

STRONG PARTITION RELATIONS BELOW THE POWER SET: CONSISTENCY; WAS SIERPINSKI RIGHT? II.

SAHARON SHELAH

ABSTRACT. We continue here [She88] (see the introduction there) but we do not relay on it. The motivation was a conjecture of Galvin stating that $2^\omega \geq \omega_2 + \omega_2 \rightarrow [\omega_1]_{h(n)}^n$ is consistent for a suitable $h : \omega \rightarrow \omega$. In section 5 we disprove this and give similar negative results. In section 3 we prove the consistency of the conjecture replacing ω_2 by 2^ω , which is quite large, starting with an Erdős cardinal. In section 1 we present iteration lemmas which needs when we replace ω by a larger λ and in section 4 we generalize a theorem of Halpern and Lauchli replacing ω by a larger λ .

§ 0. PRELIMINARIES

Let $<_\chi^*$ be a well ordering of $\mathcal{H}(\chi)$, where

$$\mathcal{H}(\chi) = \{x : \text{the transitive closure of } x \text{ has cardinality } < \chi\}$$

agreeing with the usual well-ordering of the ordinals. P (and Q, R) will denote forcing notions, i.e. partial orders with a minimal element $\emptyset = \emptyset_P$.

A forcing notion P is λ -closed if every increasing sequence of members of P of length less than λ has an upper bound.

If $P \in \mathcal{H}(\chi)$, then for a sequence $\bar{p} = \langle p_i : i < \gamma \rangle$ of members of P let

$$\alpha = \alpha_{\bar{p}} = \sup \{j : \{\beta_j : j < j\} \text{ has an upper bound in } P\}$$

and define $\&\bar{p}$, the *canonical upper bound* of \bar{p} , as follows:

- (a) the least upper bound of $\{p_i : i < \alpha\}$ in P if there exists such an element,
- (b) the $<_\chi^*$ -first upper bound of \bar{p} if (a) can't be applied, but there is such,
- (c) p_0 if (a) and (b) fail and $\gamma > 0$,
- (d) \emptyset_P if $\gamma = 0$.

Let $p_0 \& p_1$ be the canonical upper bound of $\langle p_\ell : \ell < 2 \rangle$.

Take $[a]^\kappa = \{b \subseteq a : |b| = \kappa\}$ and $[a]^{<\kappa} = \bigcup_{\theta < \kappa} [a]^\theta$.

For sets of ordinals, A and B , define $H_{A,B}^{\text{OP}}$ as the maximal order preserving bijection between initial segments of A and B , i.e. it is the function with domain $\{\alpha \in A : \text{otp}(\alpha \cap A) < \text{otp}(B)\}$, and $H_{A,B}^{\text{OP}}(\alpha) = \beta$ iff $\alpha \in A, \beta \in B$ and $\text{otp}(\alpha \cap A) = \text{otp}(\beta \cap B)$.

Definition 0.1. $\lambda \rightarrow^+ (\alpha)_\mu^{<\omega}$ holds provided whenever F is a function from $[\lambda]^{<\omega}$ to μ , $C \subseteq \lambda$ is a club then there is $A \subseteq C$ of order type α such that for any $w_1, w_2 \in [A]^{<\omega}$, $|w_1| = |w_2| \Rightarrow F(w_1) = F(w_2)$.

Definition 0.2. $\lambda \rightarrow [\alpha]_{\kappa,\theta}^n$ if for every function F from $[\lambda]^n$ to κ there is $A \subseteq \lambda$ of order type α such that $\{F(w) : w \in [A]^n\}$ has power $\leq \theta$.

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Definition 0.3. A forcing notion P satisfies the Knaster condition (has property K) if for any $\{p_i : i < \omega_1\} \subset P$ there is an uncountable $A \subset \omega_1$ such that the conditions p_i and p_j are compatible whenever $i, j \in A$.

§ 1. INTRODUCTION

Concerning 1.1–1.3 see Shelah [She78], Shelah and Stanley [SS82], [SS86].

Definition 1.1. A forcing notion Q satisfies $*_{\mu}^{\varepsilon}$ where ε is a limit ordinal $< \mu$, if player I has a winning strategy in the following game:

Playing: the play finishes after ε moves.

in the α^{th} move:

Player I – if $\alpha \neq 0$ he chooses $\langle q_{\zeta}^{\alpha} : \zeta < \mu^+ \rangle$ such that $q_{\zeta}^{\alpha} \in Q$ and

$$(\forall \beta < \alpha)(\forall \zeta < \mu^+) p_{\zeta}^{\beta} \leq q_{\zeta}^{\alpha}$$

and he chooses a regressive function $f_{\alpha} : \mu^+ \rightarrow \mu^+$ (i.e. $f_{\alpha}(i) < 1+i$).

If $\alpha = 0$ let $q_{\zeta}^{\alpha} = \emptyset_Q$, $f_{\alpha} = \emptyset$.

Player II – he chooses $\langle p_{\zeta}^{\alpha} : \zeta < \mu^+ \rangle$ such that $q_{\zeta}^{\alpha} \leq p_{\zeta}^{\alpha} \in Q$.

The outcome: Player I wins provided whenever $\mu < \zeta < \xi < \mu^+$, $\text{cf}(\zeta) = \text{cf}(\xi) = \mu$ and $\wedge_{\beta < \varepsilon} f_{\beta}(\zeta) = f_{\beta}(\xi)$ the set $\{p_{\zeta}^{\alpha} : \alpha < \varepsilon\} \cup \{p_{\xi}^{\alpha} : \alpha < \varepsilon\}$ has an upper bound in Q .

Definition 1.2. We call $\langle P_i, Q_j : i \leq i(*), j < i(*) \rangle$ a $*_{\mu}^{\varepsilon}$ -iteration provided that:

- (a) it is a $(< \mu)$ -support iteration (μ is a regular cardinal)
- (b) if $i_1 < i_2 \leq i(*)$, $\text{cf}(i_1) \neq \mu$ then P_{i_2}/P_{i_1} satisfies $*_{\mu}^{\varepsilon}$.

Lemma 1.3. If $\bar{Q} = \langle P_i, Q_j : i \leq i(*), j < i(*) \rangle$ is a $(< \mu)$ -support iteration, (a) or (b) or (c) below hold, then it is a $*_{\mu}^{\varepsilon}$ -iteration.

- (a) $i(*)$ is limit and $\bar{Q} \upharpoonright j(*)$ is a $*_{\mu}^{\varepsilon}$ -iteration for every $j(*) < i(*)$.
- (b) $i(*) = j(*) + 1$, $\bar{Q} \upharpoonright j(*)$ is a $*_{\mu}^{\varepsilon}$ -iteration and $Q_{j(*)}$ satisfies $*_{\mu}^{\varepsilon}$ in $V^{P_{j(*)}}$.
- (c) $i(*) = j(*) + 1$, $\text{cf}j(*) = \mu^+$, $\bar{Q} \upharpoonright j(*)$ is a $*_{\mu}^{\varepsilon}$ -iteration and for every successor $i < j(*)$, $P_{i(*)}/P_i$ satisfies $*_{\mu}^{\varepsilon}$.

Proof. Left to the reader (after reading [Sh80] or [ShSt154a]).

Theorem 1.4. Suppose $\mu = \mu^{<\mu} < \chi < \lambda$, and λ is a strongly inaccessible k_2^2 -Mahlo cardinal, where k_2^2 is a suitable natural number (see 3.6(2) of [Sh289]), and assume $V = L$ for the simplicity. Then for some forcing notion P :

- (a) P is μ -complete, satisfies the μ^+ -c.c., has cardinality λ , and $V^P \models "2^{\mu} = \lambda"$.
- (b) $\Vdash_P \lambda \rightarrow [\mu^+]_3^2$ and even $\lambda \rightarrow [\mu^+]_{\kappa,2}^2$ for $\kappa < \mu$.
- (c) if $\mu = \aleph_0$ then $\Vdash_P \text{“MA}_{\chi}$ ”.
- (d) if $\mu > \aleph_0$ then: \Vdash_P “for every forcing notion Q of cardinality $\leq \chi$, μ -complete satisfying $*_{\mu}^{\varepsilon}$, and for any dense sets $D_i \subseteq Q$ for $i < i_0 < \lambda$, there is a directed $G \subseteq Q$, $\wedge_i G \cap D_i \neq \emptyset$ ”.

As the proof is very similar to [She88], (particularly after reading section 3) we do not give details. We shall define below just the systems needed to complete the proof. More general ones are implicit in [Sh289].

Convention 1.5. We fix a one to one function $Cd = Cd_{\lambda,\mu}$ from $\mu^{>}\lambda$ onto λ .

Remark 1.6. Below we could have $\text{otp}(B_x) = \mu^+ + 1$ with little change.

Definition 1.7. Let $\mu < \chi < \kappa \leq \lambda$, $\lambda = \lambda^{<\mu}$, $\chi = \chi^{<\mu}$, $\mu = \mu^{<\mu}$.

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- 1) We call x a $(\lambda, \kappa, \chi, \mu)$ -precandidate if $x = \langle a_u^x : u \in I_x \rangle$ where for some set B_x (unique, in fact):
 - (i) $I_x = \{s : s \subseteq B_x, |s| \leq 2\}$,
 - (ii) B_x is a subset of κ of order type μ^+ ,
 - (iii) a_u^x is a subset of λ of cardinality $\leq \chi$ closed under Cd ,
 - (iv) $a_u^x \cap B_x = u$,
 - (v) $a_u^x \cap a_v^x \subseteq a_{u \cap v}^x$,
 - (vi) if $u, v \in I_x, |u| = |v|$ then a_u^x and a_v^x have the same order type (and so $H_{a_u^x, a_v^x}^{\text{OP}}$ maps a_u^x onto a_v^x),
 - (vii) if $u_\ell, v_\ell \in I_x$ for $\ell = 1, 2, |u_1| = |v_1|, |u_2| = |v_2|, |u_1 \cup u_2| = |v_1 \cup v_2|$, $H_{a_{u_1}^x \cup a_{u_2}^x, a_{v_1}^x \cup a_{v_2}^x}^{\text{OP}}$ maps u_ℓ onto v_ℓ for $\ell = 1, 2$ then $H_{a_{u_1}^x, a_{v_1}^x}^{\text{OP}}$ and $H_{a_{u_2}^x, a_{v_2}^x}^{\text{OP}}$ are compatible.
- 2) We say x is a $(\lambda, \kappa, \chi, \mu)$ -candidate if it has the form $\langle M_u^x : u \in I_x \rangle$ where
 - (α)
 - (i) $\langle |M_u^x| : u \in I_x \rangle$ is a $(\lambda, \kappa, \chi, \mu)$ -precandidate (with B_x defined as $\bigcup I_x$)
 - (ii) L_x is a vocabulary with $(\leq \chi)$ -many ($< \mu$)-ary place predicates and function symbols,
 - (iii) each M_u^x is an L_x -model,
 - (iv) for $u, v \in I_x, |u| = |v|$, $M_u^x \upharpoonright (|M_u^x| \cap |M_v^x|)$ is a model, and in fact an elementary submodel of M_v^x , M_u^x and $M_{u \cap v}^x$.
 - (β) for $u, v \in I_x, |u| = |v|$, the function $H_{|M_u^x|, |M_v^x|}^{\text{OP}}$ is an isomorphism from M_u^x onto M_v^x .
- 3) The set \mathfrak{A} is a $(\lambda, \kappa, \chi, \mu)$ -system if
 - (A) each $x \in \mathfrak{A}$ is a $(\lambda, \kappa, \chi, \mu)$ -candidate,
 - (B) guessing: if L is as in (2)(α)(ii), M^* is an L -model with universe λ then for some $x \in \mathfrak{A}, s \in B_x \Rightarrow M_s^x \prec M^*$.

