# ON THE EXISTENCE OF RIGID $\aleph_1$ -FREE ABELIAN GROUPS OF CARDINALITY $\aleph_1$

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#### 1. Introduction

An abelian group is said to be  $\aleph_1$ -free if all its countable subgroups are free. A crucial special case of our main result can be stated immediately.

Indecomposable  $\aleph_1$ -free abelian groups of cardinality  $\aleph_1$  do exist.

The first example of any  $\aleph_1$ -free group which is not free is the Baer-Specker group  $\mathbb{Z}^{\omega}$ , which is the cartesian product of countably many copies of the group  $\mathbb{Z}$  of integers, known for almost sixty years; cf. Baer [1] or [14, p. 94]. Assuming CH, this group of cardinality  $2^{\aleph_0} = \aleph_1$  is an example of a non-free abelian group of cardinality  $\aleph_1$ . Under the same set-theoretic assumption of the continuum hypothesis it can be shown that any countable ring R with free additive group can be realized as the endomorphism ring of an  $\aleph_1$ -free abelian group G of cardinality  $\aleph_1$ . The chronologically earlier realization theorem of this kind uses the weak diamond prediction principle which follows from  $2^{\aleph_0} < 2^{\aleph_1}$ , cf. Devlin and Shelah [6] for the weak diamond, Shelah [28] for the case End  $G = \mathbb{Z}$  and Dugas, Göbel [7] for the case  $R = \operatorname{End} G$  and extensions to larger cardinals. Using, what is called Shelah's Black Box, the existence of  $\aleph_1$ -free groups G with  $|G| = 2^{\aleph_0}$  also follows from Corner, Göbel [5] using Dugas, Göbel [7] and combinatorial fine tuning from Shelah [29].

Without the assumption of CH, the existence of non-free,  $\aleph_1$ -free groups of cardinality  $\aleph_1$  follows from a more general result by Griffith [18], Hill [21], Eklof [11],

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Mekler [24] and Shelah in Eklof [12, p. 82,Theorem 8.8]. By an induction it can be shown, that there are  $\aleph_n$ -free groups, non-free of cardinality  $\aleph_n$ . The non-abelian case is due to Higman [19, 20].

By Shelah's singular compactness theorem it is known that  $\lambda$ -free abelian groups of cardinality  $\lambda$  do not exist if  $\lambda$  is singular, e.g. if  $\lambda = \aleph_{\omega}$ , cf. Eklof, Mekler [13]. Hence induction breaks down and it is more complicated to show the existence of  $\lambda$ -free, non-free abelian groups of cardinality  $\lambda > \aleph_{\omega}$ . This question is investigated in Magidor, Shelah [23] and we just refer to this paper and restrict ourselves to cardinals  $\lambda \leq 2^{\aleph_0}$  again, and we will focus on  $\lambda = \aleph_1$ . Only very little is known about algebraic properties of  $\aleph_1$ -free groups of cardinality  $\aleph_1$ , see Eklof [11] and Eklof, Mekler [13]. Shelah's construction [27] (see also S5) of groups also mentioned in [12, 13] which are not separable was refined in Eda [10] prove the existence of an  $\aleph_1$ -free group G of cardinality  $\aleph_1$  such that  $\operatorname{Hom}(G,\mathbb{Z})=0$ , a result derived independently but later by Corner, Göbel [5]. Moreover, counterexamples for Kaplansky's test problems among  $\aleph_1$ -free groups of cardinality  $\aleph_1$  are given recently in Göbel, Goldsmith [17], realizing rings modulo some large ideal, see also [16]. Moreover,  $\aleph_1$ -separable groups of cardinality  $\aleph_1$  serving as counterexamples of Kaplansky's test problems were constructed in [31]. These results about ℵ₁-free groups become special cases of our quite satisfying main theorem.

**Main Theorem** 4.1 If R is a ring with  $R^+$  free and  $|R| < \lambda \le 2^{\aleph_0}$ , then there exists an  $\aleph_1$ -free abelian group G of cardinality  $\lambda$  with  $\operatorname{End} G = R$ .

We have identified R with endomorphisms acting on the R-module G by scalar multiplication. This result has many applications. If  $R = \mathbb{Z}$ , we derive the existence of  $\aleph_1$ -free abelian groups of cardinality  $\aleph_1$ , a result which was unknown.

If  $\Gamma$  is any abelian semigroup, then we use Corner's ring  $R_{\Gamma}$ , implicitly discussed in Corner, Göbel [4], and constructed for particular  $\Gamma's$  in [3] with special idempotents (expressed below), with free additive group and  $|R_{\Gamma}| = \max\{|\Gamma|, \aleph_0\}$ . If  $|\Gamma| < 2^{\aleph_0}$ , we may apply the main theorem and find a family of  $\aleph_1$ -free abelian groups  $G_{\alpha}(\alpha \in \Gamma)$  of cardinality  $\aleph_1$ -free abelian groups  $G_{\alpha}(\alpha \in \Gamma)$  of cardinality  $\aleph_1$  such that for  $\alpha, \beta \in \Gamma$ ,

$$G_{\alpha} \oplus G_{\beta} \cong G_{\alpha+\beta}$$
 and  $G_{\alpha} \cong G_{\beta}$  if and only if  $\alpha = \beta$ .

