

## LARGE TURING INDEPENDENT SETS

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ABSTRACT. For a set of reals  $X$  and  $1 \leq n < \omega$ , define  $X$  to be  $n$ -Turing independent iff the Turing join of any  $n$  reals in  $X$  does not compute another real in  $X$ .  $X$  is Turing independent iff it is  $n$ -Turing independent for every  $n$ . We show the following: (1) There is a non-meager Turing independent set. (2) The statement “Every set of reals of size continuum has a Turing independent subset of size continuum.” is independent of ZFC plus the negation of CH. (3) The statement “Every non-meager set of reals has a non-meager  $n$ -Turing independent subset.” holds in ZFC for  $n = 1$  and is independent of ZFC for  $n \geq 2$ . We also show the measure analogue of (3).

### 1. INTRODUCTION

Let  $X \subseteq 2^\omega$  and  $1 \leq n < \omega$ . We say that  $X$  is  $n$ -Turing independent iff for every  $F \in [X]^{\leq n}$  and  $y \in X \setminus F$ , the Turing join of  $F$  does not compute  $y$ .  $X$  is Turing independent iff it is  $n$ -Turing independent for every  $n \geq 1$ . In [7], Sacks constructed a Turing independent set of reals of size continuum. One can also construct a Turing independent perfect set  $X \subseteq 2^\omega$  by forcing with finite trees. These constructions do not make use of the axiom of choice and therefore cannot produce a non-meager/non-null Turing independent set of reals. This follows from the following.

**Fact 1.1.** *Suppose  $X \subseteq 2^\omega$ .*

- (a) *If  $X$  is non-null and is Lebesgue measurable, then there are  $x \neq y$  in  $X$  such that  $\{k < \omega : x(k) \neq y(k)\}$  is finite.*
- (b) *If  $X$  is non-meager and has the Baire property, then there are  $x \neq y$  in  $X$  such that  $\{k < \omega : x(k) \neq y(k)\}$  is finite.*

In Section 2, we construct a non-meager Turing independent set. The construction works in  $\text{ZF} + \text{“There exists a non-principal ultrafilter on } \omega\text{”}$ .

**Theorem 1.2.** *There exists a non-meager Turing independent set of reals.*

The next two sections deal with questions of the following type: Given a “large”  $X \subseteq 2^\omega$ , must there exist a “large” Turing independent  $Y \subseteq X$ ? In Section 3, we show the following.

**Theorem 1.3.** *The following is independent of ZFC plus the negation of CH. Every set of real of size continuum has a Turing independent subset of size continuum.*

In Section 4, using some facts from [2, 6] about effective randomness/genericity, we prove the following.

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**Theorem 1.4.** *For every non-meager (resp. non-null)  $X \subseteq 2^\omega$ , there exists a non-meager (resp. non-null)  $Y \subseteq X$  such that  $Y$  is 1-Turing independent.*

Finally, we show that getting large 2-Turing independent subsets may not be possible.

**Theorem 1.5.** *Let  $n \geq 2$ . The following are independent of ZFC.*

- (a) *For every non-meager  $X \subseteq 2^\omega$ , there exists a non-meager  $Y \subseteq X$  such that  $Y$  is  $n$ -Turing independent.*
- (b) *For every non-null  $X \subseteq 2^\omega$ , there exists a non-null  $Y \subseteq X$  such that  $Y$  is  $n$ -Turing independent.*

**Notation:** For  $F = \{x_0, x_2, \dots, x_{n-1}\} \subseteq 2^\omega$ , the join of  $F$ , denoted  $\bigoplus_{k < n} x_k$ , is the real  $y \in 2^\omega$  satisfying  $y(nj + k) = x_k(j)$  for every  $k < n$  and  $n, j < \omega$ .  $\langle \Phi_e : e < \omega \rangle$  is an effective listing of all Turing functionals. Given  $y \in 2^\omega$  and  $k < \omega$ , we write  $\Phi_e^y(k) = n$  iff the  $e$ th Turing functional with oracle  $y$  converges on input  $k$  and outputs  $n$ . We write  $\Phi_e^y(k) \neq n$  iff either  $\Phi_e^y(k)$  diverges or it converges to a value different from  $n$ . If the oracle use of the computation “ $\Phi_e^y(k) = n$ ” is included in an initial segment  $\sigma \preceq y$ , then we also write  $\Phi_e^\sigma(k) = n$ . For  $x, y \in 2^\omega$ , define  $\Phi_e^y = x$  iff  $(\forall k < \omega)(\Phi_e^y(k) = n)$ . So  $x \leq_T y$  iff for some  $e < \omega$ ,  $\Phi_e^y = x$ . For  $\sigma \in 2^{<\omega}$ , define  $[\sigma] = \{x \in 2^\omega : \sigma \subseteq x\}$ .  $\mu$  denotes the standard product measure on  $2^\omega$ . For  $Y \subseteq X \subseteq 2^\omega$ , we say that  $Y$  is everywhere non-meager (resp. has full outer measure) in  $X$  iff for every Borel  $B \subseteq 2^\omega$ , if  $B \cap X$  is non-meager (resp. non-null), then  $B \cap Y$  is non-meager (resp. non-null).  $\text{Cohen}_X$  is the poset consisting of all finite partial functions from  $X$  to 2 ordered by reverse inclusion.

## 2. A NON-MEAGER TURING INDEPENDENT SET

Throughout this section, unless stated otherwise, we work in ZF. Although one cannot show in ZF that the meager ideal is a  $\sigma$ -ideal, this doesn't affect the argument below.

**Definition 2.1.** *Let  $\bar{\eta} = \langle \eta_k : k \leq N \rangle$  be a finite sequence of members of  $2^{<\omega}$ . Define  $\text{Split}_e(\bar{\eta})$  to be the statement: For every  $\langle x_k : k \leq N \rangle$  where each  $\eta_k \subseteq x_k \in 2^\omega$ , there exists  $j \in \text{dom}(\eta_N)$  such that  $\Phi_e^X(j) \neq \eta_N(j)$  where  $X = \bigoplus_{k < N} x_k$ .*

Observe that if  $\bar{\sigma} = \langle \sigma_k : k \leq N \rangle$ ,  $\bar{\tau} = \langle \tau_k : k \leq N \rangle$ , for each  $k \leq N$ ,  $\sigma_k \subseteq \tau_k$  and  $\text{Split}_e(\bar{\sigma})$  holds, then  $\text{Split}_e(\bar{\tau})$  also holds.

**Lemma 2.2** (ZF). *Suppose  $e < \omega$ ,  $N \geq 1$  and  $\bar{\rho} = \langle \rho_k : k \leq N \rangle$  is a finite sequence of members of  $2^{<\omega}$ . Then there exists  $\bar{\eta} = \langle \eta_k : k \leq N \rangle$  such that for every  $k \leq N$ ,  $\rho_k \subseteq \eta_k$  and  $\text{Split}_e(\bar{\eta})$  holds.*

*Proof.* Let  $j_\star = \min(\omega \setminus \text{dom}(\rho_N))$ . First suppose there exists  $\langle y_k : k < N \rangle$  such that the following hold.

- (a) For every  $k < N$ ,  $\rho_k \subseteq y_k \in 2^\omega$ .
- (b)  $\Phi_e^Y(j_\star)$  converges and outputs  $i < 2$  where  $Y = \bigoplus_{k < N} y_k$ .

In this case, fix such  $\langle y_k : k < N \rangle$  and  $i$ , define  $\eta_N = \rho_N \cup \{(j_\star, 1 - i)\}$  and choose  $\eta_k \subseteq y_k$  for  $k < N$  such that  $\bigoplus_{k < N} \eta_k$  contains the use of the computation  $\Phi_e^Y(j_\star)$ .

