

## A game on partial orderings

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ABSTRACT. We study the determinacy of the game  $G_\kappa(A)$  introduced in [FuKoShe] for uncountable regular  $\kappa$  and several classes of partial orderings  $A$ . Among trees or Boolean algebras, we can always find an  $A$  such that  $G_\kappa(A)$  is undetermined. For the class of linear orders, the existence of such  $A$  depends on the size of  $\kappa^{<\kappa}$ . In particular we obtain a characterization of  $\kappa^{<\kappa} = \kappa$  in terms of determinacy of the game  $G_\kappa(L)$  for linear orders  $L$ .

We consider in this paper the question whether for every partially ordered set  $(A, \leq)$ , the game  $G_\kappa(A)$  described below is determined, i.e. whether one of the players has a winning strategy. Here and in the following, except for the motivation given below,  $\kappa$  is always a regular uncountable cardinal. More precisely we study the question for trees, Boolean algebras and linear orderings. In fact there are trees, resp. Boolean algebras,  $A$  of size  $\kappa^+$  for which  $G_\kappa(A)$  is not determined (Propositions 6 and 11); for linear orders, the situation is more complex: if  $\kappa^{<\kappa} = \kappa$ , then for every linear order  $L$ ,  $G_\kappa(L)$  is determined (Proposition 2); otherwise there is a linear order  $L$  of size  $\kappa^+$  such that  $G_\kappa(L)$  is not determined (Proposition 8).

The motivation for this question comes from the paper [FuKoShe] which in turn was motivated by [HeSha]. A Boolean algebra  $A$  is said to have the Freese-Nation property if there exists a function  $f$  which assigns to every  $a \in A$  a finite subset  $f(a)$  of  $A$  such that if  $a, b \in A$  satisfy  $a \leq b$ , then  $a \leq x \leq b$  holds for some  $x \in f(a) \cap f(b)$ . This property is closely related to projectivity; in fact, every projective Boolean algebra has the Freese-Nation property (but not conversely). Heindorf proved that the Freese-Nation property is equivalent to open-generatedness, a notion originally introduced in topology by Ščepin. In [FuKoShe], it is generalized to from  $\omega$  to regular cardinals  $\kappa$  and from Boolean algebras to arbitrary partial orderings. This generalization is called  $\kappa$ -Freese-Nation property and the following equivalence was proved: a partial ordering  $A$  has the  $\kappa$ -Freese-Nation property iff there is a closed unbounded subset  $\mathbb{C}$  of  $[A]^\kappa$  such that  $C \leq_\kappa A$  holds for all  $C \in \mathbb{C}$  iff in the game

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$G_\kappa(A)$ , Player II has a winning strategy. In fact, in all examples considered in [FuKoShe], either I or II has a winning strategy.

Let us define the game  $G_\kappa(A)$  and some relevant notions for a partial ordering  $A$ .  $X \subseteq A$  is said to be cofinal (coinitial) in  $A$  if, for every  $a \in A$ , there is some  $x \in X$  such that  $a \leq x$  ( $a \geq x$ ).  $\text{cf } A$  resp.  $\text{ci } A$  is the smallest cardinality of a cofinal resp. coinitial subset of  $A$ .

For  $R \subseteq A$  and  $a \in A$ , we write  $R \uparrow a$  for the set  $\{x \in R : a \leq x\}$  and  $R \downarrow a$  for  $\{x \in R : x \leq a\}$ . The *type* of  $a$  over  $R$  is the pair

$$\text{tp}(a, R) = (\text{cf } R \downarrow a, \text{ci } R \uparrow a).$$

$R \subseteq A$  is said to be a  $\kappa$ -subset or a  $\kappa$ -substructure of  $A$ , written  $R \leq_\kappa A$ , if for all  $a \in A$ , the sets  $R \downarrow a$  and  $R \uparrow a$  have cofinality resp. coinitiality less than  $\kappa$ .

