

## REGULAR SUBALGEBRAS OF COMPLETE BOOLEAN ALGEBRAS

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**Abstract.** It is proved that the following conditions are equivalent:

- (a) there exists a complete, atomless,  $\sigma$ -centered Boolean algebra, which does not contain any regular, atomless, countable subalgebra,
- (b) there exists a nowhere dense ultrafilter on  $\omega$ .

Therefore the existence of such algebras is undecidable in ZFC. In "forcing language" condition (a) says that there exists a non-trivial  $\sigma$ -centered forcing not adding Cohen reals

A subalgebra  $\mathbb{B}$  of a Boolean algebra  $\mathbb{A}$  is called regular whenever for every  $X \subseteq \mathbb{B}$ ,  $\sup_{\mathbb{B}} X = \mathbf{1}$  implies  $\sup_{\mathbb{A}} X = \mathbf{1}$ ; see e.g. Heindorf and Shapiro [6]. Clearly, every dense subalgebra is regular. Although every complete Boolean algebra contains a free Boolean algebra of the same size (see the Balcar-Franek Theorem; [2]), not always such an embedding is regular. For instance, if  $\mathbb{B}$  is a measure algebra, then it contains a free subalgebra of the same cardinality as  $\mathbb{B}$ , but  $\mathbb{B}$  cannot contain any infinite free Boolean algebra as a regular subalgebra. Indeed, measure algebras are weakly  $\sigma$ -distributive but free Boolean algebras are not, and a regular subalgebra of a weakly  $\sigma$ -distributive one is again  $\sigma$ -distributive. Thus  $\mathbb{B}$  does not contain any free Boolean algebra. On the other hand, measure algebras are not  $\sigma$ -centered. So, a natural question arises whether there exists a  $\sigma$ -centered, complete, atomless Boolean algebra  $\mathbb{B}$  without regular free subalgebras. Since countable atomless Boolean algebras are free and every free Boolean algebra contains a countable regular free subalgebra, it is enough to ask whether  $\mathbb{B}$  contains a countable regular subalgebra. In the paper we prove that such an algebra exists iff there exists a nowhere dense ultrafilter.

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**Definition 1** (Baumgartner [3]). *A filter  $D$  on  $\omega$  is called nowhere dense if for every function  $f$  from  $\omega$  to the Cantor set  ${}^\omega 2$  there exists a set  $A \in D$  such that  $f(A)$  is nowhere dense in  ${}^\omega 2$ .*

In the sequel we will rather interested in nowhere dense ultrafilters. Observe that every  $P$ -ultrafilter (i.e. every  $P$ -point in  $\omega^*$ ) is a nowhere dense ultrafilter.

**Theorem 1.** *There exists an atomless, complete,  $\sigma$ -centered Boolean algebra without any countable atomless regular subalgebras iff there exists a nowhere dense ultrafilter.*

By a recent result of Saharon Shelah [7] there exists a model of ZFC in which there are no nowhere dense ultrafilters. So it is consistent with ZFC that there are no atomless, complete,  $\sigma$ -centered Boolean algebras without any countable regular subalgebras.

In the first part of the paper, forcing methods are used to show that nowhere dense ultrafilters exist whenever there exists a  $\sigma$ -centered forcing  $\mathbb{P}$  such that above every element of  $\mathbb{P}$  there are two incompatible ones and  $\mathbb{P}$  does not add any Cohen real. The forcing constructed here uses some ideas from Gitik and Shelah [5]. They have shown that if  $\mathbb{P}$  is a  $\sigma$ -centered forcing notion,  $\{A_n : n < \omega\}$  are subsets of  $\mathbb{P}$  witnessing this, and both  $\mathbb{P}$  and  $A_n$ 's are Borel, then  $\mathbb{P}$  adds a Cohen real. On the other hand it is known that a forcing  $\mathbb{P}$  adds a Cohen real iff the complete Boolean algebra  $\mathbb{B} = RO(\mathbb{P})$  contains an element  $u$  such that the reduced Boolean algebra  $\mathbb{B}|u$  has a regular infinite free Boolean subalgebra. Thus, to prove the Theorem 1 we need to show in particular the following:

**Theorem 2.** *If there exists a  $\sigma$ -centered forcing  $\mathbb{P}$  such that above every element of  $\mathbb{P}$  there are two incompatible ones and  $\mathbb{P}$  does not add any Cohen real then there exists a nowhere dense ultrafilter on  $\omega$ .*

We shall proceed with the proof by some definitions and a lemma.

