

COLOURING OF SUCCESSOR OF REGULAR AGAIN  
SH1163

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ABSTRACT. We get a version of the colouring property  $\text{Pr}_1$  proving  $\text{Pr}_1(\lambda, \lambda, \lambda, \partial)$  always when  $\lambda = \partial^+$ ,  $\partial$  are regular cardinals and some stationary subset of  $\lambda$  consisting of ordinals of cofinality  $< \partial$  do not reflect in any ordinal  $< \lambda$ .

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## § 0. INTRODUCTION

We prove a strong colouring theorem on successor of regular uncountable cardinals, so called  $\text{Pr}_1$ .

On the history of  $\text{Pr}_1$  see [She94, Ch.III,§4] and later [She97], and then independently Rinot [Rin14] and [She19].

Rinot [Rin14, Main result] proved that  $\text{Pr}_1(\lambda, \lambda, \lambda, \theta)$  when those are regular cardinals;  $\lambda = \theta^{++}$  or just  $\theta^+ < \lambda$  and  $\lambda$  is a successor of regular or just it has a non-reflecting stationary subset of  $\lambda$  consisting of ordinals of cofinality at least  $\theta$ . In [She19], we have  $\text{Pr}_1(\lambda, \lambda, \lambda, (\theta_0, \theta))$  where  $\theta_0$  is regular  $< \theta = \text{cf}(\theta)$ ,  $\theta^+ < \lambda$  and  $\lambda$  is a successor of regular.

Earlier [She97, 4.2, page 27] prove that  $\text{Pr}_1(\lambda, \lambda, \lambda, \theta)$  when in addition  $\lambda = \theta^{++}$ .

Much earlier [She94, Ch.III, §4] had treated those problems in a general but probably in a not so transparent way, first 4.1 there gives a set of various hypothesis (each with some parameters)

The result here is incomparable with the ones in [Rin14], [She19], [She97]: the assumption on the stationary set is stronger but the arity - the last parameter,  $\theta$  is bigger.

The connection between purely combinatorial theorems and topological constructions is known for many years. Several results in general topology were proved using the property  $\text{Pr}_1(\lambda, \mu, \sigma, \theta)$ , see recently [JS15], then [She19, §1].

Recall:

**Definition 0.1.** 1) Assume  $\lambda \geq \mu \geq \sigma + \theta_0 + \theta_1$ ,  $\bar{\theta} = (\theta_0, \theta_1)$ , see 0.4(1). Assume further that  $\theta_0, \theta_1 \geq \aleph_0$  but  $\sigma$  may be finite

Let  $\text{Pr}_1(\lambda, \mu, \sigma, \theta)$  mean that there is  $\mathbf{c} : [\lambda]^2 \rightarrow \sigma$  witnessing it, which means:

(\*)<sub>c</sub> if (a) then (b), where:

- (a) for  $\iota = \{0, 1\}$ ,  $\{\mathbf{i}_\iota < \theta_\iota\}$  and  $\bar{\zeta}^\iota = \langle \zeta_{\alpha, i}^\iota : \alpha < \mu, i < \mathbf{i}_\iota \rangle$  are sequences of ordinals of  $\lambda$  without repetitions, and  $\text{Rang}(\bar{\zeta}^0)$ ,  $\text{Rang}(\bar{\zeta}^1)$  are disjoint and  $\gamma < \sigma$
- (b) there are  $\alpha_0 < \alpha_1 < \mu$  such that  $\forall i_0 < \mathbf{i}_0, \forall i_1 < \mathbf{i}_1, \mathbf{c}\{\zeta_{\alpha_0, i_0}^0, \zeta_{\alpha_1, i_1}^1\} = \gamma$  and  $\zeta_{\alpha_0, i_0}^0 < \zeta_{\alpha_1, i_1}^1$ .

2) Above if  $\theta_0 = \theta = \theta_1$  then we may write  $\text{Pr}_1(\lambda, \mu, \sigma, \theta)$ .

In this paper we prove e.g. that if some stationary  $S \subseteq \{\delta < \aleph_2 : \text{cf}(\delta) < \aleph_1\}$  do not reflect then  $\text{Pr}_1(\aleph_2, \aleph_2, \aleph_2, \aleph_1)$  holds, which means that countable infinite sequences can be taken in both “sides”. Actually, the theorem says that, in particular,  $\text{Pr}_1(\lambda, \lambda, \lambda, \partial)$  holds whenever  $\partial = \text{cf}(\partial)$  and  $\lambda = \partial^+$  and there is a non-reflecting stationary subset of  $S_{<\partial}^\lambda$ . We intend to say more on other  $\lambda$ -s in [She].

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**Definition 0.2.** 1) A filter  $D$  on a set  $I$  is uniform when for every subset  $A$  of  $I$  of cardinality  $< |I|$ , the set  $I \setminus A \in D$ ; all our filters will be uniform

2) A filter  $D$  on a set  $I$  is weakly  $\theta$ -saturated when  $\theta \geq |I|$  and there is no partition of  $I$  to  $\theta$  sets from  $D^+$ ,

3) We say the filter  $D$  on a set  $I$  is  $\theta$ -saturated when the Boolean algebra  $\mathcal{P}(I)/D$  satisfies the  $\theta$ -c.c.

- Fact 0.3.** 1) If  $D$  is a  $\theta$ -complete filter on  $\lambda$  and is not  $\theta$ -saturated then it is not weakly  $\theta$ -saturated; so those properties are equivalent.  
 2) If  $\theta = \sigma^+$  and  $D$  is a  $\theta$ -complete filter on  $\theta$ , then  $D$  is not weakly  $\theta$ -saturated.  
 3) If  $n \geq 1$  and  $\lambda = \sigma^{+n}$  and  $D$  is a (uniform)  $\sigma^+$ -complete filter on  $\lambda$  then  $D$  is not weakly  $\sigma^{+n}$ -saturated

*Proof.* 1) Obvious and well known

2) By [Sol71],

3) Let  $\mu$  be the minimal cardinal such that  $D$  is not  $\mu^+$ -complete, so clearly  $\mu \in [\sigma^+, \lambda]$  hence  $\mu$  is a successor cardinal. So there is a function  $f$  from  $\lambda$  into  $\mu$  such that for every subset  $A$  of  $\mu$  of cardinality  $< \mu$ ,  $f^{-1}(A) = \emptyset \pmod{D}$ . Let  $E$  be the family of subsets  $A$  of  $\mu$  such that  $f^{-1}(A) \in D$ . Clearly  $E$  is a (uniform)  $\mu$ -complete filter on  $\mu$  hence by part (2) is not weakly  $\mu$ -saturated, let  $\langle A_\varepsilon : \varepsilon < \mu \rangle$  be a partition of  $\mu$  to sets from  $E^+$ . Now  $\langle f^{-1}(A_\varepsilon) : \varepsilon < \mu \rangle$  witnesses the desired conclusion.