The game  $G_\kappa(A)$  is played on  $A$  as follows. Players I and II alternatively choose an increasing chain of subsets  $x_\alpha$  and  $y_\alpha$  of  $A$  for  $\alpha < \kappa$  (i.e. I chooses  $x_0$ , II chooses  $y_0$ , I chooses  $x_1$ , II chooses  $y_1$ , etc.) such that  $x_\alpha$  and  $y_\alpha$  have size less than  $\kappa$ ,  $x_\alpha \subseteq y_\alpha$  and  $\bigcup_{\nu < \alpha} y_\nu \subseteq x_\alpha$ . In the end of a play, II wins iff the result  $R = \bigcup_{\alpha < \kappa} x_\alpha = \bigcup_{\alpha < \kappa} y_\alpha$  of the play is a  $\kappa$ -subset of  $A$ .

Note that in this game, Player II has a winning strategy for any partial ordering  $A$  of size at most  $\kappa$ : she can play so that every element of  $A$  is gradually captured in one of the  $y_\alpha$ 's.

The main body of the paper is organized as follows. In 5., we define a tree  $T = T(S)$ , depending on a subset  $S$  of  $\lambda = \kappa^+$ . If neither  $S$  nor  $\lambda \setminus S$  are in the ideal  $I_\lambda$  defined in 3., then  $T$  is not determined (Proposition 6). From  $T$ , we define a linear order  $L_T$  in 7. and a Boolean algebra  $B_T$  in 10. such that  $G_\kappa(L_T)$  and  $G_\kappa(B_T)$  are not determined (Propositions 8 and 11). The construction of  $L_T$  requires the extra assumption  $\kappa^{<\kappa} > \kappa$  — cf. Proposition 2.

Let us start with an easy example.

**1. Example.** If  $\kappa^+$  (or  $(\kappa^+)^{-1}$ , the reverse order type of  $\kappa^+$ ) embeds into  $A$ , then Player I has a winning strategy in  $G_\kappa(A)$ : assume, for simplicity, that  $\kappa^+ \subseteq A$ . We define a partial function  $f$  from  $A$  into  $\kappa^+$  by letting  $f(a)$  for  $a \in A$  be the least  $\alpha \in \kappa^+$  such that  $a \leq \alpha$ , if such an  $\alpha$  exists. Clearly  $f$  is order preserving and satisfies  $f(a) = a$  for  $a \in \kappa^+$ . Player I wins by assuring that the result  $R$  of a play satisfies

- (a)  $R \cap \kappa^+$  has cofinality  $\kappa$
- (b) if  $a \in R$  and  $f(a)$  exists, then  $f(a) \in R$ .  $\square$

The following proposition shows that the assumption  $\kappa^{<\kappa} > \kappa$  in 6. and 7. cannot be dispensed with.

**2. Proposition.** *Assume that  $\kappa^{<\kappa} = \kappa$ . If  $(L, <_L)$  is a linear order of cardinality  $> \kappa$ , then Player I has a winning strategy in  $G_\kappa(L)$ . Hence the game  $G_\kappa(L)$  is determined for any linear order  $L$  under  $\kappa^{<\kappa} = \kappa$ .*

*Proof.* Let  $\chi$  be sufficiently large.  $\mathcal{H}(\chi)$  denotes the set of all sets which are hereditarily of size less than  $\chi$ . We show:

**Claim.** *Suppose  $M$  is an elementary submodel of  $(\mathcal{H}(\chi), \in)$  such that  $(L, <_L) \in M$  and  ${}^{\kappa >} M \subseteq M$ . Then for any  $d \in L \setminus M$ , either  $L \cap M \downarrow d$  has cofinality  $\geq \kappa$  or  $L \cap M \uparrow d$  has coinitiality  $\geq \kappa$ .*

*Proof of the Claim.* Otherwise, some  $d \in L \setminus M$  fills a gap  $(X, Y)$  in  $L \cap M$  such that  $|X|, |Y| < \kappa$  and  $(X, Y)$  is unfilled inside  $L \cap M$ . But  $(X, Y) \in M$  by  ${}^{\kappa}M \subseteq M$  and  $M \prec \mathcal{H}(\chi)$ , a contradiction.  $\square$

