

**MORE CONSTRUCTIONS  
FOR BOOLEAN ALGEBRAS  
SH652**

SAHARON SHELAH

Institute of Mathematics  
The Hebrew University  
Jerusalem, Israel

Rutgers University  
Mathematics Department  
New Brunswick, NJ USA

ABSTRACT. We construct Boolean Algebras with prescribed behaviour concerning depth for the free product of two Boolean Algebras over a third, in ZFC using pcf; assuming squares we get results on ultraproducts. We also deal with the family of cardinalities and topological density of homomorphic images of Boolean Algebras (you can translate it topology - on the cardinalities of closed subspaces); and lastly we deal with inequalities between cardinal invariants, mainly  $d(B)^\kappa < |B| \Rightarrow \text{ind}(B) > \kappa \vee \text{Depth}(B) \geq \log(|B|)$ .

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## ANNOTATED CONTENT

## §1 The depth of free product may be bigger than the depths of those multiplied

[We prove, in ZFC, that for many Boolean Algebra  $B$ , for arbitrarily large cardinals  $\kappa$  we can construct Boolean Algebras  $B_1, B_2$  extending  $B$  such that the depth of the free product of  $B_1, B_2$  over  $B$  is strictly larger than  $> \kappa$  hence than the depths of  $B_1$  and of  $B_2$  which is  $\leq \kappa$ ; using pcf. Thus, we answer problem 10 of Monk [M2]. We give a condition  $\boxtimes_{\lambda, \mu, \theta}$  which implies that for some Boolean Algebra  $A = A_\theta$  of cardinality  $\theta$  there are  $B_1 = B_{\lambda, \mu, \theta}^1, B_2 = B_{\lambda, \mu, \theta}^2$ , satisfying  $\text{Depth}^+(B_t) \leq \mu$  and  $\text{Depth}(B_1 \oplus_A B_2) \geq \lambda$ . We then start to investigate for a fixed  $A$ , the existence of such  $B_1, B_2$ ; gives sufficient conditions and necessary conditions, involving consistency results. Using a relative  $\boxtimes_{\lambda, \mu, \theta}$  we shed some light on problem 11 of [M2] dealing with sufficient and with necessary conditions on an infinite  $A$  for: a class of  $\lambda$  for some extensions  $B_1, B_2$  of  $B$ ,  $\text{Dp}(B_1 \oplus_A B_2) > \text{Dp}(B_1) + \text{Dp}(B_2)$ .]

## §2 On the family of homomorphic images of a Boolean Algebra

[We prove that e.g. if  $B$  is a Boolean Algebra of cardinality  $\lambda$ ,  $\lambda \geq \mu$  and  $\lambda, \mu$  are strong limit singular of the same cofinality, then  $B$  has a homomorphic image of cardinality  $\mu$  and with exactly  $\mu$  ultrafilters. More generally if  $\lambda \geq \mu > \text{cf}(\mu) = \text{cf}(\lambda)$  and  $B$  a Boolean Algebra of cardinality  $\lambda$ , then for some homomorphic image  $B'$  of  $B$  we have  $\mu \leq |B'| \leq 2^{<\mu}$ .]

§3 If  $d(B)$  is small, then depth or independence are not small

[We prove for a Boolean Algebra  $B$ , that if  $d(B)^\kappa < |B|$ , then  $\text{ind}(B) > \kappa$  or  $\text{Depth}(B) \geq \log(|B|)$ . For this we deal with a cardinal invariant  $\text{si}(B)$ .]

## §4 On omitting cardinals by compact spaces

[We deal with the existence of Boolean Algebras  $B$  such that  $\{|B'| : B' \text{ a homomorphic image of } B\}$  is restricted. We also deal with topological relatives of the results of §2.]

## §5 Depth of ultraproducts of Boolean Algebras

[We show that if  $\square_\lambda$  and  $\lambda = \aleph_0$  then for some Boolean Algebras  $B_n$  (for  $n < \omega$ ), we have  $\text{Depth}(B_n) \leq \lambda$  but for any uniform ultrafilter  $D$  on  $\omega$ , the ultraproduct  $\prod_{n < \omega} B_n/D$  has depth  $\geq \lambda^+$ . The consistency is related to a question on the ideal  $I[\mu]$ .]

This paper can be translated to compact topologies.

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Notation: For a Boolean Algebra  $B$ , we denote by  $x \cap y, x \cup y, x - y, -x$  the Boolean operations in  $B$  (sometimes  $B$  is a Boolean Algebra of sets, sometimes not), and we denote by  $0_B, 1_B$  its zero and one, and we denote by  $\langle X \rangle_B$  the subalgebra of  $B$  generated by  $X \subseteq B$ . Let  $B^c$  be the completion of the Boolean Algebra  $B$ .

$B_1 \oplus_A B_2$  is the free product of  $B_1, B_2$  over  $A$  (where  $B_1, B_2$  extend  $A$ ); i.e. without loss of generality  $B_1 \cap B_2 = A$  and  $B$  is generated by  $B_1 \cup B_2$  freely except the equations which hold in  $B_1$  and the equations which hold in  $B_2$ .

Let  $\text{Ult}(B)$  be the set of ultrafilters on  $B$ , and  $\text{ult}(B)$  the number of ultrafilters on  $B$ . For a fix  $B, a \in B, \mathbf{t} \in \{0, 1\}$  let  $a^{\mathbf{t}}$  be  $-a$  if  $\mathbf{t} = 0, a$  if  $\mathbf{t} = 1$ .

## §1 ON THE DEPTH OF FREE PRODUCTS

Monk [M2], Problem 10, 11 ask about the depth of  $B \oplus_A C$  (see there for the known results, [M2]), we answer 10 and give some information on 11 here. We shall define a spectrum  $SpDpFP(A)$  for a Boolean Algebra  $A$  (in 1.1) and phrase Monk's question with it (1.2(2),(3)). We then phrase a combinatorial statement  $\boxtimes_{\lambda,\mu,\theta}$  and prove it gives examples of  $B, C$  extending  $A$  while  $B \oplus_A C$  has depth larger than both (in 1.5), and note that it (provably in ZFC) holds for many cardinals (with  $\lambda = \mu$  near a singular) (in 1.7). Later we note some variants of  $\boxtimes_{\lambda,\mu,\theta}$  and investigate when the construction in 1.5 works for a Boolean Algebra  $A$ , in particular for many infinite Boolean Algebra  $A$ , it holds for a class of  $\lambda$ 's.

**1.1 Definition.** 1) For a Boolean Algebra  $A$ , we define the spectrum of depth of free products over  $A$ ,  $SpDpFP(A)$  as

$$\{(\mu, \kappa) : \text{there are Boolean Algebras } B, C \text{ extending } A \text{ such that :} \\ \text{Depth}(B \oplus_A C) \geq \mu > \kappa \geq \text{Depth}(B) + \text{Depth}(A)\}.$$

We write  $\kappa$  for  $(\kappa^+, \kappa)$ .

2) Similarly

$$SpDpFP^+(A) = \{(\mu, \kappa) : \text{there are Boolean Algebras } B, C \text{ extending } A \text{ such that} \\ \text{Depth}^+(B \oplus_A C) > \mu, \mu \geq \kappa \geq \text{Depth}^+(B) + \text{Depth}^+(A)\}.$$

We write  $\kappa$  for  $(\kappa, \kappa)$ .

*1.2 Remark.* 0) Recall that

$$\text{Depth}(B) = \cup\{|X| : X \subseteq B \text{ is well ordered by } <_B\}$$

$$\text{Depth}^+(B) = \cup\{|X|^+ : X \subseteq B \text{ is well ordered by } <_B\}$$

1) Note that

$$\kappa^+ \in SpDpFP^+(A) \text{ iff } \kappa \in SpDpFP(A)$$

so we can deal with 1.1(2) only.

2) So written in our terms, problem 10 of Monk [M2] is:

- (\*) for every infinite Boolean Algebra  $A$ ,  $SpDpFP(A)$  is a set of cardinals, i.e. has an upper bound.

3) Written in our terms, problem 11 of Monk is

- (\*) for every infinite Boolean Algebra  $A$ ,  $SpDpFP^+(A)$  is non-empty.

4) By 1.5, 1.7 (see (\*)<sub>3</sub>) below, e.g. for some countable Boolean Algebra  $A$ , for every strong limit cardinal  $\mu$  of cofinality  $\aleph_0$ , we have  $\mu^+ \in SpDpFP^+(A)$  (hence  $\mu \in SpDpFP(A)$ ), so Monk's question 10 is answered.

5) The combinatorial property in  $\boxtimes_{\lambda, \mu, \theta}$  is close to one considered for investigating the "bad stationary set of a successor of singulars," and more generally the ideal  $I[\lambda]$  in [Sh 108], [Sh 88a], [Sh 420, §1].

We then may ask ourselves:

**1.3 Question:** What occurs to cardinals which are not "near singular" (e.g.  $\lambda = \mu = \chi^+$ ,  $\chi = \chi^{<\chi} > 2^{|A|}$ ).

**1.4 Question:** Can you say more on  $SpDpFP^+(A)$  when we are given an infinite Boolean Algebra  $A$ ?

We give some information concerning those problems.

**1.5 Claim.** *Assume*

- $\boxtimes_{\lambda, \mu, \theta}$  (a)  $\theta < \text{cf}(\mu) \leq \mu \leq \lambda$
- (b)  $\mathbf{c} : [\lambda]^2 \rightarrow \theta$  satisfies: if  $\zeta_1 < \zeta_2 < \zeta_3 < \lambda$ , then  
 $\mathbf{c}\{\zeta_1, \zeta_3\} \leq \text{Max}\{\mathbf{c}\{\zeta_1, \zeta_2\}, \mathbf{c}\{\zeta_2, \zeta_3\}\}$ ,
- (c) if  $n < \omega$ ,  $w_\alpha \in [\lambda]^n$  for  $\alpha < \mu$ , then we can find  $\alpha, \beta$  such that  
 $\alpha < \beta < \mu$  and letting  $w_\alpha = \{\zeta_\ell : \ell < n\}$  increasing,  $w_\beta = \{\xi_\ell : \ell < n\}$   
increasing, we have
- (i)  $\zeta_\ell = \xi_k \Rightarrow \ell = k$ ,
- (ii)  $\mathbf{c}\{\zeta_\ell, \zeta_k\} = \mathbf{c}\{\xi_\ell, \xi_k\}$  for  $\ell < k < n$  and
- (iii) for some  $i < j < \theta$  satisfying  $i \geq \sup\{\mathbf{c}\{\zeta_\ell, \zeta_k\}, \mathbf{c}\{\xi_\ell, \xi_k\} : \ell, k < n\}$   
we have: for  $\ell, k < n$  one of the following occurs:
- ( $\alpha$ )  $\mathbf{c}\{\zeta_\ell, \xi_k\} \geq j$  &  $\mathbf{c}\{\zeta_k, \xi_\ell\} \geq j$
- ( $\beta$ )  $\mathbf{c}\{\zeta_\ell, \xi_k\} = \mathbf{c}\{\zeta_k, \xi_\ell\} < j$  &  $[\zeta_\ell < \xi_k \leftrightarrow \xi_\ell < \zeta_k]$  &  $\ell \neq k$
- ( $\gamma$ )  $\zeta_\ell = \xi_k$  (so  $\ell = k$ )

(d)  $\theta = \text{cf}(\theta) = \sup(\text{Rang}(\mathbf{c}))$

(e) in clause (c) we can demand  $i \geq i(*)$  for any pregiven  $i(*) < \theta$ .

Then for any  $\kappa \in [\theta, \mu)$  we can find Boolean Algebras  $A, B_1, B_2$  such that:

( $\alpha$ )  $|A| = \kappa$ ,  $A$  depend just on  $\theta$  and  $\kappa$

( $\beta$ )  $|B_1| = |B_2| = \lambda$

( $\gamma$ )  $\text{Depth}^+(B_1 \oplus_A B_2) = \lambda^+$  so  $A \subseteq B_1, A \subseteq B_2$

( $\delta$ )  $\text{Depth}^+(B_1) \leq \mu$  and  $\text{Depth}^+(B_2) \leq \mu$

( $\delta$ )<sup>+</sup> moreover,  $\text{Length}^+(B_i) \leq \mu$  for  $i = 1, 2$ .

*1.6 Remark.* 1) Let  $\boxtimes_{\lambda, \mu, \theta}^-$  just means (a), (b), (c), i.e. omitting clause (d) but weakening (e) to (e)<sup>-</sup>, which means  $i(*) \in \text{Rang}(\mathbf{c})$ . So by 1.9(3) below  $\boxtimes_{\lambda, \mu, \theta}^- \Rightarrow \bigvee_{\sigma \leq \theta} \boxtimes_{\lambda, \mu, \sigma}$  and there are obvious monotonicity properties.

2) Let (a)<sup>-</sup> mean  $\theta < \mu \leq \lambda$ . Note that if in the hypothesis of 1.7 below we omit “ $\theta < \text{cf}(\mu)$ ”, and in the conclusion replace (a) by (a)<sup>-</sup> the proof still works.

3) Let  $\boxtimes_{\lambda, \mu, \theta}^+$  means that in the definition of  $\boxtimes_{\lambda, \mu, \theta}$  in clause (c), when  $\mathbf{c}\{\zeta_\ell, \xi_k\} \geq j$  &  $\mathbf{c}\{\zeta_k, \xi_\ell\} \geq j$  we add  $\mathbf{c}\{\zeta_\ell, \xi_k\} = \mathbf{c}\{\zeta_k, \xi_\ell\}$ .

In 1.7 below we can get those versions by working a little more.

4) We could have omitted  $j$  in clause (c) of  $\boxtimes_{\lambda, \mu, \theta}$ , (replacing  $\geq j$  by  $> i$ , so  $j = i + 1$ ), but usually in our proofs we show that  $j$  can be arbitrarily larger than  $i$ , and in (ii) get  $\leq i$  so this formulation makes it clearer.

We quote [Sh:g]

*1.7 Observation.* The demand on  $\theta < \mu \leq \lambda$  is not hard, in fact assuming also  $\theta < \text{cf}(\mu)$  we can find  $\mathbf{c}$  such that clauses (a), (b), (c), (d), (e) of  $\boxtimes_{\lambda, \mu, \theta}$  hold if the cardinals  $\theta, \mu, \lambda$  satisfies at least one of the following statements:

(\*)<sub>1</sub> for some  $\chi \in (\theta, \mu)$  we have  $\bigwedge_{\alpha < \chi} |\alpha|^{< \theta} < \chi$ ,  $\text{cf}(\chi) = \theta$ ,  $\text{pp}_{J_\theta^{\text{bd}}}^+(\chi) > \lambda$

or

(\*)<sub>2</sub>  $\theta > \aleph_0$ ,  $\theta = \text{cf}(\chi)$ ,  $\bigwedge_{\alpha < \chi} |\alpha|^\theta < \chi$ ,  $\chi < \mu \leq \lambda \leq \chi^\theta$

- (\*)<sub>3</sub>  $\lambda = \text{cf}(\lambda) = \mu > \chi > \text{cf}(\chi) = \theta$ ,  $\langle \chi_i : i < \theta \rangle$  is strictly increasing with limit  $\chi$ ,  $i < \theta \Rightarrow \max \text{pcf}\{\chi_j : j < i\} < \chi$  (or just  $< \mu$ ) and  $\prod_{i < \theta} \chi_i / J_\theta^{bd}$  has true cofinality  $= \lambda$
- (\*)<sub>4</sub> if  $\aleph_0 < \text{cf}(\sigma) = \sigma = \text{cf}(\chi) < \chi$ , and  $(\forall \alpha < \chi)(\text{cf}([\alpha]^{\leq \sigma}, \subseteq) < \chi)$  and  $\sigma^{\aleph_0} < \chi$ , then for some club  $C$  of  $\chi$  we have:  
 $\chi_1 \in \{\chi\} \cup C$  &  $\lambda = \text{cf}([\chi_1]^{\leq \sigma}, \subseteq)$  &  $\mu = \chi_1^+$  &  $\theta = \text{cf}(\chi_1) \Rightarrow \boxtimes_{\lambda, \mu, \theta}$
- (\*)<sub>5</sub> like (\*)<sub>3</sub> replacing  $\text{tcf}(\prod_{i < \theta} \chi_i / J_\theta^{bd}) = \lambda$  by: there is a  $<_{J_\theta^{bd}}$ -increasing sequence  $\bar{\eta}_{\lambda'}$  of length  $\lambda'$  in the product for every regular  $\lambda' \in (\chi, \lambda]$  satisfying  $|\{\eta_{\lambda', \alpha} \upharpoonright i : \alpha < \lambda', i < \theta\}| \leq \chi$ .

*Proof.* For (\*)<sub>1</sub> If  $\lambda$  is regular by its definition “ $pp_{J_\theta^{bd}}^+(\chi) > \lambda$ ” means that we can find  $\chi_i$  for  $i < \theta$  such that  $\chi = \sum_{i < \theta} \chi_i$ , with  $\theta < \chi_i = \text{cf}(\chi_i) < \chi$ ,  $\theta = \text{cf}(\chi)$  and  $\prod_{i < \theta} \chi_i / J_\theta^{bd}$  is  $\lambda^+$ -directed; hence in  $\prod_{i < \theta} \chi_i$  we can find a  $<_{J_\theta^{bd}}$ -increasing sequence  $\bar{\eta} = \langle \eta_\alpha : \alpha < \lambda \rangle$ ; now as  $(\forall \alpha < \chi)[|\alpha|^{< \theta} < \chi]$  necessarily  $|\{\eta_\alpha \upharpoonright i : \alpha < \lambda\}| \leq \chi$  (really  $< \mu$  suffice and  $< \chi$  holds). If  $\lambda$  is singular, by combining such examples for regular  $\lambda' < \lambda$  we get a sequence as required. Lastly, for  $\alpha < \beta$  let  $\mathbf{c}\{\alpha, \beta\} = \text{Min}\{i < \theta : (\forall j \in [i, \theta))(\eta_\alpha(i) < \eta_\beta(i))\}$ .

Let us check

Clause (a): By the assumptions on  $\theta, \mu, \lambda$  clearly  $\theta < \chi < \mu \leq \lambda$ ; note that this is the only place we use the assumption  $\theta < \text{cf}(\mu)$ .

Clause (b): Clearly  $\mathbf{c}$  is a function from  $[\lambda]^2$  to  $\theta$ . Now suppose  $\alpha < \beta < \gamma < \lambda$  and  $i = \mathbf{c}\{\alpha, \beta\}$  and  $j = \mathbf{c}\{\beta, \gamma\}$  hence:  $\max\{i, j\} \leq \zeta < \theta \Rightarrow \eta_\alpha(\zeta) < \eta_\beta(\zeta) < \eta_\gamma(\zeta)$  hence  $\mathbf{c}\{\alpha, \gamma\} \leq \max\{i, j\}$  as required.

Clause (c), (e): So suppose  $n < \omega$  and  $w_\alpha \in [\lambda]^n$  for  $\alpha < \mu$ . Let  $w_\alpha = \{\zeta_{\alpha, \ell} : \ell < n_\alpha\}$  with  $\zeta_{\alpha, \ell} < \zeta_{\alpha, \ell+1}$  and  $i(*) < \theta$ .

Also without loss of generality for some  $v \subseteq n$ : (just shrink the set for each  $\ell < n$ )

- ⊗<sub>1</sub> if  $\ell \in v$  then  $\langle \zeta_{\alpha, \ell} : \alpha < \chi^+ \rangle$  is strictly increasing with limit  $\zeta_\ell^*$
- ⊗<sub>2</sub> if  $\ell < n, \ell \notin v$  then  $\langle \zeta_{\alpha, \ell} : \alpha < \chi^+ \rangle$  is constantly  $\zeta_\ell^*$ .

We can also demand

- ⊗<sub>3</sub> if  $\ell \in v, m < n$ , then the truth value of  $\zeta_{\alpha,\ell} > \zeta_m^*, \zeta_{\alpha,\ell} < \zeta_m^*$  does not depend on  $\alpha < \chi^+$
- ⊗<sub>4</sub> if  $\ell \neq m$  are from  $v$  and  $\zeta_\ell^* = \zeta_m^*$  then  $\alpha < \beta < \chi^+ \Rightarrow \zeta_{\alpha,\ell} < \zeta_{\beta,m}$ .

For each  $\alpha < \chi^+$  let  $i_\alpha = \text{Max}[\{\mathbf{c}\{\zeta, \xi\} : \zeta \neq \xi \in w_\alpha \cup \{\zeta_\ell^* : \ell < n\} \setminus \{\lambda\}\} \cup \{i(*)\}]$ , now clearly  $i_\alpha < \theta$  so as  $\text{cf}(\chi^+) > \theta$  and  $\chi^+ \leq \mu$  (by clause (a)<sup>-</sup> or more exactly by our assumptions) without loss of generality  $i_\alpha = i^*$  for  $\alpha < \chi^+$ . As  $\langle \eta_{\zeta_{\alpha,\ell}}(i^* + 1) : \ell < n \rangle$  have only  $\leq (\chi_{i^*+1})^n < \chi$  possible values, without loss of generality  $\alpha < \chi^+ \Rightarrow \eta_{\zeta_{\alpha,\ell}}(i^* + 1) = \gamma_\ell$ , moreover  $\alpha < \chi^+ \Rightarrow \eta_{\zeta_{\alpha,\ell}} \upharpoonright (i^* + 2) = \nu_\ell$  because  $|\{\eta_\alpha \upharpoonright (i^* + 2) : \alpha < \lambda\}| \leq \chi$ .

Also without loss of generality

- ⊗<sub>5</sub> for every  $\alpha < \chi^+$  and finite  $u \subseteq \theta$ , for  $\chi^+$  ordinals  $\beta < \chi^+$  we have  $\bigwedge_{i \in u} \bigwedge_{\ell < n} \eta_{\zeta_{\beta,\ell}}(i) = \eta_{\zeta_{\alpha,\ell}}(i)$ .

Note that

⊠<sub>1</sub> Assume:

- (a)  $\alpha, \beta < \chi^+$
- (b)  $\ell, m < n$
- (c)  $\zeta_{\alpha,\ell} \neq \zeta_{\beta,m}$
- (d)  $\zeta_{\alpha,\ell} < \zeta_{\beta,m}$  iff  $\zeta_{\beta,\ell} < \zeta_{\alpha,m}$ ; this holds if  $\zeta_\ell^* \neq \zeta_m^*$
- (e)  $\mathbf{c}\{\zeta_{\alpha,\ell}, \zeta_{\beta,m}\} \leq i^*$
- (f)  $\mathbf{c}\{\zeta_{\alpha,m}, \zeta_{\beta,\ell}\} \leq i^*$ .

Then  $\mathbf{c}\{\zeta_{\alpha,\ell}, \zeta_{\beta,m}\} = \mathbf{c}\{\zeta_{\alpha,m}, \zeta_{\beta,\ell}\}$ .

Let  $\alpha_1 < \alpha_2 < \chi^+$ , so we can find  $j^* \in (i^* + 2, \theta)$  such that  $\{\ell, m\} \subseteq v$  &  $\zeta_\ell^* = \zeta_m^* \Rightarrow \eta_{\zeta_{\alpha_1,\ell}}(j^*) < \eta_{\zeta_{\alpha_2,m}}(j^*)$ ; in fact any large enough  $j^* < \theta$  will do. Choose  $\alpha_3 \in (\alpha_2, \chi^+)$  such that  $\bigwedge_{\ell} \eta_{\zeta_{\alpha_3,\ell}}(j^*) = \eta_{\zeta_{\alpha_1,\ell}}(j^*)$ . Now let  $\alpha =: \alpha_2, \beta =: \alpha_3, i = i^*, j = j^*$ , they are as required.

Why?

- ⊗<sub>6</sub> assume  $\ell \neq m$  are  $< n$  and  $\zeta_\ell^* \neq \zeta_m^*$  then we have:  $\mathbf{c}\{\zeta_{\alpha,\ell}, \zeta_{\beta,m}\} = \mathbf{c}\{\zeta_{\alpha,m}, \zeta_{\beta,\ell}\}$  is determined by  $\nu_\ell, \nu_m$  and is  $\leq i^* = i$ . Also  $[\zeta_{\alpha,\ell} < \zeta_{\beta,m} \leftrightarrow \zeta_{\beta,\ell} < \zeta_{\alpha,m}]$ .  
[Why? E.g. if  $\zeta_\ell^* < \zeta_m^*$  then for  $\gamma \in [i^*, \theta)$  we have  $\eta_{\zeta_{\alpha,\ell}}(\gamma) \leq \eta_{\zeta_\ell^*}(\gamma) <$



$\eta_{\zeta_{\beta,m}}(i)$ , so  $\mathbf{c}\{\zeta_{\alpha,\ell}, \zeta_{\beta,m}\} \leq i^*$ , noticing  $i_\alpha = i_\beta \leq i^*$ , (and the choice of  $i_\alpha$  and the choice of  $\nu_\ell, \nu_m$  above). Similarly  $\mathbf{c}\{\zeta_{\alpha,m}, \zeta_{\beta,\ell}\} \leq i^*$ . Now use  $\boxtimes_1$ .]

- $\otimes_7$  if  $\zeta_{\alpha,\ell} \neq \zeta_{\beta,\ell}$  (i.e.  $\ell \in v$ ) then  $\mathbf{c}\{\zeta_{\alpha,\ell}, \zeta_{\beta,\ell}\} > j^*$   
[why? by the choice of  $j^*$  we have  $\eta_{\beta,\ell}(j^*) = \eta_{\alpha_3,\ell}(j^*) = \eta_{\alpha_1,\ell}(j^*) < \eta_{\alpha_2,\ell}(j^*) = \eta_{\alpha,\ell}(j^*)$  and by the choice of  $\beta$  we have  $\alpha < \beta$  hence  $\zeta_{\alpha,\ell} < \zeta_{\beta,\ell}$ , now use the definition of  $\mathbf{c}$ .]
- $\otimes_8$  if  $\ell \neq m < n, \zeta_\ell^* = \zeta_n^*$  and  $\{\ell, m\} \subseteq v$  then  $\mathbf{c}\{\zeta_{\alpha,\ell}, \zeta_{\beta,m}\} > j^* = j$   
[why? clearly  $\zeta_{\alpha,\ell} < \zeta_{\beta,m}$  as  $\alpha < \beta$  (see  $\otimes_4$ ) and  
 $\eta_{\zeta_{\beta,m}}(j^*) = \eta_{\zeta_{\alpha_3,m}}(j^*) = \eta_{\zeta_{\alpha_1,m}}(j^*) < \eta_{\zeta_{\alpha_2,\ell}}(j^*) = \eta_{\zeta_{\alpha,\ell}}(j^*)$ .]
- $\otimes_9$  if  $\ell \neq m < n, \zeta_\ell^* = \zeta_m^*$  and  $\{\ell, m\} \not\subseteq v$ , then  $\mathbf{c}\{\zeta_{\alpha,\ell}, \zeta_{\beta,m}\} \leq i^*$ . Also  
[ $\zeta_{\alpha,\ell} < \zeta_{\beta,m} \leftrightarrow \zeta_{\beta,\ell} < \zeta_{\alpha,m}$ ].  
[why? clearly  $\{\ell, m\} \cap v = \emptyset$  is impossible (as  $\zeta_{\alpha,\ell} \neq \zeta_{\alpha,m}$  for  $\alpha < \chi^+, \ell \neq m$ ), and so  $|\{\ell, m\} \cap v| = 1$ ; now the proof is similar to that of  $\otimes_6$ .]