If there is no such  $\langle y_k : k < N \rangle$ , then define  $\eta_k = \rho_k$  for each  $k < N$  and  $\eta_N = \rho_N \cup \{(j_\star, 0)\}$ . It is clear that  $\bar{\eta} = \langle \eta_k : k \leq N \rangle$  satisfies  $\text{Split}_e(\bar{\eta})$ .  $\square$

**Lemma 2.3** (ZF). *For each  $n < \omega$  there exist  $k$  and  $f$  satisfying  $\dagger(n, k, f)$  where  $\dagger(n, k, f)$  says the following:  $n < k < \omega$ ,  $f : {}^n 2 \rightarrow {}^{[n, k]} 2$  and for every sequence  $\langle \rho_k : k \leq N \rangle$  of pairwise distinct members of  ${}^n 2$  (where  $N \geq 1$ ) and for every  $e < n$ ,  $\text{Split}_e(\bar{\eta})$  holds where  $\bar{\eta} = \langle \rho_k \widehat{f}(\rho_k) : k \leq N \rangle$ .*

*Proof.* Easily follows by repeatedly applying Lemma 2.2.  $\square$

Fix a recursive well-ordering  $\prec$  of

$$\mathcal{F} = \{(k, f) : k < \omega \text{ and } (\exists n < k)(f : {}^n 2 \rightarrow {}^{[n, k]} 2)\}$$

**Definition 2.4.** *Using Lemma 2.3, define  $\langle k_n : n < \omega \rangle$  and  $\langle F_n : n < \omega \rangle$  as follows. For each  $n < \omega$ ,  $(k_n, F_n)$  is the  $\prec$ -least member of  $\mathcal{F}$  such that  $\dagger(n, k_n, F_n)$  holds. Define the function  $F$  by  $\text{dom}(F) = 2^{<\omega}$  and for every  $\sigma \in 2^{<\omega}$ ,  $F(\sigma) = F_{|\sigma|}(\sigma)$ . Define  $K : \omega \rightarrow \omega$  by  $K(0) = 0$  and  $K(n+1) = k_{K(n)}$ .*

Note that  $\langle k_n : n < \omega \rangle$ ,  $\langle F_n : n < \omega \rangle$ ,  $K$  and  $F$  are all definable without parameters.

**Lemma 2.5** (ZF). *Let  $\mathcal{U}$  be a non-principal ultrafilter on  $\omega$ . Let  $\mathcal{C}$  be the set of all pairs  $(\mathbf{m}, x)$  where  $\mathbf{m} = \langle m_k : k < \omega \rangle$  is a strictly increasing sequence in  $\omega$  with  $m_0 = 0$  and  $x \in 2^\omega$ . Then there exists a function  $H : \mathcal{C} \rightarrow 2^\omega$  such that the following hold.*

- (1)  $H$  is definable from  $\mathcal{U}$ .
- (2) For every  $(\mathbf{m}, x) \in \mathcal{C}$ , if  $H(\mathbf{m}, x) = y$ , then there are infinitely many  $k < \omega$  such that  $y \upharpoonright [m_k, m_{k+1}) = x \upharpoonright [m_k, m_{k+1})$ .
- (3) For every  $y \in \text{range}(H)$ ,  $\{n < \omega : F(y \upharpoonright K(n)) \subseteq y\} \in \mathcal{U}$ . Here  $K, F$  are as in Definition 2.4.

*Proof.* Fix  $(\mathbf{m}, x) \in \mathcal{C}$ . Define  $\langle n(j) : j < \omega \rangle$  as follows.

- (i)  $n(0) = 0$ .
- (ii)  $n(j+1) = K(n(j)) + m_{n(j)+1} + 1$ .

Note that  $\langle n(j) : j < \omega \rangle$  is a strictly increasing sequence in  $\omega$  such that for each  $j < \omega$ , both  $K(n(j))$  and  $m_{n(j)+1}$  are strictly less than  $n(j+1)$ .

Fix  $r_\star < 3$ , such that

$$\bigcup \{[n(j), n(j+1)) : j = r_\star \pmod{3}\} \in \mathcal{U}$$

Inductively construct  $y \in 2^\omega$  such that for every  $j < \omega$ , if  $j = r_\star \pmod{3}$ , then the following hold.

- (a)  $n(j) \leq n < n(j+1) \implies F(y \upharpoonright K(n)) = y \upharpoonright [K(n), K(n+1))$ .
- (b)  $x \upharpoonright [m_{n(j+2)}, m_{n(j+2)+1}) = y \upharpoonright [m_{n(j+2)}, m_{n(j+2)+1})$

Since  $K(n(j+1)) < n(j+2) < m_{n(j+2)} < m_{n(j+2)+1} < n(j+3)$ , there is no conflict among the two clauses. Define  $H(\mathbf{m}, x) = y$ . Observe that clause (a) guarantees that  $\{n < \omega : F(y \upharpoonright K(n)) \subseteq y\} \in \mathcal{U}$  while clause (b) ensures that there are infinitely many  $k < \omega$  such that  $y \upharpoonright [m_k, m_{k+1}) = x \upharpoonright [m_k, m_{k+1})$ . It is also clear that  $H$  is definable from  $\mathcal{U}$ .  $\square$

The following is well-known (for example, see Theorem 2.2.4 in [1]). The proof given there works in ZF.

**Lemma 2.6 (ZF).** *For every meager  $W \subseteq 2^\omega$ , there exist  $\langle m_k : k < \omega \rangle$  and  $x \in 2^\omega$  such that the following hold.*

- (i)  $m_0 = 0$ ,  $m_k$ 's are strictly increasing in  $\omega$ .
- (ii) For every  $y \in W$ , for all but finitely many  $k < \omega$ , there exists  $n \in [m_k, m_{k+1})$  such that  $x(n) \neq y(n)$ .

**Proof of Theorem 1.2:** We work in ZF + “There exists a non-principal ultrafilter on  $\omega$ ”. Fix a non-principal ultrafilter  $\mathcal{U}$  on  $\omega$ . Let  $H : \mathcal{C} \rightarrow 2^\omega$  be as in Lemma 2.5. Put  $Y = \text{range}(H)$ . By Lemma 2.6,  $Y$  is non-meager so it suffices to show that  $Y$  is Turing independent. Suppose not and fix  $N \geq 1$  and pairwise distinct members  $y_0, y_1, \dots, y_N$  of  $Y$  such that the join of  $\{y_0, y_1, \dots, y_{N-1}\}$  computes  $y_N$ . Put  $X = \bigoplus_{k < N} y_k$  and choose  $e < \omega$  such that for every  $j < \omega$ ,  $\Phi_e^X(j) = y_N(j)$ . Define

$$T = \{n < \omega : (\forall k \leq N)(F(y_k \upharpoonright K(n)) \subseteq y_k)\}$$

Then  $T \in \mathcal{U}$ . Since  $y_k$ 's are pairwise distinct, we can find  $n \in T$  such that  $e < n$  and  $\langle y_k \upharpoonright K(n) : k \leq N \rangle$  has pairwise distinct members in  $2^{K(n)}$ . Define  $\bar{\eta} = \langle y_k \upharpoonright K(n+1) : k \leq N \rangle$ . Since  $n \in T$ , for each  $k \leq N$ , we must have

$$y_k \upharpoonright K(n+1) = (y_k \upharpoonright K(n)) \cap F_{K(n)}(y_k \upharpoonright K(n))$$

By Lemma 2.3, it follows that  $\text{Split}_e(\bar{\eta})$  holds. But this contradicts  $\Phi_e^X = y_N$ .  $\square$

It is unclear how to adapt this argument for the case of measure, so we ask the following.