Now Player I wins in  $G_\kappa(L)$  by choosing an increasing sequence  $M_\alpha$ ,  $\alpha < \kappa$ , of elementary submodels of  $\mathcal{H}(\chi)$  along with his moves  $x_\alpha$ ,  $\alpha < \kappa$ , such that  $(L, <_L) \in M_0$ ,  $x_\alpha \subseteq M_\alpha$ ,  ${}^{\kappa}M_\alpha \subseteq M_\alpha$ ,  $|M_\alpha| = \kappa$  and  $\bigcup_{\alpha < \kappa} x_\alpha = M \cap L$  where  $M = \bigcup_{\alpha < \kappa} M_\alpha$ . Such a choice is possible because of our assumption  $\kappa^{<\kappa} = \kappa$ . The result of the game  $L \cap M$  is not a  $\kappa$ -subset of  $L$ , by the Claim above.  $\square$

**3. The ideal  $I_\lambda$ .** For the rest of the paper, fix  $\lambda = \kappa^+$  (where  $\kappa$  was a regular uncountable cardinal). Let us first recall the definition and some properties of the ideal  $I_\lambda$  on  $\lambda$  introduced by Shelah, see e.g. [She, Chapter VIII]. Fix a sufficiently large cardinal  $\chi > \lambda$ ; we work in the structure  $(\mathcal{H}(\chi), \in, <^*)$  where  $<^*$  is some fixed well-ordering of  $\mathcal{H}(\chi)$ . For  $x \in \mathcal{H}(\chi)$  and  $\gamma < \lambda$ , call  $(M_i)_{i < \kappa}$  an  $x$ -approximation of  $\gamma$  if:

- (1)  $M_i \prec (\mathcal{H}(\chi), \in, <^*)$ ,  $|M_i| < \kappa$
- (2)  $x, \lambda \in M_0$
- (3)  $(M_i)_{i < \kappa}$  is a continuously increasing chain
- (4)  $(M_i)_{i \leq j} \in M_{j+1}$  for all  $j < \kappa$
- (5)  $M = \bigcup_{i < \kappa} M_i$  satisfies  $M \cap \lambda = \gamma$ .

For  $x \in \mathcal{H}(\chi)$ , put  $C_x = \{\gamma \in \lambda : \text{there is an } x\text{-approximation of } \gamma\}$  and define  $I_\lambda$  by

$$I_\lambda = \{A \subseteq \lambda : A \cap C_x = \emptyset, \text{ for some } x \in \mathcal{H}(\chi)\}.$$

It is not difficult to check that  $I_\lambda$  is a  $\lambda$ -complete proper ideal containing all singletons and that

$$N = \{\gamma \in \lambda : \text{cf } \gamma = \kappa\} \in I_\lambda^*$$

(i.e.  $\lambda \setminus N \in I_\lambda$ ). By Ulam's Theorem (cf. [Je, 27.8]), every  $A \subseteq \lambda$  not in  $I_\lambda$  can be represented as the disjoint union  $A = A_1 \cup A_2$  where  $A_1, A_2 \notin I_\lambda$ .

**4. The game  $G_\kappa(T)$  for a tree  $T$ .** Assume that  $(T, <_T)$  is a tree of height  $\kappa + 1$ . We call  $Y \subseteq T$  a *subtree of  $T$*  if for all  $y \in Y$  and  $x <_T y$ , also  $x \in Y$ .  $Y$  is *closed in  $T$*  if the following holds: if  $x \in T$  is in the  $\kappa$ 'th level and all predecessors of  $x$  are in  $Y$ , then  $x \in Y$ .

In  $G_\kappa(T)$  each of the players can ensure that the result  $Y$  of a play will be a subtree of  $T$ . And in this case, Player II wins, i.e.  $Y \leq_\kappa T$ , iff  $Y$  is closed in  $T$ .