Together we are done.

For  $(*)_2$ :

By [Sh:g, Ch.II,5.4(2),Ch.VIII,§1] the assumptions in  $(*)_1$  holds.

For  $(*)_3$ :

By [Sh:g, Ch.II,3.5] we can choose  $\bar{\eta} = \langle \eta_\alpha : \alpha < \lambda \rangle$  satisfying  $\eta_\alpha \in {}^\theta \chi$  such that  $\bar{\eta}$  is  $< J_\theta^{bd}$ -increasing, and  $|\{\eta_\alpha \upharpoonright i : \alpha < \lambda\}| \leq \chi$  for  $i < \theta$ . Now continue as in the proof of  $(*)_1$ .

For  $(*)_4$ :

See [Sh:E12, part(C),17.3].

For  $(*)_5$ :

Straightforward; but note that  $\lambda$  is regular (for  $\lambda$  singular we only get  $|\{\eta_\alpha \upharpoonright i : \alpha < \lambda, i < \theta\}| \leq \chi + \text{cf}(\lambda)$  which should be  $< \mu$ ).

*Proof of 1.5.*

Stage A: Let  $A$  be the Boolean Algebra generated by  $\{a_i^t : i < \kappa \text{ and } t \in \{1, 2\}\}$  freely except the equations:

$$(*)_1 \quad a_i^t \leq a_j^t \text{ for } i \leq j < \theta \text{ and } t \in \{1, 2\}$$

$$(*)_2 \quad a_i^1 \cap a_j^2 = 0 \text{ for } i, j < \theta.$$

Let  $I^t$  be the ideal of  $A$  generated by  $\{a_i^t : i < \theta\}$  for  $t = 1, 2$ . Let  $I$  be the ideal of  $A$  generated by  $I^1 \cup I^2$  and  $I'$  the ideal generated by  $\{a_i^t : i < \kappa, t \in \{1, 2\}\}$  so (if  $\kappa = \theta$  then  $I = I'$ )  $A/I'$  is the trivial (= two elements) Boolean Algebra.

Clearly

$$a_{i(1)}^{t(1)} = a_{i(2)}^{t(2)} \Leftrightarrow (t(1), i(1)) = (t(2), i(2))$$

$$t \in \{1, 2\} \ \& \ i < j < \theta \Rightarrow A \models a_i^t < a_j^t$$

[Why? Well, you may check it using (\*) of stage  $D$  below; for any  $t, i$  let  $h_{t,i} : \{a_j^1, a_j^2 : j < \kappa\} \rightarrow \{0, 1\}$  be defined by  $h_{t,i}(a_j^s)$  is 1 if  $s = t$  &  $i \leq j$  and  $h_{t,i}(a_j^s)$  is zero otherwise. Interpreting  $\{0, 1\}$  as the trivial Boolean Algebra,  $h_{t,i}$  respect the equations is  $(*)_1 + (*)_2$  hence extend to a homomorphism  $\hat{h}_{t,i}$  from  $A$  into  $\{0, 1\}$ . Now assume  $t(1), t(2) \in \{1, 2\}$  and  $i(1), i(2) < \kappa$ ; if  $t(1) \neq t(2) \vee i(1) < i(2)$  then  $\hat{h}_{t(2), i(2)}$  maps  $a_{t(2), i(2)}$  to 1 and  $a_{t(1), i(1)}$  to 0 hence  $A \models a_{i(2)}^{t(1)} \neq a_{i(2)}^{t(2)}$ . Also if  $t \in \{1, 2\}$  and  $i < j < \theta$  then  $a_i^t \leq a_j^t$  by  $(*)_1$  and  $h_{t,j}(a_j^t) = 1, h_{t,j}(a_i^t) = 0$  hence  $A \models a_i^t < a_j^t$ .]

For  $t = 1, 2$  let  $B_t$  be the extension of  $A$  by  $\{x_\alpha^t : \alpha < \lambda\}$  freely except that:

$$(*)_3 \ x_\alpha^t - x_\beta^t \leq a_{\mathfrak{c}\{\alpha, \beta\}}^t \quad \text{for } \alpha < \beta < \lambda$$

$$(*)_4 \ x_\alpha^t \cap a_i^{3-t} = 0 \quad \text{for } \alpha < \lambda, i < \theta.$$

Clearly  $B_t$  extends  $A$ .

[Why? Well use (\*) of stage  $D$ , define a function  $h$  from  $A \cup \{x_\alpha^t : \alpha < \lambda\}$  to  $A$  by  $h(x) = x$  for  $x \in A$  and  $h(x_\alpha^t) = 0$ . Clearly  $h$  respects the equations in the Boolean Algebra  $A$  and the equations is  $(*)_3 + (*)_4$  hence the homomorphism  $\hat{h}$  from  $B$  onto  $\{0, 1\}$  is well defined and it extends  $\text{id}_A$ .]

Let  $B = B_1 \oplus_A B_2$  and let  $x_\alpha = x_\alpha^1 \cap x_\alpha^2 \in B$ . Let  $J^1, J^2, J$  be the ideals of  $B$  which  $I^1, I^2, I$  generates (resp.), so  $J^1 \cup J^2 \subseteq J$ .

Clearly  $|B| \leq \lambda$ .

Stage B:  $\text{Depth}^+(B) = \lambda^+$ .

Clearly  $\text{Depth}^+(B) \leq |B|^+ \leq \lambda^+$ . Hence it suffices to prove that  $\langle x_\alpha : \alpha < \lambda \rangle$  is strictly increasing. So let  $\alpha < \beta$ . First we prove  $x_\alpha \leq x_\beta$ . Let  $D$  be an ultrafilter on  $B$  (so  $D_t = D \cap B_t \in \text{Ult}(B_t)$  and  $D_1 \cap A = D_2 \cap A$ ), and we shall prove  $x_\alpha \in D \Rightarrow x_\beta \in D$ . This suffices for proving  $x_\alpha \leq x_\beta$  (as  $D$  was any ultrafilter).

Case 1:  $D \cap A$  is disjoint to  $I$ .

Now modulo  $J^t$  in  $B^t$ ,  $x_\alpha^t \leq x_\beta^t$  (by  $(*)_3 + "a_i^t \in I"$ ) hence modulo  $J$ ,  $x_\alpha^t \leq x_\beta^t$  hence mod  $J$ ,  $x_\alpha \leq x_\beta$  hence  $x_\alpha \in D \Rightarrow x_\beta \in D$ .

Case 2:  $D \cap A$  is not disjoint to  $I$ .

So  $D \cap I \neq \emptyset$  hence for some  $t \in \{1, 2\}$  and  $i < \theta$ ,  $a_i^t \in D$ , but  $x_\alpha^{3-t}$  is disjoint to  $x_i^t$  by  $(*)_4$ , so  $x_\alpha^{3-t} \notin D$  hence  $x_\alpha = x_\alpha^1 \cap x_\alpha^2 \notin D$  so trivially  $x_\alpha \in D \Rightarrow x_\beta \in D$ .

We still have to prove  $x_\alpha \neq x_\beta$ . Let  $D_\beta^t$  be the ultrafilter on  $B^t$  generated by  $x_\gamma^t$  ( $\gamma \geq \beta$ ),  $-x_\gamma^t$  ( $\gamma < \beta$ ) and the members of  $\{-x : x \in I'\}$  (check that it is okay trivially). Now  $D_\beta^1 \cap A = A \setminus I' = D_\beta^2 \cap A$  (check, trivial). So there is an ultrafilter  $D$  on  $B$  satisfying  $D \cap B_t = D_\beta^t$ . So  $-x_\alpha^t, x_\beta^t \in D_\beta^t$  for  $t = 1, 2$  so  $-x_\alpha, x_\beta \in D$  as required.

Stage C:  $\text{Length}^+(B_t) \leq \mu$ .

Assume not, so we can find  $\langle c_\alpha : \alpha < \mu \rangle$  a chain (so with no repetition). Let  $c_\alpha = \tau_\alpha(a_{i_{\alpha,1}}^{s_{\alpha,1}}, \dots, a_{i_{\alpha,k_\alpha}}^{s_{\alpha,k_\alpha}}, x_{\zeta_{\alpha,1}}^t, \dots, x_{\zeta_{\alpha,n_\alpha}}^t)$  where  $i_{\alpha,1} < \dots < i_{\alpha,k_\alpha} < \kappa$  and  $\zeta_{\alpha,1} < \dots < \zeta_{\alpha,n_\alpha} < \lambda$  and  $s_{\alpha,1}, \dots, s_{\alpha,k_\alpha} \in \{1, 2\}$  and  $\tau_\alpha$  a Boolean term.

As  $\text{cf}(\mu) > \theta \geq \aleph_0$ , without loss of generality:

$(*)_5$   $k_\alpha = k^*, n_\alpha = n^*, \tau_\alpha = \tau^*, s_{\alpha,\ell} = s_\ell$  (and if  $|A| < \text{cf}(\mu)$  then  $i_{\alpha,\ell} = i_\ell$ ).

$(*)_6$  for some  $m^* \leq k^*$  we have:

(i)  $0 < \ell \leq m^* \Rightarrow i_{\alpha,\ell} = i_\ell < \theta$

(ii)  $\ell \in (m^*, k^*] \Rightarrow i_{\alpha,\ell} \geq \theta$

(iii) for  $\alpha < \beta < \mu$ , for some  $v = v_{\alpha,\beta} \subseteq (m^*, k^*]$  we have

(a)  $\ell \in v \Rightarrow i_{\alpha,\ell} = i_{\beta,\ell}$  and

(b)  $\ell \in (m^*, k^*]$  &  $\ell \notin v$  implies that  $i_{\alpha,\ell} \neq i_{\beta,\ell}$   
and both are not in  $\{i_{\alpha,k}, i_{\beta,k} : k \neq \ell\}$ .

[why  $(*)_6$ ? we can assume clauses (i) + (ii) by the pigeon-hole principle. For clause (iii) if  $\mu$  is regular, by the  $\Delta$ -system lemma (so then  $v_{\alpha,\beta} = v$ ) and if singular, apply it twice. More elaborately for singular  $\mu$  as  $\mu > \kappa$ , let  $\mu = \sum_{\gamma < \text{cf}(\mu)} \mu_\gamma$ , where  $\gamma_1 < \gamma_2 \rightarrow |A| < \mu_{\gamma_1} < \mu_{\gamma_2} < \mu$ . For each  $\gamma < \text{cf}(\mu)$

we can find  $X'_\gamma \subseteq [\mu_\gamma, \mu_\gamma^+)$  of cardinality  $\mu_\gamma^+$  such that  $\ell \in [m^*, k^*) \Rightarrow i_{\alpha,\ell} = i_{\gamma,\ell}^*$ . Then apply the  $\Delta$ -system lemma on  $\langle \langle i_{\gamma,\ell}^* : \ell \in [k^*, m^*) \rangle : \gamma < \text{cf}(\mu) \rangle$ , O.K. as  $\text{cf}(\mu)$  is regular.]

Let  $i(*) = \sup\{i_\ell : \ell < m^*\}$  so  $i(*) < \theta$ .

Let  $w_\alpha = \{\zeta_{\alpha,1}, \dots, \zeta_{\alpha,n^*}\}$ . Let  $\alpha \neq \beta < \mu$  and  $i < j < \theta$  be as guaranteed by clause (c) of the assumption (of 1.5) and  $i > i(*)$  (see clause (e)). So  $c_\alpha, c_\beta$  are distinct members of a chain of  $B_t$ .

Now read Stage D below.

So let  $w =: \{\zeta_{\alpha,\ell}, \zeta_{\beta,\ell} : \ell = 1, \dots, n^*\} \in [\lambda]^{<\aleph_0}$ .

As in Stage D,  $B_{t,w}$  is the subalgebra of  $B_t$  generated by  $A \cup \{x_{\beta_\zeta}^t : \zeta \in w\}$  and clearly  $c_\alpha, c_\beta \in B_{t,w}$ , hence also in  $B_{t,w}$ ,  $c_\alpha, c_\beta$  are distinct members of a chain.

By symmetry assume  $B_{t,w} \models "c_\alpha < c_\beta"$ , hence there is a homomorphism  $f$  from  $B_{t,w}$  to (the trivial Boolean algebra)  $\{0, 1\}$  such that  $f(c_\alpha) = 0, f(c_\beta) = 1$  and by Stage D we can extend  $f$  to a homomorphism from  $B_t$  to  $\{0, 1\}$ ; we denote it, too, by  $f$ . Let  $\gamma(f) = \text{Min}\{\gamma \leq \theta : \text{if } \gamma < \theta \text{ then } f(a_\gamma^t) = 1\}$ .

As in the Boolean Algebra  $A \subseteq B^t, \langle a_\gamma^t : \gamma < \theta \rangle$  is increasing, clearly

$$(*)_7 \text{ for } \xi < \theta, f(a_\xi^t) = 1 \Leftrightarrow \xi \geq \gamma(f)$$

$$(*)_8 \text{ for } \xi < \theta, f(a_\xi^{3-t}) = 0.$$

[Why does  $(*)_8$  hold? Assume that not, so, by  $(*)_3$ , we have  $f(x_\gamma^t) = 0$  for  $\gamma < \lambda$ . By  $(*)_6(iii)(b)$ , if  $k, \ell \in (m^*, k^*) \setminus v$  then  $i_{\alpha,\ell} \neq i_{\beta,k}$ . Hence by the definition of  $A$  and  $(*)$  of Stage D there is an endomorphism  $g$  of  $A$  such that

$$g(a_\varepsilon^s) = a_{i_{\beta,\ell}}^s \text{ if } s_\ell = s \text{ and } \varepsilon = i_{\alpha,\ell} \text{ for some } \ell \in (m^*, k^*) \setminus v,$$

$$g(a_\varepsilon^s) = a_{i_{\alpha,\ell}}^s \text{ if } s_\ell = s \text{ and } \varepsilon = i_{\beta,\ell} \text{ for some } \ell \in (m^*, k^*) \setminus v,$$

$$g(a_\varepsilon^s) = a_\varepsilon^s \text{ otherwise.}$$

So  $s \in \{1, 2\}$  &  $\varepsilon < \theta \Rightarrow g(a_\varepsilon^s) = a_\varepsilon^s$  and  $g$  permutes the  $\{a_\varepsilon^s : \varepsilon < \kappa, s \in \{1, 2\}\}$ .

By the form of  $(*)_3 + (*)_4$  and the fact that  $\mathbf{c}\{\alpha, \beta\} < \theta$ , there is a homomorphism  $g'$  from  $B_t$  into  $B_t$  extending  $g$  and taking each  $x_\gamma^t$  to  $x_\gamma^t$  for  $\gamma < \lambda$ . Clearly then  $g'(a_{i_{\alpha,\ell}}^{s_\ell}) = a_{i_{\beta,\ell}}^{s_\ell}$  for all  $\ell = 1, \dots, k^*$ . Similarly,  $g'(a_{i_{\beta,\ell}}^{s_\ell}) = a_{i_{\alpha,\ell}}^{s_\ell}$  for all  $\ell = 1, \dots, k^*$ . Hence

$$f(g'(c_\alpha)) = \tau(f(a_{i_{\beta,1}}^{s_1}), \dots, f(a_{i_{\beta,k^*}}^{s_{k^*}}), 0, 0, \dots, 0) = f(c_\beta) = 1,$$

and similarly  $f(g'(c_\beta)) = 0$ , contradicting  $c_\alpha < c_\beta$ . So  $(*)_8$  holds.]

Now

(\*)<sub>9</sub> the function  $g : \{a_\varepsilon^s : \varepsilon < \kappa, s \in \{1, 2\}\} \rightarrow \{0, 1\}$  induce a homomorphism  $\hat{g}$  from  $A$  to  $\{0, 1\}$  where  $g$  is defined by (recall  $i, j$  were chosen together with  $\alpha, \beta$ ):

- (i)  $g(a_\xi^t) = f(a_\xi^t)$  for  $\xi < j$
- (ii)  $g(a_\xi^t) = 1$  if  $\xi \geq j, \xi < \theta$
- (iii)  $g(a_\xi^{3-t}) = f(a_\xi^{3-t}) = 0$  for  $\xi < \theta$
- (iv)  $g(a_{i_{\alpha,\ell}}^{s_\ell}) = f(a_{i_{\beta,\ell}}^{s_\ell}), g(a_{i_{\beta,\ell}}^{s_\ell}) = f(a_{i_{\alpha,\ell}}^{s_\ell})$  for  $\ell = 1, \dots, k^*$
- (v)  $g(a_\varepsilon^s) = f(a_\varepsilon^s)$  if  $a_\varepsilon^s \notin \{a_{i_{\alpha,\ell}}^{s_\ell}, a_{i_{\beta,\ell}}^{s_\ell} : \ell = 1, \dots, k^*\}$  and  $\varepsilon \in [\theta, \kappa)$

[why? now  $g$  is well defined as, e.g. for contradiction concerns (iv), two instances do not contradict by (\*)<sub>6</sub>(iii) and they do not contradict others by (\*)<sub>6</sub>(i) + (ii). By (\*) of Stage D below we should check the equations appearing in the definition of  $A$ . For those in (\*)<sub>1</sub>, i.e.  $a_\varepsilon^s \leq a_\xi^s$  for  $\varepsilon < \xi < \theta$ , if  $s = 3 - t$  this is trivial by clause (iii), if  $s = t, \theta > \xi \geq j$ , this is trivial by clause (ii) and if  $s = t, \xi < j$ , then  $g(a_\varepsilon^s) = f(a_\varepsilon^s) \leq f(a_\xi^s) = g(a_\xi^s)$ .

As for the equations in (\*)<sub>2</sub> that is  $a_\varepsilon^1 \cap a_\xi^2 = 0$  for  $\varepsilon, \xi < \theta$  they are preserved trivially by (\*)<sub>7</sub> and clause (iii).]

Define a function  $h$  from  $A \cup \{x_{\zeta_{\alpha,\ell}}^t, x_{\zeta_{\beta,\ell}}^t : \ell = 1, \dots, n^*\}$  to  $\{0, 1\}$  as follows:  $h \upharpoonright A$  is the homomorphism  $\hat{g}$  to  $\{0, 1\}$  and define  $h(x_{\zeta_{\alpha,\ell}}^t) = f(x_{\zeta_{\beta,\ell}}^t)$  and  $h(x_{\zeta_{\beta,\ell}}^t) = f(x_{\zeta_{\alpha,\ell}}^t)$ . Now

- (\*)<sub>10</sub>  $h$  induces a homomorphism  $\hat{h}$  from  $B_{t,w}$  to  $\{0, 1\}$   
 [why? by clause (c)(i) of  $\boxtimes_{\lambda,\mu,\theta}$ , i.e. the choice of  $\alpha, \beta$  the function  $h$  is well defined; we use (\*) of Stage D; now
  - (a) the equations in  $A$  are respected.  
 By the choice of  $h \upharpoonright A$  by  $\hat{g}$  being a homomorphism from  $A$  to  $\{0, 1\}$
  - (b) the equations  $x_{\zeta_{\gamma,\ell}}^t \cap a_i^{3-t} = 0$  for  $\gamma \in \{\alpha, \beta\}, i < \theta$ .  
 This is respected as  $h(a_i^{3-t}) = g(a_i^{3-t}) = 0$ .
  - (c) for  $\gamma \in \{\alpha, \beta\}$  the equation  $x_{\zeta_{\gamma,\ell}}^t - x_{\zeta_{\gamma,m}}^t \leq a_{\mathbf{c}\{\zeta_{\gamma,\ell}, \zeta_{\gamma,m}\}}^t$  for  $\ell < m$ .  
 Let  $\delta$  be such that  $\{\gamma, \delta\} = \{\alpha, \beta\}$  and remember that  $i \geq \mathbf{c}\{\zeta_{\gamma,\ell}, \zeta_{\gamma,m}\} = \mathbf{c}\{\zeta_{\delta,\ell}, \zeta_{\delta,m}\}$  (by the choice of  $\alpha, \beta, i, j$  see  $\boxtimes_{\lambda,\mu,\theta}(c)(ii)$  of 1.5) so  $h(a_{\mathbf{c}\{\zeta_{\gamma,\ell}, \zeta_{\gamma,m}\}}^t) = f(a_{\mathbf{c}\{\zeta_{\delta,\ell}, \zeta_{\delta,m}\}}^t) = f(a_{\mathbf{c}\{\zeta_{\gamma,\ell}, \zeta_{\gamma,m}\}}^t)$  by the choice of  $h \upharpoonright A$  and of  $g$  i.e. (\*)<sub>9</sub>(i), hence

$$\begin{aligned} h(x_{\zeta_{\gamma,\ell}}^t) - h(x_{\zeta_{\gamma,m}}^t) &= f(x_{\zeta_{\delta,\ell}}^t) - f(x_{\zeta_{\delta,m}}^t) \\ &= f(x_{\zeta_{\delta,\ell}}^t - x_{\zeta_{\delta,m}}^t) \leq f(a_{\mathbf{c}\{\zeta_{\delta,\ell}, \zeta_{\delta,m}\}}^t) \\ &= h(a_{\mathbf{c}\{\zeta_{\gamma,\ell}, \zeta_{\gamma,m}\}}^t) \end{aligned}$$

as required.

(d) If  $\ell, m \in \{1, \dots, m^*\}$  and  $\gamma \neq \delta$  are from  $\{\alpha, \beta\}$  and  $\zeta_{\gamma,\ell} < \zeta_{\delta,m}$  the equation  $x_{\zeta_{\gamma,\ell}}^t - x_{\zeta_{\delta,m}}^t \leq a_{\mathbf{c}\{\zeta_{\gamma,\ell}, \zeta_{\delta,m}\}}^t$ .

Now we have to look at clause (c) of  $\boxtimes_{\lambda,\mu,\theta}$  of 1.5, by it there are two possibilities

possibility 1:  $\mathbf{c}\{\zeta_{\gamma,\ell}, \zeta_{\delta,m}\} \geq j$  (but necessarily  $< \theta$ ), then  $g(a_{\mathbf{c}\{\zeta_{\gamma,\ell}, \zeta_{\delta,m}\}}^t) = 1$  by clause (ii) of  $(*)_9$  so the equation is respected.

possibility 2:  $\mathbf{c}\{\zeta_{\gamma,\ell}, \zeta_{\delta,m}\} = \mathbf{c}\{\zeta_{\gamma,\ell}, \zeta_{\gamma,m}\} < j$  hence  $[\zeta_{\gamma,\ell} < \zeta_{\delta,m} \Leftrightarrow \zeta_{\delta,\ell} < \zeta_{\gamma,m}]$  (read (c) of  $\boxtimes_{\lambda,\mu,\theta}$  so both holds).

$$\begin{aligned} \text{So } h(x_{\zeta_{\gamma,\ell}}^t) - h(x_{\zeta_{\delta,m}}^t) &= f(x_{\zeta_{\delta,\ell}}^t) - f(x_{\zeta_{\gamma,m}}^t) = f(x_{\zeta_{\delta,\ell}}^t - x_{\zeta_{\gamma,m}}^t) \\ &\leq f(a_{\mathbf{c}\{\zeta_{\delta,\ell}, \zeta_{\gamma,m}\}}^t) = f(a_{\mathbf{c}\{\zeta_{\gamma,\ell}, \zeta_{\delta,m}\}}^t) = g(a_{\mathbf{c}\{\zeta_{\gamma,\ell}, \zeta_{\delta,m}\}}^t) = \\ &h(a_{\mathbf{c}\{\zeta_{\gamma,\ell}, \zeta_{\delta,m}\}}^t). \end{aligned}$$

So we have proved  $(*)_{10}$ .

Now we have two homomorphisms  $f, \hat{h}$  from  $B_{t,w}$  to  $\{0, 1\}$  and they satisfy:

$$(*)_{11}(a) \quad f(a_{i_{\alpha,\ell}}^{s_\ell}) = \hat{h}(a_{i_{\beta,\ell}}^{s_\ell})$$

$$(b) \quad f(a_{i_{\beta,\ell}}^{s_\ell}) = \hat{h}(a_{i_{\alpha,\ell}}^{s_\ell})$$

$$(c) \quad f(x_{\zeta_{\alpha,\ell}}^t) = \hat{h}(x_{\zeta_{\beta,\ell}}^t)$$

$$(d) \quad f(x_{\zeta_{\beta,\ell}}^t) = \hat{h}(x_{\zeta_{\alpha,\ell}}^t)$$

[why? for (a) + (b) note that  $\hat{h} \upharpoonright A = g \upharpoonright A$  and  $(*)_9(iv)$  and for (c) + (d) just see the choice of  $h$ .]

So clearly  $f(c_\alpha) = \hat{h}(c_\beta)$ ,  $f(c_\beta) = \hat{h}(c_\alpha)$ , but  $f(c_\alpha) = 0 < f(c_\beta) = 1$  so  $\hat{h}(c_\beta) = 0 < \hat{h}(c_\alpha) = 1$  whereas we assume  $B_{w,t} \models c_\alpha < c_\beta$ , contradiction.

So we have finished the proof of Stage C, hence of 1.5 except a debt: Stage D.

Stage D: First recall

- (\*) if a Boolean algebra  $B$  is defined by: generated by  $\{x_i : i < i^*\}$  freely except the set of equations  $\Gamma$ , and  $B'$  is another Boolean Algebra and the function  $h : \{x_i : i < i^*\} \rightarrow B'$  respect the equations in  $\Gamma$  (i.e. if  $\tau'(x_{i_1}, \dots) = \tau''(x_{j_1}, \dots) \in \Gamma$  then  $B' \models \tau'(h(x_{i_1}), \dots) = \tau''(h(x_{j_1}), \dots)$ ) then  $h$  can be extended to a homomorphism from  $B$  to  $B'$  (and we call it  $\hat{h}$ ); similarly for “extensions of a Boolean Algebra  $A$ ”.

For  $w \subseteq \lambda$  let  $B_{t,w}$  be defined just like  $B_t$  restricting ourselves to  $\alpha \in w$  (so also in the set of equations we consider only the equations such that:  $x_\alpha$  appears in the equation  $\Rightarrow \alpha \in w$ ).

A priori it is not guaranteed that  $w \subseteq u \subseteq \lambda \Rightarrow B_{t,w} \subseteq B_{t,u}$ . Note  $B_t = B_{t,\lambda}$ .

*Fact.* For  $w \subseteq u \subseteq \lambda$ ,  $B_{t,w} \subseteq B_{t,u}$  and  $B_{t,u}$  is the direct limit of  $\{B_{t,w} : w \subseteq u \text{ finite}\}$ .

*Proof.* It is enough to prove this for finite  $u$ , so we can ignore the second phrase as it follows. The first phrase we prove by induction on  $|u \setminus w|$ , so without loss of generality  $|u \setminus w| = 1$ , let  $\zeta \in u \setminus w$ .