**Definition 2.** (a) *A forcing  $\mathbb{P}$  is called  $\sigma$ -centered if  $\mathbb{P} = \bigcup \{A_n : n < \omega\}$  where each  $A_n$  is directed, i. e., for every  $p, q \in A_n$  there exists  $r \in A_n$  such that  $p \leq r$  and  $q \leq r$ .*

(b) *A forcing  $\mathbb{P}$  adds a Cohen real if there exists a  $\mathbb{P}$ -name  $\underline{r} \in {}^\omega 2$  such that for every open dense set  $\mathcal{D} \subset {}^\omega 2$  we have  $\Vdash_{\mathbb{P}} \underline{r} \in \mathcal{D}^*$ , where  $\mathcal{D}^*$  denotes the encoding of  $\mathcal{D}$  in the Boolean universe.*

**Remarks .**

(a) The order of forcing in this notation is inverse of the one in the Boolean algebra.

(b) We can just assume that there is a member  $p$  of  $\mathbb{P}$  such that if  $q$  is above  $p$  then there are  $r_1$  and  $r_2$  above  $q$  which are incompatible in  $\mathbb{P}$ .

**Definition 3.** A set  $X \subseteq {}^\omega 2$  is somewhere dense if there exists an  $\eta \in {}^\omega 2$  such that for every  $\nu \in {}^\omega 2$  there is  $\rho \in X$  with  $\eta \hat{\ } \nu \trianglelefteq \rho$ , where  $\eta \hat{\ } \nu$  stands for the concatenation of  $\eta$  and  $\nu$  and the relation  $\trianglelefteq$  means that  $\rho$  is an extension of the sequence  $\eta \hat{\ } \nu$ .

**Lemma .** A filter  $D$  on  $\omega$  is not nowhere dense iff it is a so-called well behaved filter, i.e., there is a function  $f: \omega \rightarrow {}^\omega 2$  such that for every  $B \in D$  the range of  $f$  restricted to  $B$  is somewhere-dense.

*Proof.* Suppose  $f: \omega \rightarrow {}^\omega 2$  be such that for every  $B \in D$  the image of  $B$  is not nowhere dense. Without loss of generality we can assume that the range of  $f$  is dense in itself. Since every closed and dense in itself subset of the Cantor cube  ${}^\omega 2$  is homeomorphic to the whole  ${}^\omega 2$  we can assume also that the range of  $f$  is dense in  ${}^\omega 2$ . Moreover, since it is countable it can be identified with a subset of the set  ${}^\omega 2$  of all rational points of the Cantor set. Thus without loss of generality we can assume that  $f$  maps  $\omega$  into  ${}^\omega 2$ . On the other hand a set  $X \subseteq {}^\omega 2$  is nowhere dense whenever for every  $\eta \in {}^\omega 2$  there exists some  $\nu \in {}^\omega 2$  such that the set of all sequences extending  $\eta \hat{\ } \nu$  is disjoint from  $X$ . Therefore, since the image of  $B$  under  $f$  is not nowhere dense in  ${}^\omega 2$ , it can be identified with a somewhere dense subset of  ${}^\omega 2$ . This in fact completes the proof of the lemma.  $\square$

**Remark .** If  $D$  is a filter on  $\omega$  and  $\mathcal{P}(\omega)/D$  is infinite then  $D$  is not nowhere dense. Indeed, if  $\langle A_n: n < \omega \rangle$  is a partition of  $\omega$  such that  $\omega \setminus A_n \notin D$  for all  $n < \omega$  and  $\langle e_n: n < \omega \rangle$  list the set  ${}^\omega 2$  then the map  $f: \omega \rightarrow {}^\omega 2$  defined by the formula

$$f(e) = e_n \quad \text{iff} \quad e \in A_n$$

witnesses “ $D$  is well behaved”.

*Proof.* [of Theorem 2] Assume that there are no nowhere dense ultrafilters. Further assume that  $\mathbb{P}$  is a forcing in which above each element there are two incompatible ones and  $\mathbb{P} = \bigcup \{A_n: n < \omega\}$  where each  $A_n$  is directed. We start with the following known fact which we prove here for the sake of completeness:  $\square$

**Fact (0).** Every forcing  $\mathbb{Q}$  with Knaster condition such that above every element of  $\mathbb{Q}$  there are two incompatible ones, adds a real.

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In fact, by assumption, forcing with  $\mathbb{Q}$  adds a new subset to  $\mathbb{Q}$ , hence a new subset to some ordinal. In the set

$$\mathcal{K} = \{(\alpha, p, \underline{\tau}) : p \in \mathbb{Q}, \alpha \text{ an ordinal and } \underline{\tau} \text{ a } \mathbb{Q}\text{-name of a subset of } \alpha \text{ such that } p \Vdash \underline{\tau} \notin V\}$$

we choose  $(\alpha, p, \underline{\tau})$  with  $\alpha$  being minimal. So necessarily  $\alpha$  is a cardinal and

$$p \Vdash \text{“the tree } (\alpha^{>2}, \trianglelefteq) \text{ has a new } \alpha\text{-branch in } V^{\mathbb{Q}}\text{”}$$

So, as  $\mathbb{Q}$  satisfies the Knaster condition (which follows from  $\sigma$ -centered), necessarily  $\text{cf}(\alpha) = \aleph_0$  and letting  $\alpha = \bigcup_{n < \omega} \alpha_n$ , where  $\alpha_n < \alpha_{n+1}$  for some countable  $w \subseteq \alpha^{>2}$  we get

$$p \Vdash \text{“}(\forall n < \omega)(\underline{\tau} \upharpoonright \alpha_n \in w)\text{”},$$

so  $p \Vdash$  “we add a new subset to  $w$ ,  $|w| = \aleph_0$ ”.