□<sub>0.3</sub>

*Notation 0.4.* 1) We denote infinite cardinals by  $\lambda, \mu, \kappa, \theta, \vartheta$  while  $\sigma$  denotes a finite or infinite cardinal. We denote ordinals by  $\alpha, \beta, \gamma, \varepsilon, \zeta, \xi$ . Natural numbers are denoted by  $k, \ell, m, n$  and  $\iota \in \{0, 1, 2\}$

1A) Let  $D$  denote a filter on an infinite set  $\text{dom}(D)$

2) For a set  $A$  of ordinals let  $\text{nacc}(A) = \{\alpha \in A : \alpha > \sup(A \cap \alpha)\}$  and  $\text{acc}(A) = A \setminus \text{nacc}(A)$ . For regular cardinals  $\lambda > \kappa$  let  $S_\kappa^\lambda = \{\delta < \lambda : \text{cf}(\delta) = \kappa\}$  and  $S_{<\kappa}^\lambda = \{\delta < \lambda : \text{cf}(\delta) < \kappa\}$ .

## § 1. A COLOURING THEOREM

Our aim is to prove

**Theorem 1.1.**  $\text{Pr}_1(\lambda, \lambda, \partial, \partial)$  and moreover  $\text{Pr}_1(\lambda, \lambda, \lambda, \partial)$  holds provided that:

- (a)  $\lambda = \partial^+$
- (b)  $\partial = \text{cf}(\partial) > \aleph_0$
- (c)  $\mathcal{W}$  is a stationary subset of  $\lambda$  consisting of ordinals of cofinality  $< \partial$  reflecting in no ordinal  $< \lambda$

*Remark 1.2.* 1) The case of  $\partial$  colours, i.e. proving only  $\text{Pr}_1(\lambda, \lambda, \partial, \partial)$  is easier so we prove it first.

2) Can we weaken clause (c) of 1.1 replacing “reflecting in no ordinal  $< \lambda$ ” by “reflecting in no ordinal of cofinality  $\partial$ ”?

The answer seem to be  
yes provided that we add:

- ( $\alpha$ ) there is a sequence  $\langle e_\alpha : \alpha \notin \mathcal{W} \rangle$  such that ( $\mathcal{W}$  is as above and)  $e_\alpha$  is a club of  $\alpha$  of order type  $< \partial$  and for  $\alpha \in e_\beta \cap \mathcal{W}$  we have  $e_\alpha = \alpha \cap e_\beta$
- ( $\beta$ ) there is no  $\partial$ -complete not  $\partial^+$ -complete uniform weakly  $\partial$ -saturated filter on  $\lambda$ .

*Proof.* Stage A: We begin as in earlier proofs (e.g. [She19]). We let  $(\kappa_1, \kappa_2) = (\partial, \lambda)$ . Let  $S \subseteq S_\partial^\lambda$  be stationary and  $h : \lambda \rightarrow \lambda$  be such that  $\alpha < \lambda \Rightarrow h(\alpha) < 1 + \alpha$ ,  $h \upharpoonright (\lambda \setminus S)$  is constantly zero and  $S_\gamma^* := \{\delta \in S : h(\delta) = \gamma\}$  is a stationary subset of  $\lambda$  for every  $\gamma < \lambda$ . Let  $F_\iota : \lambda \rightarrow \kappa_\iota$  for  $\iota = 1, 2$  be such that for every  $(\varepsilon_1, \varepsilon_2) \in (\kappa_1 \times \kappa_2)$  the set  $W_{\varepsilon_1, \varepsilon_2}(\beta) = \{\gamma \in S_\beta^* : F_\iota(\gamma) = \varepsilon_\iota \text{ for } \iota = 1, 2\}$  is a stationary subset of  $\lambda$  for every  $\beta < \lambda$ .

For  $\iota = 1, 2$  and  $\rho \in {}^{\omega^>}\lambda$  let  $F_\iota(\rho) = \langle F_\iota(\rho(\ell)) : \ell < \text{lg}(\rho) \rangle$ . Clearly:

$\odot_0$  without loss of generality if  $\delta \in \mathcal{W}$  then  $\delta$  is divisible by  $\partial$ .

Let  $\bar{e} = \langle e_\alpha : \alpha < \lambda \rangle$  be such that:

- $\odot_1$  (a) if  $\alpha = 0$  then  $e_\alpha = \emptyset$
- (b) if  $\alpha = \beta + 1$  then  $e_\alpha = \{\beta\}$
- (c) if  $\alpha$  is a limit ordinal then  $e_\alpha$  is a club of  $\alpha$  of order type  $\text{cf}(\alpha)$  disjoint to  $S_\partial^\lambda$  hence to  $S$ .
- (d) if  $\alpha$  is a limit ordinal then  $e_\alpha$  is disjoint to  $\mathcal{W}$ .

In other cases (not here) instead  $h$  we use a sequence  $\langle h_\alpha : \alpha < \lambda \rangle$  of functions,  $h_\alpha : e_\alpha \rightarrow \partial$  and use e.g.  $\langle h_{\gamma_\ell(\beta, \alpha)}(\gamma_{\ell+1}(\beta, \alpha)) : \ell < k(\beta, \alpha) \rangle$  and  $\rho_h$ , but this is not necessary here.

Now (using  $\bar{e}$ ) for  $\alpha < \beta < \lambda$ , let

$$\gamma(\beta, \alpha) := \min\{\gamma \in e_\beta : \gamma \geq \alpha\}.$$

Let us define  $\gamma_\ell(\beta, \alpha)$ :

$$\gamma_0(\beta, \alpha) = \beta,$$

$$\gamma_{\ell+1}(\beta, \alpha) = \gamma(\gamma_\ell(\beta, \alpha), \alpha) \text{ (if well defined).}$$

If  $\alpha < \beta < \lambda$ , let  $k(\beta, \alpha)$  be the maximal  $k < \omega$  such that  $\gamma_k(\beta, \alpha)$  is defined (equivalently is equal to  $\alpha$ ) and let  $\rho_{\beta, \alpha} = \rho(\beta, \alpha)$  be the sequence

$$\langle \gamma_0(\beta, \alpha), \gamma_1(\beta, \alpha), \dots, \gamma_{k(\beta, \alpha)-1}(\beta, \alpha) \rangle.$$

Let  $\gamma_{\ell t}(\beta, \alpha) = \gamma_{k(\beta, \alpha)-1}(\beta, \alpha)$  where  $\ell t$  stands for last.