We define a function  $h : A \cup \{x_i^t : i \in u\} \rightarrow B_{t,w}$ , by

$$h \upharpoonright A = \text{identity}$$

$$h(x_i^t) = x_i^t \text{ if } i \in w$$

$$h(x_\zeta^t) = \cup \{x_\xi^t - a_{\mathbf{c}\{\zeta, \xi\}}^t : \xi \in w \cap \zeta\}.$$

Now  $h$  is as in (\*) (see beginning of stage D, checked below) so there is a homomorphism from  $B_{t,u}$  to  $B_{t,w}$  which obviously extends the identity so we are done. Why really is  $h$  as required in (\*)? We have to check the “new” equations, i.e. the ones appearing in the definition of  $B_{t,u}$  and not in the definition of  $B_{t,w}$  (which are satisfied as  $h \upharpoonright B_{t,w}$  is the identity):

- (i)  $x_\zeta^t \cap a_j^{3-t} = 0$   
 [why? obvious by the choice of  $h(x_\zeta^t)$  as  $h(x_\zeta^t) \cap h(a_j^{3-t}) = h(x_\zeta^t) \cap a_j^{3-t} \leq$   
 $(\bigcup_{\xi \in w \cap \zeta} h(x_\xi^t)) \cap a_j^{3-t} = \bigcup_{\xi \in w \cap \zeta} (h(x_\xi^t) \cap a_j^{3-t}) = \bigcup_{\xi \in w \cap \zeta} 0 = 0$  so (i) holds]

(ii) if  $\varepsilon < \zeta, \varepsilon \in w$ , then the equation  $x_\varepsilon^t - x_\zeta^t \leq a_{\mathbf{c}\{\varepsilon, \zeta\}}^t$   
 [why? by the choice of  $h(x_\zeta^t)$  clearly  $h(x_\varepsilon^t) - h(x_\zeta^t) =$   
 $x_\varepsilon^t - h(x_\zeta^t) \leq x_\varepsilon^t - (x_\varepsilon^t - a_{\mathbf{c}\{\zeta, \varepsilon\}}^t) \leq a_{\mathbf{c}\{\varepsilon, \zeta\}}^t = h(a_{\mathbf{c}\{\varepsilon, \zeta\}}^t)$  so (ii) holds]

(iii) if  $\varepsilon > \zeta, \varepsilon \in w$ , then the equation  $x_\zeta^t - x_\varepsilon^t \leq a_{\mathbf{c}\{\varepsilon, \zeta\}}^t$   
 [why? the meaning of the demand is that we should check

$$h(x_\zeta^t) - h(x_\varepsilon^t) \leq h(a_{\mathbf{c}\{\varepsilon, \zeta\}}^t)$$

that is

$$h(x_\zeta^t) - x_\varepsilon^t \leq a_{\mathbf{c}\{\varepsilon, \zeta\}}^t$$

that is

$$\xi \in w \cap \zeta \Rightarrow (x_\xi^t - a_{\mathbf{c}\{\zeta, \xi\}}^t) - x_\varepsilon^t \leq a_{\mathbf{c}\{\varepsilon, \zeta\}}^t$$

for this it suffices to show

$$\xi \in w \cap \zeta \Rightarrow B_{t,w} \models x_\xi^t - x_\varepsilon^t \leq a_{\mathbf{c}\{\xi, \zeta\}}^t \cup a_{\mathbf{c}\{\zeta, \varepsilon\}}^t$$

but we know

$$\xi' < \zeta' \ \& \ \xi' \in w \ \& \ \zeta' \in w \Rightarrow B_{t,w} \models x_{\xi'}^t - x_{\zeta'}^t \leq a_{\mathbf{c}\{\xi', \zeta'\}}^t$$

and apply this to  $(\xi', \zeta') = (\xi, \varepsilon)$ ; so  $B_{t,w} \models x_\xi^t - x_\varepsilon^t \leq a_{\mathbf{c}\{\xi, \varepsilon\}}^t$ . Now as  $\langle a_i^t : i < \theta \rangle$  is increasing and as by clause (b) of  $\boxtimes_{\lambda, \mu, \theta}$  we have

$$\mathbf{c}\{\xi, \varepsilon\} \leq \text{Max}\{\mathbf{c}\{\xi, \zeta\}, \mathbf{c}\{\zeta, \varepsilon\}\}$$

we get  $B_{t,w} \models a_{\mathbf{c}\{\xi, \zeta\}}^t \cup a_{\mathbf{c}\{\zeta, \varepsilon\}}^t \leq a_{\mathbf{c}\{\xi, \varepsilon\}}^t$ , so together we are done.] □<sub>1.5</sub>

**1.8 Observation.** 1) *Assume*

(\*)  $\lambda = \mu$  is weakly compact  $> \kappa$ .

If  $A$  is a Boolean Algebra,  $A \subseteq B_1, A \subseteq B_2, B = B_1 \oplus_A B_2, |A| \leq \kappa$  and:

$\text{Depth}^+(B) > \lambda$  or just  $\text{Length}^+(B) > \lambda$ , then  $\bigvee_{t=1}^2 \text{Depth}^+(B_t) > \mu$ .



- 2) Similarly if  $\lambda \rightarrow (\mu)_\kappa^2$  and  $cf(\lambda) > 2^\kappa$ .  
 3) We can above replace  $\lambda = cf(\lambda) > 2^\kappa$  by  $\lambda > \kappa$ .

*Remark.* Clearly part (2) generalizes part (1).

*Proof.* 1), 2) We can find pairwise distinct  $c_\alpha \in B$  (non-zero) for  $\alpha < \lambda$  such that  $\alpha < \beta < \lambda \Rightarrow c_\alpha, c_\beta$  are comparable in  $B$ .

For each  $\alpha$  we can find  $b_{\alpha,\ell}^t \in B_t$  ( $\ell < n_\alpha, t = 1, 2$ ) such that  $c_\alpha = \bigcup_{\ell=0}^{n_\alpha-1} (b_{\alpha,\ell}^1 \cap b_{\alpha,\ell}^2)$ .

Without loss of generality  $\langle b_{\alpha,\ell}^2 : \ell < n_\alpha \rangle$  are pairwise disjoint (in  $B_2$ ). Without loss of generality  $n_\alpha = n(*)$ .

For each  $\alpha < \lambda$  there is an ultrafilter  $D_\alpha$  of  $B$  such that

$$c_\alpha \in D_\alpha, \bigwedge_{\beta < \lambda} [c_\beta <_B c_\alpha \Rightarrow c_\beta \notin D_\alpha]$$

(remember:  $\{c_\alpha : \alpha < \lambda\}$  is a chain in  $B$ ).

As  $cf(\lambda) = \lambda > 2^\kappa \geq 2^{|A|}$ , without loss of generality  $\bigwedge_{\alpha < \lambda} [D_\alpha \cap A = D^*]$ . Let

$I^* = \{a \in A : 1_A - a \in D^*\}$ , it is a maximal ideal of  $A$ . Let  $I_t$  be the ideal which  $I^*$  generates in  $B_t$  and  $I$  be the ideal which  $I^*$  generates in  $B$ . So easily  $\langle c_\alpha/I : \alpha < \lambda \rangle$  is a chain with no repetition. Now easily  $B/I = (B_1/I_1) \oplus (B_2/I_2)$ ; as in  $B/I$  there is a chain of cardinality  $\lambda$ , by Monk McKenzie [McMo82] this holds in  $B_1/I_1$  or in  $B_2/I_2$ , so without loss of generality in  $B_1/I_1$  say it is  $\langle b_\alpha : \alpha < \lambda \rangle$ . Now for some  $a_{\{\alpha,\beta\}} \in I^*$ , if  $b_\alpha/I_1 < b_\beta/I_1$  then

$$B_1 \models b_\alpha - a_{\{\alpha,\beta\}} < b_\beta - a_{\{\alpha,\beta\}}.$$

So it is enough to find  $X \in [\lambda]^\mu$  and  $a \in A$  and truth value  $\mathbf{t}$  such that  $a_{\{\alpha,\beta\}} = a$  &  $[B \models c_\alpha < c_\beta \Leftrightarrow \mathbf{t} = \text{truth}]$  for  $\alpha < \beta \in X$  which we can. So  $\langle b_\alpha - a : \alpha \in X \rangle$  or  $\langle 1 - (b_\alpha - a) : \alpha \in X \rangle$  is a strictly increasing sequence of order type  $\lambda$ . (Of course, for the version with depth not length,  $\mathbf{t}$  is redundant). In more details for each  $\alpha < \beta$  choose  $a = a_{\alpha,\beta} \in A \setminus \{0\}$  such that  $b_\alpha \cap a, b_\beta \cap a$  are distinct but comparable and  $\mathbf{t}_{\alpha,\beta} \in \{0, 1\}$  be such that  $(b_\alpha < b_\beta) \equiv (\mathbf{t}_{\alpha,\beta} = 1)$ .

Define a colouring  $\mathbf{c} : [\lambda]^2 \rightarrow A \times 2$  by: if  $\alpha \neq \beta < \lambda$  let  $\mathbf{c}\{\alpha, \beta\} = (a_{\{\alpha,\beta\}}, \mathbf{t}_{\alpha,\beta}) \in A \times 2$ . Now apply the partition property.

3) We start as above. Let  $D_\alpha^0$  be the filter of  $B$  generated by  $\{c_\alpha - c_\beta : \beta < \alpha\}$ , so  $\langle D_\alpha^0 \cap A : \alpha < \lambda \rangle$  is an increasing sequence of subsets of  $A$  hence is eventually

constant so without loss of generality  $\alpha < \lambda \Rightarrow D_\alpha^0 \cap A = D^0$ . Let  $D^*$  be an ultrafilter of  $A$  extending  $D^0$  and let  $D_\alpha$  be an ultrafilter of  $B$  extending  $D_\alpha^0 \cup D^*$ . Now continue as above.  $\square_{1.8}$

*Remark.* If we deal only with 1.8(1), we could use the partition property twice: first for the colouring  $\{\alpha, \beta\} \mapsto \mathbf{c}_{\{\alpha, \beta\}}$  and second for the colouring  $\langle \alpha, \beta \rangle \mapsto \mathbf{t}_{\{\alpha, \beta\}}$ .

**1.9 Claim.** 1) In 1.5 we may replace in clauses (b), (c) of the assumption the usual (well) ordering of the ordinals  $< \lambda$  and of the ordinals  $< \theta$  by linear orders  $<_\lambda^*$ ,  $<_\theta^*$ , but can retain the order on  $\mu$ , this does not make a difference (call this assumption  $\boxtimes'_{\lambda, \mu, \theta}$ ), provided that we weaken clause ( $\gamma$ ) of the conclusion by

$$(\gamma)^- \text{Length}^+(B_1 \oplus_A B_2) = \lambda^+$$

2) In  $\boxtimes_{\lambda, \mu, \theta}$  of 1.5 if we add  $\theta = \sup \text{Rang}(\mathbf{c})$ , then we can omit clause (e) as it follows.

3) If  $\mathbf{c}, \lambda, \mu, \theta$  satisfies (a), (b), (c) of  $\boxtimes_{\lambda, \mu, \theta}$ , then  $\text{Rang}(\mathbf{c})$  has no last element.

4) If  $\boxtimes_{\lambda, \mu, \theta}$  and  $\mu \leq \mu_1 \leq \lambda_1 \leq \lambda$ , then  $\boxtimes_{\lambda_1, \mu_1, \theta}$ .

*Proof.* 1) Same proof as in 1.5.

2) Add to  $w_\alpha$  dummy members to increase  $i$ , possible as  $\text{Rang}(\mathbf{c})$  is unbounded in  $\theta$  (and included in the next proof).

3) Let  $\delta^* = \{\mathbf{c}\{\alpha, \beta\} + 1 : \alpha, \beta < \lambda\}$ , and let  $\sigma = \text{cf}(\delta^*)$ , now  $\delta^* > 0$  as  $\text{Rang}(\mathbf{c}) \neq \emptyset$ , if  $\delta^*$  is a successor ordinal, say  $\alpha^* + 1$  let  $\zeta_0 \neq \zeta_1$  be such that  $\mathbf{c}(\zeta_0, \zeta_1) = \alpha^*$  and let  $\zeta_{\alpha, \ell} = \zeta_\ell$  for  $\ell < 2, \alpha < \mu$ ; applying clause (c) we get a contradiction. So  $\delta^*$  is a limit ordinal, and let  $\langle \gamma_\varepsilon : \varepsilon < \sigma \rangle$  be increasing continuous with limit  $\delta^*$ . Define  $\mathbf{c}' : [\lambda]^2 \rightarrow \sigma$  by  $\mathbf{c}'\{\alpha, \beta\} = \text{Min}\{\varepsilon < \sigma : \mathbf{c}\{\alpha, \beta\} < \gamma_\varepsilon\}$  so  $\mathbf{c}'\{\alpha, \beta\}$  is always a successor ordinal.

Let us prove (c) + (e). So let  $\varepsilon(*) < \sigma$  and let  $w_\alpha = \{\zeta_{\alpha, \ell} : \ell < n\}, \zeta_{\alpha, 0} < \zeta_{\alpha, 1} < \dots < \zeta_{\alpha, n-1} < \lambda$  for  $\alpha < \mu$ . Let  $\varepsilon_\alpha = \max\{\mathbf{c}'\{\zeta_{\alpha, \ell}, \zeta_{\alpha, m}\} : \ell < m < n\}$  and as  $\text{cf}(\mu) > \sigma$  (so  $\text{cf}(\mu) > \theta$  is an overkill) without loss of generality  $\varepsilon_\alpha$  is constant so  $\varepsilon^* = \max\{\varepsilon(*) + 1, \varepsilon_\alpha + 1 : \alpha < \lambda\} < \sigma$ , so as  $\delta^* = \sup(\text{Rang } \mathbf{c})$ , for some  $\alpha^* < \beta^* < \lambda$  we have  $\mathbf{c}\{\alpha^*, \beta^*\} > \gamma_{\varepsilon^*}$ , hence  $\mathbf{c}'\{\alpha^*, \beta^*\} > \varepsilon^*$ . Without loss of generality for all  $\alpha$ 's the truth value of  $\zeta_{\alpha, \ell} < \alpha^*, \zeta_{\alpha, \ell} > \alpha^*, \zeta_{\alpha, \ell} < \beta^*, \zeta_{\alpha, \ell} > \beta^*$  are the same. Now apply the ‘‘old clause (c)’’ to  $w'_\alpha = w_\alpha \cup \{\alpha^*, \beta^*\}$  and we can find  $\alpha \neq \beta$  and  $i, j$  as there. Now  $\alpha, \beta, i' = \text{Min}\{\varepsilon : j < \gamma_\varepsilon\}, j' =: i' + 1$  are as required.

4) Trivial.  $\square_{1.9}$

**1.10 Definition.** 1)  $Qr_2(\lambda, \mu, \theta)$  means:

- (\*) if  $f : [\lambda]^2 \rightarrow \mathcal{P}(\theta) \setminus \{\theta\}$  satisfies  
 $\alpha < \beta < \gamma \Rightarrow \emptyset \neq f\{\alpha, \beta\} \cap f\{\beta, \gamma\} \subseteq f\{\alpha, \gamma\}$ , then for some  $X \in [\lambda]^\mu$  we  
 have  $(\bigcap_{\alpha \neq \beta \in X} f\{\alpha, \beta\}) \neq \emptyset$ ; note that by assumption  $f\{\alpha, \beta\} \neq \emptyset$ .

2)  $NQs_2(\lambda, \mu, A, I)$  where  $\lambda \geq \mu$  are (infinite) cardinals and  $A$  is a Boolean algebra and  $I$  is an ideal of  $A$  (possibly  $I = A$ ) means that there is a function  $f : [\lambda]^2 \rightarrow \mathcal{J}(I) =: \{J \subseteq I : J \text{ is non-empty closed upward and closed under intersection but } 0_A \notin I\}$  such that

- (a) if  $\alpha < \beta < \gamma$ , then  $f\{\alpha, \gamma\} \supseteq f\{\alpha, \beta\} \cap f\{\beta, \gamma\}$   
 (b) for no  $X \in [\lambda]^\mu$  and  $b \in I$  do we have

$$\alpha \neq \beta \in X \Rightarrow b \in f(\{\alpha, \beta\}).$$

We say in this case “ $f$  witnesses  $NQs(\lambda, \mu, A, I)$ ”.

3)  $NQs_2^+(\lambda, \mu, A, I)$  means that some  $f : [\lambda]^2 \rightarrow I$  witnesses it which means that  $f' : [\lambda]^2 \rightarrow \mathcal{J}(I)$  which is defined by  $f'\{\alpha, \beta\} =: \{b \in I : f\{\alpha, \beta\} \leq b\}$  witnesses  $NQs_2(\lambda, \mu, A, I)$ .

4)  $NQs_2^*(\lambda, \mu, A, I)$  means  $NQs_2(\lambda, \mu, A, I)$  is witnessed by some  $f$  which satisfies

- (c) if  $n < \omega$ ,  $\zeta_{\alpha,0} < \dots < \zeta_{\alpha,n-1}$  for  $\alpha < \mu$ , then for some  $\alpha < \beta$  and  $b$  satisfying:  
 $0 < b \in I$  we have:
- (i)  $\zeta_{\alpha,\ell} \leq \zeta_{\beta,\ell}$  and  $\zeta_{\alpha,\ell} = \zeta_{\beta,m} \rightarrow \ell = m$   
 (ii) if  $\ell < m < n$  then  
 $b \in f\{\zeta_{\alpha,\ell}, \zeta_{\alpha,m}\} \cap f\{\zeta_{\beta,\ell}, \zeta_{\beta,m}\}$   
 (iii) if  $\ell, m < n$  then  
 $b \in f\{\zeta_{\alpha,\ell}, \zeta_{\beta,m}\} \cap f(\zeta_{\beta,\ell}, \zeta_{\beta,m})$  or  $b$  does not belong to  
 $f\{\zeta_{\alpha,\ell}, \zeta_{\beta,m}\} \cup f\{\zeta_{\beta,\ell}, \zeta_{\alpha,m}\}$ .

5) In part (1) addition of the letter  $N$  (that is  $NQr_2(\lambda, \mu, \theta)$ ) means the negation; similarly in parts (2), (3) omitting  $N$  means the negation. We can replace  $\mu$  by  $D$ , a filter on  $\lambda$  meaning replacing “there is  $X \in [\lambda]^\mu$  such that ...” by “ $\{otpX : X \subseteq \lambda \text{ is such that } \dots\} \in D$ ”.

6) If we omit  $A$  we mean  $I$  is a Boolean ring,  $A$  the Boolean Algebra it generates. Omitting  $I$  in the  $N$ -version means “for some  $I$ ”. If we omit  $A$  and  $I$  and write  $\theta$  we mean in the  $N$ -version, “for some  $A, I, |A| \leq \theta$ ” (so without  $N$  for every such  $A, I$ ).

Among obvious implications are

- 1.11 Claim.** 1)  $NQr_2(\lambda, \mu, \theta)$  if  $NQs_2(\lambda, \mu, \mathcal{P}(\theta), [\theta]^{<\aleph_0})$ .  
 2)  $NQs_2^+(\lambda, \mu, A, I)$  implies  $NQs_2(\lambda, \mu, A, I)$ ; also  $NQs_2^*(\lambda, \mu, A, I)$  implies  $NQs_2(\lambda, \mu, A, I)$ .  
 3) In  $NQs_2(\lambda, \mu, A, I)$  we can replace  $I$  by  $A \upharpoonright \{a : a \in I \text{ or } 1_A - a \in I\}$ .  
 4) If  $\lambda = \mu$  is weakly compact  $> \theta$  then  $Qr_2(\lambda, \mu, \theta)$ .

*Proof.* Easy, e.g. for (1), if  $f$  exemplifies  $NQs_2(\lambda, \mu, \mathcal{P}(\theta), [\theta]^{<\aleph_0})$  let  $f' : [\lambda]^2 \rightarrow \mathcal{P}(\theta) \setminus \{\theta\}$  be  $f\{\alpha, \beta\} =: \{h(\gamma) : \gamma \in f\{\alpha, \beta\}\}$  where  $h$  is one to one from  $[\theta]^{<\aleph_0}$  to  $\theta$ ; now  $f$  exemplifies  $NQr_2(\lambda, \mu, \theta)$ . □<sub>1.11</sub>

**1.12 Claim.** Assume  $\lambda = cf(\lambda) > 2^\theta$  and  $Qs_2(\lambda, \mu, A)$ .

- 1) If  $A, B_1, B_2$  are Boolean Algebras,  $A \subseteq B_1, A \subseteq B_2, |A| \leq \theta$  and

$Depth^+(B_1 \oplus_A B_2) > \lambda$  then  $\bigvee_{t=1}^2 Depth^+(B_t) > \mu$ .

- 2) Similarly for length, if in Definition 1.10(2) we add to  $f$  a linear order  $<^*$  of  $\lambda$  and use it in clause (a) there.

*Proof.* 1) We start as in the proof of 1.8(1), getting  $I^*, I, I_1, I_2$  and find  $t \in \{1, 2\}$  and  $b_\alpha \in B_t$  such that:

- (\*)<sub>1</sub>  $\langle b_\alpha / I_t : \alpha < \lambda \rangle$  is strictly increasing in  $B_t / I_t$
- (\*)<sub>2</sub>  $I^*$  is an ideal of  $A$  (in fact, a maximal one), and  $I_t$  is the ideal of  $B_t$  which  $I^*$  generates.

Let  $f : [\lambda]^2 \rightarrow \mathcal{I}(I^*)$  be defined as follows: for  $\alpha < \beta < \lambda$  we let  $f\{\alpha, \beta\} = \{d \in I^* : b_\alpha - d \leq b_\beta - d\}$ . So  $f\{\alpha, \beta\}$  is a non-empty subset of  $I^*$ , upward closed and closed under intersection (remember  $\alpha < \beta < \lambda \Rightarrow b_\alpha / I_t < b_\beta / I_t$  and  $(\forall c \in I_t)(\exists d \in I^*)[B_t \models c \leq d]$ ). Now the preliminary demands in Definition 1.10(2) hold; also clause (a) holds as  $d \in f\{\alpha, \beta\} \cap f\{\beta, \gamma\}, \alpha < \beta < \gamma$  implies  $B_t \models b_\alpha - d < b_\beta - d < b_\gamma - d$  so  $B_t \models b_\alpha - d < b_\gamma - d$  hence  $d \in f\{\alpha, \gamma\}$ , thus proving the  $\subseteq$ . Hence by the assumptions of our claim 1.12, clause (b) of Definition 1.10(2) fail, so for some  $X \in [\lambda]^\mu$  and  $b \in I^*$  we have  $A \models 0 < b$  and  $\alpha \neq \beta \in X \Rightarrow b \in f(\{\alpha, \beta\})$ . So  $\langle b_\alpha - b : \alpha < \lambda \rangle$  is strictly increasing in  $B_t$ .

- 2) Similar only now  $\{b_\alpha / I_t : \alpha < \lambda\}$  is a chain with no repetitions. □<sub>1.12</sub>

**1.13 Claim.** If  $\lambda \rightarrow [\mu]_{\theta, <\aleph_0}^2$  then  $Qs_2(\lambda, \mu, \theta)$ .

*Proof.* Straight.

By Definition 1.10(6),  $Qs_2(\lambda, \mu, \theta)$  mean that we are given a Boolean algebra  $A$ , of cardinality  $\leq \theta$ , an ideal  $I$  of  $A$  and a function  $f : [\lambda]^2 \rightarrow \mathcal{I}(I)$ .

Let  $f' : [\lambda]^2 \rightarrow I$  be such that  $\alpha < \beta < \lambda \Rightarrow f'\{\alpha, \beta\} \in f(\alpha, \beta)$ . So  $f'$  is a function with domain  $[\lambda]^2$  and with range of cardinality  $\leq |I| \leq |A| \leq \theta$ . By the definition of  $\lambda \rightarrow [\mu]_{\theta, < \aleph_0}^2$  there is  $X \in [\lambda]^\mu$  and finite  $w \subseteq \theta$  such that  $\alpha < \beta \in X \Rightarrow f'(\alpha, \beta) \in w$ . Now let  $b = \cup\{a : a \in w\}$ , as a finite union of members of  $I$  clearly  $b$  is in  $I$ . Also for  $\alpha < \beta$  from  $X$ ,  $f'(\alpha, \beta)$  is a member of  $w$  hence is  $\leq b$ , but is also a member of  $f(\alpha, \beta)$  which is a subset of  $I$  upward closed, so  $b \in f(\alpha, \beta)$ , is as required (we did not use “ $f\{\alpha, \beta\}$  is closed under intersection”).  $\square_{1.13}$

- 1.14 Remark.* 1) So we have consistency results by [Sh 276], [Sh 546].  
 2) In 1.15 below we can moreover get  $Qr_2(\lambda, \lambda, \mu)$ .  
 3) When does  $\boxtimes$  of 1.5 fail? See below (and 5.5).

**1.15 Claim.** 1) Assume  $\theta = cf(\theta) < \chi = \chi^{< \chi} < \lambda, \lambda$  measurable, then in  $\mathbf{V}_1 = \mathbf{V}^{\text{Levy}(\chi, < \lambda)}$  we have  $\neg \boxtimes_{\lambda, \lambda, \theta}$ .

2) If in  $\mathbf{V}_1, \lambda = \chi^+, \chi > \theta$  and

- (\*)  $D$  is a normal filter on  $\lambda$  for which in the game  $\mathfrak{D} = \mathfrak{D}(\lambda, D, \theta)$  of length  $\theta+1$  between the even and odd players choosing  $A_i \in D^+$  for  $i \leq \theta$  decreasing (of course, for  $i$  even, the even player chooses  $A_i$ , for  $i$  odd, the odd player chooses  $A_i$ ), the even player can guarantee that for limit  $\delta \leq \theta, \bigcap_{i < \delta} A_i \in D^+$ .

Then  $\mathbf{V}_1$  satisfies the conclusion in part (1).

*Proof.* 1) By part (2) relying on [JMMP] (see on the subject [Sh:b] or [Sh:f]).

2) Toward contradiction assume  $\mathbf{c} : [\lambda]^2 \rightarrow \theta$  exemplifies  $\boxtimes_{\lambda, \lambda, \theta}$ . Let  $St$  be a winning strategy of the even player. We chose by induction on  $i < \theta$  sets  $A_i, B_i$  such that

- (a)  $\langle A_j : j \leq i \rangle$  is a play of  $\mathfrak{D}(\lambda, D, \theta)$  in which even use his winning strategy  $St$   
 (b)  $\langle B_j : j \leq i \rangle$  is a play of  $\mathfrak{D}(\lambda, D, \theta)$  in which even use his winning strategy  $St$   
 (c) for  $i$  odd for some  $\gamma_i < \lambda$  and  $j_i \in (i, \theta)$  we have

$$\gamma_i < \text{Min}(A_i)$$

$$\gamma_i < \text{Min}(B_i)$$

$$(\forall \alpha \in A_i)[\mathbf{c}\{\gamma_i, \alpha\} < j_i]$$

$$(\forall \beta \in B_i)[\mathbf{c}\{\gamma_i, \beta\} \geq j_i]$$

For  $i$  even we have no free choice.

For  $i$  odd we ask

$(*)_i$  is there  $\gamma < \lambda$  such that  
 $j < \theta \Rightarrow B_{i,j} = \{\beta \in B_{i-1} : \beta > \gamma \text{ and } \mathbf{c}\{\gamma, \beta\} \geq j\} \in D^+$ ?

