

September 15, 2020

## Uniformization and Skolem Functions in the Class of Trees.

BY

SHMUEL LIFSCHES and SAHARON SHELAH\*

*Institute of Mathematics, The Hebrew University of Jerusalem, Jerusalem, Israel*

### ABSTRACT

The monadic second-order theory of trees allows quantification over elements and over arbitrary subsets. We classify the class of trees with respect to the question: does a tree  $T$  have definable Skolem functions (by a monadic formula with parameters)? This continues [LiSh539] where the question was asked only with respect to choice functions. Here we define a subclass of the class of tame trees (trees with a definable choice function) and prove that this is exactly the class (actually set) of trees with definable Skolem functions.

### 1. Introduction: The Uniformization Problem

**Definition 1.** The monadic second-order logic is the fragment of the full second-order logic that allows quantification over elements and over monadic (unary) predicates only. The monadic version of a first-order language  $L$  can be described as the augmentation of  $L$  by a list of quantifiable set variables and by new atomic formulas  $t \in X$  where  $t$  is a first order term and  $X$  is a set variable. The monadic theory of a structure  $\mathcal{M}$  is the theory of  $\mathcal{M}$  in the extended language where the set variables range over all subsets of  $|\mathcal{M}|$  and  $\in$  is the membership relation.

**Definition 2.** The *monadic language of order  $L$*  is the monadic version of the language of order  $\{<\}$ . For simplicity, we add to  $L$  the predicate  $\text{sing}(X)$  saying “ $X$  is a singleton” and use only formulas with set variables. Thus the meaning of  $X < Y$  is:  $X = \{x\} \ \& \ Y = \{y\} \ \& \ x < y$ .

**Definition 3.** Let  $T$  be a tree and  $\bar{P} \subseteq T$ .

(1)  $\varphi$  is an  $(n, l)$ -formula if  $\varphi = \varphi(X, Y, \bar{P})$  with  $\text{dp}(\varphi) = n$  and  $l(\bar{P}) = l$ .

(2)  $\varphi = \varphi(X, Y, \bar{P})$  is *potentially uniformizable in  $T$*  (p.u) if  $T \models (\forall Y)(\exists X)\varphi(X, Y, \bar{P})$ .

---

\* The second author would like to thank the U.S.–Israel Binational Science Foundation for partially supporting this research. Publ. \*\*\*

## 2. Tame Trees

**Definition 2.1.** A tree is a partially ordered set  $(T, \triangleleft)$  such that for every  $\eta \in T$ ,  $\{\nu : \nu \triangleleft \eta\}$  is linearly ordered by  $\triangleleft$ .

Note, a chain  $(C, <^*)$  and even a set without structure  $I$  is a tree.

Branch, Sub-branch, Initial segment.

**Definition 2.2.** (1)  $(C, <^*)$  is a scattered chain iff ...

(2) For a scattered chain  $(C, <^*)$   $\text{Hdeg}(C)$  is defined inductively by:

$\text{Hdeg}(C)=0$  iff ...

$\text{Hdeg}(C)=\alpha$  iff ...

$\text{Hdeg}(C)\geq \delta$  iff ...

**Theorem 2.3.**  $\text{Hdeg}(C)$  exists for every scattered chain  $C$ .

**Lemma 2.4.**  $\text{Hdeg}(C) < \omega$  then  $C$  has a definable well ordering.

**Proof.** See A1 in the appendix

♡

**Definition 2.5.**  $\sim_A^0, \sim_A^1$ . (from [LiSh539] 4.1)

**Definition 2.6.** (1) A tree  $T$  is called *wild* if either

(i)  $\sup\{|top(A)/\sim_A^1| : A \subseteq T \text{ an initial segment}\} \geq \aleph_0$  or

(ii) There is a branch  $B \subseteq T$  and an embedding  $f: \mathcal{Q} \rightarrow B$  or

(iii) All the branches of  $T$  are scattered linear orders but  $\sup\{\text{Hdeg}(B) : B \text{ a branch of } T\} \geq \omega$ .

(iv) There is an embedding  $f: \omega^{>2} \rightarrow T$

(2) A tree  $T$  is *tame* for  $(n^*, k^*)$  if the value in (i) is  $\leq n^*$ , (ii) does not hold and the value in (iii) is  $\leq k^*$

(3) A tree  $T$  is *tame* if  $T$  is tame for  $(n^*, k^*)$  for some  $n^*, k^* < \omega$ .

The following is the content of [LiSh539], (2)  $\Rightarrow$  (3) is given in theorem A2 in the appendix.

**Theorem 2.7.** *The following are equivalent:*

1.  $T$  has a definable choice function.
2.  $T$  has a definable well ordering.
3.  $T$  is tame.

♡

## 3. Composition Theorems

**Notations.**  $x, y, z$  denote individual variables,  $X, Y, Z$  are set variables,  $a, b, c$  elements and  $A, B, C$  sets.  $\bar{a}, \bar{A}$  are finite sequences and  $\text{lg}(\bar{a}), \text{lg}(\bar{A})$  their length. We write e.g.  $\bar{a} \in C$  and  $\bar{A} \subseteq C$  instead of  $\bar{a} \in {}^{\text{lg}(\bar{a})}C$  or  $\bar{A} \in {}^{\text{lg}(\bar{A})}\mathcal{P}(C)$

**Definition 3.1.** For any chain  $C, \bar{A} \in {}^{\text{lg}(\bar{A})}\mathcal{P}(C)$ , and a natural number  $n$ , define by induction

$$t = \text{Th}^n(C; \bar{A})$$

for  $n = 0$ :

$$t = \{\phi(\bar{X}) : \phi(\bar{X}) \in L, \phi(\bar{X}) \text{ quantifier free, } C \models \phi(\bar{A})\}.$$

for  $n = m + 1$ :

$$t = \{\text{Th}^m(C; \bar{A} \wedge B) : B \in \mathcal{P}(C)\}.$$

We may regard  $\text{Th}^n(C; \bar{A})$  as the set of  $\varphi(\bar{X})$  that are boolean combinations of monadic formulas of quantifier depth  $\leq n$  such that  $C \models \varphi(\bar{A})$ .

**Definition 3.2.**  $\mathcal{T}_{n,l}$  is the set of all formally possible  $\text{Th}^n(C; \bar{P})$  where  $C$  is a chain and  $\text{lg}(\bar{P}) = l$ .  $T_{n,l}$  is  $|\mathcal{T}_{n,l}|$ .

**Fact 3.3.** (A) For every formula  $\psi(\bar{X}) \in L$  there is an  $n$  such that from  $\text{Th}^n(C; \bar{A})$  we can effectively decide whether  $C \models \psi(\bar{X})$ . If  $n$  is minimal with this property we will write  $\text{dp}(\psi) = n$ .

(B) If  $m \geq n$  then  $\text{Th}^n(C; \bar{A})$  can be effectively computed from  $\text{Th}^m(C; \bar{A})$ .

(C) For every  $t \in \mathcal{T}_{n,l}$  there is a monadic formula  $\psi_t(\bar{X})$  with  $\text{dp}(\psi) = n$  such that for every  $\bar{A} \in {}^l\mathcal{P}(C)$ ,  $C \models \psi_t(\bar{A}) \iff \text{Th}^n(C; \bar{A}) = t$ .

(D) Each  $\text{Th}^n(C; \bar{A})$  is hereditarily finite, and we can effectively compute the set  $T_{n,l}$  of formally possible  $\text{Th}^n(C; \bar{A})$ .

**Proof.** Easy. ♡

**Definition 3.4.** If  $C, D$  are chains then  $C + D$  is any chain that can be split into an initial segment isomorphic to  $C$  and a final segment isomorphic to  $D$ .

If  $\langle C_i : i < \alpha \rangle$  is a sequence of chains then  $\sum_{i < \alpha} C_i$  is any chain  $D$  that is the concatenation of segments  $D_i$ , such that each  $D_i$  is isomorphic to  $C_i$ .

**Theorem 3.5 (composition theorem for linear orders).**

(1) If  $\text{lg}(\bar{A}) = \text{lg}(\bar{B}) = \text{lg}(\bar{A}') = \text{lg}(\bar{B}') = l$ , and

$$\text{Th}^m(C; \bar{A}) = \text{Th}^m(C'; \bar{A}') \quad \text{and} \quad \text{Th}^m(D; \bar{B}) = \text{Th}^m(D'; \bar{B}')$$

then

$$\text{Th}^m(C + D; A_0 \cup B_0, \dots, A_{l-1} \cup B_{l-1}) = \text{Th}^m(C' + D'; A'_0 \cup B'_0, \dots, A'_{l-1} \cup B'_{l-1}).$$

(2) If for  $i < \alpha$ ,  $\text{Th}^m(C_i; \bar{A}_i) = \text{Th}^m(D_i; \bar{B}_i)$  where  $\bar{A}_i = \langle A_0^i, \dots, A_{l-1}^i \rangle$ ,  $\bar{B}_i = \langle B_0^i, \dots, B_{l-1}^i \rangle$  then

$$\text{Th}^m\left(\sum_{i < \alpha} C_i; \cup_{i < \alpha} A_0^i, \dots, \cup_{i < \alpha} A_{l-1}^i\right) = \text{Th}^m\left(\sum_{i < \alpha} D_i; \cup_{i < \alpha} B_0^i, \dots, \cup_{i < \alpha} B_{l-1}^i\right)$$

**Proof.** By [Sh] Theorem 2.4 (where a more general theorem is proved), or directly by induction on  $m$ . ♡

**Definition 3.6.** (1)  $t_1 + t_2 = t_3$  means:

for some  $m, l < \omega$ ,  $t_1, t_2, t_3 \in \mathcal{T}_{m,l}$  and if

$$t_1 = \text{Th}^m(C; A_0, \dots, A_{l-1}) \quad \text{and} \quad t_2 = \text{Th}^m(D; B_0, \dots, B_{l-1})$$

then

$$t_3 = \text{Th}^m(C + D; A_0 \cup B_0, \dots, A_{l-1} \cup B_{l-1}).$$

By the previous theorem, the choice of  $C$  and  $D$  is immaterial.

(2)  $\sum_{i < \alpha} \text{Th}^m(C_i; \bar{A}_i)$  is  $\text{Th}^m(\sum_{i < \alpha} C_i; \cup_{i < \alpha} A_0^i, \dots, \cup_{i < \alpha} A_{l-1}^i)$ .

**Notation 3.7.**

- (1)  $\text{Th}^n(C; \bar{P}, \bar{Q})$  is  $\text{Th}^n(C; \bar{P} \wedge \bar{Q})$ .
- (2) If  $D$  is a subchain of  $C$  and  $X_1, \dots, X_{l-1}$  are subsets of  $C$  then  $\text{Th}^m(D; X_0, \dots, X_{l-1})$  abbreviates  $\text{Th}^m(D; X_0 \cap D, \dots, X_{l-1} \cap D)$ .
- (3) For  $C$  a chain,  $a < b \in C$  and  $\bar{P} \subseteq C$  we denote by  $\text{Th}^n(C; \bar{P}) \upharpoonright_{[a,b]}$  the theory  $\text{Th}^n([a, b]; \bar{P} \cap [a, b])$ .
- (4) We will use abbreviations as  $\bar{P} \cup \bar{Q}$  for  $\langle P_0 \cup Q_0, \dots \rangle$  and  $\cup_i \bar{P}_i$  for  $\langle \cup_i P_0^i, \dots \rangle$  (of course we assume that all the involved sequences have the same length).
- (5) We shall not always distinguish between  $\text{Th}^n(C; \bar{P}, \emptyset)$  and  $\text{Th}^n(C; \bar{P})$ .

**Theorem 3.8.** *For every  $n, l < \omega$  there is  $m = m(n, l) < \omega$ , effectively computable from  $n$  and  $l$ , such that whenever  $I$  is a chain, for  $i \in I$   $C_i$  is a chain,  $\bar{Q}_i \subseteq C_i$  and  $\text{lg}(\bar{Q}_i) = l$ ,*

*if  $(C; \bar{Q}) = \sum_{i \in I} (C_i; \bar{Q}_i) := (\sum_{i \in I} C_i; \cup_{i \in I} \bar{Q}_i)$*

*and if for  $t \in \mathcal{T}_{n,l}$   $P_t := \{i \in I : \text{Th}^n(C_i; \bar{Q}_i) = t\}$  and  $\bar{P} := \langle P_t : t \in \mathcal{T}_{n,l} \rangle$*

*then from  $\text{Th}^m(I; \bar{P})$  we can effectively compute  $\text{Th}^n(C; \bar{Q})$*

**Proof.** By [Sh] Theorem 2.4. ♡

**Definition 3.9.**

- (1) Let  $T_0, T_1$  be disjoint trees with  $\eta_0 = \text{root}(T_0)$ . Define a tree  $T$  to be the ordered sum of  $T_0$  and  $T_1$  by:

$T = T_0 \oplus T_1$  iff  $T = T_0 \cup T_1$  where the partial order on  $T$ ,  $\triangleleft_T$ , is induced by the partial orders of  $T_0$  and  $T_1$  and the (only) additional rule:

$$\sigma \in T_1 \Rightarrow \eta_0 \triangleleft \sigma.$$

- (2) If  $T_0$  doesn't have a root then  $\triangleleft_T$  is the disjoint union  $\triangleleft_{T_0} \cup \triangleleft_{T_1}$  (So  $[\tau \in T_0 \ \& \ \sigma \in T_1] \Rightarrow \tau \perp \sigma$ ).
- (3) When  $I$  is a chain and  $T_i$  are pairwise disjoint trees for  $i \in I$  we define  $T = \bigoplus_{i \in I} T_i$  by  $T = \cup_{i \in I} T_i$  with similar rules on  $\triangleleft = \triangleleft_T$  namely

$$\sigma, \tau \in T_i \Rightarrow [\sigma \triangleleft \tau \iff \sigma \triangleleft_{T_i} \tau]$$

$$[\sigma = \text{root}(T_i), i <_I j, \tau \in T_j] \Rightarrow \sigma \triangleleft \tau$$

$$[\sigma \in T_i, \sigma \neq \text{root}(T_i), i \neq j, \tau \in T_j] \Rightarrow \sigma \perp \tau$$

**Theorem 3.10 (composition theorem along a complete branch).**

*For every  $n < \omega$  there is an  $m = m(n) < \omega$ , effectively computable from  $n$ , such that if  $I$  is a chain and  $T_i$  are trees for  $i \in I$  then  $\langle \text{Th}^m(T_i) : i \in I \rangle$  and  $\text{Th}^m(\langle \eta_i : i \in I \rangle)$  (which is a theory of a chain) determine  $\text{Th}^n(\bigoplus_{i \in I} T_i)$ .*

**Proof.** See theorem 3.14. ♡

Given a tree  $T$ , we would like to represent it as a sum of subtrees, ordered by a branch  $B \subseteq T$ . Sometimes however we may have to use a chain  $\mathcal{B}$  that embeds  $B$ .

