

“On the Strong Equality between Supercompactness and Strong

by

Arthur W. Apter*
Department of Mathematics
Baruch College of CUNY
New York, New York 10010

and

Saharon Shelah**
Department of Mathematics
The Hebrew University
Jerusalem, Israel

and

Department of Mathematics
Rutgers University
New Brunswick, New Jersey 08904

February 19, 1995

Abstract: We show that supercompactness and strong compactness can be characterized as properties of pairs of regular cardinals. Specifically, we show that if $V \models \text{ZFC} + \text{GCH}$ is a model (which in interesting cases contains instances of supercompactness) for $\kappa \leq \lambda$ regular, if $V \models \text{“}\kappa \text{ is } \lambda \text{ supercompact”}$, then $V[G] \models \text{“}\kappa \text{ is } \lambda \text{ strongly compact”}$, so that, (b) (equivalence) for $\kappa \leq \lambda$ regular, $V[G] \models \text{“}\kappa \text{ is } \lambda \text{ strongly compact”}$ if and only if $V \models \text{“}\kappa \text{ is } \lambda \text{ supercompact”}$, except possibly if κ is a measurable limit of cardinals which

*The research of the first author was partially supported by PSC-CUNY salary grant from Tel Aviv University. In addition, the first author wishes to thank the Mathematics Departments of Hebrew University and Tel Aviv University for the

It is a well known fact that the notion of strongly compact cardinal singularity in the hierarchy of large cardinals. The work of Magidor [Ma] the least strongly compact cardinal and the least supercompact cardinal also, the least strongly compact cardinal and the least measurable cardinal. The work of Kimchi and Magidor [KiM] generalizes this, showing that the compact cardinals and the class of supercompact cardinals can coincide (e.g. of Menas [Me] and [A] at certain measurable limits of supercompact cardinals n strongly compact cardinals (for n a natural number) and the first n measurable cardinals can coincide. Thus, the precise identity of certain members of the class of strongly compact cardinals cannot be ascertained vis à vis the class of measurable cardinals and supercompact cardinals.

An interesting aspect of the proofs of both [Ma] and [KiM] is that not all “bad” instances of strong compactness are not obliterated. Specifically, since the strategy employed in destroying strongly compact cardinals via supercompact is to make them non-strongly compact after a certain point of a Prikry sequence or a non-reflecting stationary set of ordinals of the approach, there may be cardinals κ and λ so that κ is λ strongly compact yet κ isn't λ supercompact. Thus, whereas it was proven by Kimchi and Magidor that the classes of strongly compact and supercompact cardinals can coincide (with the exceptions noted above).

cally, we prove the following

THEOREM. *Suppose $V \models ZFC + GCH$ is a given model (which in interesting instances of supercompactness). There is then some cardinal and cofinality generic extension $V[G] \models ZFC + GCH$ in which:*

(a) (Preservation) *For $\kappa \leq \lambda$ regular, if $V \models$ “ κ is λ supercompact”, then $V[G] \models$ “ κ is λ supercompact”. The converse implication holds except possibly when $\kappa = \lambda$ supercompact}.*

(b) (Equivalence) *For $\kappa \leq \lambda$ regular, $V[G] \models$ “ κ is λ strongly compact” is λ supercompact”, except possibly if κ is a measurable limit of cardinals λ supercompact.*

Note that the limitation given in (b) above is reasonable, since trivially if κ is measurable, $\kappa < \lambda$, and $\kappa = \sup\{\delta < \kappa : \delta \text{ is either } \lambda \text{ supercompact or } \lambda \text{ strongly compact}\}$, then κ is λ strongly compact. Further, it is a theorem of Menas [Me] that if κ is a measurable limit of cardinals λ supercompact or κ^+ strongly compact or κ^+ supercompact, κ is κ^+ strongly compact yet κ is not κ^+ supercompact. Thus, if there are sufficiently large cardinals in the universe, it will not be possible to have a complete coincidence between the notions of κ being λ strongly compact and κ being λ supercompact for λ a regular cardinal.

supercompact iff κ is λ^+ supercompact, so automatically, by clause (a) of our Theorem, κ is λ^+ supercompact iff κ is λ^+ strongly compact. Also, if $\lambda > \kappa$ is so, then by a theorem of Solovay [SRK], κ is λ strongly compact iff κ is λ^+ strongly compact, so by clause (b) of our Theorem, it can never be the case that $V[G] \models \text{“}\kappa \text{ is } \lambda \text{ strongly compact”}$ unless $V[G] \models \text{“}\kappa \text{ is } \lambda \text{ supercompact”}$ as well. Further, if $\lambda > \kappa$ and $\text{cof}(\lambda) \geq \kappa$, then it is not too difficult to see (and will be shown in Section 2) that if κ is λ' strongly compact or λ' supercompact for all $\lambda' < \lambda$, then κ is λ strongly compact and there is no reason to believe κ must be λ supercompact. In fact, Magidor [Ma4] (irrespective of GCH) that if μ is a supercompact cardinal, there are always be many cardinals $\kappa, \lambda < \mu$ so that $\lambda > \kappa$ is a singular cardinal of cofinality κ . If κ is λ strongly compact, κ is λ' supercompact for all $\lambda' < \lambda$, yet κ isn't λ supercompact. Thus, there can never be a complete coincidence between the notions of κ being λ strongly compact and κ being λ supercompact if $\lambda > \kappa$ is an arbitrary cardinal, assuming κ is a supercompact cardinal in the universe.

The structure of this paper is as follows. Section 0 contains our introduction and preliminary material concerning notation, terminology, etc. Section 1 discusses the basic properties of the forcing notion used in the iteration and how to construct our final model. Section 2 gives a complete statement and proof of our Theorem of Magidor mentioned in the above paragraph and proves our Theorem

mation. Essentially, our notation and terminology are standard, and when in doubt, this will be clearly noted. We take this opportunity to mention we will assume GCH throughout the course of this paper. For $\alpha < \beta$ ordinals, $[\alpha, \beta]$, $[\alpha, \beta)$, (α, β) are as in standard interval notation. If f is the characteristic function of a set x , then $x = \{\beta : f(\beta) = 1\}$.

When forcing, $q \geq p$ will mean that q is stronger than p . For P a partial ordering, a formula in the forcing language with respect to P , and $p \in P$, $p \Vdash \varphi$ will mean that φ is forced by p . For G V -generic over P , we will use both $V[G]$ and V^P to indicate the universe of V obtained by forcing with P . If $x \in V[G]$, then \dot{x} will be a term in V for x . We will try to avoid, to time, confuse terms with the sets they denote and write x when we are talking about a set, especially when x is some variant of the generic set G .

If κ is a cardinal, then for P a partial ordering, P is (κ, ∞) -distributive if for every sequence $\langle D_\alpha : \alpha < \kappa \rangle$ of dense open subsets of P , $D = \bigcap_{\alpha < \kappa} D_\alpha$ is a dense open subset of P . P is κ -closed if given a sequence $\langle p_\alpha : \alpha < \kappa \rangle$ of elements of P so that $p_\beta \leq p_\alpha$ for $\alpha < \beta < \kappa$ implies $p_\beta \leq p_\gamma$ (an increasing chain of length κ), then there is some $p \in P$ which is a lower bound to this chain) so that $p_\alpha \leq p$ for all $\alpha < \kappa$. P is $< \kappa$ -closed if P is δ -closed for all cardinals $\delta < \kappa$. P is κ -directed closed if for every cardinal $\delta < \kappa$ and every directed set $\langle p_\alpha : \alpha < \delta \rangle$ of elements of P (where $\langle p_\alpha : \alpha < \delta \rangle$ is directed if for every finite set of elements $p_\rho, p_\nu \in \langle p_\alpha : \alpha < \delta \rangle$, p_ρ and p_ν have a common upper bound) there is a $p \in P$ which is an upper bound to this set.

cardinals $\delta < \kappa$. P is $\prec \kappa$ -strategically closed if in the two person game where players construct an increasing sequence $\langle p_\alpha : \alpha < \kappa \rangle$, where player I plays odd stages and player II plays even and limit stages, then player II has a strategy which can always be continued. Note that trivially, if P is κ -closed, then P is $\prec \kappa$ -strategically closed and $\prec \kappa^+$ -strategically closed. The converse of both of these facts is not true.

For κ a regular cardinal, two partial orderings to which we will refer to as the standard partial orderings Q_κ^0 for adding a Cohen subset to κ^+ using conditions of support κ and Q_κ^1 for adding κ^+ many Cohen subsets to κ using conditions of support $< \kappa$. The basic properties and explicit definitions of these partial orderings are given in [J].

Finally, we mention that we are assuming complete familiarity with the concepts of strong compactness and supercompactness. Interested readers may consult [J] for further details. We note only that all elementary embeddings witnessing the strong compactness of κ are presumed to come from some fine, κ -complete, normal ultrafilter over $P_\kappa(\lambda) = \{x \subseteq \lambda : |x| < \kappa\}$. Also, where appropriate, all ultrapowers of a supercompact ultrafilter over $P_\kappa(\lambda)$ will be confused with their transitive isomorphisms.

§1 The Forcing Conditions

In this section, we describe and prove the basic properties of the forcing conditions that we shall use in our later iteration. Let $\delta < \lambda$, $\lambda \geq \aleph_1$ be regular cardinals in our

stationary at its supremum, so that $\beta \in S_p$ implies $\beta > \delta$ and $\text{cof}(\beta) =$
 $q \geq p$ iff $q \supseteq p$ and $S_p = S_q \cap \text{sup}(S_p)$, i.e., S_q is an end extension of S_p .
that for G V -generic over $P_{\delta,\lambda}^0$ (see [Bu] or [KiM]), in $V[G]$, a non-reflexive
set $S = S[G] = \cup\{S_p : p \in G\} \subseteq \lambda^+$ of ordinals of cofinality δ has been
bounded subsets of λ^+ are the same as those in V , and cardinals, cofinalities,
have been preserved. It is also virtually immediate that $P_{\delta,\lambda}^0$ is δ -directed

Work now in $V_1 = V^{P_{\delta,\lambda}^0}$, letting \dot{S} be a term always forced to denote
 $P_{\delta,\lambda}^2[S]$ is the standard notion of forcing for introducing a club set C which
 S (and therefore makes S non-stationary). Specifically, $P_{\delta,\lambda}^2[S] = \{p : \text{For}$
ordinal $\alpha < \lambda^+$, $p : \alpha \rightarrow \{0, 1\}$ is a characteristic function of C_p , a club
that $C_p \cap S = \emptyset\}$, ordered by $q \geq p$ iff C_q is an end extension of C_p . It is a
(see [MS]) that for H V_1 -generic over $P_{\delta,\lambda}^2[S]$, a club set $C = C[H] = \cup\{C_p$
which is disjoint to S has been introduced, the bounded subsets of λ^+ are
those in V_1 , and cardinals, cofinalities, and GCH have been preserved.

Before defining in V_1 the partial ordering $P_{\delta,\lambda}^1[S]$ which will be used to
compactness, we first prove two preliminary lemmas.

LEMMA 1. $\Vdash_{P_{\delta,\lambda}^0} \clubsuit(\dot{S})$, i.e., $V_1 \models$ “There is a sequence $\langle x_\alpha : \alpha \in S \rangle$ such
 $\alpha \in S$, $x_\alpha \subseteq \alpha$ is cofinal in α , and for any $A \in [\lambda^+]^{\lambda^+}$, $\{\alpha \in S : x_\alpha \subseteq A\}$ is

$\langle x_\alpha : \alpha \in S \rangle$ by letting x_α be y_β for the least $\beta \in S - (\alpha + 1)$ so that y_β is unbounded in α . By genericity, each x_α is well-defined.

Let now $p \in P_{\delta, \lambda}^0$ be so that $p \Vdash \dot{A} \in [\lambda^+]^{\lambda^+}$ and $\dot{K} \subseteq \lambda^+$ is club". We find some $r \geq p$ and some $\zeta < \lambda^+$, $r \Vdash \dot{\zeta} \in \dot{K} \cap \dot{S}$ and $\dot{x}_\zeta \subseteq \dot{A}$ ". To do this, we find an increasing sequence $\langle p_\alpha : \alpha < \delta \rangle$ of elements of $P_{\delta, \lambda}^0$ and increasing sequences $\langle \beta_\alpha : \alpha < \delta \rangle$ and $\langle \gamma_\alpha : \alpha < \delta \rangle$ of ordinals $< \lambda^+$ so that $\beta_0 \leq \gamma_0 \leq \beta_1 \leq \gamma_1 \leq \dots \leq \beta_\alpha \leq \gamma_\alpha \leq \beta_{\alpha+1} \leq \gamma_{\alpha+1} \leq \dots \leq \beta_\delta \leq \gamma_\delta \leq \beta_{\delta+1} \leq \gamma_{\delta+1} \leq \dots$ ($\alpha < \delta$). We begin by letting $p_0 = p$ and $\beta_0 = \gamma_0 = 0$. For $\eta = \alpha + 1 < \delta$ let $p_\eta \geq p_\alpha$ and $\beta_\eta \leq \gamma_\eta$, $\beta_\eta \geq \max(\beta_\alpha, \gamma_\alpha, \sup(\text{dom}(p_\alpha))) + 1$ be so that $p_\eta \Vdash \dot{\beta}_\eta \in \dot{K}$ and $\gamma_\eta \in \dot{K}$ ". For $\rho < \delta$ a limit, let $p_\rho = \bigcup_{\alpha < \rho} p_\alpha$, $\beta_\rho = \bigcup_{\alpha < \rho} \beta_\alpha$, and $\gamma_\rho = \bigcup_{\alpha < \rho} \gamma_\alpha$ so that since $\rho < \delta$, p_ρ is well-defined, and since $\delta < \lambda^+$, $\beta_\rho, \gamma_\rho < \lambda^+$. Also, $\bigcup_{\alpha < \delta} \beta_\alpha = \bigcup_{\alpha < \delta} \gamma_\alpha = \bigcup_{\alpha < \delta} \sup(\text{dom}(p_\alpha)) < \lambda^+$. Call ζ this common sup. We let $q = \bigcup_{\alpha < \delta} p_\alpha \cup \{\zeta\}$ is a well-defined condition so that $q \Vdash \{\beta_\alpha : \alpha \in \delta - \{\zeta\}\} \subseteq \dot{K} \cap \dot{S}$ ".

To complete the proof of Lemma 1, we know that as $\langle \beta_\alpha : \alpha \in \delta - \{\zeta\} \rangle$ is unbounded in δ , each $y \in \langle y_\alpha : \alpha < \lambda^+ \rangle$ must appear λ^+ times at ordinals of cofinality δ , with $\eta \in (\zeta, \lambda^+)$ so that $\text{cof}(\eta) = \delta$ and $\langle \beta_\alpha : \alpha \in \delta - \{\zeta\} \rangle = y_\eta$. If we let $r \geq q$ and $r \Vdash \dot{\beta}_\zeta \in \dot{y}_\zeta = \langle \beta_\alpha : \alpha \in \delta - \{\zeta\} \rangle$ then $r \Vdash \dot{x}_\zeta = y_\zeta$ and $\dot{x}_\zeta \subseteq \dot{A}$ ". This proves

nor has any initial segment which is stationary at its supremum. There is
 $\langle y_\alpha : \alpha \in S' \rangle$ so that for every $\alpha \in S'$, $y_\alpha \subseteq x_\alpha$, $x_\alpha - y_\alpha$ is bound
 $\alpha_1 \neq \alpha_2 \in S'$, then $y_{\alpha_1} \cap y_{\alpha_2} = \emptyset$.