Definition 1.8. 1) We call the system \mathfrak{A} disjoint when:

- (*) if $x \neq y$ are from \mathfrak{A} and $\text{otp}(|M_\emptyset^x|) \leq \text{otp}(|M_\emptyset^y|)$ then for some $B_1 \subseteq B_x, B_2 \subseteq B_y$ we have
- a) $|B_1| + |B_2| < \mu^+$
 - b) the sets

$$\bigcup \{|M_s^x| : s \in [B_x \setminus B_1]^{\leq 2}\} \text{ and } \bigcup \{|M_s^y| : s \in [B_y \setminus B_2]^{\leq 2}\}$$

have intersection $\subseteq M_\emptyset^y$.

2) We call the system \mathfrak{A} almost disjoint when:

- (**) if $x, y \in \mathfrak{A}, \text{otp}(|M_\emptyset^x|) \leq \text{otp}(|M_\emptyset^y|)$ then for some $B_1 \subseteq B_x, B_2 \subseteq B_y$ we have:
- a) $|B_1| + |B_2| < \mu^+$,
 - b) if $s \in [B_x \setminus B_1]^{\leq 2}, t \in [B_y \setminus B_2]^{\leq 2}$ then $|M_s^x| \cap |M_t^y| \subseteq |M_\emptyset^y|$.

§ 2. INTRODUCING THE PARTITION ON TREES

Definition 2.1. Let

- 1) $\text{Per}(\mu > 2)$ be the set of T such that
 - (a) $T \subseteq \mu > 2, \langle \rangle \in T$,
 - (b) $(\forall \eta \in T) (\forall \alpha < \ell g(\eta)) \eta \upharpoonright \alpha \in T$,
 - (c) if $\eta \in T \cap \alpha 2, \alpha < \beta < \mu$ then for some $\nu \in T \cap \beta 2, \eta \triangleleft \nu$,
 - (d) if $\eta \in T$ then for some ν we have $\eta \triangleleft \nu, \nu \hat{\ } \langle 0 \rangle \in T, \nu \hat{\ } \langle 1 \rangle \in T$
 - (e) if $\eta \in \delta 2, \delta < \mu$ is a limit ordinal and $\{\eta \upharpoonright \alpha : \alpha < \delta\} \subseteq T$ then $\eta \in T$.

2) $\text{Per}_f(\mu > 2) =$

$$\left\{ T \in \text{Per}(\mu > 2) : \alpha < \mu, \nu_1, \nu_2 \in {}^\alpha 2 \cap T \Rightarrow \left[\bigwedge_{\ell=0}^1 \nu_1 \hat{\langle \ell \rangle} \in T \iff \bigwedge_{\ell=0}^1 \nu_2 \hat{\langle \ell \rangle} \in T \right] \right\}.$$

3) $\text{Per}_u(\mu > 2) =$

$$\left\{ T \in \text{Per}(\mu > 2) : \alpha < \mu, \nu_1 \neq \nu_2 \text{ from } {}^\alpha 2 \cap T, \text{ then } \bigvee_{\ell=0}^1 \bigvee_{m=1}^2 \nu_m \hat{\langle \ell \rangle} \notin T \right\}$$

4) For $T \in \text{Per}(\mu > 2)$ let $\lim T = \{ \eta \in {}^\mu 2 : (\forall \alpha < \mu) \eta \upharpoonright \alpha \in T \}$.

5) For $T \in \text{Per}_f(\mu > 2)$ let $\text{clp}_T : T \rightarrow \mu > 2$ be the unique one-to-one function from $\text{sp}(T) = \{ \eta \in T : \eta \hat{\langle 0 \rangle}, \eta \hat{\langle 1 \rangle} \in T \}$ onto $\mu > 2$, which preserves \triangleleft and lexicographic order.

6) Let $\text{SP}(T) = \{ \ell g(\eta) : \eta \in \text{sp}(T) \}$, where

$$\text{sp}(\eta, \nu) = \min \{ i : \eta(i) \neq \nu(i) \vee i = \ell g(\eta) \vee i = \ell g(\nu) \}$$

Definition 2.2. 1) For cardinals μ, σ and $n < \omega$ and $T \in \text{Per}(\mu > 2)$ let

$$\text{Col}_\sigma^n(T) = \{ d : d \text{ is a function from } \bigcup_{\alpha < \mu} [{}^\alpha 2]^n \cap T \text{ to } \sigma \}. \text{ We will write}$$

$$d(\nu_0, \dots, \nu_{n-1}) \text{ for } d(\{ \nu_0, \dots, \nu_{n-1} \}).$$

2) Let $\langle \cdot \rangle_\alpha^*$ denote a well ordering of ${}^\alpha 2$ (in this section it is arbitrary). We call $d \in \text{Col}_\sigma^n(T)$ end-homogeneous for $\langle \cdot \rangle_\alpha^* : \alpha < \mu$ provided that: if $\alpha < \beta$ are from $\text{SP}(T)$, $\{ \nu_0, \dots, \nu_{n-1} \} \subseteq {}^\beta 2 \cap T$, $\langle \nu_\ell \upharpoonright \alpha : \ell < n \rangle$ are pairwise distinct and $\bigwedge_{\ell, m} [\nu_\ell \langle \cdot \rangle_\beta^* \nu_m \iff \nu_\ell \upharpoonright \alpha \langle \cdot \rangle_\alpha^* \nu_m \upharpoonright \alpha]$ then

$$d(\nu_0, \dots, \nu_{n-1}) = d(\nu_0 \upharpoonright \alpha, \dots, \nu_{n-1} \upharpoonright \alpha).$$

3) Let $\text{EhCol}_\sigma^n(T) = \{ d \in \text{Col}_\sigma^n(T) : d \text{ is end-homogeneous} \}$
(for some $\langle \cdot \rangle_\alpha^* : \alpha < \mu$).

4) For $\nu_0, \dots, \nu_{n-1}, \eta_0, \dots, \eta_{n-1}$ from $\mu > 2$, we say $\bar{\nu} = \langle \nu_0, \dots, \nu_{n-1} \rangle$ and $\bar{\eta} = \langle \eta_0, \dots, \eta_{n-1} \rangle$ are strongly similar for $\langle \cdot \rangle_\alpha^* : \alpha < \mu$ if:

- (i) $\ell g(\nu_\ell) = \ell g(\eta_\ell)$
- (ii) $\text{sp}(\nu_\ell, \nu_m) = \text{sp}(\eta_\ell, \eta_m)$ ($= \eta_\ell \cap \eta_m$)
- (iii) if $\ell_1, \ell_2, \ell_3, \ell_4 < n$ and $\alpha = \text{sp}(\nu_{\ell_1}, \nu_{\ell_2})$ then

$$\nu_{\ell_3} \upharpoonright \alpha \langle \cdot \rangle_\alpha^* \nu_{\ell_4} \upharpoonright \alpha \iff \eta_{\ell_3} \upharpoonright \alpha \langle \cdot \rangle_\alpha^* \eta_{\ell_4} \upharpoonright \alpha \text{ and } \nu_{\ell_3}(\alpha) = \eta_{\ell_3}(\alpha)$$

5) For $\nu_0^a, \dots, \nu_{n-1}^a, \nu_0^b, \dots, \nu_{n-1}^b$ from $\mu > 2$ we say $\bar{\nu}^a = \langle \nu_0^a, \dots, \nu_{n-1}^a \rangle$ and $\bar{\nu}^b = \langle \nu_0^b, \dots, \nu_{n-1}^b \rangle$ are similar if the truth values of (i)–(iii) below do not depend on $t \in \{a, b\}$ for any $\ell(1), \ell(2), \ell(3), \ell(4) < n$:

- (i) $\ell g(\nu_{\ell(1)}^t) < \ell g(\nu_{\ell(2)}^t)$
- (ii) $\text{sp}(\nu_{\ell(1)}^t, \nu_{\ell(2)}^t) < \text{sp}(\nu_{\ell(3)}^t, \nu_{\ell(4)}^t)$
- (iii) for $\alpha = \text{sp}(\nu_{\ell(1)}^t, \nu_{\ell(2)}^t)$, the truth value of the following does not depend on ℓ :

$$\nu_{\ell(3)}^t \upharpoonright \alpha \langle \cdot \rangle_\alpha^* \nu_{\ell(4)}^t \upharpoonright \alpha \text{ and } \nu_{\ell(3)}^t(\alpha) = 0.$$

6) We say $d \in \text{Col}_\sigma^n(T)$ is almost homogeneous [homogeneous] on $T_1 \subseteq T$ (for $\langle \cdot \rangle_\alpha^* : \alpha < \mu$) if for every $\alpha \in \text{SP}(T_1)$, $\bar{\nu}, \bar{\eta} \in [{}^\alpha 2]^n \cap T_1$ which are strongly similar [similar] we have $d(\bar{\nu}) = d(\bar{\eta})$.

7) We say $\langle \cdot \rangle_\alpha^* : \alpha < \mu$ is nice to $T \in \text{Per}(\mu > 2)$, provided that: if $\alpha < \beta$ are from $\text{SP}(T)$, $(\alpha, \beta) \cap \text{SP}(T) = \emptyset$, $\eta_1 \neq \eta_2 \in {}^\beta 2 \cap T$, $[\eta_1 \upharpoonright \alpha \langle \cdot \rangle_\alpha^* \eta_2 \upharpoonright \alpha \text{ or } \eta_1 \upharpoonright \alpha = \eta_2 \upharpoonright \alpha, \eta_1(\alpha) < \eta_2(\alpha)]$ then $\eta_1 \langle \cdot \rangle_\beta^* \eta_2$.

Definition 2.3. 1) $\text{Pr}_{\text{eht}}(\mu, n, \sigma)$ means: for every $d \in \text{Col}_\sigma^n(\mu > 2)$ for some $T \in \text{Per}(\mu > 2)$, d is end homogeneous on T .

- 2) $\text{Pr}_{\text{aht}}(\mu, n, \sigma)$ means for every $d \in \text{Col}_\sigma^n(\mu > 2)$ for some $T \in \text{Per}(\mu > 2)$, d is almost homogeneous on T .
- 3) $\text{Pr}_{\text{ht}}(\mu, n, \sigma)$ means for every $d \in \text{Col}_\sigma^n(\mu > 2)$ for some $T \in \text{Per}(\mu > 2)$, d is homogeneous on T .
- 4) For $x \in \{\text{eht}, \text{aht}, \text{ht}\}$, $\text{Pr}_x^f(\mu, n, \sigma)$ is defined like $\text{Pr}_x(\mu, n, \sigma)$ but we demand $T \in \text{Per}_f(\mu > 2)$.
- 5) If above we replace eht , aht , ht by ehtn , ahtn , htn , respectively, this means $\langle \alpha^* : \alpha < \mu \rangle$ is fixed a priori.
- 6) Replacing n by " $< \kappa$ ", σ by $\bar{\sigma} = \langle \sigma_\ell : \ell < \kappa \rangle$ for $\kappa \leq \aleph_0$, means that $\langle d_n : n < \kappa \rangle$ are given, $d_n \in \text{Col}_\sigma^n(\mu > 2)$ and the conclusion holds for all d_n with $n < \kappa$ simultaneously. Replacing " σ " by " $< \sigma$ " means that the assertion holds for every $\sigma_1 < \sigma$.

Definition 2.4. 1) $\text{Pr}_{\text{aht}}(\mu, n, \sigma(1), \sigma(2))$ means: for every $d \in \text{Col}_{\sigma(1)}^n(\mu > 2)$, for some $T \in \text{Per}(\mu > 2)$ and $\langle \alpha^* : \alpha < \mu \rangle$ for every $\bar{\eta} \in \bigcup \{[\alpha 2]^n \cap T : \alpha \in \text{SP}(T)\}$,

$$\left\{ d(\bar{\nu}) : \bar{\nu} \in \bigcup \{[\alpha 2]^n \cap T_1 : \alpha \in \text{SP}(T_1)\}, \bar{\eta} \text{ and } \bar{\nu} \text{ are strongly similar for } \langle \alpha^* : \alpha < \mu \rangle \right\}$$

has cardinality $< \sigma(2)$.