Let

$$\rho_h = \langle h(\gamma_\ell(\beta, \alpha)) : \ell < k(\beta, \alpha) \rangle$$

and we let  $\rho(\alpha, \alpha)$  and  $\rho_h(\alpha, \alpha)$  be the empty sequences. Now clearly:

$$\odot_2 \text{ if } \alpha < \beta < \lambda \text{ then } \alpha \leq \gamma(\beta, \alpha) < \beta$$

hence

$$\odot_3 \text{ if } \alpha < \beta < \lambda, 0 < \ell < \omega, \text{ and } \gamma_\ell(\beta, \alpha) \text{ is well defined, then}$$

$$\alpha \leq \gamma_\ell(\beta, \alpha) < \beta$$

and

$$\odot_4 \text{ if } \alpha < \beta < \lambda, \text{ then } k(\beta, \alpha) \text{ is well defined and letting } \gamma_\ell := \gamma_\ell(\beta, \alpha) \text{ for } \ell \leq k(\beta, \alpha) \text{ we have}$$

$$\alpha = \gamma_{k(\beta, \alpha)} < \gamma_{\ell t}(\beta, \alpha) = \gamma_{k(\beta, \alpha)-1} < \dots < \gamma_1 < \gamma_0 = \beta$$

$$\text{and } \alpha \in e_{\gamma_{\ell t}(\beta, \alpha)}$$

i.e.  $\rho(\beta, \alpha)$  is a (strictly) decreasing finite sequence of ordinals, starting with  $\beta$ , ending with  $\gamma_{\ell t}(\beta, \alpha)$  of length  $k(\beta, \alpha)$ .

Note that if  $\alpha \in S, \alpha < \beta$  then  $\gamma_{\ell t}(\beta, \alpha) = \alpha + 1$ .

Also

$$\odot_5 \text{ if } \delta \text{ is a limit ordinal and } \delta < \beta < \lambda, \text{ then for some } \alpha_0 < \delta \text{ we have: } \alpha_0 \leq \alpha < \delta \text{ implies:$$

$$(i) \text{ for } \ell < k(\beta, \delta) \text{ we have } \gamma_\ell(\beta, \delta) = \gamma_\ell(\beta, \alpha)$$

$$(ii) \delta \in \text{nacc}(e_{\gamma_{\ell t}(\beta, \delta)}) \Leftrightarrow \delta = \gamma_{k(\beta, \delta)}(\beta, \delta) = \gamma_{k(\beta, \delta)}(\beta, \alpha) \Leftrightarrow \neg[\gamma_{k(\beta, \delta)}(\beta, \delta) = \delta > \gamma_{k(\beta, \delta)}(\beta, \alpha)]$$

$$(iii) \rho(\beta, \delta) \trianglelefteq \rho(\beta, \alpha); \text{ i.e. is an initial segment}$$

$$(iv) \delta \in \text{nacc}(e_{\gamma_{\ell t}(\beta, \delta)}) \text{ (here always holds if } \delta \in S \cup \mathscr{W}) \text{ implies:}$$

- $\rho(\beta, \delta) \hat{\ } \langle \delta \rangle \trianglelefteq \rho(\beta, \alpha)$  hence
- $\rho_h(\beta, \delta) \hat{\ } \langle h(\beta, \delta)(\delta) \rangle \trianglelefteq \rho_h(\beta, \alpha)$ .

$$(v) \text{ if } \text{cf}(\delta) = \partial \text{ or } \delta \in \mathscr{W} \text{ then we have } \gamma_{\ell t}(\beta, \delta) = \delta + 1 \text{ so } \delta + 1 \in 2021 - 05 - 06 \text{nacc}(e_{\gamma_{\ell t}(\beta, \delta)})$$

$$(vi) \text{ if } \text{cf}(\delta) = \partial \text{ or } \delta \in \mathscr{W} \text{ and } \delta \in e_\gamma \text{ then necessarily } \gamma = \delta + 1.$$

Why? Just let

$$\alpha_0 = \text{Max}\{\sup(e_{\gamma_\ell(\beta, \delta)} \cap \delta) + 1 : \ell < k(\beta, \delta) \text{ and } \delta \notin \text{acc}(e_{\gamma_\ell(\beta, \delta)})\}.$$

Notice that if  $\ell < k(\beta, \delta) - 1$  then  $\delta \notin \text{acc}(e_{\gamma_\ell(\beta, \delta)})$  follows.

Note that the outer maximum (in the choice of  $\alpha_0$ ) is well defined as it is over a finite non-empty set of ordinals. The inner sup is on the empty set (in which case we get zero) or is the maximum (which is well defined) as  $e_{\gamma_\ell(\beta, \delta)}$  is a closed subset of  $\gamma_\ell(\beta, \delta)$ ,  $\delta < \gamma_\ell(\beta, \delta)$  and  $\delta \notin \text{acc}(e_{\gamma_\ell(\beta, \delta)})$  - as this is required. For clauses (v), (vi) recall  $\delta \in S_\partial^\lambda \cup \mathscr{W}$  and  $e_\gamma \cap S_\partial^\lambda = \emptyset$  and  $e_\gamma \cap \mathscr{W} = \emptyset$  when  $\gamma$  is a limit ordinal and  $e_\gamma = \{\gamma - 1\}$  when  $\gamma$  is a successor ordinal.

- ⊙<sub>6</sub> (a) if  $\alpha < \beta < \lambda$ ,  $\ell < k(\beta, \alpha)$ ,  $\gamma = \gamma_\ell(\beta, \alpha)$  then  $\rho(\beta, \alpha) = \rho(\beta, \gamma) \hat{\ } \rho(\gamma, \alpha)$  and  $\rho_h(\beta, \alpha) = \rho_h(\beta, \gamma) \hat{\ } \rho_h(\gamma, \alpha)$
- (b) if  $\alpha_0 < \dots < \alpha_k$  and  $\rho(\alpha_k, \alpha_0) = \rho(\alpha_k, \alpha_{k-1}) \hat{\ } \dots \hat{\ } \rho(\alpha_1, \alpha_0)$  then this holds for any sub-sequence of  $\langle \alpha_0, \dots, \alpha_k \rangle$ .
- ⊙<sub>7</sub> let  $F'_\iota$  be  $F_\iota \circ h$  for  $\iota = 1, 2$ ; so  $F'_1$  is a function from  $\lambda$  into  $\partial$  and  $F'_2$  is a function from  $\lambda$  into  $\lambda$ .

Stage B:

Let

- ⊞<sub>2</sub>  $\mathbf{T} = \{\bar{t} : \bar{t} = \langle t_\alpha : \alpha < \lambda \rangle \text{ satisfies } t_\alpha \in [\lambda]^{<\partial} \text{ and } t_\alpha \subseteq \lambda \setminus \alpha\}$ .
- ⊞<sub>3</sub> for  $\varepsilon < \partial$  and  $\bar{t} \in \mathbf{T}$  let  $A_{\bar{t}, \varepsilon}$  be the set of  $\gamma < \lambda$  such that for some  $(\alpha_0, \alpha_1)$  we have:
  - (a)  $\alpha_0 < \alpha_1 < \lambda$  and<sup>2</sup>  $(\zeta, \xi) \in t_{\alpha_0} \times t_{\alpha_1} \Rightarrow \zeta < \xi$
  - (b) for every  $(\zeta, \xi) \in t_{\alpha_0} \times t_{\alpha_1}$  for some  $\ell$  we have:
    - (α)  $\ell < k(\xi, \zeta)$
    - (β)  $\gamma_\ell(\xi, \zeta) = \gamma$
    - (γ) if  $k < k(\xi, \zeta)$  then  $F'_1(\gamma) \geq F'_1(\gamma_k(\xi, \zeta))$  and  $F'_1(\gamma) \geq \varepsilon$
    - (δ) if  $k < \ell$  then  $F'_1(\gamma_k(\xi, \zeta)) < F'_1(\gamma)$ .