**Definition 3.11.** Let  $T$  be a tree,  $B \subseteq T$  a branch,  $\nu \in T$ ,  $\eta \in B$  and  $X \subseteq B$  be an initial segment without a last element.

(a)  $\nu$  cuts  $B$  at  $\eta$  if  $\eta \triangleleft \nu$  and for every  $\tau \in B$ , if  $\neg \tau \triangleleft \eta$  then  $\neg \tau \triangleleft \nu$ , (In particular,  $\eta$  cuts  $B$  at  $\eta$ ).  $\nu$  cuts  $B$  at  $\{\eta\}$  has the same meaning.

(b)  $\nu$  cuts  $B$  at  $X$  if  $\eta \triangleleft \nu$  for every  $\eta \in X$  and  $\neg \tau \triangleleft \nu$  for every  $\tau \in B \setminus X$ .

(c)  $\mathcal{B}^+ \subseteq \mathcal{P}(B)$  is defined by  $X \in \mathcal{B}^+$  iff  $[X = \{\eta\}$  for some  $\eta \in B]$  or  $[X \subseteq B$  is an initial segment without a last element and there is  $\nu \in T \setminus B$  that cuts  $B$  at  $X]$ .

(d) Define a linear order  $\leq = \leq_{\mathcal{B}^+}$  on  $\mathcal{B}^+$  by  $X_0 \leq X_1$  iff  $[X_0 = \{\eta_0\}, X_1 = \{\eta_1\}$  and  $\eta_0 \triangleleft \eta_1]$  or  $[X_0 \subseteq X_1]$ .

Note that the statements  $X \in \mathcal{B}^+$  and  $X_0 \leq_{\mathcal{B}^+} X_1$  are expressible by monadic formulas  $\psi_{\in}(X, B)$  and  $\psi_{\leq}(X_0, X_1, B)$ .

(e) For  $X \in \mathcal{B}^+$  define  $T_X := \{\nu \in T : \nu \text{ cuts } B \text{ at } X\}$ .

Now  $\mathcal{B}^+$  has the disadvantage of not being a subset of  $T$  and (at the small cost of adding a new parameter) we shall replace the chain  $(\mathcal{B}^+, <_{\mathcal{B}^+})$  by a chain  $(\mathcal{B}, <_{\mathcal{B}})$  where  $\mathcal{B} \subseteq T$ .

**Definition 3.12.**  $\mathcal{B} \subseteq T$  is obtained by replacing every  $X \in \mathcal{B}^+$  by an element  $\eta_x \in T$  in the following way: if  $X = \{\eta\}$  then  $\eta_x = \eta$  and if  $X \subseteq B$  is an initial segment then  $\eta_x$  is a favourite element from  $T_X$ .  $\leq_{\mathcal{B}}$  is defined by  $\eta_{x_1} \leq_{\mathcal{B}} \eta_{x_2} \iff X_1 \leq_{\mathcal{B}^+} X_2$  and  $B^c \subseteq T$  will be  $\mathcal{B} \setminus \{\eta_x : X = \{\nu\}, \nu \in B\}$ , (so  $(\mathcal{B} \setminus B^c, \leq_{\mathcal{B}}) \cong (B, \triangleleft)$ ). For  $\eta \in B$  let  $T_\eta$  be  $T_{\{\eta\}}$  as defined in (e) above, and for  $\eta = \eta_x \in B^c$  let  $T_\eta = T_X$  as above (in this case  $T_\eta$  is  $\{\nu \in T : \nu \sim_B^0 \eta\}$  as in definition 2.5).

**Fact 3.13.**  $\leq_{\mathcal{B}}$  is definable from  $B$  and  $B^c$ ,  $T_\eta$  is definable from  $\eta, B$  and  $B^c$  and  $T = \bigoplus_{\eta \in \mathcal{B}} T_\eta$  in accordance with definition 3.9.

♡

**Theorem 3.14 (Composition theorems for trees).**

Assume  $T$  is a tree,  $B \subseteq T$  a branch and  $\bar{Q} \subseteq T$  with  $\text{lg}(\bar{Q}) = l$ . Let  $\mathcal{B}$  and  $B^c$  be defined as above, for  $\eta \in \mathcal{B}$   $T_\eta$  is defined as above (so  $T = \bigoplus_{\eta \in \mathcal{B}} T_\eta$ ) and  $S_\eta$  is  $T_\eta \setminus B$  (so, abusing notations,  $T = B \cup \bigoplus_{\eta \in \mathcal{B}} S_\eta$ ). Then:

1) Composition theorem on a branch: for every  $n < \omega$  there is  $k = k(n, l) < \omega$ , effectively computable from  $n$  and  $l$ , such that  $\text{Th}^k(\mathcal{B}; B, B^c, \bar{P})$  determines  $\text{Th}^n(T; \bar{Q})$

where for  $t \in \mathcal{T}_{n,l}$ ,  $P_t := \{\eta \in \mathcal{B} : \text{Th}^n(T_\eta; \bar{Q} \cap T_\eta) = t\}$  and  $\bar{P} := \langle P_t : t \in \mathcal{T}_{n,l} \rangle$ .

2) Composition theorem along a branch: for every  $n < \omega$  there is  $k = k(n, l) < \omega$ , effectively computable from  $n$  and  $l$ , such that

$\text{Th}^k(B; \bar{Q})$  and  $\langle \text{Th}^k(S_\eta; B, B^c, \bar{Q}) : \eta \in \mathcal{B} \rangle$  determine  $\text{Th}^n(T; \bar{Q})$ .

**Proof.** By Theorem 1 in [GuSh] §2.4.

♡

**Definition 3.15.** Additive colouring....

**Theorem 3.16 (Ramsey theorem for additive colourings).** ...

**Proof.** By [Sh] Theorem 1.1.

♡

#### 4. Well Orderings of Ordinals

A chain is *tame* iff it is scattered of Hausdorff degree  $< \omega$ . We will define for a tame chain  $C$ ,  $\text{Log}(C)$  and show later (in proposition 4.8) that this function is well defined.

**Definition 4.1.** Let  $\text{Log}:\{\text{tame chains}\} \rightarrow \omega \cup \{\infty\}$  be defined by:

$\text{Log}(C) = \infty$  iff there is  $\varphi(x, y, \bar{P})$  that defines a well ordering on the elements of  $C$  of order type  $\geq \omega^\omega$ ,

$\text{Log}(C) = k$  iff there is  $\varphi(x, y, \bar{P})$  that defines a well ordering on the elements of  $C$  of order type  $\alpha$  with  $\omega^k \leq \alpha < \omega^{k+1}$ .

**Fact 4.2.** A tame chain  $C$  has a reconstructible well ordering i.e. there is a formula  $\varphi(x, y, \bar{P})$  ( $\bar{P} \subseteq C$ ) that defines a well ordering on the elements of  $C$  of order type  $\alpha$  and there is a formula  $\psi(x, y, \bar{Q})$  ( $\bar{Q} \subseteq \alpha$ ) that defines a linear order  $<^*$  on the elements of  $\alpha$  such that  $(\alpha, <^*) \cong (C, <)$ .

**Proof.** By induction on  $\text{Hdeg}(\alpha)$ , using the proof of Theorem A1 in the appendix. ♡

**Definition 4.3.** Let  $\alpha, \beta$  be ordinals.  $\alpha \rightarrow \beta$  means the following: “there is  $\varphi(x, y, \bar{P})$  that defines a well ordering on the elements of  $\alpha$  of order type  $\beta$ ”.

**Claim 4.4.**

1)  $\alpha \rightarrow \beta \ \& \ \beta \rightarrow \gamma \Rightarrow \alpha \rightarrow \gamma$ .

2)  $\alpha \rightarrow \gamma \ \& \ \gamma \geq \alpha \cdot \omega \Rightarrow \alpha \rightarrow \alpha \cdot \omega$ .

**Proof.** Straightforward. ♡

**Notation.** Suppose  $\alpha \rightarrow \beta$  holds by  $\varphi(x, y, \bar{P})$ . Define a bijection  $f: \alpha \rightarrow \beta$  by  $f(i) = j$  iff  $i$  is the  $j$ 'th element in the well order defined by  $\varphi$ .

**Lemma 4.5.** For any ordinal  $\alpha$ ,  $\alpha \not\rightarrow \alpha \cdot \omega$ .

**Proof.** Assume that  $\alpha$  is minimal such that  $\alpha \rightarrow \alpha \cdot \omega$ . It follows that:

(i)  $\alpha \geq \omega$ ,

(ii)  $\alpha$  is a limit ordinal (by  $\alpha \rightarrow \alpha + 1$  and 2.7),

(iii) for  $\beta < \alpha$ ,  $\{f(i) : i < \beta\}$  does not contain a final segment of  $\alpha \cdot \omega$  (otherwise clearly  $\beta \rightarrow \alpha \cdot \omega$  hence by 2.7  $\beta \rightarrow \beta \cdot \omega$  but  $\alpha$  is minimal).

So let  $\varphi(x, y, \bar{P})$  define a well order of  $\alpha$  of order type  $\alpha \cdot \omega$  and let  $Q \subseteq \alpha$  be the following subset:  $x \in Q$  iff for some  $k < \omega$ ,  $\alpha \cdot 2k \leq f(x) < \alpha \cdot (2k + 1)$ . Let  $E$  an equivalence relation on  $\alpha$  defined by  $xEy$  iff for some  $l < \omega$ ,  $f(x)$  and  $f(y)$  belong to the segment  $[\alpha \cdot l, \alpha \cdot (l + 1))$ . Clearly there is a monadic formula  $\psi(x, y, \bar{P}, Q)$  that defines  $E$  moreover, some monadic formula  $\theta(X, \bar{P}, Q)$  expresses the statement “ $\bigvee_{i < \omega} (X = Q_i)$ ” where  $\langle Q_i : i < \omega \rangle$  are the  $E$ -equivalence classes.

Let  $n := \max\{\text{dp}(\varphi), \text{dp}(\psi), \text{dp}(\theta)\} + 5$ , and

$m := |\{\text{Th}^n(C; \bar{X}, Y, Z) : C \text{ a chain, } \bar{X}, Y, Z \subseteq C, \text{lg}(\bar{X}) = \text{lg}(\bar{P})\}|$ .

let  $\delta = \text{cf}(\alpha)$  and  $\{x_i\}_{i < \delta}$  be strictly increasing and cofinal in  $\alpha$ . By [Sh]Theorem 1.1 applied to the colouring  $h(i, j) = \text{Th}^n(\alpha; \bar{P}, Q, x_i, x_j)$  we get a cofinal subsequence  $\{\beta_j\}_{j < \delta}$  such that  $\text{Th}^n(\alpha; \bar{P}, Q, \beta_{j_1}, \beta_{j_2})$  is constant for  $j_1 < j_2 < \delta$ . Note that it follows

(†) the theories  $\text{Th}^n(\alpha; \bar{P}, Q) \upharpoonright_{[0, \beta_j)}$ ,  $\text{Th}^n(\alpha; \bar{P}, Q) \upharpoonright_{[\beta_j, \alpha)}$ , and  $\text{Th}^n(\alpha; \bar{P}, Q, \beta_{j_1}) \upharpoonright_{[\beta_{j_1}, \beta_{j_2})}$  are constant for every  $j < \delta$  and for every  $j_1 < j_2 < \delta$ .

Note that each  $E$ -equivalence class  $Q_i$  is unbounded in  $\alpha$  since if some  $\beta < \alpha$  contains some  $E$ -equivalence class  $Q_i$  it would easily follow that  $\beta \rightarrow \alpha$  contradicting fact (iii).

Fix some  $1 < j < \delta$  let  $x < \beta_j$  and let  $Q_{i(x)}$  be the  $E$ -equivalence class containing  $x$ . Since  $Q_{i(x)}$  is unbounded in  $\alpha$  there is some  $j < l < \delta$  such that  $[\beta_j, \beta_l] \cap Q_{i(x)} \neq \emptyset$ . This statement is expressible by  $\text{Th}^n(\alpha; \bar{P}, Q, x, \beta_j, \beta_l)$  which is equal to

$$\text{Th}^n(\alpha; \bar{P}, Q, x, \beta_j, \beta_l) \upharpoonright_{[0, \beta_j]} + \text{Th}^n(\alpha; \bar{P}, Q, x, \beta_j, \beta_l) \upharpoonright_{[\beta_j, \beta_l]} + \text{Th}^n(\alpha; \bar{P}, Q, x, \beta_j, \beta_l) \upharpoonright_{[\beta_l, \alpha]} = \\ \text{Th}^n(\alpha; \bar{P}, Q, x, \emptyset, \emptyset) \upharpoonright_{[0, \beta_j]} + \text{Th}^n(\alpha; \bar{P}, Q, \emptyset, \beta_j, \emptyset) \upharpoonright_{[\beta_j, \beta_l]} + \text{Th}^n(\alpha; \bar{P}, Q, \emptyset, \emptyset, \beta_l) \upharpoonright_{[\beta_l, \alpha]}.$$

By (†) we may replace the second theory by  $\text{Th}^n(\alpha; \bar{P}, Q, \emptyset, \beta_j, \emptyset) \upharpoonright_{[\beta_j, \beta_{j+1}]}$

and the third theory by  $\text{Th}^n(\alpha; \bar{P}, Q, \emptyset, \emptyset, \beta_{j+1}) \upharpoonright_{[\beta_{j+1}, \alpha]}$ , and conclude:

$$\text{Th}^n(\alpha; \bar{P}, Q, x, \beta_j, \beta_l) = \text{Th}^n(\alpha; \bar{P}, Q, x, \beta_j, \beta_{j+1})$$

Therefore for every  $x < \beta_j$ ,  $[\beta_j, \beta_{j+1}] \cap Q_{i(x)} \neq \emptyset$ .