PROOF OF LEMMA 2: We define by induction on $\alpha \leq \alpha_0 = \sup S' + 1$ a
that $\text{dom}(h_\alpha) = S' \cap \alpha$, $h_\alpha(\beta) < \beta$, and $\langle x_\beta - h_\alpha(\beta) : \beta \in S' \cap \alpha \rangle$ is pairwise
sequence $\langle x_\beta - h_{\alpha_0}(\beta) : \beta \in S' \rangle$ will be our desired sequence.

If $\alpha = 0$, then we take h_α to be the empty function. If $\alpha = \beta + 1$ and
we take $h_\alpha = h_\beta$. If $\alpha = \beta + 1$ and $\beta \in S'$, then we notice that since
has order type δ and is cofinal in γ , for all $\gamma \in S' \cap \beta$, $x_\beta \cap \gamma$ is bound
allows us to define a function h_α having domain $S' \cap \alpha$ by $h_\alpha(\beta) = 0$, and
 $h_\alpha(\gamma) = \min(\{\rho : \rho < \gamma, \rho \geq h_\beta(\gamma), \text{ and } x_\beta \cap \gamma \subseteq \rho\})$. By the next to last
the induction hypothesis on h_β , $h_\alpha(\gamma) < \gamma$. And, if $\gamma_1 < \gamma_2 \in S' \cap \alpha$,
 $(x_{\gamma_1} - h_\alpha(\gamma_1)) \cap (x_{\gamma_2} - h_\alpha(\gamma_2)) \subseteq (x_{\gamma_1} - h_\beta(\gamma_1)) \cap (x_{\gamma_2} - h_\beta(\gamma_2)) = \emptyset$ by
hypothesis on h_β . If $\gamma_2 = \beta$, then $(x_{\gamma_1} - h_\alpha(\gamma_1)) \cap (x_{\gamma_2} - h_\alpha(\gamma_2)) = (x_{\gamma_1} - h_\alpha(\gamma_1)) \cap x_\beta$
by the definition of $h_\alpha(\gamma_1)$. The sequence $\langle x_\gamma - h_\alpha(\gamma) : \gamma \in S' \cap \alpha \rangle$ is thus

If α is a limit ordinal, then as S' is non-stationary at its supremum
initial segment which is stationary at its supremum, we can let $\langle \beta_\gamma : \gamma < \alpha \rangle$
strictly increasing, continuous sequence having sup α so that for all $\gamma < \alpha$

$(x_{\rho_2} - h_{\beta_{\gamma+1}}(\rho_2)) = \emptyset$ by the definition of $h_{\beta_{\gamma+1}}$. If $\rho_1 \in (\beta_\gamma, \beta_{\gamma+1})$, $\rho_2 \in$
 $\gamma < \sigma$, then $(x_{\rho_1} - h_\alpha(\rho_1)) \cap (x_{\rho_2} - h_\alpha(\rho_2)) \subseteq x_{\rho_1} \cap (x_{\rho_2} - \beta_\sigma) \subseteq \rho_1 - \beta_\sigma \subseteq$

Thus, the sequence $\langle x_\rho - h_\alpha(\rho) : \rho \in S' \cap \alpha \rangle$ is again as desired. This pro

At this point, we are in a position to define in V_1 the partial ordering
 will be used to destroy strong compactness. $P_{\delta, \lambda}^1[S]$ is now the set of all 4-tuples
 satisfying the following properties.

1. $w \in [\lambda^+]^{<\lambda}$.
2. $\alpha < \lambda$.
3. $\bar{r} = \langle r_i : i \in w \rangle$ is a sequence of functions from α to $\{0, 1\}$, i.e., a sequence
 of α .
4. $Z \subseteq \{x_\beta : \beta \in S\}$ is a set so that if $z \in Z$, then for some $y \in [w]^\delta$, $y \subseteq$
 bounded in the β so that $z = x_\beta$.

Note that the definition of Z implies $|Z| < \lambda$.

The ordering on $P_{\delta, \lambda}^1[S]$ is given by $\langle w^1, \alpha^1, \bar{r}^1, Z^1 \rangle \leq \langle w^2, \alpha^2, \bar{r}^2, Z^2 \rangle$
 hold.

1. $w^1 \subseteq w^2$.
2. $\alpha^1 \leq \alpha^2$.
3. If $i \in w^1$, then $r_i^1 \subseteq r_i^2$.

11 $\mathcal{W} = \langle \langle w^\beta, \alpha^\beta, r^\beta, Z^\beta \rangle_{\beta < \gamma < \delta} \rangle$ is a directed set of elements of $P_{\delta, \lambda}^1[S]$

the regularity of δ any δ sequence from $\bigcup_{\beta < \gamma} w^\beta$ must contain a δ sequence for some $\beta < \gamma$, it can easily be verified that $\langle \bigcup_{\beta < \gamma} w^\beta, \bigcup_{\beta < \gamma} \alpha^\beta, \bigcup_{\beta < \gamma} \bar{r}^\beta, \bigcup_{\beta < \gamma} Z^\beta \rangle$ is for each element of W . (Here, if $\bar{r}^\beta = \langle r_i^\beta : i \in w^\beta \rangle$, then $r_i \in \bigcup_{\beta < \gamma} \bar{r}^\beta$ if $r_i = \bigcup_{\beta < \gamma} r_i^\beta$, taking $r_i^\beta = \emptyset$ if $i \notin w^\beta$.) This means $P_{\delta, \lambda}^1[S]$ is δ -directed closed.

At this point, a few intuitive remarks are in order. If κ is λ strongly compact and $\lambda \geq \kappa$ regular, then it must be the case (see [SRK]) that λ carries a κ -additive ultrafilter. If $\delta < \kappa < \lambda$, the forcing $P_{\delta, \lambda}^1[S]$ has specifically been designed to destroy this ultrafilter. It has been designed, however, to destroy the λ strong compactness of κ as little as possible, making little damage. In the case of the argument of [KiM], the stationary set S is added directly to λ in order to kill the λ strong compactness of κ . In this situation, the non-reflecting stationary set S , having been added to λ^+ and λ , does not kill the λ strong compactness of κ by itself. The additional forcing $P_{\delta, \lambda}^1[S]$ is added to do the job. The forcing $P_{\delta, \lambda}^1[S]$, however, has been designed so that if necessary, we can resurrect the λ supercompactness of κ by forcing further with $P_{\delta, \lambda}^2[S]$.

LEMMA 3. $V_1^{P_{\delta, \lambda}^1[S]} \models$ “ κ is not λ strongly compact” if $\delta < \kappa < \lambda$.

Remark: Since we will only be concerned in general when κ is strongly compact,

and $\delta < \kappa < \lambda$, we assume without loss of generality that this is the case.

rest of the paper

sequence $\langle s_i : i < \delta \rangle$ of \mathcal{D} measure 1 sets, $q \Vdash \bigcap_{i < \delta} \dot{s}_i \subseteq \alpha^q$, an immediate

We use a Δ -system argument to establish this. First, for G_1 V_1 -generic and $i < \lambda^+$, let $r_i^* = \cup\{r_i^p : \exists p = \langle w^p, \alpha^p, \bar{r}^p, Z^p \rangle \in G_1[r_i^p \in \bar{r}^p]\}$. It follows that $\Vdash_{P_{\delta, \lambda}^1[S]} \text{“} r_i^* : \lambda \rightarrow \{0, 1\} \text{ is a function whose domain is all of } \lambda \text{”}$. To see this, let $\langle w^p, \alpha^p, \bar{r}^p, Z^p \rangle$, since $|Z^p| < \lambda$, $w^p \in [\lambda^+]^{< \lambda}$, and $z \in Z^p$ implies $z \in [\lambda^+]^{< \lambda}$. Let $q = \langle w^q, \alpha^q, \bar{r}^q, Z^q \rangle$ given by $\alpha^q = \alpha^p$, $Z^q = Z^p$, $w^q = w^p \cup \bigcup\{z : z \in Z^p, z \in w^q\}$ defined by $r_i' = r_i$ if $i \in w^p$ and r_i' is the empty function if $i \in w^q \setminus w^p$. This is a defined condition. (This just means we may as well assume that for $p = \langle w^p, \alpha^p, \bar{r}^p, Z^p \rangle$, $z \in Z^p$ implies $z \subseteq w^p$.) Further, since $|Z^q| < \lambda$, $\cup\{\beta : \exists z \in Z^q[z = x_\beta]\}$ is stationary. Therefore, if $\gamma' \in (\gamma, \lambda^+)$ and $S' \subseteq \gamma'$ is so that $\sup S' = \gamma'$ and S' is an \mathcal{S} -set of S so that S' is not stationary at its supremum nor has any initial segment stationary at its supremum, then by Lemma 2, there is a sequence $\langle y_\beta : \beta \in S' \rangle$ such that for every $\beta \in S'$, $y_\beta \subseteq x_\beta$, $x_\beta - y_\beta$ is bounded in β , and if $\beta_1 \neq \beta_2 \in S'$, then $y_{\beta_1} \cap y_{\beta_2} = \emptyset$. This means that if $z \in Z^q$ and $z = x_\beta$ for some β , then $y_\beta \subseteq w$.

Choose now for $\beta \in S'$ sets y_β^1 and y_β^2 so that $y_\beta = y_\beta^1 \cup y_\beta^2$, $y_\beta^1 \cap y_\beta^2 = \emptyset$, $|y_\beta^1| = |y_\beta^2| = \delta$. If $\rho \in (\alpha^q, \lambda)$, then for each β so that $x_\beta \in Z^q$ and for $i \in x_\beta$ such that $i \in y_\beta$, we can extend r_i' to $r_i'' : \rho \rightarrow \{0, 1\}$ by letting $r_i'' \upharpoonright \alpha^q = r_i'$ and $r_i''(\alpha) = 0$ if $i \in y_\beta^1$ and $r_i''(\alpha) = 1$ if $i \in y_\beta^2$. For $i \in w^q$ so

let $r_i^\ell = \{\alpha < \lambda : r_i^*(\alpha) = \ell\}$ for $\ell \in \{0, 1\}$.

For each $i < \lambda^+$, pick $p_i = \langle w^{p_i}, \alpha^{p_i}, \bar{r}^{p_i}, Z^{p_i} \rangle \geq p$ so that $p_i \Vdash "r_i^{\ell(i)} \in \{0, 1\}$. This is possible since $\Vdash_{P_{\delta,\lambda}^1[S]} \text{"For each } i < \lambda^+, \dot{r}_i^0 \cup \dot{r}_i^1 = \lambda^+$ of generality, by extending p_i if necessary, we can assume that $i \in w^{p_i}$. $w^{p_i} \in [\lambda^+]^{<\lambda}$, we can find some stationary $A \subseteq \{i < \lambda^+ : \text{cof}(i) = \lambda\}$ so that forms a Δ -system, i.e., so that for $i \neq j \in A$, $w^{p_i} \cap w^{p_j}$ is some constant w an initial segment of both. (Note we can assume that for $i \in A$, $w_i \cap i = w$ for a fixed $\ell(*) \in \{0, 1\}$, for every $i \in A$, $p_i \Vdash "r_i^{\ell(*)} \in \dot{\mathcal{D}}"$.) Also, by clause 4) of the forcing, $|Z^{p_i}| < \lambda$ for each $i < \lambda^+$. Therefore, $Z^{p_i} \in [[\lambda^+]^\delta]^{<\lambda}$, so by GCH, the same sort of Δ -system argument allows us to assume in addition that $i \in A$, $Z^{p_i} \cap \mathcal{P}(w)$ is some constant value Z . Further, since each $\alpha^{p_i} < \lambda$, that α^{p_i} is some constant α^0 for $i \in A$. Then, since any $\bar{r}^{p_i} = \langle r_j : j \in w \rangle$ is composed of a sequence of functions from α_0 to 2, $\alpha_0 < \lambda$, and $|w| < \lambda$, we can conclude that for $i \neq j \in A$, $\bar{r}^{p_i} \upharpoonright w = \bar{r}^{p_j} \upharpoonright w$. And, since $i \in w^{p_i}$, we can also assume (by thinning A if necessary) that $B = \{\sup(w^{p_i}) : i \in A\}$ is stationary, which implies $i \leq \sup(w^{p_i}) < \min(w^{p_j} - w) \leq \sup(w^{p_j})$. We know in addition that $X = \langle x_\beta : \beta \in S \rangle$ that for some $\gamma \in S$, $x_\gamma \subseteq A$. Let $x_\gamma = \{i_\beta : \beta < \delta\}$.

We are now in a position to define the condition q referred to earlier, by defining each of the four coordinates of q . First, let $w^q = \bigcup_{\beta < \delta} w^{p_{i_\beta}}$. A

paragraph and our construction, $\{i_\beta : \beta < \delta\}$ generates a new set which is in Z^q , and Z^q is well-defined.

We claim now that $q \geq p$ is so that $q \Vdash \bigcap_{\beta < \delta} \dot{r}_{i_\beta}^{\ell(*)} \subseteq \alpha^q$. To see this claim fails. This means that for some $q^1 \geq q$ and some $\alpha^q \leq \eta < \lambda$, $q^1 \Vdash \bigcap_{\beta < \delta} \dot{r}_{i_\beta}^{\ell(*)} \subseteq \alpha^q$. Without loss of generality, since q^1 can always be extended if necessary, we may assume that $\eta < \alpha^{q^1}$. But then, by the definition of \leq , for δ many $\beta < \delta$, $q^1 \Vdash \dot{r}_{i_\beta}^{\ell(*)} \subseteq \alpha^q$ is an immediate contradiction. Thus, $q \Vdash \bigcap_{\beta < \delta} \dot{r}_{i_\beta}^{\ell(*)} \subseteq \alpha^q$, which, since $\delta < \kappa$, implies $q \Vdash \bigcap_{\beta < \delta} \dot{r}_{i_\beta}^{\ell(*)} \in \dot{D}$ and \dot{D} is a κ -additive uniform ultrafilter over λ . This proves the claim.

Recall we mentioned prior to the proof of Lemma 3 that $P_{\delta,\lambda}^1[S]$ is destroyed by further forcing with $P_{\delta,\lambda}^2[S]$ will resurrect the λ supercompactness of κ , assuming no iteration has been done. That this is so will be shown in the next section. In this section we give an idea of why this will happen by showing that the forcing $P_{\delta,\lambda}^0 * (P_{\delta,\lambda}^1 * P_{\delta,\lambda}^2)$ is rather nice. Specifically, we have the following lemma.