**Question 2.7.** *Must there exist a Turing independent non-null set of reals?*

### 3. LARGE TURING INDEPENDENT SUBSETS: CARDINALITY

Given  $X \subseteq 2^\omega$ , can we find a Turing independent subset of  $X$  which has the same cardinality as  $X$ ? Since  $X$  could be a  $\leq_T$ -chain of size  $\omega_1$ , we should assume  $\omega_2 \leq |X| \leq \mathfrak{c}$ . The next theorem implies that a positive answer is consistent with arbitrarily large continuum.

**Theorem 3.1.** *Assume  $V \models GCH$ . Let  $\mathbb{P}$  be the forcing for adding  $\kappa$  Cohen reals where  $\omega_2 \leq \kappa = \kappa^{\aleph_0}$ . Then the following hold in  $V^{\mathbb{P}}$ .*

- (1)  $\mathfrak{c} = \kappa$ .
- (2) For every  $\omega_2 \leq \lambda \leq \mathfrak{c}$  and  $X \in [2^\omega]^\lambda$  there exists  $Y \in [X]^\lambda$  such that for every  $n \geq 1$  and  $B : (2^\omega)^n \rightarrow 2^\omega$  where  $B$  is a Borel function coded in  $V$ ,  $Y$  is  $B$ -independent which means the following: For every  $x_0, \dots, x_{n-1}$  in  $Y$ ,  $B(x_0, \dots, x_{n-1}) \notin Y \setminus \{x_0, \dots, x_{n-1}\}$ .
- (3) For every  $\omega_2 \leq \lambda \leq \mathfrak{c}$  and  $X \in [2^\omega]^\lambda$  there exists  $Y \in [X]^\lambda$  such that  $Y$  is Turing independent.

A similar result holds in the random real model. The proof is similar to the one we give below for the Cohen case. Note that, in Theorem 3.1, Clause (3) follows from Clause (2).

*Proof.* Let  $\bar{c} : \kappa \rightarrow 2$  be the  $\text{Cohen}_\kappa$ -generic sequence added by  $\mathbb{P}$ . A standard name counting argument shows that  $V[\bar{c}] \models \mathfrak{c} = \kappa$ . Fix  $\omega_2 \leq \lambda \leq \kappa$  and assume  $V[\bar{c}] \models X = \{x_\alpha : \alpha < \lambda\}$  consists of pairwise distinct members of  $2^\omega$ . Since  $V \models \mathfrak{c} = \omega_1 < \lambda$ , by thinning out  $X$ , we can assume that for every  $n \geq 1$  and a Borel function  $B : (2^\omega)^n \rightarrow 2^\omega$  coded in  $V$ , whenever  $\beta < \lambda$  and  $\alpha_0, \dots, \alpha_{n-1} < \beta$ ,

we have  $B(x_{\alpha_0}, \dots, x_{\alpha_{n-1}}) \neq x_\beta$ . WLOG, let us assume that the empty condition forces this.

For each  $\alpha < \lambda$  and  $i < \omega$ , choose a maximal antichain  $A_{\alpha,i}$  of conditions in  $\mathbb{P}$  deciding  $\hat{x}_\alpha(i)$ . WLOG, each  $A_{\alpha,i} \in [\mathbb{P}]^{\aleph_0}$ . Let  $\langle p_{\alpha,i,n} : n < \omega \rangle$  be a one-one listing of  $A_{\alpha,i}$ . Let  $\varepsilon_{\alpha,i,n} < 2$  be such that  $p_{\alpha,i,n} \Vdash \hat{x}_\alpha(i) = \varepsilon_{\alpha,i,n}$ . Define  $W_\alpha = \bigcup_{i,n < \omega} \text{dom}(p_{\alpha,i,n})$ . So  $W_\alpha \in [\kappa]^{\aleph_0}$ . Define an equivalence relation  $E$  on  $\lambda$  as follows:  $\alpha E \beta$  iff for every  $i, n < \omega$  the following hold.

- (i)  $\varepsilon_{\alpha,i,n} = \varepsilon_{\beta,i,n}$ .
- (ii)  $\text{otp}(W_\alpha) = \text{otp}(W_\beta)$ .
- (ii) Letting  $h_{\alpha,\beta} : W_\alpha \rightarrow W_\beta$  denote the unique order preserving bijection, we have  $p_{\alpha,i,n} = p_{\beta,i,n} \circ h_{\alpha,\beta}$ .

If  $\alpha E \beta$ , then we say that  $\hat{x}_\alpha$  and  $\hat{x}_\beta$  are isomorphic names. Since  $V \models CH$  and there are at most continuum many  $E$ -equivalence classes, we can assume that all the  $\hat{x}_\alpha$ 's are pairwise isomorphic names. We now consider two cases.

**Case 1:**  $\lambda$  is singular. Put  $\mu = \text{cf}(\lambda) < \lambda$ . Fix a strictly increasing sequence  $\langle \lambda_j : j < \mu \rangle$  cofinal in  $\lambda$  such that  $\mu < \lambda_0$  and each  $\lambda_j = \theta^{++}$  for some  $\theta < \lambda$ . For each  $j < \mu$ , using GCH plus the  $\Delta$ -system lemma (Theorem 1.6, Chapter II in [5]), choose  $T_j \subseteq [\lambda_j, \lambda_{j+1})$  such that  $|T_j| = \lambda_{j+1}$  and  $\langle W_\alpha : \alpha \in T_j \rangle$  forms a  $\Delta$ -system with root  $R_j$ . Put  $T = \bigcup_{j < \mu} T_j$  and  $R = \bigcup_{j < \mu} R_j$ . Then  $|R| \leq \mu$  and  $\langle W_\alpha \setminus R : \alpha \in T \rangle$  is a sequence of pairwise disjoint sets. Put  $V_1 = V[\bar{c} \upharpoonright R]$ . Then  $V_1 \models \mathfrak{c} \leq \mu^+ < \lambda$ . Put  $\mathbb{P}' = \text{Cohen}_{\kappa \setminus R}$  and observe that  $V[\bar{c}]$  is a  $\mathbb{P}'$ -generic extension of  $V_1$ .

Work in  $V_1$ . For each  $\alpha \in T$  and  $i < \omega$ , define  $A'_{\alpha,i} = \{p_{\alpha,i,n} \upharpoonright (W_\alpha \setminus R) : n < \omega \text{ and } p_{\alpha,i,n} \upharpoonright R \subseteq \bar{c}\}$ . Observe that each  $A'_{\alpha,i}$  is a maximal antichain of conditions in  $\mathbb{P}'$  deciding  $\hat{x}_\alpha(i)$ . Put  $W'_\alpha = W_\alpha \setminus R$ . Since  $V_1 \models \mathfrak{c} < \lambda$ , we can choose  $T_1 \in [T]^\lambda$  such that  $(\forall \alpha \in T_1)(x_\alpha \notin V_1)$ . It follows that  $\{W'_\alpha : \alpha \in T_1\}$  consists of pairwise disjoint countably infinite sets. Fix  $\langle (p'_{\alpha,i,m}, \varepsilon'_{\alpha,i,m}) : m < \omega \rangle$  such that  $\langle p'_{\alpha,i,m} : m < \omega \rangle$  is a one-one enumeration of  $A'_{\alpha,i}$  and  $p'_{\alpha,i,m} \Vdash_{\mathbb{P}'} \hat{x}_\alpha(i) = \varepsilon'_{\alpha,i,m}$ . Now we can repeat the ‘‘isomorphism of names argument’’ above to get  $S \in [T_1]^\lambda$ , such that for every  $\alpha < \beta$  in  $S$  and  $i, m < \omega$ , we have  $\varepsilon'_{\alpha,i,m} = \varepsilon'_{\beta,i,m}$ ,  $\text{otp}(W'_\alpha) = \text{otp}(W'_\beta)$  and the unique order preserving bijection from  $W'_\alpha$  to  $W'_\beta$  sends  $p'_{\alpha,i,m}$  to  $p'_{\beta,i,m}$ . We claim that  $Y = \{x_\alpha : \alpha \in S\}$  witnesses the conclusion in Clause (2). Suppose not and fix  $n \geq 1$ , a Borel function  $B : (2^\omega)^n \rightarrow 2^\omega$  coded in  $V$ ,  $\alpha_0 < \alpha_1 < \dots < \alpha_n$  in  $S$  and  $k \leq n$  such that  $B(x_{\alpha_0}, \dots, x_{\alpha_{k-1}}, x_{\alpha_{k+1}}, \dots, x_{\alpha_n}) = x_{\alpha_k}$ . Clearly,  $k < n$  otherwise we get a contradiction. Define  $\pi : \lambda \rightarrow \lambda$  as follows:

- (a)  $\pi \upharpoonright W'_{\alpha_k} : W'_{\alpha_k} \rightarrow W'_{\alpha_n}$  and  $\pi \upharpoonright W'_{\alpha_n} : W'_{\alpha_n} \rightarrow W'_{\alpha_k}$  are order preserving bijections.
- (b)  $\pi \upharpoonright \lambda \setminus (W'_{\alpha_k} \cup W'_{\alpha_n})$  is the identity.

