# ℵ<sub>N</sub>-FREE ABELIAN GROUP WITH NO NON-ZERO HOMOMORPHISM TO Z SH883

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ABSTRACT. We, for any natural n, construct an  $\aleph_n$ -free abelian groups which have few homomorphisms to  $\mathbb{Z}$ . For this we use " $\aleph_n$ -free (n+1)-dimensional black boxes". The method is hopefully relevant to other constructions of  $\aleph_n$ -free abelian groups.

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# ANNOTATED CONTENT

§1 Constructing  $\aleph_{k(*)+1}$ -free Abelian group

[We introduce "**x** is a combinatorial k(\*)-parameter". We also give a short cut for getting only "there is a non-Whitehead  $\aleph_{k(*)+1}$ -free non-free abelian group" (this is from 1.6 on). This is similar to [Sh 771, §5], so proofs are put in an appendix, except 1.14, note that 1.14(3) really belongs to §3.]

# §2 Black boxes

[We prove that we have black boxes in this context, see 2.1; it is based on the simple black box. Now 2.3 belongs to the short cut.]

# §3 Constructing abelian groups from combinatorial parameter

[For  $\mathbf{x} \in K_{k(*)+1}^{\text{cb}}$  we define a class  $\mathscr{G}_{\mathbf{x}}$  of abelian groups constructed from it and a black box. We prove they are all  $\aleph_{k(*)+1}$ -free of cardinality  $|\Gamma|^{\mathbf{x}} + \aleph_0$ and some  $G \in \mathscr{G}_{\mathbf{x}}$  satisfies  $\text{Hom}(G, \mathbb{Z}) = \{0\}$ .]

§4 Appendix 1

[We give adaptation of the proofs from [Sh 771,  $\S5$ ] with the relevant changes.]

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# §0 INTRODUCTION

For regular  $\theta = \aleph_n$  we look for a  $\theta$ -free abelian group G with  $\operatorname{Hom}(G, \mathbb{Z}) = \{0\}$ . We first construct G and a pure subgroup  $\mathbb{Z}z \subseteq G$  which is not a direct summand. If instead "not direct product" we ask "not free" so naturally of cardinality  $\theta$ , we know much: see [EM02].

We can ask further questions on abelian groups, their endormorphism rings, similarly on modules; naturally questions whose answer is known when we demand  $\aleph_1$ -free instead  $\aleph_n$ -free; see [GbTl06]. But we feel those two cases can serve as a base for significant number of such problems (or we can immitate the proofs). Also these cases are reasonable for sorting out the set theoretical situation. Why not  $\theta = \aleph_{\omega}$  and higher cardinals? (there are more reasonable cardinals for which such results are not excluded), we do not fully know: note that also in previous questions historically this was harder.

Note that there is such an abelian group of cardinality  $\aleph_1$ , by [Sh:98, §4] and see more in Göbel-Shelah-Struüngman [GShS 785]. However, if MA then  $\aleph_2 < 2^{\aleph_0} \Rightarrow$ any  $\aleph_2$ -free abelian group of cardinality  $< 2^{\aleph_0}$  fail the question.

The groups we construct are in a sense complete, like  ${}^{\omega}\mathbb{Z}$ . They are close to the ones from [Sh 771, §5] but there  $S = \{0, 1\}$  as there we are interested in Borel abelian groups. See earlier [Sh 161], see representations of [Sh 161] in [Sh 523, §3], [EM02].

However we still like to have  $\theta = \aleph_{\omega}$ , i.e.  $\aleph_{\omega}$ -free abelian groups. Concerning this we continue in [Sh 898].

We thank Ester Sternfield and Rüdiger Göbel for corrections.

We shall use freely the well known theorem saying

0.1 Theorem. A subgroup of a free abelian group is a free abelian group.

**0.2 Definition.** 1)  $Pr(\lambda, \kappa)$ : means that for some  $\overline{G}$  we have:

- (a)  $\bar{G} = \langle G_{\alpha} : \alpha \le \kappa + 1 \rangle$
- (b)  $\overline{G}$  is an increasing continuous sequence of free abelian groups
- (c)  $|G_{\kappa+1}| \leq \lambda$ ,
- (d)  $G_{\kappa+1}/G_{\alpha}$  is free for  $\alpha < \kappa$ ,
- (e)  $G_0 = \{0\}$
- (f)  $G_{\beta}/G_{\alpha}$  is free if  $\alpha \leq \beta \leq \kappa$

(g) some  $h \in \operatorname{Hom}(G_{\kappa};\mathbb{Z})$  cannot be extended to  $h \in \operatorname{Hom}(G_{\kappa+1},\mathbb{Z})$ .

2) We let  $Pr^{-}(\lambda, \theta, \kappa)$  be defined as above, only replacing " $G_{\kappa+1}/G_{\alpha}$  is free for  $\alpha < \kappa$ " by " $G_{\kappa+1}/G_{\kappa}$  is  $\theta$ -free.

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# §1 Constructing $\aleph_{k(*)+1}$ -free Abelian groups

**1.1 Definition.** 1) We say **x** is a combinatorial parameter if  $\mathbf{x} = (k, S, \Lambda) = (k^{\mathbf{x}}, S^{\mathbf{x}}, \Lambda^{\mathbf{x}})$  and they satisfy clauses (a)-(c)

- (a)  $k < \omega$
- (b) S is a set (in [Sh 771],  $S = \{0, 1\}$ ),
- (c)  $\Lambda \subseteq {}^{k+1}({}^{\omega}S)$  and for simplicity  $|\Lambda| \ge \aleph_0$  if not said otherwise.

1A) We say **x** is an abelian group k-parameter when  $\mathbf{x} = (k, S, \Lambda, \mathbf{a})$  such that (a),(b),(c) from part (1) and:

(d) **a** is a function from  $\Lambda \times \omega$  to  $\mathbb{Z}$ .

2) Let  $\mathbf{x} = (k^{\mathbf{x}}, S^{\mathbf{x}}, \Lambda^{\mathbf{x}})$  or  $\mathbf{x} = (k^{\mathbf{x}}, S^{\mathbf{x}}, \Lambda^{\mathbf{x}}, \mathbf{a}^{\mathbf{x}})$ . A parameter is a k-parameter for some k and  $K_{k(*)}^{cb}/K_{k(*)}^{gr}$  is the class of combinatorial/abelian group k(\*)-parameters.

3) We may write  $\mathbf{a}_{\bar{\eta},n}^{\mathbf{x}}$  instead  $\mathbf{a}^{\mathbf{x}}(\eta, n)$ . Let  $w_{k,m} = w(k,m) = \{\ell \leq k : \ell \neq m\}$ . 4) We say  $\mathbf{x}$  is full when  $\Lambda^{\mathbf{x}} = {}^{k(*)}({}^{\omega}S)$ . 5) If  $\Lambda \subseteq \Lambda^{\mathbf{x}}$  let  $\mathbf{x} \upharpoonright \Lambda$  be  $(k^{\mathbf{x}}, S^{\mathbf{x}}, \Lambda)$  or  $(k^{\mathbf{x}}, S^{\mathbf{x}}, \Lambda, \mathbf{a} \upharpoonright (\Lambda \times \omega))$  as suitable. We may write  $\mathbf{x} = (\mathbf{y}, \mathbf{a})$  if  $\mathbf{a} = \mathbf{a}^{\mathbf{x}}, \mathbf{y} = (k^{\mathbf{x}}, S^{\mathbf{x}}, \Lambda^{\mathbf{x}})$ .

1.2 Convention. If **x** is clear from the context we may write k or  $k(*), S, \Lambda, \mathbf{a}$  instead of  $k^{\mathbf{x}}, S^{\mathbf{s}}, \Lambda^{\mathbf{x}}, \mathbf{a}^{\mathbf{x}}$ .

A variant of the above is

**1.3 Definition.** 1) For  $\bar{S} = \langle S_m : m \leq k \rangle$  we define when **x** is a  $\bar{S}$ -parameter:  $\bar{\eta} \in \Lambda^{\mathbf{x}} \wedge m \leq k^{\mathbf{x}} \Rightarrow \eta_m \in {}^{\omega}(S_m)$ . 2) We say  $\bar{\alpha}$  is a  $(\mathbf{x}, \bar{\chi})$ -black box or  $\bar{\alpha}$  witness  $\operatorname{Qr}(\mathbf{x}, \bar{\chi})$  when:

(a)  $\bar{\chi} = \langle \chi_m : m \le k^{\mathbf{x}} \rangle$ 

(b) 
$$\bar{\alpha} = \langle \bar{\alpha}_{\bar{\eta}} : \bar{\eta} \in \Lambda^{\mathbf{x}} \rangle$$

- (c)  $\bar{\alpha}_{\bar{\eta}} = \langle \alpha_{\bar{\eta},m,n} : m \leq k^{\mathbf{x}}, n < \omega \rangle$  and  $\alpha_{\bar{\eta},m,n} < \chi_m$
- (d) if  $h_m : \Lambda_m^{\mathbf{x}} \to \chi_m$  for  $m \leq k^{\mathbf{x}}$  then for some  $\bar{\eta} \in \Lambda^{\mathbf{x}}$  we have:  $m \leq k^{\mathbf{x}} \wedge n < \omega \Rightarrow h_m(\bar{\eta} \mid \langle m, n \rangle) = \alpha_{\bar{\eta}, m, n}$ , see clause (a) of Definition 1.4 below on " $\bar{\eta} \mid \langle m, n \rangle$ " and  $\Lambda_m^{\mathbf{x}}$ .

2A) We may replace  $\bar{\chi}$  by  $\chi$  if  $\bar{\chi} = \langle \chi : \ell \leq k^{\mathbf{x}} \rangle$ . We may replace  $\mathbf{x}$  by  $\Lambda^{\mathbf{x}}$  (so say  $\operatorname{Qr}(\Lambda^{\mathbf{x}}, \bar{\chi})$  or say  $\bar{\alpha}$  is a  $(\Lambda, \bar{\chi})$ -black box). 3) We say a parameter  $\mathbf{x}$  is  $\bar{S}$ -full or  $\mathbf{x}$  is a full  $(\bar{S}, k)$ -parameter when  $\Lambda^{\mathbf{x}} = \prod_{m \leq k} {}^{\omega}(S_m)$ .

**1.4 Definition.** For a k(\*)-parameter **x** and for  $m \leq k(*)$  let

- (a)  $\Lambda_m^{\mathbf{x}} = \Lambda_{\mathbf{x},m} = \{\bar{\eta} : \bar{\eta} = \langle \eta_\ell : \ell \leq k(*) \rangle \text{ and } \eta_m \in {}^{\omega>}S \text{ and } \ell \leq k(*) \land \ell \neq m \Rightarrow \eta_\ell \in {}^{\omega}S \text{ and for some } \bar{\eta}' \in \Lambda \text{ we have } n < \omega, \bar{\eta} = \bar{\eta}' \upharpoonright \langle m, n \rangle \} \text{ where } \bar{\eta} = \bar{\eta}' \upharpoonright \langle m, n \rangle \text{ means } \eta_m = \eta'_m \upharpoonright n \text{ and } \ell \leq k(*) \land \ell \neq m \Rightarrow \eta_\ell = \eta'_\ell \}$
- (b)  $\Lambda_{< k(*)}^{\mathbf{x}}$  is  $\cup \{\Lambda_m^{\mathbf{x}} : m \le k(*)\}$
- (c)  $m(\bar{\eta}) = m$  if  $\bar{\eta} \in \Lambda_m^{\mathbf{x}}$ .

**1.5 Definition.** 1) We say a combinatorial k(\*)-parameter **x** is free <u>when</u> there is a list  $\langle \bar{\eta}^{\alpha} : \alpha < \alpha(*) \rangle$  of  $\Lambda^{\mathbf{x}}$  such that for every  $\alpha$  for some  $m \leq k(*)$  and some  $n < \omega$  we have

 $(*) \ \bar{\eta}_m^{\alpha} \upharpoonright \langle m, n \rangle \notin \{\eta_m^{\beta} \upharpoonright \langle m, n \rangle : \beta < \alpha \}.$ 

2) We say a combinatorial k-parameter  $\mathbf{x}$  is  $\theta$ -free when  $\mathbf{x} \upharpoonright \Lambda = (k, S^{\mathbf{x}}, \Lambda)$  is free for every  $\Lambda \subseteq \Lambda^{\mathbf{x}}$  of cardinality  $< \theta$ .

*Remark.* 1) We can require in (\*) even  $(\exists^{\infty} n)[\eta_m^{\alpha}(n) \notin \cup \{\eta_{\ell}^{\beta}(n') : \ell \leq k, \beta < \alpha, n' < \omega\}].$ 

At present this seems an immaterial change.

**1.6 Definition.** For  $k(*) < \omega$  and an abelian group k(\*)-parameter  $\mathbf{x}$  we define an abelian group  $G = G_{\mathbf{x}}$  as follows: it is generated by  $\{x_{\bar{\eta}} : m \leq k(*) \text{ and } \bar{\eta} \in \Lambda_m^{\mathbf{x}}\} \cup \{y_{\bar{\eta},n} : n < \omega \text{ and } \bar{\eta} \in \Lambda^{\mathbf{x}}\} \cup \{z\}$  freely except the equations:

$$\boxtimes_{\bar{\eta},n} (n!) y_{\bar{\eta},n+1} = y_{\bar{\eta},n} + \mathbf{a}_{\bar{\eta},n}^{\mathbf{x}} z + \sum \{ x_{\bar{\eta}| < m,n>} : m \le k(*) \}.$$

1.7 Explanation. A canonical example of a non-free group is  $(\mathbb{Q}, +)$ . Other examples are related to it after we divide by something. The y's here play the role of providing (hidden) copies of  $\mathbb{Q}$ . What about x's? For  $\bar{\eta} \in \Lambda$  we consider  $\langle y_{\bar{\eta},n} : n < \omega \rangle$ , as a candidate to represent  $(\mathbb{Q}, +), k(*) + 1$ , "opportunities" to avoid having  $(\mathbb{Q}, +)$ as a quotient, say by dividing K by a subgroup generated by some of the x's.