LEMMA 4. $P_{\delta,\lambda}^0 * (P_{\delta,\lambda}^1[\dot{S}] \times P_{\delta,\lambda}^2[\dot{S}])$ is equivalent to $Q_\lambda^0 * \dot{Q}_\lambda^1$.

PROOF OF LEMMA 4: Let G be V -generic over $P_{\delta,\lambda}^0 * (P_{\delta,\lambda}^1[\dot{S}] \times P_{\delta,\lambda}^2[\dot{S}])$, with $G_{\delta,\lambda}^2$ the projections onto $P_{\delta,\lambda}^0$, $P_{\delta,\lambda}^1[\dot{S}]$, and $P_{\delta,\lambda}^2[\dot{S}]$ respectively. Each $G_{\delta,\lambda}^i$ is V -generic. So, since $P_{\delta,\lambda}^1[\dot{S}] \times P_{\delta,\lambda}^2[\dot{S}]$ is a product in $V[G_{\delta,\lambda}^0]$, we can rewrite the forcing as $P_{\delta,\lambda}^0 * (P_{\delta,\lambda}^1[\dot{S}] \times P_{\delta,\lambda}^2[\dot{S}])$.

and $q \Vdash \text{“} \alpha \in \dot{C} \text{”}$ } is dense in $P_{\delta, \lambda}^0 * P_{\delta, \lambda}^2[\dot{S}]$ and is λ -closed. This easily implies the equivalence. Thus, V and $V[G_{\delta, \lambda}^0][G_{\delta, \lambda}^2]$ have the same cardinals and cofinalities. The proof of Lemma 4 will be complete once we show that in $V[G_{\delta, \lambda}^0][G_{\delta, \lambda}^2]$, $P_{\delta, \lambda}^1$ is dense to Q_λ^1 .

To this end, working in $V[G_{\delta, \lambda}^0][G_{\delta, \lambda}^2]$, we first note that as $S \subseteq \lambda^+$ is a stationary set all of whose initial segments are non-stationary, by Lemma 2, for every $\langle x_\beta : \beta \in S \rangle$, there must be a sequence $\langle y_\beta : \beta \in S \rangle$ so that for every $\beta < \gamma$, $x_\beta - y_\beta$ is bounded in β , and if $\beta_1 \neq \beta_2 \in S$, then $y_{\beta_1} \cap y_{\beta_2} = \emptyset$. Given P^1 , it is easy to observe that $P^1 = \{ \langle w, \alpha, \bar{r}, Z \rangle \in P_{\delta, \lambda}^1[S] : \text{FOR EVERY } \beta \in S, \text{ EITHER } y_\beta \cap w = \emptyset \}$ is dense in $P_{\delta, \lambda}^1[S]$. To show this, given $\langle w, \alpha, \bar{r}, Z \rangle \in P_{\delta, \lambda}^1[S]$, let $Y_w = \{ y \in \langle y_\beta : \beta \in S \rangle : y \cap w \neq \emptyset \}$. As $|w| < \lambda$ and $y_{\beta_1} \cap y_{\beta_2} = \emptyset$ for $\beta_1 \neq \beta_2$, $|Y_w| < \lambda$. Hence, as $|y| = \delta < \lambda$ for $y \in Y_w$, $|w'| < \lambda$ for $w' = w \cup (\cup_{y \in Y_w} y)$. Let $\langle w', \alpha, \bar{r}', Z \rangle$ for $\bar{r}' = \langle r'_i : i \in w' \rangle$ defined by $r'_i = r_i$ if $i \in w$ and r'_i is the element of \bar{r} such that $i \in w'$. Then $\langle w', \alpha, \bar{r}', Z \rangle \in P^1$ and $\langle w, \alpha, \bar{r}, Z \rangle \leq \langle w', \alpha, \bar{r}', Z \rangle$. Thus, P^1 is dense in $P_{\delta, \lambda}^1[S]$. So to analyze the forcing properties of $P_{\delta, \lambda}^1[S]$, it suffices to analyze the forcing properties of P^1 .

For $\beta \in S$, let $Q_\beta = \{ \langle w, \alpha, \bar{r}, Z \rangle \in P^1 : w = y_\beta \}$, and let $Q' = \{ \langle w, \alpha, \bar{r}, Z \rangle \in P^1 : w \subseteq \lambda^+ - \cup_{\beta \in S} y_\beta \}$. Let Q'' be those elements of $\prod_{\beta \in S} Q_\beta \times Q'$ of support size $< \lambda$ under the product ordering. Adopting the notation of Lemma 3, given $p = \langle \langle q_\beta : \beta \in S \rangle, q' \rangle \in Q''$, let $\langle w, \alpha, \bar{r}, Z \rangle \in P^1$ be such that $\langle w, \alpha, \bar{r}, Z \rangle \leq q_\beta$ for all $\beta \in S$ and $\langle w, \alpha, \bar{r}, Z \rangle \leq q'$.

Then, for $p = \langle \langle q_\beta : \beta \in A \rangle, q \rangle \in Q$ where $A \subseteq S$ and $|A| < \lambda$, as usual for $\beta_1 \neq \beta_2 \in A$ ($y_{\beta_1} \cap y_{\beta_2} = \emptyset$), $w^{q_{\beta_1}} \cap w^q = \emptyset$, $\alpha^{q_{\beta_1}} = \alpha^{q_{\beta_2}} = \alpha^q$ for the domains of any two $\bar{r}^{q_{\beta_1}}$, $\bar{r}^{q_{\beta_2}}$ are disjoint for $\beta_1 \neq \beta_2 \in A$, $Z^{q_{\beta_1}}$, $\beta_1 \neq \beta_2 \in A$, the domains of $\bar{r}^{q_{\beta_1}}$ and \bar{r}^q are disjoint for $\beta \in A$, and $Z^{q_\beta} \cap Z^q = \emptyset$ for the function $F(p) = \langle \bigcup_{\beta \in A} w^{q_\beta} \cup w^q, \alpha, \bigcup_{\beta \in A} \bar{r}^{q_\beta} \cup \bar{r}^q, \bigcup_{\beta \in A} Z^{q_\beta} \cup Z^q \rangle$ can easily be seen to be an isomorphism between Q and P^1 . Thus, over $V[G_{\delta, \lambda}^0][G_{\delta, \lambda}^2]$, forcing with Q and Q'' are all equivalent.

We examine now in more detail the exact nature of Q'' . For $\beta \in S$, $|Q_\beta| = \lambda$. It quickly follows from the definition of Q_β that Q_β is $< \lambda$ -forcing equivalent to adding a Cohen subset to λ . Since the definitions of Q ensure that for $\langle w, \alpha, \bar{r}, Z \rangle \in Q'$, $Z = \emptyset$ (for every $\beta \in S$, $w \cap y_\beta = \emptyset$ and $x_\beta - y_\beta$ is bounded in δ), Q' can easily be seen to be a re-representation of Cohen forcing where instead of working with functions whose domains have cardinality $< \lambda$ are subsets of $\lambda \times \lambda^+$, we work with functions whose domains have cardinality $< \lambda$ subsets of $\lambda \times (\lambda^+ - \bigcup_{\beta \in S} y_\beta)$. Thus, Q'' is isomorphic to a Cohen forcing Q'' having domains of cardinality $< \lambda$ which adds λ^+ many Cohen subsets to λ . By the sentence of the last paragraph, this means that over $V[G_{\delta, \lambda}^0][G_{\delta, \lambda}^2]$, the forcing Q'' and Q'' are equivalent. This proves Lemma 4.

been destroyed by forcing with $P_{\delta,\lambda}^2[S]$, Lemma 4 shows that this last condition $p \in P_{\delta,\lambda}^1[S]$ and change in the ordering in a sense become irrelevant.

It is clear from Lemma 4 that $P_{\delta,\lambda}^0 * (P_{\delta,\lambda}^1[\dot{S}] \times P_{\delta,\lambda}^2[\dot{S}])$, being equivalent to $P_{\delta,\lambda}^0$, preserves GCH, cardinals, and cofinalities, and has a dense subset which is λ^{++} -c.c. Our next lemma shows that the forcing $P_{\delta,\lambda}^0 * P_{\delta,\lambda}^1[\dot{S}]$ is $< \lambda$ -closed, and is λ^{++} -c.c.

LEMMA 5. $P_{\delta,\lambda}^0 * P_{\delta,\lambda}^1[\dot{S}]$ preserves GCH, cardinals, and cofinalities, is $< \lambda$ -closed, and is λ^{++} -c.c.

PROOF OF LEMMA 5: Let $G' = G_{\delta,\lambda}^0 * G_{\delta,\lambda}^1$ be V -generic over $P_{\delta,\lambda}^0 * P_{\delta,\lambda}^1[\dot{S}]$ and $V[G']$ -generic over $P_{\delta,\lambda}^2[S]$. Thus, $G' * G_{\delta,\lambda}^2 = G$ is V -generic over $P_{\delta,\lambda}^0 * (P_{\delta,\lambda}^1[\dot{S}] \times P_{\delta,\lambda}^2[\dot{S}])$. By Lemma 4, $V[G] \models$ GCH and has the same cardinals and cofinalities as V , so since $V[G'] \subseteq V[G]$, forcing with $P_{\delta,\lambda}^0 * P_{\delta,\lambda}^1[\dot{S}]$ over V preserves cardinals, and cofinalities.

We next show the $< \lambda$ -strategic closure of $P_{\delta,\lambda}^0 * P_{\delta,\lambda}^1[\dot{S}]$. We first note that $P_{\delta,\lambda}^1[\dot{S}] * P_{\delta,\lambda}^2[\dot{S}] = P_{\delta,\lambda}^0 * (P_{\delta,\lambda}^1[\dot{S}] * P_{\delta,\lambda}^2[\dot{S}])$ has by Lemma 4 a dense subset which is $< \lambda$ -closed, the desired fact follows from the more general fact that if $P * \dot{Q}$ is a forcing with a dense subset R so that R is $< \lambda$ -closed, then P is $< \lambda$ -strategically closed. For this more general fact, let $\gamma < \lambda$ be a cardinal. Suppose I and II play to build a chain of elements of P , with $\langle p_\beta : \beta \leq \alpha + 1 \rangle$ enumerating all plays by I.

$\langle p_{\alpha+2}, \dot{q}_{\alpha+2} \rangle \geq \langle p_{\alpha+1}, \dot{q}_{\alpha} \rangle$; this makes sense, since inductively, $\langle p_{\alpha}, \dot{q}_{\alpha} \rangle \in$
 as I has chosen $p_{\alpha+1} \geq p_{\alpha}$, $\langle p_{\alpha+1}, \dot{q}_{\alpha} \rangle \in P * \dot{Q}$. By the $< \lambda$ -closure of
 stage $\eta \leq \gamma$, II can choose $\langle p_{\eta}, \dot{q}_{\eta} \rangle$ so that $\langle p_{\eta}, \dot{q}_{\eta} \rangle$ is an upper bound to
 and β is even or a limit ordinal). The preceding yields a winning strategy
 $< \lambda$ -strategically closed.

Finally, to show $P_{\delta, \lambda}^0 * P_{\delta, \lambda}^1[\dot{S}]$ is λ^{++} -c.c., we simply note that this is a
 general fact about iterated forcing (see [Ba]) that if $P * \dot{Q}$ satisfies λ^{++} -c.c.,
 λ^{++} -c.c. (Here, $P = P_{\delta, \lambda}^0 * P_{\delta, \lambda}^1[\dot{S}]$ and $Q = P_{\delta, \lambda}^2[\dot{S}]$.) This proves Lemma

We remark that $\Vdash_{P_{\delta, \lambda}^0}$ “ $P_{\delta, \lambda}^1[\dot{S}]$ is λ^+ -c.c.”, for if $\mathcal{A} = \langle p_{\alpha} : \alpha < \lambda^+ \rangle$
 antichain of elements of $P_{\delta, \lambda}^1[\dot{S}]$ in $V[G_{\delta, \lambda}^0]$, then as $V[G_{\delta, \lambda}^0]$ and $V[G_{\delta, \lambda}^0]$
 same cardinals, \mathcal{A} would be a size λ^+ antichain of elements of $P_{\delta, \lambda}^1[\dot{S}]$ in
 By Lemma 4, in this model, a dense subset of $P_{\delta, \lambda}^1[\dot{S}]$ is isomorphic to $Q_{\delta, \lambda}^1$
 same definition in either $V[G_{\delta, \lambda}^0]$ or $V[G_{\delta, \lambda}^0][G_{\delta, \lambda}^2]$ (since $P_{\delta, \lambda}^0$ is λ -strategically
 $P_{\delta, \lambda}^0 * P_{\delta, \lambda}^2[\dot{S}]$ is λ -closed) and so is λ^+ -c.c. in either model.

We conclude this section with a lemma which will be used later in

LEMMA 6. For $V_1 = V^{\lambda, \delta, \lambda}$, the models $V_1^{P_{\delta,\lambda}^0}$, $V_1^{P_{\delta,\lambda}^2}$ and $V_1^{P_{\delta,\lambda}^1[S]}$ contain the same λ sequences of elements of V_1 .

PROOF OF LEMMA 6: By Lemma 4, since $P_{\delta,\lambda}^0 * P_{\delta,\lambda}^2[\dot{S}]$ is equivalent to $P_{\delta,\lambda}^1$ and $V \subseteq V^{P_{\delta,\lambda}^0} \subseteq V^{P_{\delta,\lambda}^0 * P_{\delta,\lambda}^2[\dot{S}]}$, the models V , $V^{P_{\delta,\lambda}^0}$, and $V^{P_{\delta,\lambda}^0 * P_{\delta,\lambda}^2[\dot{S}]}$ all contain the same λ sequences of elements of V . Thus, since a λ sequence of elements of V_1 is represented by a V -term which is actually a function $h : \lambda \rightarrow V$, it immediately follows that $V^{P_{\delta,\lambda}^0}$ and $V^{P_{\delta,\lambda}^0 * P_{\delta,\lambda}^2[\dot{S}]}$ contain the same λ sequences of elements of V_1 .

Let now $f : \lambda \rightarrow V_1$ be so that $f \in (V^{P_{\delta,\lambda}^0 * P_{\delta,\lambda}^2[\dot{S}]})^{P_{\delta,\lambda}^1[S]} = V_1^{P_{\delta,\lambda}^1[S]}$. Let $g : \lambda \rightarrow V_1$, $g \in V^{P_{\delta,\lambda}^0 * P_{\delta,\lambda}^2[\dot{S}]}$ be a term for f . By the previous paragraph, $g \in V^{P_{\delta,\lambda}^0}$. Lemma 4 shows that $P_{\delta,\lambda}^1[S]$ is λ^+ -c.c. in $V^{P_{\delta,\lambda}^0 * P_{\delta,\lambda}^2[\dot{S}]}$, for each $\alpha < \lambda$, thus $P_{\delta,\lambda}^1[S]$ is λ^+ -c.c. in $V^{P_{\delta,\lambda}^0 * P_{\delta,\lambda}^2[\dot{S}]}$ by $\{p \in P_{\delta,\lambda}^1[S] : p \text{ decides a value for } g(\alpha)\}$ is so that $|\mathcal{A}_\alpha| \leq \lambda$. Hence, by the preceding paragraph, since \mathcal{A}_α is a set of elements of V_1 , $\mathcal{A}_\alpha \in V^{P_{\delta,\lambda}^0}$ for each $\alpha < \lambda$. Therefore, again by the preceding paragraph, $\langle \mathcal{A}_\alpha : \alpha < \lambda \rangle \in V^{P_{\delta,\lambda}^0}$. This just means that the term $g \in V^{P_{\delta,\lambda}^0}$ can be represented by a $V_1^{P_{\delta,\lambda}^1[S]}$ term, i.e., $f \in V_1^{P_{\delta,\lambda}^1[S]}$. This proves Lemma 6.