**5. Construction of the tree  $T = T(S)$ .** Recall that  $\lambda = \kappa^+$  and  $N = \{\gamma \in \lambda : \text{cf } \gamma = \kappa\}$ . Depending on a subset  $S$  of  $N$ , we construct a tree  $T = T(S)$ ; in fact, we shall show that if  $T = T(S)$  where  $S \subseteq N$  and  $S, N \setminus S \notin I_\lambda$ , then none of the players has a winning strategy.

Assume  $S \subseteq N$ . For each  $\gamma \in S$ , fix a function  $f_\gamma : \kappa \rightarrow \gamma$  such that range  $f_\gamma$  is cofinal in  $\gamma$ . Let

$$T = T(S) = \{f_\gamma \upharpoonright \alpha : \gamma \in S, \alpha \leq \kappa\},$$

a tree under set-theoretic inclusion. Clearly  $T$  has height  $\kappa + 1$  if  $S$  is nonempty,  $\{f_\gamma : \gamma \in S\}$  is the  $\kappa$ 'th level of  $T$ , and  $|T| = \lambda$  if  $|S| = \lambda$ .

**6. Proposition.** *Let  $T = T(S)$  for  $S \subseteq N$ .*

(a) *If  $S \notin I_\lambda$ , then Player II has no winning strategy in  $G_\kappa(T)$ .*

(b) *If  $N \setminus S \notin I_\lambda$ , then Player I has no winning strategy in  $G_\kappa(T)$ .*

*Thus if both  $S$  and  $N \setminus S$  are not in  $I_\lambda$ , then the game  $G_\kappa(T)$  is undetermined.*

*Proof.* (a) Suppose that  $\sigma$  is a strategy for Player II; we show that it is not a winning strategy. Let  $x = (\sigma, (f_\gamma)_{\gamma \in S})$ . Since  $S \notin I_\lambda$ , there is a  $\delta \in S \cap C_x$ ; let  $(M_i)_{i < \kappa}$  be an  $x$ -approximation of  $\delta$ . In a game in which Player II plays according to  $\sigma$ , Player I can ensure that the result  $Y \subseteq T$  of the play will be the subtree

$$Y = \{f_\gamma \upharpoonright \alpha : \gamma \in S \cap \delta, \alpha \leq \kappa\}.$$

More precisely, in the  $i$ 'th move, Player I may take a subset  $x_i$  of  $T \cap M_{i+1}$  so that all elements of  $Y$  are gradually captured. Furthermore, using the well-ordering  $<^*$ , Player I can ensure that each of his moves  $x_i$  is definable so that  $(x_j, y_k)_{j \leq i, k < i}$  and hence also the next move  $\sigma((x_j, y_k)_{j \leq i, k < i})$  by Player II will be an element of  $M_{i+1}$ .

Now  $\delta \in S$  and thus  $f_\delta$  witnesses that  $Y$  is not closed in  $T$ , i.e. Player I wins.

The proof of (b) is similar to (a). If Player I plays according to a strategy  $\tau$ , Player II can assure that the result  $Y \subseteq T$  has the form  $Y = \{f_\gamma \upharpoonright \alpha : \gamma \in S \cap \delta, \alpha \leq \kappa\}$  for some  $\delta \in N \setminus S$ . Thus  $Y$  is closed in  $T$  and Player II wins.  $\square$

**7. Construction of the linear order  $L_T$ .** Assume that  $\kappa^{<\kappa} > \kappa$ ; let  $(T, <_T)$  be any tree of height  $\kappa + 1$  and size  $\lambda = \kappa^+$ . We shall construct a linear order  $L = L_T$  of size  $\lambda$ . Moreover, we shall define for  $Y \subseteq T$  a subset  $L_Y$  of  $L$  such that  $|L_Y| = |Y|$  holds for infinite  $Y$  such that, in the game  $G_\kappa(L)$ , each player can ensure that the result  $R$  has the form  $L_Y$  for  $Y$  a subtree of  $T$ .