If yes, choose such  $\gamma = \gamma_i$ , so  $A_{i-1} \setminus (\gamma_i + 1) = \bigcup_{j < \theta} \{\alpha \in A_{i-1} : \mathbf{c}\{\gamma_i, \alpha\} = j\}$ . Hence

for some  $j = j_i$

$$\{\alpha : \alpha \in A_{i-1}, \alpha > \gamma_i, \mathbf{c}\{\gamma_i, \alpha\} < j\} \in D^+$$

and without loss of generality  $j_i > i$ . Choose this set as  $A_i$  and  $B_i$  as  $B_{i,j}$ .

If no, let for  $\gamma < \lambda, j(\gamma) < \theta$  be a counterexample. So by the normality of the filter,  $B' = \{\beta \in B_{i-1} : \text{for every } \gamma < \beta \text{ we have } \mathbf{c}\{\gamma, \beta\} \geq j(\gamma)\} = \emptyset \text{ mod } D$ . So  $B_{i-1} \setminus B' \in D^+$  but normality implies “ $\theta^+$ -completeness” hence for some  $j^* < \theta$  we have  $B^* = \{\gamma \in B_{i-1} : \gamma \notin B' \text{ and } j(\gamma) = j^*\} \in D^+$ .

So  $B^* \in D^+$  and for  $\gamma_1 < \gamma_2$  in  $B^*$  we have  $\mathbf{c}\{\gamma_1, \gamma_2\} < j(\gamma_1) = j^*$ ; but  $B^* \in D^+ \Rightarrow |B^*| = \lambda$  (again normality).

This contradicts the choice of  $\mathbf{c}$ , that is clause (c) of 1.5 so clearly  $(*)_i$  holds for our odd  $i$ .

So we succeed to choose  $\langle A_i : i \leq \theta \rangle, \langle B_i : i \leq \theta \rangle$ , so we can find  $\alpha \in A_\theta$  and  $\beta \in B_\theta \setminus (\alpha + 1)$  (as  $A_\theta, B_\theta \in D^+$ ).

So for each  $i < \theta$

$$(**)_i \quad \gamma_i < \alpha < \beta, \mathbf{c}\{\gamma_i, \alpha\} < j_i, \mathbf{c}\{\gamma_i, \beta\} \geq j_i \text{ so } \mathbf{c}\{\gamma_i, \alpha\} < \mathbf{c}\{\gamma_i, \beta\}.$$

But  $\mathbf{c}\{\gamma_i, \beta\} \leq \text{Max}\{\mathbf{c}\{\gamma_i, \alpha\}, \mathbf{c}\{\alpha, \beta\}\}$ . Hence  $\mathbf{c}\{\alpha, \beta\} \geq \mathbf{c}\{\gamma_i, \beta\}$  but the latter is  $\geq j_i$  and  $j_i \geq i$ , so  $\mathbf{c}\{\alpha, \beta\} \geq i$ . As this holds for any  $i < \theta$  we have gotten a contradiction.  $\square_{1.15}$

*1.16 Remark.* 1) We can weaken the demand on the even player in the game  $\mathfrak{D}(\lambda, D, \theta)$  for  $\delta = \theta$  to the demand  $\bigcap_{i < \delta} A_i \neq \emptyset$  is enough, as we can:

- ( $\alpha$ ) make  $A_1 \cap B_1 = \emptyset$
- ( $\beta$ ) if  $i = 4j + 1$  retain demand (c) in the proof but if  $i = 4j + 3$  uses a similar demand interchanging  $A_i$  and  $B_i$ .

2) Moreover, instead “even has a winning strategy” it is enough, that “odd has no winning strategy for winning at least one of two plays, played simultaneously”.  
Now we can deal with other variants.

We may wonder what is required from  $A$  in Claim 1.5.

**1.17 Claim.** *Assume  $\square_{\lambda,\mu,\theta}$  (see below) and  $A$  is a Boolean Algebra of cardinality  $< cf(\mu)$  (for simplicity) and*

- (\*) *there are  $a_i^t \in A$  for  $i < \theta, t \in \{1, 2\}$  such that:*
  - (i)  $i < j < \theta \Rightarrow A \models a_i^t \leq a_j^t$
  - (ii)  $a_i^1 \cap a_i^2 = 0$  for  $i < \theta$
  - (iii) *there are no disjoint  $a_1, a_2 \in B$  such that  $t \in \{1, 2\}$  &  $i < \theta \Rightarrow a_i^t \leq a_t$ .*

Then  $(\lambda, \mu) \in SpDpFP(A)$  where

$\square_{\lambda,\mu,\theta}$  is like  $\boxtimes_{\lambda,\mu,\theta}$  but we replace clause (c) by (c)' below where (c)' like clause (c) but we are given also an unbounded  $Y \subseteq \theta$  and demand  $j \in Y$ .

*Proof.* Similar to the proof of 1.5.

We mark the changes

Stage A:

We let  $I_t$  be the ideal of  $A$  generated by  $\{a_i^t : i < \theta\}$  and let  $I_t^\perp = \{a \in A : \text{we have } a_i^t \cap a = 0_A \text{ for every } i < \theta\}$ . Clearly  $I_{3-t} \subseteq I_t^\perp$ . Let  $I'$  be a maximal ideal of  $B$  which include  $I_1^\perp \cup I_2^\perp$  (it exists by clause (iii) of the assumptions).

We let  $B_t$  be the extension of  $A$  by  $\{x_\alpha^t : \alpha < \lambda\}$  freely except the equations:

- (\*)<sub>3</sub>  $x_\alpha^t - x_\beta^t \leq a_{\mathbf{c}\{\alpha,\beta\}}^t$  for  $\alpha < \beta < \lambda$
- (\*)<sub>4</sub>  $x_\alpha^t \cap a = 0$  for  $a \in I_t^\perp$ .

Stage C:

Toward contradiction, let  $\{c_\alpha : \alpha < \mu\}$  be a chain in  $B_t$  (with no repetitions), and let  $c_\alpha = \tau_\alpha(a_{\alpha,1}, \dots, a_{\alpha,k_\alpha}, x_{\zeta_{\alpha,1}}^t, \dots, x_{\zeta_{\alpha,n_\alpha}}^t)$  where  $\zeta_{\alpha,1} < \dots < \zeta_{\alpha,n_\alpha} < \lambda$  and  $a_{\alpha,k} \in A$ . Without loss of generality

$$(*)_5 \quad \tau_\alpha = \tau, k_\alpha = k^*, a_{\alpha,\ell} = a_\ell, n_\alpha = n^* \text{ (recall } \text{cf}(\mu) > |A| \text{)}.$$

For each  $\alpha$  let  $D_\alpha$  be an ultrafilter on  $B_t$  such that  $c_\alpha \in D_\alpha$  and  $\beta < \mu$  &  $A \models c_\beta < c_\alpha \Rightarrow c_\beta \notin D_\alpha$ . We can find  $a_\alpha^* \in D_\alpha \cap A$  such that  $(\forall \ell \in \{1, \dots, k^*\})(a_\alpha^* \leq a_{\alpha,\ell} \vee a_\alpha^* \cap a_{\alpha,\ell} = 0_A)$  and  $a_\alpha \leq \mathbf{a}_{\mathbf{c}\{\zeta_{\alpha,\ell}, \zeta_{\alpha,m}\}}^t \vee a_\alpha \cap \mathbf{a}_{\mathbf{c}\{\zeta_{\alpha,\ell}, \zeta_{\alpha,m}\}}^t = 0_{B_t}$  for  $\ell, m \in \{1, \dots, n^*\}$ .

Without loss of generality (as  $\text{cf}(\mu) > |A|$ , if  $\mu$  regular we can ask for more)

$$(*)_6 \quad a_\alpha = a^* \text{ and the } \mathbf{c}\{\zeta_{\alpha,\ell}, \zeta_{\alpha,m}\} = i_{\ell,m}^* \text{ and } \text{T.V.}(a_\alpha \leq \mathbf{a}_{\mathbf{c}\{\zeta_{\alpha,\ell}, \zeta_{\alpha,m}\}}^t) = \mathbf{t}_{\ell,m}.$$

So changing names

$$(*)'_6 \quad c_\alpha = \tau(x_{\zeta_{\alpha,1}}, \dots, x_{\zeta_{\alpha,n^*}}) \cap a^*.$$

Now

$$(*)_7 \quad a^* \notin I_t^\perp, \text{ moreover } \forall i < \theta \exists j < \theta [a^* \cap a_j^t - a_i^t \notin I_t^\perp]$$

[why? otherwise let  $\varepsilon$  be a counterexample so  $a^* - a_\varepsilon^t \in I_t^\perp$ , by the definition of  $I_t^\perp$  clearly  $\alpha < \mu$  &  $\ell \in [1, n^*] \Rightarrow x_{\zeta_{\alpha,\ell}} \cap (a^* - a_\varepsilon^t) = 0_{B_t}$  (by  $(*)_4$ ) hence  $c_\alpha \cap (a^* - a_\varepsilon^t)$  does not depend on  $\alpha$  so call it  $c^*$ , so also  $\{c_\alpha - c^* : \alpha < \mu\}$  is a chain of  $B_t$  with no repetitions but  $c_\alpha \leq a^*$  by  $(*)'_6$  so  $c_\alpha - c^* \leq a_i^t$  (for our fixed  $i$ ). We apply clause (c) + (e) of the assumption  $\boxtimes_{\lambda,\mu,\theta}$  to  $\langle w_\alpha : \alpha < \mu \rangle$  with  $w_\alpha = \{\zeta_{\alpha,1}, \dots, \zeta_{\alpha,n^*}\}$  and  $i(*) = \varepsilon + 1$ , and get  $\alpha \neq \beta, i < j$  as there, so  $\zeta_\ell, \xi_\ell$  there stands for  $\zeta_{\alpha,\ell+1}, \zeta_{\beta,\ell+1}$  here.

Without loss of generality  $\alpha < \beta$  and let  $h$  be a homomorphism from  $B_t$  to  $\{0, 1\}$  such that  $h(c_\alpha - c^*) = 0, h(c_\beta - c^*) = 1$ ; hence  $h(a_i^t) = 1$ . Let  $g$  be the following function from  $A \cup \{x_{\zeta_{\alpha,\ell}}, x_{\zeta_{\beta,\ell}} : \ell = 1, \dots, n^*\}, g \upharpoonright A = h \upharpoonright A$  and  $g(x_{\zeta_{\alpha,\ell}}) = h(x_{\zeta_{\beta,\ell}})$  and  $g(x_{\zeta_{\beta,\ell}}) = h(x_{\zeta_{\alpha,\ell}})$ . We would like to apply  $(*)$  of Stage D of the proof of 1.5 + the fact there to extend  $g$  to a homomorphism from  $B_t$  to  $\{0, 1\}$ ; this suffices, as then  $\hat{g}(c_\alpha - c^*) = h(c_\beta - c^*) = 1, \hat{g}(c_\beta - c^*) = h(c_\alpha - c^*) = 0$  contradicting  $B_t \models c_\alpha - c^* < c_\beta - c^*$ .

Does the condition of  $(*)$  hold? As in the proof of  $(*)_9$  in the proof of 1.5, noting that  $\mathbf{c}\{\zeta, \xi\} \geq \varepsilon$  &  $(h(x_\zeta, x_\xi)$  well defined)  $\Rightarrow h$  respects  $x_\zeta - x_\xi \leq \mathbf{a}_{\mathbf{c}\{\zeta, \xi\}}^t$  as  $h(a_\varepsilon^t) = g(a_\varepsilon^t) = 1$ .]

Let  $Y = \{j < \theta : (\forall \varepsilon < j)(A \models (a_j - a_\varepsilon) \cap a^* > 0)\}$ , it is unbounded in  $\theta$  by  $(*)_7$  as if  $j_0 < \theta, j_1 = \text{Min}\{j : a^* \cap b_j - b_{j_0} \notin I_t^\perp\}$  then  $j_1 \in Y$ .

We choose  $\alpha, \beta, i, j$  as in clause (c)' + (e) of  $\boxtimes_{\lambda,\mu,\theta}$  (see 1.5) but  $j \in Y$  is O.K. as



we use  $\square_{\lambda,\mu,\theta}$ . Let  $\alpha < \beta$  and  $f$  be a homomorphism from  $B_t$  to  $\{0,1\}$  such that  $f(x_\alpha) = 0, f(x_\beta) = 1$ . Now

(\*)<sub>8</sub>'  $a \in I_t^\perp \Rightarrow f(a) = 0$   
 [why? if  $a' \in I_t^\perp$  is a counterexample then  $\alpha < \lambda \in w \Rightarrow f(x_\alpha^t) = f(x_\alpha^t - a') = f(x_\alpha^t) - 1 = 0$  so clearly  $f(c_\alpha) = f(c_\beta)$ , contradiction]

(\*)<sub>9</sub>  $f(a^*) = 1$   
 [why? otherwise  $f(c_\alpha) = 0 = f(c_\beta)$ , contradiction]

(\*)<sub>10</sub> there is a homomorphism  $g : A \rightarrow \{0,1\}$  such that

(i)  $g(a_\xi^t) = f(a_\xi^t)$  for  $\xi < j$

(ii)  $g(a_\xi^t) = 1$  if  $\xi \geq j, \xi < \theta$

(iii)  $g(a^*) = 1$

(iv)  $b \in I_t^\perp \Rightarrow g(b) = 0$ .

[Why? If  $(\forall \varepsilon)(j \leq \varepsilon < \theta \rightarrow f(a_\varepsilon^t) = 1)$ , then  $g = f$  is O.K. If not, then clause (i) means  $g(a_\xi^t) = 0$  for  $\xi < j$ ; hence for proving the existence of  $g$  it is enough to show

$$j' < j \Rightarrow a^* \cap (a_j^t - a_{j'}^t) \notin I_t^\perp$$

this holds by the choice of  $(\alpha, \beta, i, j)$ , i.e.  $j \in Y$ .]

We continue defining  $h$  as in the proof of (\*)<sub>9</sub> in the proof of 1.5. □<sub>1.17</sub>

**1.18 Claim.** *In 1.7 we can also get  $\square_{\lambda,\mu,\theta}$ .*

*Proof.* We repeat the proof but: proving clauses (c) + (e) we are also given an unbounded set  $Y \subseteq \{i < \theta : i \text{ odd}\}$ , and choose  $j^*$  there. □<sub>1.18</sub>

**1.19 Claim.** *Assume*

(a) *A is a Boolean Algebra and  $I_1, I_2$  are ideals of A and  $I_1 \cap I_2 = \{0\}$*

(b) *for  $\ell = 1, 2$  we have  $NQs_2^*(\lambda, \mu, A, I_\ell)$  and  $|A| < cf(\mu)$*

(c)  *$I_1 \cup I_2$  generates A (or less).*

Then *there are Boolean Algebras  $B_1, B_2$  extending A such that  $Depth^+(B_1 \oplus_A B_2) = \lambda^+$ ,  $Depth^+(B_1) \leq \mu$ ,  $Depth^+(B_2) \leq \mu$ .*

*Remark.* We can weaken clause (c). We may wonder on using more ideals.

*Proof.* Like the proofs of 1.5, 1.17 but:  $A$  is given let  $\mathbf{c}_\ell : [\lambda]^2 \rightarrow \mathcal{I}(I)$  exemplifies  $NQs_2(\lambda, \mu, A, I_\ell)$  and  $(*)_3, (*)_4$  are now

$$(*)_3 \quad x_\alpha^t - x_\beta^t \leq a \text{ when } a \in f_t\{\alpha, \beta\}, t \in \{1, 2\}$$

$$(*)_4 \quad x_\alpha^t \cap a = 0 \text{ if } a \in I_t^\perp, \alpha < \lambda.$$

□<sub>1.19</sub>

Note (not used here, just for background see more on clause (c) in §5)

**1.20 Claim.** 1) *Assume*

$$(a) \quad \theta = cf(\theta) < \mu, \text{ and}$$

$$(b) \quad \mu \text{ is strong limit singular, } cf(\mu) = \kappa < \theta$$

$$(c) \quad \text{for any } \mathbf{c} : [\theta]^2 \rightarrow \kappa \text{ satisfying } \alpha < \beta < \gamma \Rightarrow \mathbf{c}\{\alpha, \gamma\} \subseteq \text{Max}\{\mathbf{c}\{\alpha, \beta\}, \mathbf{c}\{\beta, \gamma\}\}, \\ \text{there is } X \in [\theta]^\theta \text{ such that } \text{Rang}(\mathbf{c} \upharpoonright [X]^2) \text{ is a bounded subset of } \kappa.$$

then  $\{\delta < \mu^+ : cf(\delta) = \theta\} \in I[\lambda]$ .

2) We can replace (b) by

$$(b)^- \quad \mu > \theta > \kappa = cf(\mu) \text{ and } (\forall \sigma < \mu)[\sigma^{<\theta} < \mu].$$

*Proof.* See [Sh 108], [Sh 88a].

## §2 ON THE FAMILY OF HOMOMORPHIC IMAGES OF A BOOLEAN ALGEBRA

Our best result is 2.6(2) but we first deal with more specific cases. On background see [JuSh 612].

**2.1 Lemma.** *Assume  $\kappa < \mu < \lambda$  and  $\lambda$  is a strong limit singular of cofinality  $\kappa$  and  $cf(\mu) = \kappa$ .*

*Then every Boolean Algebra of cardinality  $\lambda$  has a homomorphic image of cardinality  $\in [\mu, 2^{<\mu}]$ .*

First we prove

**2.2 Claim.** *Assume  $\kappa = cf(\mu) < \mu < 2^{<\mu}$ . Any Boolean Algebra  $B$  of cardinality  $\geq \chi =: \sum_{\theta < \mu} (2^\theta)^+$  has a homomorphic image of cardinality  $\in [\mu, \chi]$ ; note that  $(2^{<\mu})^+ \geq \chi$ .*

*Proof.* Let  $\mu = \sum_{i < \kappa} \mu_i$  be such that  $i < j \Rightarrow \mu_i < \mu_j$  and moreover  $i < j \Rightarrow \mu < 2^{\mu_i} \leq 2^{\mu_j} \leq 2^{<\mu}$  and  $\mu_i > \kappa$  and if  $\langle 2^{\mu_i} : i < \kappa \rangle$  is not eventually constant then it is strictly increasing.

If  $B$  has an independent subset of cardinality  $\mu$ , then it has a homomorphic image of cardinality  $\in [\mu, \mu^{\aleph_0}]$  but  $\mu^{\aleph_0} < \chi$  so without loss of generality there is no independent  $X \subseteq B$  of cardinality  $\mu$  hence for no  $\theta < \mu$  does  $B$  satisfy the  $\theta^+$ -c.c., hence  $c(B) > \theta$ . So  $c(B) \geq \mu$  but  $\mu$  is singular hence by a theorem of Erdős and Tarski,  $B$  has an antichain  $\{a_\alpha : \alpha < \mu\}$ . For each  $i < \mu$ , let  $B_i$  be the subalgebra of  $B$  generated by  $\{a_\alpha : \alpha < \mu_i\}$  and let  $B_i^c$  be the completion of  $B_i$ . So  $\text{id}_{B_i}$  is a homomorphism from  $B_i$  into  $B_i^c$  hence it can be extended to a homomorphism  $f_i$  from  $B$  into  $B_i^c$ .

Clearly  $B_i \subseteq \text{Rang}(f_i) \subseteq B_i^c$  so  $\mu_i \leq (\text{Rang}(f_i)) \leq |B_i^c| \leq 2^{\mu_i}$ .

If for some  $i$ ,  $|\text{Rang}(f_i)| \geq \mu$  we are done:  $B'_i =: \text{Rang}(f_i)$  is a homomorphic image of  $B$ ,  $\mu \leq |B'_i| \leq |B_i^c| \leq 2^{\mu_i} < \chi$ . Otherwise, we have  $i < \kappa \Rightarrow |B'_i| < \mu$ , let  $B^* = \prod_{i < \kappa} B'_i$ , and we define a homomorphism  $f$  from  $B$  into  $B^*$ ,  $f(x) = \langle f_i(x) : i < \kappa \rangle$ .

Clearly  $B^*$  is a Boolean Algebra and  $f$  a homomorphism from  $B$  into  $B^*$ . Now let  $B' = \text{Rang}(f)$ , clearly  $B'$  is a homomorphic image of  $B$  and

$|B'| = |\text{Rang}(f)| \leq |B^*| \leq \prod_{i < \kappa} |B'_i| \leq \prod_{i < \kappa} \mu = \mu^\kappa \leq 2^{\mu_0} < \chi$ . On the other hand  $f$  is

one to one on each  $B_i$  (as  $f_i$  is) hence is one to one on  $\bigcup_{i < \kappa} B_i$  which has cardinality

$\mu$ , so  $|B'| \geq \mu$ .  
So we are done. □<sub>2.2</sub>

*2.3 Remark.* If  $\mu$  is regular,  $|B| \geq \mu$ , then  $B$  has a homomorphic image of cardinality  $\in [\mu, 2^{<\mu}]$ , this follows by Juhasz [Ju1].

**2.4 Claim.** *Assume ( $\delta$  a limit ordinal)*

- (a) *for  $\ell = 0, 1, 2, 3$  we have  $\langle B_i^\ell : i \leq \delta \rangle$  is an increasing continuous sequence of Boolean Algebras and for simplicity  $B_0^\ell$  is trivial*
- (b)  *$B_i^2 \subseteq B_i^3$  and  $B_i^0 \subseteq B_i^1$  for  $i \leq \delta$*
- (c) *for  $i < \delta$  non-limit,  $B_i^1$  is complete*
- (d)  *$h_i$  is a homomorphism from  $B_i^2$  into  $B_i^0$ , increasing with  $i \leq \delta$*
- (e) *if  $x \in B_{i+1}^2, y \in B_i^3$  and  $B_{i+1}^3 \models "x \cap y = 0"$ , then for some  $z \in B_i^2$  we have  $B_i^3 \models z \cap y = 0$  and  $B_{i+1}^2 \models x \leq z$ .*

Then we can find a homomorphism  $h$  from  $B_\delta^3$  into  $B_\delta^1$  extending  $h_\delta$ .

*Proof.* We choose by induction on  $i$  a homomorphism  $f_i$  from  $B_i^3$  into  $B_i^1$  increasing with  $i$  such that:  $f_i$  extends  $h_i$ .

For  $i = 0$ : Trivial as the  $B_i^\ell$  are trivial.

For  $i$  limit: Let  $f_i = \bigcup_{j < i} f_j$ .

For  $i = j + 1$ : Let  $H_{j+1} = \{f : f \text{ is a homomorphism from some subalgebra } B_f \text{ of } B_{j+1}^3$

into  $B_{j+1}^1$  extending  $f_j$  and  $h_{j+1}\}$ . As  $B_{j+1}^1$  is complete, it is enough to prove that  $H_{j+1} \neq \emptyset$ . Let  $B'_{j+1}$  be the subalgebra of  $B_{j+1}^3$  generated by  $B_{j+1}^2 \cup B_j^3$ , so it is enough to prove that  $f_j \cup h_{j+1}$  induce a homomorphism from  $B'_{j+1}$  into  $B_{j+1}^1$ . Easily for this it suffices to prove:

- (\*) if  $x \in B_{j+1}^2, y \in B_j^3$  are disjoint (in  $B_{j+1}^3$ ), then  $h_{j+1}(x), f_j(y)$  are disjoint (in  $B_{j+1}^1$ ).

By the assumption (e) there is  $z \in B_j^2$  such that  $B_{j+1}^2 \models x \leq z$  and  $B_j^3 \models y \cap z = 0$  hence  $B_{j+1}^0 \models h_{j+1}(x) \leq h_{j+1}(z)$  and  $B_j^1 \models f_j(y) \cap f_j(z) = 0$ . As  $f_j(z) = h_j(z) = h_{j+1}(z)$  we are done. □<sub>2.4</sub>

Proof of 2.1. So by 2.2 without loss of generality  $\mu = 2^{<\mu}$  hence is strong limit (as  $\mu = 2^{<\mu}$  &  $\mu$  not strong limit  $\Rightarrow \mu = \text{cf}(\mu)$ ), so let  $\mu = \sum_{i<\kappa} \mu_i, \kappa < \mu_i$  and  $\prod_{i<j} 2^{\mu_i^+} < \mu_j$ . Similarly, let  $\lambda = \sum_{i<\kappa} \lambda_i$  be such that  $i < j \Rightarrow \kappa < \mu_i < \lambda_i < \lambda_j$ , moreover,  $\lambda_i = \text{cf}(\lambda_i) > \prod_{j<i} 2^{\lambda_j}$ . As in the proof of 2.2, it suffices to deal with the following three cases.

Case A: There is an antichain  $\{a_\alpha : \alpha < \lambda\}$  of  $B$ . As we can replace  $B$  by any homomorphic image of cardinality  $\geq \lambda$  without loss of generality  $\{a_\alpha : \alpha < \lambda\}$  is a maximal antichain of  $B$  and each  $a_\alpha$  an atom. So without loss of generality  $B$  is a subalgebra of  $\mathcal{P}(\lambda)$  and  $a_\alpha = \{\alpha\}$ . Let  $B = \bigcup_{i<\kappa} B_i, B_i$  increasing continuous,

$$|B_i| \leq 2 + \sum_{j<i} \lambda_j.$$

We can find  $X_i \subseteq \lambda_i$  of cardinality  $\lambda_i$  such that:

(\*)<sub>1</sub> for each  $a \in B_i$  either  $(\forall \alpha \in X_i)(a_\alpha \leq a)$  or  $(\forall \alpha \in X_i)(a_\alpha \cap a = 0)$

(\*)<sub>2</sub>  $\{a_\alpha : \alpha \in X_i\} \subseteq B_{j_i}$  where  $i < j_i < \kappa$ .

[Why? Define a two place relation  $E_i$  on  $\lambda_i$  by  $\alpha E_i \beta$  iff  $\alpha, \beta < \lambda_i$  and  $(\forall a \in B_i)[x \in a \equiv \beta \in a]$ . Clearly this is an equivalence relation and the number of  $E_i$ -equivalence classes is  $\leq 2^{|B_i|} \leq 2^{\sum_{j<i} \lambda_j} = \prod_{j<i} 2^{\lambda_j} < \lambda_i$ .

As  $\lambda_i$  is regular necessarily some  $E_i$ -equivalence class  $X'_i$  has cardinality  $\lambda_i$ . As  $X'_i = \cup\{X'_{i,j} : j \in (i, \kappa)\}$  where  $X'_{i,j} = \{\alpha \in X_i : \{\alpha\} \in B_j\}$  and  $\kappa < \lambda_i = \text{cf}(\lambda_i)$  for some  $j_i, |X'_{i,j_i}| = \lambda_i$ , and let  $X_i = X'_{i,j_i}$ .]

Choose  $Y_i \subseteq X_i$  of cardinality  $\mu_i$ , recalling  $\mu_i < \lambda_i$ .