§2 The Case of One Supercompact Cardinal with no Larger Inaccessible Cardinals

In this section, we give a proof of our Theorem, starting from a model M in which there is a supercompact cardinal κ and no larger inaccessible cardinals.

compact yet δ isn't λ supercompact.

LEMMA 7. (Magidor [Ma4]): Suppose κ is a supercompact cardinal. Then
is λ_δ strongly compact for λ_δ the least singular strong limit cardinal $> \delta$
is not λ_δ supercompact, yet δ is α supercompact for all $\alpha < \lambda_\delta$ is unbound

PROOF OF LEMMA 7: Let $\lambda_\kappa > \kappa$ be the least singular strong limit cardinal
 κ , and let $j : V \rightarrow M$ be an elementary embedding witnessing the λ_κ su
of κ with $j(\kappa)$ minimal. As $j(\kappa)$ is least, $M \models$ “ κ is not λ_κ supercompact”
and λ_κ is a strong limit cardinal, $M \models$ “ κ is α supercompact for all $\alpha < \lambda_\kappa$ ”

Let $\mu \in V$ be a κ -additive measure over κ , and let $\langle \lambda_\alpha : \alpha < \lambda_\kappa \rangle$ be
cardinals cofinal in λ_κ in both V and M . As $M^{\lambda_\kappa} \subseteq M$ and λ_κ is a stron
 $\mu \in M$. Also, as $M \models$ “ κ is α supercompact for all $\alpha < \lambda_\kappa$ ”, the closure
allow us to find a sequence $\langle \mu_\alpha : \alpha < \kappa \rangle \in M$ so that $M \models$ “ μ_α is a fine, no
ultrafilter over $P_\kappa(\lambda_\alpha)$ ”. Thus, we can define in M the collection μ^* of subs
 $A \in \mu^*$ iff $\{\alpha < \kappa : A \upharpoonright \lambda_\alpha \in \mu_\alpha\} \in \mu$, where for $A \subseteq P_\kappa(\lambda_\kappa)$, $A \upharpoonright \lambda_\alpha = \{p \cap \lambda_\alpha : p \in A\}$.
It is easily checked that μ^* defines in M a κ -additive fine ultrafilter over

$M \models$ “ κ is α supercompact for all $\alpha < \lambda_\kappa$ ” κ is not λ_κ supercompact yet

We note that the proof of Lemma 7 goes through if λ_δ becomes the strong limit cardinal $> \delta$ of cofinality δ^+ , of cofinality δ^{++} , etc. To see that the closure properties of M and the strong compactness of κ ensure that each carry κ -additive measures μ_{κ^+} , $\mu_{\kappa^{++}}$, etc. which are elements of M . μ may then be used in place of the μ of Lemma 7 to define the strongly compact μ^* over $P_\kappa(\lambda_\kappa)$.

We return now to the proof of our Theorem. Let $\bar{\delta} = \langle \delta_\alpha : \alpha \leq \kappa \rangle$ be a sequence of inaccessibles $\leq \kappa$, with $\delta_\kappa = \kappa$. Note that since we are in the simple case κ is the only supercompact cardinal in the universe and has no inaccessibles above it. We assume each δ_α isn't $\delta_{\alpha+1}$ supercompact and for the least regular cardinal $\lambda_\alpha < \delta_{\alpha+1}$ $V \models$ “ δ_α isn't λ_α supercompact”, $\lambda_\alpha < \delta_{\alpha+1}$. (If δ were the least cardinal such that δ is supercompact for β the least inaccessible $> \delta$ yet δ isn't β supercompact we provide the desired model.)

We are now in a position to define the partial ordering P used in the proof of the Theorem. We define a κ stage Easton support iteration $P_\kappa = \langle \langle P_\alpha, \dot{Q}_\alpha \rangle : \alpha < \kappa \rangle$. We define $P = P_{\kappa+1} = P_\kappa * \dot{Q}_\kappa$ for a certain class partial ordering Q_κ defined below. The definition is as follows:

1. P_0 is trivial.

stationary subset of λ_α^+ introduced by $P_{\omega,\lambda_\alpha}^0$.

3. \dot{Q}_κ is a term for the Easton support iteration of $\langle P_{\omega,\lambda}^0 * (P_{\omega,\lambda}^1[\dot{S}_\lambda] \times H \mid H \text{ is a regular cardinal}) \rangle$, where as before, \dot{S}_λ is a term for the non-reflexive stationary subset of λ^+ introduced by $P_{\omega,\lambda}^0$.

The intuitive motivation behind the above definition is that below κ is supercompact, we must first destroy and then resurrect all “good” instances of strong compactness, i.e., those which also witness supercompactness, but then destroy the least instance of strong compactness, thus destroying all “bad” instances of strong compactness beyond the least “bad” instance. Since κ is supercompact, it has no instances of strong compactness beyond the least “bad” instance. Since κ is supercompact, it has no instances of strong compactness, so all instances of κ 's supercompactness are destroyed and then resurrected.

LEMMA 8. *For G a V -generic class over P , V and $V[G]$ have the same cofinalities, and $V[G] \models \text{ZFC} + \text{GCH}$.*

PROOF OF LEMMA 8: Write $G = G_\kappa * H$, where G_κ is V -generic over P and H is $V[G_\kappa]$ -generic class over Q_κ . We show $V[G_\kappa][H] \models \text{ZFC}$, and by assuming being that $V[G_\kappa] \models \text{GCH}$ and has the same cardinals and cofinalities as V , we get $V[G_\kappa][H] \models \text{GCH}$ and has the same cardinals and cofinalities as $V[G_\kappa]$ (and hence as V).

To do this, note that Q_κ is equivalent in $V[G_\kappa] = V_1$ to the Easton support iteration

V_1 with the iteration of $\langle Q_\lambda^0 * \dot{Q}_\lambda^1 : \kappa < \lambda < \delta^+$ and λ is a successor car
 cardinals, cofinalities, and GCH. If δ is regular (meaning δ is a successo
 κ has no inaccessibles above it), then this iteration can be written as $Q_{<\delta}$
 where $Q_{<\delta}$ is the iteration of $\langle Q_\lambda^0 * \dot{Q}_\lambda^1 : \kappa < \lambda < \delta$ and λ is a successo
 induction, forcing over V_1 with $Q_{<\delta}$ preserves cardinals, cofinalities, and
 forcing over $V_1^{Q_{<\delta}}$ with $\dot{Q}_\delta^0 * \dot{Q}_\delta^1$ will preserve GCH and the cardinals an
 $V_1^{Q_{<\delta}}$, forcing over V_1 with $Q_{<\delta} * (\dot{Q}_\delta^0 * \dot{Q}_\delta^1)$ preserves cardinals, cofinalities
 is singular, let $\gamma < \delta$ be a cardinal in V_1 , and write the iteration of $\langle Q_\lambda^0 * \dot{Q}_\lambda^1$
 and λ is a successor cardinal) as $Q_{<\gamma^+} * \dot{Q}^{\geq\gamma^+}$, where $Q_{<\gamma^+}$ is as above
 term in V_1 for the rest of the iteration; if $\gamma < \kappa$, then $Q_{<\gamma^+}$ is trivial
 term for the whole iteration. By induction, $V_1^{Q_{<\gamma^+}} \models$ “ γ is a cardinal
 $\text{cof}(\gamma) = \text{cof}^{V_1}(\gamma)$ ”, so as $V_1^{Q_{<\gamma^+}} \models$ “ $Q^{\geq\gamma^+}$ is γ -closed”, $V_1^{Q_{<\gamma^+} * \dot{Q}^{\geq\gamma^+}} \models$
 $2^\gamma = \gamma^+$, and $\text{cof}(\gamma) = \text{cof}^{V_1}(\gamma)$ ”, i.e., GCH, cardinals, and cofinalit
 preserved when forcing over V_1 with $Q_{<\gamma^+} * \dot{Q}^{\geq\gamma^+}$. In addition, since t
 shows any $f : \gamma \rightarrow \delta$ or $f : \gamma \rightarrow \delta^+$, $f \in V^{Q_{<\gamma^+} * \dot{Q}^{\geq\gamma^+}}$ is so that $f \in V_1^{Q_{<\gamma^+}}$
 $\gamma < \delta$, the fact $V_1^{Q_{<\gamma^+}}$ and V_1 have the same cardinals and cofinalities, to
 fact $V_1^{Q_{<\gamma^+} * \dot{Q}^{\geq\gamma^+}} \models$ “ δ is a singular limit of cardinals satisfying GCH” yi
 over V_1 with $Q_{<\gamma^+} * \dot{Q}^{\geq\gamma^+}$ preserves δ is a singular cardinal of the same co

cofinalities as $V[G_\kappa] = V_1$. To show $V_2 \models GCH$ and has the same cardinals as V_1 , let again γ be a cardinal in V_1 , and write $Q_\kappa = Q_{<\gamma^+} * \dot{Q}$, where V_1 for the rest of Q_κ . As before, $V_1^{Q_{<\gamma^+}} \models "2^\gamma = \gamma^+$ and $\text{cof}(\gamma) = \text{cof}^{V_1}(\gamma)"$, $V_1^{Q_{<\gamma^+}} \models "Q$ is γ -closed", $V_2 \models "2^\gamma = \gamma^+$ and $\text{cof}(\gamma) = \text{cof}^{V_1}(\gamma)"$, i.e., by the definition of γ , $V_2 \models GCH$, and all cardinals of V_1 are cardinals of the same cofinality as all functions $f : \gamma \rightarrow \delta$, $\delta \in V_1$ some ordinal, $f \in V_2$ are so that $f \in V_1^{Q_{<\gamma^+}}$. In other words, sentence, it is the case $V_2 \models \text{Power Set}$, and since $V_2 \models AC$ and Q_κ is an iteration, by the usual arguments, the aforementioned fact implies $V_2 \models AC$. Thus, $V_2 \models ZFC$.

It remains to show that $V[G_\kappa] \models GCH$ and has the same cardinals as V . To do this, we first note that Easton support iterations of δ -strategically closed partial orderings are δ -strategically closed for δ any regular cardinal. This is proved by induction. If R_1 is δ -strategically closed and $\Vdash_{R_1} \dot{R}_2$ is δ -strategically closed, then for $p \in R_1$ be so that $p \Vdash \dot{g}$ is a strategy for player II ensuring that the game G_δ has an increasing chain of elements of \dot{R}_2 of length δ can always be continued. Player II begins by picking $r_0 = \langle p_0, \dot{q}_0 \rangle \in R_1 * \dot{R}_2$ so that $p_0 \geq p$ has been chosen according to the strategy f for R_1 and $p_0 \Vdash \dot{q}_0$ has been chosen according to \dot{g} , and at stage $\alpha + 2$ picks $r_{\alpha+2} = \langle p_{\alpha+2}, \dot{q}_{\alpha+2} \rangle$ so that $p_{\alpha+2}$ has been chosen according to the strategy f for R_1 and $p_{\alpha+2} \Vdash \dot{q}_{\alpha+2}$ has been chosen according to \dot{g} , then at limit stages λ

together with the usual proof at limit stages (see [Ba], Theorem 2.5) to support iteration of δ -closed partial orderings is δ -closed, yield that δ -str preserved at limit stages of all of our Easton support iterations of δ -stra partial orderings. In addition, the ideas of this paragraph will also sho support iterations of $\prec \delta^+$ -strategically closed partial orderings are \prec closed for δ any regular cardinal.

For $\alpha < \kappa$ and $P_{\alpha+1} = P_\alpha * \dot{Q}_\alpha$, since $\lambda_\alpha < \delta_{\alpha+1}$, the definition of Q_α $V^{P_\alpha} \models “|Q_\alpha| < \delta_{\alpha+1}”$. This fact, together with Lemma 5 and the definitio now yield the proof that $V^{P_{\alpha+1}} \models \text{GCH}$ and has the same cardinals and V is virtually identical to the proof given in the first part of this lemma that has the same cardinals and cofinalities as V_1 , replacing γ -closure with γ -s which also implies that the forcing adds no new functions from γ to the g

If λ is a limit ordinal so that $\bar{\lambda} = \sup(\{\delta_\alpha : \alpha < \lambda\})$ is singular, then that $V^{P_\lambda} \models \text{GCH}$ and has the same cardinals and cofinalities as V is vir as the just referred to proof of the first part of this lemma for virtually i as in the previous sentence, keeping in mind that since $|P_\alpha| < \delta_\alpha$ induct $|P_\lambda| = \bar{\lambda}^+$. If $\lambda \leq \kappa$ is a limit ordinal so that $\bar{\lambda} = \lambda$, then for cardinals $\gamma \leq \lambda$ $V^{P_\lambda} \models “\gamma$ is a cardinal and $\text{cof}(\gamma) = \text{cof}^V(\gamma)”$ is once more as before, as i

We now show that the intuitive motivation for the definition of P as paragraph immediately preceding the statement of Lemma 8 actually works.

LEMMA 9. *If $\delta < \gamma$ and $V \models$ “ δ is γ supercompact and γ is regular”, then over P , $V[G] \models$ “ δ is γ supercompact”.*

PROOF OF LEMMA 9: Let $j : V \rightarrow M$ be an elementary embedding witnessing the supercompactness of δ so that $M \models$ “ δ is not γ supercompact”. For

$\delta = \delta_{\alpha_0}$, let $P = P_{\alpha_0} * \dot{Q}'_{\alpha_0} * \dot{T}_{\alpha_0} * \dot{R}$, where \dot{Q}'_{α_0} is a term for the full support

$\langle P_{\omega, \lambda}^0 * (P_{\omega, \lambda}^1[\dot{S}\lambda] \times P_{\omega, \lambda}^2[\dot{S}\lambda]) : \delta^+ \leq \lambda \leq \gamma \text{ and } \lambda \text{ is regular} \rangle$, \dot{T}_{α_0} is a term for

and \dot{R} is a term for the rest of P . We show that $V^{P_{\alpha_0} * \dot{Q}'_{\alpha_0}} \models$ “ δ is γ supercompact

via any ultrafilter \mathcal{U} ”, will suffice, since $\Vdash_{P_{\alpha_0} * \dot{Q}'_{\alpha_0}}$ “ $\dot{T}_{\alpha_0} * \dot{R}$ is γ -strategically closed”, so as the regularity of δ in V and GCH in $V^{P_{\alpha_0} * \dot{Q}'_{\alpha_0}}$ imply $V^{P_{\alpha_0} * \dot{Q}'_{\alpha_0}} \models$ “ $|\gamma|^{<\delta} = \gamma$ ”, if $V^{P_{\alpha_0} * \dot{Q}'_{\alpha_0}} \models$ “ δ is γ supercompact

via any ultrafilter \mathcal{U} ”, then $V^{P_{\alpha_0} * \dot{Q}'_{\alpha_0} * \dot{T}_{\alpha_0} * \dot{R}} = V^P \models$ “ δ is γ supercompact via any ultrafilter \mathcal{U} ”.

then $V^{P_{\alpha_0} * \dot{Q}'_{\alpha_0} * \dot{T}_{\alpha_0} * \dot{R}} = V^P \models$ “ δ is γ supercompact via any ultrafilter \mathcal{U} ”.