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This is used to prove  $G_{\mathbf{x}}$  is not free even not  $\aleph_{k(*)+2}$ -free, which is necessary. But for each  $m \leq k(*)$  if  $\langle x_{\bar{\eta}|(m,n)} : n < \omega \rangle$  are not in K, or at least  $x_{\eta|(m,n)}$  for nlarge enough then  $\mathbb{Q}$  is not represented using  $\langle y_{\bar{\eta},n} : n < \omega \rangle$ ; so we have k(\*) + 1"opportunities" to avoid having  $\langle y_{\bar{\eta},n} : n < \omega \rangle$  represent  $(\mathbb{Q}, +)$  in the quotient, one for each infinite cardinal  $\leq \aleph_{k(*)}$ . This helps us prove  $\aleph_{k(*)}$ -freeness. More specifically, for each  $m(*) \leq k(*)$  if  $H \subseteq G$  is the subgroup which is generated by  $X = \{x_{\bar{\eta}|< m,n>} : m \neq m(*) \text{ and } \bar{\eta} \in {}^{k(*)+1}({}^{\omega}S) \text{ and } m \leq k(*)\}$ , still in G/H the set  $\{y_{\bar{\eta},n} : n < \omega\}$  does not generate a copy of  $\mathbb{Q}$ , as witnessed by  $\{x_{\bar{\eta}|< m(*),n>} : n < \omega\}$ .

As a warm up we note:

**1.8 Claim.** For  $k(*) < \omega$  and k(\*)-parameter **x** the abelian group  $G_{\mathbf{x}}$  is an  $\aleph_1$ -free abelian group.

Now systematically

**1.9 Definition.** Let  $\mathbf{x}$  be a k(\*)-parameter.

1) For  $U \subseteq {}^{\omega}S$  let  $G_U = G_U^{\mathbf{x}}$  be the subgroup of G generated by  $Y_U = Y_U^{\mathbf{x}} = \{z\} \cup \{y_{\bar{\eta},n} : \bar{\eta} \in \Lambda \cap^{k(*)+1}(U) \text{ and } n < \omega\} \cup \{x_{\bar{\eta}|< m,n>} : m \leq k(*) \text{ and } \bar{\eta} \in \Lambda \cap^{(k(*)+1)}(U) \text{ and } n < \omega\}$ . Let  $G_U^+ = G_U^{\mathbf{x},+}$  be the divisible hull of  $G_U$  and  $G^+ = G_{(\omega S)}^+$ .

2) For  $U \subseteq {}^{\omega}S$  and finite  $u \subseteq {}^{\omega}S$  let  $G_{U,u}$  be the subgroup<sup>1</sup> of G generated by  $\cup \{G_{U\cup\{u\setminus\{\eta\}\}}: \eta \in u\}$ ; and for  $\bar{\eta} \in {}^{k(*)\geq}U$  let  $G_{U,\bar{\eta}}$  be the subgroup of G generated by  $\cup \{G_{U\cup\{\eta_k:k<\ell g(\bar{\eta}) \text{ and } k\neq\ell\}}: \ell < \ell g(\bar{\eta})\}.$ 

3) For  $U \subseteq {}^{\omega}S$  let  $\Xi_U = \Xi_U^* = \{$ the equation  $\boxtimes_{\bar{\eta},n} : \bar{\eta} \in \Lambda \cap {}^{k(*)+1}U \text{ and } n < \omega \}$ . Let  $\Xi_{U,u} = \Xi_{U,u}^* = \cup \{\Xi_{U \cup (u \setminus \{\beta\})} : \beta \in u\}.$ 

**1.10 Claim.** Let  $\mathbf{x} \in K_{k(*)}$ .

0) If  $U_1 \subseteq U_2 \subseteq {}^{\omega}S$  then  $G_{U_1}^+ \subseteq G_{U_2}^+ \subseteq G^+$ .

1) For any  $n(*) < \omega$ , the abelian group  $G_U^+$  (which is a vector space over  $\mathbb{Q}$ ), has the basis  $Y_U^{n(*)} := \{z\} \cup \{y_{\bar{\eta},n(*)} : \bar{\eta} \in \Lambda \cap {}^{k(*)+1}(U)\} \cup \{x_{\bar{\eta}|< m,n>} : m \leq k(*), \bar{\eta} \in \Lambda \cap {}^{k(*)+1}(U) \text{ and } n < \omega\}.$ 

2) For  $U \subseteq {}^{\omega}S$  the abelian group  $G_U$  is generated by  $Y_U$  freely (as an abelian group) except the set  $\Xi_U$  of equations.

3) If  $m(*) < \omega$  and  $U_m \subseteq {}^{\omega}S$  for m < m(\*) then the subgroup  $G_{U_0} + \ldots + G_{U_{m(*)-1}}$ of G is generated by  $Y_{U_0} \cup Y_{U_1} \cup \ldots \cup Y_{U_{m(*)-1}}$  freely (as an abelian group) except the equations in  $\Xi_{U_0} \cup \Xi_{U_1} \cup \ldots \cup \Xi_{U_{m(*)-1}}$ .

3A) Moreover  $G/(G_{U_0} + \ldots + G_{U_{m(*)+1}})$  is  $\aleph_1$ -free provided that

 $\circledast$  if  $\eta_0, \ldots, \eta_{k(*)} \in \cup \{U_m : m < m(*)\}$  are such that

<sup>1</sup>note that if  $u = \{\eta\}$  then  $G_{U,u} = G_U$ 

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$$(\forall \ell \leq k(*))(\exists m < m(*))[\{\eta_0, \dots, \eta_{k(*)}\} \setminus \{\eta_\ell\} \subseteq U_m)$$
  
then for some  $m < m(*)$  we have  $\{\eta_0, \dots, \eta_{k(*)}\} \subseteq U_m$ .

4) If  $m(*) \leq k(*)$  and  $U_{\ell} = U \setminus U'_{\ell}$  for  $\ell < m(*)$  and  $\langle U'_{\ell} : \ell < m(*) \rangle$  are pairwise disjoint <u>then</u>  $\circledast$  holds. 5)  $G_{U,u} \subseteq G_{U\cup u}$  if  $U \subseteq {}^{\omega}S$  and  $u \subseteq {}^{\omega}S \setminus U$  is finite; moreover  $G_{U,u} \subseteq_{pr} G_{U\cup u} \subseteq_{pr} G_{G}$ . 6) If  $\langle U_{\alpha} : \alpha < \alpha(*) \rangle$  is  $\subseteq$ -increasing continuous <u>then</u> also  $\langle G_{U_{\alpha}} : \alpha < \alpha(*) \rangle$  is  $\subseteq$ -increasing continuous. 7) If  $U_1 \subseteq U_2 \subseteq U \subseteq {}^{\omega}S$  and  $u \subseteq {}^{\omega}S \setminus U$  is finite, |u| < k(\*) and  $U_2 \setminus U_1 = \{\eta\}$  and  $v = u \cup \{\eta\}$  <u>then</u>  $(G_{U,u} + G_{U_2 \cup u})/(G_{U,u} + G_{U_1 \cup u})$  is isomorphic to  $G_{U_1 \cup v}/G_{U_1,v}$ . 8) If  $U \subseteq {}^{\omega}S$  and  $u \subseteq {}^{\omega}S \setminus U$  has  $\leq k(*)$  members <u>then</u>  $(G_{U,u} + G_u)/G_{U,u}$  is isomorphic to  $G_u/G_{\emptyset,u}$ .

<u>1.11 Discussion</u>: For the reader's benefit we write what the group  $G_{\mathbf{x}}$  is for the case k(\*) = 0. So, omitting constant indexes and replacing sequences of length one by the unique entry we get that it is generated by  $y_{\eta,n}$  (for  $\eta \in {}^{\omega}S, n < \omega$ ) and  $x_{\nu}$  (for  $\nu \in {}^{\omega>}S$ ) freely as an abelian group except the equations  $(n!)y_{\eta,n+1} = y_{\eta,n} + x_{\eta \upharpoonright n}$ . Note that if K is the countable subgroup generated by  $\{x_{\nu} : \nu \in {}^{\omega>}2\}$  then G/K is a divisible group of cardinality continuum hence G is not free. So G is  $\aleph_1$ -free but not free.

Now we have the abelian group version of freeness, the positive results in 1.12, 1.13 and the negative results in 1.13.

# **1.12 The Freeness Claim.** Let $\mathbf{x} \in K_{k(*)}$ .

1) The abelian group  $G_{U\cup u}/G_{U,u}$  is free  $if U \subseteq {}^{\omega}S, u \subseteq {}^{\omega}S \setminus U$  and  $|u| \le k \le k(*)$ and  $|U| \le \aleph_{k(*)-k}$ . 2) If  $U \subseteq {}^{\omega}S$  and  $|U| \le \aleph_{k(*)}$ , then  $G_U$  is free.

**1.13 Claim.** 1) If  $\mathbf{x}$  is a combinatorial k(\*)-parameter <u>then</u>  $\mathbf{x}$  is  $\aleph_{k(*)+1}$ -free. 2) If  $\mathbf{x}$  is an abelian group k(\*)-parameter and  $(k^{\mathbf{x}}, S^{\mathbf{x}}, \Lambda^{\mathbf{x}})$  is free, <u>then</u>  $G_{\mathbf{x}}$  is free.

*Proof.* 1) Easily follows by (2). 2) Similar and follows from 3.2 as easily G belongs to  $\mathscr{G}_{(k(*),S^*,\Lambda^*)}$ , see Definition 3.3.

**1.14 Claim.** Assume  $\mathbf{x} \in K_{k(*)}^{cb}$  is full (i.e.  $\Lambda^{\mathbf{x}} = {}^{k(*)+1}({}^{\omega}(S^{\mathbf{x}})))$ . 1) If  $U \subseteq {}^{\omega}S$  and  $|U| \ge (|S| + \aleph_0)^{+(k(*)+1)}$ , the (k(\*) + 1)-th successor of  $|S| + \aleph_0$ . <u>Then</u>  $G_U^{\mathbf{x}}$  is not free. 2) If  $|S^{\mathbf{x}}| \ge \aleph_{k(*)+1}$  then  $G_{\mathbf{x}}$  is not free. 3) Assume  $\mathbf{x} \in K_{k(*)}^{cb}, |S_{\ell}^{\mathbf{x}}| + \lambda_{\ell} < \lambda_{\ell+1}$  for  $\ell < k(*)$  and  $|\Lambda^{\mathbf{x}}| \ge \lambda_{k(*)}$  and  $G \in \mathscr{G}_{\mathbf{x}}$ (see Definition 3.3) then G is not free.

*Proof.* 1) Let  $\aleph_{\alpha} = |S|$ . Assume toward contradiction that  $G_U$  is free and let  $\chi$  be large enough; for notational simplicity assume  $|U| = \aleph_{\alpha+k(*)+1}$ , this is O.K. as a subgroup of a free abelian group is a free abelian group. We choose  $N_{\ell}$  by downward induction on  $\ell \leq k(*)$  such that

- (a)  $N_{\ell}$  is an elementary submodel<sup>2</sup> of  $(\mathscr{H}(\chi), \in, <^*_{\chi})$
- (b)  $||N_{\ell}|| = |N_{\ell} \cap \aleph_{\alpha+k(*)}| = \aleph_{\alpha+\ell}$  and  $\{\zeta : \zeta \leq \aleph_{\alpha+\ell}\} \subseteq N_{\ell}$
- (c)  $\langle x_{\bar{\eta}} : \bar{\eta} \in \Lambda^{\mathbf{x}}_{\leq k(*)} \rangle, \langle y_{\bar{\eta},n} : \bar{\eta} \in \Lambda^{\mathbf{x}} \text{ and } n < \omega \rangle, U \text{ and } G_U \text{ belong to } N_{\ell} \text{ and } N_{\ell+1}, \ldots, N_{k(*)} \in N_{\ell}.$

Let  $G_{\ell} = G_U \cap N_{\ell}$ , a subgroup of  $G_U$ . Now

(\*)<sub>0</sub>  $G_U/(\Sigma\{G_\ell : \ell \leq k(*)\})$  is a free (abelian) group [easy or see [Sh 52], that is: as  $G_U$  is free we can prove by induction on  $k \leq k(*)+1$  then  $G/(\Sigma\{G_{k(*)+1-\ell} : \ell < k\})$  is free, for k = 0 this is the assumption toward contradiction, the induction step is by Ax VI in [Sh 52] for abelian groups and for k = k(\*)+1we get the desired conclusion.]

# Now

(\*)<sub>1</sub> letting  $U_{\ell}^{1}$  be U for  $\ell = k(*) + 1$  and  $\bigcap_{m=\ell}^{k(*)} (N_{m} \cap U)$  for  $\ell \leq k(*)$ ; we have:  $U_{\ell}^{1}$  has cardinality  $\aleph_{\alpha+\ell}$  for  $\ell \leq k(*) + 1$ [Why? By downward induction on  $\ell$ . For  $\ell = k(*) + 1$  this holds by an assumption. For  $\ell = k(*)$  this holds by clause (b). For  $\ell < k(*)$  this holds by the choice of  $N_{\ell}$  as the set  $\bigcap_{m=\ell+1}^{k(*)} (N_{m} \cap U)$  has cardinality  $\aleph_{\alpha+\ell+1} \geq \aleph_{\ell}$ and belong to  $N_{\ell}$  and clause (b) above.]