We define:

$$\boxplus_4 D = \{A \subseteq \lambda : A \text{ includes } A_{\bar{t}, \varepsilon} \text{ for some } \bar{t} \in \mathbf{T}, \varepsilon < \partial\}.$$

Now note:

- ⊞<sub>5</sub> (a) if  $\bar{s}, \bar{t} \in \mathbf{T}$ ,  $\varepsilon \leq \zeta < \partial$  and  $(\forall \alpha < \lambda)(s_\alpha \subseteq t_\alpha)$ , then  $A_{\bar{t}, \zeta} \subseteq A_{\bar{s}, \varepsilon}$
- (b) if  $\bar{s} \in \mathbf{T}$ ,  $\varepsilon < \partial$ ,  $g$  is an increasing function from  $\lambda$  to  $\lambda$  and  $\bar{t} = \langle t_\alpha : \alpha < \lambda \rangle$  is defined by  $t_\alpha = s_{g(\alpha)}$  then ( $\bar{t} \in \mathbf{T}$  and)  $A_{\bar{t}, \varepsilon} \subseteq A_{\bar{s}, \varepsilon}$ .

[Why? Read the definitions.]

- ⊞<sub>6</sub> (a) the intersection of any  $< \partial$  members of  $D$  is a member of  $D$ , equivalently includes the set  $A_{\bar{t}, \zeta}$  for some  $\bar{t} \in \mathbf{T}$ ,  $\zeta < \partial$
- (b) for every  $\beta < \lambda$  for some  $\bar{t} \in \mathbf{T}$ ,  $A_{\bar{t}, 0} \subseteq [\beta, \lambda)$
- (c) if  $\bar{t} \in \mathbf{T}$  and  $\alpha < \lambda \Rightarrow t_\alpha \neq \emptyset$  then  $\bigcap \{A_{\bar{t}, \varepsilon} : \varepsilon < \partial\} = \emptyset$

<sup>1</sup> if instead we demand  $\alpha \neq \beta < \lambda \Rightarrow t_\alpha \cap t_\beta = \emptyset$  then we shall get the same filter  $D$ .

<sup>2</sup>if we choose to add here “ $t_{\alpha_0} \subseteq \alpha_1$ ”, then we would have a problem in proving clause  $\boxplus_5(b)$ .

- (d)  $D$  is upward closed.
- (e)  $\lambda$  belongs to  $D$

[Why? For clause (a) assume  $A_\varepsilon \in D$  for  $\varepsilon < \varepsilon(*) < \partial$  then for some  $\zeta_\varepsilon < \partial$  and  $\bar{t}_\varepsilon \in \mathbf{T}$  we have  $A_\varepsilon \supseteq A_{\bar{t}_\varepsilon, \zeta_\varepsilon}$ . Define  $t_\alpha = \bigcup \{t_\alpha^\varepsilon : \varepsilon < \varepsilon(*)\}$  for  $\alpha < \lambda$  and  $\zeta = \sup\{\zeta_\varepsilon : \varepsilon < \varepsilon(*)\}$ ; as the cardinal  $\partial$  is regular, clearly  $|t_\alpha| \leq \sum_{\varepsilon < \varepsilon(*)} |t_\alpha^\varepsilon| < \partial$

and obviously  $t_\alpha \subseteq [\alpha, \lambda)$  hence  $\bar{t} = \langle t_\alpha : \alpha < \lambda \rangle \in \mathbf{T}$  and similarly  $\zeta < \partial$ . Easily  $A_{\bar{t}, \zeta} \subseteq A_{\bar{t}_\varepsilon, \zeta_\varepsilon}$  for every  $\varepsilon < \varepsilon(*)$ , see  $\boxplus_5(a)$  so we are done proving clause (a). For clause (b) define  $t_\alpha = \{\beta + \alpha + 1\}$  and recalling  $\boxplus_3(b)(\beta)$  and  $\odot_4$  check that  $A_{\bar{t}, 0} \subseteq [\beta, \lambda)$ . Also clause (c) obviously holds because  $\gamma \in A_{\bar{t}, \varepsilon} \Rightarrow F'_1(\gamma) \geq \varepsilon$  by  $\boxplus_3(b)(\gamma)$  and  $F'_1$  is a function from  $\lambda$  to  $\partial$  and clauses (d),(e) hold trivially by the definition.]

- $\boxplus_7$  (a)  $\emptyset \notin D$
- (b)  $D$  is a filter on  $\lambda$ , equivalently  $A_{\bar{t}, \varepsilon} \neq \emptyset$  for every  $\bar{t}, \varepsilon$ ; also  $D$  is uniform  $\partial$ -complete, not  $\partial^+$ -complete,
- (c)  $D$  is a uniform filter.

[Why? Clause (a) is a major point, proved in Stage C below. That is, by  $\boxplus_6(a)$ , (d) the only missing point is to show  $A_{\bar{t}, \zeta} \neq \emptyset$ , (in fact,  $|A_{\bar{t}, \zeta}| = \lambda$ ). For clause (b) by (a) and  $\boxplus_6(a)$ , (d), (e),  $D$  is a  $\partial$ -complete filter and the statement that  $D$  is uniform holds by  $\boxplus_6(b)$  and not  $\partial^+$ -complete holds by  $\boxplus_6(c)$ . Lastly for clause (c) note that  $\lambda$  is a regular cardinal and the set  $[\beta, \lambda)$  belongs to  $D$  for every ordinal  $\beta < \lambda$  by  $\boxplus_6(b)$ ].

Note also

- $\boxplus_8$   $D$  is not weakly  $\partial$ -saturated.

[Why? By  $\boxplus_7 + \boxplus_6(c)$  vcx and clause (c) in the assumptions of the theorem. That is, it is known that if  $D$  fail this statement (and has the properties listed before) then there is no  $\mathscr{W}$  as in clause (c) of the theorem. That is, considering the forcing notion  $\mathbb{P} = D^+$  with inverse inclusion. Toward contradiction assume that the conclusion fail; by 0.4 the forcing notion  $\mathbb{P}$  satisfies the  $\partial$ -cc. Now in  $\mathbf{V}^{\mathbb{P}}$ , the generic set  $\mathbf{G}$  is an ultrafilter on the Boolean algebra  $\mathscr{P}(\lambda)^{\mathbf{V}}$  and let  $\mathbf{j}$  be the canonical embedding from  $\mathbf{V}$  into the Mostowski collapse of  $\mathbf{V}^\lambda/\mathbf{G}$  (we are using only functions from  $\mathbf{V}$ ), now the contradiction will be clear. If  $\partial$  is a successor cardinal we can use]. 0.3(2).