We have shown that  $I = \{p \in \mathbb{Q} : p \Vdash \text{“}\underline{r} \in {}^\omega 2 \text{ is new” for some } \mathbb{Q}\text{-name } \underline{r}\}$  is a dense subset of  $\mathbb{Q}$ . So let  $\{p_i : i < \omega\} \subseteq I$  be a maximal antichain and let  $\underline{r}_i$  be such that  $p_i \Vdash \text{“}\underline{r}_i \text{ is new”}$ . By density of  $I$  we can define the  $\mathbb{Q}$ -name  $\underline{r}$  as follows:  $\underline{r} = \underline{r}_i$  if  $p_i \in G_{\mathbb{Q}}$ . This completes the proof of Fact (0).

Now we fix a  $\mathbb{P}$ -name of a new real  $\underline{r} \in {}^\omega 2$  added by  $\mathbb{P}$ . For every  $p \in \mathbb{P}$  we set

$$T_p = \{\eta \in {}^{\omega > 2} : \neg(p \Vdash \neg(\eta \trianglelefteq \underline{r}))\},$$

i.e.,  $\eta \in T_p$  iff there exists  $q \in \mathbb{P}$  such that  $p \leq q$  and  $q \Vdash \text{“}\eta = \underline{r} \upharpoonright \text{lg } \eta\text{”}$ , where  $\text{lg } \eta$  denotes the length of the sequence  $\eta$ .

**Fact (1).** *For every  $p \in \mathbb{P}$ ,  $T_p$  is a subtree of  ${}^{\omega > 2}$ , i.e.  $\eta \trianglelefteq \nu$  and  $\nu \in T_p$  implies  $\eta \in T_p$  and  $\langle \rangle \in T_p$ , where  $\langle \rangle$  denotes the empty sequence.*

Indeed, if  $\eta \trianglelefteq \nu$  and  $\nu = \underline{r} \upharpoonright \text{lg } \nu$ , then  $\eta = \underline{r} \upharpoonright \text{lg } \eta$ .

**Fact (2).** *The tree  $T_p$  has no maximal elements.*

To prove the Fact (2) we fix  $\eta \in T_p$ . Then there is  $q \in \mathbb{P}$  such that  $p \leq q$  and

$$q \Vdash \text{“}\underline{r} \upharpoonright \text{lg } \eta = \eta\text{”}.$$

Let  $k = \text{lg}(\eta)$ , so  $I = \{r \in \mathbb{P} : r \text{ forces a value to } \underline{r} \upharpoonright (k+1)\}$  is a dense and open subset of  $\mathbb{P}$ , hence there is  $q' \in \mathbb{P}$  such that  $q \leq q'$  and  $q'$  forces a value to  $\underline{r} \upharpoonright (k+1)$ , say  $\vartheta$ . So  $q'$  also forces  $\underline{r} \upharpoonright k = \vartheta \upharpoonright k$ , but  $q \leq q'$  and  $q \Vdash \text{“}\underline{r} \upharpoonright k = \eta \text{ hence } \vartheta \upharpoonright k = \eta\text{”}$ . As  $q'$  witnesses  $\vartheta \in T_p$  and  $\vartheta \in {}^{k+1}2$  and  $\eta \in {}^k 2$ ,  $\eta \trianglelefteq \vartheta$ , this completes the proof of Fact (2).

**Fact (3).** *The set  $\lim T_p$  of all  $\omega$ -branches through  $T_p$  is closed, i.e., if  $\eta \in {}^\omega 2 \setminus \lim T_p$  then there exists  $\nu \in {}^{\omega>} 2$  such that  $\nu \sqsubseteq \eta$  and the set of all  $\omega$ -branches extending  $\nu$  is disjoint from  $\lim T_p$ .*

Indeed, if  $\eta \in {}^\omega 2 \setminus \lim T_p$  then there exists  $n \in \omega$  such that  $n \leq m < \omega$  implies  $\eta \upharpoonright m \notin T_p$ . By Fact 1 it is clear that every  $\omega$ -branch extending  $\nu = \eta \upharpoonright n$  does not belong to  $T_p$ , which proves the Fact 3.