Observe that this induces all kinds of counterexamples to Kaplansky's test problems for suitable  $\Gamma's$ . If we consider Corner's ring in [2], see Fuchs [15, p. 145], then it is easy to see that  $R^+$  is free and  $|R| = \aleph_0$ . The particular idempotents in R and our main theorem provide the existence of an  $\aleph_1$ -free superdecomposable group of cardinality  $\aleph_1$ , which was unknown as well. Recall that a group is superdecomposable if any non-trivial summand decomposes into a proper direct sum.

Finally, we remark that as the reader might suspect, it is easy to replace G in Theorem 4.1 by a rigid family of  $2^{\lambda}$  such groups with only the trivial homomorphism between distinct members. The main theorem cannot be generalized, replacing  $\aleph_1$  by another cardinal. In Section 5 we will show that there are many models of ZFC (e.g. assuming MA and  $\aleph_2 < 2^{\aleph_0}$ ) in which no  $\aleph_2$ -free group of cardinality  $< 2^{\aleph_0}$  has endomorphism ring  $\mathbb{Z}$ ; it is even possible that all such groups are separable and

the best one can do now is a realization theorem of the form  $\operatorname{End} G = R \oplus \operatorname{Ines} G$  with  $\operatorname{Ines} G \neq 0$  an ideal containing all endomorphisms of finite rank.

This is in contrast to the result [7], that under  $\diamond_{\lambda}$  any countable ring R with  $R^+$  free is of the form  $R \cong \operatorname{End} G$  for all uncountable regular, not weakly compact cardinal  $\lambda = |G| > |R|$  such that G is  $\lambda$ -free. In particular, the existence of indecomposable  $\aleph_2$ -free groups of cardinality  $\aleph_2$  or the existence of such groups with endomorphism ring  $\mathbb Z$  is undecidable.

## 2. The building blocks, ℵ₁-free modules with a distinguished cyclic submodule

Let R be a ring of cardinality  $|R| < 2^{\aleph_0}$  such that  $R^+$  is a free abelian group. In view of Pontrjagin's theorem we say that an R-module is  $\aleph_1$ -free if any subgroup of finite rank is contained in a free R-submodule.

We have the immediate application of Pontrjagin's theorem [14, p. 93, Theorem 19.1.].

**Observation 2.1** If M is  $\aleph_1$ -free as R-module and  $R^+$  is free, then M is  $\aleph_1$ -free as abelian group, this means all countable subgroups are free.

**Remark 2.2** If U is a finitely generated submodule of an  $\aleph_1$ -free R-module M of infinite rank and M/U is flat, then M/U is an  $\aleph_1$ -free R-module as well.

**Proof** If S/U is a subgroup of finite rank in M/U, then  $S_*/U$  denotes its purification and  $S_*$  is a pure subgroup of finite rank in M, hence it is contained in a free R-submodule F of M. Moreover, we find a finitely generated summand F' of the R-module F with  $S_* \subseteq F'$  and F/F' is R-free. Also F'/U is flat because M/U is flat and F'/U can be finitely presented by

$$F'' \to F' \to F'/U \to 0$$

for some finitely generated free module F'' mapping onto  $U \subseteq F'$ . Hence F'/U is projective by Rotman [25, p. 90, 91], and  $F/U \cong F/F' \oplus F'/U$  is projective.

Finally we may assume that F/U has infinite rank and F/U is free by a well-known argument of Kaplansky's, cf. [17], for instance. Hence M/U must be an  $\aleph_1$ -free R-module.

Recall that Remark 2.2 does not hold if U is not finitely generated. Consider a free resolution of any torsion-free abelian group A which is not  $\aleph_1$ -free:  $0 \to U \to M \to A \to 0$ . By Remark 2.2 in particular quotients of  $\aleph_1$ -free groups modulo pure, cyclic subgroups are  $\aleph_1$ -free again.

Next we will construct particular  $\aleph_1$ -free R-modules A with distinguished cyclic submodules cR.

First we will fix some more notation. Let  $\mathcal{P}$  be a family of  $2^{\aleph_0}$  almost disjoint infinite subsets of an infinite set of primes. At present, we choose a fixed  $X \in \mathcal{P}$  with an enumeration  $X = \{p_n : n \in \omega\}$  without repetitions. Let  $T = {}^{\omega} > 2$  denote the tree of all finite branches  $\eta : n \to 2$ ,  $n < \omega$ , where  $\ell(\eta) = n$  denotes the length

of the branch  $\eta$ . The branch of length 0 is denoted by  $\bot = \emptyset \in T$  and we also write  $\eta = (\eta \upharpoonright n - 1)^{\wedge} \eta(n - 1)$ . Finally  ${}^{\omega}2 = Br(T)$  denotes all infinite branches  $\eta : \omega \to 2$  and clearly  $\eta \upharpoonright n \in T$  for all  $\eta \in Br(T)$ ,  $n \in \omega$ .

Let  $\lambda$  be an infinite cardinal  $\leq 2^{\aleph_0}$  and  $Y \subseteq Br(T)$  with  $|Y| = \lambda$  and  $|R| < \lambda$ . Then V' will denote the vector space over the rationals  $\mathbb Q$  with basis  $T \cup Y$ . Finally R becomes a vector space by  $R \otimes_{\mathbb Z} \mathbb Q = \hat R$  and  $V = V' \otimes_{\mathbb Q} \hat R$  is a vector space of dimension  $\lambda$ . We now select an R-submodule  $A \subseteq V$  which is generated by T together with elements

$$\eta_0 = \eta, \, \eta_{n+1} = \frac{1}{p_n} (\eta_n + \eta \upharpoonright n + \eta(n) \perp) \in V$$
(X)

defined inductively for all  $\eta \in Y$ ,  $n \in \omega$ . Hence

$$A = A_X = A_{XY} = \langle \sigma R, \eta_n R : \sigma \in T, \eta \in Y, n \in \omega \rangle \subset V$$

depends on  $X \in \mathcal{P}$  and  $Y \subseteq Br(T)$ . The required cyclic R-submodule is  $\perp R$ . We will show that  $(A, \perp R)$  belongs to the category of modules we are interested in, i.e., the following Lemma holds.

