$  so that  $\mathbb{N} \setminus \text{dom}(f_{j+1})$  is equal to  $\bigcup_{k \in L_{j+1}} S_k^{j+1}$ .

We are half-way there. At the end of this fusion, the function  $\bar{f} = \bigcup_j f_j$  is a member of  $\mathbb{P}$  because for each  $j$  and  $k \in L_{j+1}$ ,  $2^{m_k+1} \setminus (2^{m_k} \cup \text{dom}(\bar{f})) \supset \{i_k^0, \dots, i_k^j\}$ . For each  $k$ , let  $\bar{S}_k = S_k^0 \setminus \text{dom}(\bar{f})$  and, by possibly extending  $\bar{f}$ , we may again assume that there is some  $L$  such that  $\lim_{k \in L} |\bar{S}_k| = \infty$  and that, for  $k \in L$ ,  $\bar{S}_k = \{i_k^0, i_k^1, \dots, i_k^{j_k}\}$  for some  $j_k$ . What we have proven about  $\bar{f}$  is that it satisfies that for each  $k \in L$  and each  $j < j_k$  and each function  $s$  from  $\{i_k^0, \dots, i_k^{j-1}\}$  to 2,  $\bar{f} \cup s \cup (i_k^j, 0)$  forces a value on  $\dot{h}(i_k^j)$ .

To finish, simply repeat the process except this time choose maximal values and work down the values in  $\bar{S}_k$ . Again, by genericity of  $\mathfrak{F}$ , there must be such a condition as  $\bar{f}$  in  $\mathfrak{F}$ .  $\square$

Returning to the proof of Theorem 3.1, we are ready to use Lemma 3.1 to show that forcing with  $\mathbb{P}(\mathfrak{F})$  will not introduce undesirable functions  $h$  analogous to the argument in Theorem 1.1. Indeed, assume that we are in the case that  $F$  is a homeomorphism from  $\mathbb{N}^*$  to  $A$  as above, and that  $\{h_\alpha : \alpha \in \lambda\}$  is the family of functions as above. If we show that  $\dot{h}$  does not satisfy that  $h_\alpha \subset^* \dot{h}$  for each  $\alpha \in \lambda$ , then we proceed just as in Theorem 1.1. By Lemma 3.1, we have the condition  $f_0 \in \mathfrak{F}$  and the sequence  $S_k$  ( $k \in \mathbb{N}$ ) such that  $\mathbb{N} \setminus \text{dom}(f_0) = \bigcup_k S_k$  and that for each  $i \in \bigcup_k S_k$ ,  $f_0 \cup \{(i, 0)\}$  forces a value (call it  $\bar{h}(i)$ ) on  $\dot{h}(i)$ . Therefore,  $\bar{h}$  is a function with domain  $\bigcup_k S_k$  in  $V$ . It suffices to find a condition in  $\mathbb{P}$  below  $f_0$  which forces that there is some  $\alpha$  such that  $h_\alpha$  is not extended by  $\dot{h}$ . It is useful to note that if  $Y \subset \bigcup_k S_k$  is such that  $\limsup |S_k \setminus Y|$  is infinite, then for any function  $g \in 2^Y$ ,  $f_0 \cup g \in \mathbb{P}$ .

We first check that  $\bar{h}$  is 1-to-1 on a cofinite subset. If not, there is an infinite set of pairs  $E_j \subset \bigcup_k \bar{S}_k$ ,  $\bar{h}[E_j]$  is a singleton and such that for each  $k$ ,  $\bar{S}_k \cap \bigcup_j E_j$  has at most two elements. If  $g$  is the function with  $\text{dom}(g) = \bigcup_j E_j$  which is constantly 0, then  $f_0 \cup g$  forces that  $\dot{h}$  agrees with  $\bar{h}$  on  $\text{dom}(g)$  and so is not 1-to-1. On the other hand, this contradicts that there is  $f_1 < f_0 \cup g$  such that for some  $\alpha \in \omega_2$ ,  $a_\alpha$  almost contains  $(f_0 \cup g)^{-1}(0)$  and the 1-to-1 function  $h_\alpha$  with domain  $a_\alpha$  is supposed to also agree with  $\dot{h}$  on  $\text{dom}(g)$ .