Let us first note that there exists a linear order  $I$  of size  $\lambda$  without any sequences (i.e. increasing or decreasing sequences) of type  $\kappa$ . This holds because our assumption  $\kappa^{<\kappa} \geq \kappa^+ = \lambda$  implies that  $\lambda \leq 2^\mu$ , for some  $\mu < \kappa$ , and the lexicographic ordering on  ${}^\mu 2$  has no sequence of type  $\mu^+$  (cf. [Je, 29.4]), hence no sequence of type  $\kappa$ . It follows that, letting  $I$  be any subordering of  ${}^\mu 2$  of cardinality  $\lambda$ , every subset of  $I$  has cofinality and cointinality less than  $\kappa$ .

The following notation concerning the tree  $(T, <_T)$  will be used in the rest of 7. and in 8.: for  $\alpha \leq \kappa$ ,  $\text{lev}_\alpha T$  is the  $\alpha$ 'th level of  $T$ . For  $t \in T$ ,  $\text{pred } t$  is the set of predecessors of  $t$  in  $T$  and  $\text{ht } t$  is the height of  $t$ . For  $\alpha \leq \text{ht } t$ ,  $\text{pr}_\alpha t$ , the projection of  $t$  to level  $\alpha$ , is the unique predecessor of  $t$  in the  $\alpha$ 'th level. Call  $x, y \in T$  equivalent and write  $x \sim y$  if  $\text{pred } x = \text{pred } y$  and let  $\bar{x}$  be the equivalence class of  $x$ . For each equivalence class  $\bar{x}$ , since  $|\bar{x}| \leq \lambda$ , we can fix a linear order  $\leq_{\bar{x}}$  on  $\bar{x}$  without any sequences of type  $\kappa$ .

The linear order we construct is a sort of squashing of  $T$  with respect to  $\leq_{\bar{x}}$ ,  $x \in T$ : we put  $L = \{a_t, b_t : t \in T\}$  where the elements  $a_t, b_t, t \in T$ , are all pairwise distinct. The linear order  $<_L$  on  $L$  is defined as follows: we will have  $a_t <_L b_t$  for all  $t \in T$ . Now assume  $x, y \in T$ . If  $x <_T y$ , then we put  $a_x <_L a_y <_L b_y <_L b_x$ . If  $x$  and  $y$  are incomparable in  $T$ , let  $\alpha \leq \kappa$  be minimal such that  $\text{pr}_\alpha x \neq \text{pr}_\alpha y$ ; thus  $\text{pr}_\alpha x \sim \text{pr}_\alpha y$ . Then if  $\text{pr}_\alpha x <_{\overline{\text{pr}_\alpha x}} \text{pr}_\alpha y$ , we let  $a_x <_L b_x <_L a_y <_L b_y$ . Finally, for  $Y \subseteq T$  let  $L_Y = \{a_t, b_t : t \in Y\}$ .

**8. Proposition.** *If  $Y$  is a subtree of  $T$ , then  $L_Y \leq_\kappa L_T$  iff  $Y$  is closed in  $T$ . In particular, if  $G_\kappa(T)$  is undetermined, then so is  $G_\kappa(L_T)$ .*

From Propositions 2, 6, and 8 (plus the observation in 7. that  $\kappa^{<\kappa} > \kappa$  implies the existence of a linear order of size  $\lambda$  without sequences of type  $\kappa$ ), we obtain the following equivalences to the condition  $\kappa^{<\kappa} = \kappa$ .

**9. Corollary.** *Let  $\kappa$  be a regular uncountable cardinal.*

(a) *If  $\kappa^{<\kappa} > \kappa$ , then there is a linear order  $L$  of cardinality  $\lambda = \kappa^+$  such that  $G_\kappa(L)$  is undetermined.*

(b) *The following are equivalent:*

- (1)  $\kappa^{<\kappa} = \kappa$ ;
- (2) *in every linear order of cardinality  $> \kappa$ , there is an increasing or a decreasing sequence of order type  $\kappa$ ;*
- (3)  $G_\kappa(L)$  is determined for every linear order  $L$ .  $\square$

Let us explain how the second assertion of Proposition 8 follows from the first one: each of the players in  $G_\kappa(L_T)$  (say II, playing against some strategy  $\tau$  of Player I) can ensure that the result of the play is  $R = L_Y$ , for some subtree  $Y$  of  $T$ . Playing simultaneously on  $T$  as in the proof of Proposition 6, she can ensure that  $Y$  is closed in  $T$ . Thus  $R = L_Y$  is a  $\kappa$ -substructure of  $L_T$  and II wins. The same reasoning applies, of course, to the proof of Proposition 11.