 $<sup>{}^2\</sup>mathscr{H}(\chi)$  is  $\{x: \text{ the transitive closure of } x \text{ has cardinality } < \chi\}$  and  $<^*_{\chi}$  is a well ordering of  $\mathscr{H}(\chi)$ 

(\*)<sub>2</sub> 
$$U_{\ell}^2 =: U_{\ell+1}^1 \setminus (N_{\ell} \cap U)$$
 has cardinality  $\aleph_{\alpha+\ell+1}$  for  $\ell \leq k(*)$   
[Why? As  $|U_{\ell+1}^1| = \aleph_{\ell+1} > \aleph_{\ell} = ||N_{\ell}|| \geq |N_{\ell} \cap U|$ .]  
(\*)<sub>3</sub> for  $m < \ell \leq k(*)$  the set  $U_{m,\ell}^3 =: U_{\ell}^2 \cap \bigcap_{r=m}^{\ell-1} N_r$  has cardinality  $\aleph_{\alpha+m}$   
[Why? By downward induction on  $m$ . For  $m = \ell - 1$  as  $U_{\ell}^2 \in N_m$  and  $|U_{\ell}^2| = \aleph_{\alpha+\ell+1}$  and clause (b). For  $m < \ell - 1$  similarly.]

Now for  $\ell = 0$  choose  $\eta_{\ell}^* \in U_{\ell}^2$ , possible by  $(*)_2$  above. Then for  $\ell > 0, \ell \leq k(*)$  choose  $\eta_{\ell}^* \in U_{0,\ell}^3$ . This is possible by  $(*)_3$ . So clearly

$$\begin{aligned} (*)_4 \ \eta_{\ell}^* &\in U \text{ and } \eta_{\ell}^* \in N_m \cap U \Leftrightarrow \ell \neq m \text{ for } \ell, m \leq k(*). \\ [\text{Why? If } \ell = 0, \text{ then by its choice, } \eta_{\ell}^* \in U_{\ell}^2, \text{ hence by the definition of } U_{\ell}^2 \text{ in } \\ (*)_2 \text{ we have } \eta_{\ell}^* \notin N_{\ell}, \text{ and } \eta_{\ell}^* \in U_{\ell+1}^1 \text{ hence } \eta_{\ell}^* \in N_{\ell+1} \cap \ldots \cap N_{k(*)} \text{ by } (*)_1 \\ \text{ so } (*)_4 \text{ holds for } \ell = 0. \text{ If } \ell > 0 \text{ then by its choice, } \eta_{\ell}^* \in U_{0,\ell}^3 \text{ but } U_{m,\ell}^3 \subseteq U_{\ell}^2 \\ \text{ by } (*)_3 \text{ so } \eta_{\ell}^* \in U_{\ell}^2 \text{ hence as before } \eta_{\ell}^* \in N_{\ell+1} \cap \ldots \cap N_{k(*)} \text{ and } \eta_{\ell}^* \notin N_{\ell}. \\ \text{ Also by } (*)_3 \text{ we have } \eta_{\ell}^* \in \bigcap_{r=0}^{\ell-1} N_{\ell} \text{ so } (*)_4 \text{ really holds.}] \end{aligned}$$

Let  $\bar{\eta}^* = \langle \eta_\ell^* : \ell \leq k(*) \rangle$  and let G' be the subgroup of  $G_U$  generated by  $\{x_{\bar{\eta}\uparrow < m,n>} : m \leq k(*) \text{ and } \bar{\eta} \in {}^{k(*)+1}U \text{ and } n < \omega \} \cup \{y_{\bar{\eta},n} : \bar{\eta} \in {}^{k(*)+1}U \text{ but } \bar{\eta} \neq \bar{\eta}^* \text{ and } n < \omega \}$ . Easily  $G_\ell \subseteq G'$  recalling  $G_\ell = N_\ell \cap G_U$  hence  $\Sigma\{G_\ell : \ell \leq k(*)\} \subseteq G'$ , but  $y_{\bar{\eta}^*,0} \notin G'$  hence

$$(*)_5 \ y_{\bar{\eta}^*,0} \notin \sum \{ G_{\ell} : \ell \le k(*) \}.$$

But for every n

$$\begin{array}{l} (*)_6 \ \bar{n}! y_{\bar{\eta}^*, n+1} - y_{\bar{\eta}^*, n} = \Sigma\{x_{\bar{\eta}^*| < m, n >} : m \le k(*)\} \in \Sigma\{G_\ell : \ell \le k(*)\} \\ [\text{Why? } x_{\bar{\eta}^*| < m, n >} \in G_m \text{ as } \bar{\eta}^* \upharpoonright (k(*)) + 1 \setminus \{m\}) \in N_m \text{ by } (*)_4.] \end{array}$$

We can conclude that in  $G_U / \sum \{G_\ell : \ell \leq k(*)\}$ , the element  $y_{\bar{\eta}^*,0} + \sum \{G_\ell : \ell \leq k(*)\}$  is not zero (by  $(*)_5$ ) but is divisible by every natural number by  $(*)_6$ . This contradicts  $(*)_0$  so we are done. 2),3) Left to the reader.  $\Box_{1.14}$ 

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# §2 Black Boxes

**2.1 Claim.** 1) Assume  $k(*) < \omega, \chi = \chi^{\aleph_0}, \lambda = \beth_{k(*)}(\chi)$  and  $S = \lambda, \Lambda = {}^{k(*)+1}({}^{\omega}S)$ or just  $S_{\ell} = \lambda_{\ell} = \chi_{\ell}, \beth_{\ell}(\chi) = \lambda_{\ell}^{\aleph_0} = \chi_{\ell}$  for  $\ell \le k(*)$  and  $\Lambda = \prod_{\ell \le k(*)} {}^{\omega}(S_{\ell})$  and

 $\mathbf{x} = (k(*), \lambda, \Lambda)$  so  $\mathbf{x}$  is a full combinatorial  $\langle S_{\ell} : \ell \leq k(*) \rangle$ -parameter. <u>Then</u>  $\Lambda$  has a  $\chi$ -black box, i.e.  $Qr(\Lambda_{\mathbf{x}^{k(*)}}, \chi)$ , see Definition 1.3.

2) Moreover, **x** has the  $\langle \chi_{\ell} : \ell \leq k(*) \rangle$ -black box, i.e. for every  $B = \langle B_{\bar{\eta}} : \bar{\eta} \in \Lambda_{\langle k(*) \rangle}^{\mathsf{x}}$  satisfying clause (c) below we can find  $\langle h_{\bar{\eta}} : \bar{\eta} \in \Lambda \rangle$  such that:

(a)  $h_{\bar{\eta}}$  is a function with domain  $\{\bar{\eta} \mid \langle m, n \rangle : m \leq k(*), 2 \leq n < \omega\}$ 

(b) 
$$h_{\bar{\eta}}(\bar{\eta} \upharpoonright \langle m, n \rangle) \in B_{\bar{\eta}} \upharpoonright \langle m, n \rangle$$

- (c)  $B_{\bar{\eta}|\langle m,n\rangle}$  is a set of cardinality  $\chi_m$
- (d) if h is a function with domain  $\Lambda_{\leq k(*)}^{\mathbf{x}}$ , see Definition 1.4 such that  $h(\bar{\eta} \mid \langle m, n \rangle) \in B_{(\bar{\eta} \mid < m, n >)}$  for  $\bar{\eta} \in \Lambda, m \leq k(*), n < \omega$  and  $\alpha_{\ell} < \lambda_{\ell}$  for  $\ell \leq k(*)$  then for some  $\bar{\eta} \in \Lambda^{\mathbf{x}}, h_{\bar{\eta}} \subseteq h$  and  $\eta_{\ell}(0) = \alpha_{\ell}$  for  $\ell \leq k(*)$ .

3) Assume  $\chi_{\ell} = \lambda_{\ell}^{\aleph_0}, \chi_{\ell+1} = \chi_{\ell+1}^{\chi_{\ell}}$  for  $\ell \leq k(*)$ . If  $S_{\ell} = \lambda_{\ell}$  for simplicity, for  $\ell \leq k(*), \mathbf{x}$  is a full combinatorial  $(\bar{S}, k(*))$ -parameter, and  $|B_{\bar{\eta}| < m, n >}| \leq \chi_{k(*)}$  for  $\bar{\eta} \in \Lambda^{\mathbf{x}}$  then we can find  $\langle h_{\bar{\eta}} : \bar{\eta} \in \Lambda^{\mathbf{x}} \rangle$  as in part (2), moreover such that:

- (e) if  $\bar{\eta} \in \Lambda$  then  $\eta_{\ell}$  is increasing
- (f) if  $\lambda_{\ell}$  is regular then we can in clause (d) above add: if  $E_{\ell}$  is a club of  $\lambda_{\ell}$  for  $\ell \leq k(*)$  then we can demand: if  $\bar{\eta} \in \Lambda^{\mathbf{x}}$  then for each  $\ell$  for some  $\alpha_{\ell}^* < \lambda_{\ell}$  we have  $\eta_{\ell} \in {}^{\omega}(E_{\ell} \cup \{\alpha_{\ell}^*\})$
- (g) if  $\lambda_{\ell}$  is singular of uncountable cofinality,  $\lambda_{\ell} = \Sigma\{\lambda_{\ell,i} : i < cf(\lambda_{\ell})\}, cf(\lambda_{i,\ell}) = \lambda_{i,\ell}$  increasing with *i* we can add: if  $u_{\ell} \subseteq cf(\lambda_{\ell})$  is unbounded,  $E_{\ell,i}$  a club of  $\lambda_{\ell,i}$  then  $\eta_{\ell} \in {}^{\omega}(E_{i,\ell} \cup \{\alpha_{\ell}^*\})$  for some  $i \in u_{\ell}$ .

*Proof.* Part (1) follows form part (2) which follows from part (3), so let us prove part (3). To uniformize the notation in 2.1(1), i.e. 1.3(2) and 2.1(2),(3) we shall denote:

$$\odot_1 \ h_{\bar{\eta}}(\bar{\eta} \upharpoonright \langle m, n \rangle) = \alpha_{\bar{\eta}, m, n}^{k(*)}.$$

Note that without loss of generality<sup>3</sup>  $\bar{\nu} \in \Lambda_{\leq k(*)}^{\mathbf{x}} \Rightarrow B_{\bar{\nu}} = |B_{\bar{\nu}}|$ , i.e. without loss of generality  $\bar{\eta} \in \Lambda^{\mathbf{x}} \wedge n < \omega \wedge m \leq k(*) \Rightarrow B_{\eta \leq m,n >} = \chi_n$  and we use  $\alpha_{\bar{\eta},m,n}^{k(*)} = h_{\bar{\eta}}(\bar{\eta} \mid \langle m,n \rangle)$  for  $\bar{\eta} \in \Lambda_{\mathbf{x}}, m \leq k(*)$  and  $n < \omega$ . We prove part (3) by induction on k(\*). Let  $\Lambda_k = \Lambda^{\mathbf{x}}$  and without loss of generality  $S_{\ell} = \lambda_{\ell}$ .

<u>Case 1</u>: k(\*) = 0.

By the simple black box, see [Sh 300, III,§4], or better [Sh:e, VI,§2], see below for details on such a proof.

 $\underline{\text{Case 2:}} k(*) = k + 1.$ Let

 $\odot_2 \ \alpha^k = \langle \alpha_{\bar{\eta},m,n}^k : \bar{\eta} \in \Lambda_k, n < \omega, m \leq k \rangle$  witness parts (2),(3) for k, i.e. for  $\mathbf{x}^k$ , hence no need to assume  $\mathbf{x}^k$  is full.

So  $\lambda = \lambda_{k(*)}, \chi = \chi_{k(*)}$  and let  $\mathbf{H} = \{h : h \text{ is a function from } \Lambda_k \text{ to } \chi\}$ . So  $|\mathbf{H}| \leq (\lambda)^{\lambda_k^{\aleph_0}} = \chi$ . By the simple black box, see below, we can find  $\langle \bar{h}_{\eta} : \eta \in {}^{\omega}\lambda \rangle$  such that

- $\begin{array}{ll} \odot_3 & (\alpha) & \bar{h}_{\eta} = \langle h_{\eta,n} : n < \omega \rangle \text{ and } h_{\eta,n} \in \mathbf{H} \text{ for } \eta \in {}^{\omega}\lambda \\ & (\beta) & \text{if } \bar{f} = \langle f_{\nu} : \nu \in {}^{\omega>}\lambda \rangle \text{ and } f_{\nu} \in \mathbf{H} \text{ for every such } \nu \text{ and } \alpha < \lambda \\ & \text{ and } \rho \in {}^{\omega>}\lambda \text{ is increasing } \underline{\text{then}} \text{ for some increasing } \eta \in {}^{\omega}\lambda \\ & \text{ we have } \rho \triangleleft \eta \text{ and } n < \omega \Rightarrow h_{\eta,n} = f_{\eta \upharpoonright n} \end{array}$ 
  - ( $\gamma$ ) if cf( $\lambda$ ) >  $\aleph_0$  and E is a club of  $\lambda$  then we can add  $\cup \{\eta(n) : n < \omega\} \in E$ .

[Why? First assume  $\chi = \lambda$ . Let  $\langle \bar{g}_{\alpha} = \langle g_{\alpha,\ell} : \ell < n_{\alpha} \rangle : \alpha < \lambda \rangle$  enumerate  ${}^{\omega>}\mathbf{H}$  such that for each  $\bar{g} \in {}^{\omega>}\mathbf{H}$  the set  $\{\alpha < \lambda : \bar{g}_{\alpha} = \bar{g}\}$  is unbounded in  $\lambda$ . Now for  $\eta \in {}^{\omega}\lambda$  and  $n < \omega$  let  $h_{\eta,n} = g_{\eta(k),n}$  for every k large enough if well defined and  $g_{\eta \upharpoonright (n+1),n}$  otherwise. So clause  $(\alpha)$  of  $\odot_3$  holds and as for clause  $(\beta)$  of  $\odot_3$ , let  $\bar{f} = \langle f_{\nu} : \nu \in {}^{\omega>}\lambda \rangle$  be given,  $f_{\nu} \in \mathbf{H}$ .