Finally, let  $j < \delta$  be such that the segment  $[0, \beta_j]$  intersects  $m + 1$  different  $E$ -equivalence classes, say  $Q_{i_0}, \dots, Q_{i_m}$ . By the previous argument we have  $[\beta_j, \beta_{j+1}] \cap Q_{i_l} \neq \emptyset$  for every  $l \leq m$ . By the choice of  $m$  there are different  $a, b \in \{i_0, \dots, i_m\}$  such that

$$(*) \quad \text{Th}^n(\alpha; \bar{P}, Q, Q_a) \upharpoonright_{[\beta_j, \beta_{j+1}]} = \text{Th}^n(\alpha; \bar{P}, Q, Q_b) \upharpoonright_{[\beta_j, \beta_{j+1}]}.$$

Let  $R \subseteq \alpha$  be  $([0, \beta_j] \cap Q_a) \cup (([\beta_j, \beta_{j+1}] \cap Q_b) \cup ([\beta_{j+1}, \alpha] \cap Q_a))$ .

Now  $\text{Th}^n(\alpha, \bar{P}, Q, R) =$

$$\text{Th}^n(\alpha, \bar{P}, Q, R) \upharpoonright_{[0, \beta_j]} + \text{Th}^n(\alpha, \bar{P}, Q, R) \upharpoonright_{[\beta_j, \beta_{j+1}]} + \text{Th}^n(\alpha, \bar{P}, Q, R) \upharpoonright_{[\beta_{j+1}, \alpha]} = \\ \text{Th}^n(\alpha, \bar{P}, Q, Q_a) \upharpoonright_{[0, \beta_j]} + \text{Th}^n(\alpha, \bar{P}, Q, Q_b) \upharpoonright_{[\beta_j, \beta_{j+1}]} + \text{Th}^n(\alpha, \bar{P}, Q, Q_a) \upharpoonright_{[\beta_{j+1}, \alpha]} = (\text{by } (*)) \\ \text{Th}^n(\alpha, \bar{P}, Q, Q_a) \upharpoonright_{[0, \beta_j]} + \text{Th}^n(\alpha, \bar{P}, Q, Q_a) \upharpoonright_{[\beta_j, \beta_{j+1}]} + \text{Th}^n(\alpha, \bar{P}, Q, Q_a) \upharpoonright_{[\beta_{j+1}, \alpha]} = \\ \text{Th}^n(\alpha, \bar{P}, Q, Q_a).$$

But  $Q_a$  is an  $E$ -equivalence class while  $R$  is not. Since  $\text{Th}^n(\alpha, \bar{P}, Q, Z)$  computes the statement “ $Z$  is  $E$ -equivalence class” we get a contradiction from  $\text{Th}^n(\alpha, \bar{P}, Q, R) = \text{Th}^n(\alpha, \bar{P}, Q, Q_a)$ .

♡

**Claim 4.6.** *If  $\alpha \rightarrow \beta$  and  $\beta < \alpha$  then  $(\exists \gamma_1, \gamma_2)((\gamma_1 + \gamma_2 = \alpha) \& (\gamma_2 + \gamma_1 = \beta))$ .*

**Proof.** Let’s prove first:

Subclaim:  $\omega + \omega \not\rightarrow \omega$ .

Proof of the subclaim: Assume that  $\varphi(x, y, \bar{P})$  well orders  $\omega + \omega$  of order type  $\omega$  and that  $\text{dp}(\varphi) = n$ ,  $l(\bar{P}) = l$ . Let  $x <^* y$  mean  $(\omega + \omega, <) \models \varphi(x, y, \bar{P})$ .

→[Insert Ramsey theorems]

Let  $\{x_i\}_{i < \omega}$  be increasing and unbounded in  $[0, \omega)$  satisfying, for  $i < j < \omega$  and for some  $s_0 \in \mathcal{T}_{n, l+2}$  and  $t_0 \in \mathcal{T}_{n, l+2}$

$$\text{Th}^n(\omega + \omega; x_i, \emptyset, \bar{P}) \upharpoonright_{[x_i, x_j]} = s_0, \quad \text{Th}^n(\omega + \omega; \emptyset, \emptyset, \bar{P}) \upharpoonright_{[x_i, x_j]} = t_0,$$

let  $\{y_j\}_{j < \omega}$  increasing and unbounded in  $[\omega, \omega + \omega)$  satisfying, for  $j < k < \omega$  and for some  $s_1 \in \mathcal{T}_{n, l+2}$  and  $t_1 \in \mathcal{T}_{n, l+2}$

$$\text{Th}^n(\omega + \omega; \emptyset, y_j, \bar{P}) \upharpoonright_{[y_j, y_k]} = s_1, \quad \text{Th}^n(\omega + \omega; \emptyset, \emptyset, \bar{P}) \upharpoonright_{[y_j, y_k]} = t_1.$$

Using Ramsey Theorem (and as  $<^*$  is well founded) we may assume that  $i_1 < i_2 \Rightarrow x_{i_1} <^* x_{i_2}$  and  $j_1 < j_2 \Rightarrow y_{j_1} <^* y_{j_2}$ .

We will show now that for  $0 < i < \omega$  and  $0 < j < \omega$ ,  $\text{Th}^n(\omega + \omega; x_i, y_j, \bar{P})$  is constant. Indeed,

$$t^* := \text{Th}^n(\omega + \omega; x_i, y_j, \bar{P}) =$$

$$\text{Th}^n(\omega + \omega; \emptyset, \emptyset, \bar{P}) \upharpoonright_{[0, x_0]} + \text{Th}^n(\omega + \omega; \emptyset, \emptyset, \bar{P}) \upharpoonright_{[x_0, x_i]} +$$

$$\text{Th}^n(\omega + \omega; x_i, \emptyset, \bar{P}) \upharpoonright_{[x_i, x_{i+1}]} + \text{Th}^n(\omega + \omega; \emptyset, \emptyset, \bar{P}) \upharpoonright_{[x_{i+1}, \omega]} + \text{Th}^n(\omega + \omega; \emptyset, \emptyset, \bar{P}) \upharpoonright_{[\omega, y_0]} +$$

$$\text{Th}^n(\omega + \omega; x_i, \emptyset, \bar{P}) \upharpoonright_{[y_i, y_j]} + \text{Th}^n(\omega + \omega; \emptyset, y_j, \bar{P}) \upharpoonright_{[y_j, y_{j+1}]} + \text{Th}^n(\omega + \omega; \emptyset, \emptyset, \bar{P}) \upharpoonright_{[y_{j+1}, \omega + \omega]}.$$

Call the sum  $t^* = r_1 + r_2 + \dots + r_8$ . Now  $r_1$  is constant,  $r_2 = t_0 \cdot i = t_0$  (check that  $t_0 + t_0 = t_0$ ),  $r_3$  is  $s_0$ ,  $r_4 = t_0 \cdot \omega$  hence is constant,  $r_5$  is constant,  $r_6 = t_1 \cdot j = t_1$ ,  $r_7 = s_1$  and  $r_8 = t_0 \cdot \omega$  hence is constant. Therefore  $t^*$  does not depend on  $i$  and  $j$ .

Now as  $\{y_j\}_{j < \omega}$  is unbounded with respect to  $<^*$ , there is some  $j < \omega$  such that  $x_1 <^* y_j$ . This is expressed by  $\text{Th}^n(\omega + \omega; x_1, y_j, \bar{P})$  which we have just seen to be independent of  $i$  and  $j$  hence

$$(\forall 0 < i < \omega)(\forall 0 < j < \omega)[(\omega + \omega, <) \Rightarrow \varphi(x_i, y_j, \bar{P})]$$

it follows that  $\text{otp}(\omega + \omega, <^*) \geq \omega + 1$ , a contradiction. This proves  $\omega + \omega \not\rightarrow \omega$ .

Returning to the proof of the claim, let  $\beta$  be the minimal ordinal such that there exists some  $\alpha > \beta$  with  $\alpha \rightarrow \beta$  but there aren't any  $\gamma_1, \gamma_2 \leq \alpha$  with  $(\gamma_1 + \gamma_2 = \alpha) \& (\gamma_2 + \gamma_1 = \beta)$ . Call such a  $\beta$  *weird* and let  $\alpha > \beta$  the first ordinal witnessing the weirdness of  $\beta$ . By transitivity of  $\rightarrow$  it is easy to see that  $\beta$  is limit. Moreover,  $\gamma < \beta \Rightarrow \beta \not\rightarrow \gamma$  hence if  $\beta = \gamma_1 + \gamma_2$  then  $\gamma_2 + \gamma_1 \geq \beta$ . It follows that there are two possible cases: either (\*)  $\gamma < \beta \Rightarrow (\gamma + \gamma < \beta)$ , hence  $\gamma < \beta \Rightarrow (\gamma \cdot \omega \leq \beta)$  and  $\gamma < \beta \Rightarrow (\text{otp}([\gamma, \beta]) = \beta)$ , or (\*\*)  $\beta = \gamma + \gamma$ .

First case: (\*) holds i.e.  $\gamma < \beta \Rightarrow (\gamma + \gamma < \beta)$ . Let  $\alpha = \beta + \gamma$  what can  $\gamma$  be? If  $\gamma < \beta$  then by (\*)  $\gamma + \beta = \beta$  and  $\alpha$  does not witness the weirdness of  $\beta$ , so  $\alpha \geq \beta + \beta$ .

Let  $\varphi(x, y, \bar{P})$  well order  $\alpha$  of order type  $\beta$  with  $\text{dp}(\varphi) = n$  and  $l(\bar{P}) = l$ . As above  $x <^* y$  means  $(\alpha, <) \models \varphi(x, y, \bar{P})$  and finally let  $\delta = \text{cf}(\beta)$ .

Now  $\text{otp}(\alpha, <^*) = \beta$  but what is  $\text{otp}([0, \beta], <^* \upharpoonright_{[0, \beta]})$ ? Clearly, as  $\text{Th}^n(\alpha, \bar{P}) = \text{Th}^n(\alpha, \bar{P}) \upharpoonright_{[0, \beta]} + \text{Th}^n(\alpha, \bar{P}) \upharpoonright_{[\beta, \alpha]}$  we have  $\beta \rightarrow \text{otp}([0, \beta], <^* \upharpoonright_{[0, \beta]})$  hence  $\beta = \text{otp}([0, \beta], <^* \upharpoonright_{[0, \beta]})$  (otherwise, by (\*),  $\text{otp}([0, \beta], <^* \upharpoonright_{[0, \beta]})$  is weird and  $< \beta$ ). Similarly we can show that  $\text{otp}([\beta, \beta + \beta], <^* \upharpoonright_{[\beta, \beta + \beta]}) = \beta$ .

→[Insert Ramsey theorems]

Now proceed as before: choose  $\{x_i\}_{i < \delta} \subseteq [0, \beta]$  and  $\{y_j\}_{j < \delta} \subseteq [\beta, \beta + \beta]$  that are homogeneous unbounded and  $<^*$  unbounded and use them to show that  $\text{otp}(\alpha, <^*) \geq \beta + 1$ .

Second case: (\*\*) holds i.e.  $\beta = \gamma + \gamma$ .

Call  $\epsilon$  *quite weird* if for some  $k < \omega$   $\epsilon \cdot k$  is weird. Let  $\epsilon \leq \gamma$  be the first quite weird ordinal. Let  $k_1$  be the first such that  $\epsilon \cdot k_1$  is weird. Look at  $\gamma$ : if  $\gamma = \gamma_1 + \gamma_2$  and  $\gamma_2 + \gamma_1 < \gamma$  we would have  $\alpha \rightarrow \beta = \gamma + \gamma \rightarrow \gamma + \gamma_2 + \gamma_1 < \beta$  and a contradiction. Hence either  $\gamma_1 < \gamma \Rightarrow (\gamma_1 + \gamma_1 < \gamma)$  and in this case  $\gamma = \epsilon$  or  $\gamma = \gamma_1 + \gamma_1$ . Repeat the same argument to get  $\gamma_1 = \epsilon$  or  $\gamma_1 = \gamma_2 + \gamma_2$ . After finitely many steps we are bound to get  $\beta = \epsilon \cdot 2k$  where  $2k = k_1$  and  $\epsilon_1 < \epsilon \Rightarrow \epsilon_1 \cdot \omega \leq \epsilon$  and of course  $\epsilon_1 < \epsilon \Rightarrow \epsilon \not\rightarrow \epsilon_1$ .

Let  $\varphi(x, y, \bar{P})$  and  $<^*$  be as usual and  $\delta := \text{cf}(\beta) = \text{cf}(\epsilon)$ . Let  $\alpha = \beta + \epsilon^*$  if  $\epsilon^* < \epsilon$  then  $\epsilon^* + \beta = \beta$  and  $\alpha$  doesn't witness weirdness, therefore  $\epsilon^* \geq \epsilon$ .