Let  $Y = \bigcup_{i<\kappa} Y_i$  and let  $f : B \rightarrow \mathcal{P}(Y)$  be the following homomorphism:

$f(a) = a \cap Y$  and let  $B'$  be  $\text{Rang}(f)$ , so  $B' \subseteq \mathcal{P}(Y)$  is a homomorphic image of  $B$  and

$$|B'| \geq |\{a_\alpha : \alpha \in Y\}| = |Y| = \sum_{i<\kappa} |Y_i| = \sum_{i<\kappa} \mu_i = \mu$$

$$\begin{aligned}
 |B'| &\leq |\{a \cap Y : a \in B\}| \leq \sum_{i < \kappa} |\{a \cap Y : a \in B_i\}| \\
 &= \sum_{i < \kappa} |\{a : a \subseteq Y \text{ and } (\forall j)(i \leq j < \kappa \rightarrow a \cap Y_j \in \{\emptyset, Y_j\})\}| \\
 &\leq \sum_{i < \kappa} (2^\kappa \times 2^{\mu_i}) = \sum_{i < \kappa} 2^{\mu_i} = 2^{<\mu} = \mu.
 \end{aligned}$$

Case B:  $B$  satisfies the  $\theta$ -c.c.,  $\theta < \lambda, \kappa > \aleph_0$ . Then  $B$  has an independent subset of cardinality  $\chi$  for each  $\chi < \lambda$ , in particular  $\chi = \mu$ , say  $\{a_\alpha : \alpha < \mu\}$ . Let  $B'_0$  be the subalgebra of  $B$  which  $\{a_\alpha : \alpha < \mu\}$  generates, and  $B_0^c$  be its completion, so  $\text{id}_{B_0}$  can be extended to a homomorphism  $f$  from  $B$  into  $B_0^c$ , let  $B_1 = \text{Rang}(f)$  so  $\mu = |B_0| \leq |B_1| \leq |B_0^c| \leq \mu^{\aleph_0} = \mu$  so  $B_1$  is as required.

Case C:  $B$  satisfies the  $\theta$ -c.c.,  $\theta < \lambda, \kappa = \aleph_0$ .

Let  $\lambda = \sum_{n < \omega} \lambda_n, \theta < \lambda_n, 2^{\lambda_n} < \lambda_{n+1}, \lambda_n = \text{cf}(\lambda_n), \lambda_n^\theta = \lambda_n$ . Easily we can find

pairwise disjoint  $\langle b_n : n < \omega \rangle$  such that  $|B \upharpoonright b_n| \geq \lambda_n^+$ .

[Why? Choose by induction on  $n, b_n$  such that  $\ell < n \Rightarrow b_\ell \cap b_n = 0, (B \upharpoonright b_n) \geq \lambda_n^+$  and  $|B \upharpoonright (1_B - \bigcup_{\ell < n} b_\ell)| = \lambda$ ; if we are stuck in  $n$ , then let  $I =: \{x \in B : x \cap \bigcup_{\ell < n} b_\ell = 0$

and  $|B \upharpoonright x| \leq \lambda_n\}$  and let  $B' = B \upharpoonright (1_B - \bigcup_{\ell < n} b_\ell)$ , so  $|B'| = \lambda$  and  $I$  is a maximal

ideal of  $B'$  (as we are stuck), hence  $I$  has cardinality  $\lambda$ . Try to choose by induction on  $\alpha < \lambda, b_\alpha \in I$  such that  $\beta < \alpha \Rightarrow b_\beta \cap b_\alpha = 0_B$ ; if we succeed we contradict our being in case C, if we are stuck in stage  $\alpha < \lambda$ , then  $\{x \in B' : (\exists \beta < \alpha)x \leq b_\alpha \text{ and } x > 0\}$  is a dense subset of  $B' \setminus \{0_B\}$  of cardinality  $\leq |\alpha| + 2^{\lambda_n} < \lambda$ , contradiction to  $|B'| = \lambda$ .]

We can find for each  $n, \langle a_\alpha^n : \alpha < \lambda_n^+ \rangle$  such that  $a_\alpha^n \leq b_n$  and  $\langle a_\alpha^n : \alpha < \lambda_n^+ \rangle$  is independent in  $B \upharpoonright b_n$ .

[Why? As  $B \upharpoonright b_n$  is a Boolean Algebra of cardinality  $\geq \lambda_n^+$  and  $\lambda_n = \lambda_n^\theta$  and  $B \upharpoonright b_n$  satisfies the  $\theta$ -c.c.] So some homomorphic image of  $B$  has  $\lambda$  atoms and we get Case A. □<sub>2.1</sub>

*2.5 Observation.* If  $\mu$  is strong limit of cofinality  $\aleph_0$  and  $\mu \leq \lambda < 2^\mu, B$  a Boolean Algebra of cardinality  $\lambda$ , then  $\text{ult}(B) > \lambda \Rightarrow \text{ult}(B) \geq 2^\mu$ .

*Proof.* Straight, or by [Sh 454a] (for even a more general setting: a topology, not necessarily Hausdorff). Or directedly, let

$$Q = \{X : X \text{ is a subset of } B \text{ of cardinality } < \lambda \text{ with the FIP} \\ \text{and } |\{D \in \text{Ult}(B) : X \subseteq D\}| > \lambda\}.$$

Now

- (a)  $Q$  is not empty (as  $\emptyset \in Q$ )
- (b) for  $X \in Q$  define the two place relation  $E_X$  on  $B : aE_X b$  iff the set  $\{D \in \text{Ult}(B) : X \subseteq D \text{ and } a \in D \leftrightarrow b \in D\}$  has cardinality  $\leq \lambda$ .

Now  $E_X$  is an equivalence relation; easily

$$|\{D \in \text{Ult}(B) : X \subseteq D\}| \leq 2^{|B/E_X|} + \lambda$$

hence necessarily  $|B/E_X| \geq \mu$ . So by the Erdos Rado theorem, we have

- (c) for any  $\chi < \mu$  there is a sequence  $\langle a_\alpha : \alpha < \chi \rangle$  such that  $\alpha < \beta \Rightarrow X \cup \{-a_\alpha, a_\beta\} \in Q$  moreover by the proof of Erdos-Rado theorem  $X \cup \{-a_\beta : \beta < \alpha\} \cup \{a_\alpha\} \in Q$ .

We can let  $\mu = \sum_n \chi_n$  with  $\chi_n < \chi_{n+1}$ . We then can easily get a tree  $\langle a_\eta : \eta \in \prod_{\ell < n} \chi_\ell$  for  $n < \omega \rangle$  and for each  $\eta \in \prod_{\ell < \omega} \chi_\ell$  the set  $\{a_{\eta \upharpoonright \ell} : \ell < \omega\} \cup \{-a_{\eta \upharpoonright \ell} : \beta < \eta(\ell)\}$  has the FIP, so we get  $\mu^{\aleph_0} = 2^\mu$  filters, extending each to an ultrafilter we are done. □<sub>2.5</sub>

We can add

- 2.6 Claim.** 1) If  $\lambda$  is a strong limit singular and  $B$  a Boolean Algebra of cardinality  $\lambda$ , then for some homomorphic image  $B'$  of  $B$  we have  $|B'| = |\text{Ult}(B')| = \lambda$ .  
 2) If we further assume  $\lambda \geq \mu > \text{cf}(\mu) = \text{cf}(\lambda)$ , then for some homomorphic image  $B'$  of  $B$  we have:

$$\mu \leq |B'| \leq 2^{<\mu}, \mu \leq |\text{Ult}(B')| \leq \sum_{\theta < \mu} 2^{2^\theta}.$$

**2.7 Remark.** The free Boolean Algebra generated by  $\{x_\alpha : \alpha < \lambda\}$ ,  $B_\lambda$ , has as homomorphic image any Boolean Algebra (in particular, any  $\sigma$ -complete Boolean

Algebra of cardinality  $\leq \lambda$ .) The completion of  $B_\lambda$  has as homomorphic images many  $\sigma$ -complete Boolean Algebras of cardinality  $\leq \lambda$  (though consistency not all by a result of Dow and Vermeer).

*Proof.* 1) Without loss of generality  $B$  is a Boolean Algebra of subsets of  $\lambda$  and let  $\lambda = \sum_{i < \theta} \mu_\zeta, \theta = \text{cf}(\lambda), \mu_\zeta > \theta + \prod_{\xi < \zeta} 2^{\mu_\xi^+}$  and let  $B = \bigcup_{i < \theta} B_i, B_i$  increasing continuous,  $|B_\zeta| \leq 2 + \sum_{\xi < \zeta} \mu_\xi^+$ . We know that there are  $(a_i^\zeta, \alpha_i^\zeta)$  for  $i < \mu_\zeta^+$  such that  $a_i^\zeta \in B, \alpha_i^\zeta < \lambda, [\alpha_j^\zeta \in a_i^\zeta \Leftrightarrow j = i]$  (e.g. by the first construction in case A of the proof of 2.2). So without loss of generality  $\alpha_i^\zeta < \mu_\zeta^+, a_i^\zeta \in B_{\zeta+1}$ . As we can replace  $B$  by a homomorphic image without loss of generality  $\alpha_i^\zeta = \mu_\zeta + i$ . We can find  $X_\zeta \in [\{\alpha : \mu_\zeta \leq \alpha < \mu_\zeta^+\}]^{\mu_\zeta^+}$  for  $\zeta < \theta$  such that  $(\forall a \in B_\zeta)((\forall \alpha \in X_\zeta)(\alpha \in a) \vee (\forall \alpha \in X_\zeta)(\alpha \notin a))$  (like case A of the proof of 2.1, so actually there it suffices). Let  $X = \bigcup_{\zeta < \theta} X_\zeta$  and let  $Y_\zeta = \bigcup_{\varepsilon < \zeta} X_\varepsilon$ .

Now let  $h : B \rightarrow \mathcal{P}(X)$  be  $h(a) = a \cap X$  and let  $B' = \text{Rang}(h)$ . Let  $B'' = \{a \subseteq X : \text{for every } i < \theta, X_i \subseteq a \text{ or } X_i \cap a = \emptyset\}$ .

Let  $B^+$  be the Boolean Algebra of subsets of  $X$  generated by  $B'' \cup B'$ . Now clearly

$$\begin{aligned} |\text{Ult}(B')| &\leq |\text{Ult}(B^+)| \\ &\leq |\{p \in \text{Ult}(B^+) : \text{for every } i < \theta, \text{ we have } Y_i \notin p\}| + \sum_{i < \theta} |\text{Ult}(B^+ \upharpoonright Y_i)| \\ &\leq |\text{Ult}(B'')| + \sum_{i < \theta} 2^{2^{|Y_i|}} \leq 2^{2^\theta} + \sum_{i < \theta} 2^{2^{|Y_i|}} \leq \lambda. \end{aligned}$$

2) As in the proof of part (1) without loss of generality  $B$  is a subalgebra of  $\mathcal{P}(\lambda)$ . Let  $\theta, \langle \mu_\zeta : \zeta < \theta \rangle, \langle B_i : i < \theta \rangle$  be as we have gotten in the proof of part (1); without loss of generality  $\mu < \mu_\zeta$ . Choose  $\mu'_\zeta \in (\theta, \mu)$  increasing with  $\zeta$  such that

$\mu = \sum_{\zeta < \theta} \mu'_\zeta$ . Let  $Y'_\zeta \subseteq [\mu_\zeta, \mu_\zeta^+)$  be of cardinality  $\mu'_\zeta$  and let  $Y' = \bigcup_{\zeta < \theta} Y'_\zeta$  and

$B'_\zeta = \{a \cap \bigcup_{\varepsilon < \zeta} Y'_\varepsilon : a \in B\}$  and  $B' = \{a \cap Y' : a \in B\}$ . So  $B', B'_\zeta$  are homomorphic

images of  $B$ . If some  $B'_\zeta$  has cardinality  $\geq \mu$  then  $|B'_\zeta| \in [\mu, 2^{\mu'_\zeta}) \in [\mu, \sum_{\kappa < \mu} (2^\kappa)^+)$

and  $|\text{Ult}(B'_\zeta)| \leq 2^{|B'_\zeta|} \leq 2^{2^{\mu'_\zeta}}$  so  $B'_\zeta$  is as required. Otherwise  $B'$  is of cardinality



$\in [\mu, \prod_{\zeta < \theta} B'_\zeta] \subseteq [\mu, \mu^\theta] \subseteq [\mu, \sum_{\kappa < \mu} (2^\kappa)^+]$  moreover as above  $\geq \mu$  by the present assumption and  $\leq \sup\{|B'_\zeta| : \zeta < \theta\}$  as in part (1) hence  $\leq \mu$  so  $= \mu$ . Lastly we can bound the number of ultrafilters as in the proof of part (1).  $\square_{2.6}$

**2.8 Observation:** 1) Let  $B_\sigma$  be the Boolean Algebra generated freely by  $\{x_\alpha : \alpha < \sigma\}$  and  $B_\sigma^c$  its completion. Then there is a homomorphic image  $B'_\sigma$  of  $B_\sigma^c$  say by  $f$  such that  $B'_\sigma$  is a Boolean Algebra of subsets of  $\sigma$  and  $[\sigma]^{<\aleph_0} \subseteq f(B_\sigma^c) = B'_\sigma \subseteq B_\sigma^* = \{X \subseteq \sigma : |X| \leq \aleph_0 \text{ or } |\sigma \setminus X| \leq \aleph_0\}$ .

2) It follows that  $B'_\sigma$  has  $\sigma^{\aleph_0}$  elements and  $\sigma^{\aleph_0} + \beth_2$  ultrafilters.

3) We can arrange that  $B'_\sigma = B_\sigma^*$ .

**2.9 Remark.** Note that though the homomorphic image of a complete Boolean algebra is not necessarily complete (e.g.  $\mathcal{P}(\omega)$  finite), it satisfies the countable separation principle.

*Proof.* 1) Let for  $\alpha < \sigma$ ,  $B_{\sigma,\alpha}^c$  be the complete subalgebra of  $B_\sigma^c$  which  $\{x_\beta : \beta < \sigma \text{ and } \beta \neq \alpha\}$  generated, so for every  $b \in B_{\sigma,\alpha}^c \setminus \{0, 1\}$  we have  $b \cap a_\alpha > 0$  &  $b - a_\alpha > 0$ . Let  $D$  be an ultrafilter on  $B_\sigma^c$  such that  $\{-x_\alpha : \alpha < \sigma\} \subseteq D$  and for  $\alpha < \sigma$  let  $D_\alpha$  be an ultrafilter on  $B_\sigma^c$  such that:  $D_\alpha \cap B_{\sigma,\alpha}^c = D \cap B_{\sigma,\alpha}^c$  and  $a_\alpha \in D_\alpha$ . We define a homomorphism  $f : B_\sigma^c \rightarrow \mathcal{P}(\sigma)$  by  $f(b) = \{\alpha < \sigma : b \in D_\alpha\}$ . Clearly  $f$  is a homomorphism. Let  $B'_\sigma$  be the range of  $f$ . Now  $f(x_\alpha) = \{\alpha\}$  for  $\alpha < \sigma$  so  $B'_\sigma$  contains all singletons from  $\sigma$ . Next suppose  $b \in B_\sigma^c$ . Hence for some  $c_n \in B_\sigma$  for  $n < \omega$  we have  $b = \sup\{b_n : n < \omega\}$ . Hence for some  $\alpha_n < \sigma$  (for  $n < \omega$ ) and (infinite) Boolean term  $\tau, b = \tau(\dots, x_{\alpha_n}, \dots)_{n < \omega}$ , so  $\alpha \in \sigma \setminus \{\alpha_n : n < \omega\} \Rightarrow [\alpha \in f(b) \leftrightarrow b \in D_\alpha \leftrightarrow b \in D]$ , hence  $f(b)$  contains  $\sigma \setminus \{\alpha_n : n < \omega\}$  or is disjoint to it hence  $f(b) \in B_\sigma^*$ , so we are done.

2) Now  $B'_\sigma$  as a homomorphic image of a complete Boolean Algebra satisfies the countable separation principle hence satisfies  $|B'_\sigma| = |B'_\sigma|^{\aleph_0}$ , but  $\sigma = |[\sigma]^{<\aleph_0}| \leq |B'_\sigma| \leq |[\sigma]^{\aleph_0}| \times 2 = \sigma^{\aleph_0}$  so necessarily  $|B'_\sigma| = \sigma^{\aleph_0}$ . Also  $\sigma^{\aleph_0} \leq |B'_\sigma| \leq |\text{Ult}(B'_\sigma)| \leq 1 + \Sigma\{|D : D \text{ an ultrafilter on } B'_\sigma \text{ to which } a \text{ belongs}\} : a \in [\sigma]^{\leq \aleph_0}\} \leq 2^{2^{\aleph_0}} \times |B'_\sigma| = \sigma^{\aleph_0} + 2^{2^{\aleph_0}}$  and for any  $a \in [\sigma]^{\aleph_0}$  we have  $\{b \cap a : b \in B'_\sigma\} = \mathcal{P}(a)$  and necessarily there is such  $a$  hence  $|\text{Ult}(B'_\sigma)| \geq |\text{Ult}(\mathcal{P}(a))| = 2^{2^{\aleph_0}}$ , so together  $B'_\sigma$  has  $\sigma^{\aleph_0} + \beth_2$  ultrafilters.

3) Clearly  $a \in B'_\sigma \cap [\sigma]^{\leq \aleph_0} \Rightarrow \mathcal{P}(a) \subseteq B'_\sigma$ , hence there are no two disjoint members of  $[\sigma]^{\leq \aleph_0} \setminus B'_\sigma$  (as we can find  $b \in B'_\sigma$  separating them and  $b$  or  $\sigma \setminus b$  is in  $[\sigma]^{\aleph_0}$  and contains one of them). If  $[\sigma]^{\leq \aleph_0} \subseteq B'_\sigma$  we are done, if not let  $X^* \in [\sigma]^{\leq \aleph_0} \setminus B'_\sigma$  and replace  $\sigma$  by  $\sigma \setminus X^*$ .  $\square_{2.8}$

§3 IF  $d(B)$  IS SMALL, THEN DEPTH OR IND ARE NOT TINY

The following definition 3.1 inspires the main result of this section 3.3 though is not used. The result says that e.g.  $d(B) \leq \lambda = \lambda^\kappa < |B|$  &  $\kappa \geq \text{ind}^+(B) \Rightarrow \kappa \leq \text{Depth}(B)$ .

**3.1 Definition.** 1) We say  $\bar{a} = \langle a_\beta : \beta < \beta^* \rangle$  is semi-independent in  $B$  if: it is a sequence of distinct elements in a Boolean Algebra  $B$  and some ideal  $I$  on  $B$  witness the semi-independence of  $\bar{a}$  in  $B$ , which means:

$\square_{B,I,\bar{a}}$  for any  $\alpha < \gamma < \beta^*$  and  $b \in \langle a_\beta : \beta < \alpha \rangle_B$  we have

$$(*)_1 \quad b \in I \Rightarrow b \cap a_\gamma = b \cap a_\alpha$$

$$(*)_2 \quad b \notin I \Rightarrow \{b \cap a_\alpha, b \cap a_\gamma\} \text{ is an independent set in } B \upharpoonright b, \text{ (so e.g. } b \cap a_\alpha > 0)$$

$$(*)_3 \quad b \notin I, b \cap a_\alpha \in I \Rightarrow b \cap a_\gamma \in I$$

$$(*)_4 \quad b \notin I, b - a_\alpha \in I \Rightarrow b - a_\gamma \in I.$$

2)  $si^+(B) = \text{Min}\{\lambda : \text{there is no } \langle a_\beta : \beta < \lambda \rangle \text{ in } B \text{ which is semi-independent}\}$  and we say  $\bar{a} = \langle a_\beta : \beta < \lambda \rangle$  and  $I$  witness  $\lambda < si^+(B)$  if  $I$  witnesses the semi-independence of  $\bar{a}$  in  $B$ . Let  $si(B) = \sup\{\lambda : \text{there is a semi-independent sequence } \langle a_\beta : \beta < \lambda \rangle \text{ in } B\}$ .

3)  $si^{1+}(B)$  is defined similarly to  $si^+(B)$  except that we use  $\langle a_\beta : \beta < \lambda + 1 \rangle$ . We say  $I, \langle a_\beta : \beta \leq \lambda \rangle$  witness  $\lambda < si^{1+}(B)$ .

*Remark.* In fact as it is known that  $t^+(B) \leq \text{ind}^+(B) + \text{Depth}^+(B)$ , below part (2) of 3.2 follows from part (3) of 3.2; proof included for familiarity with  $si$ .

*3.2 Fact.* 0)  $si(B), si^{1+}(B) \leq si^+(B) \leq (si(B))^+$ .

$$1) \text{ind}^+(B) \leq si^+(B).$$

$$2) si^+(B) \leq t^+(B).$$

$$3) si^+(B) \leq \text{ind}^+(B) + \text{Depth}^+(B).$$

*Proof.* 0) Read the definition.

1)  $\text{ind}^+(B) \leq si^+(B)$  holds as independent implies semi-independent for the ideal  $\{0_B\}$ .

2) Let  $\lambda < si^+(B)$  and  $\langle a_\beta : \beta < \lambda \rangle, I$  witness it.

Let  $D$  be an ultrafilter on  $B$  disjoint to  $I$ . Without loss of generality  $(\forall \alpha)(a_\alpha \in D)$  or  $(\forall \alpha)(a_\alpha \notin D)$ . As we can replace  $\langle a_\beta : \beta < \lambda \rangle$  by  $\langle -a_\beta : \beta < \lambda \rangle$ , without loss of generality  $\bigwedge_{\beta < \lambda} a_\beta \in D$ . Let  $\beta_0 < \dots < \beta_{m-1} < \beta_m < \dots < \beta_{n-1}$ .

Let for  $k \in [m, n]$ ,  $b_k = \bigcap_{\ell < m} a_{\beta_\ell} \cap \bigcap_{\ell \in [m, k]} (-a_{\beta_\ell})$ . So  $b_m \in D$  as  $b_{\beta_0}, \dots, b_{\beta_{m-1}} \in D$ .

So  $b_m \notin I$ .

We should prove that  $b_n > 0$ , and let  $\beta_{n+\ell} =: \beta_{n-1} + 1 + \ell$ .

Let  $k \in [m, n]$  be maximal such that  $b_k \notin I$ .

So  $k$  is well defined, if  $k = n$  we are done as then  $b_n \notin I \Rightarrow b_n > 0$ , so we can assume  $k < n$ . Clearly  $b_k \in \langle b_\beta : \beta < \beta_k \rangle_B$  holds and  $\beta_k < \beta_{k+1}$  so in Definition 3.1(1) with  $(b_k, \beta_k, \beta_{k+1})$  here standing for  $(b, \alpha, \gamma)$  there the demands hence the conclusions of  $(*)_2 - (*)_4$  holds. Now by trivial reasons we have  $b_{k+1} \cap (-a_{\beta_{k+1}}) = b_k \cap (-a_{\beta_k}) \cap (-a_{\beta_{k+1}}) = (b_k \cap (-a_{\beta_k})) \cap (b_k \cap (-a_{\beta_{k+1}}))$ ; now by 3.1(1)  $(*)_2$  this is  $> 0$  and by the maximality of  $k$ ,  $b_{k+1} \in I$ , so by 3.1(1)  $(*)_1$  we have  $b_{k+1} \cap (-a_{\beta_{k+1}}) = b_{k+1} \cap (-a_{\beta_{k+2}}) = \dots$  hence  $b_{k+1} \cap (-a_{\beta_k}) = b_{k+2} = \dots = b_n$  so  $b_n \neq 0$ .

3) Let  $\langle a_\beta : \beta < \lambda \rangle, I$  witness  $\lambda < si^+(B)$ .

If  $\langle a_\beta : \beta < \lambda \rangle$  is independent we are done, so assume not, so let  $\beta^* < \lambda$  be minimal such that  $\langle a_\beta : \beta \leq \beta^* \rangle$  is not independent modulo  $I$ ; so  $\langle a_\beta : \beta < \beta^* \rangle$  is independent modulo  $I$  and for some  $b \in \langle a_\beta : \beta < \beta^* \rangle_B$  satisfying  $b > 0$ , (so  $b \notin I$  by the assumption on  $\beta^*$ ), we have  $b \cap a_{\beta^*} \in I$  or  $b - a_{\beta^*} \in I$ . Now by symmetry (as we can replace  $\langle a_\alpha : \alpha < \lambda \rangle$  by  $\langle -a_\alpha : \alpha < \lambda \rangle$ ) without loss of generality the former holds.

Hence  $\beta \in [\beta^*, \lambda) \Rightarrow b \cap a_\beta \in I$  (by  $(*)_3$  of  $\square_{B, I, \bar{a}}$  from Definition 3.1(1)) hence  $\beta^* \leq \beta < \gamma_1 < \gamma_2 < \lambda \Rightarrow b \cap a_\beta \cap a_{\gamma_1} = b \cap a_\beta \cap a_{\gamma_2}$  (by  $(*)_1$  of  $\square_{B, I, \bar{a}}$  from Definition 3.1(1) applied to  $b \cap b_\beta$ ) hence  $\beta(*) \leq \beta < \gamma < \lambda \Rightarrow b \cap a_\beta \cap a_{\beta+1} = b \cap a_\beta \cap a_{\beta+1} \cap a_\gamma = b \cap a_\beta \cap a_{\beta+1} \cap a_\gamma \cap a_{\gamma+1} \leq b \cap a_\gamma \cap a_{\gamma+1}$ .

So  $\langle b \cap a_\beta \cap a_{\beta+1} : \beta \in [\beta^*, \lambda) \rangle$  is increasing. Now for  $\beta$  from  $[\beta^*, \lambda)$ ,  $b \notin I, b \cap a_\beta \in I$  hence  $b - (b \cap a_\beta \cap a_{\beta+1})$  belongs to  $\langle a_j : j < \beta + 2 \rangle_B$  but does not belong to  $I$  hence by  $\square_{B, I, \bar{a}}(*)_2$  we have  $(b - (b \cap a_\beta \cap a_{\beta+1})) \cap a_{\beta+2} \cap a_{\beta+3} > 0$  hence  $b \cap a_\beta \cap a_{\beta+1} \neq b \cap a_{\beta+2} \cap a_{\beta+3}$  hence  $\langle b \cap a_{2\beta} \cap b_{2\beta+1} : 2\beta \in [\beta^*, \lambda) \rangle$  is strictly increasing in  $B$ , a required.  $\square_{3.2}$

**3.3 Claim.** Assume  $B$  is an infinite Boolean Algebra satisfying  $\kappa \geq ind^+(B)$  and  $d(B) \leq \lambda = \lambda^{<\kappa} < |B|$ . Then  $Depth^+(B) > \kappa$ .

*Remark.* I think that:

(\*) if in addition  $\lambda^+ \rightarrow (\mu + 1)_\sigma^3$  for every  $\sigma < \kappa$ , then  $Depth^+(B) > \mu$ .

*Proof.* Let  $\langle a_\alpha : \alpha < \lambda^+ \rangle$  be a list of pairwise distinct elements of  $B$ . As  $\lambda \geq d(B)$  without loss of generality  $B$  is a subalgebra of  $\mathcal{P}(\lambda)$ . Let  $B_\alpha = \langle \{a_\beta : \beta < \alpha\} \rangle_B$  and

$$E =: \{ \delta < \lambda^+ : B_\delta \cap \{a_\alpha : \alpha < \lambda^+\} = \{a_\alpha : \alpha < \delta\} \}$$

clearly it is a club of  $\lambda^+$ .

For every  $\delta \in S_0 =: \{ \delta \in E : \text{cf}(\delta) \geq \kappa \}$  we let  $I_\delta =: \{ b \in B_\delta : a_\delta \cap b \in B_\delta \}$ , so  $I_\delta$  is an ideal of the subalgebra  $B_\delta$  of  $B$ . Let  $\delta \in S_0$ , and  $J$  be an ideal on  $B_\delta$  and now we try to choose by induction on  $i < \kappa$ , an ordinal  $\alpha_{\delta, J, i}$  such that:

- (a)  $\alpha_{\delta, J, j} < \alpha_{\delta, J, i} < \delta$  for  $j < i$
- (b)  $\langle a_{\alpha_{\delta, J, j}} / J : j < i \rangle$  is independent in the Boolean Algebra  $B_\delta / J$ .