To this end, we first note we will actually show that for $G_{\alpha_0} * G'_{\alpha_0}$ to be

V -generic over $P_{\alpha_0} * \dot{Q}'_{\alpha_0}$, the embedding j extends to $k : V[G_{\alpha_0} * G'_{\alpha_0}] \rightarrow V$.

$H \subseteq j(P)$. As $\langle j(\alpha) : \alpha < \gamma \rangle \in M$, this will be enough to allow the construction of an

ultrafilter $x \in \mathcal{U}$ iff $\langle j(\alpha) : \alpha < \gamma \rangle \in k(x)$ to be given in $V[G_{\alpha_0} * G'_{\alpha_0}]$.

We construct H in stages. In M , as $\delta = \delta_{\alpha_0}$ is the critical point of j , we let

$\dot{Q}'_{\alpha_0} = P_{\alpha_0} * \dot{R}'_{\alpha_0} * \dot{R}''_{\alpha_0} * \dot{R}'''_{\alpha_0}$, where \dot{R}'_{α_0} will be a term for the full support

for $j(\dot{Q}'_{\alpha_0})$. This will allow us to define H as $H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H'''_{\alpha_0}$. For
 $\langle G^0_{\omega,\lambda} * (G^1_{\omega,\lambda} \times G^2_{\omega,\lambda}) : \delta^+ \leq \lambda \leq \gamma \text{ and } \lambda \text{ is regular} \rangle$, we let $H_{\alpha_0} =$
 $\langle G^0_{\omega,\lambda} * (G^1_{\omega,\lambda} \times G^2_{\omega,\lambda}) : \delta^+ \leq \lambda < \gamma \text{ and } \lambda \text{ is regular} \rangle * \langle G^0_{\omega,\gamma} * G^1_{\omega,\gamma} \rangle$. This is
 same as G'_{α_0} , except, since $M \models$ “ δ is not γ supercompact”, we omit the
 $G^2_{\omega,\gamma}$.

To construct H''_{α_0} , we first note that the definition of P ensures $|P_{\alpha_0}| < \delta$
 δ is necessarily Mahlo, P_{α_0} is δ -c.c. As $V[G_{\alpha_0}]$ and $M[G_{\alpha_0}]$ are both models of
 definition of R'_{α_0} in $M[H_{\alpha_0}]$, Lemmas 4, 5, and 8, and the remark immediately following
 Lemma 5 then ensure that $M[H_{\alpha_0}] \models$ “The portion of R'_{α_0} below γ is a
 portion of R'_{α_0} at γ is a γ -strategically closed partial ordering followed by a
 partial ordering”. Since $M^\gamma \subseteq M$ implies $(\gamma^+)^V = (\gamma^+)^M$ and P_{α_0} is δ -c.c., Lemma 6.4
 shows $V[G_{\alpha_0}]$ satisfies these facts as well. This means applying the argument of
 6.4 of [Ba] twice, in concert with an application of the fact a portion of a strategically closed
 strategically closed, shows $M[H_{\alpha_0} * H'_{\alpha_0}] = M[G_{\alpha_0} * H'_{\alpha_0}]$ is closed under δ -c.c. forcing
 with respect to $V[G_{\alpha_0} * H'_{\alpha_0}]$, i.e., if $f : \gamma \rightarrow M[H_{\alpha_0} * H'_{\alpha_0}]$, $f \in V[G_{\alpha_0} * H'_{\alpha_0}]$
 $f \in M[H_{\alpha_0} * H'_{\alpha_0}]$. Therefore, as $M[H_{\alpha_0} * H'_{\alpha_0}] \models$ “ R''_{α_0} is both γ -strategically
 $\prec \gamma^+$ -strategically closed”, these facts are true in $V[G_{\alpha_0} * H'_{\alpha_0}]$ as well.

Observe now that GCH allows us to assume $\gamma^+ < j(\delta) < j(\delta^+)$. Then
 $M[H_{\alpha_0} * H'_{\alpha_0}] \models$ “ $|R''_{\alpha_0}| = j(\delta)$ and $|\mathcal{P}(R''_{\alpha_0})| = j(\delta^+)$ ” (this last fact follows from

q_{-1} is the trivial condition), and player II responds by picking $q_\alpha \geq p_\alpha$ (so the $\prec \gamma^+$ -strategic closure of R''_{α_0} in $V[G_{\alpha_0} * H'_{\alpha_0}]$, player II has a winning strategy for this game, so $\langle q_\alpha : \alpha < \gamma^+ \rangle$ can be taken as an increasing sequence of $q_\alpha \in D_\alpha$ for $\alpha < \gamma^+$. Clearly, $H''_{\alpha_0} = \{p \in R''_{\alpha_0} : \exists \alpha < \gamma^+ [q_\alpha \geq p]\}$ is our generic object over R''_{α_0} which has been constructed in $V[G_{\alpha_0} * H'_{\alpha_0}] \subseteq V[G_{\alpha_0} * H''_{\alpha_0}]$.
 $H''_{\alpha_0} \in V[G_{\alpha_0} * G'_{\alpha_0}]$.

To construct H'''_{α_0} , we note first that as in our remarks in Lemma 8, below the least inaccessible $> \delta$ and γ is regular, $\gamma = \sigma^+$ for some σ . This allows us to factorize Q'_{α_0} in $V[G_{\alpha_0}]$ $Q'_{\alpha_0} = Q''_{\alpha_0} * \dot{Q}'''_{\alpha_0}$, where Q''_{α_0} is the full support iteration of $\langle P_{\omega,\lambda}^2[\dot{S}_\lambda] : \delta^+ \leq \lambda \leq \sigma \text{ and } \lambda \text{ is regular} \rangle$ and \dot{Q}'''_{α_0} is a term for $P_{\omega,\gamma}^0 * (P_{\omega,\gamma}^1[\dot{S}_\gamma] * P_{\omega,\gamma}^2[\dot{S}_\gamma])$. This factorization of Q'_{α_0} induces through j in $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$ a factorization $R_{\alpha_0}^4 * \dot{R}_{\alpha_0}^5 = \langle \text{the full support iteration of } \langle P_{\omega,\lambda}^0 * (P_{\omega,\lambda}^1[\dot{S}_\lambda] \times P_{\omega,\lambda}^2[\dot{S}_\lambda]) : j(\lambda) < \gamma \text{ and } \lambda \text{ is regular} \rangle * \langle \dot{P}_{\omega,j(\gamma)}^0 * (P_{\omega,j(\gamma)}^1[\dot{S}_{j(\gamma)}] \times P_{\omega,j(\gamma)}^2[\dot{S}_{j(\gamma)}]) \rangle \rangle$.

Work now in $V[G_{\alpha_0} * H'_{\alpha_0}]$. In $M[H_{\alpha_0} * H'_{\alpha_0}]$, as previously noted, R''_{α_0} is γ -closed. Since $M[H_{\alpha_0} * H'_{\alpha_0}]$ has already been observed to be closed under γ -sequences with respect to $V[G_{\alpha_0} * H'_{\alpha_0}]$, and since any γ sequence of elements of $M[H_{\alpha_0} * H'_{\alpha_0}]$ is represented, in $M[H_{\alpha_0} * H'_{\alpha_0}]$, by a term which is actually a function $f : \gamma \rightarrow M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$ is closed under γ sequences with respect to $V[G_{\alpha_0} * H'_{\alpha_0}]$. If $f : \gamma \rightarrow M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$, $f \in V[G_{\alpha_0} * H'_{\alpha_0}]$, then $f \in M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$.

$V[G_{\alpha_0} * H'_{\alpha_0}]$, the embedding j extends to $j^*: V[G_{\alpha_0}] \rightarrow M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$. GCH in $V[G_{\alpha_0} * H'_{\alpha_0}]$ implies $V[G_{\alpha_0} * H'_{\alpha_0}] \models “|Q''_{\alpha_0}| = |G''_{\alpha_0}| = \gamma”$, this implies $\{j^*(p) : p \in G''_{\alpha_0}\} \in M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$. Since $\{j^*(p) : p \in M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]\} \models “R^4_{\alpha_0}$ is equivalent to a $j^*(\delta) = j(\delta)$ -directed closed poset and $j(\delta) > \gamma$, $q = \sup\{j^*(p) : p \in G''_{\alpha_0}\}$ can be taken as a condition in $R^4_{\alpha_0}$

Note that GCH in $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$ implies $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}] \models$ and by choice of $j : V \rightarrow M$, $V[G_{\alpha_0} * H'_{\alpha_0}] \models “|j(\gamma)| = \gamma^+$ and $|j(\gamma^+)| =$ the number of dense open subsets of $R^4_{\alpha_0}$ in $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$ is $(2^{j(\gamma)})^{M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]}$ which has cardinality $(\gamma^+)^V = (\gamma^+)^{V[G_{\alpha_0} * H'_{\alpha_0}]}$, $\alpha < \gamma^+ \in V[G_{\alpha_0} * H'_{\alpha_0}]$ enumerate all dense open subsets of $R^4_{\alpha_0}$ in $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$ and hence in $V[G_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$ -generic object $H^4_{\alpha_0}$ over $R^4_{\alpha_0}$ containing q to be constructed in a standard way in $V[G_{\alpha_0} * H'_{\alpha_0}]$, namely let $q_0 \in D_0$ be so that $q_0 \geq q$, and $\alpha < \gamma^+$ by the γ -closure of $R^4_{\alpha_0}$ in $V[G_{\alpha_0} * H'_{\alpha_0}]$, let $q_\alpha \in D_\alpha$ be so that $q_\alpha \geq \sup\{q_0, q_\beta : \beta < \alpha\}$. As before, $H^4_{\alpha_0} = \{p \in R^4_{\alpha_0} : \exists \alpha < \gamma^+[q_\alpha \geq p]\} \in V[G_{\alpha_0} * H'_{\alpha_0}] \subseteq V[G_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$ is our desired generic object.

By the above construction, in $V[G_{\alpha_0} * G'_{\alpha_0}]$, the embedding $j^* : V[G_{\alpha_0}] \rightarrow M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$ extends to an embedding $j^{**} : V[G_{\alpha_0} * G''_{\alpha_0}] \rightarrow M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$. This construction will be done once we have constructed in $V[G_{\alpha_0} * G'_{\alpha_0}]$ the appropriate generic object.

1.2, Fact 2, pp. 5-6), since $j^{**} : V[G_{\alpha_0} * G''_{\alpha_0}] \rightarrow M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0}]$ every element of $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0}]$ can be written $j^{**}(F)(a)$ with cardinality γ , $j^{***}G^0_{\omega,\gamma} * G^2_{\omega,\gamma}$ generates an $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0}]$ -gen

It remains to construct $H^6_{\alpha_0}$, our $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0} * H^5_{\alpha_0}]$ -gen $P^1_{\omega,j(\gamma)}[S_{j(\gamma)}]$. To do this, first note that $H^4_{\alpha_0}$ (which was constructed in $V[M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]]$ -generic over $R^4_{\alpha_0}$, a partial ordering which in $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$ is $j(\delta)$ -closed. Since $j(\delta) > \gamma$ and $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$ is closed under γ sequences to $V[G_{\alpha_0} * H'_{\alpha_0}]$, we can apply earlier reasoning to infer $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$ is closed under γ sequences with respect to $V[G_{\alpha_0} * H'_{\alpha_0}]$, i.e., if $f : \gamma \rightarrow M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$ then $f \in V[G_{\alpha_0} * H'_{\alpha_0}]$.

Choose in $V[G_{\alpha_0} * G'_{\alpha_0}]$ an enumeration $\langle p_\alpha : \alpha < \gamma^+ \rangle$ of $G^1_{\omega,\gamma}$. In $V[G_{\alpha_0} * G'_{\alpha_0}]$, let f be an isomorphism between (a dense subset of) $P^1_{\omega,\gamma}[S_{\omega,\gamma}]$ and $G^1_{\omega,\gamma}$. This gives us a sequence $\langle f(p_\alpha) : \alpha < \gamma^+ \rangle$ of γ^+ many compatible elements. Let $p'_\alpha = f(p_\alpha)$, we may hence assume that $I = \langle p'_\alpha : \alpha < \gamma^+ \rangle$ is an approach object for Q^1_γ . By Lemma 6, $V[G_{\alpha_0} * G''_{\alpha_0} * G^0_{\omega,\gamma} * G^1_{\omega,\gamma} * G^2_{\omega,\gamma}] = V[G_{\alpha_0} * G''_{\alpha_0} * G^0_{\omega,\gamma} * G^1_{\omega,\gamma}] = V[G_{\alpha_0} * H'_{\alpha_0}]$ have the same γ sequences of elements and hence of $V[G_{\alpha_0} * H'_{\alpha_0}]$. Thus, any γ sequence of elements of $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$ present in $V[G_{\alpha_0} * G'_{\alpha_0}]$ is actually an element of $V[G_{\alpha_0} * H'_{\alpha_0}]$ (so $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0}]$ is really closed under γ sequences with respect to $V[G_{\alpha_0} * G'_{\alpha_0}]$).

$V[G_{\alpha_0} * G'_{\alpha_0}]$ and I is compatible imply that $q_\alpha = \cup\{j^{**}(p) : p \in I|\alpha\}$ is well-defined and is an element of $Q^1_{j(\gamma)}$. Further, if $\langle \rho, \sigma \rangle \in \text{dom}(q_\alpha)$ ($\cup_{\beta < \alpha} q_\beta \in Q^1_{j(\gamma)}$ as $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0}]$ is closed under γ sequences to $V[G_{\alpha_0} * G'_{\alpha_0}]$), then $\sigma \in [\cup_{\beta < \alpha} j(\beta), j(\alpha)]$. (If $\sigma < \cup_{\beta < \alpha} j(\beta)$, then let β that $\sigma < j(\beta)$, and let ρ and σ be so that $\langle \rho, \sigma \rangle \in \text{dom}(q_\alpha)$. It must be that for some $p \in I|\alpha$, $\langle \rho, \sigma \rangle \in \text{dom}(j^{**}(p))$. Since by elementarity and the fact that $I|\beta$ and $I|\alpha$, for $p|\beta = q \in I|\beta$, $j^{**}(q) = j^{**}(p)|j(\beta) = j^{**}(p|\beta)$, it must be that $\langle \rho, \sigma \rangle \in \text{dom}(j^{**}(q))$. This means $\langle \rho, \sigma \rangle \in \text{dom}(q_\beta)$, a contradiction.)