Define  $\hat{\pi} : \mathbb{P} \rightarrow \mathbb{P}$  by  $\hat{\pi}(p) = q$  iff  $\text{dom}(q) = \pi[\text{dom}(p)]$  and  $p(\alpha) = q(\pi(\alpha))$ . Then  $\hat{\pi}$  is an automorphism of  $\mathbb{P}$ . Let  $\bar{d} = \bar{c} \circ \pi$ . Then  $\bar{d} : \kappa \rightarrow 2$  is also  $\text{Cohen}_{\kappa}$ -generic sequence. Let  $x'_\alpha$  be the evaluation of  $\hat{x}_\alpha$  via  $\bar{d}$ . Note that for every  $i, m < \omega$ ,  $\hat{\pi}(p'_{\alpha_k,i,m}) = p'_{\alpha_n,i,m}$  and  $\hat{\pi}(p'_{\alpha_n,i,m}) = p'_{\alpha_k,i,m}$ . It follows that  $x'_\beta = x_\beta$  for  $\beta \in \{0, \dots, k-1, k+1, \dots, n-1\}$ ,  $x'_{\alpha_k} = x_{\alpha_n}$  and  $x'_{\alpha_n} = x_{\alpha_k}$ . Hence  $B(x'_{\alpha_0}, \dots, x'_{\alpha_{k-1}}, x'_{\alpha_{k+1}}, \dots, x'_{\alpha_k}) = x'_{\alpha_n}$ . Choose  $p \in \mathbb{P}$  such that  $p \subseteq \bar{d}$  and  $p \Vdash B(\hat{x}_{\alpha_0}, \dots, \hat{x}_{\alpha_{k-1}}, \hat{x}_{\alpha_{k+1}}, \dots, \hat{x}_{\alpha_k}) = \hat{x}_{\alpha_n}$ . But this is impossible since  $\alpha_n > \alpha_k$

for every  $l < n$ .

**Case 2:**  $\lambda$  is regular. If  $\lambda$  is not the successor of a limit cardinal of countable cofinality, then we can apply the  $\Delta$ -system lemma and proceed as in Case 1. To deal with the other case, we will use the following.

**Lemma 3.2.** *Suppose  $\lambda$  is regular uncountable and  $\gamma$  is an infinite cardinal such that  $\beth_2(|\gamma|) < \lambda$ . Let  $\bar{f} = \langle f_\alpha : \alpha < \lambda \rangle$  be a sequence of pairwise distinct injective functions from  $\gamma$  to ordinals. Then there exists  $S \subseteq \lambda$  stationary in  $\lambda$  such that the following holds. For every  $k \leq n < \omega$  and a strictly increasing sequence  $\bar{\alpha} = \langle \alpha_j : j \leq n \rangle$  of members of  $S$ , there exists  $\bar{\beta} = \langle \beta_j : j \leq n \rangle$  such that each the following hold.*

- (1) For every  $j \leq k$ ,  $\beta_j = \alpha_j$ .
- (2)  $\beta_n < \beta_{n-1} < \dots < \beta_{k+1} < \min(S) \leq \alpha_0$ .
- (3)  $\bar{\alpha}$  and  $\bar{\beta}$  are  $\bar{f}$ -similar which means the following: For every  $j, m \leq n$  and  $\xi_1, \xi_2 < \gamma$ ,

$$f_{\alpha_j}(\xi_1) = f_{\alpha_m}(\xi_2) \iff f_{\beta_j}(\xi_1) = f_{\beta_m}(\xi_2)$$

*Proof.* WLOG, we can assume that each  $f_\alpha : \gamma \rightarrow \lambda$ . Put  $\mu = (2^{|\gamma|})^+$ . Then  $\mu \leq \beth_2(|\gamma|) < \lambda$ . Set  $\chi = (\beth_5(\lambda))^+$  and fix a continuously increasing chain  $\mathcal{N} = \langle \mathcal{N}_\alpha : \alpha < \lambda \rangle$  of elementary submodels of  $(\mathcal{H}_\chi, \in, <_\chi)$  such that  $\bar{f} \in \mathcal{N}_0$ ,  $\mathcal{H}_\mu \subseteq \mathcal{N}_0$  and for every  $\alpha < \lambda$ ,  $|\mathcal{N}_\alpha| < \lambda$ ,  $\mathcal{N}_\alpha \cap \lambda \in \lambda$  and  $\mathcal{N} \upharpoonright \alpha \in \mathcal{N}_{\alpha+1}$ . Put  $S_0 = \{\delta < \lambda : \text{cf}(\delta) = \mu\}$  and  $S_1 = \{\delta \in S_0 : (\forall \alpha < \delta)(\text{range}(f_\alpha) \subseteq \delta) \text{ and } N_\delta \cap \lambda = \delta\}$ . Note that  $S_1$  is stationary in  $\lambda$ . For each  $\delta \in S_1$ , define

$$J_\delta = \{u \subseteq \gamma : f_\delta \upharpoonright u \in \mathcal{N}_\delta\}$$

Observe that each  $J_\delta$  is an ideal on  $\gamma$ . For each  $\delta \in S_1$ , define  $g(\delta)$  to be the least  $\alpha < \delta$  such that for every  $u \in J_\delta$ ,  $f_\delta \upharpoonright u \in \mathcal{N}_\alpha$ . Since  $\text{cf}(\delta) = \mu > 2^{|\gamma|}$ ,  $g(\delta)$  is well-defined. Using Fodor's lemma, choose  $S \subseteq S_1$  stationary in  $\lambda$  such that  $g \upharpoonright S$  is constant. Since  $\beth_2(|\gamma|) < \lambda$ , we can also assume that  $J_\delta = J_\star$  does not depend on  $\delta \in S$ . Put  $\alpha_\star = \min(S)$ . We will show that  $S$  is as required.

Fix  $n \geq 1$ . By induction on  $n - k$ , we'll show that for every strictly increasing sequence  $\bar{\alpha} = \langle \alpha_j : j \leq n \rangle$  of members of  $S$ , there exists  $\bar{\beta} = \langle \beta_j : j \leq n \rangle$  such that Clauses (1)-(3) above hold. If  $k = n$ , then this is trivial so assume  $0 \leq k < n$ . By inductive hypothesis, we can fix  $\bar{\eta}$  such that

- (1) For every  $j \leq k + 1$ ,  $\eta_j = \alpha_j$ .
- (2)  $\eta_n < \eta_{n-1} < \dots < \eta_{k+2} < \alpha_\star$ .
- (3)  $\bar{\alpha}$  and  $\bar{\eta}$  are  $\bar{f}$ -similar.