*Proof of Proposition 8.* Suppose first that  $Y$  is not closed and pick some  $t$  in the highest level  $K$  of  $T$  such that  $t \notin Y$  but  $\text{pred } t \subseteq Y$ . Then  $\{a_y : y \in \text{pred } t\}$  is an increasing sequence of type  $\kappa$ , and it is a cofinal subset of  $L_Y \downarrow l$  where  $l = a_t$ . Thus  $L_Y$  is not a  $\kappa$ -subset of  $L$ .

Now assume that  $Y$  is closed in  $T$  and fix  $l \in L \setminus L_Y$ . We have to analyze the cofinality of  $L_Y \downarrow l$  and the coinitality of  $L_Y \uparrow l$ ; by symmetry, we will consider  $\text{cf}(L_Y \downarrow l)$ . Now let  $l = a_t$  or  $l = b_t$  for some  $t \in T \setminus Y$ ; since  $Y$  is a subtree of  $T$ ,  $a_t$  and  $b_t$  realize the same cut in  $L_Y$ . Thus we assume that  $l = a_t$ .

We may also assume that  $\text{ht } t < \kappa$  and  $\text{pred } t \subseteq Y$ . For this, consider the least element  $t^*$  of  $\text{pred } t \setminus Y$ . Now  $\text{ht } t^* < \kappa$  since  $Y$  is a closed subtree of  $T$ ; moreover,  $a_t$  and  $a_{t^*}$  realize the same cut in  $L_Y$ . Thus we consider  $t^*$  instead of  $t$ .

To prove  $\text{cf}(L_Y \downarrow l) < \kappa$ , consider the following subsets of  $L$  respectively  $Y$ : let

$$N = \{a_x : x <_T t\};$$

thus  $N$  is a subset of  $L_Y \downarrow l$  of size less than  $\kappa$ . Next, put  $\gamma = \text{ht } t$  and

$$Y' = \{z \in Y : z \in \text{lev}_\gamma T, z \sim t, z <_{\bar{t}} t\}.$$

$Y'$  is included in the  $\sim$ -equivalence class of  $t$ , thus it has a cofinal subset  $Y''$  of size less than  $\kappa$ . We put

$$N' = \{b_z : z \in Y''\},$$

again a subset of  $L_Y \downarrow l$  of size less than  $\kappa$ .

We prove that  $N \cup N'$  is cofinal in  $L_Y \downarrow l$ . For, let  $x \in L_Y$  and  $x <_L l$ , say  $x \in \{a_y, b_y\}$  where  $y \in Y$ . Consider the relative position of  $t$  and  $y$  in  $T$ . It is impossible that  $t <_T y$ , since  $Y$  is a subtree of  $T$  and  $t \notin Y$ .

If  $y <_T t$ , then  $a_y <_L a_t = l <_L b_t <_L b_y$  holds, hence  $x = a_y \in N$ . Otherwise, let  $\alpha$  be minimal such that  $\text{pr}_\alpha y \neq \text{pr}_\alpha t$ ; thus  $\alpha \leq \gamma$ .

If  $\alpha < \gamma$ , then let  $z = \text{pr}_\alpha t$ ; it follows that  $x \leq_L b_y <_L a_z \in N$ . Otherwise  $\alpha = \gamma$ ,  $\text{pr}_\alpha y \sim t$  and hence  $\text{pr}_\alpha y \in Y'$ . Take  $z \in Y''$  such that  $\text{pr}_\alpha y \leq_{\bar{t}} z$ ; then  $x \leq b_y \leq b_z \in N'$ .  $\square$

**10. Construction of the Boolean algebra  $B_T$ .** Let  $(T, <_T)$  be any tree of height  $\kappa+1$  and size  $\lambda$ . We shall construct a Boolean algebra  $B_T$  of size  $\lambda$ . Moreover, we shall define for  $Y \subseteq T$  a subalgebra  $B_Y$  of  $B_T$  such that  $|B_Y| = |Y|$  holds for infinite  $Y$ . In the game  $G_\kappa(B_T)$ , each player can ensure that the result  $R$  has the form  $B_Y$  for  $Y$  a subtree of  $T$ .