- 2) $\text{Pr}_{\text{ht}}(\mu, n, \sigma(1), \sigma(2))$ is defined similarly with "similar" instead of "strongly similar".
- 3) $\text{Pr}_x(\mu, < \kappa, \langle \sigma_\ell^1 : \ell < \kappa \rangle, \langle \sigma_\ell^2 : \ell < \kappa \rangle)$, $\text{Pr}_x^f(\mu, n, \sigma(1), \sigma(2))$, $\text{Pr}_x^f(\mu, < \aleph_0, \bar{\sigma}^1, \bar{\sigma}^2)$ are defined in the same way.

There are many obvious implications.

Fact 2.5. 1) For every $T \in \text{Per}(\mu > 2)$ there is a $T_1 \subseteq T$, $T_1 \in \text{Per}_u(\mu > 2)$.

- 2) In defining $\text{Pr}_x^f(\mu, n, \sigma)$ we can demand $T \subseteq T_0$ for any $T_0 \in \text{Per}_f(\mu > 2)$, similarly for $\text{Pr}_x^f(\mu, < \kappa, \sigma)$.
- 3) The obvious monotonicity holds.

Claim 2.6. 1) Suppose μ is regular, $\sigma \geq \aleph_0$ and $\text{Pr}_{\text{eht}}^f(\mu, n, < \sigma)$. Then $\text{Pr}_{\text{aht}}^f(\mu, n, < \sigma)$ holds.

- 2) If μ is weakly compact and $\text{Pr}_{\text{aht}}^f(\mu, n, < \sigma)$, $\sigma < \mu$, then $\text{Pr}_{\text{ht}}^f(\mu, n, < \sigma)$ holds.
- 3) If μ is Ramsey and $\text{Pr}_{\text{aht}}^f(\mu, < \aleph_0, < \sigma)$, $\sigma < \mu$, then $\text{Pr}_{\text{ht}}^f(\mu, < \aleph_0, < \sigma)$.
- 4) If $\mu = \omega$, in the "nice" version, the orders $\langle \alpha^* : \alpha < \mu \rangle$ disappear.

Proof. We induct on n ; for $n + 1$ and given $d_{n+1} : \bigcup \{[\alpha 2]^{n+1} : \alpha < \mu\} \rightarrow \sigma$ and $\bar{z}^{n+1} = \langle \alpha^{n+1} : \alpha < \mu \rangle$, we apply $\text{Pr}_{\text{eht}}^f(\mu, n, < \sigma)$. We get T .

Let $f = \text{clp}_T : T \rightarrow \mu > 2$ be as in 2.1(5). Define $\bar{z}^* = \langle \alpha^{*n} : \alpha < \mu \rangle$ and d_n as follows:

(A) for $\alpha < \mu$ and $\eta_0, \eta_1 \in \alpha 2$, $\text{clp}_T(\nu_\ell) = \eta_\ell$, $\ell g(\nu_\ell) = \beta$ then

$$\eta_0 <_\alpha^n \eta_1 \iff \nu_0 <_\alpha^{n+1} \nu_1$$

(B) for $\alpha < \mu$ and $\eta_0 <_\alpha^n \dots <_\alpha^n \eta_{m-1}$, $\text{clp}_T(\nu_\ell) = \eta_\ell$, $\ell g(\nu_\ell) = \beta$ and for $k < n$, $\rho < 2$ we have $\nu_k \hat{\langle} \ell \rangle \triangleleft \rho_{k,\ell} \in \text{sp}(T_{n+1}) \cap \gamma 2$. If γ minimal then $d_n(\{\eta_0, \dots, \eta_{n-1}\})$ codes the set of the following objects **t**:

- For some $\gamma > \alpha$ there are $\rho_{k,\ell} \in \text{sp}(T_{n+1}) \cap \gamma 2$ such that $\nu_k \hat{\langle} \ell \rangle \trianglelefteq \rho_{k,\ell}$ for $k < n$, $\ell < 2$ and **t** codes all the information on the sequence $\langle \rho_{k,\ell} : k < n, \ell < 2 \rangle$ (i.e. the order $<_\gamma^{n+1}$ and instances of \mathbf{d}_{n+1}). \square

The following theorem is a quite strong positive result for $\mu = \omega$. Halpern Lauchli proved 2.7(1), Laver proved 2.7(2) (and hence (3)), Pincus pointed out that Halpern Lauchli's proof can be modified to get 2.7(2), and then $\text{Pr}_{\text{eht}}^f(\omega, n, < \sigma)$ and (by it) $\text{Pr}_{\text{ht}}^f(\omega, n, < \sigma)$ are easy. [No idea why this is all in italics]

Theorem 2.7. 1) If $d \in \text{Col}_\sigma^{\omega > 2}$, $\sigma < \aleph_0$, then there are $T_0, \dots, T_{n-1} \in \text{Per}_f^{\omega > 2}$ and $k_0 < k_1 < \dots < k_\ell < \dots$ and $s < \sigma$ such that for every $\ell < \omega$, if $\mu_0 \in T_0, \mu_1 \in T_1, \dots, \mu_{n-1} \in T_{n-1}$, $\bigwedge_{m < n} \ell g(\nu_m) = k_\ell$, then $d(\nu_0, \dots, \nu_{n-1}) = s$.

2) We can demand in 1) that

$$\text{SP}(T_\ell) = \{k_0, k_1, \dots\}$$

3) $\text{Pr}_{htn}^f(\omega, n, \sigma)$ for $\sigma < \aleph_0$.

4) $\text{Pr}_{htn}^f(\omega, < \aleph_0, \langle \sigma_n^1 : n < \omega \rangle, \langle \sigma_n^2 : n < \omega \rangle)$ if $\sigma_n^1 < \aleph_0$ and $\langle \sigma_n^2 : n < \omega \rangle$ diverge to infinity.

Definition 2.8. Let d be a function with domain $\supseteq [A]^n$, A be a set of ordinals, F be a one-to-one function from A to ${}^{\alpha(*)}2$, $<_\alpha^*$ be a well ordering of ${}^\alpha 2$ for $\alpha \leq \alpha(*)$ such that $F(\alpha) <_\alpha^* F(\beta) \iff \alpha < \beta$, and σ be a cardinal.

1) We say d is (F, σ) -canonical on A if for any $\alpha_1 < \dots < \alpha_n \in A$,

$$\left| \left\{ d(\beta_1, \dots, \beta_n) : \langle F(\beta_1), \dots, F(\beta_n) \rangle \text{ similar to } \langle F(\alpha_1), \dots, F(\alpha_n) \rangle \right\} \right| \leq \sigma$$

2) We define “almost (F, σ) -canonical” similarly using strongly similar instead of “similar”.

§ 3. CONSISTENCY OF A STRONG PARTITION BELOW THE CONTINUUM; IRRELEVANT

This section is dedicated to the proof of

Theorem 3.1. Suppose λ is the first Erdős cardinal, i.e. the first such that $\lambda \rightarrow (\omega_1)_{2}^{< \omega}$. Then, if A is a Cohen subset of λ , in $V[A]$ for some \aleph_1 -c.c. forcing notion P of cardinality λ , \Vdash_P “ $\text{MA}_{\aleph_1}(\text{Knaster}) + 2^{\aleph_0} = \lambda$ ” and:

1.) \Vdash_P “ $\lambda \rightarrow [\aleph_1]_{h(n)}^n$ ” for suitable $h : \omega \mapsto \omega$ (explicitly defined below).

2.) In V^P , for any colorings d_n of λ where d_n is n -place, and for any divergent $\langle \sigma_n : n < \omega \rangle$ (see below), there is a $W \subseteq \lambda$, $|W| = \aleph_1$ and a function $F : W \rightarrow {}^\omega 2$ such that d_n is (F, σ_n) -canonical on W for each n . (See definition 2.8 above.)

Remark 3.2. $h(n)$ is $n!$ times the number of $u \in [{}^\omega 2]^n$ satisfying (if $\eta_1, \eta_2, \eta_3, \eta_4 \in u$ are distinct then $\text{sp}(\eta_1, \eta_2)$, $\text{sp}(\eta_3, \eta_4)$ are distinct) up to strong similarity for any nice $\langle <_\alpha^* : \alpha < \omega \rangle$.

2) A sequence $\langle \sigma_n : n < \omega \rangle$ is divergent if $\forall m : \exists k : \forall n \geq k : \sigma_n \geq m$.

Notation 3.3. For a sequence $a = \langle \alpha_i, e_i^* : i < \alpha \rangle$, we call $b \subseteq \alpha$ closed if

- (i) $i \in b \Rightarrow a_i \subseteq b$
- (ii) if $i < \alpha$, $e_i^* = 1$ and $\text{sup}(b \cap i) = i$ then $i \in b$.

Definition 3.4. Let \mathfrak{K} be the family of $\bar{Q} = \langle P_i, Q_j, a_j, e_j^* : j < \alpha, i \leq \alpha \rangle$ such that

- (a) $a_i \subseteq i$, $|a_i| \leq \aleph_1$,
- (b) a_i is closed for $\langle a_j, e_j^* : j < i \rangle$, $e_i^* \in \{0, 1\}$, and $[e_i^* = 1 \Rightarrow \text{cf}i = \aleph_1]$
- (c) P_i is a forcing notion, Q_j is a P_j -name of a forcing notion of power \aleph_1 with minimal element \emptyset or \emptyset_j and for simplicity the underlying set of Q_j is $\subseteq [{}^\omega 1]^{< \aleph_0}$ (we do not lose by this).
- (d) $P_\beta = \{p : p \text{ is a function whose domain is a finite subset of } \beta \text{ and for } i \in \text{dom}(p), \Vdash_{P_i} “f(i) \in Q_i”\}$ with the order $p \leq q$ if and only if for $i \in \text{dom}(p)$, $q \upharpoonright i \Vdash_{P_i} “p(i) \leq q(i)”$.
- (e) for $j < i$, Q_j is a P_j -name involving only antichains contained in $\{p \in P_j : \text{dom}(p) \subseteq a_j\}$.

For $p \in P_i$, $j < i$, $j \notin \text{dom}p$ we let $p(j) = \emptyset$. Note for $p \in P_i$, $j \leq i$, $p \upharpoonright j \in P_j$

Definition 3.5. For $\bar{Q} \in \mathfrak{K}$ as above (so $\alpha = \ell g(\bar{Q})$):

1) for any $b \subseteq \beta \leq \alpha$ closed for $\langle a_i, e_i^* : i < \beta \rangle$ we define P_b^{cn} [by simultaneous induction on β]:

$$P_b^{\text{cn}} = \{p \in P_\beta : \text{domp} \subseteq b, \text{ and for } i \in \text{domp}, p(i) \text{ is a canonical name}\}$$

i.e., for any x , $\{p \in P_{a_i}^{\text{cn}} : p \Vdash_{P_i} "p(i) = x"$ or $p \Vdash_{P_i} "p(i) \neq x"$ } is a predense subset of P_i .

2) For \bar{Q} as above, $\alpha = \ell g(\bar{Q})$, take $\bar{Q} \upharpoonright \beta = \langle P_i, Q_j, a_j : i \leq \beta, j < \beta \rangle$ for $\beta \leq \alpha$ and the order is the order in P_α (if $\beta \geq \alpha$, $\bar{Q} \upharpoonright \beta = \bar{Q}$).

3) " b closed for \bar{Q} means " b closed for $\langle a_i, e_i^* : i < \ell g \bar{Q} \rangle$ ".

Fact 3.6. 1) if $\bar{Q} \in \mathfrak{K}$ then $\bar{Q} \upharpoonright \beta \in \mathfrak{K}$.

2) Suppose $b \subseteq c \subseteq \beta \leq \ell g(\bar{Q})$, b and c are closed for $\bar{Q} \in \mathfrak{K}$.

(i) If $p \in P_c^{\text{cn}}$ then $p \upharpoonright b \in P_b^{\text{cn}}$.

(ii) If $p, q \in P_c^{\text{cn}}$ and $p \leq q$ then $p \upharpoonright b \leq q \upharpoonright b$.