**Lemma 2.3** Let  $(A, \perp R)$  be the pair of R-modules defined above, let  $B = \langle T \rangle$  and  $\bar{} : A \to A/B$  be the canonical homomorphism. Then we have

- (a) B is a free R-module and  $A/B = \bigoplus_{\eta \in Y} \bar{\eta}(\bar{X} \otimes_{\mathbb{Z}} R)$  with  $\bar{X} \subseteq \mathbb{Q}$  of characteristic  $\chi : \omega \to 2$  with support X.
- (b) A is an  $\aleph_1$ -free R-module.
- (c)  $A/\perp R$  is an  $\aleph_1$ -free R-module.

**Proof** (a) Clearly  $B=\bigoplus_{\sigma\in T}\sigma R$  and if  $g\in A$ , then we use (X) to find  $k\in\omega$  and finite sets  $T_1\subseteq T,\,Y_1\subseteq Y$  with

$$g = \sum_{\eta \in Y_1} \eta_k g_{\eta} + \sum_{\sigma \in T_1} \sigma g_{\sigma}$$

for some  $g_{\eta}, g_{\sigma} \in R$ . Using (X) again, we have

$$g \equiv \sum_{V_k} \eta \frac{g_{\eta}}{q_k} \mod B$$

where  $q_k = \prod_{i=1}^{k-1} p_i$  by the enumeration in X and  $\frac{g_{\eta}}{q_k} \in \bar{X} \otimes R$ . Clearly  $\{\bar{\eta} : \eta \in Y\}$  is  $\mathbb{Q} \otimes \mathbb{R}$ -independent and hence  $\bar{X} \otimes R$ -independent and (a) follows.

- (b) Obviously  $|A| = |Y| = \lambda$ . Next we show that
- (\*) any finite subset of A lies in a submodule U which is free and pure in A.

For any finite subset E of A we can find some  $n \in \omega$  and a finite subset  $Y_0 \subseteq Y$  such that

$$E \subseteq U = \langle \sigma R, \eta_n R : \sigma \in T, \ell(\sigma) < n, \eta \in Y_0 \rangle.$$

Obviously U is freely generated by the elements  $\sigma, \eta_n$ . In order to show that U is pure in A, consider  $g \in A$  and  $m \in \mathbb{N}$  minimal with  $gm = u \in U$ .

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We may write

$$g = \sum_{\eta \in Y_1} \eta_k g_\eta + \sum_{\sigma \in T_1} \sigma g_\sigma \text{ and } u = \sum_{\eta \in Y_2} \eta_n u_\eta + \sum_{\sigma \in T_2} \sigma u_\sigma$$

with  $g_{\eta}, g_{\sigma}, u_{\eta}, u_{\sigma} \in R$  and  $k = k(\eta)$  minimal for each  $\eta \in Y_1$ . Since gm = u we have  $Y_1 = Y_2$  and  $\eta_n u_{\eta} = \eta_k g_{\eta} m$  for all  $\eta \in Y_1$  from (a). If k < n for some  $\eta \in Y_1 = Y_2$ , then we can reduce  $Y_1$  to a smaller set  $Y_1 \setminus \{\eta\}$  by the observation  $\eta_k g_{\eta} \in U$  and  $\eta_k g_{\eta} m = \eta_n u_{\eta}$  and  $g \in U$  follows by induction. We derive  $k \geq n$  for all  $\eta \in Y_1$ , and suppose k > n for some  $\eta$ .

suppose k > n for some  $\eta$ . We have  $p_{k-1}|q = \prod_{i=n}^{k-1} p_i$  and minimality of m requires  $p_{k-1}$  does not divide m. On the other hand  $g_{\eta}m = qu_{\eta}$  and  $p_{k-1}|q$  hence  $p_{k-1}|g_{\eta}$  which contradicts minimality of  $k = k(\eta)$ . We derive k = n for all  $\eta$  and g decomposes into a Y-part  $g_Y \in U$  with  $g_Y m = \sum_{Y_2} \eta_n u_{\eta}$  and a T-part  $g_T \in B$  with  $g_T m = \sum_{T_1} \sigma g_{\sigma}$ . However  $g_T \in U$ , hence  $g = g_Y + g_T \in U$  as well and U is pure in A, i.e., (\*) holds.

Finally A is an  $\aleph_1$ -free R-module by the argument in Remark 2.2 and Pontrjagin's collection of a direct sum of projective modules, see Fuchs [14, p. 93, Theorem 19.1.]. Now (b) and also (c) follow from (\*).

**Observation 2.4** If  $(A, \perp R)$  is as above, then A and  $A/ \perp R$  are  $\aleph_1$ -free abelian groups with  $R \subseteq \operatorname{End} A$ ,  $R \subseteq \operatorname{End} (A/ \perp R)$  identifying  $r = r \cdot id$  for all  $r \in R$ .

Observation 2.4 is immediate from Observation 2.1 and Lemma 2.3, which is all we need in Section 3.