Define  $\beta_m = \eta_m$  for  $m \neq k + 1$ . It suffices to find  $\beta_{k+1} < \alpha_\star$  strictly above  $\beta_{k+2}$  such that  $\bar{\alpha}$  and  $\bar{\beta}$  are  $\bar{f}$ -similar.

For each  $m \neq k + 1$ , define

$$u_m = \{\xi < \gamma : f_{\alpha_{k+1}}(\xi) \in \text{range}(f_{\beta_m})\}$$

We claim that each  $u_m \in J_\star$  and  $f_{\alpha_{k+1}} \upharpoonright u_m \in \mathcal{N}_{\alpha_\star}$ . To see this, using the fact that each  $f_\alpha$  is injective, define  $h_m : u_m \rightarrow \gamma$  by  $h_m(\xi) = \xi'$  iff  $f_{\alpha_{k+1}}(\xi) = f_{\beta_m}(\xi')$ . Since  $\mathcal{H}_{\gamma^+} \subseteq \mathcal{N}_{\alpha_{k+1}}$ , we get  $h_m \in \mathcal{N}_{\alpha_{k+1}}$ . Now  $f_{\beta_m} \in \mathcal{N}_{\alpha_{k+1}}$  (as  $\beta_m < \alpha_{k+1}$ ), so  $f_{\alpha_{k+1}} \upharpoonright u_m = f_{\beta_m} \circ h_m \in \mathcal{N}_{\alpha_{k+1}}$ . It follows that  $u_m \in J_{\alpha_{k+1}} = J_\star$ . That

$f_{\alpha_{k+1}} \upharpoonright u_m \in \mathcal{N}_{\alpha_*}$  follows from the fact that  $g \upharpoonright S$  takes a constant value below  $\alpha_*$ . Let  $w_m = \text{range}(f_{\alpha_{k+1}} \upharpoonright u_m)$ .

Define  $U = \bigcup\{u_m : m \neq k+1\}$  and  $W = \bigcup\{\text{range}(f_{\alpha_{k+1}} \upharpoonright u_m) : m \neq k+1\}$  and note that  $u_m, w_m, U$  and  $W$  are all in  $\mathcal{N}_{\alpha_*}$ . Let  $X$  be the set of  $\delta \in S_0$  that satisfy (a) + (b) + (c) below.

- (a)  $(\forall m \neq k+1)(f_\delta \upharpoonright u_m = f_{\alpha_{k+1}} \upharpoonright u_m)$ .
- (b)  $(\forall \xi \in \gamma \setminus u_m)(f_\delta(\xi) \notin w_m)$ .
- (c)  $(\forall m > k+1)(\forall \xi \in \gamma \setminus u_m)(f_{\alpha_m}(\xi) \notin \text{range}(f_{\beta_m}))$ .

Then  $X$  is definable in  $\mathcal{H}_\chi$  with parameter from  $\mathcal{N}_{\alpha_*}$ . So  $X \in \mathcal{N}_{\alpha_*}$ . Furthermore, since  $\delta = \alpha_{k+1} \in X \setminus \mathcal{N}_{\alpha_*}$ , it follows that  $X$  is unbounded in  $\alpha_*$ .

Let  $\delta_* \in X \cap \alpha_*$  be strictly above  $\beta_{k+2}$ . Suppose  $m \neq k+1$  and  $\xi_1, \xi_2 < \gamma$  are such that  $f_{\alpha_{k+1}}(\xi_1) = f_{\alpha_m}(\xi_2)$ . Since  $\bar{\eta}$  and  $\bar{\alpha}$  are  $\bar{f}$ -similar, we get  $f_{\alpha_{k+1}}(\xi_1) = f_{\eta_{k+1}}(\xi_1) = f_{\beta_m}(\xi_2)$ . It also follows that  $\xi_1 \in u_m$ . Since  $\delta_* \in X$ ,  $f_{\delta_*}(\xi_1) = f_{\alpha_{k+1}}(\xi_1)$ . Therefore  $f_{\delta_*}(\xi_1) = f_{\beta_m}(\xi_2)$ .

Next suppose that  $f_{\alpha_{k+1}}(\xi_1) \neq f_{\alpha_m}(\xi_2)$ . Put  $f_{\alpha_m}(\xi_2) = \eta$ . Furthermore, suppose  $\eta \in \text{range}(f_{\alpha_{k+1}})$ . Choose  $\xi_3$  such that  $f_{\alpha_{k+1}}(\xi_3) = f_{\alpha_m}(\xi_2) = \eta$ . Repeating the above argument, we get  $f_{\delta_*}(\xi_3) = f_{\beta_m}(\xi_2)$ . Since  $f_{\delta_*}$  is injective, it follows that  $f_{\delta_*}(\xi_1) \neq f_{\beta_m}(\xi_2)$ . Next, suppose  $\eta \notin \text{range}(f_{\alpha_{k+1}})$ . If  $m > k+1$ , then Clause (c) above implies that  $f_{\delta_*}(\xi_1) \neq f_{\alpha_m}(\xi_2) = f_{\beta_m}(\xi_2)$ . Finally, if  $m < k+1$ , then showing  $f_{\delta_*}(\xi_1) \neq f_{\alpha_m}(\xi_2)$  boils down to showing the following

$$(\forall m < k+1)[\text{range}(f_{\alpha_{k+1}}) \cap \text{range}(f_{\alpha_m}) = \text{range}(f_{\delta_*}) \cap \text{range}(f_{\alpha_m})]$$

Construct  $\langle (Y_i, W_i) : i < \gamma^+ \rangle$  as follows.

- (i)  $Y_0 = \{\beta_m : m > k+1\}$ ,  $Y_i$ 's are continuously increasing and  $Y_i \setminus Y_0 \in [X]^{\leq 2^{|\gamma|}}$ .
- (ii)  $W_i = \bigcup\{\text{range}(f_\delta) : \delta \in Y_i\}$ .
- (iii) For each  $\delta_1 \in X$ , there exists  $\delta_2 \in Y_{i+1} \setminus Y_i$  such that for every  $\xi < \gamma$ 
  - (a)  $f_{\delta_1}(\xi) \in W_i \iff f_{\delta_2}(\xi) \in W_i$  and
  - (b)  $f_{\delta_1}(\xi) \in W_i \implies f_{\delta_1}(\xi) = f_{\delta_2}(\xi)$ .

Note that Clause (iii) requires us to add at most  $2^{|\gamma|}$  functions to  $X_{i+1} \setminus X_i$ . Furthermore, the construction is definable in  $(\mathcal{H}_\chi, \in, <_\chi)$  since we can use the well-ordering  $<_\chi$  to choose least witnesses for Clause (iii). So  $\langle (Y_i, W_i) : i < \gamma^+ \rangle \in \mathcal{N}_{\alpha_*}$ .