Now let us observe that the family

$$\{T_p : p \in A_n\}$$

is directed under inclusion, i.e. if  $p, q \in A_n$  and  $r \in \mathbb{P}$  is such that  $p \leq r$  and  $q \leq r$  then

$$T_r \subseteq T_p \cap T_q.$$

Indeed, if  $\eta \in {}^{\omega>} 2$  and there exists  $s \geq r$  such that  $s \Vdash \text{“}\eta = \underline{r} \upharpoonright \lg \eta\text{”}$  then of course  $s \geq p$  and  $s \geq q$  and thus  $\eta$  belongs to  $T_p$  and  $T_q$ .

So by compactness of  ${}^{\omega>} 2$  and Facts 1-3 we get the following:

**Fact (4).** *The set*

$$T_n = \bigcap \{T_p : p \in A_n\}$$

*is a subtree of  ${}^{\omega>} 2$  and the set of  $\omega$ -branches of  $T_n$  is non-empty.*

Now we make a choice:

$$\eta_n^* \text{ is an } \omega \text{- branch of } T_n. \tag{1}$$

Subsequently for every  $n < \omega$  and every  $p \in A_n$  we define

$$B_p^n = \{k < \omega : (\exists q \in \mathbb{P})(p \leq q \wedge q \Vdash \text{“}\underline{r} \upharpoonright k = \eta_n^* \upharpoonright k \ \& \ \underline{r}(k) \neq \eta_n^*(k)\text{”})\}$$

We have the following:

**Fact (5).** *For every  $n < \omega$  and every  $p \in A_n$  the set  $B_p^n$  is infinite.*

Indeed, since  $p \in A_n$  and  $T_n$  is a subtree of  $T_p$ ,  $\eta_n^*$  is an  $\omega$ -branch of  $T_p$ . Let us fix  $m < \omega$ . Then, by the definition of  $T_p$ , there exists  $r \in \mathbb{P}$  such that  $r \geq p$  and

$$r \Vdash \text{“}\eta_n^* \upharpoonright m = \underline{r} \upharpoonright m\text{”}.$$

On the other hand

$$\Vdash_{\mathbb{P}} \text{“}\underline{r} \neq \eta_n^*\text{”},$$

because  $\underline{r}$  is a new real. Thus for some  $q \in \mathbb{P}$ ,  $q \geq r$  and  $k < \omega$  we get

$$q \Vdash \text{“}\underline{r} \upharpoonright k \neq \eta_n^* \upharpoonright k\text{”}.$$

We can assume that  $k$  is minimal with such a property. Since  $r \leq q$ , it must be  $k > m$ . But  $q \geq p$  and thus, by minimality of  $k$ , we have  $k - 1 \in B_p^n$ , which proves the Fact 5.

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Now we establish for every  $n < \omega$  the following definition:

$$\mathcal{D}_n^0 = \{B \subseteq \omega : (\exists p \in A_n)(|B_p^n \setminus B| < \omega)\}.$$

**Fact (6).** *For every  $n < \omega$ ,  $\mathcal{D}_n^0$  is a filter.*

Indeed, let  $B_1, B_2 \in \mathcal{D}_n^0$ . Then there exist  $p_1, p_2 \in A_n$  such that both  $B_{p_1}^n \setminus B_1$  and  $B_{p_2}^n \setminus B_2$  are finite. Since  $A_n$  is directed we can choose  $r \in A_n$  such that  $p_1 \leq r$  and  $p_2 \leq r$ . On the other hand, from the definition of  $B_p^n$  it easily follows that

$$p \leq q \text{ implies } B_q^n \subseteq B_p^n.$$

Thus  $B_r^n \subseteq B_{p_1}^n \cap B_{p_2}^n$  and therefore

$$B_r^n \setminus (B_1 \cap B_2) \subseteq (B_{p_1}^n \setminus B_1) \cup (B_{p_2}^n \setminus B_2)$$

is finite. Clearly, every superset of an element of  $\mathcal{D}_n^0$  also belongs to  $\mathcal{D}_n^0$  and, by the Fact 5,  $\mathcal{D}_n^0$  does not contain the empty set, which completes the proof of Fact 6.

Now by Fact 5 and Fact 6, we can make the following choice: for  $n < \omega$

$$\mathcal{D}_n \text{ is a non-principal ultrafilter containing } \mathcal{D}_n^0 \quad (2)$$

By our hypothesis the ultrafilters  $\mathcal{D}_n$  are not nowhere dense and so by Lemma for every  $n < \omega$  we can choose a function  $f_n: \omega \rightarrow {}^{\omega}>2$  such that

$$(\forall B \in \mathcal{D}_n)(\exists u \in {}^{\omega}>2)(\forall \nu \in {}^{\omega}>2)(\exists k \in B)(u \smallfrown \nu \leq f_n(k)). \quad (3)$$

Without loss of generality we may assume that the empty sequence does not belong to the range of  $f_n$ .

Now we have to come back to the sequence  $\{\eta_n^*: n < \omega\}$  of  $\omega$ -branches of the trees  $T_n$ . Since it can happen that the sequence is not one-to-one we consider the set

$$Y = \{n < \omega : \eta_n^* \notin \{\eta_m^* : m < n\}\}.$$

Then for  $n, m \in Y$  we have  $\eta_n^* \neq \eta_m^*$  whenever  $n \neq m$ .