But now that we know that  $\bar{h}$  is 1-to-1 we may choose any  $f_1 \in \mathfrak{F}$  such that  $f_1 < f_0$  and such that there is an  $\alpha \in \omega_2$  with  $f_0^{-1}(0) \subset a_\alpha \subset f_1^{-1}(0)$ , and  $f_1$  has decided the function  $h_\alpha$ . Let  $Y$  be any infinite subset of  $\mathbb{N} \setminus \text{dom}(f_1)$  which meets each  $\bar{S}_k$  in at most a single point. If  $\bar{h}[Y]$  meets  $Z_\alpha$  in an infinite set, then choose  $f_2 < f_1$  so that  $f_2[Y] = 0$  and there is a  $\beta > \alpha$  such that  $Y \subset a_\beta$ . In this case we will have that  $f_2$  forces that  $Y \subset a_\beta \setminus a_\alpha$ ,  $\dot{h} \upharpoonright Y \subset^* h_\beta$ , and  $h_\beta[Y] \cap h_\beta[a_\alpha]$  is infinite (contradicting that  $h_\beta$  is 1-to-1). Therefore we must have that  $\bar{h}[Y]$  is almost disjoint from  $Z_\alpha$ . Instead consider  $f_2 < f_1$  so that  $f_2[Y] = 1$ . By extending  $f_2$  we may assume that there is a  $\beta < \omega_2$  such that  $f_2 \Vdash_{\mathbb{P}(\mathfrak{F})}$  “ $Z_\beta \cap \bar{h}[Y]$  is infinite”. However, since  $f_2 \Vdash_{\mathbb{P}(\mathfrak{F})}$  “ $h_\beta \subset^* \dot{h}$ ”, we also have that  $f_2 \Vdash_{\mathbb{P}(\mathfrak{F})}$  “ $h_\beta \upharpoonright (a_\beta \setminus a_\alpha) \subset^* \bar{h}$  and  $(a_\beta \setminus a_\alpha) \cap Y =^* \emptyset$ ” contradicting that  $\bar{h}$  is 1-to-1 on  $\text{dom}(f_2) \setminus \text{dom}(f_0)$ . This finishes the proof that there is no  $\mathbb{P}(\mathfrak{F})$  name of a function extending all the  $h_\alpha$ 's ( $\alpha \in \lambda$ ) and the proof that  $F$  can not exist continues as in Theorem 1.1.

Next assume that we have a family  $\{c_\alpha : \alpha \in \lambda\}$  as described above and suppose that  $C = \dot{h}^{-1}(0)$  satisfies that (it is forced)  $C \cap a_\alpha =^* c_\alpha$  for all  $\alpha \in \lambda$ . If we can show there is no such  $\dot{h}$ , then we will know that in the extension obtained by forcing with  $\mathbb{P}(\mathcal{F})$ , the collection  $\{(c_\alpha, (a_\alpha \setminus c_\alpha)) : \alpha \in \lambda\}$  forms an  $(\omega_1, \omega_1)$ -gap and we can use a proper poset  $Q_1$  to “freeze” the gap. Again, meeting  $\omega_1$  dense subsets of the iteration  $\omega_2^{<\omega_1} * \mathbb{P}(\mathcal{F}) * Q_1 * Q_2$  (where  $Q_2$  is the  $\sigma$ -centered poset as in Theorem 1.1) introduces a condition  $f \in \mathbb{P}$  which forces that  $c_\lambda$  will not exist. So, given our name  $\dot{h}$ , we repeat the steps above up to the point where we have  $f_0$  and the sequence  $\{S_k : k \in \mathbb{N}\}$  so that  $f_0 \cup \{(i, 0)\}$  forces a value  $\bar{h}(i)$  on  $\dot{h}(i)$  for each  $i \in \bigcup_k S_k$  and  $\mathbb{N} \setminus \text{dom}(f_0) = \bigcup_k S_k$ . Let  $Y = \bar{h}^{-1}(0)$  and  $Z = \bar{h}^{-1}(1)$  (of course we may assume that  $\bar{h}(i) \in 2$  for all  $i$ ). Since  $x$  is forced to be an ultrafilter, there is an  $f_1 < f_0$  such that  $\text{dom}(f_1)$  contains one of  $Y$  or  $Z$ . If  $\text{dom}(f_1)$  contains  $Y$ , then  $f_1$  forces that  $\dot{h}[a_\beta \setminus \text{dom}(f_1)] = 1$  and so  $(a_\beta \setminus \text{dom}(f_1)) \subset^* (\mathbb{N} \setminus C)$  for all  $\beta \in \omega_2$ . While if  $\text{dom}(f_1)$  contains