Choose  $i_* < \gamma^+$  such that for every  $m \leq k+1$ ,

$$\text{range}(f_{\alpha_k}) \cap \bigcup_{i < \gamma^+} W_i \subseteq \text{range}(f_{\alpha_k}) \cap W_{i_*}$$

Put  $U_* = \{\xi < \kappa : f_{\alpha_{k+1}}(\xi) \in W_{i_*}\}$ . Define  $f_* : U_* \rightarrow W_{i_*}$  by  $f_*(\xi) = f_{\alpha_{k+1}}(\xi)$ . Since  $\mathcal{H}_\mu \subseteq \mathcal{N}_{\alpha_*}$  and  $W_{i_*} \in \mathcal{N}_{\alpha_*}$ , it follows that  $f_* \in \mathcal{N}_{\alpha_*}$ . Now by Clause (iii) above with  $\delta_1 = \alpha_{k+1}$ , we get that  $\mathcal{H}_\chi$  thinks that for some  $\delta_2 \in Y_{i_*+1} \setminus Y_{i_*}$ , we have

$$(\forall \xi \in U_*)(f_{\delta_2}(\xi) = f_*(\xi)) \text{ and } (\forall \xi \notin U_*)(f_{\delta_2}(\xi) \notin W_{i_*})$$

By elementarity, we can choose  $\delta_* \in Y_{i_*+1} \setminus Y_{i_*} \cap \mathcal{N}_{\alpha_*}$  such that

$$(\forall \xi \in U_*)(f_{\delta_*}(\xi) = f_*(\xi)) \text{ and } (\forall \xi \notin U_*)(f_{\delta_*}(\xi) \notin W_{i_*})$$

It follows that for every  $m < k + 1$ ,  $\text{range}(f_{\alpha_{k+1}}) \cap \text{range}(f_{\alpha_m}) = \text{range}(f_{\delta_*}) \cap \text{range}(f_{\alpha_m})$ . So we can take  $\beta_{k+1} = \delta_*$ .  $\square$

Let us return to Case 2 and assume that  $\lambda$  is a singular cardinality of countable cofinality. Fix  $\gamma_* < \omega_1$  such that  $\text{otp}(W_\alpha) = \gamma_*$ . For  $\alpha < \lambda$ , define  $f_\alpha : \gamma_* \rightarrow W_\alpha$  be the unique order preserving bijection. Using GCH we can apply Lemma 3.2 with  $\gamma = \gamma_*$  and  $\bar{f} = \langle f_\alpha : \alpha < \lambda \rangle$  to get  $S \subseteq \lambda$  satisfying the conclusion there. Let us check that  $\{x_\alpha : \alpha \in S\}$  is as required. Towards a contradiction, fix  $n \geq 1$ , a Borel function  $B : (2^\omega)^n \rightarrow 2^\omega$  coded in  $V$ ,  $\alpha_0 < \alpha_1 < \dots < \alpha_n$  in  $S$  and  $k < n$  such that  $B(x_{\alpha_0}, \dots, x_{\alpha_{k-1}}, x_{\alpha_{k+1}}, \dots, x_{\alpha_n}) = x_{\alpha_k}$ . Choose  $\bar{\beta}$  such that Clauses (1)-(3) of Lemma 3.2 hold. Since  $\bar{\alpha}$  and  $\bar{\beta}$  are  $\bar{f}$ -similar, we can choose a bijection  $\pi : \lambda \rightarrow \lambda$  satisfying  $f_{\alpha_j} = f_{\beta_j} \circ \pi$  for every  $j \leq n$ . Now we repeat the argument in Case 1. Put  $\bar{d} = \bar{c} \circ \pi$  and let  $x'_\alpha$  be the evaluation of  $\hat{x}_\alpha$  via  $\bar{d}$ . Then  $x'_{\alpha_m} = x_{\alpha_m}$  for  $m \leq k$  and  $x'_{\alpha_m} = x_{\beta_m}$  for  $k < m \leq n$ . Hence  $B(x_{\alpha_0}, \dots, x_{\alpha_{k-1}}, x'_{\beta_{k+1}}, \dots, x'_{\beta_n}) = x_{\alpha_k}$ . So for some  $p \in \mathbb{P}$  with  $p \subseteq \bar{d}$ ,  $p \Vdash_{\mathbb{P}} B(\hat{x}_{\alpha_0}, \dots, \hat{x}_{\alpha_{k-1}}, \hat{x}_{\beta_{k+1}}, \dots, \hat{x}_{\beta_n}) = \hat{x}_{\alpha_k}$  which is impossible since  $\alpha_k > \max\{\beta_j : j \leq n\}$ . This completes the proof of Theorem 3.1.  $\square$

Next, we would like to show that it is consistent that CH fails and there exists  $X \subseteq 2^\omega$  such that  $|X| = \mathfrak{c}$  and  $X$  does not even have an infinite Turing independent subset. For this, we will make use of certain locally countable upper semi-lattices described below.

**Definition 3.3.** Let  $(\mathbb{P}, \preceq)$  be a poset.

- (1)  $\mathbb{P}$  is locally countable iff for every  $x \in \mathbb{P}$ ,  $\{y \in \mathbb{P} : y \preceq x\}$  is countable.
- (2)  $\mathbb{P}$  is an upper semi-lattice iff every finite  $F \subseteq \mathbb{P}$  has a  $\preceq$ -least upper bound (called the join of  $F$ ).
- (3) Suppose  $\mathbb{P}$  is an upper semi-lattice. We say that  $X \subseteq \mathbb{P}$  is independent in  $\mathbb{P}$  iff for every finite  $F \subseteq X$  and  $y \in X \setminus F$ , the join of  $F$  is not  $\preceq$ -above  $y$ .

Note that the Turing degrees form a locally countable upper semi-lattice with respect to Turing reduction  $\leq_T$ .

**Definition 3.4.** Suppose  $1 \leq n < \omega$ ,  $\theta$  and  $\kappa$  are uncountable cardinals and  $\kappa \geq \theta^+$ . Let  $f : [\kappa]^n \rightarrow [\kappa]^{<\theta}$  be such that  $a \subseteq f(a)$  for every  $a \in [\kappa]^n$ .

- (i) Define  $W_f = \{a \subseteq \kappa : n \leq |a| < \aleph_0\}$ . For each  $a \in W_f$ , let  $cl_f(a)$  be the  $\subseteq$ -least subset of  $\kappa$  that contains  $a$  and is closed under  $f$ .
- (ii) Define the preorder  $\leq_f$  on  $W_f$  by:  $a \leq_f b$  iff  $a \subseteq cl_f(b)$ .
- (iii) Define the equivalence relation  $E_f$  on  $W_f$  by  $a E_f b$  iff  $cl_f(a) = cl_f(b)$ . Let  $W_f^*$  be the set of  $E_f$ -equivalence classes in  $W_f$ . Clearly,  $|W_f^*| = \kappa$  as each  $E_f$ -equivalence class has size  $< \theta$ . For  $a \in W_f$ , let  $[a] \in W_f^*$  denote the  $E_f$ -equivalence class of  $a$ .
- (iv) For  $[a], [b] \in W_f^*$ , define  $[a] \preceq_f [b]$  iff  $a \leq_f b$ . Then  $(W_f^*, \preceq_f)$  is a poset in which each element has  $< \theta$  predecessors.

We say that  $(W_f^*, \preceq_f)$  is the upper semi-lattice associated with  $(n, \theta, \kappa, f)$ . That  $(W_f^*, \preceq_f)$  is an upper semi-lattice is justified by the following.

**Claim 3.5.** For every  $[a], [b] \in W_f^*$ ,  $[a \cup b]$  is the  $\preceq_f$ -least upper bound of  $[a], [b]$  in  $W_f^*$ .



*Proof.* It is clear that  $[a \cup b]$  is an upper bound. Suppose  $c \in W_f$  and  $a \leq_f c$  and  $b \leq_f c$ . Then  $a \subseteq cl_f(c)$  and  $b \subseteq cl_f(c)$  so  $a \cup b \subseteq cl_f(c)$ . Hence  $[a \cup b] \preceq_f [c]$ . So  $[a \cup b]$  is the least upper bound.  $\square$

**Lemma 3.6** (Kuratowski). *Suppose  $\theta$  is an infinite cardinal,  $k < \omega$  and  $\kappa = \theta^{+k}$ . Then, there exists  $F : [\kappa]^{k+1} \rightarrow [\kappa]^{<\theta}$  such that for every  $a \in [\kappa]^{k+1}$ ,  $a \subseteq F(a)$ , and whenever  $a \in [\kappa]^{<\aleph_0}$  such that  $|a| \geq k + 1$ , there exists  $b \in [a]^{k+1}$  such that  $a \subseteq F(b)$ .*