We define now an $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0} * H^5_{\alpha_0}]$ -generic object H_{α_0} that $p \in f''G^1_{\omega, \gamma}$ implies $j^{**}(p) \in H^{6,0}_{\alpha_0}$. First, for $\beta \in (j(\gamma), j(\gamma^+))$, let $Q_\beta[H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0}]$ be the forcing for adding β many Cohen subsets to $j(\gamma)$, i.e. $j(\gamma) \times \beta \rightarrow \{0, 1\} : g$ is a function so that $|\text{dom}(g)| < j(\gamma)$, ordered by $g \leq h$ iff $g \supseteq h$. Note that since $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0} * H^5_{\alpha_0}] \models \text{GCH}$, $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0} * H^5_{\alpha_0}] \models$ “ $Q^1_{j(\gamma)}$ is $j(\gamma^+)$ -c.c. and $Q^1_{j(\gamma)}$ has $j(\gamma^+)$ many maximal antichains”. The set $\mathcal{A} \in M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0} * H^5_{\alpha_0}]$ is a maximal antichain of $Q^1_{j(\gamma)}$, the set $V_\beta = V[G_{\alpha_0} * G''_{\alpha_0} * H'_{\alpha_0}]$ for some $\beta \in (j(\gamma), j(\gamma^+))$. Also, since $V \subseteq V[G_{\alpha_0} * G''_{\alpha_0}] \subseteq V[G_{\alpha_0} * H'_{\alpha_0}]$ and V_β are all models of GCH containing the same cardinals and cofinalities, $V \subseteq V_\beta$. The preceding thus means we can let $\langle \mathcal{A}_\alpha : \alpha < \gamma^+ \rangle \in V$ be an enumeration of the maximal antichains of $Q^1_{j(\gamma)}$ present in $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0} * H^5_{\alpha_0}]$.

$\langle r_\alpha : \alpha \in (\gamma, \gamma^+) \rangle$, if α is a limit, we let $r_\alpha = \bigcup_{\beta < \alpha} r_\beta$. By the facts $\langle q_\beta :$

(strictly) increasing and $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0}]$ is closed under γ sequences

to $V[G_{\alpha_0} * G'_{\alpha_0}]$, this definition is valid. Assuming now r_α has been defined

define $r_{\alpha+1}$, let $\langle \mathcal{B}_\beta : \beta < \eta \leq \gamma \rangle$ be the subsequence of $\langle \mathcal{A}_\beta : \beta \leq \alpha + 1 \rangle$

antichain \mathcal{A} so that $\mathcal{A} \subseteq Q_{j(\gamma)}^{1,j(\alpha+1)}$. Since $q_\alpha, r_\alpha \in Q_{j(\gamma)}^{1,j(\alpha)}$, $q_{\alpha+1} \in Q_{j(\gamma)}^{1,j(\alpha)}$

$j(\alpha + 1)$, the condition $r'_{\alpha+1} = r_\alpha \cup q_{\alpha+1}$ is well-defined, as by our earlier

any new elements of $\text{dom}(q_{\alpha+1})$ won't be present in either $\text{dom}(q_\alpha)$ or $\text{dom}(r_\alpha)$

thus using the fact $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0}]$ is closed under γ sequences

$V[G_{\alpha_0} * G'_{\alpha_0}]$ define by induction an increasing sequence $\langle s_\beta : \beta < \eta \rangle$ so

$s_\rho = \bigcup_{\beta < \rho} s_\beta$ if ρ is a limit, and $s_{\beta+1} \geq s_\beta$ is so that $s_{\beta+1}$ extends some element

just mentioned closure fact implies $r_{\alpha+1} = \bigcup_{\beta < \eta} s_\beta$ is a well-defined condition.

In order to show $H_{\alpha_0}^{6,0}$ is $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0} * H^5_{\alpha_0}]$ -generic over V

show that $\forall \mathcal{A} \in \langle \mathcal{A}_\alpha : \alpha \in (\gamma, \gamma^+) \rangle \exists \beta \in (\gamma, \gamma^+) \exists r \in \mathcal{A} [r_\beta \geq r]$. To do this

that $\langle j(\alpha) : \alpha < \gamma^+ \rangle$ is unbounded in $j(\gamma^+)$. To see this, if $\beta < j(\gamma^+)$ is

for some $g : \gamma \rightarrow M$ representing β , we can assume that for $\lambda < \gamma$, $g(\lambda) < \beta$

by the regularity of γ^+ in V , $\beta_0 = \bigcup_{\lambda < \gamma} g(\lambda) < \gamma^+$, and $j(\beta_0) > \beta$. This

earlier remarks that if $\mathcal{A} \in \langle \mathcal{A}_\alpha : \alpha < \gamma^+ \rangle$, $\mathcal{A} = \mathcal{A}_p$, then we can let β

that $\mathcal{A} \subseteq Q_{j(\gamma)}^{1,j(\beta)}$. By construction, for $\eta > \max(\beta, \rho)$, there is some $r \in \mathcal{A}$

Finally, since any $p \in Q_\gamma^1$ is so that for some $\alpha \in (\gamma, \gamma^+)$, $p = p|\alpha$, $H_{\alpha_0}^{6,0}$

$j^{***}(f)$ is a definable isomorphism over $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H^4_{\alpha_0} * H^5_{\alpha_0}]$ b
subset of) $P^1_{\omega, j(\gamma)}[S_{j(\gamma)}]$ and $Q^1_{j(\gamma)}$, and $j^{***}(f^{-1})$ is its inverse. If $H^6_{\alpha_0} =$
 $p \in H^{6,0}_{\alpha_0}$ }, then it is now easy to verify that $H^6_{\alpha_0}$ is an $M[H_{\alpha_0} * H'_{\alpha_0} * H''_{\alpha_0} * H$
object over (a dense subset of) $P^1_{\omega, j(\gamma)}[S_{j(\gamma)}]$ so that $p \in$ (a dense subset of)
 $j^{***}(p) \in H^6_{\alpha_0}$. Therefore, for $H''' = H^4_{\alpha_0} * H^5_{\alpha_0} * H^6_{\alpha_0}$ and $H = H_{\alpha_0} * H$
 $j : V \rightarrow M$ extends to $k : V[G_{\alpha_0} * G'_{\alpha_0}] \rightarrow M[H]$, so $V[G] \models$ “ δ is γ super
regular. This proves Lemma 9.

LEMMA 10. For γ regular, $V[G] \models$ “ δ is γ strongly compact iff δ is γ sup

PROOF OF LEMMA 10: Assume towards a contradiction the lemma is fals
be so that $V[G] \models$ “ δ is γ strongly compact, δ isn't γ supercompact, γ is n
the least such cardinal”. As before, let $\delta = \delta_\alpha$, i.e., δ is the α th inaccess
 $V \models$ “ δ_α is γ supercompact”, then Lemma 9 implies $V[G] \models$ “ δ_α is γ sup
it must be the case that $V \models$ “ δ_α isn't γ supercompact”. We therefore l
 λ_α the least regular cardinal so that $V \models$ “ δ_α isn't λ_α supercompact”.

In the manner of Lemma 9, write $P = P_\alpha * \dot{Q}_\alpha * \dot{Q}'_\alpha$, where P_α is the it
stage α , \dot{Q}_α is a term for the full support iteration of $\langle P^0_{\omega, \lambda} * (P^1_{\omega, \lambda}[\dot{S}_\lambda] \times$

$V[G] \models \text{"}\delta_\alpha \text{ isn't } \gamma \text{ strongly compact"}$. This proves Lemma 10.

LEMMA 11. *For γ regular, $V[G] \models \text{"}\delta \text{ is } \gamma \text{ supercompact"}$ iff $V \models \text{"}\delta \text{ is } \gamma \text{ supercompact"}$.*

PROOF OF LEMMA 11: By Lemma 9, if $V \models \text{"}\delta \text{ is } \gamma \text{ supercompact and } \gamma \text{ is regular"}$, then $V[G] \models \text{"}\delta \text{ is } \gamma \text{ supercompact"}$. If $V[G] \models \text{"}\delta \text{ is } \gamma \text{ supercompact and } \gamma \text{ is regular"}$, then $V \models \text{"}\delta \text{ is not } \gamma \text{ supercompact"}$, then as in Lemma 10, for the α so that $V \models \text{"}\delta_\alpha \text{ isn't } \lambda_\alpha \text{ supercompact"}$ for λ_α the least regular cardinal so that $V \models \text{"}\delta_\alpha \text{ isn't } \lambda_\alpha \text{ supercompact"}$. Lemma 10 then immediately yields that $V[G] \models \text{"}\delta_\alpha \text{ isn't } \lambda_\alpha \leq \gamma \text{ strongly compact"}$, which proves Lemma 11.

The proof of Lemma 11 completes the proof of our Theorem in the case that δ is a supercompact cardinal in the universe and has no inaccessibles above it. This completes the proof of the Theorem to hold non-trivially.

§3 The General Case

We will now prove our Theorem under the assumption that there may not be any supercompact cardinal in the universe (including a proper class of supercompact cardinals).

Easton supports so as to destroy those “bad” instances of strong compactness that can be destroyed and so as to resurrect and preserve all instances of supercompactness. For each inaccessible δ_i , a certain coding ordinal $\theta_i < \delta_i$ will be chosen when iterating. We will use to define $P_{\theta_i, \lambda}^0$, $P_{\theta_i, \lambda}^1[S_{\theta_i, \lambda}]$, and $P_{\theta_i, \lambda}^2[S_{\theta_i, \lambda}]$, where $S_{\theta_i, \lambda}$ is the stationary set of ordinals of cofinality θ_i added to λ^+ by $P_{\theta_i, \lambda}^0$. We will iterate for different values of θ_i , instead of having $\theta_i = \omega$ as in the last section, so as to destroy the strong compactness of some δ and yet preserve the λ supercompactness of δ if necessary. When θ_i can't be defined, we won't necessarily be able to destroy the strong compactness of δ_i , although we will be able to preserve the λ supercompactness of δ_i if appropriate. This will happen when instances of the results of [Me] and [Me] are destroyed when there are certain limits of supercompactness.

Getting specific, let $\langle \delta_i : i \in \text{Ord} \rangle$ enumerate the inaccessibles of V by increasing cardinality. Let $\lambda_i > \delta_i$ be the least regular cardinal so that $V \models$ “ δ_i isn't λ_i supercompact”. If no such λ_i exists, i.e., if δ_i is supercompact, then let $\lambda_i = \Omega$, where Ω is some giant “ordinal” larger than any $\alpha \in \text{Ord}$. If possible, choose $\theta_i < \delta_i$ a regular cardinal so that $\theta_i < \delta_j < \delta_i$ implies $\lambda_j < \delta_i$ (whenever $j < i$). If θ_i is undefined for δ_i iff δ_i is a limit of cardinals which are $< \delta_i$ supercompact but if δ_j is $< \delta_i$ supercompact, then $\lambda_j \geq \delta_i$.

We define now a class Easton support iteration $P = \langle \langle P_\alpha, \dot{Q}_\alpha \rangle : \alpha \in \text{Ord} \rangle$

$$\left(\prod_{\{i < \alpha : \delta_i \text{ is } \alpha \text{ supercompact}\}} (P_{\theta_i, \alpha}^0 * P_{\theta_i, \alpha}^2 [\dot{S}_{\theta_i, \alpha}]) * \prod_{\{i < \alpha : \delta_i \text{ is } \alpha \text{ supercompact}\}} \right) * \left(\prod_{\{i < \alpha : \alpha = \lambda_i\}} P_{\theta_i, \alpha}^0 * \prod_{\{i < \alpha : \alpha = \lambda_i\}} P_{\theta_i, \alpha}^1 [\dot{S}_{\theta_i, \alpha}] \right) = (\dot{P}_\alpha^0 * \dot{P}_\alpha^1) \times (\dot{P}_\alpha^2 * \dot{P}_\alpha^3),$$

with the elements of \dot{P}_α^0 and \dot{P}_α^2 will have full support, and elements of \dot{P}_α^1 and \dot{P}_α^3 will have support $< \alpha$.

Note that unless $|\{i < \alpha : \delta_i \text{ is } < \alpha \text{ supercompact}\}| = \alpha$, the elements of \dot{P}_α^1 and \dot{P}_α^3 will have support for $i = 0, 1, 2, 3$.

The following lemma is the natural analogue to Lemma 8.

LEMMA 12. For G a V -generic class over P , V and $V[G]$ have the same cardinals and cofinalities, and $V[G] \models ZFC + GCH$.

PROOF OF LEMMA 12: We show inductively that for any α , V and V^{P_α} have the same cardinals and cofinalities, and $V^{P_\alpha} \models GCH$. This will suffice to show $V[G]$ has the same cardinals and cofinalities as V , since if \dot{R} is a term so that $P \Vdash_{P_\alpha}$ “The iteration \dot{R} is $< \alpha$ -strategically closed”, meaning $V^{P_\alpha * \dot{R}}$ and V^{P_α} have the same cardinals and cofinalities $\leq \alpha$ and GCH holds in both of these models for $\alpha < \lambda$.

Assume now V and V^{P_α} have the same cardinals and cofinalities, and $V^{P_\alpha} \models GCH$.

We show V and $V^{P_{\alpha+1}} = V^{P_\alpha * \dot{Q}_\alpha}$ have the same cardinals and cofinalities $\leq \alpha$ and $V^{P_{\alpha+1}} \models GCH$. If \dot{Q}_α is a term for the trivial partial ordering, this is clearly the case.

If \dot{Q}_α is not a term for the trivial partial ordering. Let then \dot{Q}'_α be a term for the partial ordering $(\dot{P}_\alpha^0 * \dot{P}_\alpha^2 [\dot{S}_{\theta_i, \alpha}]) * \dot{P}_\alpha^3 = (\dot{P}_\alpha^0 * \dot{P}_\alpha^1) \times (\dot{P}_\alpha^2 * \dot{P}_\alpha^3)$ where as earlier

$\{i < \alpha : \delta_i \text{ is } \alpha \text{ supercompact or } \alpha = \lambda_i\} \prod_{\theta_i, \alpha} \dot{P}_{\theta_i, \alpha}^{1 \cup \dot{\theta}_i, \alpha} = \dot{P}_\alpha * \dot{P}_\alpha$, where the elements

have full support, and the elements of \dot{P}_α^6 will have support $< \alpha$. By Lemma 12,

each $P_{\theta_i, \alpha}^0 * (P_{\theta_i, \alpha}^1[\dot{S}_{\theta_i, \alpha}] \times P_{\theta_i, \alpha}^2[\dot{S}_{\theta_i, \alpha}])$ is equivalent to $Q_\alpha^0 * \dot{Q}_\alpha^1$. We therefore

V^{P_α}, Q'_α is equivalent to $(\prod_{\beta < \gamma} Q_\alpha^0) * (\prod_{\beta < \gamma} \dot{Q}_\alpha^1)$, where $\gamma = |\{i < \alpha : \delta_i \text{ is } \alpha \text{ supercompact or } \alpha = \lambda_i\}|$ (γ is a cardinal in both V and V^{P_α} by induction), i.e., the full support

product of γ copies of Q_α^0 followed by the $< \alpha$ support product of γ copies of \dot{Q}_α^1 .