Stage C:

In this stage we accomplish the proof of the missing point in  $\boxplus_7(a)$  from above, so we shall prove “ $A_{\bar{t}, \varepsilon}$  is non-empty (in fact, has cardinality  $\lambda$ )” when:

- $\boxplus$  (a)  $t_\alpha \subseteq \lambda \setminus \alpha$  for  $\alpha < \lambda$
- (b)  $|t_\alpha| < \partial$
- (c)  $\varepsilon < \partial$ .

To start we note that:

- $(*)_1$  without loss of generality  $t_\alpha \neq \emptyset$  and  $\alpha < \min(t_\alpha)$ .

[Why? First, recalling  $\boxplus_5(a)$  we can replace  $\bar{t}$  by  $\bar{t} = \langle t_\alpha \cup \{\alpha\} : \alpha < \lambda \rangle$ , so we may assume that each  $t_\alpha$  is not empty. Second, let  $\bar{t}' = \langle t'_\alpha : \alpha < \lambda \rangle$ ,  $t'_\alpha = t_{\alpha+1}$ , so easily  $\bar{t}'$  satisfies  $(*)_1$  and  $A_{\bar{t}', \varepsilon} \subseteq A_{\bar{t}, \varepsilon}$  by clause  $\boxplus_5(b)$ .]

Now

$(*)_2$  we can find  $\mathcal{U}_1^{\text{dn}}, \varepsilon^{\text{dn}}$  such that:

- (a)  $\mathcal{U}_1^{\text{dn}} \subseteq \mathcal{W}$  is stationary in  $\lambda$ ,
- (b)  $\alpha < \delta \in \mathcal{U}_1^{\text{dn}} \Rightarrow t_\alpha \subseteq \delta$
- (c)  $\varepsilon^{\text{dn}} < \partial$
- (d) if  $\delta \in \mathcal{U}_1^{\text{dn}}$  then for arbitrarily large  $\alpha < \delta$  we have  $\zeta \in t_\alpha \Rightarrow \text{Rang}(F_1(\rho_h(\delta, \zeta))) \subseteq \varepsilon^{\text{dn}} < \kappa_1 = \partial$ .

[Why? Clearly  $E_0 = \{\delta < \lambda : \delta \text{ is a limit ordinal such that } \alpha < \delta \Rightarrow t_\alpha \subseteq \delta\}$  is a club of  $\lambda$ . For every  $\delta \in \mathcal{W} \cap E_0$  and  $\alpha < \delta$  we can find  $\varepsilon_{\delta, \alpha}^{\text{dn}}$  as in clauses (c),(d) of  $(*)_2$  (because  $|t_\alpha| < \partial$ ) and so recalling that  $\text{cf}(\delta) < \partial$  it follows that there is  $\varepsilon_\delta^{\text{dn}}$  such that  $\delta = \sup\{\alpha < \delta : \varepsilon_{\delta, \alpha}^{\text{dn}} = \varepsilon_\delta^{\text{dn}}\}$ . Then recalling  $\lambda = \text{cf}(\lambda) > \partial$  we can choose  $\varepsilon^{\text{dn}}$  such that the set  $\mathcal{U}_1^{\text{dn}} = \{\delta \in \mathcal{W} \cap E_0 : \varepsilon_\delta^{\text{dn}} = \varepsilon^{\text{dn}}\}$  is stationary. So  $(*)_2$  holds indeed.]

$(*)_3$  We can find  $\mathcal{U}_1^{\text{up}}, \alpha_1^*, \varepsilon^{\text{up}}$  such that:

- (a)  $\mathcal{U}_1^{\text{up}} \subseteq S_0^*$  is stationary
- (b)  $h \upharpoonright \mathcal{U}_1^{\text{up}}$  is constantly 0, actually follows by (a), see Stage A
- (c)  $\alpha_1^* < \lambda$  satisfies  $\alpha_1^* < \min(\mathcal{U}_1^{\text{up}})$  and  $\varepsilon^{\text{up}} < \partial$
- (d) if  $\delta \in \mathcal{U}_1^{\text{up}}$  and  $\alpha \in [\alpha_1^*, \delta)$  and  $\beta \in t_\delta$  then:
  - $\rho_{\beta, \delta} \hat{\langle} \delta \rangle \trianglelefteq \rho_{\beta, \alpha}$
  - $\text{Rang}(F_1(\rho_h(\beta, \delta))) \subseteq \varepsilon^{\text{up}}$ .

[Why? For every  $\delta \in S_0^* \subseteq S$  and  $\zeta \in t_\delta$  let  $\alpha_{1, \delta, \zeta} < \delta$  be such that  $(\forall \alpha)(\alpha \in [\alpha_{1, \delta, \zeta}, \delta) \Rightarrow \rho_{\zeta, \delta} \hat{\langle} \delta \rangle \trianglelefteq \rho_{\zeta, \alpha})$ , it exists by  $\odot_5$  of Stage A.

Let

- $\alpha_{1, \delta} = \sup\{\alpha_{1, \delta, \zeta} : \zeta \in t_\delta\}$
- $\varepsilon_\delta^{\text{up}} = \sup\{F_1'(\gamma_\ell(\zeta, \delta))(\ell) + 1 : \zeta \in t_\delta \text{ and } \ell < k(\zeta, \delta)\} \cup \{\sup \text{Rang}(F_1(\rho_h(\zeta, \delta))) + 1 : \zeta \in t_\delta\}$ ; as  $\text{cf}(\delta) = \partial$  and  $\partial = \text{cf}(\partial) > |t_\delta|$ , necessarily  $\alpha_{1, \delta} < \delta$  and  $\varepsilon_\delta^{\text{up}} < \partial$ .

Lastly, there are  $\alpha_1^* < \lambda$  and  $\varepsilon^{\text{up}} < \kappa_1 = \partial$  and  $\mathcal{U}_1^{\text{up}} \subseteq S_0^*$  as required by using Fodor lemma. So  $(*)_3$  holds indeed.]

Now let  $E = \{\delta < \lambda : \delta \text{ is a limit ordinal } > \alpha_1^* \text{ such that } \delta = \sup(\mathcal{U}_1^{\text{dn}} \cap \delta) \text{ and } \alpha < \delta \Rightarrow t_\alpha \subseteq \delta\}$ , it is a club of  $\lambda$  because  $\alpha_1^* < \lambda$  by  $(*)_3(c)$  and  $\mathcal{U}_1^{\text{dn}}$  is an unbounded subset of  $\lambda$  by  $(*)_2(a)$ , and  $t_\alpha$  is a subset of  $\lambda$  of cardinality  $< \partial$  hence is bounded.