Proceed as before: choose  $\{x_i^0\}_{i < \delta}, \{x_i^1\}_{i < \delta}, \dots, \{x_i^k\}_{i < \delta}$  with  $\{x_i^l\}_{i < \delta} \subseteq [\epsilon \cdot l, \epsilon(l+1))$ , homogeneous, unbounded and  $<^*$  increasing.

By the composition theorem it will follow that  $\text{otp}([\epsilon \cdot l, \epsilon(l+1)], <^*) \geq \epsilon$  and by homogeneity we will have, for  $0 < i, j < \omega$  and  $l \leq k$ ,  $x_i^l <^* x_j^{l+1}$ . It follows that  $\text{otp}(\alpha, <^*) \geq (\epsilon \cdot k) + 1 = \beta + 1$  and a contradiction.

♡

**Theorem 4.7.** *Well ordering of ordinals are obtained only by the following process:  
let  $\langle P_0, P_1, \dots, P_{n-1} \rangle$  be a partition of  $\alpha$  and*

$$i <^* j \iff [(\exists k < n)(i \in P_k \& j \in P_k \& i < j)] \vee [i \in P_{k_1} \& j \in P_{k_2} \& k_1 < k_2].$$

♡

**Proposition 4.8.** *Log( $C$ ) is well defined.*

**Proof.** Let  $(C, <^*)$  be a scattered chain and let  $(\alpha, <)$  and  $(\beta, <)$  be results of a definable well orderings of  $(C, <^*)$  where in addition (by 4.2) there is  $\psi(x, y, \bar{Q})$  that defines  $C$  in  $\alpha$ . So  $\alpha \rightarrow \beta$  and by 4.5 and 4.6  $\alpha < \omega^\omega \iff \beta < \omega^\omega$  and  $\alpha \in [\omega^k, \omega^{k+1}) \iff \beta \in [\omega^k, \omega^{k+1})$ .

♡

### 5. $(\omega^\omega, <)$ and longer chains

The following lemma is a part of Theorem 3.5(B) in [Sh]:

**Lemma 5.1.** *Let  $I$  be a well ordered chain of order type  $\geq \omega^k$ . Let  $f: I^2 \rightarrow \{t_0, t_1, \dots, t_{l-1}\}$  be an additive colouring and assume that for  $\alpha < \beta \in I$ ,  $f(\alpha, \beta)$  depends only on the order type in  $I$  of the segment  $[\alpha, \beta)$ .*

*Then there is  $i < l$  such that for some  $p \leq l$ , for every  $r \geq p$ , if  $\text{otp}([\alpha, \beta)) = \omega^r$  then  $f(\alpha, \beta) = t_i$ . Moreover,  $t_i + t_i = t_i$ .*

**Proof.** To avoid triviality assume  $k > l$ . For  $\alpha < \beta$  in  $I$  with  $\text{otp}([\alpha, \beta)) = \delta$ , denote  $f(\alpha, \beta)$  by  $t(\delta)$  (makes sense by the assumptions).

By the pigeon-hole principle there are  $1 \leq p \leq l$ ,  $s > p$  and some  $t_i$  with  $t(\omega^p) = t(\omega^s) = t_i$ . Now  $\omega^{p+2} = \sum_{i < \omega} (\omega^{p+1} + \omega^p)$  and by the additivity of  $f$ :

$$\begin{aligned} t(\omega^{p+2}) &= t\left(\sum_{i < \omega} (\omega^{p+1} + \omega^p)\right) = \sum_{i < \omega} t(\omega^{p+1} + \omega^p) = \sum_{i < \omega} (t(\omega^{p+1}) + t(\omega^p)) = \sum_{i < \omega} (t(\omega^{p+1}) + t(\omega^s)) = \\ &= \sum_{i < \omega} t(\omega^{p+1} + \omega^s) = \sum_{i < \omega} t(\omega^s) = \sum_{i < \omega} t(\omega^p) = t\left(\sum_{i < \omega} \omega^p\right) = t(\omega^{p+1}). \end{aligned}$$

Hence

$$t(\omega^{p+2}) = t(\omega^{p+1}).$$

Using this and as  $\omega^{p+3} = \sum_{i < \omega} (\omega^{p+2} + \omega^{p+1})$  we have

$$\begin{aligned} t(\omega^{p+3}) &= t\left(\sum_{i < \omega} (\omega^{p+2} + \omega^{p+1})\right) = \sum_{i < \omega} t(\omega^{p+2} + \omega^{p+1}) = \sum_{i < \omega} (t(\omega^{p+2}) + t(\omega^{p+1})) = \\ &= \sum_{i < \omega} (t(\omega^{p+1}) + t(\omega^{p+1})) = \sum_{i < \omega} t(\omega^{p+1}) = t\left(\sum_{i < \omega} \omega^{p+1}\right) = t(\omega^{p+2}). \end{aligned}$$

Hence

$$t(\omega^{p+3}) = t(\omega^{p+2}).$$

So for every  $j > 0$ ,  $t(\omega^{p+1}) = t(\omega^{p+j})$  and in particular  $t(\omega^{p+1}) = t(\omega^s) = t(\omega^p) = t_i$ .

This proves the first part of the lemma. As for the moreover clause, since  $\omega^{p+1} = \omega^p + \omega^{p+1}$  we have

$$t_i = t(\omega^{p+1}) = t(\omega^p + \omega^{p+1}) = t(\omega^p) + t(\omega^{p+1}) = t_i + t_i.$$

♡

**Proposition 5.2.** *The formula  $\varphi(X, Y)$  saying “if  $Y$  is without a last element then  $X \subseteq Y$  is an  $\omega$ -sequence unbounded in  $Y$  (and if not then  $X = \emptyset$ )” can not be uniformized in  $(\omega^\omega, <)$ .*

*Moreover, if  $\psi_m(X, Y, \bar{P}_m)$  uniformizes  $\varphi$  on  $\omega^m$  then one of the sets  $\{\text{dp}(\psi_m) : m < \omega\}$  or  $\{\text{lg}(\bar{P}_m) : m < \omega\}$  is unbounded.*

**Proof.** Suppose the second statement fails, then:

(†) there is a formula  $\psi(X, Y, \bar{Z})$  such that for an unbounded set  $I \subseteq \omega$ , for every  $m \in I$  there is  $\bar{P}_m \subseteq \omega^m$  such that  $\psi(X, Y, \bar{P}_m)$  uniformizes  $\varphi$  on  $\omega^m$ .

Let  $\bar{P}_m = \bar{P}$  let  $n = \text{dp}(\psi) + 1$  and  $M := |\{\text{Th}^n(C; X, Y, \bar{Z}) : C \text{ a chain, } X, Y, \bar{Z} \subseteq C, \text{lg}(\bar{Z}) = \text{lg}(\bar{P})\}|$ .

Let  $m \in I$  be large enough ( $m > 2M + 3$  will do), and let's show that  $\psi$  doesn't work for  $\omega^m$  and a subset  $Y_1$  that will be defined now.

If  $\alpha < \omega^m$  then  $\alpha = \omega^{m-1}k_{m-1} + \omega^{m-2}k_{m-2} + \dots + \omega k_1 + k_0$ . Let  $k(\alpha) := \min\{i : k_i \neq 0\}$  and let  $A_k := \{\alpha < \omega^m : k(\alpha) = k\}$ . Note that  $\text{otp}(A_k) = \omega^{m-k}$ .

For  $k \in \{1, 2, \dots, m-1\}$  we will choose  $Y_k \subseteq A_k$  with  $\text{otp}(Y_k) = \text{otp}(A_k) = \omega^{m-k}$  such that for  $\alpha < \beta$  in  $Y_k$ :

$$(*) \quad \text{Th}^n(\omega^m; \bar{P}, Y_k) \upharpoonright_{[\alpha, \beta]} \text{ depends only on } \text{otp}([\alpha, \beta] \cap Y_k)$$

we will start with  $k = m-1$  and proceed by inverse induction:

Let  $A_{m-1} = \langle \alpha_j : j < \omega \rangle$ . Let for  $l < p < \omega$ ,  $h(l, p) := \text{Th}^n(\omega^m; \bar{P}, \alpha_l) \upharpoonright_{[\alpha_l, \alpha_p]}$ . Let  $J \subseteq \omega$  be homogeneous with respect to this colouring namely, for some fixed theory  $t_{m-1}$ , for every  $l < p$  in  $J$ ,

$$\text{Th}^n(\omega^m; \bar{P}, \alpha_l) \upharpoonright_{[\alpha_l, \alpha_p]} = t_{m-1}.$$

By the composition theorem, for every  $l < p$  in  $J$ ,

$$\text{Th}^n(\omega^m; \bar{P}, Y_{m-1}) \upharpoonright_{[\alpha_l, \alpha_p]} = t_{m-1} \cdot |Y_{m-1} \cap [\alpha_l, \alpha_p]|$$

and this proves (\*) for  $Y_{m-1}$ .

Rename  $Y_{m-1}$  by  $\langle \alpha_i : i < \omega \rangle$ . In each segment  $[\alpha_i, \alpha_{i+1})$  choose  $\langle \beta_l^i : 0 < l < \omega \rangle \subseteq A_{m-2}$  increasing and cofinal such that for every  $l < p < \omega$  the theory  $\text{Th}^n(\omega^m; \bar{P}, \beta_l^i) \upharpoonright_{[\beta_l^i, \beta_p^i]}$  is constant.

Returning to  $Y_{m-1}$ , for  $i < j < \omega$  let

$$h_1(i, j) := \langle \text{Th}^n(\omega^m; \bar{P}) \upharpoonright_{[\alpha_i, \beta_1^{j-1}]}, \text{Th}^n(\omega^m; \bar{P}, \beta_1^{j-1}) \upharpoonright_{[\beta_1^{j-1}, \beta_2^{j-1}]} \rangle$$

w.l.o.g. (by thinning out and re-renaming and noting that we don't harm (\*))  $Y_{m-1}$  is homogeneous with respect to this colouring.

Hence, for some theories  $t^*$  and  $t_{m-2}$ , for every  $i < j < \omega$  we have

$$h_1(i, j) = \langle t^*, t_{m-2} \rangle$$

Let  $Y_{m-2} := \langle \beta_l^i : 0 < l < \omega, i < \omega \rangle$ , clearly  $\text{otp}(Y_{m-2}) = \omega^2$ . Let's check (\*) for  $Y_{m-2}$ :  
 Firstly, note that for  $l < p < \omega$ ,

$$\text{Th}^n(\omega^m; \bar{P}, Y_{m-2}) \upharpoonright_{[\beta_l^i, \beta_p^i]} = t_{m-2} \cdot (p - l).$$

Secondly, for  $i < j < \omega$   $\text{Th}^n(\omega^m; \bar{P}, Y_{m-2}) \upharpoonright_{[\beta_l^i, \beta_p^j]} =$

$$\begin{aligned} & \text{Th}^n(\omega^m; \bar{P}, Y_{m-2}) \upharpoonright_{[\beta_l^i, \alpha_{i+1}]} + \text{Th}^n(\omega^m; \bar{P}, Y_{m-2}) \upharpoonright_{[\alpha_{i+1}, \alpha_{i+2}]} + \dots \\ & + \text{Th}^n(\omega^m; \bar{P}, Y_{m-2}) \upharpoonright_{[\alpha_{j-1}, \alpha_j]} + \text{Th}^n(\omega^m; \bar{P}, Y_{m-2}) \upharpoonright_{[\alpha_j, \beta_p^j]} \end{aligned}$$

where the first theory is equal to  $t_{m-2} \cdot \omega$ , the last theory is  $t^* + t_{m-2} \cdot (p - l)$ , and the middle theories are  $t^* + t_{m-2} \cdot \omega$ . These observations prove (\*) for  $Y_{m-2}$ .

For defining  $Y_{m-3}$  let's restrict ourselves to a segment  $[\alpha_i, \alpha_{i+1})$  where  $\alpha_i, \alpha_{i+1} \in Y_{m-1}$ . In this segment we have defined  $\langle \beta_l^i : 0 < l < \omega \rangle \subseteq Y_{m-2}$ . Now choose in each  $[\beta_l^i, \beta_{l+1}^i)$  an increasing cofinal sequence  $\langle \gamma_j^{i,l} : 0 < j < \omega \rangle$  such that for  $j < p < \omega$ ,  $\text{Th}^n(\omega^m; \bar{P}, \gamma_j^{i,l}) \upharpoonright_{[\gamma_j^{i,l}, \gamma_p^{i,l}]}$  is constant.  
 For  $0 < l < p < \omega$  let

$$h_1^i(l, p) := \langle \text{Th}^n(\omega^m; \bar{P}) \upharpoonright_{[\beta_l^i, \gamma_1^{i,p-1}]}, \text{Th}^n(\omega^m; \bar{P}, \gamma_1^{i,p-1}) \upharpoonright_{[\gamma_1^{i,p-1}, \gamma_2^{i,p-1}]} \rangle$$

and again w.l.o.g we may assume that  $\langle \beta_l^i : 0 < l < \omega \rangle$  is homogeneous with respect to  $h_1^i$ .  
 Next, for  $i < j < \omega$  define

$$h_2(i, j) := \langle \text{Th}^n(\omega^m; \bar{P}) \upharpoonright_{[\alpha_i, \gamma_1^{j-1,1}]}, \text{Th}^n(\omega^m; \bar{P}, \gamma_1^{j-1,1}) \upharpoonright_{[\gamma_1^{j-1,1}, \gamma_2^{j-1,1}]} \rangle$$

by thinning out and renaming we may assume that  $Y_{m-1}$  is homogeneous with respect to  $h_2$ , now  $Y_{m-2}$  is also thinned out but each new  $\langle \beta_l^i : 0 < l < \omega \rangle$  which is some old  $\langle \beta_l^{i^*} : 0 < l < \omega \rangle$  is still homogeneous.