Assume  $\rho \in {}^{\omega >}\lambda$  is increasing. We choose  $\alpha_n$  by induction on  $n < \omega$  such that:

 $\odot_4$  ( $\alpha$ )  $\alpha_n = \rho(n)$  if  $n < \ell g(\rho)$ 

<sup>&</sup>lt;sup>3</sup>Why? (As doubts were cast we shall elaborate.) For  $\bar{\eta} \in \Lambda_{\leq k(*)}$  let  $B'_{\bar{\eta}} = \{i : i < |B_{\bar{\eta}}|\}$  for  $\bar{\eta} \in \Lambda_{\leq k(*)}$  and let  $g_{\bar{\eta}}$  be a one-to-one function from  $B'_{\eta}$  onto  $B_{\bar{\eta}}$ . Now assume that  $\langle h'_{\bar{\eta}} : \bar{\eta} \in \Lambda_{\leq k(*)} \rangle$  is as required in the claim for  $\langle B'_{\bar{\eta}} : \bar{\eta} \in \Lambda \rangle$  and define a function  $h_{\eta}$  with domain  $\text{Dom}(h'_{\eta}) = \{\bar{\eta} \upharpoonright \langle m, n \rangle : m \leq k(*) \text{ and } n < \omega\}$  such that  $h_{\bar{\eta}}(\bar{\eta} \upharpoonright \langle m, n \rangle) = g_{\eta}(h_{\eta}(\bar{\eta} \upharpoonright \langle m, n \rangle)) \in B_{\bar{\eta} \upharpoonright \langle m, n \rangle}$  for  $\bar{\eta} \in \Lambda, m \leq k(*), n < \omega$ . Define the function h' with domain  $\Lambda_{\leq k(*)}$  by  $h'(\bar{\eta}) = g_{\bar{\eta}}^{-1} \circ h$ , so h' is well defined with domain  $\lambda_{\leq k(*)}$  such that  $h'(\bar{\eta}) \in B'_{\bar{\eta}}$ . By the choice of  $\langle h'_{\bar{\eta}} : \bar{\eta} \in \Lambda_{\leq k(*)} \rangle$  there is  $\bar{\eta} \in \Lambda$  such that  $m \leq k(*) \land n < \omega \Rightarrow h'(\bar{\eta} \upharpoonright \langle m, n \rangle) = h'(\bar{\eta} \upharpoonright \langle m, n \rangle)$ . But by the choice of  $h_{\bar{\eta}}, h'$  we have  $m \leq k(*) \land n < \omega \Rightarrow h_{\bar{\eta}}(\bar{\eta} \upharpoonright \langle m, n \rangle) = g_{\bar{\eta}}^{-1}(h'_{\bar{\eta}}(\bar{\eta} \upharpoonright \langle m, n \rangle)) = h(\bar{\eta} \upharpoonright \langle m, n \rangle)$  as required.

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(
$$\beta$$
)  $\alpha_n < \lambda$  and  $\alpha_n > \alpha_m$  if  $n = m + 1$   
( $\gamma$ ) if  $n \ge \ell g(\rho)$  then  $\alpha_n$  satisfies  $\bar{g}_{\alpha_n} = \langle f_{\langle \alpha_\ell : \ell < m \rangle} : m \le n \rangle$ .

Now  $\eta =: \langle \alpha_n : n < \omega \rangle$  is as required in clause ( $\beta$ ) of  $\odot_3$ ; to get also clause ( $\gamma$ ) of  $\odot_3$  we should add in clause ( $\beta$ ) of  $\odot_4$  then  $\alpha_n > \min(E \setminus \alpha_m)$ .

Second, if  $\chi > \lambda$  but still  $\chi \leq \lambda^{\aleph_0}$ , let  $\langle \bar{g}_{\alpha} : \alpha < \chi^{\aleph_0} \rangle$  list  ${}^{\omega>}\mathbf{H}$ , and let  $\mathbf{h}_n : \chi \to \lambda$ for  $n < \omega$  be such<sup>4</sup> that  $\alpha < \beta < \chi \Rightarrow (\forall^{\infty} n)(\mathbf{h}_n(\alpha) \neq \mathbf{h}_n(\beta))$  and let cd:  $\lambda \to {}^{\omega>}\lambda$ be one to one onto. Now for  $\eta \in {}^{\omega}\lambda$  and  $n < \omega$  let  $h_{\eta,n}$  be  $g_{\alpha}$  where  $\alpha$  is the unique ordinal  $\alpha < \chi$  such that for every  $k < \omega$  large enough  $(\operatorname{cd}(\eta(k)))(n) = \mathbf{h}_n(\alpha)$  so in particular  $\langle \ell g(\operatorname{cd}(\eta(k)) : k < \omega \rangle$  is going to infinity or  $h_{\eta,n}$  is not well defined; in fact, we can use only the case  $\ell g(\operatorname{cd}(\eta(k)) = k$ ; stipulating  $h_{\eta,n} \in {}^{\omega}\{0\}$  when not defined. So we have defined  $\langle h_{\eta,n} : \eta \in {}^{\omega}\lambda, n < \omega \rangle$ . Now we immitate the previous argument: clause  $(\beta)$  of  $\circledast_2$  holds.

Next we shall define  $\bar{\alpha}^{k(*)} = \langle \alpha_{\bar{\eta},m,n}^{k(*)} : \bar{\eta} \in \Lambda_{k+1}, m \leq k(*), n < \omega \rangle$  as required; so let  $\bar{\eta} = \langle \eta_{\ell} : \ell \leq k(*) \rangle \in \Lambda_{k(*)}$  we define  $\bar{\alpha}_{\bar{\eta}}^{k(*)} = \langle \alpha_{\bar{\eta},m,n}^{k(*)} : m \leq k(*), n < \omega \rangle$  as follows:

Clearly  $\alpha_{\bar{\eta},m,n}^{k(*)} < \lambda_m$  in all cases, as required, (in clause (a),(b),(c) of 2.1(2) and (e) of 2.1(3). But we still have to prove that  $\langle \bar{\alpha}_{\bar{\eta},m,n}^{k(*)} : \bar{\eta} \in \Lambda^{k+1}, m \leq k(*), n < \omega \rangle$  witness  $\operatorname{Qr}(\mathbf{x}^{k(*)}, \chi)$ , see Definition 1.3(2) this suffices for 2.1(2), little more is needed for 2.1(3); just using  $(\gamma)$  of  $\odot_3$  and the induction hypothesis.

Why does this hold? Let h be a function with domain  $\Lambda_{\leq k(*)}^{\mathbf{x}^{k(*)}}$  as in part (3) and  $\alpha_{\ell}^* < \lambda_{\ell}$  for  $\ell \leq k(*)$ .

For  $\nu \in {}^{\omega>}\lambda$  let  $f_{\nu} : \Lambda_k \to \lambda = \lambda_{k(*)}$  be defined by:  $f_{\nu}(\langle \eta_{\ell} : \ell \leq k \rangle) =: h(\langle \eta_{\ell} : \ell \leq k \rangle) =: h(\langle \eta_{\ell} : \ell \leq k \rangle)$ . So by  $\odot_3$  above for some increasing  $\eta^*_{k(*)} \in {}^{\omega}\lambda$  we have  $\eta^*_{k(*)}(0) = \alpha^*_{k(*)}$  and

 $\odot_6 n < \omega \Rightarrow f_{\eta^*_{k(*)} \upharpoonright n} = h_{\eta^*_{k(*)}, n}.$ 

Now substituting the definition of f we have

 $\odot_7 \langle \eta_0, \dots, \eta_k \rangle \in \Lambda_k \land n < \omega \Rightarrow h_{\eta_{k(*)}^*, n}(\eta_0, \dots, \eta_k) = h(\langle \eta_0, \dots, \eta_k, \eta_{\eta(*)}^* \upharpoonright n \rangle).$ 

<sup>&</sup>lt;sup>4</sup>recall  $(\forall^{\infty} N)$  means "for every large enough  $n < \omega$ "

Substituting the definition of  $\bar{\alpha}^k$  we have

$$\bigcirc_8 \text{ if } \langle \eta_0, \dots, \eta_k \rangle \in \Lambda_k \text{ and } n < \omega \text{ then } \alpha_{<\eta_0, \dots, \eta_k, \eta_{k(*)}^*>}^{k(*)} = h(\langle \eta_0, \dots, \eta_k, \eta_{k(*)}^* | n \rangle).$$

Now we define a function h' with domain  $\Lambda_{\leq k}^{\mathbf{x}^k}$  by: if  $\bar{\eta} \in \Lambda_{\leq k}^{\mathbf{x}^k}$  then  $h'(\bar{\eta}) = h(\bar{\eta}^* \langle \eta_{k(*)}^* \rangle).$ 

So by the choice of  $\bar{\alpha}^k$  in  $\odot_2$  we can find  $\langle \eta_0^*, \ldots, \eta_k^* \rangle \in \Lambda_k$  with no repetitions such that  $\eta_\ell^*(0) = \alpha_\ell^*$  for  $\ell \leq k$  and in  $\odot_2$ 

$$\bigcirc_9 \ m \le k \land n < \omega \Rightarrow \alpha^k_{\langle \eta_0^*, \dots, \eta_k^* \rangle, m, n} = h'(\langle \eta_0^*, \dots, \eta_k^* \rangle \mid (m, n) \rangle).$$

Let  $\bar{\eta}^* = \langle \eta_0^*, \dots, \eta_k^*, \eta_{k+1}^* \rangle, \bar{\eta}' = \langle \eta_0^*, \dots, \eta_i^* \rangle.$ Note that

 $\bigcirc_{10} \text{ if } m \leq k, n < \omega \text{ then } h'(\bar{\eta}' \mid \langle k, m \rangle) = h((\bar{\eta}' \mid \langle m, n \rangle)^{\hat{}} \langle \eta_{k(*)}^* \rangle) = h(\bar{\eta}^* \mid \langle m, n \rangle).$ 

Now by  $\bigcirc_9 + \bigcirc_{10}$  and  $\bigcirc_5(\beta)$  this means

$$\odot_{11}$$
 if  $m \leq k$  and  $n < \omega$  then  $\alpha_{\bar{\eta}^*,m,n}^{k(*)} = h(\bar{\eta}^* \mid \langle k,m \rangle).$ 

So by putting together  $\odot_8 + \odot_{11}$  we are clearly done, i.e. we can check that  $\langle \eta_0^*, \ldots, \eta_k^*, \eta_{k(*)}^* \rangle$  is as required.  $\Box_{2.1}$ 

2.2 Conclusion. For every  $k < \omega$  there is an  $\aleph_{k+1}$ -free abelian group G of cardinality  $\beth_{k+1}$  and pure (non-zero) subgroup  $\mathbb{Z}z \subseteq G$  such that  $\mathbb{Z}z$  is not a direct summand of G.

*Proof.* Let  $\chi = 2^{\aleph_0}$  and **x** be a combinatorial k-parmeter as guaranteed by 2.1. Now by 2.3(2) below we can expand **x** to an abelian group k-parameter, so  $G_{\mathbf{x}}$  is as required.

**2.3 Claim.** 1) If  $\mathbf{x}$  is a combinatorial k-parameter such that  $\operatorname{Qr}(\mathbf{x}, 2^{\aleph_0})$  <u>then</u> for some  $\mathbf{a}, \mathbf{y} := (\mathbf{x}, \mathbf{a})$  is an abelian group k-parameter such that  $h \in \operatorname{Hom}(G_{\mathbf{y}}, \mathbb{Z}) \Rightarrow h(z) = 0$ .

2) For every k there is an  $\aleph_{k+1}$ -free abelian group G of cardinality  $\beth_{k+1}$  and  $z \in G$  a pure  $z \in G$  as above.

*Proof.* 1) Let  $\bar{\alpha}$  witness  $Qr(\mathbf{x}, 2^{\aleph_0})$ . We define a function  $\iota$ :Ord  $\to \mathbb{Z}$  by:  $\iota(\alpha)$  in  $\alpha$  if  $\alpha < \omega$ , is -n if  $\alpha = \omega + n < \omega + \omega$  and is zero otherwise. For each  $\bar{\eta} \in \Lambda^{\mathbf{x}}$  we

shall choose a sequence  $\langle \mathbf{a}_{\bar{\eta},n} : n < \omega \rangle$  of integers such that for any  $b \in \mathbb{Z} \setminus \{0\}$  for no  $\bar{c} \in {}^{\omega}\mathbb{Z}$  do we have:

 $\boxtimes_{\bar{\eta}}$  for each  $n < \omega$  we have

$$n!c_{n+1} = c_n + \mathbf{a}_{\bar{\eta},n}b + \Sigma\{\iota(\alpha_{\bar{\eta},m,n}) : m \le k(*)\}.$$

This is easy: for each pair  $(b, c_0) \in \mathbb{Z} \times \mathbb{Z}$  the set of  $\langle \mathbf{a}_n : n < \omega \rangle \in {}^{\omega}\mathbb{Z}$  such that there is at least one sequence (and always at most one sequence)  $\langle c_0, c_1, c_2, \ldots \rangle$  of integers such that  $\boxtimes_{\bar{\eta}}$  holds for them, is meagre, even no-where dense so the choice of  $\langle \mathbf{a}_{\bar{\eta},n} : n < \omega \rangle$  is possible.

Now toward contradiction assume that h is a homomorphism from  $G_{\mathbf{x}}$  to  $z\mathbb{Z}$  such that  $h(z) = bz, b \in \mathbb{Z} \setminus \{0\}$ . We define  $h' : \Lambda_{\leq k}^{\mathbf{x}} \to \chi$  by  $h'(\bar{\eta}) = n$  if  $n < \omega$  and  $h(x_{\bar{\eta}}) = nz$  and  $h'(\bar{\eta}) = \omega + n$  if  $n < \omega$  and  $h(x_{\bar{\eta}}) = (-n)z$ .

By the choice of  $\bar{\alpha}$ , for some  $\bar{\eta} \in \Lambda^{\mathbf{x}}$  we have:  $m \leq k \wedge n < \omega \Rightarrow h'(\bar{\eta} \mid \langle m, n \rangle) = \alpha_{\bar{\eta},m,n}$ . Hence  $h(x_{\bar{\eta} \uparrow (m,n)}) = \iota(\alpha_{\bar{\eta},m,n})z$  for  $m \leq k, n < \omega$ .