(iii) $P_c^{\text{cn}} \circ P_\beta$. 3) $\ell g \bar{Q}$ is closed for \bar{Q} .

4) if $\bar{Q} \in \mathfrak{K}$, $\alpha = \ell g \bar{Q}$ then P_α^{cn} is a dense subset of P_α .

5) If b is closed for \bar{Q} , $p, q \in P_{\ell g \bar{Q}}^{\text{cn}}$, $p \leq q$ in $P_{\ell g \bar{Q}}$ and $i \in \text{domp}$ then $q \upharpoonright a_i \Vdash_{P_i} "p(i) \leq q(i)"$ hence $\Vdash_{P_{a_i}^{\text{cn}}} "p(i) \leq_{Q_i} q(i)"$.

Definition 3.7. Suppose $W = (W, \leq)$ is a finite partial order and $\bar{Q} \in \mathfrak{K}$.

1) $IN_W(\bar{Q})$ is the set of \bar{b} -s satisfying (α) – (γ) below:

(α) $\bar{b} = \langle b_w : w \in W \rangle$ is an indexed set of \bar{Q} -closed subsets of $\ell g(\bar{Q})$,

(β) $W \models "w_1 \leq w_2" \Rightarrow b_{w_1} \subseteq b_{w_2}$,

(γ) $\zeta \in b_{w_1} \cap b_{w_2}$, $w_1 \leq w$, $w_2 \leq w$ then $(\exists u \in W) \zeta \in b_u \wedge u \leq w_1 \wedge u \leq w_2$.

We assume \bar{b} codes (W, \leq) .

2) For $\bar{b} \in IN_W(\bar{Q})$, let

$$\bar{Q}[\bar{b}] = \{ \langle p_w : w \in W \rangle : p_w \in P_{b_w}^{\text{cn}}, [W \models w_1 \leq w_2 \Rightarrow p_{w_2} \upharpoonright b_{w_1} = p_{w_1}] \}$$

with ordering $\bar{Q}[\bar{b}] \models \bar{p}^1 \leq \bar{p}^2$ iff $\bigwedge_{w \in W} p_w^1 \leq p_w^2$.

3) Let \mathfrak{K}^1 be the family of $\bar{Q} \in \mathfrak{K}$ such that for every $\beta \leq \ell g(\bar{Q})$ and $(\bar{Q} \upharpoonright \beta)$ -closed b, P_β and P_β/P_b^{cn} satisfy the Knaster condition.

Fact 3.8. Suppose $\bar{Q} \in \mathfrak{K}^1$, (W, \leq) is a finite partial order, $\bar{b} \in IN_W(\bar{Q})$ and $\bar{p} \in \bar{Q}[\bar{b}]$.

1) If $w \in W$, $p_w \leq q \in P_{b_w}^{\text{cn}}$ then there is $\bar{r} \in \bar{Q}[\bar{b}]$, $q \leq r_w$, $\bar{p} \leq \bar{r}$, in fact

$$r_u(\gamma) = \begin{cases} p_u(\gamma) & \text{if } \gamma \in \text{Dom } p_u \setminus \text{Dom } q \\ p_u(\gamma) \ \& \ q(\gamma) & \text{if } \gamma \in b_u \cap \text{Dom } q \text{ and for some } v \in W, v \leq u, \\ & v \leq w \text{ and } \gamma \in b_v \\ p_u(\gamma) & \text{if } \gamma \in b_u \cap \text{dom } q \text{ but the previous case fails} \end{cases}$$

2) Suppose (W_1, \leq) is a submodel of (W_2, \leq) , both finite partial orders, $\bar{b}^1 \in IN_{W_1}(\bar{Q})$, $\bar{b}_w^1 = \bar{b}_w^2$ for $w \in W_1$.

(α) If $\bar{q} \in \bar{Q}[\bar{b}^2]$ then $\langle q_w : w \in W_1 \rangle \in \bar{Q}[\bar{b}^1]$.

(β) If $\bar{p} \in \bar{Q}[\bar{b}^1]$ then there is $\bar{q} \in \bar{Q}[\bar{b}^2]$, $\bar{q} \upharpoonright W_1 = \bar{p}$, in fact $q_w(\gamma)$ is $p_u(\gamma)$ if $u \in W_1$, $\gamma \in b_u$, $u \leq w$, provided that

$(**)$ if $w_1, w_2 \in W_1$, $w \in W_2$, $w_1 \leq w$, $w_2 \leq w$ and $\zeta \in b_{w_1} \cap b_{w_2}$ then for some $v \in W_1$, $\zeta \in b_v$, $v \leq w_1$, $v \leq w_2$.

(this guarantees that if there are several u 's as above we shall get the same value).

3) If $\bar{Q} \in \mathfrak{K}^1$ then $\bar{Q}[\bar{b}]$ satisfies the Knaster condition. If \emptyset is the minimal element of W (i.e. $u \in W \Rightarrow W \models \emptyset \leq u$) then $\bar{Q}[\bar{b}]/P_{b_\emptyset}^{\text{cn}}$ also satisfies the Knaster condition and so $\circ \bar{Q}[\bar{b}]$, when we identify $p \in P_b^{\text{cn}}$ with $\langle p : w \in W \rangle$.

Proof. 1) It is easy to check that each $r_u(\gamma)$ is in $P_{b_u}^{\text{cn}}$. So, in order to prove $\bar{r} \in \bar{Q}[\bar{b}]$, we assume $W \models u_1 \leq u_2$ and has to prove that $r_{u_2} \upharpoonright b_{u_1} = r_{u_1}$. Let $\zeta \in b_{u_1}$.

First case: $\zeta \notin \text{Dom}(p_{u_1}) \cup \text{Dom}q$.

So $\zeta \notin \text{Dom}(r_{u_1})$ (by the definition of r_{u_1}) and $\zeta \notin \text{Dom}p_{u_2}$ (as $\bar{p} \in \bar{Q}[\bar{b}]$) hence $\zeta \notin (\text{Dom}p_{u_2}) \cup (\text{Dom}q)$ hence $\zeta \notin \text{Dom}(r_{u_2})$ by the choice of r_{u_2} , so we have finished.

Second case: $\zeta \in \text{Dom}p_{u_1} \setminus \text{Dom}q$.

As $\bar{p} \in \bar{Q}[\bar{b}]$ we have $p_{u_1}(\zeta) = p_{u_2}(\zeta)$, and by their definition, $r_{u_1}(\zeta) = p_{u_1}(\zeta)$, $r_{u_2}(\zeta) = p_{u_2}(\zeta)$.

Third case: $\zeta \in \text{Dom}q$ and $(\exists v \in W) (\zeta \in b_v \wedge v \leq u_1 \wedge v \leq w)$. By the definition of $r_{u_1}(\zeta)$, we have $r_{u_1}(\zeta) = p_{u_1}(\zeta) \& q(\zeta)$, also the same v witnesses $r_{u_2}(\zeta) = p_{u_2}(\zeta) \& q(\zeta)$, (as $\zeta \in b_v \wedge v \leq u_1 \wedge v \leq w \Rightarrow \zeta \in b_v \wedge v \leq u_2 \wedge v \leq w$) and of course $p_{u_1}(\zeta) = p_{u_2}(\zeta)$ (as $\bar{p} \in \bar{Q}[\bar{b}]$).

Fourth case: $\zeta \in \text{Dom}q$ and $\neg(\exists v \in W) (\zeta \in b_v \wedge v \leq u_1 \wedge v \leq w)$.

By the definition of $r_{u_1}(\zeta)$ we have $r_{u_1}(\zeta) = p_{u_1}(\zeta)$. It is enough to prove that $r_{u_2}(\zeta) = p_{u_2}(\zeta)$ as we know that $p_{u_1}(\zeta) = p_{u_2}(\zeta)$ (because $\bar{p} \in \bar{Q}[\bar{b}]$, $u_1 \leq u_2$). If not, then for some $v_0 \in W$, $\zeta \in b_{v_0} \wedge v_0 \leq u_2 \wedge v_0 \leq w$. But $\bar{b} \in \text{IN}_W(\bar{Q})$, hence (see Def. 3.7(1) condition (γ) applied with ζ , w_1 , w_2 , w there standing for ζ , v_0 , u_1 , u_2 here) we know that for some $v \in W$, $\zeta \in v \wedge v \leq v_0 \wedge v \leq u_1$. As (W, \leq) is a partial order, $v \leq v_0$ and $v_0 \leq w$, we can conclude $v \leq w$. So v contradicts our being in the fourth case. So we have finished the fourth case.

Hence we have finished proving $\bar{r} \in \bar{Q}[\bar{b}]$. We also have to prove $q \leq r_w$, but for $\zeta \in \text{Dom}q$ we have $\zeta \in b_w$ (as $q \in P_w^{\text{cn}}$ is on assumption) and $r_w(\zeta) = q(\zeta)$ because $r_w(\zeta)$ is defined by the second case of the definition as $(\exists v \in W) (\zeta \in b_w \wedge v \leq w \wedge v \leq w)$, i.e. $v = w$.

Lastly we have to prove that $\bar{p} \leq \bar{r}$ (in $\bar{Q}[\bar{b}]$). So let $u \in W$, $\zeta \in \text{Dom}p_u$ and we have to prove $r_u \upharpoonright \zeta \Vdash_{P_\zeta} "p_u(\zeta) \leq_{P_\zeta} r_u(\zeta)"$. As $r_u(\zeta)$ is $p_u(\zeta)$ or $p_u(\zeta) \& q(\zeta)$ this is obvious.

2) Immediate.

3) We prove this by induction on $|W|$.

For $|W| = 0$ this is totally trivial.

For $|W| = 1, 2$ this is assumed.

For $|W| > 2$ fix $\bar{p}^i \in \bar{Q}[\bar{b}]$ for $i < \omega_1$. Choose a maximal element $v \in W$ and let $c = \bigcup \{b_w : W \models w < v\}$. Clearly c is closed for \bar{Q} .

We know that P_c^{cn} , $P_{b_v}^{\text{cn}}/P_c^{\text{cn}}$ are Knaster by the induction hypothesis. We also know that $p_v^i \upharpoonright c \in P_c^{\text{cn}}$ for $i < \omega_1$, hence for some $r \in P_c^{\text{cn}}$,

$$r \Vdash "A = \{i < \omega_1 : p_v^i \upharpoonright c \in G_{P_c^{\text{cn}}}\} \text{ is uncountable}"$$

hence

$$\Vdash " \text{there is an uncountable } A^1 \subseteq A \text{ such that } [i, j \in A^1 \Rightarrow p_v^i, p_v^j \text{ are compatible in } P_{b_v}^{\text{cn}}/G_{P_c^{\text{cn}}}] "$$

Fix a P_c^{cn} -name A^1 for such an A^1 .

Let $A^2 = \{i < \omega_1 : \exists q \in P_c^{\text{cn}}, q \Vdash i \in A^1\}$. Necessarily $|A^2| = \aleph_1$, and for $i \in A^2$ there is $q^i \in P_c^{\text{cn}}$, $q^i \Vdash i \in A^1$, and w.l.o.g. $p_v^i \upharpoonright c \leq q^i$. Note that $p_v^i \& q^i \in P_c^{\text{cn}}$.

For $i \in A^2$ let, \bar{r}^i be defined using 3.8(1) (with \bar{p}^i , $p_v^i \& q^i$). Let $W_1 = W \setminus \{v\}$, $\bar{b}' = \langle b_w : w \in W_1 \rangle$.