As a result we will have, for some theories  $t^{**}, t^{***}, t_{m-3}$ :

$$(\forall i < j < \omega)(\forall 0 < l < p < \omega)[h_1^i(l, p) = \langle t^{**}, t_{m-3} \rangle \ \& \ h_2(i, j) = \langle t^{***}, t_{m-3} \rangle].$$

Let  $Y_{m-3} := \{\gamma_j^{i,l} : i < \omega, 0 < l < \omega, 0 < j < \omega\}$ , as before (\*) holds by noting that if for example  $i_1 < i_2 < \omega$  and  $1 < l_2$  then

$$\begin{aligned} \text{Th}^n(\omega^m; \bar{P}, \gamma_{j_1}^{i_1, l_1}) \upharpoonright_{[\gamma_{j_1}^{i_1, l_1}, \gamma_{j_2}^{i_2, l_2}]} &= t_{m-3} \cdot \omega + (t^{**} + t_{m-3} \cdot \omega) \cdot \omega + [t^{***} + (t^{**} + t_{m-3} \cdot \omega) \cdot \omega] \cdot (i_2 - i_1 - 1) + \\ & t^{***} + t_{m-3} \cdot \omega + (t^{**} + t_{m-3} \cdot \omega)(l_2 - 1) + t^{**} + t_{m-3} \cdot (j_2 - 1) \end{aligned}$$

and similarly for the other possibilities.

$Y_{m-4}, Y_{m-5}, \dots, Y_1$  are defined by using the same prescription i.e.  $Y_{m-l}$  is defined by taking a homogenous sequence between two successive elements of  $Y_{m-l-1}$  then homogenous sequences between

two successive elements of  $Y_{m-l-2}$  by using colouring of the form  $h_1, h_2, \dots$ . The thinning out and w.l.o.g.'s for already defined  $Y_{m-k}$ 's are not necessary but they ease notations considerably.

We will show now that  $\psi$  doesn't choose an unbounded  $\omega$ -sequence in  $Y_1$  that is, for every  $\omega$ -sequence  $X \subseteq Y_1$  there is an  $\omega$ -sequence  $X' \subseteq Y_1$  such that  $\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, X) = \text{Th}^n(\omega^m; \bar{P}, Y_1, X')$ .

By (\*), for  $\alpha < \beta$  in  $Y_1$  the additive colouring  $f(\alpha, \beta) := \text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\alpha, \beta]}$  depends only on  $\text{otp}([\alpha, \beta] \cap Y_1)$  hence we can apply lemma 5.1 and conclude that for some  $p \leq m/2$ , for every  $r \geq p$ ,  $\text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\alpha, \beta]}$  is equal to some fixed theory  $t$  whenever  $\text{otp}([\alpha, \beta] \cap Y_1) = \omega^r$ . (Remember that  $f$  has at most  $M$  possibilities and that  $m > 2M$ ). Moreover, we know that  $t + t = t$ .

Assume now that for some  $X \subseteq Y_1$ ,  $\psi(X, Y_1, \bar{P})$  holds, so  $X$  is a cofinal  $\omega$ -sequence. Let  $X = \{\delta_i : i < \omega\}$ . As  $\text{otp}(Y_1) = \omega^{m-1}$  for unboundedly many  $i$ 's we have  $\text{otp}([\delta_i, \delta_{i+1}] \cap Y_1) \geq \omega^{m-2} > \omega^p$ .

Let  $\beta_i := \text{otp}([\delta_i, \delta_{i+1}] \cap Y_1)$  and denote by  $t(\epsilon)$  the theory  $\text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\alpha, \beta]}$  when  $\text{otp}([\alpha, \beta] \cap Y_1) = \epsilon$  (by (\*) it doesn't matter which  $\alpha$  and  $\beta$  we use).

We are interested in  $\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, X)$  which is

$$\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \emptyset) \upharpoonright_{[0, \delta_0]} + \text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_0) \upharpoonright_{[\delta_0, \delta_1]} + \text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_1) \upharpoonright_{[\delta_1, \delta_2]} + \dots$$

As  $\delta_i$  is the first element in  $[\delta_i, \delta_{i+1}] \cap Y_1$ ,  $\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_i) \upharpoonright_{[\delta_i, \delta_{i+1}]}$  is determined by

$\text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\delta_i, \delta_{i+1}]} = t(\beta_i)$  and abusing notations we will say

$$(**) \quad \text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, X) \simeq t(\delta_0) + \sum_{i < \omega} t(\beta_i).$$

Let  $i < \omega$  be such that  $\beta_i \geq \omega^{m-2}$  and let  $j > i$  be the first with  $\beta_j \geq \omega^{m-2}$ .

First case:  $i = j + 1$ .

Let  $\beta_i = \text{otp}([\delta_i, \delta_{i+1}] \cap Y_1) = \omega^{m-2} \cdot k_1 + \epsilon_1$  and  $\beta_{i+1} = \text{otp}([\delta_{i+1}, \delta_{i+2}] \cap Y_1) = \omega^{m-2} \cdot k_2 + \epsilon_2$  where  $k_1, k_2 \geq 1$  and  $\epsilon_1, \epsilon_2 < \omega^{m-2}$ .

Define  $\gamma :=$  the  $\omega^{m-2} \cdot k_1 + \omega^{m-3} + \epsilon_1$ 'th successor of  $\delta_i$  in  $Y_1$ . So  $\delta_{i+1} < \gamma < \delta_{i+2}$  but  $\text{otp}([\delta_{i+1}, \delta_{i+2}] \cap Y_1) = \beta_{i+1}$  hence

$$\text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\gamma, \delta_{i+2}]} = \text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\delta_{i+1}, \delta_{i+2}]} = t(\beta_{i+1})$$

hence

$$\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \gamma) \upharpoonright_{[\gamma, \delta_{i+2}]} = \text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_{i+1}) \upharpoonright_{[\delta_{i+1}, \delta_{i+2}]} \cdot$$

On the other hand,

$$\text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\delta_i, \gamma]} = t(\omega^{m-2} \cdot k_1) + t(\omega^{m-3}) + t(\epsilon_1)$$

but  $m - 3 \geq p$  hence  $t(\omega^{m-3}) = t(\omega^{m-2}) = t$  moreover  $t + t = t$  and it follows that

$$t(\omega^{m-2} \cdot k_1) + t(\omega^{m-3}) = t(\omega^{m-2}) \cdot k_1 + t(\omega^{m-3}) = t(\omega^{m-2}) \cdot (k_1 + 1) = t(\omega^{m-2}) \cdot (k_1) = t(\omega^{m-2} \cdot k_1)$$

hence

$$\text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\delta_i, \gamma]} = t(\omega^{m-2} \cdot k_1) + t(\epsilon_1) = \text{Th}^n(\omega^m; \bar{P}, Y_1) \upharpoonright_{[\delta_i, \delta_{i+1}]} = t(\beta_{i+1})$$

hence

$$\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_i) \upharpoonright_{[\delta_i, \gamma]} = \text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_i) \upharpoonright_{[\delta_i, \delta_{i+1}]} \cdot$$

Now all other relevant theories are left unchanged therefore, letting  $X' := X \setminus \{\delta_{i+1}\} \cup \{\gamma\}$  we get  $X \neq X'$  but

$$\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, X) = \text{Th}^n(\omega^m; \bar{P}, Y_1, X')$$

General case:  $j = i + l$ .

Look at  $\delta_{i+1}, \delta_{i+2}, \dots, \delta_{i+l-1}, \delta_{i+l} = \delta_j$ . We'll define  $\gamma_1, \gamma_2, \dots, \gamma_l$  with  $\delta_{i+k} < \gamma_k < \delta_{i+k+1}$  for  $0 < k < l$  and  $\gamma_l = \delta_{i+l} = \delta_j$ . This will be done by 'shifting' the  $\delta_{i+k}$ 's by  $\omega^{m-3}$  (remember that  $\beta_{i+k} < \omega^{m-2}$  for  $0 < k < l$ ).

Assume as before that  $\beta_i = \text{otp}([\delta_i, \delta_{i+1}] \cap Y_1) = \omega^{m-2} \cdot k_1 + \epsilon_1$  where  $k_1 \geq 1$  and  $\epsilon_1 < \omega^{m-2}$ .

Define  $\gamma_1 :=$  the  $\omega^{m-2} \cdot k_1 + \omega^{m-3} + \epsilon_1$ 'th successor of  $\delta_i$  in  $Y_1$ ,  $\gamma_2 :=$  the  $\beta_{i+1}$ 'th successor of  $\gamma_1$  in  $Y_1$ ,  $\gamma_3 :=$  the  $\beta_{i+2}$ 'th successor of  $\gamma_2$  in  $Y_1$  and so on,  $\gamma_l$  will clearly be equal to  $\delta_j$ .

As before we have for  $1 < k \leq l$ , (by preserving the order types)

$$\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \gamma_k) \upharpoonright_{[\gamma_k, \gamma_{k+1})} = \text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_{i+k}) \upharpoonright_{[\delta_{i+k}, \delta_{i+k+1})}.$$

and (using  $t + t = t$ )

$$\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_i) \upharpoonright_{[\delta_i, \gamma_1)} = \text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, \delta_i) \upharpoonright_{[\delta_i, \delta_{i+1})}.$$

Letting  $X' := X \setminus \{\delta_{i+1}, \delta_{i+2}, \dots, \delta_{j-1}\} \cup \{\gamma_1, \gamma_2, \dots, \gamma_{l-1}\}$  we get  $X \neq X'$  but

$$\text{Th}^{n-1}(\omega^m; \bar{P}, Y_1, X) = \text{Th}^n(\omega^m; \bar{P}, Y_1, X')$$

Since  $\text{dp}(\psi) = n - 1$ ,  $X$  is not the unique  $\omega$ -sequence chosen by  $\psi$  from  $Y_1$ . Therefore,  $\psi$  does not uniformize  $\varphi$  on  $\omega^m$ , a contradiction.

[complete, using composition theorem, for  $\omega^\omega$ ]

♡

**Theorem 5.3.** *If  $C$  has the uniformization property then  $\text{Log}(C) < \omega$ .*

♡

## 6. Very Tame Trees

**Proposition 6.1.** *If the ordinals  $\alpha$  and  $\beta$  have the uniformization property then so do  $\alpha + \beta$  and  $\alpha\beta$ .*

**Proof.**  $\alpha + \beta$  is similar to  $\alpha + \alpha = \alpha \cdot 2$  and we leave it to the reader. We shall prove that  $\alpha \cdot \beta$  has the uniformization property.

Let  $\varphi(X, Y, \bar{Q})$  be p.u in  $\alpha\beta$  with  $\text{dp}(\varphi) = n$  and  $\text{lg}(\bar{Q}) = l$ . Let  $\langle t_0, \dots, t_{a-1} \rangle$  be an enumeration of the theories in  $\mathcal{T}_{n, l+2}$ . For  $i < a$  and  $X, Y \subseteq \alpha\beta$  define  $P_i(X, Y, \bar{Q}) \subseteq K := \{\alpha\gamma : \gamma < \beta\}$  by

$$P_i(X, Y, \bar{Q}) := \{\alpha\gamma : \text{Th}^n(\alpha\beta; X, Y, \bar{Q}) \upharpoonright_{[\alpha\gamma, \alpha\gamma+\alpha)} = t_i\}$$

it follows that, for every  $X, Y \subseteq \alpha\beta$ ,  $\bar{P} = \bar{P}(X, Y, \bar{Q}) = \langle P_0(X, Y, \bar{Q}), \dots, P_{a-1}(X, Y, \bar{Q}) \rangle$  is a partition of  $K$  that is definable from  $X, Y, \bar{Q}$  and  $K$ .

$\alpha \cdot \beta = \sum_{\gamma < \beta} [\alpha\gamma, \alpha\gamma + \alpha]$  and by theorem 3.8 there is  $m = m(n, l)$  such that  $\text{Th}^n(K; \bar{P}(X, Y, \bar{Q}))$  determines  $\text{Th}^n(\alpha\beta; X, Y, \bar{Q})$ .

Let  $\mathcal{R} = \{r_0, \dots, r_{c-1}\}$  be the set of theories that satisfy, for every  $X, Y \subseteq \alpha\beta$ :

$$\text{Th}^n(K; \bar{P}(X, Y, \bar{Q})) \in \mathcal{R} \Rightarrow \alpha\beta \models \varphi(X, Y, \bar{Q}).$$

Now let  $\langle s_0, \dots, s_{b-1} \rangle$  be an enumeration of the theories in  $\mathcal{T}_{n+1, l+1}$ . For  $i < b$  and  $Y \subseteq \alpha\beta$  define  $R_i^0(Y, \bar{Q}) \subseteq K$  by

$$R_i^0(Y, \bar{Q}) := \{ \alpha\gamma : \text{Th}^{n+1}(\alpha\beta; Y, \bar{Q}) \upharpoonright_{[\alpha\gamma, \alpha\gamma + \alpha]} = s_i \}$$

as before, for every  $Y \subseteq \alpha\beta$ ,  $\bar{R}^0 = \bar{R}^0(Y, \bar{Q}) = \langle R_0^0(Y, \bar{Q}), \dots, R_{b-1}^0(Y, \bar{Q}) \rangle$  is a partition of  $K$  that is definable from  $Y, \bar{Q}$  and  $K$ .

Now let  $\bar{R}^1 = \langle R_0^1, \dots, R_{a-1}^1 \rangle$  be any partition of  $K$ . We will say that  $\bar{R}^0(Y, \bar{Q})$  and  $\bar{R}^1$  are coherent if

- (1)  $\alpha\gamma \in (R_i^0 \cap R_j^1)$  implies that for every chain  $C, B \subseteq C$  and  $\bar{D} \subseteq C$  of length  $l$ :  
if  $\text{Th}^{n+1}(C; B, \bar{D}) = s_i$  then  $(\exists A \subseteq C) [\text{Th}^n(C; A, B, \bar{D}) = t_j]$ ,
- (2)  $\text{Th}^n(K; \bar{R}^1) \in \mathcal{R}$ .