If we succeed, then  $\langle a_{\alpha_{\delta, J, i}} : i < \kappa \rangle$  contradict the assumption  $\kappa \geq \text{ind}^+(B)$ , so for some  $i(\delta, J) < \kappa$  we have:  $\alpha_{\delta, J, i}$  is defined iff  $i < i(\delta, J)$ . This is true in particular for  $J = I_\delta$ . So for some stationary  $S_1 \subseteq S_0$  and  $i(*) < \delta$  and  $\langle \alpha_i : i < i(*) \rangle$ , an increasing sequence of ordinals  $< \lambda^+$ , the set  $S_1 = \{ \delta \in S_0 : i(\delta, I_\delta) = i(*) \text{ and } i < i(*) \Rightarrow \alpha_{\delta, I_\delta, i} = \alpha_i \}$  is stationary.

Let  $\langle b_\gamma : \gamma < \gamma(*) \rangle$  list the non-zero Boolean combinations of  $\{a_{\alpha_i} : i < i(*)\}$  so  $\gamma(*) < \kappa$  (as  $\kappa \geq \text{ind}^+(B) \geq \aleph_1$ ) and for  $\delta \in S_1$  let  $Y_\delta \subseteq \gamma(*)$  be such that  $\gamma < \gamma(*)$  &  $\delta \in S_1 \Rightarrow [b_\gamma \in I_\delta \equiv \gamma \in Y_\delta]$ . As  $B$  is a subalgebra of  $\mathcal{P}(\lambda)$  we can choose a function  $H$  such that  $\text{Dom}(H) = B \setminus \{\emptyset\}$ ,  $H(c) \in c$  and define, for  $\mathbf{s} \in \{0, 1\}$  the function  $H_\delta^\mathbf{s}$  with domain  $\{c \in B_\delta : c \cap a_\delta^\mathbf{s} > 0_B\}$  by  $H_\delta^\mathbf{s}(c) = H(c \cap a_\delta^\mathbf{s})$ . Choose a function  $F_\delta$  such that  $\text{Dom}(F_\delta) = I_\delta$  and  $c \in I_\delta \Rightarrow F_\delta(c) = c \cap a_\delta \in B_\delta$ . Again for some  $\langle x_\gamma^\mathbf{s} : \gamma < \gamma(*) \text{ and } \mathbf{s} = 0, 1 \rangle$  and  $Y$  we have

$$S_2 = \{ \delta \in S_1 : Y_\delta = Y \text{ and for } \gamma \in \gamma(*) \setminus Y \text{ we have} \\ H(b_\gamma \cap a_\delta^\mathbf{s}) = x_\gamma^\mathbf{s} \text{ for } \mathbf{s} = 0, 1 \}$$

is a stationary subset of  $\lambda^+$ .

For each  $\delta \in S_2$  and  $\mathbf{t} \in \{0, 1\}$  and  $\gamma \in Y$  we try to choose by induction on  $i < \kappa$ ,  $B_{\delta, \gamma, \mathbf{t}, i}$  and an ordinal  $\beta_{\delta, \gamma, \mathbf{t}, i}$  such that:

- (a)'  $\beta_{\delta, \gamma, \mathbf{t}, j} < \beta_{\delta, \gamma, \mathbf{t}, i} < \delta$  for  $j < i$
- (b)'  $\beta_{\delta, \gamma, \mathbf{t}, i} > \alpha_j$  for  $j < i(*)$
- (c)'  $a_{\beta_{\delta, \gamma, \mathbf{t}, i}}^\mathbf{t} \cap b_\gamma \in I_\delta$   
(remember  $a^\mathbf{t}$  is  $a$  if  $\mathbf{t} = 1$  and is  $-a$  if  $\mathbf{t} = 0$ )

- (d)'  $B_{\delta,\gamma,\mathbf{t},i}$  is the smallest subalgebra of  $B_\delta$  containing  $\{b_\gamma\} \cup \{a_{\alpha_j} : j < i(*)\} \cup \{a_{\beta_{\delta,\gamma,\mathbf{t},j}} : j < i\}$
- (e)' if  $c \in I_\delta \cap B_{\delta,\gamma,\mathbf{t},i}$  then  $a_{\beta_{\delta,\gamma,\mathbf{t},i}} \cap c = F_\delta(c) = a_\delta \cap c$  (in fact just  $c \in \{b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}} : j < i\}$  suffice)
- (f)' if  $c \in B_{\delta,\gamma,\mathbf{t},i}$  and  $\mathbf{s} \in \{0,1\}$  then  $c \cap a_\delta^{\mathbf{s}} \neq 0 \Rightarrow H(c \cap a_\delta^{\mathbf{s}}) \in a_{\beta_{\delta,\gamma,\mathbf{t},i}}^{\mathbf{s}}$  (in fact just  $c \in \{b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}} : j < i\}$  suffice).

Now

- (\*) If for some  $\delta \in S_2$  and  $\gamma \in \gamma(*) \setminus Y$  and  $\mathbf{t} \in \{0,1\}$  we succeed, then we can prove  $\text{Depth}^+(B) > \kappa$ .

[Why? We just prove that  $\langle a_\delta^{\mathbf{t}} \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},i}}^{\mathbf{t}} : i < \kappa \rangle$  is strictly increasing. Let  $j < i < \kappa$ , so by clause (c)' we know that  $b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}} \in I_\delta$  but  $b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}} \in B_{\delta,\gamma,\mathbf{t},i}$  by clause (d)' hence  $a_{\beta_{\delta,\gamma,\mathbf{t},i}} \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}} = F_\delta(b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}}) = a_\delta \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}}$  by clause (e)' and it follows that  $(-a_{\beta_{\delta,\gamma,\mathbf{t},i}}) \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},i}}^{\mathbf{t}} = (-a_\delta) \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}}$ . So as  $\mathbf{t} \in \{0,1\}$  we always have  $a_{\beta_{\delta,\gamma,\mathbf{t},i}}^{\mathbf{t}} \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}} = a_\delta^{\mathbf{t}} \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}}$ . So

$$x \in a_{\beta_{\delta,\gamma,\mathbf{t},i}}^{\mathbf{t}} \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}} \Leftrightarrow x \in a_\delta^{\mathbf{t}} \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}}.$$

So if  $x \in a_\delta^{\mathbf{t}} \cap b_\gamma$  then

$$x \in a_{\beta_{\delta,\gamma,\mathbf{t},i}}^{\mathbf{t}} \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}} \Leftrightarrow x \in a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}}.$$

hence

$$x \in a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}} \Rightarrow x \in a_{\beta_{\delta,\gamma,\mathbf{t},i}}^{\mathbf{t}}.$$

The above statement means

$$x \in a_\delta^{\mathbf{t}} \cap b_\gamma \Rightarrow [x \in a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}} \Rightarrow x \in a_{\beta_{\delta,\gamma,\mathbf{t},i}}^{\mathbf{t}}]$$

hence  $\langle a_\delta^{\mathbf{t}} \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},i}}^{\mathbf{t}} : i < \kappa \rangle$  is  $\leq$ -increasing. But  $b_\gamma \notin I_\delta$  hence  $a_\delta^{\mathbf{t}} \cap b_\gamma \notin B_\delta$ , hence for  $j < i$ ,  $a_\delta^{\mathbf{t}} \cap b_\gamma \neq a_\delta^{\mathbf{t}} \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}}$  (as by clause (c)' we know that  $b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}} \in I_\delta$  so  $a_\delta^{\mathbf{t}} \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}} \in B_\delta$ ).

So  $0 < a_\delta^{\mathbf{t}} \cap b_\gamma - a_\delta^{\mathbf{t}} \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}} = (b_\gamma - a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}}) \cap a_\delta^{\mathbf{t}}$  hence  $x =: H((b_\gamma - a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}}) \cap a_\delta^{\mathbf{t}}) \in a_\delta^{\mathbf{t}}$  is well defined and belongs to  $a_{\beta_{\delta,\gamma,\mathbf{t},i}}^{\mathbf{t}}$  by clause (f)'. So  $x$  belongs to

$a_\delta^{\mathbf{t}}, b_\gamma - a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}}, a_{\beta_{\delta,\gamma,\mathbf{t},i}}^{\mathbf{t}}$  so it exemplifies  $a_\delta^{\mathbf{t}} \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},j}}^{\mathbf{t}} \neq a_\delta^{\mathbf{t}} \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},i}}^{\mathbf{t}}$ . So  $\langle a_\delta^{\mathbf{t}} \cap b_\gamma \cap a_{\beta_{\delta,\gamma,\mathbf{t},i}}^{\mathbf{t}} : i < \kappa \rangle$  is strictly increasing.]

We have proved (\*), so assume toward contradiction that for  $\delta \in S_2, \gamma \in \gamma(*) \setminus Y$  and  $\mathbf{t} \in \{0, 1\}$  the ordinal  $\beta_{\delta,\gamma,\mathbf{t},i}$  is well defined iff  $i < j(\delta, \gamma, \mathbf{t})$  where  $j(\delta, \gamma, \mathbf{t}) < \kappa$ . So again for some ordinals  $j(\gamma, \mathbf{t})$  and  $\beta_{\gamma,\mathbf{t},i}$  (for  $\gamma \in \gamma(*) \setminus Y, \mathbf{t} \in \{0, 1\}$  and  $i < j(\gamma, \mathbf{t})$ ) and  $B_{\gamma,\mathbf{t},i}$  we have

$$S_3 = \left\{ \delta \in S_2 : \text{for every } \gamma \in \gamma(*) \setminus Y \text{ we have} \right. \\ \left. \begin{aligned} j(\delta, \gamma, \mathbf{t}) &= j(\gamma, \mathbf{t}), [i < j(\gamma, \mathbf{t}) \Rightarrow \beta_{\delta,\gamma,\mathbf{t},i} = \beta_{\gamma,\mathbf{t},i}] \\ \text{and } [i \leq j(\gamma, \mathbf{t}) \Rightarrow B_{\delta,\gamma,\mathbf{t},i} &= B_{\gamma,\mathbf{t},i}] \end{aligned} \right\}$$

is stationary.

So for some stationary  $S_4 \subseteq S_3$  we have:  $\mathbf{s} \in \{0, 1\}$  &  $\delta_1, \delta_2 \in S_4 \Rightarrow H_{\delta_1}^{\mathbf{s}} \upharpoonright \bigcup_{\gamma,\mathbf{t},i} B_{\gamma,\mathbf{t},i} = H_{\delta_2}^{\mathbf{s}} \upharpoonright \bigcup_{\gamma,\mathbf{t},i} B_{\gamma,\mathbf{t},i}$  and  $F_{\delta_1}(y \cap a_{\delta_1}^{\mathbf{t}}) = F_{\delta_2}(y \cap a_{\delta_1}^{\mathbf{t}})$  for any  $y \in \bigcup_{\gamma,\mathbf{t},i} B_{\gamma,\mathbf{t},i}$

belonging to  $I_{\delta_2}$  (equivalently  $I_{\delta_1}$ ) and  $I_{\delta_1} \cap \bigcup_{\gamma,\mathbf{t},i} B_{\gamma,\mathbf{t},i} = I_{\delta_2} \cap \bigcup_{\gamma,\mathbf{t},i} B_{\gamma,\mathbf{t},i}$ . Let  $\delta_1 < \delta_2$

be in  $S_4$  and we shall get a contradiction as follows.

Recalling the choice of  $i(\delta_2, I_{\delta_2}), \langle \alpha_{\delta_2, I_{\delta_2}, i} : i < i(\delta_2, I_{\delta_2}) \rangle$ , clearly  $\delta_1$  cannot serve as  $\alpha_{\delta_2, I_{\delta_2}, i(\delta_2, I_{\delta_2})}$ , which means that for some  $\mathbf{t} \in \{0, 1\}$  and  $b \in \langle a_{\alpha_{\delta_2, I_{\delta_2}, i}} : i < i(\delta_2, I_{\delta_2}) \rangle_B \setminus \{0_B\}$  we have  $a_{\delta_1}^{\mathbf{t}} \cap b \in I_{\delta_2}$ , note that necessarily  $b \notin I_{\delta_2}$  by clause (b) for  $\delta_2$ . However, as  $\delta_2 \in S_1$  clearly  $i(\delta_2, I_{\delta_2}) = i(*), \alpha_{\delta_2, I_{\delta_2}, i} = \alpha_i$  for  $i < i(*)$ , so  $b \in \langle a_{\alpha_i} : i < i(*) \rangle_B \setminus \{0_B\}$  and  $b \notin I_{\delta_2}$  hence  $b = b_\gamma$  for some  $\gamma \in \gamma(*) \setminus Y_{\delta_2} = \gamma(*) \setminus Y$ . So as  $\delta_2 \in S_2$ , we have defined  $\langle \beta_{\delta_2, \gamma, \mathbf{t}, i} : i < j(\delta_2, \gamma, \mathbf{t}) \rangle$  but  $\delta_2 \in S_3$  hence  $\beta_{\delta_2, \gamma, \mathbf{t}, i} = \beta_{\gamma, \mathbf{t}, i}$  for  $i < j(\delta_2, \gamma, \mathbf{t}) = j(\gamma, \mathbf{t})$ . Also  $\delta_1 \in S_3$  hence  $\beta_{\delta_1, \gamma, \mathbf{t}, i} = \beta_{\gamma, \mathbf{t}, i}$  for  $i < j(\delta_1, \gamma, \mathbf{t}) = j(\gamma, \mathbf{t})$ . Can  $\delta_1$  serve as  $\beta = \beta_{\delta_2, \gamma, \mathbf{t}, j(\gamma, \mathbf{t})}$ ? Now clauses (a)', (b)' are trivial<sup>1</sup>, clause (c)' was proved above (as  $b_{\delta_1}$  cannot serve as  $\alpha_{\delta_2, I_{\delta_2}, i(\delta_2, I_{\delta_2})}$  and the choice of  $\gamma$  and  $\mathbf{t}$ ) and clause (d)' is a definition. Now clause (e)' holds as by the choice of  $S_4$  above for  $c \in I_{\delta_2} \cap B_{\delta_2, \gamma, \mathbf{t}, j(\delta_2, \gamma, \mathbf{t})} = I_{\delta_2} \cap B_{\gamma, \mathbf{t}, j(\gamma, \mathbf{t})} = I_{\delta_1} \cap B_{\delta_1, \gamma, j(\delta_1, \gamma, \mathbf{t})}$  we have  $a_{\delta_1} \cap c = F_{\delta_1}(c) = F_{\delta_2}(c) = a_{\delta_2} \cap c$  as required. Lastly for clause (f)', let  $c \in B_{\delta_2, \gamma, \mathbf{t}, i}$  and  $\mathbf{s} \in \{0, 1\}$  and  $c \cap a_{\delta_2}^{\mathbf{s}} \neq 0_B$  then, remembering  $\delta_1, \delta_2 \in S_4$ , we have  $H(c \cap a_{\delta_2}^{\mathbf{s}}) = H_{\delta_2}^{\mathbf{s}}(c) = H_{\delta_1}^{\mathbf{s}}(c) = H(c \cap a_{\delta_1}^{\mathbf{s}})$  hence  $(c \cap a_{\delta_1}^{\mathbf{s}}) \cap a_{\delta_2}^{\mathbf{s}} \neq \emptyset, H(c \cap a_{\delta_2}^{\mathbf{s}}) \in a_{\delta_1}^{\mathbf{s}}$  as required.

<sup>1</sup>in particular,  $\delta_1 > \alpha_i$  for  $i < i(*)$  because  $\delta_1 \in S_1$  and by clause (a),  $\alpha_i = \alpha_{\delta_1, I_{\delta_1}, i} < \delta_1$ ; similarly  $\delta_1 > \beta_{\gamma, \mathbf{t}, i}$

So actually  $\delta_1$  is a good candidate for  $\beta_{\delta, \gamma, \mathbf{t}, j(\gamma, \mathbf{t})}$ , a contradiction to the choice of  $j(\gamma, \mathbf{t}) = j(\delta_2, \gamma, \mathbf{t})$ , contradiction.  $\square_{3.3}$

*3.4 Conclusion.* 1) If  $\kappa = \text{Depth}^+(B) + \text{ind}^+(B)$ , then  $|B| \leq d(B)^{<\kappa}$ .

*3.5 Remark.* 1) Instead  $\lambda = d(B)^{<\kappa}$  we can use  $\lambda = \lambda^{<\kappa}$  such that:

(\*) there are no  $\gamma^* < \kappa$  and  $b_\gamma > 0$  for  $\gamma < \gamma^*$  and  $\langle a_\alpha : \alpha < \lambda^+ \rangle$  such that for every  $\alpha < \beta < \lambda^+$ , for some  $\gamma < \gamma^*$  in the Boolean Algebra  $B \upharpoonright b_\gamma$  we have:  $a_\gamma \cap a_\alpha \neq b_\gamma \cap a_\beta$  are disjoint or have disjoint compliment.

2) Can think of parallel replacing in  $(*)_2$  of 3.1(1), 2 by  $n$ , that is: let  $2 \leq n < \omega$ . We say  $\langle a_\beta : \beta < \beta^* \rangle$  is  $n$ -semi-independent (in the Boolean Algebra  $B$ ) if some  $I$  witnesses it which means  $a_\beta \in B$  are pairwise distinct and if for  $\alpha \leq \gamma_0 < \dots < \gamma_{n-1}$  and  $b \in \langle a_\beta : \beta < \alpha \rangle_B$  we have  $(*)_1, (*)_3, (*)_4$  and

$(*)_{2,n}$  in  $\{a_{\gamma_\ell} \cap b : \ell < n\}$  is independent in  $B \upharpoonright b$ .

There are some variants and I have not tried if this gives something interesting.

§4 ON OMITTING CARDINALS BY COMPACT SPACES

We continue Juhasz Shelah [JuSh 612]. We investigate what homomorphic images some Boolean Algebras may have, and (in 4.16) prove the topological analog of §2, showing the existence of some subspaces for Hausdorff spaces (not, necessarily compact).

Recall

**4.1 Definition.** 1)  $\mathbf{U}_\theta(\mu) = \text{Min}\{|\mathcal{P}| : \mathcal{P} \subseteq [\mu]^\theta \text{ and } (\forall X \in [\mu]^\theta)(\exists a \in \mathcal{P}) (|a \cap X| = \theta)\}$ .

2) Let  $\mathbf{a}_\theta(\mu) = \text{Min}\{|\mathcal{A}| : \mathcal{A} \subseteq [\mu]^\theta \text{ is } \theta\text{-MAD}\}$  where  $\mathcal{A} \subseteq [\mu]^\theta$  is called  $\theta$ -AD if  $A \neq B \in \mathcal{A} \Rightarrow |A \cap B| < \theta$  and we have  $\mathcal{A}$  is  $\theta$ -MAD when in addition  $\mathcal{A}$  is maximal under those restrictions.

*4.2 Remark.* 1) In the case  $\mu \geq 2^\theta$ , in which we are interested,  $\mathbf{U}_\theta(\mu) = \mathbf{a}_\theta(\mu) = |\mathcal{A}|$  whenever  $\mathcal{A}$  is  $\theta$ -MAD for  $\mu$ . (See more and connection of pcf theory [Sh 506], [Sh 589], but we do not use any non-trivial fact.)

2) We could have used other variants like  $\mu^{[\theta, \sigma]} = \text{Min}\{|\mathcal{P}| : \mathcal{P} \subseteq [\mu]^\theta, \text{ and every } A \in [\mu]^\theta \text{ is the union of } < \sigma \text{ members of } \mathcal{P}\}$  or  $\text{cov}(\mu, \theta^+, \theta, \sigma) = \mu$ .

3) Our main interest below is in regular  $\theta$ .

4) Recall that  $B \models "b = \bigcup_{i \in \mathcal{U}} a_i"$  if  $b$  is the lub of  $\{a_i : i \in \mathcal{U}\}$  in  $B$  and then we say " $\bigcup_{i \in \mathcal{U}} a_i$  exists (in the sense of  $\mathcal{B}$ )".

**4.3 Definition.** 1) For  $J_1 \subseteq J_2$  ideals of a Boolean Algebra  $\mathcal{B}$ , we say  $J_2$  is  $\theta$ -full over  $J_1$  inside  $\mathcal{B}$ , if  $(\alpha)$  or at least  $(\beta)$  where

$(\alpha)$   $\theta$  is regular and for every  $X \in [J_1]^\theta$  there is  $b \in J_2$  such that  $|\{x \in X : \mathcal{B} \models x \leq b\}| = \theta$

$(\beta)$   $\theta$  not necessarily regular and for every  $x_i \in J_1$  for  $i < \theta$  there is  $b \in J_2$  such that  $|\{i < \theta : x_i \leq b\}| = \theta$ .

(When  $\theta$  is regular, they are equivalent).

2) The ideal  $J$  of  $\mathcal{B}$  is  $\theta$ -full if:  $J$  is  $\theta$ -full over  $J$  inside  $\mathcal{B}$ .

3)  $J$  is  $\tau$ -local inside  $\mathcal{B}$ , if  $|\{b \in \mathcal{B} : \mathcal{B} \models b \leq x\}| \leq \tau$  for  $x \in J$ .

4) In part (1),  $J_2$  is strongly  $\theta$ -full over  $J_1$  inside  $\mathcal{B}$  if  $(\alpha)$  or just  $(\beta)$  where

$(\alpha)$   $\theta$  is regular and for every  $X \in [J_1]^\theta$  for some  $Y \in [X]^\theta$ , for every  $Z \subseteq Y$  we have  $\bigcup_{b \in Z} a$  exists in the sense of  $\mathcal{B}$  and belongs to  $J_2$



- ( $\beta$ )  $\theta$  is not necessarily regular and for every  $x_i \in J$  for  $i < \theta$ , for some  $Y \in [\theta]^\theta$ , for every  $Z \subseteq Y$ , the union  $\bigcup_{i \in Z} x_i$  in the sense of  $\mathcal{B}$  exists and belongs to  $J_2$ .

(For  $\theta$  regular ( $\alpha$ ), ( $\beta$ ) are equivalent).

4A) Similarly in part (2).

4.4 Fact: 1) If  $J_2$  is  $\theta$ -full over  $J_1$  inside  $\mathcal{B}$ ,  $h$  is a homomorphism from  $\mathcal{B}$  onto  $\mathcal{B}^*$  and  $J_\ell^* = h(J_\ell)$  for  $\ell = 1, 2$ , then  $J_2^*$  is  $\theta$ -full over  $J_1^*$  inside  $\mathcal{B}^*$ .

2) If  $J_2$  is  $\theta$ -full over  $J_1$  inside  $\mathcal{B}$  and  $J_2$  is  $\tau$ -local inside  $\mathcal{B}$ , then  $\mathbf{U}_\theta(|J_1|) \leq \tau^\theta + |J_2|$ .

3) If the ideal  $J$  of  $\mathcal{B}$  is  $\theta$ -full and  $\tau$ -local inside  $\mathcal{B}$ , then  $\mathbf{U}_\theta(|J|) \leq \tau^\theta + |J|$ .

4) In parts 2) and 3), if  $\tau = \theta$  then we get  $\mathbf{U}_\theta(|J_1|) \leq |J_2|$ ,  $\mathbf{U}_\theta(|J|) \leq |J|$  respectively.

*Proof.* 1) Trivial.

2) Let  $J_1 = \{a_i : i < |J_1|\}$ , let  $\mathcal{P}_y = \{X \subseteq |J_1| : |X| = \theta \text{ and } (\forall i \in X)(\mathcal{B} \models a_i \leq y)\}$  for each  $y \in J_2$ , so  $|\mathcal{P}_y| \leq \tau^\theta$ . Lastly, let  $\mathcal{P} = \cup\{\mathcal{P}_y : y \in J_2\}$  so  $|\mathcal{P}| \leq |J_2| \times \sup_{y \in J_2} |\mathcal{P}_y| \leq \tau^\theta + |J_2|$ . Easily  $\mathcal{P}$  is as required in Definition 4.1.

3) Follows by part (2).

4) Similar to the proof of part (2) only now  $\mathcal{P}_y = \{\{i < |J_1| : \mathcal{B} \models a_i \leq y\}\}$ .

□<sub>4.4</sub>

4.5 Fact: 1) Assume

- (\*)  $\lambda < \kappa \leq \mu \leq \kappa^\lambda$  and  $\Theta \subseteq \Theta_{\mu, \lambda} =: \{\theta \leq \lambda : \mathbf{U}_\theta(\mu) = \mu\}$  and  $\sigma = \text{cf}(\sigma) \leq \lambda^+$  and for every  $\theta \in \Theta$  we have  $\text{cf}(\theta) \neq \sigma$ , (clearly there is such cardinal:  $\sigma = \lambda^+$ ).

Then there is a Boolean algebra  $\mathcal{B}$  such that

- (a)  $|\mathcal{B}| = \mu$
- (b)  $\mathcal{B}$  is atomic with exactly  $\kappa$  atoms, say  $[\kappa]^{<\aleph_0} \subseteq \mathcal{B} \subseteq \mathcal{P}(\kappa)$
- (c)  $\mathcal{B}$  has a maximal ideal  $J$  which is  $2^\lambda$ -local, moreover  $x \in J \Rightarrow |\{y : \mathcal{B} \models "y \leq x \ \& \ y \text{ an atom (of } \mathcal{B})"\}| \leq \lambda$ ; in other words,  $J \subseteq [\kappa]^{\leq \lambda}$
- (d)  $J$  is  $\theta$ -full (inside  $\mathcal{B}$ ) for every  $\theta \in \Theta$
- (e) ( $\alpha$ ) if  $2^\lambda \leq \mu$  then  $\mathcal{P}(\lambda)$  is isomorphic to some  $\mathcal{B} \upharpoonright \{x : x \leq a\}$
- ( $\beta$ ) If  $2^\lambda > \mu$ ,  $\mathcal{B}_0 \subseteq \mathcal{P}(\lambda)$  has cardinality  $\leq \mu$  then in ( $\alpha$ ) we can replace  $\mathcal{P}(\lambda)$  by  $\mathcal{B}_0$ .

2) We can add in part (1)

- (f) if  $2^\lambda \leq \mu, x \in J$  and  $y \subseteq x$ , then  $y \in \mathcal{B}$
- (g)  $2^\lambda \leq \mu$  &  $\theta \in \Theta \Rightarrow J$  is strongly  $\theta$ -full inside  $\mathcal{B}$
- (h) if  $2^\lambda \geq \mu$  and we fix  $\mathcal{B}_0 \subseteq \mathcal{P}(\lambda)$  which has cardinality  $\leq \mu$  then for some subalgebra  $\mathcal{B}_1$  of  $\mathcal{P}(\lambda)$  extending  $\mathcal{B}_0$  we have
  - ( $\alpha$ ) for every  $x \in J \subseteq \mathcal{B}$  satisfying  $|x| = \lambda$  we have  $\mathcal{B} \upharpoonright x = \mathcal{B} \cap \mathcal{P}(x)$  is isomorphic to  $\mathcal{B}_1$
  - ( $\beta$ )  $\forall x \in J \exists y \in I(x \subseteq y \ \& \ |y| = \lambda)$
  - ( $\gamma$ ) if  $x, y \in \mathcal{B}_1$  and  $|x| = |y|$  then  $\mathcal{B}_1 \upharpoonright x \cong \mathcal{B}_1 \upharpoonright y$ .