Moreover we will require enough splitting in A which is established by the following

**Proposition 2.5** Let  $(A, \perp R)$  be as above, where  $A = A_X$ ,  $X \neq P \in \mathcal{P}$  and  $\bar{P} = \mathbb{Z}_P$  the obvious localization at P. Then  $A_X \otimes R_P$  is a free  $R_P$ -module with  $\perp$  a basis element, where  $R_P = \mathbb{Z}_P \otimes_{\mathbb{Z}} R$  is the localization of R at P.

**Proof** Recall that

$$A_X = \langle \sigma R, \eta_n R : \sigma \in T, \eta \in Y, n \in \omega \rangle.$$

Moreover  $X \cap P$  is finite by our choice of  $\mathcal{P}$ . We find  $k \in \omega$  such that  $\{p_n \in X : n \geq k\} \cap P = \emptyset$ . Now we claim that

$$T \cup \{\eta_k : \eta \in Y\}$$

is a basis of the  $R_P$ -module  $A_X \otimes R_P$ . Note that  $\bot \in T$  and Proposition 2.5 will follow.

The set  $M = T \cup \{\eta_k : \eta \in Y\}$  is clearly independent over  $\mathbb{Q} \otimes_{\mathbb{Z}} R$  in V and hence freely generates the  $R_P$ -submodule

$$U = \bigoplus_{m \in M} mR_P = F \otimes R_P \subseteq A_X \otimes R_P$$

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with  $F = \bigoplus_{m \in M} mR$ . It remains to show  $U = A_X \otimes R_P$ . The submodule  $F \subset A_X$  induces a natural sequence

$$0 \to F \to A_X \to A_X/F \to 0$$

of R-modules, where  $A_X/F$  is generated by  $\{\eta_n+F:\eta\in Y,n>k\}$ , see Lemma 2.3(a). Using (X) we derive  $p_{n-1}\cdot\ldots\cdot p_{k+1}\eta_n\equiv\eta_k\equiv 0$  mod F where the enumeration of primes is taken in X. These primes belong to  $\{p_n\in X:n\geq k\}$  and cannot belong to P by our choice of k. We observe that  $A_X/F$  is a P'-group in the well-known sense, that  $A_X/F$  is torsion and the order of elements is a product of primes in P', the complement of P. On the other hand  $R_P$  is P'-divisible, hence  $(A_X/F)\otimes R_P=0$ . Using flatness of  $R_P$  the above sequence becomes

$$0 \to F \otimes R_P \to A_X \otimes R_P \to (A_X/F) \otimes R_P \to 0$$

and  $A_X/F \otimes R_P = 0$  forces  $A_X \otimes R_P = F \otimes R_P$  as desired.

## 3. Repeating the building blocks

Let R,  $\mathcal{P}$  and  $|R| < \lambda \leq 2^{\aleph_0}$  be as in Section 2. Then we enumerate  $\mathcal{P} = \{X_a : \alpha < \lambda\}$  without repetition and it is easy to find a family  $\mathcal{F} = \{\mathcal{L}_{\alpha} \subset \omega : \alpha < \lambda\}$  of infinite, almost disjoint subsets  $L_{\alpha}$  of  $\omega$  without repetitions. Since  $Br(T) = {}^{\omega}2$  and  $|{}^{\omega}2| = 2^{\aleph_0}$ , we can also find a family  $\{Y_{\alpha} \subset Br(T) : \alpha < \lambda\}$  of sets  $Y_{\alpha}$  of branches with the following additional properties

- (b1)  $|Y_{\alpha}| = \lambda$  for all  $\alpha < \lambda$ .
- (b2)  $Y_{\alpha}$  has  $\lambda$  branch points above every level: If  $\eta \in Y_{\alpha}$  and  $n \in \omega$ , there are  $\lambda$  distinct branches  $\nu \in Y_{\alpha}$  with  $\eta \upharpoonright n = \nu \upharpoonright n$ .
- (b3) The length of a branch point of branches in  $Y_{\alpha}$  is in  $L_{\alpha}$ : If  $\nu \neq \eta \in Y_{\alpha}$ , then  $\ell(\nu \cap \eta) \in L_{\alpha}$ .

We use these three families to enumerate a family of R-modules  $A_{XY}$  constructed in Section 2 defining  $A_{\alpha}=A_{X_{\alpha}Y_{\alpha}}$  for all  $\alpha<\lambda$ . Moreover we denote  $R_{X_{\alpha}}=R_{\alpha}$  the localization of R at the primes  $X_{\alpha}$  from Section 2.

Inductively we define an ascending, continuous chain of R-modules  $G_{\alpha}$  ( $\alpha < \lambda$ ) with distinguished cyclic submodules  $c_{\alpha}R \subset G_{\alpha}$  for non-limit ordinals  $\alpha < \lambda$ . The module we are interested in will then be the R-module  $G = G_{\lambda} = \bigcup_{\alpha < \lambda} G_{\alpha}$ . If  $\alpha = 0$ , let  $G_0 = \bigoplus_{\nu < \lambda} e_{\nu}R$  be free R-module of rank  $\lambda$ , which is also a free abelian group of rank  $\lambda$  because  $R^+$  is free of rank  $< \lambda$ . We will choose elements  $c_{\alpha} \in G_{\alpha}$  for non-limit ordinals  $\alpha$  subject to the following conditions

- (c1)  $G_{\alpha}/c_{\alpha}R$  is an  $\aleph_1$ -free R-module
- (c2) If  $c \in G$  and G/cR is an  $\aleph_1$ -free R-module, then  $|\{\alpha < \lambda : c = c_\alpha\}| = \lambda$ .