In the sequel we shall need the following:

**Claim .** *If  $\langle \eta_n : n < \omega \rangle \subseteq {}^\omega 2$  is a sequence of distinct  $\omega$ -branches of a tree  $T \subseteq {}^{\omega}>2$  there exists an increasing sequence  $\langle e_n : n < \omega \rangle \subseteq \omega$  such that for all  $n < m < \omega$  we have*

$$\{\eta_n \upharpoonright l : e_n < l < \omega\} \cap \{\eta_m \upharpoonright l : e_m < l < \omega\} = \emptyset. \quad (*)$$

To prove the claim observe that  $\eta_n \upharpoonright l \neq \eta_m \upharpoonright l$  and  $k > l$  implies  $\eta_n \upharpoonright k \neq \eta_m \upharpoonright k$ . Now assume that  $e_0, \dots, e_n$  are defined so that the condition (\*) holds true. Since  $\eta_{n+1} \notin \{\eta_0, \dots, \eta_n\}$  there exists  $k < \omega$

such that  $\eta_0 \upharpoonright k, \dots, \eta_n \upharpoonright k, \eta_{n+1} \upharpoonright k$  are pairwise different. We can assume that  $k > e_n$  and  $e_{n+1}$  to be the first such  $k$ . This completes the proof of the claim.

Now using the claim we can choose an increasing sequence  $\langle e_n : n < \omega \rangle \subseteq \omega$  in such a way that, letting

$$C_n = \{\eta_n^* \upharpoonright l : e_n \leq l < \omega\},$$

the sequence  $\langle C_n : n \in Y \rangle$  consists of pairwise disjoint sets, and so that we have

$$\eta_n^* = \eta_m^* \Leftrightarrow e_n = e_m \Leftrightarrow C_n = C_m.$$

Finally, for  $\eta \in {}^\omega 2$  we define

$$u(\eta) = \{n \in Y : (\exists l < \omega)(\eta \upharpoonright l = \eta_n^* \upharpoonright l \wedge (\forall m < n)(\eta \upharpoonright l \neq \eta_m^* \upharpoonright l))\},$$

$n_k(\eta)$  = the  $k$ -th member of  $u(\eta)$ ,

$$m_k(\eta) = \min\{m < \omega : e_{n_k(\eta)} < m \wedge \eta \upharpoonright (m+1) \not\leq \eta_{m_k(\eta)}^*\},$$

i.e.  $m_k(\eta)$  is the smallest  $m > e_{n_k(\eta)}$  such that

$$\eta \upharpoonright (m+1) \neq \eta_{m_k(\eta)}^* \upharpoonright (m+1).$$

By definition of  $m_k(\eta)$ , we have

$$e_{n_k(\eta)} < m_k(\eta).$$

Clearly we also have

- (i)  $u(\eta)$  is well-defined,
- (ii)  $n_k(\eta)$  is well-defined if  $k < |u(\eta)|$ ,
- (iii)  $m_k(\eta)$  is well-defined if  $k < |u(\eta)|$  and  $\eta \neq \eta_{m_k}^*$ .

Now we can define a function  $\tau : {}^\omega 2 \setminus \{\eta_n^* : n < \omega\} \rightarrow {}^{\omega \geq 2}$  by the formula:

$$\tau(\eta) = f_{n_0(\eta)}(m_0(\eta)) \frown f_{n_1(\eta)}(m_1(\eta)) \frown \dots,$$

where, for  $n < \omega$ ,  $f_n$  is the function from the condition (3). From the formula it follows easily that  $\tau(\eta) \in {}^{\omega \geq 2}$  and it is well defined if  $\eta \notin \{\eta_n^* : n < \omega\}$  and moreover  $\tau(\eta)$  is infinite whenever  $u(\eta)$  is infinite, as  $\langle \rangle \notin \text{Range}(f_n)$ .

To complete the proof of the theorem it remains to show:

**Fact** (7).  $\Vdash_{\mathbb{P}} \text{''}\tau(\underline{r}) \text{ is Cohen over } V\text{'}$ .

To prove this fact we fix an open dense set  $I \subseteq {}^{\omega > 2}$  and a  $p \in \mathbb{P}$  and we show that there is a  $q \in \mathbb{P}$  with  $p \leq q$  such that  $q \Vdash_{\mathbb{P}} \text{''}\tau(\underline{r}) \in [I]\text{'}$ , where  $[I]$  is the name of  $\{\eta \in {}^\omega 2 : t \leq \eta \text{ for some } t \in I\}$  in the generic extension. Let  $n < \omega$  be such that  $p \in A_n$  and let  $n^\otimes = \min\{m < \omega : \eta_m^* = \eta_n^*\}$ . Clearly  $n^\otimes \leq n$  and  $n^\otimes \in Y$ . Then  $u(\eta_n^*)$  is well defined and