Let  $c_n \in \mathbb{Z}$  be such that  $h(y_{\bar{\eta},n}) = c_n z$ . Now the equation  $\boxtimes_{\bar{\eta},n}$  in Definition 1.6 is mapped to the *n*-th equation in  $\boxtimes_{\bar{\eta}}$ , so an obvious contradiction. 2) By part (1) and 2.2.

2.4 Remark. 1) We can replace  $\chi$  by a set of cardinality  $\chi$  in Definition 1.3. Using  $\mathbb{Z}z$  instead of  $\chi$  simplify the notation in the proof of 2.3.

2) We have not tried to save in the cardinality of G in 2.3(2), using as basic of the induction the abelian group of cardinality  $\aleph_0$  or  $\aleph_1$ .

**2.5 Claim.** 1) If  $\chi_0 = \chi_0^{\aleph_0}, \chi_{m+1} = 2^{\chi_m}$  and  $\lambda_m = \chi_m$  for  $m \leq k$  for the  $\bar{\chi}$ -full combinatorial k-parameter  $\mathbf{x}$ , the  $(\mathbf{x}, \bar{\chi})$ -black box exist.

2.6 Conclusion. Assume  $\mu_0 < \ldots < \mu_{k(*)}$  are strong limit of cofinality  $\aleph_0$  (or  $\mu_0 = \aleph_0$ ),  $\lambda_\ell = \mu_\ell^+, \chi_\ell = 2^{\mu_\ell}$ .

<u>Then</u> in 2.1 for  $\bar{\eta} \in \Lambda^{\mathbf{x}}$  we can let  $h_{\bar{\eta},m}$  has domain  $\{\bar{\nu} \in \Lambda_m^{\mathbf{x}} : [\nu_\ell = \eta_\ell \text{ for } \ell = m+1, \ldots, k(*)\}.$ 

 $\S3$  Constructing abelian groups from combinatorial parameters

**3.1 Definition.** 1) We say F is a  $\mu$ -regressive function on a combinatorial parameter  $\mathbf{x} \in K_{k(*)}^{cb}$  when  $S^{\mathbf{x}}$  is a set of ordinals and:

- (a) Dom(F) is  $\Lambda^{\mathbf{x}}$
- (b)  $\operatorname{Rang}(F) \subseteq [\Lambda^{\mathbf{x}} \cup \Lambda^{\mathbf{x}}_{< k(*)}]^{\leq \aleph_0}$
- (c) for every  $\bar{\eta} \in \Lambda^{\mathbf{x}}$  and  $m \leq k(*)$  we<sup>5</sup> have sup  $\operatorname{Rang}(\eta_m) > \sup(\cup \{\operatorname{Rang}(\nu_m) : \bar{\nu} \in F(\bar{\eta})\})$ ; note  $\bar{\nu}_{\ell} \in \Lambda^{\mathbf{x}}$  or  $\bar{\nu} \in \Lambda^{\mathbf{x}}_{\leq k(*)}$  as  $F(\bar{\eta})$  is a set of such objects.

1A) We say F is finitary when  $F(\bar{\eta})$  is finite for every  $\bar{\eta}$ .

1B) We say F is simple if  $\eta_{k(*)}(0)$  determined  $F(\bar{\eta})$  for  $\bar{\eta} \in \Lambda^{\mathbf{x}}$ .

2) For  $\mathbf{x}, F$  as above and  $\Lambda \subseteq \Lambda^{\mathbf{x}}$  we say that  $\Lambda$  is free for  $(\mathbf{x}, F)$  when:  $\Lambda \subseteq \Lambda^{\mathbf{x}}$  and there is a sequence  $\langle \bar{\eta}^{\alpha} : \alpha < \alpha(*) \rangle$  listing  $\Lambda' = \Lambda \cup \bigcup \{F(\bar{\eta}) : \bar{\eta} \in \Lambda\}$  and sequence  $\langle \ell_{\alpha} : \alpha < \alpha(*) \rangle$  such that

- (a)  $\ell_{\alpha} \leq k(*)$
- (b) if  $\alpha < \alpha(*)$  and  $\bar{\eta}^{\alpha} \in \Lambda$  then  $F(\bar{\eta}^{\alpha}) \subseteq \{\bar{\eta}^{\beta} : \beta < \alpha\} \cup \{\bar{\eta}^{\gamma} \mid \langle m, n \rangle : \gamma < \alpha$  is such that  $\bar{\eta}^{\gamma} \in \Lambda^{\mathbf{x}}$  and  $n < \omega, m \leq k(*)\}$
- (c) if  $\alpha < \alpha(*)$  and  $\bar{\eta}^{\alpha} \in \Lambda$  then for some  $n < \omega$  we have  $\bar{\eta}^{\alpha} \upharpoonright \langle \ell_{\alpha}, n \rangle \notin \{\bar{\eta}^{\beta} \upharpoonright \langle \ell_{\alpha}, n \rangle : \beta < \alpha, \eta^{\beta} \in \Lambda \} \cup \{\bar{\eta}^{\beta} : \beta < \alpha \}.$

3) We say  $\mathbf{x}$  is  $\theta$ -free for F is  $(\mathbf{x}, F)$  is  $\mu$ -free when  $\mathbf{x}, F$  are as in part (1) and every  $\Lambda \subseteq \Lambda^{\mathbf{x}}$  of cardinality  $< \theta$  is free for  $(\mathbf{x}, F)$ .

**3.2 Claim.** 1) If  $\mathbf{x} \in K_{k(*)}^{cb}$  and F is a regressive function on  $\mathbf{x}$  <u>then</u>  $(\mathbf{x}, F)$  is  $\aleph_{k(*)+1}$ -free provided that F is finitary or simple.

2) In addition: if  $k \leq k(*), \Lambda \subseteq \Lambda^{\mathbf{x}}$  has cardinality  $\leq \aleph_k$  and  $\bar{u} = \langle u_{\bar{\eta}} : \bar{\eta} \in \Lambda \rangle$ satisfies  $u_{\bar{\eta}} \subseteq \{0, \ldots, k(*)\}, |u_{\eta}| > k$ , then we can find  $\langle \bar{\eta}^{\alpha} : \alpha < \aleph_k \rangle, \langle \ell_{\alpha} : \alpha < \aleph_k \rangle$ ,  $\langle n_{\alpha} : \alpha < \aleph_k \rangle$  such that:

- (a)  $\Lambda \subseteq \{\bar{\eta}^{\alpha} : \alpha < \aleph_k\}$
- (b) if  $\bar{\eta}_{\alpha} \in \Lambda^{\mathbf{x}}$  then  $\ell_{\alpha} \in u_{\bar{\eta}^{\alpha}}, n_{\alpha} < \omega$
- $(c) \ \bar{\eta}^{\alpha} \upharpoonright \langle \ell_{\alpha}, n_{\alpha} \rangle \notin \{ \bar{\eta}^{\beta} \upharpoonright \langle \ell_{\alpha}, n_{\alpha} \rangle : \beta < \alpha \} \cup \{ \bar{\eta}^{\beta} : \beta < \alpha \}.$

*Remark.* We may wonder:

<sup>&</sup>lt;sup>5</sup>actually, suffice to have it for  $\ell = k(*)$ 

<u>Ruedeger Question</u>: Assume  $F(\bar{\eta}) \in [\Lambda_{\leq k(*)}]^{\leq \aleph_0}$  for  $\bar{\eta} \in \Lambda^{\mathbf{x}}$  is as in Definition 3.1. Is this O.K. in the proof of 3.2, particularly Case 1?

Answer: Seems not. Assume  $\bar{\nu} \neq \bar{\rho} \in \Lambda$  and

- (A)  $u_{\bar{\rho}} = \{\ell_1\}, F(\bar{\nu}) = \{\bar{\rho} \mid \langle \ell_1, n \rangle : n < \omega\}$
- (B)  $u_{\bar{\nu}} = \{\ell_2\}, F(\bar{\rho}) = \{\bar{\nu} \mid \langle \ell_2, n \rangle : n < \omega\}.$

So if  $(\nu, \bar{\rho}) = (\eta_{\alpha_4}, \eta_{\alpha_2})$ , we have  $\alpha_0 \neq \alpha_1$  as  $\bar{\nu} \neq \bar{\rho}, \neg(\alpha_1 < \alpha_2)$  by (B), and  $\neg(\alpha_2 < \alpha_1)$  by (A).

*Proof.* 1) Follows by part (2) for the case  $k = k(*), u_{\bar{n}} = \{0, \ldots, k(*)\}$  for every  $\bar{\eta} \in \Lambda$ .

2) So we are assuming  $\mathbf{x} \in K_{k(*)}^{cb}$ , F is a regressive function on  $\mathbf{x}$  which is finitary or simple,  $k \leq k(*), \Lambda \subseteq \Lambda^{\mathbf{x}}$  has cardinality  $\leq \aleph_k$  and without loss of generality  $\Lambda$ is closed under  $\bar{\eta} \mapsto F(\bar{\eta}) \cap \Lambda^{\mathbf{x}}$ . We prove this by induction on k.

Case 1: k = 0.

Subcase 1A: Ignoring F.

(f)

Let  $\langle \bar{\eta}^{\alpha} : \alpha < |\Lambda| \rangle$  list  $\Lambda$  with no repetitions (so  $\alpha < |\Lambda| \Rightarrow \alpha < \aleph_k = \aleph_0$ ). Now  $\alpha < |\Lambda| \Rightarrow u_{\bar{\eta}^{\alpha}} \neq \emptyset$  and let  $\ell_{\alpha} = \min(u_{\bar{\eta}^{\alpha}}) \leq k(*)$ . Hence for each  $\alpha < |\Lambda|$  we know that  $\beta < \alpha \Rightarrow \bar{\eta}^{\beta} \neq \bar{\eta}^{\alpha}$ , hence for some  $n = n_{\alpha,\beta} < \omega$  we have  $\bar{\eta}^{\beta} \upharpoonright \langle \ell_{\alpha}, n_{\alpha,\beta} \rangle \neq \beta$  $\bar{\eta}^{\alpha} \upharpoonright \langle \ell_{\alpha}, n_{\alpha,\beta} \rangle.$ 

Let  $n_{\alpha} = \sup\{n_{\alpha,\beta} : \beta < \alpha\}$ , it is  $<\omega$  as  $\alpha < \omega$ . Now  $\langle (\ell_{\alpha}, n_{\alpha}) : \alpha < |\Lambda| \rangle$  is as required.

Subcase 1B:  $\bar{\eta} \in \Lambda \Rightarrow F(\bar{\eta})$  is finite<sup>6</sup>.

Let  $\langle \eta^{\alpha} : \alpha < |\Lambda| \rangle$  list  $\Lambda$ , we choose  $w_j$  by induction on  $j \leq j(*), j(*) \leq \omega$  such that:

\* (a)  $w_j \subseteq |\Lambda|$  is finite for  $j < \omega$ (b)  $j \in w_{j+1}$ (c) if  $\alpha \in w_i$  then  $F(\bar{\eta}^{\alpha}) \cap \Lambda \subseteq \{\bar{\eta}^{\alpha} : \beta \in w_i\}$ (d)  $w_{i(*)} = |\Lambda|$  and  $w_0 = \emptyset$ (e)  $w_i \subseteq w_{i+1}$ if  $j(*) = \omega$  then  $w_{j(*)} = \bigcup \{ w_j : j < j(*) \}.$ 

<sup>6</sup>If we assume for  $\bar{\eta} \in \Lambda \Rightarrow F(\bar{\eta}) \subseteq \Lambda_{\langle k(*)}$  then any list  $\langle \bar{\eta}^{\alpha} : \alpha < |\Lambda| \rangle$  with no repetitions and  $\bar{\ell} = \langle \ell_{\alpha} : \alpha < |\Lambda| \rangle, \ell_{\alpha} \in u_{\bar{\eta}^{\alpha}}$  will do. Why? Because  $Y_{\alpha} := \bigcup \{F(\bar{\eta}^{\beta}) : \beta < \alpha\}$  is a finite subset of  $\Lambda_{\leq k(*)}$ . Now for  $\alpha < |\Lambda|$  the set  $u_{\alpha}^1 := \{n < \omega : \bar{\eta}^{\alpha} \mid \langle \ell_{\alpha}, n \rangle$  belongs to  $Y_{\alpha}\}$  is finite, and also for each  $\beta < \alpha$  the set  $u^r, Y_{\alpha,\beta} := \{n < \omega : \bar{\eta}^{\alpha} \mid \langle \ell_{\alpha}, n \rangle = \bar{\eta}^{\beta} \mid \langle \ell_{\alpha}, n \rangle \}$  is finite. As  $\alpha$  is finite we can

find  $n = n_{\alpha} \in \omega \setminus Y_{\alpha} \setminus \cup \{Y_{\alpha,\beta} : \beta < \alpha\}$ . Now  $\langle n_{\alpha} : \alpha < |\Lambda| \rangle$  is as required.

No problem to do this; for clause (c) use "F is regressive, the ordinals well ordered but we elaborate. Assume that the finite  $w_j \subseteq |\Lambda|$  has been chosen. We define  $w_{j,m}$  by induction on m such that  $w_{j,m} \subseteq |\Lambda|$  is finite and  $\subseteq$ -increasing with m. For m = 0 let  $w_{j,m} = w_j \cup \{\alpha\}$ . If  $w_{j,m}$  is defined let

$$w_{j,m+1} = w_{j,m} \cup \{\beta < |\Lambda| : \text{for some } \alpha \in w_{j,m} \text{ we have}$$
  
 $\bar{\eta}^{\beta} \in F(\bar{\eta}^{\alpha}) \cap \Lambda\}.$ 

As  $w_{j,m}$  is finite and  $\subseteq |\Lambda|$  and each  $F(\bar{\eta}^{\alpha})$  is finite and  $\subseteq \{\bar{\eta}^{\gamma} : \gamma < |\Lambda|\}$  clearly  $w_{j,m+1}$  is finite  $\subseteq |\Lambda|$ .