*Proof.* 1), 2).

Case A:  $2^\lambda \leq \mu$ .

As  $\mu \leq \kappa^\lambda$  we can find pairwise distinct  $x_i \in [\kappa]^\lambda$  for  $i < \mu, x_0 = \{i : i < \lambda\}$ . Let  $J_0$  be the ideal of  $\mathcal{P}(\kappa)$  generated by  $\{x_i : i < \mu\} \cup \{\{\alpha\} : \alpha < \kappa\}$ , so  $J_0 \subseteq [\kappa]^{\leq \lambda}$  and  $|J_0| = \mu$  as  $\mu = |\{x_i : i < \mu\}| \leq |J_0| \leq \sum_{i < \mu} 2^{|x_i|} \leq \mu + 2^\lambda = \mu$ . We choose

by induction on  $\zeta \leq \sigma$ , an ideal  $J_\zeta$  of  $\mathcal{P}(\kappa), J_\zeta \subseteq [\kappa]^{\leq \lambda}, |J_\zeta| = \mu, J_\zeta$  is increasing continuous in  $\zeta$ . For  $\zeta = 0, J_0$  was defined, for  $\zeta$  limit let  $J_\zeta = \bigcup_{\varepsilon < \zeta} J_\varepsilon$ . For  $\zeta = \varepsilon + 1,$

let  $J_\varepsilon = \{a_i^\varepsilon : i < \mu\}$ , for  $\theta \in \Theta$  let  $\mathcal{P}_\theta^\varepsilon \subseteq [\mu]^{\leq \theta}$  exemplifies  $\mathbf{U}_\theta(\mu) = \mu$  (which follows from  $\theta \in \Theta$ ), so  $|\mathcal{P}_\theta^\varepsilon| \leq \mu$ , and let  $J_\zeta$  be the ideal of  $\mathcal{P}(\kappa)$  generated by  $J_\varepsilon \cup \{\bigcup_{i \in x} a_i^\varepsilon : x \in \mathcal{P}_\theta^\varepsilon \text{ for some } \theta \in \Theta\}$ , easy to check the inductive demand.

It is also easy to check that then  $J_{\zeta+1}$  is  $\theta$ -full over  $J_\zeta$  (inside  $\mathcal{P}(\kappa)$ ), when  $\theta \in \Theta$ .

Let  $J = J_\sigma, \mathcal{B} = J \cup \{\kappa \setminus x : x \in J\}$  = the Boolean subalgebra of  $\mathcal{P}(\kappa)$  which  $J$  generates. Clearly  $J$  is  $\theta$ -full over  $J$  inside  $\mathcal{B}$ . (If  $\sigma = \lambda^+, X \subseteq J, |X| \leq \lambda$ , as  $\text{cf}(\theta) < \sigma = \text{cf}(\sigma)$  and  $\langle J_\zeta : \zeta \leq \sigma \rangle$  is increasing continuous clearly for some  $\zeta < \lambda^+$  we have  $X \subseteq J_\zeta$ , so  $|X| \in \Theta \Rightarrow (\exists y \in J_{\zeta+1})[|X| = |\{x \in X : B \models x \in y\}|]$ . If  $\sigma < \lambda^+$  and  $|X| \in \Theta$ , then  $\text{cf}(\theta) \neq \sigma = \text{cf}(\sigma)$  and  $\langle J_\zeta : \zeta \leq \sigma \rangle$  is increasing continuous hence for some  $\zeta < \sigma, |X \cap J_\zeta| = \theta$  and proved as above.) So easily  $\mathcal{B}, J$  are as required.

Case B:  $2^\lambda > \mu$ .

First, add  $\mathcal{B}_0$  to  $\bar{J}_0$ . Second, we should replace above everywhere “ $J_\zeta$  is the ideal of  $\mathcal{P}(\kappa)$  such that ...” “ $J_\zeta$  is the Boolean subring of  $\mathcal{P}(\kappa)$  such that ...”; and also choose  $\mathfrak{B}_\zeta \prec (\mathcal{H}(\chi), \in)$  of cardinality  $\mu$  increasing continuous,  $\langle \mathfrak{B}_\varepsilon : \varepsilon \leq \xi \rangle \in \mathfrak{B}_{\xi+1}, \mu + 1 \subseteq \mathfrak{B}_\zeta$  and use  $J_\zeta = \mathfrak{B}_\zeta \cap [\mu]^{\leq \lambda}$ . □<sub>4.5</sub>

**4.6 Claim.** 1) If  $\mathcal{B}, J$  (and  $\lambda, \kappa, \mu, \Theta$ ) are as in fact 4.5(1),(2),  $2^\lambda \leq \mu$  and  $\mathcal{B}^*$  is a homomorphic image of  $\mathcal{B}$  and  $\|\mathcal{B}^*\| \geq 2^\lambda$ , then  $\theta \in \Theta \Rightarrow \mathbf{U}_\theta(\|\mathcal{B}^*\|) = \|\mathcal{B}^*\|$ .  
 2) Hence if  $\theta \in \Theta, 2^\lambda \leq \chi < \kappa, (\forall \alpha < \chi)(|\alpha|^{<\theta} < \chi \ \& \ \text{cf}(\chi) = \theta)$ , then  $\|\mathcal{B}^*\| \notin [\chi, \chi^\theta]$ .  
 3) Also it follows that the number of ultrafilters of  $\mathcal{B}^*$  is  $\leq 2^{2^\lambda} + \|\mathcal{B}^*\|$ . Moreover, if  $\|\mathcal{B}^*\| > 2^\lambda \ \& \ \lambda \in \Theta$  equality holds (in any case  $|\text{Ult}(\mathcal{B}^*)| \geq \|\mathcal{B}^*\|$ ).

**4.7 Remark.** 1) Note that in 4.6(2), if  $\chi^\theta = \chi$  then the conclusion says nothing as  $[\chi, \chi^\theta] = \emptyset$  (this occurs e.g. if  $\chi = 2^\lambda$ ).  
 2) In second clause of 4.6(3), the assumption “ $\lambda \in \Theta$ ” can be weakened to “if  $X \subseteq J, |X| = (2^\lambda)^+$  then for some  $y \in I$  we have  $\lambda = |\{x \in X : x \leq y\}|$ ”, to get this we need much less than  $\mathbf{U}_\lambda(\mu) = \lambda$ .

*Proof.* 1) 2), 3) Let  $h^* : \mathcal{B} \rightarrow \mathcal{B}^*$  be a homomorphism from  $\mathcal{B}$  onto  $\mathcal{B}^*$ , let  $J^* = \{h^*(x) : x \in J\}$ . First assume  $1_{\mathcal{B}^*} \in J^*$ , this means that for some  $x \in J, h^*(x) = 1_{\mathcal{B}^*}$ , so  $\mathcal{B} \upharpoonright x =: \mathcal{B} \upharpoonright \{y : \mathcal{B} \models y \leq x\}$  has  $\mathcal{B}^*$  as a homomorphic image so  $\|\mathcal{B}^*\| \leq |\mathcal{P}(x)| \leq 2^\lambda$ , and the number of ultrafilters of  $\mathcal{B}^*$  is  $\leq 2^{2^\lambda}$ ; also if  $\|\mathcal{B}^*\| \geq 2^\lambda$  we get  $\|\mathcal{B}^*\| = 2^\lambda$  hence  $\mathbf{U}_\theta(\|\mathcal{B}^*\|) = \mathbf{U}_\theta(2^\lambda) = 2^\lambda$  as  $(2^\lambda)^\theta = 2^\lambda$ ; this finishes. So assume  $1_{\mathcal{B}^*} \notin J^*$ , hence  $J^*$  is a maximal ideal of  $\mathcal{B}^*$ , also clearly  $J^*$  is  $\theta$ -full inside  $\mathcal{B}^*$  for every  $\theta \in \Theta$  (by Fact 4.4(1)). As  $J$  is  $2^\lambda$ -local (see Fact 4.5(c)), clearly  $J^*$  is  $2^\lambda$ -local, hence by 4.4(2), (letting  $\chi =: |J^*|$ ), we have  $\mathbf{U}_\theta(\chi) \leq (2^\lambda)^\theta + \chi$ , but  $\mathbf{U}_\theta(\chi) \geq \chi \geq 2^\lambda = (2^\lambda)^\theta$  so  $\mathbf{U}_\theta(\chi) = \chi$ . Also  $\|\mathcal{B}^*\| = \chi + \chi = \chi$ . So we have gotten the conclusion of 4.6(1). Now 4.6(2) follows easily as  $\mathbf{U}_\theta(\chi) \geq \chi^\theta$  as  $\mathcal{P} =: \{\{\eta \upharpoonright \alpha : \alpha < \theta\} : \eta \in \chi^\theta\}$  is a  $\theta$ -AD family of subsets of  $X =: \{\eta : \eta \in {}^{\theta>} \chi\}$  now  $|X| = \chi^{<\theta} = \chi$  while the family  $\mathcal{P}$  has cardinality  $\chi^\theta$ , see 4.2(1).

[In details: first note that  $\chi = |{}^{\theta>} \chi|$ . Hence it suffices to assume that  $\mathcal{A} \subseteq [{}^{\theta>} \chi]^\theta$  and  $\forall X \in [{}^{\theta>} \chi]^\theta \exists a \in \mathcal{A} [|a \cap X| = \theta]$ , and show that  $|\mathcal{A}| = \chi^\theta$ . Let  $\mathcal{P} = \{\{\eta \upharpoonright \alpha : \alpha < \theta\} : \eta \in {}^\theta \chi\}$ . Thus  $\forall \eta \in {}^\theta \chi \exists a \in \mathcal{A} [|\{\eta \upharpoonright \alpha : \eta \upharpoonright \alpha \in a\}| = \theta]$ . So

$$(*) \quad {}^\theta \chi = \bigcup_{a \in \mathcal{A}} \{\eta \in {}^\theta \chi : |\{\alpha < \theta : \eta \upharpoonright \alpha \in a\}| = \theta\}.$$

Now fix  $a \in \mathcal{A}$  and let  $T_a = \{\eta \in {}^\theta \chi : |\{\alpha < \theta : \eta \upharpoonright \alpha \in a\}| = \theta\}$ . For each  $\eta \in T_a$  let  $f(\eta) = \{\eta \upharpoonright \alpha : \eta \upharpoonright \alpha \in a\}$ . Clearly  $f$  is a one-to-one function. Hence  $|T_a| \leq 2^\theta \leq 2^\lambda \leq \chi$ . Hence by (\*),  $\chi^\theta \leq |\mathcal{A}| \cdot 2^\lambda$ . Since  $2^\lambda < \chi^\theta$ , it follows that  $|\mathcal{A}| = \chi^\theta$ .

Lastly, for 4.6(3), if  $D$  is an ultrafilter of  $\mathcal{B}^*$  then either  $D = \mathcal{B}^* \setminus J^*$  or for some  $x \in J^*, x \in D$  but for each  $x \in J^*, \mathcal{B}^* \upharpoonright \{y \in \mathcal{B}^* : y \leq x\}$  has  $\leq 2^\lambda$  members so

the number of ultrafilters of  $\mathcal{B}$  to which  $x$  belongs is  $\leq 2^{2^\lambda}$ , that means

**4.8 Fact:** If the Boolean Algebra  $\mathcal{B}^*$  has a  $\tau$ -local maximal ideal, then  $|\text{Ult}(\mathcal{B}^*)| \leq 2^\tau + \|\mathcal{B}^*\|$ .

Last point is the second sentence in part (3); that is we assume  $\chi =: \|\mathcal{B}^*\| > 2^\lambda$ ; we have to prove that  $\mathcal{B}^*$  has  $\geq 2^{2^\lambda}$  ultrafilters. Let  $x_i \in J$  for  $i < \chi$  be such that  $i < j < \chi \Rightarrow h^*(x_i) \neq h^*(x_j)$  (possible as  $\|\mathcal{B}^*\| = |J^*|$ ). So by the  $\Delta$ -system argument without loss of generality for some  $x, i < j < (2^\lambda)^+ \Rightarrow x_i \cap x_j = x$ . Also  $i < j < \chi \Rightarrow x_i \neq x_j$  hence  $\langle x_i - x : i < (2^\lambda)^+ \rangle$  is a sequence of  $(2^\lambda)^+$  pairwise disjoint non-zero (in the sense of  $\mathcal{B}$ ) members of  $\mathcal{B}$ . Now we know that  $J$  is  $\lambda$ -full (ideal of  $\mathcal{B}$ , by 4.5(1), clause (d),  $J$  is  $\theta$ -full for every  $\theta \in \Theta$ ). Apply the definition of “ $J$  is  $\lambda$ -full” to the set  $\langle x_i - x : i < \lambda \rangle$  so we can find  $y \in \mathcal{B}$  such that  $w = \{i < \lambda : x_i - x \leq y\}$  has cardinality  $\lambda$  (remember  $\lambda \in \Theta$  by assumption of the second inequality in 4.6(3)). Hence  $\mathcal{P}(\cup\{x_i - x : i \in w\}) \subseteq \mathcal{B}$ . Now for every set  $u \subseteq w, z_u = \bigcup_{i \in u} (x_i - x)$  by clause (f) of 4.5(2) belongs to  $\mathcal{B}$  and  $[i \in u \Rightarrow x_i - x \leq z_u]$  and  $[i \in w \setminus u \rightarrow (x_i - x) \cap z_u = 0]$ . But  $h^*$  is a homomorphism so in  $\mathcal{B}^*$  we have  $(\forall u \subseteq w)(\exists z \in \mathcal{B}^*)([i \in u \rightarrow h^*(x_i - x) \leq z] \ \& \ [i \in w \setminus u \Rightarrow h^*(x_i - x) \cap z = 0])$ . Hence  $\mathcal{B}^*$  has a homomorphic image isomorphic to  $\mathcal{P}(\lambda)$ , just let  $D_i$  be an ultrafilter of  $\mathcal{B}^*$  to which  $h(x_i - x)$  belongs and  $g : \mathcal{B}^* \rightarrow \mathcal{P}(\lambda)$  by  $g(a) = \{i : a \in D_i\}$ . Hence  $\mathcal{B}$  has  $\geq 2^{2^\lambda}$  ultrafilters.

Together we finish. □<sub>4.6</sub>

\* \* \*

By claims 4.5, 4.6 we really finish. Let me point some specific conclusions: conclusion 4.9 is the theorem of Juhasz Shelah [JuSh 612].

**4.9 Conclusion.** For every  $\kappa > 2^\lambda$ , there is a Boolean algebra  $\mathcal{B}_\kappa$  such that:  $\mathcal{B}_\kappa$  is atomic with  $\kappa$  atoms,  $\|\mathcal{B}_\kappa\| = \kappa^\lambda$  and for every homomorphic image  $\mathcal{B}^*$  of  $\mathcal{B}_\kappa$  of cardinality  $\chi > 2^\lambda$  we have  $\chi = \chi^\lambda$  and the number of ultrafilters of  $\mathcal{B}^*$  is  $2^{2^\lambda} + \chi$  in particular  $\chi \in [\kappa, \kappa^\lambda)$  is impossible.

*Proof.* We apply fact 4.5 + claim 4.6 to  $\mu = \kappa^\lambda$  and our  $\kappa$ , so  $\Theta = \{\theta : \theta \leq \lambda\}$ . So for  $\Theta$  we get  $\mathcal{B}$ . Let  $\mathcal{B}^*$  be a homomorphic image of  $\mathcal{B}$  (equivalently, a quotient of  $\mathcal{B}$ ) and  $\chi = \|\mathcal{B}^*\| > 2^\lambda$ . So  $\theta \in \Theta \Rightarrow \mathbf{U}_\theta(\chi) = \chi$ , now if  $\chi < \chi^\lambda$  let  $\sigma = \text{Min}\{\theta : \chi^\theta > \chi\}$ , so  $\sigma = \text{cf}(\sigma) \in \Theta$  and  $\chi^{<\sigma} = \chi < \chi^\sigma$  and (as in the proof of 4.6) we get a contradiction to  $\mathbf{U}_\sigma(\chi) = \chi$ . For the number of ultrafilters use 4.6(3). □<sub>4.9</sub>

**4.10 Conclusion.** If  $\lambda$  is strong limit singular (e.g.  $\beth_\omega$ ) and  $2^\lambda < \kappa \leq \mu \leq \kappa^\lambda$ , then there is an atomic Boolean Algebra  $\mathcal{B}$  with  $\kappa$  atoms,  $|\mathcal{B}| = \mu$  such that: for every large enough regular  $\theta < \lambda$  we have:

every homomorphic image  $\mathcal{B}^*$  of  $\mathcal{B}$  of cardinality  $> (2^\lambda)^+$  satisfies  
 $\mathbf{U}_\theta[\|\mathcal{B}^*\|] = \|\mathcal{B}^*\|$   
 (so for any cardinality  $\tau$ , we have  $\|\mathcal{B}^*\| \in [\tau^{<\theta}, \tau^\theta)$  is impossible).

*Proof.* By conclusion 4.5 + 4.6 as by [Sh 460] for every regular large enough  $\theta < \lambda$  we have  $\mathbf{U}_\theta(\mu) = \mu$ . □<sub>4.10</sub>

**4.11 Remark.** In addition to  $\mathbf{U}_\theta(-)$  we can use other functions (e.g. as in [Sh 589, §1], even if their number is  $> \mu$  it does not matter as for each  $\chi \in (2^\lambda, \mu)$  we can choose one) but does not seem worth elaborating.

**4.12 Remark.** Assume  $\kappa$  is strong limit singular of cofinality  $\theta^* < \lambda < \kappa$ . There are many  $\mu$  above  $\kappa$  (and if  $2^\kappa$  large enough, below  $2^\kappa$ ) such that  $\Theta_{\mu,\lambda} = \{\theta \leq \lambda : \text{cf}(\theta) \neq \theta^*\}$ . E.g.  $\mu \in \{\kappa^{+n} : n < \omega\}$ , also (see [Sh:g, Ch.IX]) for a club  $E$  of  $\lambda^{+4}$ ,  $\delta \in E$  &  $\text{cf}(\delta) \geq \lambda^+$  &  $n < \omega \Rightarrow \Theta_{\kappa+\delta+n,\lambda} = \{\theta \leq \lambda : \text{cf}(\theta) \neq \theta^*\}$ .

**4.13 Claim.** In Claim 4.6 we can add (see [Sh 460] or below)

- (a) if  $\theta \leq \lambda$  and  $\mu = \mu^{[\theta]}$  then  $\|\mathcal{B}^*\|^{[\theta]} = \|\mathcal{B}^*\|$
- (b) similar more general condition (see [Sh 589, §1,end]).

*Remark.* Recall  $\mu^{[\theta]} = \text{Min}\{|\mathcal{P}| : \mathcal{P} \subseteq [\mu]^\theta \text{ and every } x \in [\mu]^\theta \text{ is included in the union of } < \theta \text{ members of } \mathcal{P}\}$ .

**4.14 Conclusion.** If  $\mu$  is strongly limit singular and  $\text{cf}(\mu) \leq \theta < \mu$ , then for some atomic Boolean Algebra  $\mathcal{B}$  we have:

- (a)  $\mathcal{B}$  has cardinality  $\mu$
- (b)  $\mathcal{B}$  has  $\mu$  ultrafilters
- (c) if  $\mathcal{B}^*$  is a homomorphic image of  $\mathcal{B}$  of singular strong limit cardinality  $\chi > \theta$ , then  $\text{cf}(\chi) = \text{cf}(\mu)$  and  $\mathcal{B}^*$  has  $\chi$  ultrafilters
- (d) if  $\mathcal{B}^*$  is a homomorphic image of  $\mathcal{B}$ ,  $\chi = \|\mathcal{B}^*\| > 2^\lambda$ , then  $\chi^{<\text{cf}(\mu)} = \chi$  and  $\chi = \text{cov}(\chi, \theta^+, \theta^+, (\text{cf}(\mu))^+)$ .

*Proof.* By 4.6 and 4.13.

Another example

*4.15 Conclusion.* If  $\mu$  is strong limit singular,  $\theta = \text{cf}(\delta) < \text{cf}(\mu)$ ,  $\mu^{+\delta} < 2^\mu$ ,  $(\mu^{+\delta})^{<\theta} = \mu^{+\delta}$  and  $\text{cf}([\mu^{+\delta}]^{<\text{cf}(\mu)}, \subseteq) = \mu^{+\delta}$ , then for some Boolean Algebra  $\mathcal{B}$  of cardinality  $\mu^{+\delta}$  it has  $\mu^{+\delta}$  ultrafilters, and for every homomorphic image  $\mathcal{B}^*$  of  $\mathcal{B}$  of cardinality  $\chi$ ,  $2^{\text{cf}(\mu)} < \chi < \mu$  we have:

(\*) if  $\chi$  is strong limit then  $\text{cf}(\chi) \geq \text{cf}(\mu)$  or  $\text{cf}(\chi) = \text{cf}(\delta)$ .

We now turn to topological version of the results of §2.

**4.16 Claim.** *Assume*

- (a)  $\lambda$  is strong limit singular,  $\kappa = \text{cf}(\lambda)$  and  $\kappa = \text{cf}(\mu) < \mu \leq \lambda$
- (b)  $X$  is a Hausdorff topological space with  $w(X) = \lambda$ .

Then  $X$  has a closed subset  $Y$  such that:

- ( $\alpha$ )  $\mu \leq w(Y) \leq 2^{<\mu}$
- ( $\beta$ )  $\mu \leq |Y| \leq \sum_{\theta < \mu} 2^{2^\theta}$ .

*Remark.* 1) If we speak on Boolean Algebras  $\mathcal{B}$ , let  $X = \text{Ult}(\mathcal{B})$ , so  $w(X) = |\mathcal{B}|$  and  $\{Y : Y \subseteq X \text{ closed}\} = \{\text{Ult}(\mathcal{B}/I) : I \text{ ideal of } \mathcal{B}\}$  essentially.

2) So this result reasonably compliments Juhasz [Ju1], Juhasz Shelah [JuSh 612].

*Proof.* Case 1:  $\mu = \lambda$ .

Let  $W = \{\mathcal{U}_i : i < \lambda\}$  be a basis of  $X$ . Choose  $\langle \lambda_i : i < \kappa \rangle$  an increasing continuous sequence with limit  $\lambda$ ,  $\lambda_0 = 0$ ,  $(\forall \sigma < \lambda_{i+1})[\sigma^{\lambda_i} < \lambda_{i+1} = \text{cf}(\lambda_{i+1})]$ . As  $|X| \geq \lambda$  (as  $w(X) = \lambda$  and  $\lambda$  is strong limit) necessarily  $s^+(X) > \lambda$ , see Juhasz [Ju], so there is  $\{y_\alpha : \alpha < \lambda\} \subseteq X$ , (with no repetitions) which is discrete. We can choose  $Z_i \in [\lambda_{i+1}]^{\lambda_{i+1}}$  such that

$$(\forall \alpha < \lambda_i) \left( \bigwedge_{\zeta \in Z_i} y_\zeta \in \mathcal{U}_\alpha \vee \bigwedge_{\zeta \in Z_i} y_\zeta \notin \mathcal{U}_\alpha \right)$$

(as the  $\lambda_i$  sets  $\langle \mathcal{U}_\alpha : \alpha < \lambda_i \rangle$  divides  $\lambda_{i+1}$  to  $\leq 2^{\lambda_i} < \lambda_{i+1} = \text{cf}(\lambda_{i+1})$  parts; of course possibly  $[\zeta \in Z_i \Rightarrow y_\zeta \in \mathcal{U}_0]$  while  $[\zeta \in Z_i \Rightarrow y_\zeta \notin \mathcal{U}_1]$ ).

By renaming without loss of generality  $Z_i = [\lambda_i, \lambda_{i+1})$ . Let  $Y^* = \text{cl}\{y_\alpha : \alpha < \lambda\}$ . It suffices to prove that  $|Y^*| = \lambda$  (i.e. clause  $(\beta)$ , noting that clause  $(\alpha)$  holds trivially), for this it suffices to prove

(\*) if  $x \in Y^*$ , then for some  $i < \kappa$  we have

$$x \in \text{cl}(\{y_\alpha : \alpha < \lambda_i\} \cup \{y_{\lambda_j} : j < \kappa\}).$$

If  $x$  contradicts (\*), then for every  $i < \lambda$  there is  $\alpha_i < \lambda$  such that  $x \in \mathcal{U}_{\alpha_i}, \mathcal{U}_{\alpha_i} \cap (\{y_\alpha : \alpha < \lambda_i\} \cup \{y_{\lambda_j} : j < \kappa\}) = \emptyset$ .

Now  $\alpha_i < \lambda = \bigcup_{j < \kappa} \lambda_j$  so for some  $j, \alpha_i < \lambda_j$ , so  $\mathcal{U}_{\alpha_i} \cap \mathcal{U}_{\alpha_j}$  is an open neighborhood of  $x$  (as  $\mathcal{U}_{\alpha_i}, \mathcal{U}_{\alpha_j}$  are) disjoint to  $\{y_\alpha : \alpha < \lambda_j\}$  as  $\mathcal{U}_{\alpha_j}$  is, and for each  $\beta \in [\lambda_j, \lambda)$  we have

(\*\*) for some  $\zeta \in [j, \kappa)$  we have  $\beta \in [\lambda_\zeta, \lambda_{\zeta+1})$  and so (as  $\alpha_i < \lambda_j, j \leq \zeta$ ) we have  $y_\beta \in \mathcal{U}_{\alpha_i} \Leftrightarrow y_{\lambda_\zeta} \in \mathcal{U}_{\alpha_i}$ ; but  $y_{\lambda_\zeta} \notin \mathcal{U}_{\alpha_i}$  by the choice of  $\mathcal{U}_{\alpha_i}$ , hence

$$\beta \in [\lambda_j, \lambda] \Rightarrow y_\beta \notin \mathcal{U}_{\alpha_i} \Rightarrow y_\beta \notin \mathcal{U}_{\alpha_i} \cap \mathcal{U}_{\alpha_j}.$$

So  $\mathcal{U}_{\alpha_i} \cap \mathcal{U}_{\alpha_j}$  is an open neighborhood of  $x$  disjoint to  $\{y_\alpha : \alpha < \lambda\}$  so  $x \notin Y^*$ , contradiction so (\*) holds, hence we are done.

Case 2:  $\mu < \lambda$ .

Let  $\mu = \sum_{i < \kappa} \mu_i, \mu_i$  strictly increasing with  $i$ . Repeat the above and let

$Y' = \text{cl}\{y_\alpha : \alpha \in [\lambda_i, \lambda_i + \mu_i) \text{ for some } i\}$ .

So clearly

$$\begin{aligned} y \in Y' &\Rightarrow y \in \text{cl}\{y_\alpha : \alpha \in \bigcup_{i < \kappa} [\lambda_i, \lambda_i + \mu_i)\} \\ &\Rightarrow \bigvee_{j < \kappa} y \in \text{cl}(\{y_\alpha : \alpha \in \bigcup_{i < j} [\lambda_i, \lambda_i + \mu_i)\} \cup \{y_{\lambda_i} : i < \kappa\}). \end{aligned}$$

So clearly  $\mu = \sum_{i < \kappa} \mu_i \leq |Y'| \leq \sum_{i < \kappa} 2^{2^{\mu_i}}$ .