The extension  $G_{\alpha+1}$  will be constructed such that condition (c1) ensures that G is  $\aleph_1$ -free and (c2) can easily be arranged by an enumeration of elements  $c \in G_{\alpha}$  with  $G_{\alpha}/cR \aleph_1$ -free with  $|\alpha|$  repetitions for all  $\alpha < \lambda$ . If  $\alpha = 0$ , then for (c1) we may choose a basic element  $c_0$  and we do not care for (c2).

If  $c_{\nu} \in G_{\nu}$  are defined for all  $\nu < \alpha$  and  $\alpha$  is a limit, then  $G_{\alpha} = \bigcup_{\nu < \alpha} G_{\nu}$  by continuity and it remains to construct  $G_{\alpha}$  from  $c_{\beta} \in G_{\beta}$  for  $\alpha = \beta + 1$ . From our choice (c1) of  $c_{\beta}$  we know that  $G_{\beta}/c_{\beta}R$  is an  $\aleph_1$ -free R-module. We consider

a pushout diagram. There exists a (unique) pushout R-module  $G_{\alpha}$  with the well-known pushout mapping properties [14, p. 52] or [25] in case of R-modules.

$$c_{\beta}R \longrightarrow G_{\beta}$$

$$\downarrow \qquad \qquad \downarrow$$

$$A_{\beta} \longrightarrow G_{\alpha}$$

The first row is the canonical embedding and the first column is an embedding by the identification  $c_{\beta} = \bot$ . By the pushout property we now may assume that

$$(p_{\alpha})$$
  $G_{\alpha} = A_{\beta} + G_{\beta}$  and  $A_{\beta} \cap G_{\beta} = c_{\beta}R$ 

hence  $G_{\alpha}/c_{\beta}R \cong G_{\beta}/c_{\beta}R \oplus A_{\beta}/\perp R$ . The construction of G is complete.

First we will discuss freeness properties of G.

**Lemma 3.1** G is an  $\aleph_1$ -free R-module of cardinality  $\lambda$ .

**Proof** If  $G = \bigcup_{\alpha < \aleph_1} G_{\alpha}$  as above, then we only have to show that  $G_{\alpha}$  is  $\aleph_1$ -free for any  $\alpha$  which we prove by induction. Since  $G_0$  is free we consider  $\alpha > 0$  and assume that all  $G_{\beta}$  ( $\beta < \alpha$ ) are  $\aleph_1$ -free. If  $\alpha = \beta + 1$ , then  $G_{\alpha} = A_{\beta} + G_{\beta}$  and  $(p_{\alpha})$  holds, hence

$$G_{\alpha}/c_{\beta}R \cong G_{\beta}/c_{\beta}R \oplus A_{\beta}/\perp R.$$

The right hand side is  $\aleph_1$ -free by Lemma 2.3 and assumption on the choice of  $c_\beta$ . However, if  $G_\alpha/c_\beta R$  is  $\aleph_1$ -free, then  $G_\alpha$  must be  $\aleph_1$ -free as well.

If  $\alpha$  is a limit ordinal, then any subgroup of finite rank in  $G_{\alpha} = \bigcup_{\beta < \alpha} G_{\beta}$  is a subgroup of  $G_{\beta}$  for some  $\beta < \alpha$  and  $\aleph_1$ -freeness follows.

The following observation plays a role in our next proposition, which provides splittings of G coming from Proposition 2.5 and is based on

 $R_{\alpha} \cap R_{\beta}$  is divisible by all primes not in  $X_{\alpha} \cap X_{\beta}$  which is finite for  $\alpha \neq \beta$ .

**Proposition 3.2** If  $G = \bigcup_{\alpha < \lambda} G_{\alpha}$  is the R-module above, then  $G_{\alpha} \otimes R_{\beta}$  is a free  $R_{\beta}$ -module for all  $\alpha \leq \beta < \lambda$ .

**Proof** If  $\alpha < \beta$ , then  $(G_{\alpha+1} \otimes R_{\beta})/(G_{\alpha} \otimes R_{\beta}) = (G_{\alpha+1}/G_{\alpha}) \otimes R_{\beta}$  because  $R_{\beta}$  is a flat R-module. We also have  $G_{\alpha+1}/G_{\alpha} = A_{\alpha}/c_{\alpha}R$  by the pushout property  $(p_{\alpha+1})$  and  $(A_{\alpha}/c_{\alpha}R) \otimes R_{\beta}$  is a free  $R_{\beta}$ -module by  $\alpha \neq \beta$  and Proposition 2.5. We derive that  $(G_{\alpha+1} \otimes R_{\beta})/(G_{\alpha} \otimes R_{\beta})$  is a free  $R_{\beta}$ -module, hence projective and the rest follows inductively by an obvious basis collection. Taking into account that  $G_0 \otimes R_{\beta}$  is a free  $R_{\beta}$ -module, the same holds for  $G_{\alpha} \otimes R_{\beta}$ .

**Proposition 3.3** With the notation as above we have

- (a)  $A_{\beta} \otimes R_{\beta}$  is a direct summand of  $G_{\beta+1} \otimes R_{\beta}$
- (b)  $G_{\beta+1} \otimes R_{\beta}$  is a direct summand of  $G \otimes R_{\beta}$
- (c)  $A_{\beta} \otimes R_{\beta}$  is a direct summand of  $G \otimes R_{\beta}$ .