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$n^\otimes \in u(\eta_n^*)$ ; in fact  $n^\otimes$  is the last member of  $u(\eta_n^*)$ . Let  $k = |u(\eta_n^*)| - 1$ , so  $n_k(\eta_n^*) = n^\otimes$ . Also  $m_i(\eta_n^*)$  is well defined and finite for  $i < k$ . Then we set

$$\nu^\otimes = f_{n_0(\eta_n^*)}(m_0(\eta_n^*)) \frown \cdots \frown f_{n_{k-1}(\eta_n^*)}(m_{k-1}(\eta_n^*)),$$

so if  $k = 0$ , i.e., if  $u(\eta_n^*)$  is a singleton, then  $\nu^\otimes$  is the empty sequence.

Clearly  $\nu^\otimes \in {}^{\omega > 2}$ . Also we have

$$p \Vdash_{\mathbb{P}} \ulcorner \underline{r} \upharpoonright (e_n + 1) \not\leq \eta_n^* \urcorner.$$

Hence

$$p \Vdash_{\mathbb{P}} \ulcorner \neg \varphi \urcorner,$$

where  $\varphi$  is the formula asserting  $u(\eta_n^*)$  is an initial segment of  $u(\underline{r})$ . Note that  $\varphi$  implies  $(\forall i < k)(n_i(\underline{r}) = n_i(\eta_n^*)) \wedge m_i(\underline{r}) = m_i(\eta_n^*)$ . Since  $p \Vdash_{\mathbb{P}} \ulcorner \underline{r} \neq \eta_{n^\otimes}^* \urcorner$ , it follows that

$$p \Vdash_{\mathbb{P}} \ulcorner \varphi \rightarrow m_k(\underline{r}) \text{ is well-defined} \urcorner.$$

Let

$$Z = \{\varrho \in {}^{\omega > 2} : p \Vdash_{\mathbb{P}} \ulcorner \neg(\varphi \wedge f_{n_k(\underline{r})}(m_k(\underline{r})) = \varrho) \urcorner\}.$$

It is enough to show that  $Z$  is a somewhere dense subset of  ${}^{\omega > 2}$ . [Suppose that  $Z$  is a somewhere dense subset of  ${}^{\omega > 2}$ . Then there is  $\varrho_0 \in {}^{\omega > 2}$  such that for any  $\nu \in {}^{\omega > 2}$  there is  $\varrho \in Z$  with  $\varrho_0 \frown \nu \leq \varrho$ . Let  $\tilde{\varrho}_0 = \nu^\otimes \frown \varrho_0$  and let  $\nu \in {}^{\omega > 2}$  be such that  $\tilde{\varrho}_0 \frown \nu \in I$ . Then there is  $\varrho \in Z$  such that  $\tilde{\varrho}_0 \frown \nu \leq \varrho$ . Let  $q \geq p$  be such that  $q \Vdash_{\mathbb{P}} \ulcorner \varphi \wedge f_{n_k(\underline{r})}(\underline{r}) = \varrho \urcorner$ . Then  $q \Vdash_{\mathbb{P}} \ulcorner \tilde{\varrho}_0 \frown \nu \leq \tau(\underline{r}) \urcorner$ . And hence we can conclude that  $q \Vdash_{\mathbb{P}} \ulcorner \tau(\underline{r}) \in [I] \urcorner$ .]

Now, we have

$$p \Vdash_{\mathbb{P}} \ulcorner \neg(n_k(\underline{r}) = n^\otimes \vee \neg \varphi) \urcorner.$$

Hence

$$Z = \{\varrho \in {}^{\omega > 2} : p \Vdash_{\mathbb{P}} \ulcorner \neg(f_{n^\otimes}(m_k(\underline{r})) = \varrho \wedge \varphi) \urcorner\}.$$

Thus, by the choice of  $f_{n^\otimes}$ , it is enough to prove:

$$B_0 = \{m < \omega : p \Vdash_{\mathbb{P}} \ulcorner m_k(\underline{r}) \neq m \vee \neg \varphi \urcorner\} \in \mathcal{D}_{n^\otimes}.$$

[Suppose that  $B_0 \in \mathcal{D}_{n^\otimes}$ . Then, by (3), there is  $\varrho \in {}^{\omega > 2}$  such that  $(\forall \nu \in {}^{\omega > 2})(\exists k \in B_0)(\varrho \frown \nu \leq f_{n^\otimes}(k))$ .]