Lastly, we let  $w_{j+1}$  be  $\cup \{w_{j,m} : m < \omega\}$ . If it is finite we have carried the inductive step on j. If not, then  $\langle w_{j,m} : m < \omega \rangle$  is  $\subset$ -increasing and we let  $\gamma_{j,m} = \sup\{\eta_{\alpha,0}(i) : i < \omega, \alpha \in w_{j,m+1} \setminus w_{j+m}\}$  and it suffices to prove

(\*)  $\gamma_{j,m} > \gamma_{j,m+1}$  (both are ordinals!).

Why (\*) is true? As by the definition of  $\gamma_{j,m+1}$  for some  $i_* < \omega$  and  $\beta_* \in w_{j,m+2} \setminus w_{j,m+1}$  we have  $\eta_{\beta,0}(i_*) = \gamma_{j,m+1}$ . By the definition of  $w_{j,m+2}$  as  $\beta_* \notin w_{j,m+1}$ , there is  $\alpha_* \in w_{j,m+1}$  such that  $\bar{\eta}^{\beta} \in F(\bar{\eta}^{\alpha}) \cap \Lambda$ .

As  $\beta_* \notin w_{j,m+1}$  necessarily  $\alpha_* \notin w_{j,m}$  hence by the definition of  $\gamma_{j,m}$  we know that  $(\forall i < \omega)(\eta_{\alpha,0}(i) < \gamma_{j,m})$ . By clause (c) of Definition 3.1(1) as  $\bar{\eta}^{\beta} \in F(\bar{\eta}^{\alpha})$  we know that  $\eta_{\beta,0}(i_*) < \sup\{\eta_{\alpha,0}(i) : i < \omega\}$ . By the last two sentences we are done proving (\*), so we are done defining  $w_{j+1}$  hence we finish justifying  $\circledast$ .

Now let  $\langle \beta(j,i) : i < i_j^* \rangle$  list  $w_{j+1} \setminus w_j$  such that: if  $i_1, i_2 < i_j^*$  and  $\bar{\eta}^{\beta(j,i_1)} \in F(\bar{\eta}^{\beta(j,i_2)})$  then  $i_1 < i_2$ ; we prove existence by F being regressive. Let  $\langle \bar{\nu}_{j,i} : i < i_j^{**} \rangle$  list  $\cup \{F(\bar{\eta}^{\alpha}) : \alpha \in w_{j+1} \setminus w_j\} \setminus \Lambda^{\mathbf{x}} \setminus \{F(\bar{\eta}^{\alpha}) : \alpha \in w_j\}.$ 

Let  $\alpha_j^* = \Sigma\{i_{j(1)}^{**} + i_{j(1)}^* : j(1) < j\}$ . Now we choose  $\bar{\rho}_{\varepsilon}$  for  $\varepsilon < \alpha_j^*$  for j < j(\*) as follows:

(a)  $\rho_{\alpha_{i}^{*}+i} = \nu_{j,i}$  if  $i < i_{j}^{**}$ 

(b) 
$$\bar{\rho}_{\alpha_i^* + i_i^{**} + i} = \bar{\eta}^{\beta(j,i)}$$
 if  $i < i_j^*$ .

Lastly, we choose  $n_{\alpha_j+i} < \omega$  for  $i < i_j^*$  as in case 1A. Now check.

# <u>Subcase 1C</u>: F is simple.

Note that  $F(\bar{\eta})$  when defined is determined by  $\eta_{k(*)}(0)$  and is included in  $\{\bar{\nu} \in \Lambda_{\leq k(*)}^{\mathbf{x}} \cup \Lambda^{\mathbf{x}} : \sup \operatorname{Rang}(\nu_{k(*)}) < \eta_{k(*)}(0)\}$ . So let  $u = \{\eta_{k(*)}(0) : \bar{\eta} \in \Lambda\}$  and  $u^* = u \cup \{\sup(u) + 1\}$  and for  $\alpha \in u$  let  $\Lambda_{\alpha} = \{\bar{\eta} \in \Lambda : \eta_{k(*)}(0) = \alpha\}$  and for  $\alpha \in u^*$ 

let  $\Lambda_{<\alpha} = \bigcup \{\Lambda_{\beta} : \beta \in u\}$ . Now by induction on  $\beta \in u^*$  we choose  $\langle (\bar{\eta}^{\varepsilon}, \ell_{\varepsilon}) : \varepsilon < \varepsilon_{\beta} \rangle$ such that it is a required for  $\Lambda_{<\beta}$ . For  $\beta = \min(u)$  this is trivial and if  $\operatorname{otp}(u \cap \beta)$ is a limit ordinal this is obvious. So assume  $\alpha = \max(u \cap \beta)$ , we use Subcase 1A on  $\Lambda_{\alpha}$ , and combine them naturally promising  $\ell_{\alpha} = k(*) \Rightarrow n_{\alpha} > 1$ .

<u>Case 2</u>:  $k = k_* + 1$  and  $|\Lambda| = \aleph_k$ .

Let  $\langle \Lambda_{\varepsilon} : \varepsilon < \aleph_k \rangle$  be  $\subseteq$ -increasing continuous with union  $\Lambda$ ,  $|\Lambda_{1+\varepsilon}| = \aleph_{k_*}, \Lambda_0 = \emptyset$ , each  $\Lambda_{\varepsilon}$  closed enough, mainly:

- $\circledast_1 \text{ if } \bar{\eta}^i \in \Lambda_{\varepsilon} \text{ for } i < i(*) < \omega, \bar{\rho} \in \Lambda \text{ and } \{\rho_{\ell} : \ell \leq k(*)\} \subseteq \{\eta_{\ell}^i : \ell \leq k(*), i < i(*)\} \text{ then } \bar{\rho} \in \Lambda_{\varepsilon}$
- $\circledast_2 \Lambda_{\varepsilon}$  is closed under  $\bar{\eta} \mapsto F(\bar{\eta}) \cap \Lambda^{\mathbf{x}}$ .

Next

○ if  $\varepsilon < \aleph_k, \bar{\eta} \in \Lambda_{\varepsilon+1} \setminus \Lambda_{\varepsilon}$  then  $u'_{\bar{\eta}} = \{\ell \in u_{\bar{\eta}}: \text{ for every or just some } n < \omega \text{ for some } \bar{\nu} \in \Lambda_{\varepsilon} \text{ we have } \bar{\eta} \mid \langle \ell, n \rangle = \bar{\nu} \mid \langle \ell, n \rangle \}$  has at most one member.

[Why? So assume toward contradiction that  $\bar{\eta} \in \Lambda_{\varepsilon+1}$  and  $\ell(1) \neq \ell(2)$  belong to  $u'_{\bar{\eta}}$ . Hence by the definition of  $u'_{\bar{\eta}}$  there are  $\bar{\nu}^1, \bar{\nu}^2 \in \Lambda_{\varepsilon}$  and  $n_1, n_2 < \omega$  such that  $\bar{\eta} \mid \langle \ell_1, n_1 \rangle \in \bar{\nu}^1 \mid \langle \ell_1, n_1 \rangle$  and  $\bar{\eta} \mid \langle \ell_1, n_2 \rangle = \bar{\nu}^2 \mid \langle \ell_2, n_2 \rangle$ . Now  $m \leq k(*) \Rightarrow$  for some  $i \in \{1, 2\}, m \leq \ell_i \Rightarrow$  for some  $i \in \{1, 2\}, \eta_m$  is  $(\bar{\eta} \mid \langle \ell_i, n_i \rangle)_m \Rightarrow \eta_m \in \{\rho_\ell : \bar{\rho} \in \Lambda_{\varepsilon}\}$ . Hence  $\{\eta_\ell : \ell \leq k(*)\} \subseteq \{\rho_\ell : \ell \leq k(*) \text{ and } \bar{\rho} \in \Lambda_{\varepsilon}\}$ . So by  $\circledast_1$  we have  $\bar{\eta} \in \Lambda_{\varepsilon}$ , so we are done.]

Apply the induction hypothesis to  $\Lambda_{\varepsilon+1} \setminus \Lambda_{\varepsilon}$  for each  $\varepsilon$  and get  $\langle (\bar{\eta}^{\varepsilon,\alpha}, \ell_{\varepsilon,\alpha,n_{\varepsilon,\alpha}}) : \alpha < \alpha(\varepsilon) \rangle$  such that  $\bar{\eta}^{\varepsilon,\alpha} \upharpoonright \langle \ell_{\varepsilon,\ell}^{\varepsilon}, n_{\varepsilon,\alpha} \rangle \notin \{ \bar{\eta}^{\varepsilon,\beta} \upharpoonright \langle \ell_{\varepsilon,\beta}, n_{\varepsilon,\beta} \rangle : \beta < \alpha \rangle$ .

Let  $\alpha_* = \Sigma\{\alpha(\varepsilon) : \varepsilon < |\Lambda|\}$  and  $\alpha = \Sigma\{\alpha(\zeta) : \zeta < \varepsilon\} + \beta, \beta < \alpha(\varepsilon)$  let  $\eta^{\alpha} = \eta^{\varepsilon,\beta}, \ell_{\alpha} = \ell_{\varepsilon,\beta}, \eta_{\alpha} = \eta_{\varepsilon,\beta}$ . I.e. we combine but for  $\Lambda_{\varepsilon+1} \setminus \Lambda_{\varepsilon}$  we use  $\langle u_{\bar{\eta}} \setminus u'_{\bar{\eta}} : \bar{\eta} \in \Lambda_{\varepsilon+1} \setminus \Lambda_{\varepsilon} \rangle$ , so  $|u_{\bar{\eta}} \setminus u'_{\bar{\eta}}| \ge k - 1 = k_*$ .  $\Box_{3.2}$ 

**3.3 Definition.** For a combinatorial parameter  $\mathbf{x}$  we define  $\mathscr{G}_{\mathbf{x}}$ , the class of abelian groups derived from  $\mathbf{x}$  as follows:  $G \in \mathscr{G}_{\mathbf{x}}$  if there is a simple (or finitary) regressive F on  $\Lambda^{\mathbf{x}}$  and G is generated by  $\{y_{\bar{\eta},n} : \eta \in \Lambda^{\mathbf{x}}, n < \omega\} \cup \{x_{\bar{\eta}} : \bar{\eta} \in \Lambda^{\mathbf{x}}_{\leq k(*)}\}$  freely except

$$\boxtimes_{\bar{\eta},n} (n!)y_{\bar{\eta},n+1} = y_{\bar{\eta},n} + b_{\bar{\eta},n}z_{\bar{\eta},n} + \sum \{x_{\bar{\eta}|< m,n>} : m \le k(*)\}$$

where

- $\odot$  (a)  $b_{\bar{\eta},n} \in \mathbb{Z}$ 
  - (b)  $z_{\bar{\eta},n}$  is a linear combination of

$$\begin{aligned} \{x_{\bar{\nu}}: \bar{\nu} \in F(\bar{\eta}) \setminus \Lambda^{\mathbf{x}}\} \cup \{y_{\bar{\eta},n}: \bar{\eta} \in F(\bar{\eta}) \cap \Lambda^{\mathbf{x}} \text{ and} \\ (\forall m \le k(*))(\bar{\eta} \upharpoonright \langle m,n \rangle) \in F(\bar{\eta})\}. \end{aligned}$$

**3.4 Claim.** If  $\mathbf{x} \in K_{k(*)}^{cb}$  and  $G \in \mathscr{G}_{\mathbf{x}}$  (i.e. G is an abelian group derived from  $\mathbf{x}$ ), <u>then</u> G is  $\aleph_{k(*)+1}$ -free.

*Proof.* We use claim 3.2. So let H be a subgroup of G of cardinality  $\leq \aleph_{k(*)}$ . We can find  $\Lambda$  such that

- (\*) (a)  $\Lambda \subseteq \Lambda^{\mathbf{x}}$  has cardinality  $\leq \aleph_{k(*)}$ 
  - (b) every equation which  $X_{\Lambda} = \{x_{\bar{\eta}\uparrow < m,n>}, y_{\bar{\eta},n} : m \leq k(*), n < \omega, \bar{\eta} \in \Lambda\}$ satisfies in G, is implied by the equations from  $\Gamma_{\Lambda} = \cup \{\boxtimes_{\bar{\eta},n} : \bar{\eta} \in \Lambda\}$
  - (c)  $H \subseteq G_{\Lambda} = \langle x_{\bar{\eta}\uparrow < m,n>}, y_{\bar{\eta},n} : \bar{\eta} \in \Lambda, m \le k(*), n < \omega \rangle_G$
  - (d) if  $\bar{\eta} \in \Lambda$  then  $F(\bar{\eta})$  is included in  $\Lambda \cup \{\bar{\nu} \upharpoonright (\ell, n) : \bar{\nu} \in \Lambda, \ell \leq k(*) \text{ and } n < \omega\}.$

So it suffices to prove that  $G_{\Lambda}$  is a free (abelian) group.