Choose  $\varepsilon(*) = \max\{\varepsilon^{\text{up}} + 1, \varepsilon^{\text{dn}} + 1, \varepsilon + 1\}$  where  $\varepsilon$  is from  $\boxplus(c)$ , so  $\varepsilon(*) < \partial$  and choose  $\delta_2 \in E \cap S$  such that  $F_1'(\delta_2) = \varepsilon(*)$ . Next choose  $\alpha_2 \in \mathcal{U}_1^{\text{up}} \setminus (\delta_2 + 1)$  and let  $\alpha^* \in (\alpha_1^*, \delta_2)$  be large enough such that  $\zeta \in (\alpha^*, \delta_2) \wedge \xi \in t_{\alpha_2} \Rightarrow \rho(\xi, \delta_2) \hat{\langle} \delta_2 \rangle \triangleleft \rho(\xi, \zeta)$ . Now choose  $\delta_1 \in \mathcal{U}_1^{\text{dn}} \cap (\alpha^*, \delta_2)$  and  $\alpha^{**} \in (\alpha^*, \delta_1)$  be such that  $\alpha \in (\alpha^{**}, \delta_1) \wedge \xi \in t_{\alpha_2} \Rightarrow \rho(\xi, \delta_1) \hat{\langle} \delta_1 \rangle \triangleleft \rho(\xi, \alpha)$ .



[Why is this possible? First, the choice of  $\varepsilon(\bullet)$  and of  $\alpha_2$  are obvious. Second, the choice of  $\alpha_*$  is by  $\odot_5$ . Third, we can choose  $\delta_2 \in \mathcal{Q}_1^{\text{dn}} \cap (\alpha_*, \delta_1$  because  $\delta_2 \in E$  which implies  $\delta_1 = \sup(\mathcal{Q}_1^{\text{dn}} \cap \delta_1$ . Lastly we can choose  $\alpha_{**}$  by  $\odot_5(iv)$ .

Next let  $\ell_* < \ell g(\rho(\alpha_2, \delta_1))$  be such that:

- (\*)<sub>4</sub> (a)  $\varepsilon(\bullet) := F_1(\rho_h(\alpha_2, \delta_1))(\ell_*) = \max \text{Rang} F_1(\rho_h(\alpha_2, \delta_1))$
- (b) under this restriction  $\ell_*$  is minimal.

Lastly, choose  $\alpha_1 \in (\alpha_{**}, \delta_1)$  which is as in (\*)<sub>2</sub>(d) with respect to  $\delta_1$ , i.e. such that:

- (\*)<sub>5</sub> if  $\zeta \in t_{\alpha_1}$  then  $\text{Rang} F_1(\rho_h(\delta_1, \zeta)) \subseteq \varepsilon^{\text{dn}}$ .

Now we shall prove that the pair  $(\alpha_1, \alpha_2)$  is as required. So let  $(\zeta, \xi) \in t_{\alpha_1} \times t_{\alpha_2}$ ; now by our choices

- (\*)<sub>6</sub>  $\rho(\xi, \zeta) = \rho(\xi, \alpha_2) \hat{\ } \rho(\alpha_2, \delta_2) \hat{\ } \rho(\delta_2, \delta_1) \hat{\ } \rho(\delta_1, \zeta)$  and  $\rho(\alpha_2, \delta_1) = \rho(\alpha_2, \delta_2) \hat{\ } \rho(\delta_2, \delta_1)$

So

- (\*)<sub>7</sub>  $\text{Rang}(F_1(\rho_h(\xi, \alpha_2))) \subseteq \varepsilon^{\text{up}} \leq \varepsilon(\bullet)$

[Why? by (\*)<sub>3</sub>(a), the choice of  $\alpha_2 \in \mathcal{Q}_1^{\text{up}}$  and  $\xi$  being from  $t_{\alpha_2}$ ]

- (\*)<sub>8</sub>  $\text{Rang}(F_1(\rho_h(\delta_1, \zeta))) \subseteq \varepsilon^{\text{dn}} \leq \varepsilon(\bullet)$

[Why by (\*)<sub>2</sub>(d) and the choice of  $\alpha_1$  (and  $\zeta$  being a member of  $t_{\alpha_1}$ )]

- (\*)<sub>9</sub>  $\varepsilon(\bullet) = F_1 \circ h(\delta_2) \in \text{Rang}(F_1(\rho_h(\alpha_2, \delta_1)))$ , see (\*)<sub>6</sub> and (before and after)  $\odot_1$ .

[Why? Recall that  $\delta_2$  was chosen in  $E \cap S$  such that  $F'_1(\delta_2) = \varepsilon(\bullet)$ .]

Hence

- (\*)<sub>10</sub>  $\varepsilon \leq \varepsilon(\bullet) \leq \varepsilon(\bullet) < \partial$

Putting those together, We can finish this stage by:

- (\*)<sub>11</sub> in  $\boxplus_3(b)$  for our  $\bar{t}$  and the pair  $(\alpha_1, \alpha_2)$ , our  $\varepsilon(\bullet)$  (chosen in (\*)<sub>4</sub>(a)) is gotten, witnessing  $\gamma_{\ell_\bullet}(\alpha_2, \delta_1) \in A_{\bar{t}, \varepsilon(\bullet)} \subseteq A_{\bar{t}, \varepsilon}$

[Why? As first  $\varepsilon < \varepsilon(\bullet)$ , by the choice of  $\varepsilon(\bullet)$ , and second if  $(\zeta, \xi) \in t_{\alpha_1} \times t_{\alpha_2}$  then  $\ell = \ell g(\rho(\xi, \alpha_2)) + \ell_*$  is as required in  $\boxplus_3(b)$  for  $\bar{t}$  by (\*)<sub>6</sub> – (\*)<sub>10</sub>] So we are done proving  $\boxplus_7(a)$ .

Stage D: By  $\boxplus_8$

- ⊗<sub>1</sub> there is  $F_* : \lambda \rightarrow \partial$  such that  $\varepsilon < \partial \Rightarrow F_*^{-1}(\{\varepsilon\}) \neq \emptyset \pmod{D}$ .

We first deal with the easier version with  $\partial$  colours, i.e. proving  $\text{Pr}_1(\lambda, \lambda, \partial, \partial)$ .

We now define the colouring  $\mathbf{c}_1 : [\lambda]^2 \rightarrow \partial$  by:

- ⊗<sub>2</sub> if  $\alpha < \beta < \lambda$  then  $\mathbf{c}_1\{\alpha, \beta\}$  is  $F_*(\gamma_{\ell(\beta, \alpha)}(\beta, \alpha))$  where  $\ell(\beta, \alpha) = \min\{\ell < k(\beta, \alpha) : F'_1(\gamma_\ell(\beta, \alpha)) = \max \text{Rang}(F'_1(\rho(\beta, \alpha)))\}$ .