Since  $a, b$  and  $c$  are finite, there is a formula  $\theta_1(\bar{U}, \bar{W})$  (with  $\text{lg}(\bar{U}) = b$  and  $\text{lg}(\bar{W}) = a$ ) such that for any  $\bar{R}^0, \bar{R}^1 \subseteq K$ ,

$K \models \theta_1(\bar{R}^0, \bar{R}^1)$  iff  $\bar{R}^0$  and  $\bar{R}^1$  are coherent partitions of  $K$ .

Moreover, as  $K \cong \beta$  and  $\beta$  has the uniformization property, there exists  $\bar{S} \subseteq K$  and a formula  $\theta_2(\bar{U}, \bar{W}, \bar{S})$  such that for every  $\bar{R}^0 \subseteq K$

if  $(\exists \bar{W}) \theta_1(\bar{R}^0, \bar{W})$  then  $(\exists! \bar{W}) [\theta_2(\bar{R}^0, \bar{W}, \bar{S}) \ \& \ \theta_1(\bar{R}^0, \bar{W})]$ . Let  $\theta(\bar{U}, \bar{W}, \bar{S}) := \theta_1 \wedge \theta_2$ .

Now let  $Y \subseteq \alpha\beta$ , let  $\bar{R}^0 = \bar{R}^0(Y, \bar{Q})$  and suppose that  $\bar{R}^0$  and some  $\bar{R}^1$  are coherent partitions of  $K$ . When  $\alpha\gamma \in (R_i^0 \cap R_j^1)$ , we know by the first clause in the definition of coherence that  $(\exists X \subseteq \alpha\beta) [\text{Th}^n(\alpha\beta; X, Y, \bar{Q}) \upharpoonright_{[\alpha\gamma, \alpha\gamma + \alpha]} = t_j]$ .

Now as  $[\alpha\gamma, \alpha\gamma + \alpha] \cong \alpha$  and  $\alpha$  has the uniformization property, there is  $\bar{T}_\gamma \subseteq [\alpha\gamma, \alpha\gamma + \alpha]$  and a formula  $\psi_j^\gamma(X, Y, \bar{T}_\gamma)$  (of depth  $k(n, l)$  that depends only on  $n$  and  $l$ ) that uniformizes the formula that says “ $\text{Th}^n(\alpha\beta; X, Y, \bar{Q}) \upharpoonright_{[\alpha\gamma, \alpha\gamma + \alpha]} = t_j$ ”.

It follows that when  $\psi_j^\gamma(X, Y, \bar{T}_\gamma)$  holds,  $X \cap [\alpha\gamma, \alpha\gamma + \alpha]$  is unique.

W.l.o.g all  $\bar{T}_\gamma$  have the same length and (by taking prudent disjunctions)  $\psi_j^\gamma(X, Y, \bar{T}_\gamma) = \psi_j(X, Y, \bar{T}_\gamma)$  and let  $\bar{T} = \cup_{\gamma < \beta} \bar{T}_\gamma$  (the union is disjoint). We are ready to define  $U(X, Y, \bar{Q}, \bar{T}, \bar{S})$  that uniformizes  $\varphi(X, Y, \bar{Q})$ :

$U(X, Y, \bar{Q}, \bar{T}, \bar{S})$  says: “for every partition  $\bar{R}^0$  of  $K$  that is equal to [the definable]  $\bar{R}^0(Y, \bar{Q})$  every  $\bar{R}^1$  that is a [in fact the only] partition that satisfies  $\theta(\bar{R}^0, \bar{R}^1, \bar{S})$ , if  $\alpha\gamma \in R_j^1$  and  $D = [\alpha\gamma, \alpha\gamma + \alpha]$  [  $\alpha\gamma$  and  $\alpha\gamma + \alpha$  are two successive elements of  $K$  ] then  $D \models \psi_j(X \cap D, Y \cap D, \bar{Q} \cap D, \bar{T} \cap D)$ ”.

Check that  $U(X, Y, \bar{Q}, \bar{T}, \bar{S})$  does the job: clause (1) in the definition of coherence and the  $\psi_j$ 's guarantee that  $X$  is unique, clause (2) guarantees that  $U(X, Y, \bar{Q}, \bar{T}, \bar{S}) \Rightarrow \varphi(X, Y, \bar{Q})$ .

♡

**Fact 6.2.** *Every finite chain has the uniformization property.*

♡

**Theorem 6.3.**  *$(\omega, <)$  has the uniformization property.*

**Corollary 6.4.** *An ordinal  $\alpha$  has the uniformization property iff  $\alpha < \omega^\omega$ .*

**Definition 6.5.**  $(T, \triangleleft)$  is very tame if

- 1)  $T$  is tame
- 2)  $\text{Sup}\{\text{Log}(B) : B \subseteq T, B \text{ a branch}\} < \omega$

**Lemma 6.6.** If  $(T, \triangleleft)$  is not very tame then  $(T, \triangleleft)$  doesn't have the uniformization property.

**Proof.** If  $T$  is not tame then by theorem 2.7 it doesn't have even a definable choice function.

If  $T$  is tame then either there is a branch  $B \subseteq T$  with  $\text{Log}(B) = \infty$  or it has branches of unbounded Log. By 3.14(3) and 5.2 and using the definable well ordering of  $T$ , there is a formula  $\varphi(X, Y, Z)$  that can't be uniformized.

♡

**Theorem 6.7.**  $(T, \triangleleft)$  has the uniformization property iff  $(T, \triangleleft)$  is very tame.

**Proof.** Assume  $T$  is  $(l^*, n^*, k^*)$  very tame and let  $\varphi(X, Y, \bar{Q})$  be p.u in  $T$  with  $\text{dp}(\varphi) = n$  and  $\text{lg}(\bar{Q}) = l$ .

As  $T$  is  $(n^*, k^*)$  tame it can be well ordered  $T$  in the following way [the full construction is given in theorem A.2 in the appendix]: partition  $T$  into a disjoint union of sub-branches, indexed by the nodes of a well founded tree  $\Gamma$  and reduce the problem of a well ordering of  $T$  to a problem of a well ordering of  $\Gamma$ . At the first step we pick a branch of  $T$ , call it  $A_{\langle \rangle}$  and represent  $T$  as  $A_{\langle \rangle} \cup \bigoplus_{\eta \in \langle \rangle^+} T_\eta$  (where for  $\tau \in \Gamma$ ,  $\tau^+$  is the set  $\{\nu : \nu \text{ an immediate successor of } \tau \text{ in } \Gamma\}$ ). At the second step we pick a branch  $A_\eta$  in each  $T_\eta$  and represent  $T_\eta$  as  $A_\eta \cup \bigoplus_{\nu \in \eta^+} T_\nu$ . By tameness we finish after  $\omega$  steps getting  $T = \bigcup_{\eta \in \Gamma} A_\eta$  and the well ordering of  $T$  is induced by the lexicographical well ordering of  $\Gamma$  and the well ordering of each  $A_\eta$  (which is scattered of  $\text{Hdeg} \leq k^*$ ). We can choose a sequence of parameters  $\bar{K}_0$  (with length depending on  $n^*$  and  $k^*$  only) and a set of representatives  $K = \{u_\eta \in A_\eta : \eta \in \Gamma\}$  and using  $\bar{K}_0$  we can define a binary relation  $<^*$  on  $K$  where  $u_\eta <^* u_\nu$  will hold exactly when  $\eta \triangleleft \nu$  in  $\Gamma$ , thus we can define the structure of  $\Gamma$  in  $T$ . The sequence  $\bar{K}_0$  will also enable us to define  $T_\eta$  and  $A_\eta$  from the representative  $u_\eta$  and define a well ordering of each  $A_\eta$ .

Consequently, the order between two nodes  $x, y \in T$  will be determined by the well order of the  $A_\eta$ 's (if they belong to the same  $A_\eta$ ) or the well ordering of  $\Gamma$  (if they belong to different  $A_\eta$ 's). The well ordering of the sets  $\eta^+$  for  $\eta \in \Gamma$  (hence the lexicographical well ordering of the well founded tree  $\Gamma$ ) will be again defined using  $\bar{K}_0$ .

What we'll do here in order to uniformize  $\varphi(X, Y, \bar{Q})$  is the following: given  $Y \subseteq T$  we will use the decomposition  $T = \bigcup_{\eta \in \Gamma} A_\eta$  and the fact that each  $A_\eta$  is a scattered chain with  $\text{Log}(A_\eta) < l^*$ , (hence satisfies the uniformization property), to define a unique  $X_\eta \subseteq A_\eta$ . This will be done in such a way that when we glue the parts letting  $X^* = \bigcup_{\eta \in \Gamma} X_\eta$  we will still get  $T \models \varphi(X, Y, \bar{Q})$ .

We will use the set of representatives  $K$  and the fact that  $A_\eta$  and  $T_\eta$  are defined from  $u_\eta$  but we won't always mention  $\bar{K}_0$ . We will also rely on the fact that  $\Gamma$  is well founded (in fact, we only need to know that  $\Gamma$  does not have a branch of order type  $\geq \omega + 1$ ).

So let  $Y \subseteq T$  and we want to define some  $X^* = X^*(Y, \bar{Q}) \subseteq T$ . The proof will go as follows: for each  $\eta \in \Gamma$  we will define partitions  $\bar{P}^1(Y, \bar{Q})_\eta$  and  $\bar{P}^2(Y, \bar{Q})_\eta$  of  $K_{\eta^+} := \{u_\nu : \nu \in \eta^+\}$  then, using the composition theorem 3.14 and similarly to the proof of proposition 6.1, we will define a notion of coherence and let  $\bar{R}^1(Y, \bar{Q})_\eta$  and  $\bar{R}^2(Y, \bar{Q})_\eta$  be a pair that is coherent with  $\bar{P}^1(Y, \bar{Q})_\eta$  and  $\bar{P}^2(Y, \bar{Q})_\eta$ . The union  $\bar{R}^1(Y, \bar{Q}) = \bigcup_{\eta \in \Gamma} \bar{R}^1(Y, \bar{Q})_\eta$  is a partition of  $K$  and  $\text{Th}^n(A_\eta; X_\eta, Y \cap A_\eta, \bar{Q} \cap A_\eta)$  will be determined by the unique member of  $\bar{R}^1(Y, \bar{Q})$  to which  $u_\eta$  belongs. Moreover, we will be able to choose  $X_\eta$  uniquely and by coherence  $X^* = \bigcup_{\eta \in \Gamma} X_\eta$  will satisfy  $\varphi(X, Y, \bar{Q})$ .

→ [3.12.]

To get started let  $T = A_{\langle \rangle} \cup \bigoplus_{\eta \in \langle \rangle^+} T_\eta$ . Now as in definition 3.12  $K_{\langle \rangle^+}$  has a natural structure of a chain with  $\text{Log}(K_{\langle \rangle^+}) = \text{Log}(A_{\langle \rangle}) < l^*$  and by theorem 3.14(2) there is some  $m = m(n, l)$  such that when  $X \subseteq T$  is given, from  $\text{Th}^m(A_{\langle \rangle}; X, Y, \bar{Q})$  and  $\langle \text{Th}^m(T_\eta; X, Y, \bar{Q}) : \eta \in \langle \rangle^+ \rangle$  we can compute  $\text{Th}^n(T; X, Y, \bar{Q})$ .

Let  $\langle s_0, \dots, s_{b-1} \rangle$  be an enumeration of the theories in  $\mathcal{T}_{n+1, l+1}$ .

Define  $\bar{P}^1(Y, \bar{Q})_{\langle \rangle} = \langle P_0^1(Y, \bar{Q})_{\langle \rangle}, \dots, P_{b-1}^1(Y, \bar{Q})_{\langle \rangle} \rangle$  a partition of  $K_{\langle \rangle^+}$  by

$$\eta \in P_i^1(Y, \bar{Q})_{\langle \rangle} \iff \text{Th}^{n+1}(T_\eta; Y, \bar{Q}) = s_i$$

By the previous remarks  $\bar{P}^1(Y, \bar{Q})_{\langle \rangle}$  is definable from  $u_{\langle \rangle}, K, Y, \bar{Q}$  (and  $\bar{K}_0$ ).

Define  $\bar{P}^2(Y, \bar{Q})_{\langle \rangle} = \langle P_0^2(Y, \bar{Q})_{\langle \rangle}, \dots, P_{b-1}^2(Y, \bar{Q})_{\langle \rangle} \rangle$  a partition of  $K_{\langle \rangle^+}$  by

$$\eta \in P_i^2(Y, \bar{Q})_{\langle \rangle} \iff \text{Th}^{n+1}(A_\eta; Y, \bar{Q}) = s_i$$

Again,  $\bar{P}^2(Y, \bar{Q})_{\langle \rangle}$  is definable from  $u_{\langle \rangle}, K, Y, \bar{Q}$  and  $\bar{K}_0$ .

Let  $\langle t_0, \dots, t_{a-1} \rangle$  be an enumeration of the theories in  $\mathcal{T}_{n, l+2}$ .

A partition of  $K_{\langle \rangle^+}$ ,  $\bar{R}^1 = \langle R_0^1, \dots, R_{a-1}^1 \rangle$  is coherent with  $\bar{P}^1(Y, \bar{Q})_{\langle \rangle}$  if  $P_i^1(Y, \bar{Q})_{\langle \rangle} \cap R_j^1 \neq \emptyset$  implies “for every tree  $S$  and  $B, \bar{C} \subseteq S$  with  $\text{lg}(\bar{C}) = l$ , if  $\text{Th}^{n+1}(S; B, \bar{C}) = s_i$  then there is  $A \subseteq S$  such that  $\text{Th}^n(S; A, B, \bar{C}) = t_j$ ”.