*Proof.* By induction on  $k$ . If  $k = 0$ , then  $F : [\theta]^1 \rightarrow [\theta]^{<\theta}$  defined by  $F(\{\alpha\}) = \alpha + 1$  works. Next assume that the result holds for  $k$ . Put  $\kappa = \theta^{+k}$  and fix a witnessing function  $F : [\kappa]^{k+1} \rightarrow [\kappa]^{<\theta}$ . For each  $\alpha < \kappa^+$ , fix an injection  $h_\alpha : \alpha \rightarrow \kappa$ . For  $a \in [\kappa^+]^{k+1}$  and  $\max(a) < \alpha < \kappa^+$ , define

$$H(a \cup \{\alpha\}) = \{\xi < \alpha : h_\xi(\gamma) \in F(h_\alpha[a])\} \cup \{\alpha\}$$

It is easy to check that  $H : [\kappa^+]^{k+1} \rightarrow [\kappa^+]^{<\theta}$  is as required.  $\square$

**Lemma 3.7.** *Suppose  $\theta$  is uncountable and  $k < \omega$ . Then, there exists an upper semi-lattice  $(\mathbb{P}, \preceq)$  such that for each  $p \in \mathbb{P}$ ,  $|\{q \in \mathbb{P} : q \preceq p\}| < \theta$ ,  $|\mathbb{P}| = \theta^{+k}$  and there is no  $S \in [\mathbb{P}]^{k+2}$  such that  $S$  is independent in  $\mathbb{P}$ .*

*Proof.* Put  $\kappa = \theta^{+k}$ . Using Lemma 3.6, fix  $F : [\kappa]^{k+1} \rightarrow [\kappa]^{<\theta}$  such that for every  $a \in [\kappa]^{k+1}$ ,  $a \subseteq F(a)$ , and whenever  $a \in [\kappa]^{<\aleph_0}$  such that  $|a| \geq k + 1$ , there exists  $b \in [a]^{k+1}$  such that  $a \subseteq F(b)$ . Let  $(\mathbb{P}, \preceq) = (W_F^*, \preceq_F)$  be the upper semi-lattice associated with  $(k + 1, \theta, \kappa, F)$  as defined in Definition 3.4. Towards a contradiction, suppose  $S = \{[a_n] : 1 \leq n \leq k + 2\} \subseteq W_F^*$  is independent in  $(W_F^*, \preceq_F)$ . Let  $a = \bigcup \{a_n : 1 \leq n \leq k + 2\}$ . Then  $|a| \geq k + 1$  as  $|a_n| \geq k + 1$  for every  $n$ . Choose  $b \in [a]^{k+1}$  such that  $a \subseteq F(b)$ . Since  $|b| = k + 1$ , we can find  $1 \leq j \leq k + 2$  such that  $b \subseteq \bigcup \{a_n : 1 \leq n \leq k + 2, n \neq j\}$ . It follows that  $[a_j]$  is  $\preceq_F$ -below the join of  $\{[a_n] : 1 \leq n \leq k + 2, n \neq j\}$ : Contradiction.  $\square$

A. Andretta and R. Carroy asked if every locally countable upper semi-lattice of size  $\mathfrak{c} > \omega_1$  must have an independent subset of size continuum. The next Corollary shows that the answer is negative.

**Corollary 3.8.** *Suppose  $2 \leq n < \omega$ . There exists a locally countable upper semi-lattice  $(\mathbb{P}, \preceq)$  of size  $\omega_n$  such that there is no independent subset of  $\mathbb{P}$  of size  $n + 1$ .*

*Proof.* Apply Lemma 3.6 with  $\theta = \omega_1$ .  $\square$

**Proof of Theorem 1.3:** The consistency of the statement follows from Theorem 3.1. For the other direction, it suffices to show that under Martin's axiom plus  $\mathfrak{c} = \omega_5$ , there exists  $X \in [2^\omega]^\mathfrak{c}$  such that  $X$  has no Turing independent subset of size 6. Assume MA plus  $\mathfrak{c} = \omega_5$ . In [10], it was shown that under MA, every locally countable upper semi-lattice of size continuum embeds into the Turing degrees. Using Corollary 3.8, fix a locally countable upper semi-lattice  $(\mathbb{P}, \preceq)$  of size  $\omega_5$  which has no independent subset of size 6. Let  $X \subseteq 2^\omega$  be the range of an upper semi-lattice embedding of  $\mathbb{P}$  into the Turing degrees. Then  $|X| = \mathfrak{c} = \omega_5$  and since the embedding preserves joins,  $X$  has no Turing independent subset of size 6.  $\square$

4. LARGE TURING INDEPENDENT SUBSETS: MEASURE AND CATEGORY

We first show that under Martin's axiom, every non-meager (resp. non-null) set of reals has a non-meager (resp. non-null) Turing independent subset.

**Lemma 4.1** (Sacks). *Suppose  $x, y \in 2^\omega$  and  $x$  is not computable from  $y$ . Then*

$$\{z \in 2^\omega : x \leq_T y \oplus z\}$$

*is both meager and null.*

*Proof.* Suppose not and fix a Turing functional  $\Phi$  and a non-meager (resp. non-null) Borel  $B \subseteq 2^\omega$  such that

$$z \in B \implies \Phi^{y \oplus z} = x$$

Choose  $\sigma \in 2^{<\omega}$  such that  $B$  is comeager in  $[\sigma]$  (resp. has relative measure  $\geq 0.9$  in  $[\sigma]$ ). We'll show that  $x$  is computable from  $y$  which is a contradiction.

If  $B$  is comeager in  $[\sigma]$ , then on input  $k$ , search for some  $\tau \in 2^{<\omega}$  such that  $\sigma \preceq \tau$  and  $\Phi^{(y \upharpoonright \tau) \oplus \tau}(k)$  converges to say  $s$ . Then  $x(k) = s$ .

Next suppose  $\mu(B \cap [\sigma]) > 0.9\mu([\sigma])$ . On input  $k$ , search for  $s < 2$  and a finite list  $\tau_0, \tau_1, \dots, \tau_n \in 2^{<\omega}$  such that each  $\tau_i$  extends  $\sigma$ ,  $\Phi^{(y \upharpoonright \tau_i) \oplus \tau_i}(k) = s$  and the measure of  $\bigcup\{\tau_i : i \leq n\}$  is more than  $0.5\mu([\sigma])$ . Then  $x(k) = s$ .  $\square$

Note that it also follows that if  $x$  is not computable, then  $\{z \in 2^\omega : x \leq_T z\}$  is both meager and null.

**Lemma 4.2.** *Assume Martin's axiom. Then every non-meager (resp. non-null) set of reals has an everywhere non-meager (resp. full outer measure) Turing independent subset.*

*Proof.* First assume that  $X \subseteq 2^\omega$  is non-meager. By throwing away a countable subset of  $X$ , we can assume that no real in  $X$  is computable. Let  $\langle A_\alpha : \alpha < \mathfrak{c} \rangle$  list every Borel subset of  $2^\omega$  whose intersection with  $X$  is non-meager. Inductively choose  $\langle x_\alpha : \alpha < \mathfrak{c} \rangle$  such that for each  $\alpha < \mathfrak{c}$ ,

- (a)  $x_\alpha \in A_\alpha \cap X$  and
- (b) for every finite  $F \subseteq \{x_\beta : \beta < \alpha\}$ ,  $\{x_\alpha\} \cup F$  is Turing independent.

Note that for every nonempty finite  $F \subseteq \{x_\beta : \beta < \alpha\}$  and  $x \in 2^\omega$  if  $\{x\} \cup F$  is not Turing independent then either  $x$  is computable from the join of  $F$  or for some  $y \in F$ ,  $y$  is computable from the join of  $\{x\} \cup (F \setminus \{y\})$ . By Lemma 4.1 the set of such  $x$ 's is meager. As there are fewer than continuum many finite subsets of  $\alpha$ , under Martin's axiom, the union of all of these meager sets cannot cover  $A_\alpha \cap X$ . So we can choose  $x_\alpha$  satisfying (a) and (b). Let  $Y = \{x_\alpha : \alpha < \mathfrak{c}\}$ . It should be clear that  $Y$  is a Turing independent everywhere non-meager subset of  $X$ .