$\prod_{\beta < \gamma} Q_\alpha^0$ is isomorphic to the usual ordering for adding γ many Cohen subsets of α under

conditions of support $< \alpha^+$, and since $\prod_{\beta < \gamma} \dot{Q}_\alpha^1$ is composed of elements of support

$< \alpha$, $\prod_{\beta < \gamma} \dot{Q}_\alpha^1$ is isomorphic to a single partial ordering for adding α^+ many Cohen subsets

to α using conditions of support $< \alpha$. Hence, $V^{P_\alpha * \dot{Q}'_\alpha}$ and V^{P_α} have the same cardinals

and cofinalities, and $V^{P_\alpha * \dot{Q}'_\alpha} \models \text{GCH}$, so $V^{P_\alpha * \dot{Q}'_\alpha}$ and V have the same cardinals and cofinalities.

And, for G_α the projection of G onto P_α , if H is $V[G_\alpha]$ -generic over V , then for any

$i < \alpha$ so that $\alpha = \lambda_i$, we can omit the portion of H generic over $P_{\theta_i, \alpha}^2$ and

obtain a $V[G_\alpha]$ -generic object H' for Q_α . Since $V \subseteq V[G_\alpha][H'] \subseteq V[G_\alpha][H]$, it follows that

5, it must therefore be the case that $V, V^{P_\alpha * \dot{Q}'_\alpha} = V^{P_{\alpha+1}}$, and $V^{P_\alpha * \dot{Q}'_\alpha}$ and V have the same

cardinals and cofinalities and satisfy GCH.

To complete the proof of Lemma 12, if now α is a limit ordinal, then V^{P_α} and V^{P_α}

have the same cardinals and cofinalities and $V^{P_\alpha} \models \text{GCH}$ is true. The proof given in the last

paragraph of Lemma 8, since the iteration still has the same closure and can easily be seen by GCH to be so that for any $\beta < \alpha$, $|P_\beta|$

We remark that if we rewrite \dot{Q}_α as $(\dot{P}_\alpha^0 \times \dot{P}_\alpha^2) * (\dot{P}_\alpha^1 \times \dot{P}_\alpha^3)$, then the proof of Lemma 12 combined with an argument analogous to the one following the proof of Lemma 5 show $\Vdash_{P_\alpha * (\dot{P}_\alpha^0 \times \dot{P}_\alpha^2)} \text{“}\dot{P}_\alpha^1 \times \dot{P}_\alpha^3 \text{ is } \alpha^+ \text{-c.c.} \text{”}$. By the definitions, $\Vdash_{P_\alpha} \text{“}\dot{P}_\alpha^0 \times \dot{P}_\alpha^2 \text{ is } \alpha \text{-strategically closed} \text{”}$. These observations will be used in the proof of the following lemma, which is the natural analogue to Lemma 9.

LEMMA 13. *If $\delta < \gamma$ and $V \models \text{“}\delta \text{ is } \gamma \text{ supercompact and } \gamma \text{ is regular} \text{”}$, then for any forcing P over V , $V[G] \models \text{“}\delta \text{ is } \gamma \text{ supercompact} \text{”}$.*

PROOF OF LEMMA 13: We mimic the proof of Lemma 9. Let $j : V \rightarrow M$ be an embedding witnessing the γ supercompactness of δ so that $M \models \text{“}\delta \text{ is not } \gamma \text{-supercompact} \text{”}$ and let α_0 be so that $\delta = \delta_{\alpha_0}$.

Let $P = P_\delta * \dot{Q}'_\delta * \dot{R}$, where P_δ is the iteration through stage δ , \dot{Q}'_δ is the iteration $\langle \langle P_\alpha / P_\delta, \dot{Q}_\alpha \rangle : \delta \leq \alpha \leq \gamma \rangle$, and \dot{R} is a term for the rest of the forcing since $\Vdash_{P_\delta * \dot{Q}'_\delta} \text{“}\dot{R} \text{ is } \gamma \text{-strategically closed} \text{”}$, the regularity of γ and GCH imply that it suffices to show $V^{P_\delta * \dot{Q}'_\delta} \models \text{“}\delta \text{ is } \gamma \text{ supercompact} \text{”}$.

We will again show that $j : V \rightarrow M$ extends to $k : V[G_\delta * G'_\delta] \rightarrow H \subseteq j(P)$. In M , $j(P_\delta * \dot{Q}'_\delta) = P_\delta * \dot{R}'_\delta * \dot{R}''_\delta * \dot{R}'''_\delta$, where \dot{R}'_δ will be a term for $\langle \langle P_\alpha / P_\delta, \dot{Q}_\alpha \rangle : \delta \leq \alpha \leq \gamma \rangle$, \dot{R}''_δ will be a term for the iteration in $M^{P_\delta} \langle \langle P_\alpha / P_\delta, \dot{Q}_\alpha \rangle : \delta \leq \alpha \leq \gamma \rangle$, \dot{R}'''_δ will be a term for the iteration in $M^{P_\delta * \dot{R}'_\delta} \langle \langle P_\alpha / P_{\gamma+1}, \dot{Q}_\alpha \rangle : \gamma+1 \leq \alpha < j(\delta) \rangle$, and \dot{R}'''_δ will be a term for

the form $(\dot{P}_{\theta_i, \gamma}^0 * P_{\theta_i, \gamma}^2[\dot{S}_{\theta_i, \gamma}]) * P_{\theta_i, \gamma}^1[\dot{S}_{\theta_i, \gamma}]$ appearing in \dot{R}'_δ (more specifically, identical to one appearing in \dot{Q}'_δ , and if $\dot{P}_{\theta_i, \gamma}^0 * P_{\theta_i, \gamma}^1[\dot{S}_{\theta_i, \gamma}]$ appears in \dot{R}'_δ (not in $\dot{P}_\gamma^2 * \dot{P}_\gamma^3$), then either it appears as an identical term in \dot{Q}'_δ , or (as is the case if $i = \alpha_0$ and θ_i is defined) it appears as the term $(\dot{P}_{\theta_i, \gamma}^0 * P_{\theta_i, \gamma}^2[\dot{S}_{\theta_i, \gamma}]) * P_{\theta_i, \gamma}^1[\dot{S}_{\theta_i, \gamma}]$. This allows us to define $H_\delta = G_\delta$, where G_δ is the portion of G V -generated by $\langle\langle P_\alpha / P_\delta, \dot{Q}_\alpha \rangle : \delta \leq \alpha < \gamma \rangle$, and $H'_\delta = K * K'$, where K is the projection of G onto $(P_\gamma^0 * \dot{P}_\gamma^1) \times (P_\gamma^2 * \dot{P}_\gamma^3)$ as defined in M .

To construct the next portion of the generic object H''_δ , note that by the definition of P_δ ensures $|P_\delta| = \delta$ and P_δ is δ -c.c. Thus, as before, $\text{GC}(M[G_\delta])$, the definition of \dot{R}'_δ , the fact $M^\gamma \subseteq M$, and some applications of [Ba] allow us to conclude that $M[H_\delta * H'_\delta] = M[G_\delta * H'_\delta]$ is closed under γ -strategies with respect to $V[G_\delta * H'_\delta]$. Thus, any partial ordering which is $\prec \gamma^+$ -strategically closed in $M[H_\delta * H'_\delta]$ is actually $\prec \gamma^+$ -strategically closed in $V[G_\delta * H'_\delta]$.

Observe now that if $\langle T_\alpha : \alpha < \eta \rangle$ is so that each T_α is $\prec \rho^+$ -strategically closed in M for some cardinal ρ , then $\prod_{\alpha < \eta} T_\alpha$ is also $\prec \rho^+$ -strategically closed, for if $\langle f_\alpha : \alpha < \eta \rangle$ is a strategy for player I, then for each f_α is a winning strategy for player II for T_α , then $\prod_{\alpha < \eta} f_\alpha$, i.e., pick the α -th move according to f_α , is a winning strategy for player II for $\prod_{\alpha < \eta} T_\alpha$. This observation implies $\Vdash_{P_\delta * \dot{R}'_\delta} \text{“}\dot{R}''_\delta \text{ is } \prec \gamma^+ \text{-strategically closed”}$ in either $V[G_\delta * H'_\delta]$ or M .

$\alpha < j(\gamma)$ and \dot{R}_δ^5 is a term for $\dot{Q}_{j(\gamma)}$. Also, write in V $\dot{Q}'_\delta = \dot{Q}''_\delta * \dot{Q}'''_\delta$ is a term for the iteration $\langle\langle P_\alpha/P_\delta, \dot{Q}_\alpha \rangle : \delta \leq \alpha < \gamma \rangle$ and \dot{Q}''''_δ is a term let $G'_\delta = G''_\delta * G'''_\delta$ be the corresponding factorization of G'_δ . For any $\dot{Q}_\alpha = (\dot{P}_\alpha^0 * \dot{P}_\alpha^1) \times (\dot{P}_\alpha^2 * \dot{P}_\alpha^3)$ appearing in \dot{R}_δ^4 , Lemma 4 and the fact will have full support and elements of \dot{P}_α^1 will have support $< \alpha$ imply $T = P_\delta * \dot{R}'_\delta * \dot{R}''_\delta * \langle\langle P_\beta/P_{j(\delta)}, \dot{Q}_\beta \rangle : j(\delta) \leq \beta < \alpha \rangle, \Vdash_T$ “(a dense subset is γ^+ -directed closed”. Further, if $\alpha \in [j(\delta), j(\gamma)]$ is so that for some i , must be the case that $j(\delta) < \delta_i$, for if $\delta_i \leq j(\delta)$, then by a theorem of since $M \models$ “ δ_i is $< j(\delta)$ supercompact and $j(\delta)$ is $j(\gamma)$ supercompact $j(\gamma)$ supercompact”, a contradiction to the fact $M \models$ “ $\alpha = \lambda_i < j(\gamma)$ ”. definition of θ_i , it must be the case that $j(\delta) \leq \theta_i$, i.e., since $j(\delta) > \gamma$ means \Vdash_T “ $\dot{P}_{\theta_i, \alpha}^0$ and $P_{\theta_i, \alpha}^1[\dot{S}_{\theta_i, \alpha}]$ are γ^+ -directed closed”, so as elements full support and elements of \dot{P}_α^3 will have support $< \alpha$, \Vdash_T “ $\dot{P}_\alpha^2 * \dot{P}_\alpha^3$ is γ^+ -directed closed”, i.e., \Vdash_T “(A dense subset of) $(\dot{P}_\alpha^0 * \dot{P}_\alpha^1) \times (\dot{P}_\alpha^2 * \dot{P}_\alpha^3)$ is γ^+ -directed closed”. $\Vdash_{P_\delta * \dot{R}'_\delta * \dot{R}''_\delta}$ “(A dense subset of) \dot{R}_δ^4 is γ^+ -directed closed”. Therefore, using of $j, j^* : V[G_\delta] \rightarrow M[H_\delta * H'_\delta * H''_\delta]$ which we have produced in $V[G_\delta * H'_\delta * H''_\delta]$ GCH in $M[H_\delta * H'_\delta * H''_\delta]$ implies $M[H_\delta * H'_\delta * H''_\delta] \models$ “ $|R_\delta^4| = j(\gamma)$ and $V[G_\delta * H'_\delta] \models$ “ $|j(\gamma^+)| = (\gamma^+)^V = \gamma^+$ ”, and the closure properties of M

is closed under γ -sequences with respect to $V[G_\delta * G'_\delta]$.

$$\begin{aligned} & \text{Rewrite } \dot{R}_\delta^5 \text{ as } \left(\prod_{\{i < j(\gamma): \delta_i \text{ is } j(\gamma) \text{ supercompact}\}} \prod_{\{i < j(\gamma): j(\gamma) = \lambda_i\}} \dot{P}_{\theta_i, j(\gamma)}^0 \right) * \left(\dot{P}_{\theta_i, j(\gamma)}^0 * \prod_{\{i < j(\gamma): \delta_i \text{ is } j(\gamma) \text{ supercompact or } j(\gamma) = \lambda_i\}} \right) \\ & \times \prod_{\{i < j(\gamma): j(\gamma) = \lambda_i\}} \dot{P}_{\theta_i, j(\gamma)}^0 * \left(\prod_{\{i < j(\gamma): \delta_i \text{ is } j(\gamma) \text{ supercompact or } j(\gamma) = \lambda_i\}} \right) \\ & = \dot{R}_\delta^6 * \dot{R}_\delta^7, \text{ where all elements of } \dot{R}_\delta^6 \text{ will have full support, and all elements} \end{aligned}$$

support $< j(\gamma)$. By our earlier observation that products of (appropriate

closed partial orderings retain the same amount of strategic closure, it is

$$\begin{aligned} & \text{that } Q_\gamma^*, \text{ the portion of } Q_\gamma \text{ corresponding to } R_\delta^6, \text{ i.e., } Q_\gamma^* = \prod_{\{i < \gamma: \delta_i \text{ is } \gamma\}} \\ & (P_{\theta_i, \gamma}^0 * P_{\theta_i, \gamma}^2 [\dot{S}_{\theta_i, \gamma}]) \times \prod_{\{i < \gamma: \gamma = \lambda_i\}} P_{\theta_i, \gamma}^0, \text{ is } \gamma\text{-strategically closed and then} \end{aligned}$$

distributive. Hence, as we again have that in $V[G_\delta * H'_\delta]$, j^* extends to j^{**}

$M[H_\delta * H'_\delta * H''_\delta * H^4_\delta]$, we can use j^{**} as in the proof of Lemma 9 to t

projection of G'''_δ onto Q_γ^* , via the general transference principle of [C], S

2, pp. 5-6 to an $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta]$ -generic object H^5_δ over R_δ^6 .

By its construction, since $p \in G_\delta^4$ implies $j^{**}(p) \in H^5_\delta$, j^{**} extends i

$j^{***} : V[G_\delta * G''_\delta * G^4_\delta] \rightarrow M[H_\delta * H'_\delta * H''_\delta * H^4_\delta * H^5_\delta]$. And, since R_δ^6 is γ -stra

$M[H_\delta * H'_\delta * H''_\delta * H^4_\delta * H^5_\delta]$ and $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta]$ contain the same

elements of $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta]$ with respect to $V[G_\delta * G'_\delta]$. As any γ sequ

of $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta * H^5_\delta]$ can be represented, in $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta]$

which is actually a function $f : \gamma \rightarrow M[H_\delta * H'_\delta * H''_\delta * H^4_\delta]$, and as $M[H_\delta * H^4_\delta]$

closed under γ sequences with respect to $V[G_\delta * G'_\delta]$, $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta]$

G_δ''' onto Q_γ^{**} . Next, for the purpose of the remainder of the proof of this l

and $i < j(\gamma)$ is an ordinal, say that $i \in \text{support}(p)$ iff for some non-trivi

of p , $\bar{p} \in P_{\theta_i, j(\gamma)}^0$. Analogously, it is clear what $i \in \text{support}(p)$ for $p \in P$

let $A = \{i < j(\gamma) : \text{For some } p \in j^{**} G_\delta^4, i \in \text{support}(p)\}$, and let $B =$

some $q \in R_\delta^7, i \in \text{support}(q)$ but $i \notin \text{support}(p)$ for any $p \in j^{**} G_\delta^4\}$. Write

where $A_0 = \{i \in A : j(\gamma) = \lambda_i\}$ and $A_1 = \{i \in A : j(\gamma) \neq \lambda_i\}$.