$Z$ , then  $f_1$  forces that  $\dot{h}[a_\beta \setminus \text{dom}(f_1)] = 0$ , and so  $(a_\beta \setminus \text{dom}(f_1)) \subset^* C$  for all  $\beta \in \omega_2$ . However, taking  $\beta$  so large that each of  $c_\beta \setminus \text{dom}(f_1)$  and  $(a_\beta \setminus (c_\beta \cup \text{dom}(f_1)))$  are infinite shows that no such  $\dot{h}$  exists.

Finally we show that there are no involutions on  $\mathbb{N}^*$  which have a unique fixed point. Assume that  $F$  is such an involution and that  $z$  is the unique fixed point of  $F$ . Applying Corollaries 1.2 and 1.3, we may assume that  $\mathbb{N}^* \setminus \{z\} = \bigcup_{\alpha \in \omega_2} Z_\alpha^*$  and that for each  $\alpha$ ,  $F \upharpoonright Z_\alpha^*$  is induced by an involution  $h_\alpha$ .

Again let  $H$  be  $\omega_2^{<\omega_1}$ -generic,  $\lambda = \omega_2^V$ , and  $\mathfrak{F} \subset \mathbb{P}_1$  be  $\mathbb{P}_1$ -generic over  $V$ . Assume that  $\dot{h}$  is a  $\mathbb{P}(\mathcal{F})$ -name of a function from  $\mathbb{N}$  into  $\mathbb{N}$ . It suffices to show that no  $f \in \mathfrak{F}$  forces that  $\dot{h}$  mod finite extends each  $h_\alpha$  ( $\alpha \in \lambda$ ).

At the risk of being too incomplete, we leave to the reader the fact that Lemma 1.3 can be generalized to show that there is an  $f \in \mathfrak{F}$  such that either  $f \Vdash_{\mathbb{P}_1} \text{“}\dot{h} \upharpoonright Z_\alpha \notin V\text{”}$ , or there is a sequence  $\{n_k : k \in \mathbb{N}\}$  as before. This is simply due to the fact that the  $\mathbb{P}_1$ -name of the ultrafilter  $x_1$  can be replaced by any  $\mathbb{P}_1$ -name of an ultrafilter on  $\mathbb{N}$ . Similarly, Lemma 3.1 can be generalized in this setting to establish that there must be an  $f \in \mathfrak{F}$  and a sequence of sets  $\{m_k, S_k, T_k : k \in K \in [\mathbb{N}]^\omega\}$  with bijections  $\psi : S_k \rightarrow T_k$  such that  $S_k \subset (2^{m_k+1} \setminus 2^{m_k}) \subset [n_k, n_{k+1})$ ,  $T_k \subset [n_k, n_{k+1})$ ,  $\mathbb{N} \setminus \text{dom}(f) \subset \bigcup_k S_k$ , and for each  $k$  and  $i \in S_k$  and  $\bar{f} < f$   $\bar{f}$  forces a value on  $\dot{h}(\psi(i))$  iff  $i \in \text{dom}(\bar{f})$ . The difference here is that we may have that  $f \Vdash_{\mathbb{P}_1} \text{“}\text{dom}(f) \subset Z_\alpha\text{”}$ , but there will be some values of  $\dot{h}$  not yet decided since  $V[H]$  does not have a function extending all the  $h_\alpha$ 's. Set  $\Psi = \bigcup \psi$  which is a 1-to-1 function.