Similarly a partition of  $K_{\langle \rangle^+}$ ,  $\bar{R}^2 = \langle R_0^2, \dots, R_{a-1}^2 \rangle$  is coherent with  $\bar{P}^2(Y, \bar{Q})_{\langle \rangle}$  if  $P_i^2(Y, \bar{Q})_{\langle \rangle} \cap R_j^2 \neq \emptyset$  implies

“for every chain  $S$  and  $B, \bar{C} \subseteq S$  with  $\text{lg}(\bar{C}) = l$ , if  $\text{Th}^{n+1}(S; B, \bar{C}) = s_i$  then there is  $A \subseteq S$  such that  $\text{Th}^n(S; A, B, \bar{C}) = t_j$ ”.

Finally, a pair of partitions of  $K_{\langle \rangle^+}$ ,  $\langle \bar{R}^1, \bar{R}^2 \rangle$  is  $t^*$ -coherent with the pair  $\langle \bar{P}^1(Y, \bar{Q})_{\langle \rangle}, \bar{P}^2(Y, \bar{Q})_{\langle \rangle} \rangle$  if

- (1)  $\bar{R}^1$  is coherent with  $\bar{P}^1(Y, \bar{Q})_{\langle \rangle}$ ,
- (2)  $\bar{R}^2$  is coherent with  $\bar{P}^2(Y, \bar{Q})_{\langle \rangle}$ , and
- (3) For every  $X \subseteq T$ , if  $\text{Th}^n(A_{\langle \rangle}; X, Y, \bar{Q}) = t^*$  and if for every  $\eta \in \langle \rangle^+$   $[\text{Th}^n(T_\eta; X, Y, \bar{Q}) = t_i \iff u_\eta \in R_i^1]$ , then  $T \models \varphi(X, Y, \bar{Q})$ .

As  $T \models (\exists X)\varphi(X, Y, \bar{Q})$  there are  $t^*$  (that will be fixed from now on),  $\bar{R}^1$  and  $\bar{R}^2$  such that  $\langle \bar{R}^1, \bar{R}^2 \rangle$  is  $t^*$ -coherent with the pair  $\langle \bar{P}^1(Y, \bar{Q})_{\langle \rangle}, \bar{P}^2(Y, \bar{Q})_{\langle \rangle} \rangle$ .

Moreover, “ $\langle \bar{R}^1, \bar{R}^2 \rangle$  is  $t^*$ -coherent with the pair  $\langle \bar{P}^1(Y, \bar{Q})_{\langle \rangle}, \bar{P}^2(Y, \bar{Q})_{\langle \rangle} \rangle$ ”

is determined by  $\text{Th}^k(K_{\langle \rangle^+}; \bar{R}^1, \bar{R}^2, \bar{P}^1(Y, \bar{Q})_{\langle \rangle}, \bar{P}^2(Y, \bar{Q})_{\langle \rangle})$  where  $k$  depends only on  $n$  and  $l$ .

The first two clauses are clear (since  $a$  and  $b$  are finite) and for the third clause use theorem 3.14(2).

So the statement is expressed by a p.u formula  $\psi^1(\bar{R}^1, \bar{R}^2, \bar{P}^1(Y, \bar{Q})_{\langle \rangle}, \bar{P}^2(Y, \bar{Q})_{\langle \rangle})$  of depth  $k$ .

As by a previous remark  $\text{Log}(K_{\langle \rangle^+}) < l^*$  there is  $\bar{S}_{\langle \rangle} \subseteq K_{\langle \rangle^+}$  and a formula  $\psi_{\langle \rangle}(\bar{U}_1, \bar{U}_2, \bar{W}_1, \bar{W}_2, \bar{S}_{\langle \rangle})$  that uniformizes  $\psi^1$ .

To conclude the first step use  $\text{Log}(A_{\langle \rangle}) < l^*$  to define, by a formula  $\theta_{\langle \rangle}(X, Y \cap A_{\langle \rangle}, \bar{Q} \cap A_{\langle \rangle}, \bar{O}_{\langle \rangle})$  and a sequence of parameters  $\bar{O}_{\langle \rangle} \subseteq A_{\langle \rangle}$ , a unique  $X_{\langle \rangle} \subseteq A_{\langle \rangle}$  that will satisfy  $\text{Th}^n(A_\eta; X_{\langle \rangle}, Y, \bar{Q}) = t^*$ .

The result of the first step is the following:

- a) we have defined  $X_{\langle \rangle} \subseteq A_{\langle \rangle}$  using  $\bar{O}_{\langle \rangle} \subseteq A_{\langle \rangle}$  and  $\theta_{\langle \rangle}$ .  $X_{\langle \rangle}$  is the intesection of the eventual  $X^*$  with  $A_{\langle \rangle}$ .
- b) we have chosen  $\bar{R}_{\langle \rangle^+}^1, \bar{R}_{\langle \rangle^+}^2 \subseteq K_{\langle \rangle^+}$  using  $\psi$  and  $\bar{S}_{\langle \rangle}$ .
- c)  $\bar{R}_{\langle \rangle^+}^1$  and  $\bar{R}_{\langle \rangle^+}^2$  tell us what are (for  $\eta \in \langle \rangle^+$ ) the theories  $\text{Th}^n(T_\eta; X^*, Y, \bar{Q})$  and  $\text{Th}^n(A_\eta; X_\eta, Y, \bar{Q})$  respectively: if  $u_\eta \in R_i^1$  then the eventual  $X^* \cap T_\eta \subseteq T_\eta$  will satisfy  $\text{Th}^n(T_\eta; X^* \cap T_\eta, Y, \bar{Q}) = t_i$  and if  $u_\eta \in R_j^2$  then then the soon to be defined  $X_\eta \subseteq A_\eta$  will satisfy  $\text{Th}^n(A_\eta; X_\eta, Y, \bar{Q}) = t_j$ .

We will proceed by induction on the level of  $\eta$  in  $\Gamma$  (remember, all the levels are  $< \omega$ ) to define  $\bar{S}_\eta, \bar{O}_\eta \subseteq A_\eta$  and  $\bar{R}_{\eta^+}^1, \bar{R}_{\eta^+}^2 \subseteq K_{\eta^+}$  and  $X_\eta \subseteq T_\eta$ .

The induction step:

We are at  $\nu \in \Gamma$  where  $\nu \in \eta^+$  and we want to define  $\bar{S}_\nu, \bar{O}_\nu \subseteq A_\nu$ ,  $\bar{R}_{\nu^+}^1, \bar{R}_{\nu^+}^2 \subseteq K_{\nu^+}$  and  $X_\nu \subseteq T_\nu$ . Now as  $\bar{R}_{\eta^+}^1$  and  $\bar{R}_{\eta^+}^2$  are defined,  $u_\nu$  belongs to one member of  $\bar{R}_{\eta^+}^1$  say the  $i_1$ 'th and to one member of  $\bar{R}_{\eta^+}^2$  say the  $i_2$ 'th. This implies that there is some  $X'_\nu \subseteq T_\nu$  such that  $\text{Th}^n(T_\nu; X'_\nu, Y, \bar{Q}) = t_{i_1}$  and  $\text{Th}^n(A_\nu; X'_\nu \cap A_\nu, Y, \bar{Q}) = t_{i_2}$ .

Let  $\bar{P}^1(Y, \bar{Q})_\nu$  and  $\bar{P}^2(Y, \bar{Q})_\nu$  be partitions of  $K_{\nu^+}$  that are defined as in the first step by saying, for  $\tau \in \nu^+$ , what are  $\text{Th}^{n+1}(T_\tau; Y, \bar{Q})$  and  $\text{Th}^{n+1}(A_\tau; Y, \bar{Q})$ .  $\langle \bar{R}_{\nu^+}^1, \bar{R}_{\nu^+}^2 \rangle \subseteq K_{\nu^+}$  will be a pair that is  $t_{i_1}, t_{i_2}$ -coherent with  $\langle \bar{P}^1(Y, \bar{Q})_\nu, \bar{P}^2(Y, \bar{Q})_\nu \rangle$  that is:

- (1)  $\bar{R}_{\nu^+}^1$  is coherent with  $\bar{P}^1(Y, \bar{Q})_\nu$ ,
- (2)  $\bar{R}_{\nu^+}^2$  is coherent with  $\bar{P}^2(Y, \bar{Q})_\nu$ , and
- (3) For every  $X \subseteq T_\nu$  if  $\text{Th}^n(A_\nu; X, Y, \bar{Q}) = t_{i_2}$  and for every  $\tau \in \nu^+$  [ $\text{Th}^n(T_\tau; X, Y, \bar{Q}) = t_i \iff u_\tau \in$  the  $i$ 'th member of  $\bar{R}_{\nu^+}^1$ ], then  $\text{Th}^n(T_\nu; X, Y, \bar{Q}) = t_{i_1}$ .

Using  $\text{Log}(K_{\nu^+}) < l^*$  choose  $\bar{S}_{\nu^+} \subseteq K_{\nu^+}$  and  $\psi_{i_1, i_2}(\bar{R}^1, \bar{R}^2, \bar{P}^1(Y, \bar{Q})_{\nu^+}, \bar{P}^2(Y, \bar{Q})_{\nu^+}, \bar{S}_{\nu^+})$  that uniformizes the formula that says " $\langle \bar{R}^1, \bar{R}^2 \rangle$  is  $t_{i_1}, t_{i_2}$ -coherent with  $\langle \bar{P}^1(Y, \bar{Q})_\nu, \bar{P}^2(Y, \bar{Q})_\nu \rangle$ ". We may assume that  $\psi_{i_1, i_2}$  depends only on  $i_1$  and  $i_2$  and that  $\text{lg}(\bar{S}_{\nu^+})$  is constant.

Use  $\text{Log}(A_\nu) < l^*$  to define, by a formula  $\theta_{i_2}(X, Y \cap A_\nu, \bar{Q} \cap A_\nu, \bar{O}_\nu)$  and a sequence of parameters  $\bar{O}_\nu \subseteq A_\nu$ , a unique  $X_\nu \subseteq A_\nu$  that will satisfy  $\text{Th}^n(A_\nu; X_\nu, Y, \bar{Q}) = t_{i_2}$ . Again, we may assume that  $\theta_{i_2}$  depends only on  $i_2$  and that  $\text{lg}(\bar{O}_\nu)$  is constant.

So  $\bar{S}_\nu, \bar{O}_\nu, \bar{R}_{\nu^+}^1, \bar{R}_{\nu^+}^2$  and  $X_\nu$  are defined and we have concluded the inductive step. (Note that nothing will really go wrong if  $\nu$  doesn't have any successors in  $\Gamma$ ).

Let  $\bar{O} = \cup_{\eta \in \Gamma} \bar{O}_\eta$ ,  $\bar{S} = \cup_{\eta \in \Gamma} \bar{S}_\eta$ . The uniformizing formula  $U(X, Y, \bar{Q}, \bar{O}, \bar{S}, K, \bar{K}_0)$  says:

" $X \cap A_{\langle \rangle}$  is defined as in the first step, and

for every pair of partitions  $\langle \bar{P}^1, \bar{P}^2 \rangle$  of  $K$  that agrees on each  $K_{\eta^+}$  with [the definable]

$\langle \bar{P}_{\eta^+}^1(Y, \bar{Q}), \bar{P}_{\eta^+}^2(Y, \bar{Q}) \rangle$ , (and agrees with  $\langle \bar{P}_{\langle \rangle}^1, \bar{P}_{\langle \rangle}^2 \rangle$  on  $K_{\langle \rangle}$ ), and

for every  $\langle \bar{R}^1, \bar{R}^2 \rangle$  that is a [in fact the only] pair of partitions that satisfies for every  $u_\eta \in K$ : if  $u_\eta \in \bar{P}_{i_1}^1 \cap \bar{P}_{i_2}^2$  then  $\psi_{i_1, i_2}(\bar{R}^1 \cap K_{\eta^+}, \bar{R}^2 \cap K_{\eta^+}, \bar{P}^1 \cap K_{\eta^+}, \bar{P}^2 \cap K_{\eta^+}, \bar{S} \cap K_{\eta^+})$  holds, (and agrees with  $\langle \bar{R}_{\langle \rangle}^1, \bar{R}_{\langle \rangle}^2 \rangle$  on  $K_{\langle \rangle}$ ),

for every  $u_\eta \in K$  if  $u_\eta \in \bar{R}_i^2$  then  $\theta_i(X \cap A_\eta, Y \cap A_\eta, \bar{Q} \cap A_\eta, \bar{O} \cap A_\eta)$  holds."

$U(X, Y, \bar{Q}, \bar{O}, \bar{S}, K, \bar{K}_0)$  does the job because it defines  $X \cap A_\eta$  uniquely on each  $A_\eta$  and because, (by the conditions of coherence) the union of the parts,  $X$ , satisfies  $\varphi(X, Y, \bar{Q})$ . Note also that  $U$  does not depend on  $Y$ .

♡

## 7. Hopelessness of General Partial Orders

**Theorem 7.1.** *Every partial order  $P$  can be embedded in a partial order  $Q$  in which  $P$  is first-order-definably well orderable.*

**Proof.**

♡

## Appendix

**Lemma A.1.** *Let  $C$  be a scattered chain with  $\text{Hdeg}(C) = n$ . Then there are  $\bar{P} \subseteq C$ ,  $\text{lg}(\bar{P}) = n-1$ , and a formula (depending on  $n$  only)  $\varphi_n(x, y, \bar{P})$  that defines a well ordering of  $C$ .*

**Proof.** By induction on  $n = \text{Hdeg}(C)$ :

$n \leq 1$ :  $\text{Hdeg}(C) \leq 1$  implies  $(C, <_C)$  is well ordered or inversely well ordered. A well ordering of  $C$  is easily definable from  $<_C$ .