Let the sequence  $\langle (\bar{\eta}^{\alpha}, \ell_{\alpha}) : \alpha < \alpha(*) \rangle$  be as proved to exist in 3.2. Let  $\mathscr{U} = \{\alpha < \alpha(*) : \bar{\eta}^{\alpha} \in \Lambda\} \cup \{\alpha(*)\}$  and for  $\alpha \leq \alpha(*)$  let  $X^{0}_{\alpha} = \{x_{\bar{\eta}^{\beta} | < m, n >} : \beta \in \alpha \cap \mathscr{U}, m \leq k(*)$  and  $n < \omega\}$  and  $X^{1}_{\alpha} = X^{0}_{\alpha} \cup \{\bar{\eta}^{\beta} : \beta \in \alpha \setminus \mathscr{U}\}$ . So for each  $\alpha \in \mathscr{U}$  there is  $\bar{n}_{\alpha} = \langle n_{\alpha,\ell} : \ell \in v_{\alpha} \rangle$  such that:  $\ell_{\alpha} \in v_{\alpha} \subseteq \{0, \ldots, k(*)\}, n_{\alpha,\ell} < \omega$  and  $X^{1}_{\alpha+1} \setminus X^{1}_{\alpha} = \{x_{\bar{\eta} | <\ell, n >} : \ell \in v_{\alpha} \text{ and } n \in [n_{\alpha,\ell}, \omega)\}.$ 

For  $\alpha \leq \alpha(*)$  let  $G_{\Lambda,\alpha} = \langle \{y_{\bar{\eta}^{\beta},n}, x_{\bar{\nu}} : \beta \in \mathscr{U} \cap \alpha \text{ and } \bar{\nu} \in X^{1}_{\beta} \} \rangle_{G_{\Lambda}}$ . Clearly  $\langle G_{\Lambda,\alpha} : \alpha \leq \alpha(*) \rangle$  is purely increasing continuous with union  $G_{\Lambda}$ , and  $G_{\Lambda,0} = \{0\}$ . So it suffices to prove that  $G_{\Lambda,\alpha+1}/G_{\Lambda,\alpha}$  is free. If  $\alpha \notin \mathscr{U}$  the quotient is trivially a free group, and if  $\alpha \in \mathscr{U}$  we can use  $\ell_{\alpha} \in v_{\alpha}$  to prove that it is free giving a basis.  $\Box_{3.4}$ 

3.5 Conclusion. For every  $k(*) < \omega$  there is an  $\aleph_{k(*)+1}$ -free abelian group G of cardinality  $\lambda = \beth_{k(*)+1}$  such that  $\operatorname{Hom}(G, \mathbb{Z}) = \{0\}$ .

*Proof.* We use  $\mathbf{x}$  and  $\langle h_{\bar{\eta}} : \bar{\eta} \in \Lambda^{\mathbf{x}} \rangle$  from 2.1(3), and we shall choose  $G \in \mathscr{G}_{\mathbf{x}}$ . So G is  $\aleph_{k(*)+1}$ -free by 3.4.

Let  $\mathscr{S} = \{ \langle (a_i, \bar{\eta}_i) : i < i_1 \rangle^{\hat{}} \langle (b_j, \bar{\nu}_j, n_j) : j < j_1 \rangle : i_1 < \omega, a_i \in \mathbb{Z}, \bar{\eta}_i \in \Lambda_{\leq k(*)}^{\mathbf{x}} \}$ and  $j_1 < \omega, b_j \in \mathbb{Z}, \nu_j \in \Lambda^{\mathbf{x}}, n_j < \omega \}$  (actually  $\mathscr{S} = \Lambda_{\leq k(*)}^{\mathbf{x}}$  suffice noting  $\bar{\nu}_j = \langle \nu_{j,\ell} : \ell \leq k(*) \rangle$ ).

So  $|\mathscr{S}| = \lambda_{k(*)}$  and let  $\bar{p}$  be such that:

- (a)  $\bar{p} = \langle p^{\alpha} : \alpha < \lambda \rangle$
- (b)  $\bar{p}$  lists  $\mathscr{S}$
- (c)  $p^{\alpha} = \langle (a_i^{\alpha}, \bar{\eta}_i^{\alpha}) : i < i_{\alpha} \rangle^{\hat{}} \langle (b_i^{\alpha}, \bar{\nu}_i^{\alpha}, n_i^{\alpha}) : j < j_{\alpha} \rangle$  so  $\bar{\nu}_i^{\alpha} = \langle \nu_{i,\ell}^{\alpha} : \ell \leq k(*) \rangle$
- (d) sup  $\operatorname{Rang}(\eta_{i,k(*)}^{\alpha}) < \alpha$ , sup  $\operatorname{Rang}(\nu_{j,k(*)}^{\alpha}) < \alpha$  if  $i < i_{\alpha}, j < j_{\alpha}$ .

Now to apply Definition 3.3 we have to choose  $z_{\alpha}$  (for Definition 3.3) as  $\Sigma\{a_i^{\alpha}x_{\bar{\eta}_i}: i < i_{\alpha}\} + \Sigma\{b_j^{\alpha}y_{\bar{\nu}_j^{\alpha},n_j^{\alpha}}: j < j_{\alpha}\}$  and  $z_{\bar{\eta}} = z_{\bar{\eta},n} = z_{\eta_{k(*)}(0)}$  for  $\bar{\eta} \in \Lambda^{\mathbf{x}}, n < \omega$  then for  $\bar{\eta} \in \Lambda^{\mathbf{x}}$  we choose  $\langle b_{\bar{\eta},n}: n < \omega \rangle \in {}^{\omega}\mathbb{Z}$  such that:

- \* there is no function h from  $\{z_{\bar{\eta}}\} \cup \{y_{\bar{\eta},n} : n < \omega\} \cup \{x_{\bar{\eta}} \upharpoonright (m,n) : m \leq k(*), n < \omega\}$  into  $\mathbb{Z}$  satisfying
  - (a)  $h(z_{\bar{\eta}}) \neq 0$  and
    - (b)  $h(x_{\bar{\eta}| < m, n >}) = h_{\bar{\eta}}(\bar{\eta} \mid \langle m, n \rangle)$  for  $m \le k(*), n < \omega$
    - (c) for every  $n \, \text{sn}$  $(*)_n \quad n!h(y_{\bar{\eta},n+1}) = h(y_{\bar{\eta},n}) + b_{\bar{\eta},n}h(z_{\bar{\eta}}) + \Sigma\{\{x_{\bar{\eta}\uparrow < m,n>}\}: m \le k(*)\}.$

E.g. for each  $\rho \in {}^{\omega}2$  we can try  $b_n^{\rho} = \rho(n)$  and assume toward contradiction that for each  $\rho \in {}^{\omega}2$  there is  $h_{\rho}$  as above. Hence for some  $c \in \mathbb{Z} \setminus \{0\}$  the set  $\{\rho \in {}^{\omega}2 : h_{\rho}(z_{\bar{\eta}}) = c\}$  is uncountable. So we can find  $\rho_1 \neq \rho_2$  such that  $h_{\rho_1} = c = h_{\rho_2}(x_{\nu})$ and  $\rho_1 \upharpoonright (|c| + 7) = \rho_2 \upharpoonright (|c| + 7)$ . So for some  $n \ge |c| + 7, \rho_1 \upharpoonright n = \rho_2 \upharpoonright n$  and  $\rho_1(n) \neq \rho_2(n)$ . Now consider the equation  $(*)_n$  for  $h_{\bar{\rho}_1}$  and  $h_{\bar{\rho}_2}$ , subtract them and get  $(\rho_1(n) - \rho_2(n))c$  is divisible by n!, clear contradiction.

So  $G \in \mathscr{G}_{\mathbf{x}}$  is well defined and is  $\aleph_{k(*)+1}$ -free by 3.4. Suppose  $h \in \operatorname{Hom}(G, \mathbb{Z})$  is non-zero, so for some  $\alpha < \lambda_{k(*)}, h(z_{\alpha}) \neq 0$  (actually as  $G^1 = \langle \{x_{\bar{\nu}} : \bar{\nu} \in \Lambda_{\leq k(*)}^{\mathbf{x}}\} \rangle_G$ is a subgroup such that  $G/G^1$  is divisible necessarily  $h \upharpoonright G^1$  is not zero hence in 2.1(2) for some  $\bar{\nu} \in \Lambda_{\leq k(*)}^{\mathbf{x}}$  we have  $h(x_{\bar{\nu}}) \neq 0$ ). So by the choice of  $\langle h_{\bar{\eta}} : \bar{\eta} \in \Lambda \rangle$ for some  $\bar{\eta} \in \Lambda^{\mathbf{x}}, \eta_{k(*)}(0) = \alpha$  and we have  $h_{\bar{\eta}} = h \upharpoonright \{x_{\bar{\eta}| < m, n >} : m \leq k(*), n < \omega\}$ . By  $\circledast$  we clearly get a contradiction.  $\square_{3.5}$ 

*Remark.* We can give more details as in the proof of 2.3.

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3.6 Conclusion. For every  $n \leq m < \omega$  there is a purely increasing sequence  $\langle G_{\alpha} : \alpha \leq \omega_n + 1 \rangle$  of abelian groups,  $G_{\alpha}, G_{\beta}/G_{\alpha}$  are free for  $\alpha < \beta \leq \omega_n$  and  $G_{\omega_n+1}/G_{\omega_n}$  is  $\aleph_n$ -free and for some  $h \in \operatorname{Hom}(G_{\kappa}, \mathbb{Z})$  has no extension in  $\operatorname{Hom}(G_{\omega_n+1}, \mathbb{Z})$ .

*Proof.* Let G, z be as in 2.2. So also  $G/\mathbb{Z}z$  is  $\aleph_n$ -free. Let  $G_\alpha = \langle \{z\} \rangle_G$  for  $\alpha \leq \omega_2, G_{\omega_n+1} = G$ .

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# §4 Appendix 1

4.1 Notation. If  $\bar{\eta}^* \in \Lambda_m^{\mathbf{x}}$  and  $\bar{\eta} = \bar{\eta}^* \upharpoonright \{\ell \leq k(*) : \ell \neq m\}$  and  $\nu = \eta_m^*$  then let  $x_{m,\bar{\eta},\nu} := x_{\bar{\eta}^*}$ . (See proof of 1.12).

Proof of 1.8. Let  $U \subseteq {}^{\omega}S$  be countable (and infinite) and define  $G'_U$  like G restricting ourselves to  $\eta_{\ell} \in U$ ; by the Löwenheim-Skolem argument it suffices to prove that  $G'_U$  is a free abelian group. List  $\Lambda \cap {}^{k(*)+1}U$  without repetitions as  $\langle \bar{\eta}_t : t < t^* \leq \omega \rangle$ , and choose  $s_t < \omega$  by induction on  $t < \omega$  such that  $[r < t \& \bar{\eta}_r \upharpoonright k(*) = \bar{\eta}_t \upharpoonright k(*) \Rightarrow \emptyset = \{\eta_{t,k(*)} \upharpoonright \ell : \ell \in [s_t, \omega)\} \cap \{\eta_{r,k(*)} \upharpoonright \ell : \ell \in [s_r, \omega)\}].$ 

$$Y_1 = \{x_{m,\bar{n},\nu} : m < k(*), \bar{\eta} \in {}^{k(*)+1 \setminus \{m\}}U \text{ and } \nu \in {}^{\omega>2}\}$$

$$Y_2 = \left\{ x_{m,\bar{\eta},\nu} : m = k(*), \bar{\eta} \in {}^{k(*)}U \text{ and for no } t < t^* \text{ do we have} \\ \bar{\eta} = \bar{\eta}_t \upharpoonright k(*) \& \nu \in \{\eta_{t,k(*)} \upharpoonright \ell : s_t \le \ell < \omega\} \right\}$$

$$Y_3 = \{ y_{\bar{\eta}_t, n} : t < t^* \text{ and } n \in [s_t, \omega) \}.$$

Now

$$(*)_1 Y_1 \cup Y_2 \cup Y_3 \cup \{z\}$$
 generates  $G'_U$ .

[Why? Let G' be the subgroup of  $G'_U$  which  $Y_1 \cup Y_2 \cup Y_3$  generates. First we prove by induction on  $n < \omega$  that for  $\bar{\eta} \in {}^{k(*)}U$  and  $\nu \in {}^nS$  we have  $x_{k(*),\bar{\eta},\nu} \in G'$ . If  $x_{k(*),\bar{\eta},\nu} \in Y_2$  this is clear; otherwise, by the definition of  $Y_2$  for some  $\ell < \omega$  (in fact  $\ell = n$ ) and  $t < \omega$  such that  $\ell \ge s_t$  we have  $\bar{\eta} = \bar{\eta}_t \upharpoonright k(*), \nu = \eta_{t,k(*)} \upharpoonright \ell$ . Now

(a)  $y_{\bar{\eta}_{t,\ell+1}}, y_{\bar{\eta}_{t,\ell}}$  are in  $Y_3 \subseteq G'$ .

Hence by the equation  $\boxtimes_{\bar{\eta},n}$  in Definition 1.6, clearly  $x_{k(*),\bar{\eta},\nu} \in G'$ . So as  $Y_1 \subseteq G' \subseteq G'_U$ , all the generators of the form  $x_{k(*),\bar{\eta},\nu}$  with each  $\eta_\ell \in U$  are in G'.

Next note that

(b)  $x_{m,\bar{\eta}_t \mid \{i \le k(*): i \ne m\},\nu}$  belong to  $Y_1 \subseteq G'$  if m < k(\*).

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Now for each  $t < \omega$  we prove that all the generators  $y_{\bar{\eta}_t,n}$  are in G'. If  $n \ge s_t$  then clearly  $y_{\bar{\eta}_t,n} \in Y_3 \subseteq G'$ . So it suffices to prove this for  $n \le s_t$  by downward induction on n; for  $n = s_t$  by an earlier sentence, for  $n < s_t$  by  $\boxtimes_{\bar{\eta},n}$ . Together all the generators are in this subgroup so we are done.]

 $\square_{1.8}$ 

# Proof of 1.10.0, 1) Obvious.

(2),3),4) Follows.

5) Let  $\langle \eta_{\ell} : \ell < m(*) \rangle$  list  $u, U_{\ell} = U \cup (u \setminus \{\eta_{\ell}\})$  so  $G_{U,u} = G_{U_0^+} \dots + G_{U_{m(*)-1}}$ . First,  $G_{U,u} \subseteq G_{U \cup u}$  follows by the definitions. Second, we deal with proving  $G_{U,u} \subseteq_{\mathrm{pr}} G_{U \cup u}$ . So assume  $z^* \in G, a^* \in \mathbb{Z}$  and  $a^* z^*$  belongs to  $G_{U_0} + \dots + G_{U_{m(*)}}$  so it has the form  $\Sigma\{b_i x_{\bar{\eta}'| < m_i, n_i > : i < i(*)\} + \Sigma\{c_j y_{\bar{\eta}_j, n_j} : j < j(*)\} + az$  with  $i(*) < \omega, j(*) < \omega$  and  $a^*, b_i, c_j \in \mathbb{Z}$  and  $\nu_i, \bar{\eta}^i, \bar{\eta}_j$  are suitable sequences of members of  $U_{\ell(i)}, U_{\ell(i)}, U_{k(j)}$  respectively where  $\ell(i), k(j) < m(*)$ . We continue as in [Sh 771]. 6) Easy.