The proof for the case when  $X \subseteq 2^\omega$  is non-null is identical. We just replace meager by null everywhere.  $\square$

Recall that  $x \in 2^\omega$  is  $n$ -generic iff for every  $\Sigma_n^0$ -set  $S \subseteq 2^{<\omega}$ , there exists  $k < \omega$  such that either  $x \upharpoonright k \in S$  or no extension of  $x \upharpoonright n$  is in  $S$ .  $x \in 2^\omega$  is  $n$ -random iff for every uniformly  $\Sigma_n^0$ -sequence  $\langle U_k : k < \omega \rangle$  of open sets in  $2^\omega$  with  $\mu(U_n) \leq 2^{-n}$ ,  $x$  is not in the null set  $\bigcap_{n < \omega} U_n$ . For  $z \in 2^\omega$ , the relativized notions “ $x$  is  $n$ -generic over  $z$ ” and “ $x$  is  $n$ -random over  $z$ ” are obtained by replacing “ $\Sigma_n^0$ ” by “ $\Sigma_n^0$  in  $z$ ”. For the proof of Theorem 1.4, we'll need the following facts about effective randomness and genericity.

**Fact 4.3** ([2]). *Suppose  $x, y, z \in 2^\omega$ ,  $x$  is 1-generic over  $z$  and  $y \leq_T x$ . If  $y$  is 2-generic, then  $y$  is also 1-generic over  $z$ .*

**Fact 4.4** ([6]). *Suppose  $x, y, z \in 2^\omega$ ,  $x$  is 1-random over  $z$  and  $y \leq_T x$ . If  $y$  is 1-random, then  $y$  is also 1-random over  $z$ .*

Facts 4.3 and 4.4 imply the following – See Lemma 3.11 in [11].

**Lemma 4.5** ([11]). *Suppose  $Y$  is a meager (resp. null) set of 2-generic (resp. 1-random) reals. Then the set of reals that compute some member of  $Y$  is meager (resp. null).*

*Proof.* Since  $Y$  is meager (resp. null), we can fix  $z \in 2^\omega$  such that no real in  $Y$  is 1-generic (resp. 1-random) over  $z$ . Let  $W$  be the set of reals that compute some member of  $Y$ . Towards a contradiction, suppose that  $W$  is non-meager (resp. non-null). Choose  $x \in W$  such that  $x$  is 1-generic (resp. 1-random) over  $z$ . Choose  $y \in Y$  such that  $y \leq_T x$ . By Fact 4.3 (resp. 4.4), it follows that  $y$  is 1-generic (1-random) over  $z$  which is impossible.  $\square$

**Proof of Theorem 1.4:** First suppose that  $X \subseteq 2^\omega$  is non-meager. By throwing away a meager subset of  $X$ , we can assume that each real in  $X$  is 2-generic. Towards a contradiction, assume that every 1-Turing independent subset of  $X$  is meager. Call  $S \subseteq X$  good iff no two distinct reals in  $S$  compute the same real in  $X$ . Let  $Y$  be a maximal good subset of  $X$ . For each  $e < \omega$ , let  $W_e = \{x \in X : (\exists y \in Y)(\Phi_e^y = x)\}$ . Observe that each  $W_e$  is 1-Turing independent and hence meager. It follows that  $W = \bigcup\{W_e : e < \omega\}$  is meager. Let  $T$  be the set of all reals that compute some member of  $W$ . By Lemma 4.5, it follows that  $T$  is also meager. We claim that  $X \subseteq T$  and therefore we get a contradiction. To see this, suppose  $x \in X \setminus T$ . Since  $Y \subseteq W \subseteq T$ , we must have  $x \notin Y$ . Since  $Y$  is a maximal good subset of  $X$ , there exist  $y \in Y$  and  $w \in X$  such that both  $x$  and  $y$  compute  $w$ . But  $w \in W$  and hence  $x \in T$  which is false. A similar argument works for measure.  $\square$

**Definition 4.6.** *Let  $\star_M$  be the statement: There exists a non-meager  $X \subseteq 2^\omega$  such that the graph of every function from  $X$  to  $X$  is meager in  $2^\omega \times 2^\omega$ .*

**Definition 4.7.** *Let  $\star_N$  be the statement: There exists a non-null  $X \subseteq 2^\omega$  such that the graph of every function from  $X$  to  $X$  is null in  $2^\omega \times 2^\omega$ .*

In [3], starting with a measurable cardinal, Komjáth constructed a ccc forcing  $\mathbb{P}$  such that  $V^\mathbb{P} \models \star_M$ . In [8], starting with a measurable cardinal, Shelah constructed a ccc forcing  $\mathbb{P}$  such that  $V^\mathbb{P} \models \star_N$ .

**Lemma 4.8** ([3]). *Suppose  $X \subseteq 2^\omega$  is non-meager (resp. non-null) and the graph of every function from  $X$  to  $X$  is meager (null) in  $2^\omega \times 2^\omega$ . Put  $A = X^2$ . Then  $A$  is non-meager (resp. non-null) in  $2^\omega \times 2^\omega$  and for every non-meager (resp. non-null)  $B \subseteq A$ , there are  $x_0 \neq x_1$  and  $y_0 \neq y_1$  in  $X$  such that  $(x_0, y_0), (x_0, y_1), (x_1, y_0)$  are all in  $B$ .*

*Proof.* It is clear that  $A$  is non-meager (resp. non-null) in  $2^\omega \times 2^\omega$ . Suppose  $B \subseteq A$  satisfies: There do not exist  $x_0 \neq x_1$  and  $y_0 \neq y_1$  in  $X$  such that  $(x_0, y_0), (x_0, y_1), (x_1, y_0)$  are all in  $B$ . Let  $B_0$  be the set of those  $(x, y) \in B$  for which there does not exist  $y' \neq y$  such that  $(x, y') \in B$ . Let  $B_1$  be the set of those  $(x, y) \in B$  for which there does not exist  $x' \neq x$  such that  $(x', y) \in B$ . It is clear that  $B = B_0 \cup B_1$ . Now observe that  $\star_M$  (resp.  $\star_N$ ) implies that each one of  $B_0, B_1$  is meager (resp. null). Hence  $B$  is also meager (resp. null).  $\square$

**Proof of Theorem 1.5:** The consistency of the two statements follows from Lemma 4.2. For the consistency of the negations, first note that, instead of  $2^\omega$ , we can work in  $2^\omega \times 2^\omega$  since the function  $(x, y) \mapsto x \oplus y$  preserves all the relevant notions between  $2^\omega \times 2^\omega$  and  $2^\omega$ . It suffices to show that  $\star_M$  (resp.  $\star_N$ ) implies that there is a non-meager (resp. non-null)  $A \subseteq 2^\omega \times 2^\omega$  such that for every non-meager (resp. non-null)  $B \subseteq X$ , there are pairwise distinct  $a, b, c$  in  $B$  such that  $a \leq_T b \oplus c$ . But this is obvious by Lemma 4.8.  $\square$

In [4], it was shown that it is consistent that there is a non-meager set  $X \subseteq \mathbb{R}$  such that for every non-meager  $Y \subseteq X$ , there are  $a < b < c < d$  in  $Y$  such that  $a - b = c - d$ . It follows that one does not need a measurable cardinal in the proof of the independence of the statement in Theorem 1.5(a) for  $n \geq 3$ .

**Question 4.9.** *Can we prove the consistency of “There exists a non-meager/non-null set of reals which has no 2-Turing independent non-meager/non-null subset.” without assuming the consistency of large cardinals?*

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