Consider the family  $W' = \bigcup_{\substack{j < \kappa \\ A \subseteq \kappa}} W_{j,A}$  where

$$W_{j,A} = \left\{ \mathcal{U}_\alpha \cap Y' : \alpha < \lambda_j \text{ and } [i \in [j, \kappa) \cap A \Rightarrow \bigwedge_{\alpha \in [\lambda_i, \lambda_i + \mu_i]} y_\alpha \in \mathcal{U}_\alpha] \right. \\ \left. \text{and } [i \in [j, \kappa) \setminus A \Rightarrow \bigvee_{\alpha \in [\lambda_i, \lambda_i + \mu_i]} y_\alpha \notin \mathcal{U}_\alpha] \right\}.$$

□<sub>1</sub>  $W'$  is a family of open subsets of  $Y'$ .

[Why? As each  $\mathcal{U}_\alpha \in W'$  is open subset of  $X$ .]

□<sub>2</sub>  $W'$  is a basis of  $Y'$ .

[Why? Let  $z \in Y', z \in \mathcal{U}, \mathcal{U}$  an open subset of  $X$ . As  $W = \{\mathcal{U}_\alpha : \alpha < \lambda\}$  is a basis of  $X$ , for some  $\alpha < \lambda$  we have  $z \in \mathcal{U}_\alpha \subseteq \mathcal{U}$ . As  $z \in Y'$ , for some  $i(0) < \kappa$  and  $\varepsilon < \mu_{i(0)}$  we have  $z = y_{\lambda_{i(0)} + \varepsilon}$  and as  $\alpha < \lambda$  for some  $i(1) < \kappa$  we have  $\alpha < \lambda_{i(1)}$ . Lastly, let  $j < \kappa$  be  $> i(0)$  and  $> i(1)$  and  $A = \{i < \kappa : y_{\lambda_i} \in \mathcal{U}_\alpha\}$ . Clearly  $\alpha$  satisfies the requirements in the definition of  $W_{j,A}$  so  $\mathcal{U}_\alpha \cap Y' \in W_{j,A}$ , as  $W_{j,A} \subseteq W'$  we are done.]

□<sub>3</sub>  $W'$  has cardinality  $\leq \sum_{\theta < \mu} 2^{2^\theta}$ .

[Why?  $|W'| \leq \sum \{|W_{j,A}| : j < \kappa, A \subseteq \kappa\} \leq \sum \{2^{\lambda_j} : j < \kappa, A \subseteq \kappa\} \leq \sum \{2^{\lambda_j}, 2^\kappa : j < \kappa\} = \sum \{2^{\lambda_j} : j < \kappa\} = \sum_{\theta < \mu} 2^{2^\theta}$  (the second inequality by

the definition of  $W_{j,A}$  the only freedom left is about  $U_\alpha \cap [0, \lambda_j]$ .)

So clearly we are done.

□<sub>4.16</sub>

We may consider this in the following framework (see [M2] for such functions)

**4.17 Definition.** 1) For a Boolean Algebra  $\mathcal{B}$  let

$$\text{wcSp}(B) = \{(\|\mathcal{B}'\|, \text{ult}(\mathcal{B}')) : \mathcal{B}' \text{ is an infinite homomorphic image of } \mathcal{B}\}$$

(remember

$$\text{ult}(\mathcal{B}') = |\text{Ult}(\mathcal{B}')|, \text{Ult}(B') = \{D : D \text{ an ultrafilter of } \mathcal{B}'\}.$$

2) For a topological space  $X$  let

$$\text{wcSp}(X) = \{(|Y|, w(Y)) : Y \text{ is a closed subspace of } X\}.$$



(remember  $w(X)$  is the weight of the topological space  $X$ ).

*4.18 Remark.* Of course, we can use disjoint sums of Boolean Algebras to get more examples (and similarly for topological spaces) as

$$\text{wcSp}\left(\sum_{i<\alpha} B_i\right) = \left\{ \left( \sum_{i<\alpha} \lambda_i, \sum_{i<\alpha} \mu_i \right) : (\lambda_i, \mu_i) \in \text{wcSp}(B_i) \right. \\ \left. \cup \{(2^n, 2^{2^n}) : n < \omega\} \cup \{(0, 0)\} \right. \\ \left. \text{for } i < \alpha \text{ and } \sum_{i<\alpha} \lambda_i \text{ is infinite} \right\}.$$

In this way we can get more examples from the ones from [JuSh 612], but this does not cover all the above.

## §5 DEPTH OF ULTRAPRODUCTS OF BOOLEAN ALGEBRAS

**5.1 Claim.** Assume  $\square_\lambda$  (i.e. there is  $\langle C_\delta : \delta < \lambda^+ \text{ limit} \rangle$  such that  $C_\delta$  is a club of  $\delta$ ,  $C_\delta$  of order type  $< \delta$  if  $\text{cf}(\delta) < \delta$  and  $\delta_1 \in \text{acc}(C_{\delta_2}) \Rightarrow C_{\delta_1} = C_{\delta_2} \cap \delta_1$ ). Let  $\kappa = \text{cf}(\kappa) < \lambda$ . Then there are Boolean Algebras  $B_\varepsilon$  for  $\varepsilon < \kappa$  such that:

(a)  $\text{Depth}(B_\varepsilon) \leq \lambda$

(b) for any uniform ultrafilter  $D$  on  $\kappa$  we have  $\lambda^+ \leq \text{Depth}(\prod_{\varepsilon < \kappa} B_\varepsilon / D)$ .

5.2 Remark. 1) This can be expressed through §1, see later.

2) If  $\lambda = \lambda^\kappa$  we get  $\text{Depth}(\prod_{\varepsilon < \kappa} B_\varepsilon / D) > \prod_{\varepsilon < \kappa} \text{Depth}(B_\varepsilon) / A$ .

*Proof.* Let  $\langle C_\delta : \delta < \lambda^+ \text{ limit} \rangle$  exemplify  $\square_\lambda$ . Clearly there are an ordinal  $\gamma^*$  and a stationary  $S \subseteq \lambda^+$  such that  $(\forall \alpha \in S)[\text{otp}(C_\alpha) = \gamma^*]$  (so  $\alpha \in S \Rightarrow \alpha$  limit) and,  $\text{cf}(\gamma^*) = \kappa$  and  $\gamma^*$  divisible by  $\omega^2$ .

[Why? If  $\kappa > \aleph_0$ , for  $\gamma < \lambda^+$  let us define  $S_\gamma = \{\delta < \lambda^+ : \text{cf}(\delta) = \kappa \text{ and } \text{otp}(C_\delta) \text{ is } \gamma\}$ , if so by Fodor's lemma for some  $\gamma$  the set  $S_\gamma$  is a stationary subset of  $\lambda^+$ ; as  $C_\delta$  is unbound in  $\delta$ , clearly  $\text{cf}(\gamma) = \kappa$ , hence  $\gamma$  is divisible by  $\omega^2$ . If  $\lambda > \aleph_1, \kappa = \aleph_0$  the set  $S' = \{\delta < \lambda^+ : \text{cf}(\delta) = \aleph_0, \text{ and } \text{otp}(C_\delta) \text{ is divisible by } \omega^2\}$  is stationary (if  $E$  is a club disjoint to  $S'$ , choose  $\delta \in \text{acc}(E)$  of cofinality  $\aleph_1$  and a club of  $\delta' \in C_\delta$  are as required). If  $\lambda = \aleph_1, \kappa = \aleph_0$ , use the construction in [Sh 351, §4].]

So without loss of generality for every limit  $\delta < \lambda^+$  we have  $C_\delta \cap S = \emptyset$  (why? by deleting the first  $\gamma^* + 1$  elements from any  $C_\delta$  of greater order type) also without loss of generality  $[\alpha \in C_\alpha \setminus \text{acc}(C_\alpha) \Rightarrow \alpha \text{ non-limit}]$ . Without loss of generality  $S \cap (\lambda + 1) = \emptyset$  and let  $\mu = \lambda^+$ .

So

- ⊠(a)  $\kappa < \mu$  are regular
- (b)  $\langle C_\delta : \delta < \mu \text{ limit} \rangle$  is a square sequence
- (c)  $S \subseteq \{\delta < \mu : \text{cf}(\delta) = \kappa\}$  is stationary
- (d)  $\delta \in S \Rightarrow \text{otp}(C_\delta) = \gamma^*$  so  $\text{cf}(\gamma^*) = \kappa$
- (e)  $C_\delta \cap S = \emptyset$
- (f)  $\gamma^*$  is divisible by  $\omega^2$ .

**5.3 Fact:** Assume  $\mu, \kappa, S, \langle C_\delta : \delta < \mu \text{ limit} \rangle$  satisfy  $\boxtimes$  above. Then there are sets  $A_{\alpha, \varepsilon}$  (for  $\alpha < \mu, \varepsilon < \kappa$ ) such that:

$\boxtimes_{\langle A_{\alpha, \varepsilon} : \alpha < \mu, \varepsilon < \kappa \rangle}^{\mu, \kappa, S}$  ( $\kappa < \mu$  are regular,  $S \subseteq \{\delta < \mu : \text{cf}(\delta) = \kappa\}$  is stationary and)

- (i)  $\varepsilon < \zeta < \kappa \Rightarrow A_{\alpha, \varepsilon} \subseteq A_{\alpha, \zeta}$
- (ii)  $\bigcup_{\varepsilon < \kappa} A_{\alpha, \varepsilon} = \alpha$
- (iii)  $\beta \in A_{\alpha, \varepsilon} \Rightarrow A_{\beta, \varepsilon} = A_{\alpha, \varepsilon} \cap \beta$
- (iv)  $\alpha \in S \ \& \ \varepsilon < \kappa \Rightarrow \sup(A_{\alpha, \varepsilon}) < \alpha$
- (v)  $A_{\alpha, \varepsilon}$  is a closed subset of  $\alpha$
- (vi) if  $\beta \in \text{acc}(C_\alpha)$  (hence  $\alpha$  is a limit ordinal) then  $\beta \in A_{\alpha, 0}$
- (vii)  $\beta \in A_{\beta+1, 0}$ .

*Proof of 5.3.* We shall choose by induction  $\alpha < \mu$  a sequence  $\langle A_{\alpha, \varepsilon} : \varepsilon < \kappa \rangle$  such that clauses (i)-(vii) hold.

Let  $\langle \gamma_\varepsilon : \varepsilon < \kappa \rangle$  be increasing continuous in  $\varepsilon$  sequence of ordinals with limit  $\gamma^*$ , each  $\gamma_\varepsilon$  a limit ordinal.

How do we carry the definition?

Case 1:  $\alpha < \text{Min}(S)$ .

Let  $A_{\alpha, \varepsilon} = \alpha$ .

Case 2:  $\alpha = \beta + 1 \geq \text{Min}(S)$ .

Let  $A_{\alpha, \varepsilon} = A_{\beta, \varepsilon} \cup \{\beta\}$ ; note that  $A_{\alpha, \varepsilon}$  is closed in  $\alpha$  as  $A_{\beta, \varepsilon}$  is closed in  $\beta$ .

Case 3:  $\alpha$  limit,  $\alpha > \sup(\text{acc}(C_\alpha))$  and  $\alpha \geq \text{Min}(S)$ .

So necessarily  $\text{cf}(\alpha) = \aleph_0$  and  $\text{otp}(C_\alpha)$  is not divisible by  $\omega^2$  hence  $\alpha \notin S$ . Let  $\beta_0 \in C_\alpha$  and  $\beta_0 = \max(\text{acc}(C_\alpha))$  if  $\text{acc}(C_\alpha) \neq \emptyset$ . Choose  $\beta_n$  (for  $n \in [1, \omega)$ ) such that  $n \geq 0 \Rightarrow \beta_n < \beta_{n+1}, \beta_n \in C_\alpha, \alpha = \bigcup_{n < \omega} \beta_n$ . Choose  $\varepsilon_n < \kappa$  such that

$\varepsilon_0 = 0, \varepsilon_n \leq \varepsilon_{n+1}, \beta_n \in A_{\beta_{n+1}, \varepsilon_{n+1}}$ . Lastly, for  $\varepsilon < \kappa$  we let  $A_{\alpha, \varepsilon}$  be:  $\bigcup \{\{\beta_n\} \cup A_{\beta_n, \varepsilon} : n \text{ satisfies } \varepsilon_n \leq \varepsilon\}$ .

Now check.

Case 4:  $\alpha$  limit,  $\alpha = \sup(\text{acc } C_\alpha), \alpha \notin S, \alpha \geq \text{Min}(S)$ .

Let  $A_{\alpha, \varepsilon} = \bigcup \{A_{\beta, \varepsilon} : \beta \in \text{acc}(C_\alpha)\}$ .

Remember that  $C_\delta \cap S = \emptyset$  for every limit  $\delta < \lambda^+$ .

Case 5:  $\alpha$  limit,  $\alpha = \sup(\text{acc}(C_\alpha)), \alpha \in S, \alpha \geq \text{Min}(S)$ .

Let  $A_{\alpha,\varepsilon} = \{\beta_\varepsilon\} \cup A_{\beta_\varepsilon,\varepsilon}$  where  $\beta_\varepsilon$  is the  $\gamma_\varepsilon$ -th member of  $\text{acc}(C_\alpha)$  (so necessarily  $\beta_\varepsilon \in \text{acc}(C_\alpha)$  and  $\xi < \zeta \Rightarrow C_{\beta_\xi} = C_{\beta_\zeta} \cap \beta_\xi \Rightarrow \beta_\xi \in A_{\beta_\zeta,0} \Rightarrow (\forall \varepsilon)[(A_{\beta_\xi,\varepsilon} = A_{\beta_\zeta,\varepsilon} \cap \beta_\xi)]$ ).

Check. □<sub>5.3</sub>

*5.4 Remark.* This may be relevant to a problem from [Sh 108], see 1.20.

Continuation of the proof of 5.1. Let  $\bar{A} = \langle A_{\alpha,\varepsilon} : \alpha < \lambda^+, \varepsilon < \kappa \rangle$  be as in 5.3. Let  $<_\varepsilon$  be the following two place relation on  $\lambda^+ : \alpha <_\varepsilon \beta \Rightarrow \alpha \in A_{\beta,\varepsilon}$ . It is a partial order (by clause (iii) of 5.3). Also

$$(*)_1 \quad \alpha < \beta \Rightarrow \bigvee_{\zeta < \kappa} \bigwedge_{\varepsilon \in [\zeta, \kappa)} \alpha <_\varepsilon \beta$$

by clauses (i) + (ii) of 5.3. Let  $B_\varepsilon$  be  $BA[(\lambda^+, <_\varepsilon)]$ , i.e. it is a Boolean Algebra generated by  $\langle x_\alpha^\varepsilon : \alpha < \lambda^+ \rangle$  freely except

$$\otimes \quad x_\alpha^\varepsilon \leq x_\beta^\varepsilon \text{ when } \alpha <_\varepsilon \beta.$$

Clearly if  $D$  is a filter on  $\kappa$  containing the co-bounded subsets of  $\kappa$  then  $\text{Depth}^+(\prod_{i < \kappa} B_i/D) >$

$\lambda^+$  as  $\langle x_\alpha : \varepsilon < \kappa \rangle / D : \alpha < \lambda^+$  exemplifies this by  $(*)_1$  above. Assume toward contradiction that  $\varepsilon < \kappa$  and  $\text{Depth}^+(B_\varepsilon) > \lambda^+$  so assume  $\bar{b} = \langle b_\gamma : \gamma < \lambda^+ \rangle$  is (strictly) increasing in  $B_\varepsilon$ . Choose by induction on  $\gamma < \lambda^+$  a model  $M_\gamma \prec (\mathcal{H}(\lambda^{++}), \in, <_{\lambda^{++}}^*)$  of cardinality  $\lambda$ , increasing continuous with  $\gamma$  such that  $\{\bar{C}, <_\varepsilon, \bar{b}\} \in M_0$  and  $\langle M_\beta : \beta \leq \gamma \rangle \in M_{\gamma+1}$ . So  $C^* = \{\delta < \lambda^+ : M_\delta \cap \lambda^+ = \delta\}$  is a club of  $\lambda^+$  so choose  $\delta(*) \in S \cap \text{acc}(C^*)$ .

Note

$$(*)_2 \quad b_\delta \notin M_\delta \text{ for } \delta \in C^* \text{ (in particular } \delta = \delta(*)).$$

[Why?  $\bar{b} = \langle b_\gamma : \gamma < \lambda^+ \rangle$  belongs to  $M_0$  so for every  $b \in M_\delta$ ,  $\text{Min}\{\gamma : b_\gamma = b \vee \gamma = \lambda^+\}$  necessarily belongs to  $M_\delta$ . But  $\bar{b}$  is without repetition so  $b = b_\beta \Rightarrow \text{Min}\{\gamma : b_\gamma = b \vee \gamma = \lambda^+\} = \beta$  so apply this to  $\beta = \delta$ .]

For  $\gamma < \lambda^+$  let  $b_\gamma = \tau_\gamma(x_{\alpha(\gamma,0)}^\varepsilon, \dots, x_{\alpha(\gamma, n_\gamma-1)}^\varepsilon)$  with  $\tau_\gamma$  a Boolean term and  $\alpha(\gamma, 0) < \alpha(\gamma, 1) < \dots < \alpha(\gamma, n_\gamma-1) < \lambda^+$ , and for  $Y \subseteq \lambda^+$  let  $B_{\varepsilon,Y}$  be the subalgebra of  $B_\varepsilon$  generated by  $\{x_\alpha^\varepsilon : \alpha \in Y\}$ . Easily

$$(*)_3 \quad B_{\varepsilon,Y} \text{ is the algebra generated by } \{x_\alpha^\varepsilon : \alpha \in Y\} \text{ freely except the equations } x_\alpha^\varepsilon \leq x_\beta^\varepsilon \text{ when } \alpha <_\varepsilon \beta \ \& \ \alpha \in Y \ \& \ \beta \in Y, \text{ i.e. } B_{\varepsilon,Y} = BA[(Y, <_\varepsilon \upharpoonright Y)].$$

[Why? As in the proof of 1.5, stage D.]

Without loss of generality  $\langle \tau_\gamma, \langle \alpha_{\alpha(\gamma,\ell)} : \ell < n_\gamma \rangle : \gamma < \lambda^+ \rangle$  belongs to  $M_0$ . Clearly

for each  $\ell < n_{\delta(*)}$  by clause (ii) of 5.3 for some  $\zeta_\ell < \kappa$  we have  $\alpha(\delta(*), \ell) > \delta(*) \Rightarrow \delta(*) \in A_{\alpha(\delta(*), \ell), \zeta_\ell}$  and  $\alpha(\delta(*), \ell) < \delta(*) \Rightarrow \alpha(\delta(*), \ell) \in A_{\delta(*), \zeta_\ell}$  and let  $\xi = \max(\{\varepsilon\} \cup \{\zeta_\ell : \ell < n_{\delta(*)}\})$ , so  $[\alpha(\delta(*), \ell) \geq \delta(*) \Rightarrow A_{\alpha(\delta(*), \ell), \xi} \cap \delta(*) = A_{\delta(*), \xi}]$  and  $\delta(*) \cap \bigcup_{\ell < n_{\delta(*)}} A_{\alpha(\delta(*), \ell), \xi} \subseteq A_{\delta(*), \xi}$ .

Let  $\alpha_0(*) = \sup(\delta(*) \cap \bigcup_{\ell < n_{\delta(*)}} A_{\alpha(\delta(*), \ell), \xi})$ , now by clause (v) and clause (iv) of 5.3 we

have  $\delta(*) > \sup(A_{\delta(*), \xi})$  hence clearly  $\alpha_0(*) < \delta(*)$ . Let  $\alpha(*) = \text{Min}(C^* \setminus \alpha_0(*))$  so  $\alpha(*) < \delta(*)$  (as  $\delta(*) \in \text{acc}(C^*)$ ). Let  $Y_0 = \alpha(*), Y_1 = \delta(*), Y_2 = \alpha(*) \cup \{\alpha(\delta(*), 0), \dots, \alpha(\delta(*), n_{\delta(*)} - 1)\}$ .

Easily:  $Y_0 = Y_1 \cap Y_2, Y_1 \cup Y_2 \subseteq \lambda^+$  and

$$\begin{aligned} \beta_1 \in Y_1 \setminus Y_2 \ \& \ \beta_2 \in Y_2 \setminus Y_1 \Rightarrow (\beta_1, \beta_2 \text{ are } <_\xi \text{-incomparable}) \\ & \Rightarrow (\beta_1, \beta_2 \text{ are } <_\varepsilon \text{-incomparable}). \end{aligned}$$

By  $(*)_3$  above and the definition of  $C^*$  clearly:

- (a)  $B_{\varepsilon, Y_1 \cup Y_2}$  is the free product of  $B_{\varepsilon, Y_1}$  and  $B_{\varepsilon, Y_2}$  over  $B_{\varepsilon, Y_0}$
- (b)  $b_{\delta(*)} \notin M_{\delta(*)}$  (by  $(*)_2$ ),
- (c)  $B_\varepsilon \upharpoonright M_{\delta(*)} = B_{\varepsilon, Y_1}$  so  $b_{\delta(*)} \notin B_{\varepsilon, Y_1}$  hence  $b_{\delta(*)} \notin B_{\varepsilon, Y_0}$
- (d)  $b_{\alpha(*)} \notin M_{\alpha(*)}$  [again by  $(*)_2$ ],
- (e)  $B_\varepsilon \upharpoonright M_{\alpha(*)} = B_{\xi, Y_0}$  so  $b_{\alpha(*)} \notin B_{\varepsilon, Y_0}$ .

But by the choice of  $\bar{b}, B_\varepsilon \models b_{\alpha(*)} < b_{\delta(*)}$  hence  $B_{\varepsilon, Y_1 \cup Y_2} \models b_{\alpha(*)} < b_{\delta(*)}$  by  $(*)_3$  hence by clause (a) for some  $c \in B_{\varepsilon, Y_0}$  we have  $B_{\varepsilon, Y_1} \models b_{\alpha(*)} \leq c$  and  $B_{\varepsilon, Y_2} \models c \leq b_{\delta(*)}$ . So  $c \in M_{\alpha(*)}$  and  $\alpha(*) \in Z =: \{\alpha : b_\alpha \leq c\}$ , this last set  $Z$  is necessarily an initial segment of  $\lambda^+$ , it belongs to  $M_{\alpha(*)}$ , hence its supremum belongs to  $M_{\alpha(*)}$ , but the supremum  $\in \{\alpha : \alpha \leq \lambda^+\}$ , and is not in  $\lambda^+$  (as  $M_{\alpha(*)} \cap \lambda^+ = \alpha(*)$  and  $\alpha(*)$  belongs to the set), so  $Z = \lambda^+$ . So  $B_\xi \models b_{\delta(*)} \leq c$  but (by  $c$ 's choice)  $B_\varepsilon \models c \leq b_{\delta(*)}$  so  $c = b_{\delta(*)}$ , but  $c \in M_{\alpha(*)} \prec M_{\delta(*)}$  where  $b_{\delta(*)} \notin M_{\delta(*)}$  by  $(*)_2$ , contradiction.

□<sub>5.1</sub>

**5.5 Conclusion:** Under the assumption of claim 5.1

- (a) we can find  $\mathbf{c} : [\lambda]^2 \rightarrow \kappa$  such that  $\boxtimes_{\lambda, \lambda, \theta}$  (from 1.5)
- (b)  $NQs_2(\lambda, \mu, \kappa)$  (see Definition 1.10(2), in fact  $NQs_2(\lambda, \mu, A, I)$  where  $A$  is the interval Boolean Algebra of  $\kappa, I = \{a \in A : \sup(a) < \kappa\}$ ).

*Proof.* Easy, e.g.

(a) let  $\langle \langle A_{\alpha,\varepsilon} : \varepsilon < \kappa \rangle : \alpha < \lambda^+ \rangle$  be as in the proof of 5.1. Let for  $\alpha < \beta$

$$\mathbf{c}\{\alpha, \beta\} =: \text{Min}\{\varepsilon : \alpha \in A_{\beta,\varepsilon}\}.$$

Now to prove clause (c) of  $\boxtimes_{\lambda,\lambda,\theta}$  from 1.5, use “stationary” and get possibility (i) there.  $\square_{5.5}$

*5.6 Remark.* 1) If  $\mu$  is (weakly) inaccessible  $> \kappa = \text{cf}(\kappa)$ ,  $S \subseteq \{\delta < \kappa : \text{cf}(\delta) = \kappa\}$  is stationary non-reflecting and we have a square as required in the beginning of the proof of 5.1 (e.g. if  $\mathbf{V} = L$ , by Beller and Litman [BeLi80]) then for some  $\bar{A}$ , the statement  $\boxtimes_{\bar{A}}^{\mu,\kappa,S}$  is proved by 5.3. Then repeating the proof of 5.1 we get Boolean Algebras  $B_\varepsilon$  for  $\varepsilon < \kappa$  such that  $\text{Depth}^+(B_\varepsilon) = \mu$ , and for every uniform ultrafilter  $D$  on  $\kappa$ ,  $\mu < \text{Depth}^+(\prod_{\varepsilon < \kappa} B_\varepsilon/D)$ .

2) In the proof of 5.1 we can with minor changes use a weaker version of  $\boxtimes_{\bar{A}}^{\mu,\kappa,S}$ , which is

$\square_{\bar{A}}^{\mu,\kappa,S}$   $\mu > \kappa$  are regular,  $S \subseteq \{\delta < \mu : \text{cf}(\delta) = \kappa\}$  is stationary, clause (i) and (ii) of 5.3 hold and

$$(iii)^- \quad \beta \in A_{\alpha,\varepsilon} \Rightarrow A_{\beta,\varepsilon} \subseteq A_{\alpha,\varepsilon}$$

$$(iv)^+ \quad \alpha \in S \ \& \ \varepsilon < \kappa \ \& \ \beta < \kappa \Rightarrow \sup(A_{\beta,\varepsilon} \cap \alpha) < \alpha.$$

3) If  $\mu = \lambda^+$ ,  $\kappa = \text{cf}(\lambda) < \lambda$  and  $S = \{\delta < \mu : \text{cf}(\delta) = \kappa\}$  then for some  $\bar{A}$ ,  $\square_{\bar{A}}^{\mu,\kappa,S}$  (as in [Sh 108], [Sh 88a]) but  $\mu \leq \lambda^\kappa/D$  so this does not give an example about the depth of the ultraproduct above the ultraproducts of the depths).

Clearly by 5.1:

**5.7 Conclusion:** If e.g.  $\mathbf{V} = L$ ,  $\lambda > \kappa = \text{cf}(\kappa)$  and  $\lambda^\kappa = \lambda$  then for some sequence  $\langle B_\varepsilon : \varepsilon < \kappa \rangle$  of Boolean Algebras for every uniform ultrafilter  $D$  on  $\kappa$  we have  $\text{Depth}(\prod_{\varepsilon < \kappa} B_\varepsilon/D) \geq \lambda^+ > \lambda \geq \prod_{\varepsilon < \kappa} \text{Depth}(B_\varepsilon)/D$ .

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