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**Proof** Obviously (c) follows from (a) and (b) and it remains to show the first two assertions.

- (a) Observe that  $G_{\beta} \otimes R_{\beta}$  is free by Proposition 3.2 and we may write  $G_{\beta} \otimes R_{\beta} = c_{\beta}R_{\beta} \oplus H_{\beta}$  as  $R_{\beta}$ -modules by our choice of  $c_{\beta}$ . The pushout property  $(p_{\beta+1})$  gives  $G_{\beta+1} \otimes R_{\beta} = H_{\beta} \oplus (A_{\beta} \otimes R_{\beta})$  and (a) follows.
- (b) Inductively we will find an ascending, continuous chain of complements  $C_{\alpha}$  of  $G_{\beta+1}\otimes R_{\beta}$  in  $G_{\alpha}\otimes R_{\beta}$  for  $\beta+1\leq \alpha\leq \lambda$  and  $C_{\lambda}$  will verify (b). If  $\alpha=\beta+1$ , then  $C_{\alpha}=0$  and if  $\alpha$  is a limit ordinal between  $\beta+1$  and  $\lambda$  and all  $C_{\gamma}(\gamma<\alpha)$  are defined, then  $C_{\alpha}=\bigcup_{\gamma<\alpha}C_{\gamma}$  is already defined by continuity and  $C_{\alpha}$  is a complement of  $G_{\beta+1}\otimes R_{\beta}$  in  $G_{\alpha}\otimes R_{\beta}$  indeed, because  $G_{\gamma}\otimes R_{\beta}$  ( $\gamma\leq\alpha$ ) is continuous at  $\alpha$  as well. It remains to define  $C_{\alpha}$  for  $\alpha=\gamma+1$  where  $C_{\gamma}$  is given. We are in the case  $\alpha>\beta+1$ , hence  $\gamma>\beta$  and  $\gamma\neq\beta$  follows. From Proposition 2.5 we see that  $c_{\beta}R_{\beta}=\bot R_{\beta}$  is a summand of the free  $R_{\beta}$ -module  $A_{\gamma}\otimes R_{\beta}$  and we may write  $A_{\gamma}\otimes R_{\beta}=c_{\gamma}R_{\beta}\oplus D_{\gamma}$ . Obviously  $C_{\alpha}=C_{\gamma}\oplus D_{\gamma}$  is a complement of  $G_{\beta+1}\otimes R_{\beta}$  in  $G_{\alpha}\otimes R_{\beta}$  by the pushout property  $(p_{\beta+1})$ .

### 4. Proof of the Main Theorem

The main result of this paper is the following

**Theorem 4.1** If R is a ring with  $R^+$  free and  $|R| < \lambda \le 2^{\aleph_0}$ , then there exists an  $\aleph_1$ -free abelian group G of cardinality  $\lambda$  with End G = R.

Remark: G will be the R-module constructed in Section 3 and we have identified  $r \in R$  with  $r \cdot id_G$ .

**Proof** From Lemma 3.1 we have an R-module G of cardinality  $\lambda$  which is  $\aleph_1$ -free as R-module, hence  $\aleph_1$ -free as abelian group. Moreover  $R \subseteq \operatorname{End} G$  by our identification and we must show that  $\varphi \in \operatorname{End} G \setminus R$  does not exist.

Such a homomorphism  $\varphi$  has a unique extension  $\hat{\varphi}: G \otimes R_{\beta} \to G \otimes R_{\beta}$  because  $\hat{\varphi} = \varphi \otimes id$  extends and  $G \otimes R_{\beta}/G = (G \otimes R_{\beta})/(G \otimes R) \cong R_{\beta}/R$  being torsion forces uniqueness.

If  $c_{\alpha}\varphi \in c_{\alpha}R$  for all  $\alpha < \lambda$ , then  $c_{\alpha}\varphi = c_{\alpha}r_{\alpha}$  for some  $r_{\alpha} \in R$ . If  $\alpha < \lambda$  is fixed, we can choose an element  $c \in G$  (even in  $G_0$ ) such that G/cR is an  $\aleph_1$ -free R-module  $cR \oplus c_{\alpha}R$  is a direct sum and  $G/(c+c_{\alpha})R$  is an  $\aleph_1$ -free R-module as well. There exist some  $\gamma, \delta < \lambda$  with  $c = c_{\gamma}$  and  $c + c_{\alpha} = c_{\delta}$ . We have

$$c_{\gamma}r_{\gamma} + c_{\alpha}r_{\alpha} = c_{\gamma}\varphi + c_{\alpha}\varphi = (c_{\gamma} + c_{\alpha})\varphi = c_{\delta}\varphi = c_{\delta}r_{\delta} = c_{\gamma}r_{\delta} + c_{\alpha}r_{\delta}$$

and  $r_{\gamma} = r_{\delta} = r_{\alpha}$  follows. We find a uniform  $r \in R$  such that  $c_{\alpha}\varphi = c_{\alpha}r$  for all  $\alpha < \lambda$ . However, G is generated by the set  $\{c_{\alpha} : \alpha < \lambda\}$ , hence  $\varphi = r$  which was excluded.

There exists  $\alpha < \lambda$  such that  $c_{\alpha} \varphi \notin c_{\alpha} R$ . We also find  $\gamma > \alpha$  such that  $c_{\alpha} \varphi \in G_{\gamma}$  and the repetition (c2) (Section 2) of the enumeration of  $c_{\alpha}$  's provides  $\gamma < \beta < \lambda$  such that  $c_{\beta} = c_{\alpha}$ , hence

(i)  $c_{\beta}\varphi \notin c_{\beta}R$  and  $c_{\beta}\varphi \in G_{\beta}$ .