By the induction hypothesis applied to W_1 , \bar{b}' , $\bar{r}^i \upharpoonright W_1$, for $i \in A^2$ there is an uncountable $A^3 \subseteq A^2$ and for $i < j$ in A^3 , there is $\bar{r}^{i,j} \in \bar{Q}[\bar{b}']$, $\bar{r}^i \upharpoonright W_1 \leq \bar{r}^{i,j}$, and $\bar{r}^j \upharpoonright W_1 \leq \bar{r}^{i,j}$. Now define $r_c^{i,j} \in P_c^{\text{cn}}$ as follows: its domain is $\bigcup \{\text{dom}r_w^{i,j} : W \models w < v\}$, $r_c^{i,j} \upharpoonright (\text{dom}r_w^{i,j}) = r_w^{i,j}$ whenever $W \models w < v$. Why is this a definition? As if $W \models w_1 \leq v \wedge w_2 \leq v$, $\zeta \in b_{w_1} \wedge \zeta \in b_{w_2}$ then for some $u \in W$, $u \leq w_1 \wedge u \leq w_2$ and $\zeta \in u$. It is easy to check that $r_c^{i,j} \in P_c^{\text{cn}}$. Now $r_c^{i,j} \Vdash_{P_c^{\text{cn}}} "p_{b_v}^i, p_{b_v}^j \text{ are compatible in } P_{b_v}^{\text{cn}}/P_c^{\text{cn}}"$.

So there is $r \in P_{b_v}^{\text{cn}}$ such that $r_c^{i,j} \leq r$, $p_{b_v}^i \leq r$, $p_{b_v}^j \leq r$. As in part (1) of 3.8 we can combine r and $\bar{r}^{i,j}$ to a common upper bound of \bar{p}^i, \bar{p}^j in $\bar{Q}[\bar{b}]$. \square

Claim 3.9. 3.9. If $e = 0, 1$ and δ is a limit ordinal, and $P_i, Q_i, \alpha_i, e_i^* (i < \delta)$ are such that for each $\alpha < \delta$, $\bar{Q}^\alpha = \langle P_i, Q_j, \alpha_j, e_j^* : i \leq \alpha, j < \alpha \rangle$ belongs to \mathfrak{K}^ℓ , then for a unique $P_\delta, \bar{Q} = \langle P_i, Q_j, \alpha_j, e_j^* : i \leq \delta, j < \delta \rangle$ belongs to \mathfrak{K}^ℓ .

Proof. We define P_δ by (d) of Definition 3.4. The least easy problem is to verify the Knaster conditions (for $\bar{Q} \in \mathfrak{K}^1$). The proof is like the preservation of the c.c.c. under iteration for limit stages. \square

Convention 3.9A. By 3.9 we shall not distinguish strictly between $\langle P_i, Q_j, \alpha_j, e_j^* : i \leq \delta, j < \delta \rangle$ and $\langle P_i, Q_i, \alpha_i, e_i^* : i < \delta \rangle$.

Claim 3.10. If $\bar{Q} \in \mathfrak{K}^\ell$, $\alpha = \text{lg}(\bar{Q})$, $a \subset \alpha$ is closed for \bar{Q} , $|a| \leq \aleph_1$, \bar{Q}_1 is a P_a^{cn} -name of a forcing notion satisfying (in V^{P_a}) the Knaster condition, its underlying set is a subset of $[\omega_1]^{<\aleph_0}$ then there is a unique $\bar{Q}^1 \in \mathfrak{K}^\ell$, $\text{lg}(\bar{Q}_1) = \alpha + 1$, $Q_\alpha^1 = \bar{Q}$, $\bar{Q} \upharpoonright \alpha = \bar{Q}$.

Proof. Left to the reader. \square

Proof. 3.1 **A Stage:** We force by $\mathfrak{K}_{<\lambda}^1 = \{\bar{Q} \in \mathfrak{K}^1 : \text{lg}(\bar{Q}) < \lambda, \bar{Q} \in H(\lambda)\}$ ordered by being an initial segment (which is equivalent to forcing a Cohen subset of λ). The generic object is essentially $\bar{Q}^* \in \mathfrak{K}_\lambda^1$, $\text{lg}(\bar{Q}^*) = \lambda$, and then we force by $P_\lambda = \lim \bar{Q}^*$. Clearly $\mathfrak{K}_{<\lambda}^\ell$ is a λ -complete forcing notion of cardinality λ , and P_λ satisfies the c.c.c. Clearly it suffices to prove part (2) of 3.1.

Suppose \underline{d}_n is a name of a function from $[\lambda]^n$ to k_n for $n < \omega$, $\sigma_n < \omega$, $\langle \sigma_n : n < \omega \rangle$ diverges (i.e. $\forall m \exists k \forall n \geq k \sigma_n \geq m$) and for some $\bar{Q}^0 \in \mathfrak{K}_{<\lambda}^1$.

$$\bar{Q}^0 \Vdash_{\mathfrak{K}_{<\lambda}^1} \text{“there is } p \in P_\lambda [p \Vdash_{P_\lambda} \langle \underline{d}_n : n < \omega \rangle \text{ is a counterexample to (2) of 3.1”].}$$

In V we can define $(\bar{Q}^\zeta : \zeta < \lambda)$, $\bar{Q}^\zeta \in \mathfrak{K}_{<\lambda}^1$, $\zeta < \xi \Rightarrow \bar{Q}^\zeta = \bar{Q}^\xi \upharpoonright \text{lg}(\bar{Q}^\zeta)$, in $\bar{Q}^{\zeta+1}$, $e_{\text{lg}(\bar{Q}^\zeta)}^* = 1$, $\bar{Q}^{\zeta+1}$ forces (in $\mathfrak{K}_{<\lambda}^1$) a value to p and the P_λ -names $\underline{d}_n \upharpoonright \zeta, \sigma_n, k_n$ for $n < \omega$, i.e. the values here are still P_λ -names. Let \bar{Q}^* be the limit of the \bar{Q}^ζ -s. So $\bar{Q}^* \in \mathfrak{K}^1$, $\text{lg}(\bar{Q}^*) = \lambda$, $\bar{Q}^* = \langle P_i^*, Q_j^*, \alpha_j^*, e_j^* : i \leq \lambda, j < \lambda \rangle$, and the P_λ^* -names $\underline{d}_n, \sigma_n, k_n$ are defined such that in $V^{P_\lambda^*}$, $\underline{d}_n, \sigma_n, k_n$ contradict (2) (as any P_λ^* -name of a bounded subset of λ is a $P_{\text{lg}(\bar{Q}^\xi)}^*$ -name for some $\xi < \lambda$). **B Stage:** Let $\chi = \kappa^+$ and

$<_\chi^*$ be a well-ordering of $\mathcal{H}(\chi)$. Now we can apply $\lambda \rightarrow (\omega_1)_2^{<\omega}$ to get δ, B, N_s (for $s \in [B]^{<\aleph_0}$) and $\mathbf{h}_{s,t}$ (for $s, t \in [B]^{<\aleph_0}$, $|s| = |t|$) such that:

- (a) $B \subseteq \lambda$, $\text{otp}(B) = \omega_1$, $\sup B = \delta$,
- (b) $N_s \prec (H(\chi), \in, <_\chi^*)$, $\bar{Q}^* \in N_s$, $\langle \underline{d}_n, \sigma_n, k_n : n < \omega \rangle \in N_s$,
- (c) $N_s \cap N_t = N_{s \cap t}$,
- (d) $N_s \cap B = s$,
- (e) if $s = t \cap \alpha$, $t \in [B]^{<\aleph_0}$ then $N_s \cap \lambda$ is an initial segment of N_t ,
- (f) $\mathbf{h}_{s,t}$ is an isomorphism from N_t onto N_s (when defined)
- (g) $\mathbf{h}_{t,s} = \mathbf{h}_{s,t}^{-1}$
- (h) $p_0 \in N_s$, $p_0 \Vdash_{P_\lambda} \text{“}\langle \underline{d}_n, \sigma_n, k_n : n < \omega \rangle \text{ is a counterexample”}$,
- (i) $\omega_1 \subseteq N_s$, $|N_s| = \aleph_1$ and if $\gamma \in N_s$, $\text{cf}(\gamma) > \aleph_1$ then $\text{cf}(\sup(\gamma \cap N_s)) = \omega_1$.

Let $\bar{Q} = \bar{Q}^* \upharpoonright \delta$, $P = P_\delta^*$ and $P_a = P_a^{\text{cn}}$ (for \bar{Q}), where a is closed for \bar{Q} . Note: $P_\lambda^* \cap N_s = P_\delta^* \cap N_s = P_{\sup \lambda \cap N_s} \cap N_s = P_s \cap N_s$. Note also $\gamma \in \lambda \cap N_s \Rightarrow a_\gamma^* \subseteq \lambda \cap N_s$.

C Stage: It suffices to show that we can define Q_δ in V^{P_δ} which forces a subset W of B of cardinality \aleph_1 and $F : W \rightarrow \omega_2$ which exemplify the desired conclusion in

(2), and prove that Q_δ satisfies the \aleph_1 -c.c.c. (in V^{P_δ} (and has cardinality \aleph_1)) and moreover (see Definitions 3.4 and 3.7(3)) we also define $a_\delta = \bigcup_{s \in [B]^{<\aleph_0}} N_s$, $e_\delta = 1$, $\bar{Q}' = \bar{Q} \hat{\ } \langle P_\delta^*, Q_\delta, a_\delta, e_\delta \rangle$ and prove $\bar{Q}' \in \mathfrak{K}^1$.

We let $\underline{d}(u) = \underline{d}_{|u|}(u)$.

Let $F : \omega_1 \rightarrow \omega^2$ be one-to-one such that $[\forall \eta \in \omega^{>2}][\exists^{\aleph_1} \alpha < \omega_1][\eta \triangleleft F(\alpha)]$. (This will not be the needed F , just notation).

For $s, t \in [B]^{<\aleph_0}$, we say $s \equiv_F^n t$ if $|s| = |t|$ and $\forall \xi \in s, \forall \zeta \in t[\xi = \mathbf{h}_{s,t}(\zeta) \Rightarrow F(\xi) \upharpoonright n = F(\zeta) \upharpoonright n]$. Let

$$I_n = I_n(F) = \{s \in [B]^{<\aleph_0} : (\forall \zeta \neq \xi \in s), [F(\zeta) \upharpoonright n \neq F(\xi) \upharpoonright n]\}.$$

We define R_n as follows: a sequence $\langle p_s : s \in I_n \rangle \in R_n$ if and only if

- (i) for $s \in I_n$, $p_s \in P_\lambda^* \cap N_s$,
- (ii) for some c_s we have $p_s \Vdash "d(s) = c_s"$,
- (iii) for $s, t \in I_n$, $s \equiv_F^n t \Rightarrow \mathbf{h}_{s,t}(p_t) = p_s$,
- (iv) for $s, t \in I_n$, $p_s \upharpoonright N_{s \cap t} = p_t \upharpoonright N_{s \cap t}$.

R_n^- is defined similarly omitting (ii).

For $x = \langle p_s : s \in I_n \rangle$ let $n(x) = n$, $p_s^x = p_s$, and (if defined) $c_s^x = c_s$. Note that we could replace $x \in R_n$ by a finite subsequence. Let $R = \bigcup_{n < \omega} R_n$, $R^- = \bigcup_{n < \omega} R_n^-$. We define an order on R^- : $x \leq y$ if and only if $n(x) \leq n(y)$, and $[s \in I_{n(x)} \wedge t \in I_{n(y)} \wedge s \subseteq t \Rightarrow p_s^x \leq p_t^y]$.

D Stage: Note the following facts:

D(α) Subject: If $x \in R_n^-$, $t \in I_n$ and $p_t^x \leq p^1 \in P_\delta^* \cap N_t$, then there is y such that $x \leq y \in R_n^-$, $p_t^y = p^1$.