To prove that the colouring  $\mathbf{c}_1$  really witnesses  $\text{Pr}_1(\lambda, \lambda, \partial, \partial)$ , clearly it suffice to prove:

- ⊗<sub>3</sub> given  $\bar{t} \in \mathbf{T}$  and  $\iota < \partial$  there are  $\alpha < \beta$  such that:
  - $\zeta \in t_\alpha \wedge \xi \in t_\beta \Rightarrow \mathbf{c}_1\{\zeta, \xi\} = \iota$ .

[Why does  $\otimes_3$  holds? Let  $B_\iota = \{\gamma < \lambda : F_*(\gamma) = \iota\}$ . By the choice of  $F_*$  we know that  $B_\iota \neq \emptyset \pmod D$ . Focus on  $A_{\bar{t}, \varepsilon}$  for our specific  $\bar{t} \in \mathbf{T}$  and any  $\varepsilon < \partial$ . Since  $A_{\bar{t}, \varepsilon} \in D$  we conclude that  $B_\iota \cap A_{\bar{t}, \varepsilon} \neq \emptyset$ .

Fix an ordinal  $\gamma \in B_\iota \cap A_{\bar{t}, \varepsilon}$ . By the very definition of  $A_{\bar{t}, \varepsilon}$  in  $\boxplus_3$  we choose  $\alpha < \beta < \lambda$  such that for every  $(\zeta, \xi) \in t_\alpha \times t_\beta$  there exists  $\ell < k(\xi, \zeta)$  for which  $\gamma_\ell(\xi, \zeta) = \gamma$  and  $F'_1(\gamma) \geq F'_1(\gamma_k(\xi, \zeta))$  whenever  $k < k(\xi, \zeta)$  and  $F_1(\gamma) \geq \varepsilon$  and  $F'_1(\gamma) > F'_1(\gamma_k(\xi, \zeta))$  whenever  $k < \ell$ . Let  $\ell(\xi, \zeta)$  be this  $\ell$ , in fact, this  $\ell$  is unique (for the pair  $(\zeta, \xi)$ ).

Now  $\mathbf{c}_1\{\zeta, \xi\} = F_*(\gamma_{\ell(\xi, \zeta)}(\xi, \zeta))$  (by  $\otimes_2$ ) which equals  $F_*(\gamma)$  (by the choice of  $\ell(\xi, \zeta)$ ) which equals  $\iota$  (since  $\gamma \in B_\iota$ ). Hence  $\otimes_3$  holds and we finish Stage D.]

Stage E: The full theorem: the case of  $\lambda$  colors.

Let  $h', h''$  be functions from  $\partial$  into  $\partial, \omega$  respectively such that the mapping  $\zeta \mapsto (h'(\zeta), h''(\zeta))$  is onto  $\partial \times \omega$  and moreover each such pair is gotten  $\partial$  times.

We have to define a colouring  $\mathbf{c}_2 : [\lambda]^2 \rightarrow \lambda$  exemplifying  $\text{Pr}_1(\lambda, \lambda, \lambda, \partial)$ .

This is done as follows using  $h', h''$  and  $F_*$  from  $\otimes_1$ :

$\oplus_1$  for  $\alpha < \beta < \lambda$  we let:

- <sub>1</sub>  $\zeta = \zeta(\beta, \alpha) := h'(\mathbf{c}_1\{\beta, \alpha\})$ , necessarily  $< \partial$
- <sub>2</sub>  $n = n(\beta, \alpha) := h''(\mathbf{c}_1\{\beta, \alpha\})$ , necessarily  $< \omega$
- <sub>3</sub>  $m = m(\beta, \alpha)$  is the  $n$ -th member of  $\{k < k(\beta, \alpha) : F'_1(\gamma_k(\beta, \alpha)) = \zeta\}$  when there is such  $m$  and is zero otherwise
- <sub>4</sub> we define  $\mathbf{c}_2$  as follows: for  $\alpha < \beta$ ,  $\mathbf{c}_2\{\alpha, \beta\}$  is  $F'_2(\gamma_{m(\beta, \alpha)}(\beta, \alpha))$  recalling that  $F'_2$ , a function from  $\lambda$  to  $\lambda$  is from  $\odot_2$  from the end of stage A.

To prove that  $\mathbf{c}_2$  indeed exemplifies  $\text{Pr}_1(\lambda, \lambda, \lambda, \partial)$  it suffice to prove (this is the task of the rest of the proof)

$\oplus_2$  assume  $\bar{t} \in \mathbf{T}$  and  $j_* < \lambda$  and we shall find  $\alpha < \beta$  such that  $t_\alpha \subseteq \beta$  and  $(\zeta, \xi) \in t_\alpha \times t_\beta \Rightarrow \mathbf{c}_2\{\zeta, \xi\} = j_*$ .

Toward this:

- $\oplus_3$  (a) we apply  $(*)_3$  to our  $\bar{t}$ , getting  $\varepsilon^{\text{up}}, \mathcal{U}_1^{\text{up}}, \alpha_1^*$  as there
- (b) we apply  $(*)_2$  to our  $\bar{t}$  getting  $\mathcal{U}_1^{\text{dn}}, \varepsilon^{\text{dn}}$
- (c) let  $\varepsilon^{\text{md}} = \max\{\varepsilon^{\text{up}} + 1, \varepsilon^{\text{dn}} + 1\}$ .

We can find  $g_2, \mathcal{U}_2^{\text{up}}, \gamma_*, \alpha_2^*, m_2^*$  such that:

- $\oplus_4$  (a)  $\gamma_* < \lambda$  satisfies  $F_2(\gamma_*) = j_*$  and  $F_1(\gamma_*) = \varepsilon^{\text{md}}$
- (b)  $\mathcal{U}_2^{\text{up}} \subseteq S_{\gamma_*}^*$  is stationary hence  $\delta \in \mathcal{U}_2^{\text{up}} \Rightarrow F'_2(\delta) = F_2(h(\delta)) = F_2(\gamma_*) = j_* \wedge F'_1(\delta) = F_1(h(\delta)) = F_1(\gamma_*) = \varepsilon^{\text{md}}$
- (c)  $g_2$  is a function with domain  $\mathcal{U}_2^{\text{up}}$  such that  $\delta \in \mathcal{U}_2^{\text{up}} \Rightarrow \delta < g_2(\delta) \in \mathcal{U}_1^{\text{up}}$
- (d)  $\alpha_2^*$  satisfies  $\alpha_1^* < \alpha_2^* < \min(\mathcal{U}_2^{\text{up}})$
- (e) if  $\delta \in \mathcal{U}_2^{\text{up}}$  and  $\alpha \in [\alpha_2^*, \delta)$  and  $\beta \in t_{g_2(\delta)}$  then
  - $\rho(g_2(\delta), \delta) \hat{\ } \langle \delta \rangle \leq \rho(g_2(\delta), \alpha)$  hence
  - $\rho_{\beta, \delta} \hat{\ } \langle \delta \rangle \leq \rho_{\beta, \alpha}$

- (f)  $m_2^*$  satisfies: for every  $\delta \in \mathcal{U}_2^{\text{up}}$ , it is the cardinality of the set  $\{\ell < k(g_2(\delta), \delta) : F'_1(\gamma_\ell(g_2(\delta), \delta)) = \varepsilon^{\text{md}}\}$  which may be zero.