In fact, we define  $B_T$  to be the Boolean algebra generated by a set  $\{x_t : t \in T\}$  freely except that  $s \leq_T t$  implies  $x_s \leq x_t$ . More precisely, let  $\text{Fr}(\{x_t : t \in T\})$  be the free Boolean algebra over  $\{x_t : t \in T\}$ , let  $B_T$  be the quotient algebra  $\text{Fr}(\{x_t : t \in T\})/K$  where  $K$  is the ideal of  $\text{Fr}(\{x_t : t \in T\})$  generated by  $\{x_s \cdot -x_t : s \leq_T t\}$  and let  $\pi : \text{Fr}(\{x_t : t \in T\}) \rightarrow B_T$  be the canonical homomorphism. We write  $x_t (\in B_T)$  for  $\pi(x_t)$ , since  $\pi$  is one-one on the generators  $x_t$  (see the proof of 10. below). For  $Y \subseteq T$ , we define  $B_Y$  to be the subalgebra of  $B_T$  generated by  $\{x_t : t \in Y\}$ .

**11. Proposition.** *If  $Y$  is a subtree of  $T$ , then  $B_Y \leq_\kappa B_T$  iff  $Y$  is closed in  $T$ . In particular, if  $G_\kappa(T)$  is undetermined, then so is  $G_\kappa(B_T)$ .*

*Proof.* We start with a normal form lemma on the generators of  $B_T$ .

*Step 1.* Let  $w \subseteq T$  be finite and assume  $f : w \rightarrow 2$ . Then the elementary product  $q_f = \prod_{f(t)=1} x_t \cdot \prod_{f(t)=0} -x_t$  is nonzero in  $B_T$  iff  $f$  is monotone, i.e.  $s \leq_T t$  in  $w$  implies  $f(s) \leq f(t)$ . — This follows immediately from the definition of the ideal  $K$  of  $\text{Fr}(\{x_t : t \in T\})$  in 9.

*Step 2.* If  $Y \subseteq T$  is not closed, then  $B_Y$  is not a  $\kappa$ -subalgebra of  $B_T$ .

To see this, fix an element  $t$  in the highest (i.e.  $\kappa$ 'th) level of  $T$  such that  $t \notin Y$  but all predecessors of  $t$  in  $T$  are in  $Y$  and consider the ideal  $I = B_Y \downarrow x_t$  of  $B_Y$ . The set  $J = \{x_s : s <_T t\}$  is a chain of order type  $\kappa$  included in  $I$ ; we show that  $J$  generates  $I$  as an ideal. Thus suppose  $x \in I$  with the aim of finding some  $s <_T t$  such that  $x \leq x_s$ . We may assume that  $x$  is a non-zero elementary product  $q_f$  where  $f : w \rightarrow 2$ . By  $q_f \leq x_t$  and Step 1, it follows that  $f$  is monotone but  $f \cup \{(t, 0)\}$  is not. Hence there is some  $s \in w$  such that  $s <_T t$  and  $f(s) = 1$ ; thus  $x = q_f \leq x_s$ .

*Step 3.* The following remark simplifies Step 4: assume  $B$  is a Boolean algebra,  $A$  a subalgebra and  $M, N$  are finite subsets of  $B$  such that for all  $m \in M$  and  $n \in N$ , there is an element  $\alpha$  of  $A$  separating  $m$  and  $n$ , i.e. we have  $m \leq \alpha$  and  $n \leq -\alpha$  or  $n \leq \alpha$  and  $m \leq -\alpha$ . Then there is an  $a \in A$  separating  $\sum M$  and  $\sum N$ : simply let  $a = \prod_{n \in N} \sum_{m \in M} a_{mn}$  where  $a_{mn} \in A$  is such that  $m \leq a_{mn}$  and  $n \leq -a_{mn}$ .