7) Clearly  $U_1 \cup v = U_2 \cup u$  hence  $G_{U_1 \cup u} \subseteq G_{U_1 \cup v} = G_{U_2 \cup u}$  hence  $G_{U,u} + G_{U_1 \cup u}$  is a subgroup of  $G_{U,u} + G_{U_2 \cup u}$ , so the first quotient makes sense.

Hence  $(G_{U,u} + G_{U_2 \cup u})/(G_{U,u} + G_{U_1 \cup u})$  is isomorphic to  $G_{U_2 \cup u}/(G_{U_2 \cup u} \cap (G_{U,u} + G_{U_1 \cup u}))$ . Now  $G_{U_1,v} \subseteq G_{U_1 \cup v} = G_{U_2 \cup v} \subseteq G_{U,u} + G_{U_2,u}$  and  $G_{U_1,v} \subseteq G_{U,v} = G_{U,v \setminus U} = G_{U,u} \subseteq G_{U,u} + G_{U_2,u}$ . Together  $G_{U_1,v}$  is included in their intersection, i.e.  $G_{U_2 \cup u} \cap (G_{U,u} + G_{U_1 \cup u})$  include  $G_{U_1,v}$  and using part (1) both has the same divisible hull inside  $G^+$ . But as  $G_{U_1,v}$  is a pure subgroup of G by part (5) hence of  $G_{U_1 \cup v}$ . So necessarily  $G_{U_1 \cup u} \cap (G_{U,u} + G_{U_1,u}) = G_{U_1,v}$ , so as  $G_{U_2 \cup u} = G_{U_1 \cup v}$  we are done.

8) See [Sh 771,  $\S5$ ].

 $\Box_{1.10}$ 

*Proof of 1.12.* 1) We prove this by induction on |U|; without loss of generality |u| = k as also k' = |u| satisfies the requirements.

<u>Case 1</u>: U is countable.

So let  $\{\nu_{\ell}^* : \ell < k\}$  list u be with no repetitions, now if k = 0, i.e.  $u = \emptyset$  then  $G_{U \cup u} = G_U = G_{U,u}$  so the conclusion is trivial. Hence we assume  $u \neq \emptyset$ , and let  $u_{\ell} := u \setminus \{\nu_{\ell}^*\}$  for  $\ell < k$ .

Let  $\langle \bar{\eta}_t : t < t^* \leq \omega \rangle$  list with no repetitions the set  $\Lambda_{U,u} := \{ \bar{\eta} \in \Lambda^{\mathbf{x}} \cap {}^{k(*)+1}(U \cup u) \}$ : for no  $\ell < k$  does  $\bar{\eta} \in {}^{k(*)+1}(U \cup u_\ell) \}$ . Now comes a crucial point: let  $t < t^*$ , for each  $\ell < k$  for some  $r_{t,\ell} \leq k(*)$  we have  $\eta_{t,r_{t,\ell}} = \nu_\ell^*$  by the definition of  $\Lambda_{U,u}$ , so

<sup>(\*)&</sup>lt;sub>2</sub>  $Y_1 \cup Y_2 \cup Y_3 \cup \{z\}$  generates  $G'_U$  freely. [Why? Translate the equations, see more in [Sh 771, §5].]

$$\begin{split} |\{r_{t,\ell}:\ell < k\}| &= k < k(*) + 1 \text{ hence for some } m_t \leq k(*) \text{ we have } \ell < k \Rightarrow r_{t,\ell} \neq m_t \\ \text{so for each } \ell < k \text{ the sequence } \bar{\eta}_t \upharpoonright (k(*) + 1 \setminus \{m_t\}) \text{ is not from } \{\langle \rho_s : s \leq k(*) \text{ and } s \neq m_t \rangle : \rho_s \in {}^{\omega}(U \cup u_\ell) \text{ for every } s \leq k(*) \text{ such that } s \neq m_t\}. \end{split}$$

For each  $t < t^*$  we define  $J(t) = \{m \leq k(*) : \text{the set } \{\eta_{t,s} : s \leq k(*) \& s \neq m\}$ is included in  $U \cup u_{\ell}$  for no  $\ell \leq k\}$ . So  $m_t \in J(t) \subseteq \{0, \ldots, k(*)\}$  and  $m \in J(t) \Rightarrow \bar{\eta}_t \upharpoonright \{j \leq k(*) : j \neq m\} \notin {}^{k(*)+1 \setminus \{m\}}(U \cup u_{\ell})$  for every  $\ell \leq k$ . For  $m \leq k(*)$  let  $\bar{\eta}'_{t,m} := \bar{\eta}_t \upharpoonright \{j \leq k(*) : j \neq m\}$  and  $\bar{\eta}'_t := \bar{\eta}'_{t,m_t}$ . Now we can choose  $s_t < \omega$  by induction on  $t < t^*$  such that

(\*) if  $t_1 < t, m \le k(*)$  and  $\bar{\eta}'_{t_1,m} = \bar{\eta}'_{t,m}$ , then  $\eta_{t,m} \upharpoonright s_t \notin \{\eta_{t_1,m} \upharpoonright \ell : \ell < \omega\}.$ 

Let  $Y^* = \{x_{m,\bar{\eta},\nu} \in G_{U\cup u} : x_{m,\bar{\eta},\nu} \notin G_{U\cup u_\ell} \text{ for } \ell < k\} \cup \{y_{\bar{\eta},n} \in G_{U\cup u} : y_{\bar{\eta},n} \notin G_{U\cup u_\ell} \text{ for } \ell < k\}.$ Let

$$Y_1 = \{x_{m,\bar{\eta},\nu} \in Y^*: \text{ for no } t < t^* \text{ do we have } m = m_t \& \bar{\eta} = \bar{\eta}'_t\}$$

$$\begin{aligned} Y_2 &= \{ x_{m,\bar{\eta},\nu} \in Y^* : x_{m,\bar{\eta},\nu} \notin Y_1 \text{ but for no} \\ & t < t^* \text{ do we have } m = m_t \& \bar{\eta} = \bar{\eta}'_t \& \\ & \eta_{t,m_t} \upharpoonright s_t \trianglelefteq \nu \triangleleft \eta_{t,m_t} \end{aligned}$$

 $Y_3 = \{y_{\bar{\eta},n} : y_{\bar{\eta},n} \in Y^* \text{ and } n \in [s_t, \omega) \text{ for the } t < t^* \text{ such that } \bar{\eta} = \bar{\eta}_t\}.$ Now the desired conclusion follows from

Proof of  $(*)_1$ . It suffices to check that all the generators of  $G_{U\cup u}$  belong to  $G'_{U\cup u} =: \langle Y_1 \cup Y_2 \cup Y_3 \cup G_{U,u} \rangle_G$ .

First consider  $x = x_{m,\bar{\eta},\nu}$  where  $\eta \in {}^{k(*)+1}(U \cup u), m \leq k(*)$  and  $\nu \in {}^{n}S$  for some  $n < \omega$ . If  $x \notin Y^{*}$  then  $x \in G_{U,u_{\ell}}$  for some  $\ell < k$  but  $G_{U \cup u_{\ell}} \subseteq G_{U,u} \subseteq G'_{U \cup u}$ so we are done, hence assume  $x \in Y^{*}$ . If  $x \in Y_{1} \cup Y_{2} \cup Y_{3}$  we are done so assume  $x \notin Y_{1} \cup Y_{2} \cup Y_{3}$ . As  $x \notin Y_{1}$  for some  $t < t^{*}$  we have  $m = m_{t}$  &  $\bar{\eta} = \eta'_{t}$ . As  $x \notin Y_{2}$ , clearly for some t as above we have  $\eta_{t,m_{t}} \upharpoonright s_{t} \leq \nu \triangleleft \eta_{t,m_{t}}$ . Hence by Definition 1.6 the equation  $\boxtimes_{\bar{\eta}_{t,n}}$  from Definition 1.6 holds, now  $y_{\bar{\eta}_{t,n}}, y_{\bar{\eta}_{t,n+1}} \in Y_{3} \subseteq G'_{U \cup u}$ . So in order to deduce from the equation that  $x = x_{\bar{\eta}'_{t}| < m_{t,n}>}$  belongs to  $G'_{U \cup u}$ , it suffices to show that  $x_{\bar{\eta}'_{t,j}| < j,n>} \in G'_{U \cup u}$  for each  $j \leq k(*), j \neq m_{t}$ . But each such  $x_{\bar{\eta}'_{t,j}| < j,n>}$  belong to  $G'_{U \cup u}$  as it belongs to  $Y_{1} \cup Y_{2}$ .

[Why? Otherwise necessarily for some  $r < t^*$  we have  $j = m_r, \bar{\eta}'_{t,j} = \bar{\eta}'_{r,m_r}$  and  $\eta_{r,m_r} \upharpoonright s_r \trianglelefteq \eta_t \upharpoonright n \triangleleft \eta_{r,m_r}$  so  $n \ge s_r$  and as said above  $n \ge s_t$ . Clearly  $r \ne t$  as  $m_r = j \ne m_t$ , now as  $\bar{\eta}'_{t,m_r} = \bar{\eta}'_{r,m_r}$  and  $\bar{\eta}_t \ne \bar{\eta}_r$  (as  $t \ne r$ ) clearly  $\eta_{t,m_r} \ne \eta_{r,m_r}$ . Also  $\neg (r < t)$  by (\*) above applied with r, t here standing for  $t_1, t$  there as  $\eta_{r,m_r} \upharpoonright s_r \trianglelefteq \eta_{t,j} \upharpoonright n \triangleleft \eta_{r,m_r}$ . Lastly for if t < r, again (\*) applied with t, r here standing for  $t_1, t$  there standing for  $t_1, t$  there as  $n \ge m_t$  gives contradiction.] So indeed  $x \in G'_{U \cup u}$ .

Second consider  $y = y_{\bar{\eta},n} \in G_{U\cup u}$ , if  $y \notin Y^*$  then  $y \in G_{U,u} \subseteq G'_{U\cup u}$ , so assume  $y \in Y^*$ . If  $y \in Y_3$  we are done, so assume  $y \notin Y_3$ , so for some  $t, \bar{\eta} = \bar{\eta}_t$  and  $n < s_t$ . We prove by downward induction on  $s \leq s_t$  that  $y_{\bar{\eta},s} \in G'_{U\cup u}$ , this clearly suffices. For  $s = s_t$  we have  $y_{\bar{\eta},s} \in Y_3 \subseteq G'_{U\cup u}$ ; and if  $y_{\bar{\eta},s+1} \in G'_{U\cup u}$  use the equation  $\boxtimes_{\bar{\eta}_t,s}$  from 1.6, in the equation  $y_{\bar{\eta},s+1} \in G'_{U\cup u}$  and the *x*'s appearing in the equation belong to  $G'_{U\cup u}$  by the earlier part of the proof (of  $(*)_1$ ) so necessarily  $y_{\bar{\eta},s} \in G'_{U\cup u}$ , so we are done.

Proof of  $(*)_2$ . We rewrite the equations in the new variables recalling that  $G_{U\cup u}$  is generated by the relevant variables freely except the equations of  $\boxtimes_{\bar{\eta},n}$  from Definition 1.6. After rewriting, all the equations disappear.

<u>Case 2</u>: U is uncountable.

As  $\aleph_1 \leq |U| \leq \aleph_{k(*)-k}$ , necessarily k < k(\*).

Let  $U = \{\rho_{\alpha} : \alpha < \mu\}$  where  $\mu = |U|$ , list U with no repetitions. Now for each  $\alpha \leq |U|$  let  $U_{\alpha} := \{\rho_{\beta} : \beta < \alpha\}$  and if  $\alpha < |U|$  then  $u_{\alpha} = u \cup \{\rho_{\alpha}\}$ . Now

- $⊙_1$   $\langle (G_{U,u} + G_{U_\alpha \cup u})/G_{U,u} : \alpha < |U| \rangle$  is an increasing continuous sequence of subgroups of  $G_{U \cup u}/G_{U,u}$ . [Why? By 1.10(6).]
- ⊙<sub>2</sub>  $G_{U,u} + G_{U_0 \cup u}/G_{U,u}$  is free. [Why? This is  $(G_{U,u} + G_{\emptyset \cup u})/G_{U,u} = (G_{U,u} + G_u)/G_{U,u}$  which by 1.10(8) is isomorphic to  $G_u/G_{\emptyset,u}$  which is free by Case 1.]

Hence it suffices to prove that for each  $\alpha < |U|$  the group  $(G_{U,u} + G_{U_{\alpha+1} \cup u})/(G_{U,u} + G_{U_{\alpha} \cup u})$  is free. But easily

- $\odot_3$  this group is isomorphic to  $G_{U_{\alpha}\cup u_{\alpha}}/G_{U_{\alpha},u_{\alpha}}$ . [Why? By 1.10(7) with  $U_{\alpha}, U_{\alpha+1}, U, \rho_{\alpha}, u$  here standing for  $U_1, U_2, U, \eta, u$  there.]
- $\odot_4 G_{U_{\alpha} \cup u_{\alpha}}/G_{U_{\alpha},u_{\alpha}}$  is free. [Why? By the induction hypothesis, as  $\aleph_0 + |U_{\alpha}| < |U| \le \aleph_{k(*)-(k+1)}$  and  $|u_{\alpha}| = k + 1 \le k(*)$ .]

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2) If k(\*) = 0 just use 1.8, so assume  $k(*) \ge 1$ . Now the proof is similar to (but easier than) the proof of case (2) inside the proof of part (1) above.

 $\square_{1.12}$ 

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