[Why? First choose  $\gamma_*$  as in clause (a) of  $\oplus_4$  (possible by the choice of  $F_1, F_2$  in the beginning of Stage A; hence  $\delta \in S_{\gamma_*} \Rightarrow F'_2(\delta) = F_2(h(\delta)) = F_2(\gamma_*) = j_*$  and  $F'_1(\delta) = F_1(h(\delta)) = F_1(\gamma_*) = \varepsilon^{\text{md}}$  (by the choice of  $F'_1$  in  $\odot_7$  recalling the definitions of  $h, F'_1$ ). Second, define  $g' : S_{\gamma_*}^* \rightarrow \mathcal{U}_1^{\text{up}}$  such that  $\delta \in S_{\gamma_*}^* \Rightarrow \delta < g'(\delta) \in \mathcal{U}_1^{\text{up}}$ . Third, for each  $\delta \in S_{\gamma_*}^* \setminus (\alpha_1^* + 1)$ , find  $\alpha'_{2,\delta} < \delta$  above  $\alpha_1^*$  and  $m_{2,\delta}$  such that the parallel of clauses (e),(f) (with  $g'$  here instead of  $g_2$  there) of  $\oplus_4$  holds. Fourth, use Fodor lemma to get a stationary  $\mathcal{U}_2^{\text{up}} \subseteq S_{\gamma_*}^*$  such that  $\langle (\alpha'_{2,\delta}, m_{2,\delta}) : \delta \in \mathcal{U}_2^{\text{up}} \rangle$  is constantly  $(\alpha_2^*, m_2^*)$  and lastly let  $g_2 = g' \upharpoonright \mathcal{U}_2^{\text{up}} \setminus (\alpha_2^* + 1)$ . Now it is easy to check that  $\oplus_4$  holds indeed.]

Next

$\oplus_5$  if  $\delta \in \mathcal{U}_2^{\text{up}}$  then :

- (a)  $F'_1(\delta) = \varepsilon^{\text{md}}$   
 (b) if  $\alpha \in [\alpha_2^*, \delta), \xi \in t_{g_2(\delta)}$  then  $u = \{\ell < k(\xi, \alpha) : F'_1(\gamma_\ell(\xi, \alpha)) = \varepsilon^{\text{md}}\}$  has  $> m_2^*$  members and if  $\ell$  is the  $m_2^*$ -th member of  $u$  then  $\gamma_\ell(\xi, \alpha) = \delta$ .

Why? Clause (a) holds by  $\oplus_4(a), (b)$ . For clause (b) use clause (a) and the demands on  $m_2^*$ . That is

- $\oplus_{5.1}$  (a)  $\rho(\xi, \alpha) = \rho(\xi, g_2(\delta)) \hat{\ } \rho(g_2(\delta), \delta) \hat{\ } \rho(\delta, \alpha)$   
 [Why? by  $(*)_3, \oplus_4(e)$ ]  
 (b)  $\text{Rang}(\rho_h(\alpha, g_2(\delta))) \subseteq \varepsilon^{\text{up}} \subseteq \varepsilon^{\text{md}}$   
 [Why? by  $(*)_2$ ]  
 (c) the set  $\{\ell < k(g_2(\delta), \delta) : F'_1(\gamma_\ell(g_2(\delta), \delta)) = \varepsilon^{\text{md}}\}$  has  $m_2^*$  members  
 [why? by  $\oplus_4(f)$ ]  
 (d)  $F'_1(\gamma_0(\delta, \alpha)) = F'_1(\delta) = \varepsilon^{\text{md}}$   
 [Why? by  $\oplus_4(a), (b)$ ]  
 (e) if  $\ell_*$  is the  $m_2^*$ -th member of  $\{\ell : F'_1(\gamma_\ell(\xi, \alpha)) = \varepsilon^{\text{md}}\}$  then  $\gamma_{\ell_*}(\xi, \alpha) = \delta$   
 [Why? putting the above together]

So  $\oplus_5$  holds indeed.

Now choose  $\varepsilon(*) < \partial$  such that  $h'(\varepsilon(*)) = \varepsilon^{\text{md}}$  and  $h''(\varepsilon(*)) = m_2^*$ .

Next, let  $E = \{\delta < \lambda : \delta \text{ limit ordinal} > \alpha_2^* \text{ such that } \delta = \sup(\mathcal{U}_1^{\text{dn}} \cap \delta) \text{ and } \alpha < \delta \Rightarrow g_2(\alpha) < \delta\}$ .

Lastly,

- $\oplus_6$  choose  $\delta_1 < \delta_2$  such that  
 (a)  $\delta_1 \in \mathcal{U}_1^{\text{dn}} \cap E$   
 (b)  $\delta_2 \in \mathcal{U}_2^{\text{up}} \cap E \setminus (\delta_1 + 1)$   
 (c)  $\mathbf{c}_1\{\delta_2, \delta_1\} = \varepsilon(*)$ ,

[Why does such a pair  $(\delta_1, \delta_2)$  exist? By Stage D applied to  $\bar{s} = \langle s_\alpha : \alpha < \lambda \rangle$  where  $s_\alpha = \{\min(\mathcal{U}_1^{\text{dn}} \cap E \setminus \alpha), \min(\mathcal{U}_2^{\text{up}} \cap E \setminus \alpha)\}$ .

That is, we can find ordinals  $\alpha < \beta < \lambda$  such that: for every  $(\zeta, \xi) \in (s_\alpha \times s_\beta)$  we have  $\mathbf{c}_1\{\xi, \zeta\} = \varepsilon^{\text{md}}$ .

Let  $\delta_1 = \min(\mathcal{U}_1^{\text{dn}} \cap E \setminus \alpha)$  and let  $\delta_2 = \min(\mathcal{U}_2^{\text{up}} \cap E \setminus \beta)$ .

So  $(\delta_1, \delta_2) \in (s_\alpha \times s_\beta)$  hence clearly  $\delta_1 < \delta_2$ ,  $\mathbf{c}_1\{\delta_1, \delta_2\} = \varepsilon(*)$ ,  $\delta_1 \in \mathcal{U}_1^{\text{dn}} \cap E$  and  $\delta_1 \in \mathcal{U}_1^{\text{up}} \cap E$ . So the pair  $(\delta_1, \delta_2)$  is as promised in  $\oplus_6$ ]

Now let  $\beta = g_2(\delta_2)$  and choose  $\alpha \in \mathcal{U}_1^{\text{dn}} \cap \delta_1 \setminus (\alpha_2^* + 1)$ . Easy to check that  $\alpha, \beta$  are as required.

So we have finished proving Theorem 1.1.  $\square_{1.1}$

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