Proof. We let for $s \in I_n$

$$p_s^y = \& \{ \mathbf{h}_{s_1, t_1}(p^1 \upharpoonright N_{t_1}) : s_1 \subseteq s, t_1 \subseteq t, s_1 \equiv_F^n t_1 \} \& p_s^x.$$

(This notation means that p_s^y is a function whose domain is the union of the domains of the conditions mentioned, and for each coordinate we take the canonical upper bound, see preliminaries.) Why is p_s^y well defined? Suppose $\beta \in N_s \cap \lambda$ (for $\beta \in \lambda \setminus N_s$, clearly $p_s^y(\beta) = \emptyset_\beta$), $s_\ell \subseteq s$, $t_\ell \subseteq t$, $s_\ell \equiv_F^n t_\ell$ for $\ell = 1, 2$ and $\beta \in \text{Dom} \left[\mathbf{h}_{s_\ell, t_\ell}(p^1 \upharpoonright N_{t_\ell}) \right]$, and it suffices to show that $p_s^x(\beta)$, $\mathbf{h}_{s_1, t_1}(p^1 \upharpoonright N_{t_1})(\beta)$, $\mathbf{h}_{s_2, t_2}(p^1 \upharpoonright N_{t_2})(\beta)$ are pairwise comparable. Let $u = \bigcap \{v \in [B]^{<\aleph_0} : \beta \in N_v\}$, necessarily $u \subseteq s_1 \cap s_2$, and let $u_\ell = \mathbf{h}_{s_\ell, t_\ell}^{-1}(u)$. As $s_\ell, t_\ell, t \in I_n$, $s_\ell \equiv_F^n t_\ell$ and $u_\ell \subseteq t_\ell \subseteq t$, necessarily $u_1 = u_2$. Thus $\gamma = \mathbf{h}_{u, v}^{-1}(\beta) = \mathbf{h}_{s_\ell, t_\ell}^{-1}(\beta)$ and so the last two conditions are equal.

Now $p_s^x(\beta) = p_u^x(\beta) = \mathbf{h}_{u, v}(p_s^x(\gamma)) \leq \mathbf{h}_{s_\ell, t_\ell}((p_t^x \upharpoonright N_{t_\ell})(\gamma)) = \left(\mathbf{h}_{s_\ell, t_\ell}(p_t^x \upharpoonright N_{t_\ell}) \right)(\beta)$.

We leave to the reader checking the other requirements. □

D(β) Subject: If $x \in R_n^-$, $t \in I$ then $\bigcup \{p_s^x : s \in I_n, s \subseteq t\}$ (as union of functions) exists and belongs to $P_\lambda^* \cap N_t$.

Proof. See (iv) in the definition of R_n^- . □

D(γ) Subject: If $x \leq y$, $x \in R_n$, $y \in R_n^-$, then $y \in R_n$.

Proof. Check it. □

D(δ) Subject: If $x \in R_n^-$, $n < m$, then there is $y \in R_m$, $x \leq y$.

STRONG PARTITION RELATIONS BELOW THE POWER SET: CONSISTENCY; WAS SIERPINSKI RIGHT? **III**

Proof. By subfact $D(\beta)$ we can find $x^1 = \langle p_t^1 : t \in I_m \rangle \in \text{in}R_m^-$ with $x \leq x^1$. Using repeatedly subfact $D(\alpha)$ we can increase x^1 (finitely many times) to get $y \in R_m$. \square

D(ε) Subject: If $x \in R_n^-$, $s, t \in I_n$, $s \equiv_F^n t$, $p_s^x \leq r_1 \in P_\lambda^* \cap N_s$, $p_t^x \leq r_2 \in P_\lambda^* \cap N_t$, $(\forall \zeta \in t) [F(\zeta)(n) \neq (F(\mathbf{h}_{s,t}(\zeta)))(n)]$ (or just $p_{s_1}^x \upharpoonright s_1 = \mathbf{h}_{s,t}(p_{t_1}^x \upharpoonright t_1)$ where $t_1 = \{\xi \in t : F(\xi)(n) = (F(\mathbf{h}_{s,t}(\xi)))(n)\}$, $s_1 = \{\mathbf{h}_{s,t}(\xi) : \xi \in t_1\}$), then there is $y \in R_{n+1}$, $x \leq y$ such that $r_1 = p_s^y$ and $r_2 = p_t^y$.

Proof. Left to the reader. \square

E Stage: ¹

We define: $T_k^* \subseteq 2^k \geq 2$ by induction on k as follows:

$$\begin{aligned} T_0^* &= \{\langle \rangle, \langle 1 \rangle\} \\ T_{k+1}^* &= \{\nu : \nu \in T_k^* \text{ or } 2^k < \ell g(\nu) \leq 2^{k+1}, \nu \upharpoonright 2^k \in T_k^* \text{ and} \\ &\quad [2^k \leq i < 2^{k+1} \wedge \nu(i) = 1] \Rightarrow i = 2^k + (\sum_{m < 2^k} \nu(i)2^m)\}. \end{aligned}$$

We define

$$\begin{aligned} \text{Tr Emb}(k, n) &= \left\{ h : h \text{ a is function from } T_k^* \text{ into } n \geq 2 \text{ such that} \right. \\ &\quad \text{for } \nu, \rho \in T_k^* : \\ &\quad [\eta = \nu \Leftrightarrow h(\eta) = h(\nu)] \\ &\quad [\eta \triangleleft \nu \Leftrightarrow h(\eta) \triangleleft h(\nu)] \\ &\quad [\ell g(\eta) = \ell g(\nu) \Rightarrow \ell g(h(\eta)) = \ell g(h(\nu))] \\ &\quad [\nu = \eta \hat{\ } \langle i \rangle \Rightarrow (h(\nu))[\ell g(h(\eta))] = i] \\ &\quad \left. [\ell g(\eta) = {}^k 2 \Rightarrow \ell g(h(\eta)) = n] \right\}. \end{aligned}$$

$$\mathbf{T}(k, n) = \{\text{Rang } h : h \in \text{Tr Emb}(k, n)\},$$

$$\mathbf{T}(*, n) = \bigcup_k \mathbf{T}(k, n),$$

$$\mathbf{T}(k, *) = \bigcup_k \mathbf{T}(k, n).$$

For $T \in \mathbf{T}(k, *)$ let $n(T)$ be the unique n such that $T \in \mathbf{T}(k, n)$ and let

$$B_T = \{\alpha \in B : F(\alpha) \upharpoonright n(T) \text{ is a maximal member of } T\},$$

$$f_{s_T} = \left\{ t \subseteq B_T : \eta \in t \wedge \nu \in t \wedge \eta \neq \nu \Rightarrow \eta \upharpoonright n(T) \neq \nu \upharpoonright n(T) \right\},$$

$$\Theta_T = \left\{ \langle p_s : s \in f_{s_T} \rangle : p_s \in P \cap N_s, [s \subseteq t \wedge \{s, t\} \subseteq f_{s_T} \Rightarrow p_s = p_t \upharpoonright N_s] \right\}.$$

Let further

$$\Theta_k = \bigcup \{\Theta_T : T \in \mathbf{T}(k, *)\}$$

$$\Theta = \bigcup_k \Theta_k.$$

For $\bar{p} \in \Theta$, $\mathbf{n}_{\bar{p}} = \mathbf{n}(\bar{p})$, $T_{\bar{p}}$ are defined naturally.

For $\bar{p}, \bar{q} \in \Theta$, $\bar{p} \leq \bar{q}$ iff $\mathbf{n}_{\bar{p}} \leq \mathbf{n}_{\bar{q}}$ and for every $s \in f_{s_{T_{\bar{p}}}}$ we have $p_s \leq q_s$. **F**

Stage: Let $g : \omega \rightarrow \omega$, $g \in N_s$, g grows fast enough relative $\langle \sigma_n : n < \omega \rangle$. We

¹We will have $T \subset {}^\omega > 2$ gotten by 2.7(2) and then want to get a subtree with as few as possible colors, we can find one isomorphic to ${}^\omega > 2$, and there restrict ourselves to $\cup_n T_n^*$.

define a game $\underline{\text{Gm}}$. A play of the game lasts after ω moves, in the n^{th} move player I chooses $\bar{p}^n \in \Theta_n$ and a function h_n satisfying the restrictions below and then player II chooses $\bar{q}_n \in \Theta_n$, such that $\bar{p}_n \leq \bar{q}_n$ (so $T_{\bar{p}_n} = T_{\bar{q}_n}$). Player I loses the play if sometimes he has no legal move; if he never loses, he wins. The restrictions player I has to satisfy are:

- (a) for $m < n$, $\bar{q}_m \leq \bar{p}_n$, p_s^n forces a value to $g \upharpoonright (n+1)$,
- (b) h_n is a function from $[B_{T_{\bar{p}_n}}]^{\leq g(n)}$ to ω ,
- (c) if $m < n \Rightarrow h_n, h_m$ are compatible,
- (d) If $m < n$, $\ell < g(m)$, $s \in [B_{T_{\bar{p}_n}}]^\ell$, then $p_s^n \Vdash d(s) = h_n(s)$,
- (e) Let $s_1, s_2 \in \text{Dom} h_n$. Then $h_n(s_1) = h_n(s_2)$ whenever s_1, s_2 are similar over n which means:
 - (i) $\left(F \left(H_{s_2, s_1}^{\text{OP}}(\zeta) \right) \right) \upharpoonright \mathbf{n}[\bar{p}^n] = \left(F(\zeta) \right) \upharpoonright \mathbf{n}[\bar{p}^n]$ for $\zeta \in s_1$,
 - (ii) H_{s_2, s_1}^{OP} preserves the relations $\left(F(\zeta_1), F(\zeta_2) \right) < \left(F(\zeta_3), F(\zeta_4) \right)$ and $F(\zeta_3) \left(F(\zeta_1), F(\zeta_2) \right) = i$ (in the interesting case $\zeta_3 \neq \zeta_1, \zeta_2$ implies $i = 0$).

G Stage/Claim: Player I has a winning strategy in this game.

Proof. As the game is closed, it is determined, so we assume player II has a winning strategy, and eventually we shall get a contradiction. We define by induction on n , \bar{r}^n and Φ^n such that

- (a) $\bar{r}^n \in R_n$, $\bar{r}^n \leq \bar{r}^{n+1}$,
- (b) Φ^n is a finite set of initial segments of plays of the game,
- (c) in each member of Φ^n player II uses his winning strategy,
- (d) if y belongs to Φ^n then it has the form $\langle \bar{p}^{y,\ell}, h^{y,\ell}, \bar{q}^{y,\ell} : \ell \leq m(y) \rangle$; let $h_y = h^{y,n_y}$ and $T_y = T_{\bar{q}^{y,m(y)}}$; also $T_y \subseteq^{n \geq 2} 2$, $q_s^{y,\ell} \leq r_s^n$ for $s \in f_{S_{T_y}}$.
- (e) $\Phi_n \subseteq \Phi_{n+1}$, Φ_n is closed under taking the initial segments and the empty sequence (which too is an initial segment of a play) belongs to Φ_0 .
- (f) For any $y \in \Phi_n$ and T, h either for some $z \in \Phi_{n+1}$, $n_z = n_y + 1$, $y = z \upharpoonright (n_y + 1)$, $T_z = T$ and $h_z = h$ or player I has no legal $(n_y + 1)^{\text{th}}$ move \bar{p}^n, h^n (after y was played) such that $T_{\bar{p}^n} = T$, $h^n = h$, and $p_s^n = r_s^n$ for $s \in f_{S_T}$ (or always \leq or always \geq).

There is no problem to carry the definition. Now $\langle \bar{r}_s^n : n < \omega \rangle$ define a function d^* : if $\eta_1, \dots, \eta_k \in {}^m 2$ are distinct then $d^*(\langle \eta_1, \dots, \eta_k \rangle) = c$ iff for every (equivalently some) $\zeta_1 < \dots < \zeta_k$ from B , $\eta_\ell \triangleleft F(\zeta_\ell)$ and $r_{\{\zeta_1, \dots, \zeta_k\}}^k \Vdash "d_k(\{\zeta_1, \dots, \zeta_k\}) = c"$.

Now apply 2.7(2) to this coloring, get $T^* \subseteq^{\omega} 2$ as there. Now player I could have chosen initial segments of this T^* (in the n^{th} move in Φ_n) and we get easily a contradiction. \square

H Stage: We fix a winning strategy for player I (whose existence is guaranteed by stage G).