However,  $G_{\beta} \otimes R_{\beta}$  is a free  $R_{\beta}$ -module by Proposition 3.2 and  $c_{\beta}$  is a basic element of the  $R_{\beta}$ -module  $G_{\beta} \otimes R_{\beta}$ ; we find a free decomposition  $G_{\beta} \otimes R_{\beta} = c_{\beta}R_{\beta} \oplus C$ . The

pushout  $G_{\beta+1} = G_{\beta} + A_{\beta}$  gives  $G_{\beta+1} \otimes R_{\beta} = (A_{\beta} \otimes R_{\beta}) \oplus C$  and Proposition 3.3(b) provides an  $R_{\beta}$ -module D such that  $L = C \oplus D$  satisfies

(ii)  $(A_{\beta} \otimes R_{\beta}) \oplus L = G \otimes R_{\beta}$ ,  $G_{\beta} \otimes R_{\beta} = c_{\beta}R_{\beta} \oplus C$  where  $C = L \cap (G_{\beta} \otimes R_{\beta})$  by the modular law.

The element  $c_{\beta}\varphi \in G_{\beta} \subseteq G_{\beta} \otimes R_{\beta}$  has a unique decomposition  $c_{\beta}\varphi = c_{\beta}r + c$  with  $r \in R_{\beta}$  and  $c \in C$ . If c = 0, then  $c_{\beta}\varphi \in c_{\beta}R_{\beta} \cap G_{\beta} = c_{\beta}R$  by purity of  $c_{\beta}$  is a contradiction. Hence  $0 \neq c \in C$  which is a free  $R_{\beta}$ -module with a basis B. The element  $c = \sum_{b \in [c]} bc_b$  has a unique decomposition and a B-support  $[c] = \{b \in B : c_b \in R_{\beta} \setminus \{0\}\} \neq \emptyset$ .

On the other hand  $c \in C \subseteq G_{\beta} \otimes R_{\beta}$  and  $cm = \sum_{[c]} bc_b m \in G_{\beta} \cap C$  for some  $m \neq 0$ .

However  $G_{\beta} \cap C \subset G_{\alpha}$  for some  $\alpha < \beta$ , which is contained in the free  $R_{\alpha}$ -module  $G_{\alpha} \otimes R_{\alpha}$ . Since  $\alpha \neq \beta$ , our choice of  $R_{\alpha}$ ,  $R_{\beta}$  provides an  $h < \omega$  such that

(iii)  $p_j$  does not divide  $c \in C$  for all j > h, where the enumeration of primes is taken in  $X_\beta = \{p_n : n < \omega\}$ .

If  $\pi: G_{\beta+1} \otimes R_{\beta} \to C$  denotes the canonical projection induced by (ii), then

(iv)  $0 \neq c = c_{\beta} \varphi \pi$ .

Moreover, the image  $\eta \varphi \pi$  of any  $\eta \in Y_{\beta}$  viewed as  $\eta \in A_{\beta} \otimes R_{\beta} \subseteq G_{\beta+1} \otimes R_{\beta}$  can be expressed by

$$\eta \varphi \pi = \sum_{b \in [\eta]} b r_b^{\eta} \text{ with } r_b^{\eta} \in R_{\beta} \setminus \{0\}$$

with a finite subset  $[\eta]$  of B. Abusing notation we shall call  $[\eta]$  the B-support of  $\eta$  as well. Recall that  $|Y_{\beta}| = \lambda > |R_{\beta}| \ge \aleph_0$ , and it is easy to find  $Y' \subseteq Y_{\beta}$ ,  $n \in \mathbb{N}$  and  $r_b \in R_{\beta}$  for all  $b \in B$  such that  $|Y'| = \lambda$  and  $|[\eta]| = n$ ,  $r_b^{\eta} = r_b$  for all  $\eta \in Y'$  and  $b \in B$ . Next we apply the  $\Delta$ -Lemma to  $\{[\eta]: \eta \in Y'\}$  (cf. Jech [22, p. 225]) and find  $Y'' \subseteq Y'$ ,  $E \subset B$  such that  $|Y''| = \lambda$  and  $[\eta] \cap [\eta'] = E$  for all  $\eta \neq \eta' \in Y''$ . Since  $[c] \subset B$  is finite, we also find  $Y \subset Y''$  such that  $|Y| = \lambda$  and  $[\eta] \cap [c] \subseteq E$  for all  $\eta \in Y$ .

From  $|Y| = \lambda > \aleph_0$  we find two distinct branches  $\eta, \eta' \in Y$  with  $\eta \upharpoonright h = \eta' \upharpoonright h$ . The branch point j > h of  $\eta, \eta'$  belongs to  $L_\beta$  by (b3), hence  $p_j \in X_\beta$ , where j is from the enumeration along branches. The definition branch point gives  $\eta \upharpoonright j = \eta' \upharpoonright j$  and  $\eta(j) = 1$ ,  $\eta'(j) = 0$  without loss of generality. From the relations  $(X_\beta)$  in  $A_\beta$  (Section 2) we have  $p_j | (\eta'_j + \eta' \upharpoonright j + \eta'(j) \perp)$  in  $A_\beta$  and  $p_j | (\eta'_j + \eta' \upharpoonright j + \eta'(j) \perp)$  in  $A_\beta$ , hence  $p_j | (\eta_j - \eta'_j + \eta(j) \perp = \eta_j - \eta'_j + c_\beta$  in  $G_\beta$  and therefore  $p_j | (\eta_j \varphi \pi - \eta'_j \varphi \pi) + c_\beta \varphi \pi$ .