We have  $\mathcal{D}_{n^\otimes} = \mathcal{D}_n$ . Hence it is enough to show  $B_0 \in \mathcal{D}_n$ . By definition of  $m_k(\underline{r})$  and since  $\varphi \rightarrow n_k(\underline{r}) = n^\otimes$ , this is equivalent to:

$$\{m < \omega : p \Vdash_{\mathbb{P}} \ulcorner \underline{r} \upharpoonright m \neq \eta_{n^\otimes}^* \upharpoonright m \vee \underline{r}(m+1) = \eta_{n^\otimes}^*(m+1) \vee \neg \varphi \urcorner\} \in \mathcal{D}_n.$$

But  $\eta_{n^\otimes}^* = \eta_n^*$  and  $p \in A_n$ . Hence, by definition of  $\mathcal{D}_n^0$ , the set above does belong to  $\mathcal{D}_n^0 \subseteq \mathcal{D}_n$ .  $\square$

Finally we prove that the converse to Theorem 2 is also true, i. e., we shall show that whenever there exists a nowhere dense ultrafilter there exists a  $\sigma$ -centered forcing  $\mathbb{P}$  with the property that above each



element there are two incompatible ones and moreover  $\mathbb{P}$  does not add a Cohen real. To prove this fact we shall use some topological methods, but we can also write it using forcing.

Recall, a subalgebra  $\mathbb{B}$  of a Boolean algebra  $\mathbb{A}$  is *regular* whenever  $\sup_{\mathbb{A}} X = 1$  for every  $X \subseteq \mathbb{B}$  such that  $\sup_{\mathbb{B}} X = 1$ . The subalgebra  $\mathbb{B}$  is regular iff the corresponding map of the Stone spaces is semi-open, i. e., the image of every non-empty clopen set has non-empty interior. Using nowhere dense ultrafilters we construct a dense in itself, separable, extremally disconnected compact space (= Stone space of an atomless,  $\sigma$ -centered, complete Boolean algebra) which has no semi-open continuous maps onto the Cantor set.

We use a topology on the set  ${}^{\omega}>\omega = \bigcup\{{}^n\omega : n < \omega\}$ . If  $s \in {}^{\omega}>\omega$  is a sequence of length  $n$  and  $k \in \omega$ , then  $s \frown k$  denotes the sequence of length  $n+1$  extending  $s$  in such a way that the  $n$ -th term is  $k$ . For a set  $A \subseteq \omega$  we set  $s \frown A = \{s \frown k : k \in A\}$ . For a given ultrafilter  $p \subseteq \mathcal{P}(\omega)$  we consider a topology  $\mathcal{T}_p$  on  ${}^{\omega}>\omega$  given by the formula:

$$U \in \mathcal{T}_p \text{ iff for every } s \in U \text{ there exists } A \in p \text{ such that } s \frown A \subseteq U.$$

The set  ${}^{\omega}>\omega$  equipped with the topology  $\mathcal{T}_p$  we denote  $G_p$ . The space  $G_p$  is known to be Hausdorff and extremally disconnected; see e. g. Dow, Gubbi and Szymanski, ([4]). Hence the Čech-Stone extension  $\beta G_p$  is extremally disconnected, compact, separable, and dense in itself.

Under a much stronger assumption that there exists a  $P$ -point the next theorem was proved by A. Blass [1].

**Theorem 3.** *If there exists a nowhere dense ultrafilter then there exists a  $\sigma$ -centered forcing  $\mathbb{P}$  such that above every element of  $\mathbb{P}$  there are two incompatible ones and  $\mathbb{P}$  does not add any Cohen real.*

*Proof.* By virtue of a theorem of Silver, it is enough to show that there exists a  $\sigma$ -centered, complete, atomless Boolean algebra  $\mathbb{B}$  such that  $\mathbb{B}$  does not contain any regular free subalgebra. For this goal we shall use the topological space  $G_p$  described above. It remains to show that whenever  $p$  is a nowhere dense ultrafilter and  $f: \beta G_p \rightarrow {}^{\omega}\{0,1\}$  is continuous, then there exists a non-empty clopen set  $H \subseteq \beta G_p$  such that  $\text{int } f(H) = \emptyset$ .

First of all we notice that since  $p$  is a nowhere dense ultrafilter, for every  $s \in {}^{\omega}>\omega$  there exists  $A_s \in p$  such that

$$\text{int cl } f(s \frown A_s) = \emptyset. \tag{4}$$

In the sequel  $L_n$  will denote the set of all sequences of length  $n$ , i. e.,  $L_n$  is the  $n$ -th level of the tree  ${}^{\omega}>\omega$ . In particular,  $L_0 = \{s_0\}$  is the empty sequence. By induction we define a sequence of sets  $\{U_n : n < \omega\}$  such

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that  $U_n \subseteq L_n$  for every  $n < \omega$  and, moreover

$$\text{int cl } f(U_n) = \emptyset, \quad (5)$$

for every  $s \in U_n$  there exists  $A \in p$  such that  $s \cap A \subseteq U_{n+1}$ . (6)