We define a forcing notion Q^* . We have $(r, y, f) \in Q^*$ iff

- (i) $r \in P_{a_\delta}^{\text{cn}}$
- (ii) $y = \langle \bar{p}^\ell, h^\ell, \bar{q}^\ell : \ell \leq m(y) \rangle$ is an initial segment of a play of $\underline{\text{Gm}}$ in which player I uses his winning strategy
- (iii) f is a finite function from B to $\{0, 1\}$ such that $f^{-1}(\{1\}) \in f_{S_{T_y}}$ (where $T_y = T_{\bar{q}^{m(y)}}$).
- (iv) $r = q_{f^{-1}(\{1\})}^{y, m(y)}$.

The *Order* is the natural one.

I Stage: If $\underline{J} \subseteq P_{a_\delta}^{\text{cn}}$ is dense open then $\{(r, y, f) \in Q^* : r \in \underline{J}\}$ is dense in Q^* .

Proof. By 3.8(1) (by the appropriate renaming). \square

J Stage: We define Q_δ in V^{P_δ} as $\{(r, y, f) \in Q^* : r \in G_{P_\delta}\}$, the order is as in Q^* .

The main point left is to prove the Knaster condition for the partial ordered set $\bar{Q}^* = \bar{Q} \wedge \langle P_\delta, Q_\delta, a_\delta, e_\delta \rangle$ demanded in the definition of \aleph^1 . This will follow by 3.8(3) (after you choose meaning and renamings) as done in stages K,L below.

K Stage: So let $i < \delta$, $\text{cf}(i) \neq \aleph_1$, and we shall prove that $P_{\delta+1}^+/P_i$ satisfies the Knaster condition. Let $p_\alpha \in P_{\delta+1}^*$ for $\alpha < \omega_1$, and we should find $p \in P_i$, $p \Vdash_{P_i}$ “there is an unbounded $A \subseteq \{\alpha : p_\alpha \upharpoonright i \in G_{P_i}\}$ such that for any $\alpha, \beta \in A$, p_α, p_β are compatible in $P_{\delta+1}^*/G_{P_i}$ ”.

Without loss of generality:

- (a) $p_\alpha \in P_{\delta+1}^{cn}$.
- (b) for some $\langle i_\alpha : \alpha < \omega_1 \rangle$ increasing continuous with limit δ we have: $i_0 > i$, $\text{cf} i_\alpha \neq \aleph_1$, $p_\alpha \upharpoonright \delta \in P_{i_{\alpha+1}}$, $p_\alpha \upharpoonright i_\alpha \in P_{i_0}$.
Let $p_\alpha^0 = p^\alpha \upharpoonright i_0$, $p_\alpha^1 = p_\alpha \upharpoonright \delta = p_\alpha \upharpoonright i_{\alpha+1}$, $p_\alpha(\delta) = (r_\alpha, y_\alpha, f_\alpha)$, so without loss of generality
- (c) $r_\alpha \in P_{i_{\alpha+1}}$, $r_\alpha \upharpoonright i_\alpha \in P_{i_0}$, $m(y_\alpha) = m^*$,
- (d) $\text{Dom} f_\alpha \subseteq i_0 \cup [i_\alpha, i_{\alpha+1})$,
- (e) $f_\alpha \upharpoonright i_0$ is constant (remember $\text{otp}(B) = \omega_1$),
- (f) if $\text{Dom} f_\alpha = \{j_0^\alpha, \dots, j_{k_\alpha-1}^\alpha\}$ then $k_\alpha = k$, $[j_\ell^\alpha < i_\alpha \Leftrightarrow \ell < k^*]$, $\bigwedge_{\ell < k^*} j_\ell^\alpha = j^\ell$, $f(j_\ell^\alpha) = f(j_\ell^\beta)$, $F(j_\ell^\alpha) \upharpoonright m(y_\alpha) = F(j_\ell^\beta) \upharpoonright m(y_\beta)$.

The main problem is the compatibility of the $q^{y_\alpha, m(y_\alpha)}$. Now by the definition Θ_α (in stage E) and 3.8(3) this holds. \square

L Stage: If $c \subset \delta + 1$ is closed for \bar{Q}^* , then $P_{\delta+1}^*/P_c^{cn}$ satisfies the Knaster condition.

If c is bounded in δ , choose a successor $i \in (\sup c, \delta)$ for $\bar{Q} \upharpoonright i \in \aleph_1$. We know that P_i/P_c^{cn} satisfies the Knaster condition and by stage K, $P_{\delta+1}^*/P_i$ also satisfies the Knaster condition; as it is preserved by composition we have finished the stage.

So assume c is unbounded in δ and it is easy too. So as seen in stage J, we have finished the proof of 3.1.

Theorem 3.11. If $\lambda \geq \beth_\omega$, P is the forcing notion of adding λ Cohen reals then

- (*)₁ in V^P , if $n < \omega$ $d : [\lambda]^{\leq n} \rightarrow \sigma$, $\sigma < \aleph_0$, then for some c.c.c. forcing notion Q we have \Vdash_Q “there are an uncountable $A \subseteq \lambda$ and an one-to-one $F : A \rightarrow {}^\omega 2$ such that d is F -canonical on A ” (see notation in §2).
- (*)₂ if in V , $\lambda \geq \mu \rightarrow_{\text{wsp}} (\aleph_1)_{\aleph_0}$ (see [Sh289]) and in V^P , $d : [\mu]^{\leq n} \rightarrow \sigma$, $\sigma < \aleph_0$ then in V^P for some c.c.c. forcing notion Q we have \Vdash_Q “there are $A \in [\mu]^\kappa$ and one-to-one $F : A \rightarrow {}^\omega 2$ such that d is F -canonical on A ” (see §2,).
- (*)₃ if in V , $\lambda \geq \mu \rightarrow_{\text{wsp}} (\aleph_1)_{\aleph_2}^n$ and in V^P $d : [\mu]^{\leq n} \rightarrow \sigma$, $\sigma < \aleph_0$ then in V^P for every $\alpha < \omega_1$ and $F : \alpha \rightarrow {}^\omega 2$ for some $A \subseteq \mu$ of order type α and $F' : A \rightarrow {}^\omega 2$, $F'(\beta) = F(\text{otp}(A \cap \beta))$, d is F' -canonical on A .
- (*)₄ in V^P , $2^{\aleph_0} \rightarrow (\alpha, n)^3$ for every $\alpha < \omega_1$, $n < \omega$. Really, assuming $V \models \text{GCH}$, we have $\aleph_{n\frac{1}{3}} \rightarrow (\alpha, n)$ see [Sh289].

Proof. Similar to the proof of 3.1. Superficially we need more indiscernibility then we get, but getting $\langle M_u : u \in [B]^{\leq n} \rangle$ we ignore $d(\{\alpha, \beta\})$ when there is no u with $\{\alpha, \beta\} \in M_u$. \square

Theorem 3.12. If λ is strongly inaccessible ω -Mahlo, $\mu < \lambda$, then for some c.c.c. forcing notion P of cardinality λ , V^P satisfies

- (a) MA_μ
- (b) $2^{\aleph_0} = \lambda = 2^\kappa$ for $\kappa < \lambda$
- (c) $\lambda \rightarrow [\aleph_1]_{\sigma, h(n)}^n$ for $n < \omega$, $\sigma < \aleph_0$, $h(n)$ is as in 3.1.

Proof. Again, like 3.1. □

§ 4. PARTITION THEOREM FOR TREES ON LARGE CARDINALS

Lemma 4.1. Suppose $\mu > \sigma + \aleph_0$ and

$(*)_\mu$ for every μ -complete forcing notion P , in V^P , μ is measurable.

Then

- (1) for $n < \omega$, $Pr_{eht}^f(\mu, n, \sigma)$.
- (2) $Pr_{eht}^f(\mu, < \aleph_0, \sigma)$, if there is $\lambda > \mu$, $\lambda \rightarrow (\mu^+)_2^{< \omega}$.
- (3) In both cases we can have the Pr_{ehtn}^f version, and even choose the $\langle <_\alpha^* : \alpha < \mu \rangle$ in any of the following ways.
 - (a) We are given $\langle <_\alpha^0 : \alpha < \mu \rangle$, and we let for $\eta, \nu \in {}^\alpha 2 \cap T$, $\alpha \in SP(T)$ (T is the subtree we consider):
 - $\eta <_\alpha^* \nu$ if and only if $\text{clp}_T(\eta) <_\beta^0 \text{clp}_T(\nu)$ where $\beta = \text{otp}(\alpha \cap SP(T))$ and $\text{clp}_T(\eta) = \langle \eta(j) : j \in \ell g(\eta), j \in SP(T) \rangle$.
 - (b) We are given $\langle <_\alpha^0 : \alpha < \mu \rangle$, we let that for $\nu, \eta \in {}^\alpha 2 \cap T$, $\alpha \in SP(T)$: $\eta <_\alpha^* \nu$ if and only if $n \upharpoonright (\beta + 1) <_{\beta+1}^0 \nu \upharpoonright (\beta + 1)$ where $\beta = \text{sup}(\alpha \cap SP(T))$.

Remark 4.2. 1) $(*)_\mu$ holds for a supercompact after Laver treatment. On hypermeasurable see Gitik Shelah [GS89].

2) We can in $(*)_\mu$ restrict ourselves to the forcing notion P actually used. For it by Gitik [M. Gitik, Measurability preserved by κ -complete forcing notion] much smaller large cardinals suffice.

3) The proof of 4.1 is a generalization of a proof of Harrington to Halpern Lauchli theorem from 1978.

Conclusion 4.3. In 4.1 we can get $Pr_{ht}^f(\mu, n, \sigma)$ (even with (3)).

Proof. 4.3 We do the parallel to 4.1(1). By $(*)_\mu$, μ is weakly compact hence by 2.6(2) it is enough to prove $Pr_{ahf}^f(\mu, n, \sigma)$. This follows from 4.1(1) by 2.6(1). □

Proof. 4.1 1), 2). Let $\kappa \leq \omega$, $\sigma(n) < \mu$, $d_n \in \text{Col}_{\sigma(n)}^n(\mu^{>2})$ for $n < \kappa$.

Choose λ such that $\lambda \rightarrow (\mu^+)_{2^\mu}^{< 2^\kappa}$ (there is such a λ by assumption for (2) and by $\kappa < \omega$ for (1)). Let Q be the forcing notion $(\mu^{>2}, \triangleleft)$, and $P = P_\lambda$ be $\{f : \text{dom}(f) \text{ is a subset of } \lambda \text{ of cardinality } < \mu, f(i) \in Q\}$ ordered naturally. For $i \notin \text{dom}(f)$, take $f(i) = \langle \rangle$. Let η_i be the P -name for $\bigcup \{f(i) : f \in G_P\}$. Let \mathcal{D} be a P -name of a normal ultrafilter over μ . For each $n < \omega$, $d \in \text{Col}_{\sigma(n)}^n(\mu^{>2})$, $j < \sigma(n)$ and $u = \{\alpha_0, \dots, \alpha_{n-1}\}$, where $\alpha_0 < \dots < \alpha_{n-1} < \lambda$, let $A_d^j(u)$ be the P_λ -name of the set

$$A_d^j(u) = \left\{ i < \mu : \langle \eta_{\alpha_\ell} \upharpoonright i : \ell < n \rangle \text{ are pairwise distinct, } j = d(\eta_{\alpha_0} \upharpoonright i, \dots, \eta_{\alpha_{n-1}} \upharpoonright i) \right\}.$$

So $A_d^j(u)$ is a P_λ -name of a subset of μ , and for $j(1) < j(2) < \sigma(n)$ we have $\Vdash_{P_\lambda} "A_d^{j(1)}(u) \cap A_d^{j(2)}(u) = \emptyset$, and $\bigcup_{j < \sigma(n)} A_d^j(u)$ is a co-bounded subset of μ ". As \Vdash_P " \mathcal{D} is μ -complete uniform ultrafilter on μ ", in V^P there is exactly one $j < \sigma(n)$ with $A_d^j(u) \in \mathcal{D}$. Let $j_d(u)$ be the P -name of this j .