However  $[c] = [c_{\beta}\varphi\pi]$  and if  $d = \eta_{j}\varphi\pi - \eta'_{j}\varphi\pi$ , then  $d \upharpoonright E = 0$  by our choice of  $\eta, \eta' \in Y$  with  $\eta \neq \eta'$ , hence d and c are linearly independent. We conclude  $p_{j}|c$  in C which contradicts (iii) and Theorem 4.1 follows.

## 5. A counterexample

The reader might suspect that  $\aleph_1$  in Theorem 4.1 can be replaced by  $\aleph_2$  for instance. This is the case if we assume prediction principles as  $\diamondsuit$  (which imply CH), see Dugas, Göbel [7]. However, in general it is no longer true as follows from

**Theorem 5.1** Assuming Martin's axiom, any  $\aleph_2$ -free group of cardinality  $< 2^{\aleph_0}$  is separable.

Recall that an  $\aleph_1$ -free group is separable if any pure cyclic subgroup is a summand. Preliminaries on (MA) can be seen in Jech [22] or Eklof, Mekler [13].

**Proof** If G is an  $\aleph_2$ -free group of cardinality  $|G| < 2^{\aleph_0}$ ,  $0 \neq e \in G$  pure in G and  $\sigma : e\mathbb{Z} \to \mathbb{Z}$  taking  $e\sigma = 1$ , then we must extend  $\sigma$  to an homomorphism  $\Phi : G \to \mathbb{Z}$ .

Let  $P = \{\varphi; \varphi: D_{\varphi} \to \mathbb{Z}, e \in D_{\varphi}, e\varphi = 1\}$  where  $D_{\varphi}$  is a pure and finitely generated subgroup of G. Obviously  $|P| < 2^{\aleph_0}$  from  $|G| < 2^{\aleph_0}$  and  $(P, \subseteq)$  is partially ordered by extensions of maps. Suppose for a moment that P satisfies the hypothesis for MA and  $P_g = \{\varphi \in P: g \in D_{\varphi}\}$  is dense for all  $g \in G$ . Then by MA there is a compatible set  $F \subseteq P$  such that  $F \cap P_g \neq \emptyset$  for all  $g \in G$ . So  $\bigcup F = \Phi$  is a partial homomorphism from G to  $\mathbb{Z}$ . Since  $F \cap P_g \neq \emptyset$ , also  $g \in \text{dom } \Phi$  for all  $g \in G$ , hence  $\Phi \in \text{Hom}(G,\mathbb{Z})$  and  $\Phi$  extends  $\sigma$  by definition of P. Thus it remains to show that  $(P, \subseteq)$  satisfies the hypothesis of MA:

In order to show that  $P_g$  is dense in P, we consider any  $\varphi \in P$  and find  $\varphi \subset \varphi' \in P$  such that  $g \in \operatorname{dom} \varphi'$ . Since G is  $\aleph_2$ -free, there is  $D' \supseteq \operatorname{dom} \varphi$  such that  $g \in D'$  and D' is pure and finitely generated in G by Pontrjagin's theorem. Recall that  $\operatorname{dom} \varphi$  is pure in G, hence pure in D' and  $D'/\operatorname{dom} \varphi$  must be finitely generated and torsion-free. We apply Gauß' theorem to see that  $D'/\operatorname{dom} \varphi$  is free, hence  $D' = \operatorname{dom} \varphi \oplus C$  for some  $C \subseteq D'$  with  $C \cong D'/\operatorname{dom} \varphi$ . Now it is easy to extend  $\varphi$  to a homomorphism  $\varphi' : D' \to \mathbb{Z}$ . Finally, we must show that  $(P, \subseteq)$  satisfies ccc, the countable antichain condition. Let  $F \subseteq P$  be an uncountable subset of P. We must find two distinct elements  $\varphi_i \in F$  and  $\Phi \in P$  such that  $\varphi_i \subseteq \Phi$  for i = 1, 2. We may assume  $|F| = \aleph_1$ , hence  $(\sum_{\varphi \in F} \operatorname{dom} \varphi)_* = U$ , the pure subgroup of G generated (purely) by all  $\operatorname{dom} \varphi$  has cardinality  $\aleph_1$  and must be free by hypothesis on G. We select a basis G of G and replace any G is G with G with G and replace any G is G with G and G is a cardinality G and G is a cardinal given above allows to extend G to a homomorphism G.

Clearly, it is enough to find two compatible elements  $\varphi_i$  in the new F. By the  $\Delta$ -Lemma (Jech [22, p. 225]) we also find  $E \subset B$  and  $F' \subseteq F$  such that  $|F'| = \aleph_1$  and  $\dim \varphi \cap \dim \varphi' = E$  for all  $\varphi \neq \varphi' \in F'$ . By a pigeon-hole argument we can also find  $F'' \subseteq F'$  such that  $|F''| = \aleph_1$  and  $\varphi \upharpoonright E = \varphi' \upharpoonright E$  for all  $\varphi, \varphi' \in F''$ . Now it is clear that we can extend two of these maps  $\varphi, \varphi'$  to  $\dim \varphi + \dim \varphi'$  as required.

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