*Step 4.* If  $Y$  is a closed subtree of  $T$ , then  $B_Y \leq_\kappa B_T$ .

For the proof, fix an element  $b$  of  $B_T$  and consider the ideal

$$I = \{x \in B_Y : x \cdot b = 0\}$$

of  $B_Y$ . We shall find  $Z \subseteq T$  such that  $|Z| < \kappa$  and each element of  $I$  is separated from  $b$  by an element of  $B_Z$ ; since  $|B_Z| < \kappa$ , this shows that  $I$  is generated by less than  $\kappa$  elements.

Fix a finite subset of  $T$  generating  $b$ , say

$$b \in \langle x_{s_1}, \dots, x_{s_n}, x_{t_1}, \dots, x_{t_m} \rangle$$

where every  $s_i$  is in  $Y$  and every  $t_j$  is in  $T \setminus Y$ . We put

$$Z = \{s_1, \dots, s_n\} \cup \bigcup \{\text{pred } t_j \cap Y : 1 \leq j \leq m\}$$

where, for  $t \in T$ ,  $\text{pred } t$  is the set of predecessors of  $t$  in the tree  $(T, <_T)$ .  $Z$  has size less than  $\kappa$  since  $Y$  is closed and a subtree of  $T$ .

Now let  $x \in I$  with the aim of finding an element of  $B_Z$  which separates  $x$  and  $b$ . By Step 3, we may assume that both  $b$  and  $x$  are elementary products over the generators of  $B_T$ , say

$$b = q_h, h : \{s_1, \dots, s_n, t_1, \dots, t_m\} \rightarrow 2$$

$$x = q_f, f : w \rightarrow 2, w \subseteq Y$$

where  $h$  and  $f$  are monotone. Define

$$h' = h \upharpoonright \{s_1, \dots, s_n\}, f' = f \upharpoonright (w \cap Z);$$

we show that either  $q_{h'}$  or  $q_{f'}$  separate  $x$  and  $b$ .

*Case 1.*  $f \cup h'$  is not a function or not monotone. — Then  $b \leq q_{h'}$  and  $x \cdot q_{h'} = 0$ .

Note that if Case 1 does not hold, then also  $f \cup h$  is a function: otherwise, let  $r \in w \cap \{s_1, \dots, s_n, t_1, \dots, t_m\}$  be such that  $f(r) \neq h(r)$ . Then  $r \in Y$  and thus  $r = s_i$  for some  $i$ , hence  $r \in \text{dom } f \cap \text{dom } h'$ . Note also that, since  $x \cdot b = 0$ ,  $f \cup h$  cannot be monotone. Hence the remaining case is the following.

*Case 2.*  $f \cup h'$  is a monotone function and  $f \cup h$  is a function but not monotone. — In this case, there are  $r, u \in T$  such that  $r <_T u$  and  $f(r) = 1, h(u) = 0$ . For otherwise, we have  $r <_T u$  satisfying  $h(r) = 1, f(u) = 0$ . It follows that  $u \in w \subseteq Y$ ,  $r \in Y$  since  $Y$  is a subtree of  $T$ , and  $r \in \text{dom } h'$ , contradicting the fact that  $f \cup h'$  is monotone.

Now  $r \in w \subseteq Y$  and  $u \in \{s_1, \dots, s_n, t_1, \dots, t_m\}$ . In fact,  $u = t_j$  for some  $j$ , since  $u = s_i$  would imply that  $u \in \text{dom } h'$ , but  $f \cup h'$  was monotone. But then  $r \in \text{pred } t_j \cap Y \subseteq Z$ ,  $r \in \text{dom } f'$ , and  $f' \cup h$  is not monotone. Thus  $b \cdot q_{f'} = q_h \cdot q_{f'} = 0$  and  $x = q_f \leq q_{f'}$  show that  $q_{f'}$  separates  $x$  and  $b$ .  $\square$

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