$H_\delta^5 = \{q \in R_\delta^6 : \exists p \in j^{**} G_\delta^4 [q \leq p]\}$, $A, A_0, A_1, B \in M[H_\delta * H'_\delta * H''_\delta * H_\delta^4]$

If $i \in A_1$, then by the genericity of $H_\delta^5, P_{\theta_i, j(\gamma)}^1[S_{\theta_i, j(\gamma)}]$ contains a de

P_i^* given by Lemma 4 which is isomorphic to $Q_{j(\gamma)}^1$. Hence, we can infer t

support) product $\prod_{i \in A_1} P_i^*$ is dense in the ($< j(\gamma)$ support) product $\prod_{i \in A_1} P_{\theta_i, j(\gamma)}^1$

thus without loss of generality consider $\prod_{i \in A_1} P_i^*$ instead of $\prod_{i \in A_1} P_{\theta_i, j(\gamma)}^1[S_{\theta_i, j(\gamma)}]$.

$i \in A_0$, then since $j(\gamma) = \lambda_i$, by our earlier remarks, $\theta_i > \gamma$. This means

is γ^+ -directed closed.

As we observed in the proof of Lemma 4, for any $i \in A$ and any \langle

$P_{\theta_i, j(\gamma)}^1[S_{\theta_i, j(\gamma)}]$, the first three coordinates $\langle w^i, \alpha^i, \bar{r}^i \rangle$ are a re-represent

ment of $Q_{j(\gamma)}^1$. Since the $< j(\gamma)$ support product of $j(\gamma)$ many copies of

phic to $Q_{j(\gamma)}^1$, for any condition $p = \langle \langle w^i, \alpha^i, \bar{r}^i, Z^i \rangle_{i < \ell_0 < j(\gamma)}, \langle w^i, \alpha^i, \bar{r}^i, \rangle$,

$\prod_{i \in A_0} P_{\theta_i, j(\gamma)}^1[S_{\theta_i, j(\gamma)}] \times \prod_{i \in A_1} P_i^*$, we can in a unique and canonical way w

where $\bar{p} \in Q_{j(\gamma)}^1$ and $\bar{z} = \langle \langle Z^i : i < \ell_0 < j(\gamma) \rangle, \langle Z^i : i < \ell_0 < j(\gamma) \rangle \rangle$. F

spect to $V[G_\delta * G'_\delta]$ means that we can in essence ignore each sequence \bar{Z} as
 the arguments used in Lemma 9 to construct the generic object for $Q^1_{j(\gamma)}$
 $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta * H^5_\delta]$ -generic object $H^{6,0}_\delta$ for $\prod_{i \in A_0} P^1_{\theta_i, j(\gamma)}[S_{\theta_i, j(\gamma)}] \times \prod_{i \in A_1} P^1_{\theta_i, j(\gamma)}[S_{\theta_i, j(\gamma)}]$
 since $\prod_{i \in A_0} P^1_{\theta_i, j(\gamma)}[S_{\theta_i, j(\gamma)}] \times \prod_{i \in A_1} P^1_{\theta_i, j(\gamma)}[S_{\theta_i, j(\gamma)}]$ is γ^+ -directed closed, $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta * H^5_\delta]$
 is closed under γ sequences with respect to $V[G_\delta * G'_\delta]$.

By our remarks following the proof of Lemma 12 and the ideas used
 following the proof of Lemma 5, $\prod_{i \in B} P^1_{\theta_i, j(\gamma)}[S_{\theta_i, j(\gamma)}]$ is $j(\gamma^+)$ -c.c. in $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta * H^5_\delta]$
 and $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta * H^5_\delta * H^{6,0}_\delta]$. Since $\prod_{i \in B} P^1_{\theta_i, j(\gamma)}[S_{\theta_i, j(\gamma)}]$ is a $< j(\gamma)$ -c.c. in $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta * H^5_\delta]$
 and $P^1_{\theta_i, j(\gamma)}[S_{\theta_i, j(\gamma)}]$ has cardinality $j(\gamma^+)$ in $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta * H^5_\delta]$
 $i < j(\gamma)$, $\prod_{i \in B} P^1_{\theta_i, j(\gamma)}[S_{\theta_i, j(\gamma)}]$ has cardinality $j(\gamma^+)$ in $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta * H^5_\delta * H^{6,0}_\delta]$.
 We can thus as in Lemma 9 let $\langle \mathcal{A}_\alpha : \alpha < \gamma^+ \rangle$ enumerate in $V[G_\delta * G'_\delta]$
 antichains of $\prod_{i \in B} P^1_{\theta_i, j(\gamma)}[S_{\theta_i, j(\gamma)}]$ with respect to $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta * H^5_\delta * H^{6,0}_\delta]$
 we can once more mimic the construction in Lemma 9 of H''_{α_0} to produce in
 $M[H_\delta * H'_\delta * H''_\delta * H^4_\delta * H^5_\delta * H^{6,0}_\delta]$ -generic object $H^{6,1}_\delta$ over $\prod_{i \in B} P^1_{\theta_i, j(\gamma)}[S_{\theta_i, j(\gamma)}]$
 $H^6_\delta = H^{6,0}_\delta * H^{6,1}_\delta$ and $H = H_\delta * H'_\delta * H''_\delta * H^4_\delta * H^5_\delta * H^6_\delta$, then our construction
 $j : V \rightarrow M$ extends to $k : V[G_\delta * G'_\delta] \rightarrow M[H]$, so $V[G] \models \text{“}\delta \text{ is } \gamma \text{ superregular”}$
 proves Lemma 13.

possibly if for the i so that $\delta = \delta_i$, θ_i is undefined”.

PROOF OF LEMMA 14: As in Lemma 10, we assume towards a contradiction that the statement is false, and let $\delta = \delta_{i_0} < \gamma$ be so that $V[G] \models \delta$ is γ strongly compact in V is γ supercompact, θ_{i_0} is defined, γ is regular, and γ is the least such cardinal. Lemma 13 implies that if $V \models \delta$ is γ supercompact”, then $V[G] \models \delta$ is γ supercompact. Lemma 10, it must be the case that $\lambda_{i_0} \leq \gamma$.

Write $P = P_{\lambda_{i_0}} * \dot{Q}_{\lambda_{i_0}} * \dot{R}$, where $P_{\lambda_{i_0}}$ is the forcing through stage λ_{i_0} term for the forcing at stage λ_{i_0} , and \dot{R} is a term for the rest of the forcing. Since $V \models \delta = \delta_{i_0}$ isn't λ_{i_0} supercompact”, we can write $Q_{\lambda_{i_0}}$ as T_0 where T_0 is $P_{\theta_{i_0}, \lambda_{i_0}}^0 * P_{\theta_{i_0}, \lambda_{i_0}}^1[\dot{S}_{\theta_{i_0}, \lambda_{i_0}}]$, and T_0 is the rest of $Q_{\lambda_{i_0}}$. Since $V^{P_{\lambda_{i_0}}} \models \delta < \lambda_{i_0}$ -strategically closed” (and hence adds no new bounded subsets), forcing over $V^{P_{\lambda_{i_0}}}$, the arguments of Lemma 3 apply in $V^{P_{\lambda_{i_0}} * (\dot{T}_0 \times \dot{P}_{\theta_{i_0}, \lambda_{i_0}}^0)}$. $V^{(P_{\lambda_{i_0}} * (\dot{T}_0 \times \dot{P}_{\theta_{i_0}, \lambda_{i_0}}^0)) * P_{\theta_{i_0}, \lambda_{i_0}}^1[\dot{S}_{\theta_{i_0}, \lambda_{i_0}}]} = V^{P_{\lambda_{i_0}} * \dot{Q}_{\lambda_{i_0}}} \models \delta_{i_0}$ isn't λ_{i_0} strongly compact in V is γ supercompact” and λ_{i_0} doesn't carry a δ_{i_0} -additive uniform ultrafilter”.

It remains to show that $V^{P_{\lambda_{i_0}} * \dot{Q}_{\lambda_{i_0}} * \dot{R}} = V^P \models \delta_{i_0}$ isn't λ_{i_0} strongly compact in V weren't the case, then let \dot{U} be a term in $V^{P_{\lambda_{i_0}} * \dot{Q}_{\lambda_{i_0}}}$ so that $\Vdash_R \dot{U}$ is a δ_{i_0} -additive uniform ultrafilter over λ_{i_0} ”. Since $\Vdash_{P_{\lambda_{i_0}} * \dot{Q}_{\lambda_{i_0}}} \dot{R}$ is $< \lambda_{i_0}^+$ -strategically closed” and GCH, if we let $\langle x_\alpha : \alpha < \lambda_{i_0}^+ \rangle$ be in $V^{P_{\lambda_{i_0}} * \dot{Q}_{\lambda_{i_0}}}$ a listing of all of the

Thus, $V^P \models$ “ δ_{i_0} isn't λ_{i_0} strongly compact”, a contradiction to $V[G] \models$ “ δ_{i_0} is λ_{i_0} strongly compact”. This proves Lemma 14.

Note that the analogue to Lemma 11 holds if $\delta = \delta_i$ and θ_i is defined to be δ_i if δ_i is regular, $V[G] \models$ “ δ is γ supercompact” iff $V \models$ “ δ is γ supercompact” iff $V \models$ “ δ is γ supercompact” if δ is regular. The proof uses Lemmas 13 and 14 and is exactly the same as the proof of Lemma 11.

Lemmas 12–14 complete the proof of our Theorem in the general case.

§4 Concluding Remarks

In conclusion, we would like to mention that it is possible to use generalizations of the methods of this paper to answer some further questions concerning the relationships amongst strongly compact, supercompact, and measurable cardinals. In particular, it is possible to show, using generalizations of the methods of this paper, that the least measurable cardinal κ which is the least strongly compact or supercompact cardinal is not 2^κ supercompact is best possible. In fact, if $V \models$ “ZFC + GCH + κ is the least supercompact limit of supercompact cardinals” and $\lambda > \kappa^+$ is a regular cardinal which is either inaccessible or is the successor of a measurable cardinal, then $V[G] \models$ “ λ is the least strongly compact cardinal”.

Paper Sh:495, version 1995-02-27.10. See <https://shelah.logic.at/papers/495/> for possible updates.
of supercompact cardinals and every cardinal $\gamma \in [\nu, \mu(\nu))$, $2^\gamma = h(\delta) +$
dinal $\gamma \in [\kappa, \lambda)$, $2^\gamma = \lambda + \kappa$ is $< \lambda$ supercompact + κ is the least mea
supercompact cardinals”.

It is also possible to show using generalizations of the methods of the
 $V \models$ “ZFC + GCH + $\kappa < \lambda$ are such that κ is $< \lambda$ supercompact, $\lambda >$
cardinal which is either inaccessible or is the successor of a cardinal of cofinality
 $h : \kappa \rightarrow \kappa$ is a function so that for some elementary embedding $j : V \rightarrow V$
the $< \lambda$ supercompactness of κ , $j(h)(\kappa) = \lambda$ ”, then there is some cardinal
preserving generic extension $V[G] \models$ “ZFC + For every inaccessible $\delta < \kappa$
cardinal $\gamma \in [\delta, h(\delta))$, $2^\gamma = h(\delta) +$ For every cardinal $\gamma \in [\kappa, \lambda)$, $2^\gamma =$
supercompact + κ is the least measurable cardinal”. This generalizes a result of Shelah
(see [CW]), who showed, in response to a question posed to him by the first author,
it was possible to start from a model for “ZFC + GCH + $\kappa < \lambda$ are supercompact
supercompact and λ is regular” and use Radin forcing to produce a model for
 $2^\kappa = \lambda + \kappa$ is δ supercompact for all regular $\delta < \lambda + \kappa$ is the least measurable
In addition, it is possible to iterate the forcing used in the construction of the model
to show, for instance, that if $V \models$ “ZFC + GCH + There is a proper class of
 κ so that κ is κ^+ supercompact”, then there is some cardinal and cofinality
generic extension $V[G] \models$ “ZFC + $2^\kappa = \kappa^{++}$ iff κ is inaccessible + There is a

[AS].

Acknowledgement: The authors wish to thank Menachem Magidor for several conversations on the subject matter of this paper. In addition, the authors express their gratitude to the referee for his thorough and careful reading of the manuscript for this paper. The referee's many corrections and helpful suggestions improved the presentation of the material contained herein and have been incorporated into this version of the paper.

- [A] A. Apter, “*On the Least Strongly Compact Cardinal*”, Israel J. Math 233.
- [AS] A. Apter, S. Shelah, “*Menas’ Result is Best Possible*”, in preparation.
- [Ba] J. Baumgartner, “*Iterated Forcing*”, in: A. Mathias, ed., Surveys in Set Theory, Cambridge University Press, Cambridge, England, 1–59.
- [Bu] J. Burgess, “*Forcing*”, in: J. Barwise, ed., Handbook of Mathematical Logic, North-Holland, Amsterdam, 1977, 403–452.
- [C] J. Cummings, “*A Model in which GCH Holds at Successors but Fails at \aleph_2* ”, Transactions AMS **329**, 1992, 1–39.
- [CW] J. Cummings, H. Woodin, *Generalised Prikry Forcings*, circulated manuscript, forthcoming book.
- [J] T. Jech, *Set Theory*, Academic Press, New York, 1978.
- [KaM] A. Kanamori, M. Magidor, “*The Evolution of Large Cardinal Axioms*”, in: Lecture Notes in Mathematics **669**, Springer-Verlag, Berlin, 1978.
- [KiM] Y. Kimchi, M. Magidor, “*The Independence between the Concepts of Strongly Compactness and Supercompactness*”, circulated manuscript.
- [Ma1] M. Magidor, “*How Large is the First Strongly Compact Cardinal?*”,

[Mia5] M. Magidor, *There are many normal ultrafilters corresponding to*

Cardinal”, Israel J. Math. **9**, 1971, 186–192.

[Ma4] M. Magidor, unpublished; personal communication.

[Me] T. Menas, “*On Strong Compactness and Supercompactness*”, Annals
1975, 327–359.

[MS] A. Mekler, S. Shelah, “*Does κ -Free Imply Strongly κ -Free?*”, in:
Proceedings of the Third Conference on Abelian Group Theory, Gordon
Salzburg, 1987, 137–148.

[SRK] R. Solovay, W. Reinhardt, A. Kanamori, “*Strong Axioms of Infinity
Embeddings*”, Annals Math. Logic **13**, 1978, 73–116.