The contradiction now is that there will be some  $f' < f$  such that  $f' \Vdash_{\mathbb{P}_1} \text{“}\Psi^*(x) \neq z\text{”}$  (because we know that  $x$  is not a tie-point). Therefore we may assume that  $\Psi(\text{dom}(f') \cap \text{dom}(\Psi))$  is a member of  $z$  and so that  $\Psi(\text{dom}(\Psi) \setminus \text{dom}(f'))$  is not a member of  $z$ . By assumption, there is some  $\bar{f} < f'$  and an  $\alpha \in \lambda$  such that  $\bar{f} \Vdash_{\mathbb{P}_1} \text{“}\Psi(\text{dom}(\Psi) \setminus \text{dom}(f')) \subset Z_\alpha\text{”}$ . However this implies  $\bar{f}$  forces that  $\dot{h}(\Psi(i)) = h_\alpha(\Psi(i))$  for almost all  $i \in \bigcup_k S_k \setminus \text{dom}(\bar{f})$ , contradicting that  $\bar{f}$  does not force a value on  $\dot{h}(\Psi(i))$  for all  $i \notin \bar{f}$ .

#### 4. QUESTIONS

**Question 4.1.** Assume PFA. If  $G$  is  $\mathbb{P}_2$ -generic, and  $\mathbb{N}^* = A \underset{x}{\times} B$  is the generic tie-point introduced by  $\mathbb{P}_2$ , is it true that  $A$  is not homeomorphic to  $\mathbb{N}^*$ ? Is it true that  $\tau(x) = 2$ ? Is it true that each tie-point is a symmetric tie-point?

*Remark 1.* The tie-point  $x_3$  introduced by  $\mathbb{P}_3$  does not satisfy that  $\tau(x_3) = 3$ . This can be seen as follows. For each  $f \in \mathbb{P}_3$ , we can partition  $\min(f)$  into  $\{i \in \text{dom}(f) : i < f(i) < f^2(i)\}$  and  $\{i \in \text{dom}(f) : i < f^2(i) < f(i)\}$ .

It seems then that the tie-points  $x_\ell$  introduced by  $\mathbb{P}_\ell$  might be better characterized by the property that there is an autohomeomorphism  $F_\ell$  of  $\mathbb{N}^*$  satisfying that  $\text{fix}(F_\ell) = \{x_\ell\}$ , and each  $y \in \mathbb{N}^* \setminus \{x\}$  has an orbit of size  $\ell$ .

*Remark 2.* A small modification to the poset  $\mathbb{P}_2$  will result in a tie-point  $\mathbb{N}^* = A \underset{x}{\times} B$  such that  $A$  (hence the quotient space by the associated involution) is homeomorphic to  $\mathbb{N}^*$ . The modification is to build into the conditions a map from the pairs  $\{i, f(i)\}$  into  $\mathbb{N}$ . A natural way to do this is the poset  $f \in \mathbb{P}_2^+$  if  $f$  is a 2-to-1 function such that for each  $n$ ,  $f$  maps  $\text{dom}(f) \cap (2^{n+1} \setminus 2^n)$  into  $2^n \setminus 2^{n-1}$ , and again  $\limsup_n |2^{n+1} \setminus (\text{dom}(f) \cup 2^n)| = \infty$ .  $\mathbb{P}_2^+$  is ordered by almost containment. The generic filter introduces an  $\omega_2$ -sequence  $\{f_\alpha : \alpha \in \omega_2\}$  and two ultrafilters:  $x \supset \{\mathbb{N} \setminus \text{dom}(f_\alpha) : \alpha \in \omega_2\}$  and  $z \supset \{\mathbb{N} \setminus \text{range}(f_\alpha) : \alpha \in \omega_2\}$ . For each  $\alpha$  and  $a_\alpha = \min(f_\alpha) = \{i \in \text{dom}(f_\alpha) : i = \min(f_\alpha^{-1}(f_\alpha(i)))\}$ , we set  $A = \{x\} \cup \bigcup_\alpha a_\alpha^*$  and  $B = \{x\} \cup \bigcup_\alpha (\text{dom}(f_\alpha) \setminus a_\alpha)^*$ , and we have that  $\mathbb{N}^* = A \underset{x}{\times} B$  is a symmetric tie-point. Finally, we have that  $F : A \rightarrow \mathbb{N}^*$  defined by  $F(x) = z$  and  $F \upharpoonright A \setminus \{x\} = \bigcup_\alpha (f_\alpha)^*$  is a homeomorphism.