$\text{Hdeg}(C) = n + 1$ : Suppose  $C = \sum_{i \in I} C_i$  and each  $C_i$  is of Hausdorff degree  $n$ . By the induction hypothesis there are a formula  $\varphi_n(x, y, \bar{Z})$  and a sequence  $\langle \bar{P}^i : i \in I \rangle$  with  $\bar{P}^i \subseteq C_i$ ,  $\bar{P}^i = \langle P_1^i, \dots, P_{n-1}^i \rangle$  such that  $\varphi_n(x, y, \bar{P}^i)$  defines a well ordering of  $C_i$ .

Let for  $0 < k < n$ ,  $P_k := \cup_{i \in I} P_k^i$  (we may assume that the union is disjoint) and  $P_n := \cup \{C_i : i \text{ even}\}$ .

We will define an equivalence relation  $\sim$  by  $x \sim y$  iff  $\bigwedge_i (x \in C_i \Leftrightarrow y \in C_i)$ .

$\sim$  and  $[x]$ , (the equivalence class of an element  $x$ ), are easily definable from  $P_n$  and  $<_C$ . We can also decide from  $P_n$  if  $I$  is well or inversely well ordered (by looking at subsets of  $C$  consisted of nonequivalent elements) and define  $<'$  to be  $<$  if  $I$  is well ordered and the inverse of  $<$  if not.

$\varphi_{n+1}(x, y, P_1, \dots, P_n)$  will be defined by:

$$\varphi_{n+1}(x, y, \bar{P}) \Leftrightarrow [x \not\sim y \ \& \ x <' y] \vee [x \sim y \ \& \ \varphi_n(x, y, P_1 \cap [x], \dots, P_{n-1} \cap [x])]$$

$\varphi_{n+1}(x, y, \bar{P})$  well orders  $C$ .

♡

**Theorem A.2.** *Let  $T$  be a tame tree. If  ${}^\omega 2$  is not embeddable in  $T$  then there are  $\bar{Q} \subseteq T$  and a monadic formula  $\varphi(x, y, \bar{Q})$  that defines a well ordering of  $T$ .*

**Proof.** Assume  $T$  is  $(n^*, k^*)$  tame, recall definitions 4.1 and 4.2 and remember that for every  $x \in T$ ,  $rk(x)$  is well defined (i.e.  $< \infty$ ). We will partition  $T$  into a disjoint union of sub-branches, indexed by the nodes of a well founded tree  $\Gamma$  and reduce the problem of a well ordering of  $T$  to a problem of a well ordering of  $\Gamma$ .

Step 1. Define by induction on  $\alpha$  a set  $\Gamma_\alpha \subseteq {}^\alpha \text{Ord}$  (this is our set of indices), for every  $\eta \in \Gamma_\alpha$  define a tree  $T_\eta \subseteq T$  and a branch  $A_\eta \subseteq T_\eta$ .

$\alpha = 0$ :  $\Gamma_0$  is  $\{\langle \rangle\}$ ,  $T_{\langle \rangle}$  is  $T$  and  $A_{\langle \rangle}$  is a branch (i.e. a maximal linearly ordered subset) of  $T$ .

$\alpha = 1$ : Look at  $(T \setminus A_{\langle \rangle}) / \sim_{A_{\langle \rangle}}^1$ , it's a disjoint union of trees and name it  $\langle T_{\langle i \rangle} : i < i^* \rangle$ , let  $\Gamma_1 := \{\langle i \rangle : i < i^*\}$  and for every  $\langle i \rangle \in \Gamma_1$  let  $A_{\langle i \rangle}$  be a branch of  $T_{\langle i \rangle}$ .

$\alpha = \beta + 1$ : For  $\eta \in \Gamma_\beta$  denote  $(T_\eta \setminus A_\eta) / \sim_{A_\eta}^1$  by  $\{T^{\wedge \eta, i} : i < i_\eta\}$ , let  $\Gamma_\alpha = \{\wedge \eta, i : \eta \in \Gamma_\beta, i < i_\eta\}$  and choose  $A^{\wedge \eta, i}$  to be a branch of  $A^{\wedge \eta, i}$ .

$\alpha$  limit: Let  $\Gamma_\alpha = \{\eta \in {}^\alpha \text{Ord} : \wedge_{\beta < \alpha} \eta \upharpoonright_\beta \in \Gamma_\beta, \wedge_{\beta < \alpha} T_{\eta \upharpoonright_\beta} \neq \emptyset\}$ , let for  $\eta \in \Gamma_\alpha$   $T_\eta = \cap_{\beta < \alpha} T_{\eta \upharpoonright_\beta}$  and  $A_\eta$  a branch of  $T_\eta$ . ( $T_\eta$  may be empty).

Now, at some stage  $\alpha \leq |T|^+$  we have  $\Gamma_\alpha = \emptyset$  and let  $\Gamma = \cup_{\beta < \alpha} \Gamma_\beta$ . Clearly  $\{A_\eta : \eta \in \Gamma\}$  is a partition of  $T$  into disjoint sub-branches.

Notation: having two trees  $T$  and  $\Gamma$ , to avoid confusion, we use  $x, y, s, t$  for nodes of  $T$  and  $\eta, \nu, \sigma$  for nodes of  $\Gamma$ .

Step 2. We want to show that  $\Gamma_\omega = \emptyset$  hence  $\Gamma$  is a well founded tree. Note that we made no restrictions on the choice of the  $A_\eta$ 's and we add one now in order to make the above statement true. Let  $\wedge \eta, i \in \Gamma$  define  $A_{\wedge \eta, i}$  to be the sub-branch  $\{t \in A_\eta : (\forall s \in A^{\wedge \eta, i}) [rk(t) \leq rk(s)]\}$  and  $\gamma_{\wedge \eta, i}$  to be  $rk(t)$  for some  $t \in A_{\wedge \eta, i}$ . By 5.5(1) and the inexistence of a strictly decreasing sequence of ordinals,  $A_{\wedge \eta, i} \neq \emptyset$  and  $\gamma_{\wedge \eta, i}$  is well defined. Note also that  $s \in A^{\wedge \eta, i} \Rightarrow rk(s) \leq \gamma_{\wedge \eta, i}$ .

Proviso: For every  $\eta \in \Gamma$  and  $i < i_\eta$  the sub-branch  $A^{\wedge \eta, i}$  contains every  $s \in T^{\wedge \eta, i}$  with  $rk(s) = \gamma_{\eta, i}$ . Following this we claim: “ $T$  does not contain an infinite, strictly increasing sequence”. Otherwise let  $\{\eta_i\}_{i < \omega}$  be one, and choose  $s_n \in A_{\eta_n, \eta_{n+1}(n)}$  (so  $s_n \in A_{\eta_n}$ ). Clearly  $rk(s_n) \geq rk(s_{n+1})$  and by the proviso we get

$$rk(s_n) = rk(s_{n+1}) \Rightarrow rk(s_{n+1}) > rk(s_{n+2})$$

therefore  $\{rk(s_n)\}_{n < \omega}$  contains an infinite, strictly decreasing sequence of ordinals which is absurd.

Step 3. Next we want to make “ $x$  and  $y$  belong to the same  $A_\eta$ ” definable.

For each  $\eta \in \Gamma$  choose  $s_\eta \in A_\eta$ , and let  $Q \subseteq T$  be the set of representatives. Let  $h: T \rightarrow \{d_0, \dots, d_{n^*-1}\}$  be a colouring that satisfies:  $h \upharpoonright_{A_\emptyset} = d_0$  and for every  $\wedge \eta, i \in \Gamma$ ,  $h \upharpoonright_{A^{\wedge \eta, i}}$  is constant and, when  $j < i$  and  $s^{\wedge \eta, j} \sim_{A_\eta}^0 s^{\wedge \eta, i}$  we have  $h \upharpoonright_{A^{\wedge \eta, i}} \neq h \upharpoonright_{A^{\wedge \eta, j}}$ . This can be done as  $T$  is  $(n^*, d^*)$  tame.

Using the parameters  $D_0, \dots, D_{n^*-1}$  ( $x \in D_i$  iff  $h(x) = d_i$ ), we can define  $\vee_\eta x, y \in A_\eta$  by “ $x, y$  are comparable and the sub-branch  $[x, y]$  (or  $[y, x]$ ) has a constant colour”.

Step 4. As every  $A_\eta$  has Hausdorff degree at most  $k^*$ , we can define a well ordering of it using parameters  $P_1^\eta, \dots, P_{k^*}^\eta$  and by taking  $\bar{P}$  to be the (disjoint) union of the  $\bar{P}^\eta$ 's we can define a partial ordering on  $T$  which well orders every  $A_\eta$ .

By our construction  $\eta \triangleleft \nu$  if and only if there is an element in  $A_\nu$  that ‘breaks’  $A_\eta$  i.e. is above a proper initial segment of  $A_\eta$ . (Caution, if  $T$  does not have a root this may not be the case for  $\langle \rangle$  and a  $< n^*$  number of  $\langle i \rangle$ 's and we may need parameters for expressing that). Therefore, as by step 3 “being in the same  $A_\eta$ ” is definable, we can define a partial order on the sub-branches  $A_\eta$  (or the representatives  $s_\eta$ ) by  $\eta \triangleleft \nu \Rightarrow A_\eta \leq A_\nu$ .

Next, note that “ $\nu$  is an immediate successor of  $\eta$  in  $\Gamma$ ” is definable as a relation between  $s_\nu$  and  $s_\eta$  hence the set  $A_\eta^+ := A_\eta \cup \{s^{\wedge \eta, i}\}$  is definable from  $s_\eta$ . Now the order on  $A_\eta$  induces an order on  $\{s^{\wedge \eta, i} / \sim_{A_\eta}^0\}$  which is can be embedded in the completion of  $A_\eta$  hence has  $\text{Hdeg} \leq k^*$ . Using additional parameters  $Q_1^\eta, \dots, Q_{k^*}^\eta$ , we have a definable well ordering on  $\{s^{\wedge \eta, i} / \sim_{A_\eta}^0\}$ . As for the ordering on each  $\sim_{A_\eta}^1$  equivalence class (finite with  $\leq n^*$  elements), define it by their colours (i.e. the element with the smaller colour is the smaller according to the order).

Using  $\bar{D}$ ,  $\bar{P}$ ,  $Q$  and  $\bar{Q} = \cup_\eta \bar{Q}^\eta$  we can define a partial ordering which well orders each  $A_\eta^+$  in such a way that every  $x \in A_\eta$  is smaller than every  $s^{\wedge \eta, i}$ .

Summing up we can define (using the above parameters) a partial order on subsets of  $T$  that well orders each  $A_\eta$ , orders sub-branches  $A_\eta$ ,  $A_\nu$  when the indices are comparable in  $\Gamma$  and well orders all the “immediate successors” sub-branches of a sub-branch  $A_\eta$ .

Step 5. The well ordering of  $T$  will be defined by  $x < y \iff$

- a)  $x$  and  $y$  belong to the same  $A_\eta$  and  $x < y$  by the well order on  $A_\eta$ ; or
- b)  $x \in A_\eta$ ,  $y \in A_\nu$  and  $\eta \triangleleft \nu$ ; or
- c)  $x \in A_\eta$ ,  $y \in A_\nu$ ,  $\sigma = \eta \wedge \nu$  in  $\Gamma$  (defined as a relation between sub-branches),  $\wedge \sigma, i \triangleleft \eta$ ,  $\wedge \sigma, j \triangleleft \nu$  and  $s^{\wedge \sigma, i} < s^{\wedge \sigma, j}$  in the order of  $A_\sigma^+$ .

Note, that  $<$  is a linear order on  $T$  and every  $A_\eta$  is a convex and well ordered sub-chain. Moreover  $<$  is a linear order on  $\Gamma$  and the order on the  $s_\eta$ 's is isomorphic to a lexicographic order on  $\Gamma$ .

Why is the above (which is clearly definable with our parameters) a well order? Because of the above note and because a lexicographic ordering of a well founded tree is a well order, provided that immediate successors are well ordered. In detail, assume  $X = \{x_i\}_{i < \omega}$  is a strictly decreasing sequence of elements of  $T$ . Let  $\eta_i$  be the unique node in  $\Gamma$  such that  $x_i \in A_{\eta_i}$  and by the above note w.l.o.g  $i \neq j \Rightarrow \eta_i \neq \eta_j$ . By the well foundedness of  $\Gamma$  and clause (b) we may also assume w.l.o.g

that the  $\eta_i$ 's form an anti-chain in  $\Gamma$ . Look at  $\nu_i := \eta_1 \wedge \eta_i$  which is constant for infinitely many  $i$ 's and w.l.o.g equals to  $\nu$  for every  $i$ . Ask:

(\*) is there is an infinite  $B \subseteq \omega$  such that  $i, j \in B \Rightarrow x_i \sim_{A_\nu}^0 x_j$  ?

If this occurs we have  $\nu_1 \neq \nu$  with  $\nu \triangleleft \nu_1$  such that for some infinite  $B' \subseteq B \subseteq \omega$  we have  $i \in B' \Rightarrow \nu_1 \triangleleft \eta_i$ . (use the fact that  $\sim_{A_\nu}^1$  is finite). W.l.o.g  $B' = \omega$  and we may ask if (\*) holds for  $\nu_1$ . Eventually, since  $\Gamma$  does not have an infinite branch, we will have a negative answer to (\*). We can conclude that w.l.o.g there is  $\nu \in \Gamma$  such that  $i \neq j \Rightarrow x_i \not\sim_{A_\nu}^0 x_j$  i.e. the  $x_i$ 's "break"  $A_\nu$  in "different places".

Define now  $\nu_i$  to be the unique immediate successor of  $\nu$  such that  $\nu_i \triangleleft \eta_i$ . The set  $S = \{s_{\nu_i}\}_{i < \omega} \subseteq A_\nu^+$  is well ordered by the well ordering on  $A_\nu^+$  and by clause (c) in the definition of  $<$ ,  $x_i > x_j \iff \nu_i > \nu_j$  so  $S$  is an infinite strictly decreasing subset of  $A_\nu^+$  – a contradiction.

This finishes the proof that there is a definable well order of  $T$ .

♡