We set  $U_0 = \{s_0\}$  and  $U_1 = s_0 \cap A_{s_0}$ . Assume  $U_n$  is defined, say  $U_n = \{s_k : k < \omega\}$ . Then by continuity of  $f$  and the condition (4) we can choose  $A_k \in p$  in such a way that  $\text{int cl } f(s_k \cap A_k) = \emptyset$  and moreover, the diameter of  $\text{cl } f(s_k \cap A_k)$  is not greater than  $\frac{1}{k}$ . Clearly,  $s_k$  is an accumulation point of  $s_k \cap A_k$ , because  $A_k \in p$ . Hence, for every  $k < \omega$  we get

$$\text{cl } f(s_k \cap A_k) \cap \text{cl } f(U_n) \neq \emptyset.$$

Therefore, since diameters of the sets  $\text{cl } f(s_k \cap A_k)$  tend to zero, the set of accumulation points of the set  $\bigcup \{\text{cl } f(s_k \cap A_k) : k < \omega\}$  is contained in  $\text{cl } f(U_n)$ . Indeed, every  $\varepsilon$ -neighbourhood of the set  $\text{cl } f(U_n)$  has to contain all but finitely many sets of the form  $\text{cl } f(s_k \cap A_k)$ . So the set  $\text{cl } f(U_n) \cup \bigcup \{\text{cl } f(s_k \cap A_k) : k < \omega\}$  is closed. It is also nowhere dense as it is a countable union of nowhere dense sets and is closed. Now we set

$$U_{n+1} = \bigcup \{s_k \cap A_k : k < \omega\}$$

and observe that

$$\text{cl } f(U_{n+1}) \subseteq \text{cl } f(U_n) \cup \bigcup \{\text{cl } f(s_k \cap A_k) : k < \omega\}.$$

Thus the set  $f(U_{n+1})$  is nowhere dense, which completes the construction of  $U_n$ 's.

By the condition (5), there exists a dense set

$$\{x_n : n < \omega\} \subseteq {}^\omega\{0, 1\} \setminus \bigcup \{\text{cl } f(U_n) : n < \omega\}.$$

In particular, for every  $n, k < \omega$  we have

$$f^{-1}(\{x_n\}) \cap \text{cl } U_k = \emptyset,$$

where “cl” denotes here the closure in  $\beta G_p$ . Now, for every  $n < \omega$  we choose a clopen set  $V_n \subseteq \beta G_p$  such that

$$f^{-1}(\{x_n\}) \subseteq V_n \subseteq \beta G_p \setminus (\text{cl } U_0 \cup \dots \cup U_n). \quad (7)$$

By induction we construct a sequence  $\{W_n : n < \omega\}$  such that the following conditions hold:

$$W_n \subseteq U_n \text{ for } n < \omega \text{ and } W_0 = U_0 \quad (8)$$

for every  $s \in W_n$  there exists  $B_s \in p$  such that

$$s \cap B_s \subseteq U \setminus (V_0 \cup \dots \cup V_n), \quad (9)$$

$$W_{n+1} = \bigcup \{s \cap B_s : s \in W_n\}. \quad (10)$$

Assume the sets  $W_0, \dots, W_n$  are defined in such a way that (8), (9) and (10) are satisfied. Then we have in particular

$$W_n \subseteq U_n \setminus (V_0 \cup \dots \cup V_{n-1});$$

by the condition (7) we also have

$$U_n \subseteq \beta G_p \setminus V_n.$$

Hence we get  $W_n \subseteq U_n \setminus (V_0 \cup \dots \cup V_n)$ . Since the set  $U_n \setminus (V_0 \cup \dots \cup V_n)$  is open, for every  $s \in W_n$  we can choose  $B_s \in p$  such that  $s \cap B_s \subseteq U_n \setminus (V_0 \cup \dots \cup V_n)$ . Then it is enough to set  $W_{n+1} = \bigcup \{s \cap B_s : s \in W_n\}$ .

Clearly the set  $W = \bigcup \{W_n : n < \omega\}$  is open in  $G_p$  and  $W \cap V_n = \emptyset$  for every  $n < \omega$ . Indeed, if  $m > n$ , then  $W_m \cap V_n = \emptyset$  by the conditions (9) and (10), whereas for  $m \leq n$ ,  $W_m \cap V_n = \emptyset$  because  $W_m \subseteq U_m$  and  $U_m \cap V_n = \emptyset$  by the condition (7). Since  $V_n$  is a clopen set in  $\beta G_p$  we also have

$$\text{cl } W \cap V_n = \emptyset$$

for every  $n < \omega$ . Since  $\beta G_p$  is extremally disconnected,  $\text{cl } W$  is clopen subset of  $\beta G_p$  and, by the last equality and condition (7) we get

$$f(\text{cl } W) \cap \{x_n : n < \omega\} = \emptyset.$$

Therefore  $f(\text{cl } W)$  is nowhere dense, because  $\{x_n : n < \omega\}$  is dense in  ${}^\omega\{0, 1\}$ , which completes the proof.  $\square$

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