**Question 4.2.** Assume PFA. If  $L$  is a finite subset of  $\mathbb{N}$  and  $\mathbb{P}_L = \prod\{\mathbb{P}_\ell : \ell \in L\}$ , is it true that in  $V[G]$  that if  $x$  is tie-point, then  $\tau(x) \in L$ ; and if  $1 \notin L$ , then every tie-point is a symmetric tie-point?

## REFERENCES

- [1] Alan Dow, Petr Simon, and Jerry E. Vaughan, *Strong homology and the proper forcing axiom*, Proc. Amer. Math. Soc. **106** (1989), no. 3, 821–828. MR MR961403 (90a:55019)
- [2] Alan Dow and Geta Techanie, *Two-to-one continuous images of  $\mathbb{N}^*$* , Fund. Math. **186** (2005), no. 2, 177–192. MR MR2162384 (2006f:54003)
- [3] Ilijas Farah, *Analytic quotients: theory of liftings for quotients over analytic ideals on the integers*, Mem. Amer. Math. Soc. **148** (2000), no. 702, xvi+177. MR MR1711328 (2001c:03076)
- [4] Ronnie Levy, *The weight of certain images of  $\omega$* , Topology Appl. **153** (2006), no. 13, 2272–2277. MR MR2238730 (2007e:54034)
- [5] S. Shelah and J. Steprāns. Non-trivial homeomorphisms of  $\beta\mathbb{N} \setminus \mathbb{N}$  without the Continuum Hypothesis. *Fund. Math.*, 132:135–141, 1989.
- [6] S. Shelah and J. Steprāns. Somewhere trivial autohomeomorphisms. *J. London Math. Soc. (2)*, 49:569–580, 1994.

- [7] Saharon Shelah and Juris Steprāns, *Martin's axiom is consistent with the existence of nowhere trivial automorphisms*, Proc. Amer. Math. Soc. **130** (2002), no. 7, 2097–2106 (electronic). MR 1896046 (2003k:03063)
- [8] Juris Steprāns, *The autohomeomorphism group of the Čech-Stone compactification of the integers*, Trans. Amer. Math. Soc. **355** (2003), no. 10, 4223–4240 (electronic). MR 1990584 (2004e:03087)
- [9] B. Velickovic. Definable automorphisms of  $\mathcal{P}(\omega)/\text{fin}$ . *Proc. Amer. Math. Soc.*, 96:130–135, 1986.
- [10] Boban Veličković. OCA and automorphisms of  $\mathcal{P}(\omega)/\text{fin}$ . *Topology Appl.*, 49(1):1–13, 1993.

DEPARTMENT OF MATHEMATICS, RUTGERS UNIVERSITY, HILL CENTER, PISCATAWAY, NEW JERSEY, U.S.A. 08854-8019

*Current address:* Institute of Mathematics, Hebrew University, Givat Ram, Jerusalem 91904, Israel

*Email address:* `shelah@math.rutgers.edu`