Let $I_d(u) \subseteq P$ be a maximal antichain of P , each member of $I_d(u)$ forces a value to $j_d(u)$. Let $W_d(u) = \bigcup \{\text{dom}(p) : p \in I_d(u)\}$ and $W(u) = \bigcup \{W_{d_n}(u) : n < \kappa\}$. So $W_d(u)$ is a subset of λ of cardinality $\leq \mu$ as well as $W(u)$ (as P satisfies the μ^+ -c.c. and $p \in P \Rightarrow |\text{dom}(p)| < \mu$).

As $\lambda \rightarrow (\mu^{++})_{2^\mu}^{< 2^\kappa}$, $d_n \in \text{Col}_{\sigma(n)}^n(\mu^{>2})$ there is a subset Z of λ of cardinality μ^{++} and set $W^+(u)$ for each $u \in [Z]^{< \kappa}$ such that:

- (i) $W^+(u_1) \cap W^+(u_2) = W^+(u_1 \cap u_2)$,

- (ii) $W(u) \subseteq W^+(u)$ if $u \in [Z]^{<\kappa}$,
- (iii) if $|u_1| = |u_2| < \kappa$ and $u_1, u_2 \subseteq Z$ then $W^+(u_1)$ and $W^+(u_2)$ have the same order type – and note that $H[u_1, u_2] = H_{W^+(u_1), W^+(u_2)}^{\text{OP}}$ naturally induces a map from $P \upharpoonright u_1 = \{p \in P : \text{dom}(p) \subseteq W^+(u_1)\}$ to $P \upharpoonright u_2 = \{p \in P : \text{dom}(p) \subseteq W^+(u_2)\}$.
- (iv) if $u_1, u_2 \in [Z]^{<\kappa}$, $|u_1| = |u_2|$ then $H[u_1, u_2]$ maps $I_{d_n}(u_1)$ onto $I_{d_n}(u_2)$ and

$$q \Vdash \text{“}j_d(u_1) = j\text{”} \Leftrightarrow H[u_1, u_2](q) \Vdash \text{“}j_d(u_2) = j\text{”}$$
- (v) if $u_1 \subseteq u_2 \in [Z]^{<\kappa}$, $u_3 \subseteq u_4 \in [Z]^{<\kappa}$, $|u_4| = |u_2|$, H_{u_2, u_4}^{OP} maps u_1 onto u_3 then $H[u_1, u_3] \subseteq H[u_2, u_4]$.

Let $\gamma(i)$ be the i^{th} member of Z .

Let $s(m)$ be the set of the first m members of Z and

$$R_n = \left\{ p \in P : \text{dom}(p) \subseteq W^+(s(n)) \setminus \bigcup_{t \subseteq s(n)} W^+(t) \right\}.$$

We define by induction on $\alpha < \mu$ a function F_α and $p_u \in R_{|u|}$ for $u \in \bigcup_{\beta < \alpha} [{}^\beta 2]^{<\kappa}$ where we let \emptyset_β be the empty subset of $[{}^\beta 2]$ and we behave as if $[\beta \neq \gamma \Rightarrow \emptyset_\beta \neq \emptyset_\gamma]$ and we also define $\zeta(\beta) < \mu$, such that:

- (i) F_α is a function from ${}^{\alpha>} 2$ into ${}^{\mu>} 2$, extending F_β for $\beta < \alpha$,
- (ii) F_α maps ${}^\beta 2$ to ${}^{\zeta(\beta)} 2$ for some $\zeta(\beta) < \mu$ and $\beta_1 < \beta_2 < \alpha \Rightarrow \zeta(\beta_1) < \zeta(\beta_2)$,
- (iii) $\eta \triangleleft \nu \in {}^{\alpha>} 2$ implies $F_\alpha(\eta) \triangleleft F_\alpha(\nu)$,
- (iv) for $\eta \in {}^\beta 2$, $\beta + 1 < \alpha$ and $\ell < 2$ we have $F_\alpha(\eta) \hat{\ } \langle \ell \rangle \trianglelefteq F_\alpha(\eta \hat{\ } \langle \ell \rangle)$,
- (v) $p_u \in R_m$ whenever $u \in [{}^\beta 2]^m$, $m < \kappa$, $\beta < \alpha$ and for $u(1) \in [Z]^m$ let $p_{u, u(1)} = H[s(|u|), u(1)](p_u)$.
- (vi) $\eta \in {}^\beta 2$, $\beta < \alpha$, then $p_{\{\eta\}}(\min Z) = F_\alpha(\eta)$.
- (vii) if $\beta < \alpha$, $u \in [{}^\beta 2]^n$, $n < \kappa$, $h : u \rightarrow s(n)$ one-to-one onto (not necessarily order preserving) then for some $c(u, h) < \sigma(n)$:

$$\bigcup_{t \subseteq u} p_{t, h''(t)} \Vdash_{P_\lambda} \text{“}d_n(\eta_{\gamma(0)}, \dots, \eta_{\gamma(n-1)}) = c(u, h)\text{”},$$

(Note: as $p_u \in R_{|u|}$ the domains of the conditions in this union are pairwise disjoint.)

- (viii) If n, u, β, h are as in (vii), $u = \{\nu_0, \dots, \nu_{n-1}\}$, $\nu_\ell \triangleleft \rho_\ell \in {}^\gamma 2$, $\beta \leq \gamma < \alpha$ then $d_n(F_\alpha(\rho_0), \dots, F_\alpha(\rho_{n-1})) = c(u, h)$ where h is the unique function from u onto $s(n)$ such that $[h(\nu_\ell) \leq h(\nu_m) \Rightarrow \rho_\ell <^* \rho_m]$.
- (ix) if $\beta < \gamma < \alpha$, $\nu_1, \dots, \nu_{n-1} \in {}^\gamma 2$, $n < \kappa$, and $\nu_0 \upharpoonright \beta, \dots, \nu_{n-1} \upharpoonright \beta$ are pairwise distinct then:

$$p_{\{\nu_0 \upharpoonright \beta, \dots, \nu_{n-1} \upharpoonright \beta\}} \subseteq p_{\{\nu_0, \dots, \nu_{n-1}\}}.$$

For α limit: no problem. For $\alpha + 1, \alpha$ limit: we try to define $F_\alpha(\eta)$ for $\eta \in {}^\alpha 2$ such that $\bigcup_{\beta < \alpha} F_{\beta+1}(\eta \upharpoonright \beta) \trianglelefteq F_\alpha(\eta)$ and (viii) holds. Let $\zeta = \bigcup_{\beta < \alpha} \zeta(\beta)$, and for $\eta \in {}^\alpha 2$, $F_\alpha^0(\eta) = \bigcup_{\beta < \alpha} F_\alpha(\eta \upharpoonright \beta)$ and for $u \in [{}^\alpha 2]^{<\kappa}$, $p_u^0 = \bigcup \{p_{\{\nu \upharpoonright \beta : \nu \in u\}}^0 : \beta < \alpha, |u| = |\{\nu \upharpoonright \beta : \nu \in u\}|\}$. Clearly $p_u^0 \in R_{|u|}$.

Then let $h : {}^\alpha 2 \rightarrow Z$ be one-to-one, such that $\eta <^* \nu \Leftrightarrow h(\eta) < h(\nu)$ and let $p = \bigcup \{p_{u, u(1)}^0 : u(1) \in [Z]^{<\kappa}, u \in [{}^\alpha 2]^{<\kappa}, |u(1)| = |u|, h''(u) = u(1)\}$.

For any generic $G \subseteq P_\lambda$ to which p belongs, $\beta < \alpha$ and ordinals $i_0 < \dots < i_{n-1}$ from Z such that $\langle h^{-1}(i_\ell) \upharpoonright \beta : \ell < n \rangle$ are pairwise distinct we have that

$$B_{\{i_\ell : \ell < n\}, \beta} = \left\{ \xi < \mu : d_n(\eta_{i_0} \upharpoonright \xi, \dots, \eta_{i_{n-1}} \upharpoonright \xi) = c(u, h^*) \right\},$$

belongs to $\mathfrak{D}[G]$, where $u = \{h^{-1}(i_\ell) \upharpoonright \beta : \ell < n\}$ and $h^* : u \rightarrow s(|u|)$ is defined by $h^*(h^{-1}(i_\ell) \upharpoonright \beta) = H_{\{i_\ell : \ell < n\}, s(n)}^{\text{OP}}(i_\ell)$. Really every large enough $\beta < \mu$ can serve so we omit it. As $\mathfrak{D}[G]$ is μ -complete uniform ultrafilter on μ , we can find $\xi \in (\zeta, \kappa)$ such that $\xi \in B_u$ for every $u \in [{}^\alpha 2]^n$, $n < \kappa$. We let for $\nu \in {}^\alpha 2$, $F_\alpha(\nu) = \eta_{h(i)}[G] \upharpoonright \xi$, and we let $p_u = p_u^0$ except when $u = \{\nu\}$, then:

$$p_u(i) = \begin{cases} p_u^0(i) & i \neq \gamma(0) \\ F_{\alpha+1}(\nu) & i = \gamma(0) \end{cases}$$

For $\alpha + 1$, α is a successor: First for $\eta \in {}^{\alpha-1}2$ define $F(\eta \hat{\ } \langle \ell \rangle) = F_\alpha(\eta) \hat{\ } \langle \ell \rangle$. Next we let $\{(u_i, h_i) : i < i^*\}$, list all pairs (u, h) , $u \in [{}^\alpha 2]^{\leq n}$, $h : u \rightarrow s(|u|)$, one-to-one onto. Now, we define by induction on $i \leq i^*$, $p_u^i (u \in [{}^\alpha 2]^{< \kappa})$ such that:

- (a) $p_u^i \in R_{|u|}$,
- (b) p_u^i increases with i ,
- (c) for $i + 1$, (vii) holds for (u_i, h_i) ,
- (d) if $\nu_m \in {}^\alpha 2$ for $m < n$, $n < \kappa$, $\langle \nu_m \upharpoonright (\alpha - 1) : m < n \rangle$ are pairwise distinct, then $p_{\{\nu_m \upharpoonright (\alpha-1) : m < n\}} \leq p_{\{\nu_m : m < n\}}^0$,
- (e) if $\nu \in {}^\alpha 2$, $\nu(\alpha - 1) = \ell$ then $p_{\{\nu\}}^0(0) = F_\alpha(\nu \upharpoonright (\alpha - 1)) \hat{\ } \langle \ell \rangle$.

There is no problem to carry the induction.

Now $F_{\alpha+1} \upharpoonright {}^\alpha 2$ is to be defined as in the second case, starting with $\eta \rightarrow p_{\{\eta\}}^{i^*}(\eta)$.

For $\alpha = 0, 1$: Left to the reader.

So we have finished the induction hence the proof of 4.1(1), (2).

3) Left to the reader (the only influence is the choice of h in stage of the induction). \square

§ 5. SOMEWHAT COMPLEMENTARY NEGATIVE PARTITION RELATION IN ZFC

The negative results here suffice to show that the value we have for 2^{\aleph_0} in §3 is reasonable. In particular the Galvin conjecture is wrong and that for every $n < \omega$ for some $m < \omega$, $\aleph_n \not\rightarrow [\aleph_1]_{\aleph_0}^m$.

See Erdos Hajnal Máté Rado [EHMR84] for

Fact 5.1. If $2^{< \mu} < \lambda \leq 2^\mu$, $\mu \not\rightarrow [\mu]_\sigma^n$ then $\lambda \not\rightarrow [(2^{< \mu})^+]_\sigma^{n+1}$.

This shows that if e.g. in 1.4 we want to increase the exponents, to 3 (and still $\mu = \mu^{< \mu}$) e.g. μ cannot be successor (when $\sigma \leq \aleph_0$) (by [She88], 3.5(2)).

Definition 5.2. $Pr_{np}(\lambda, \mu, \bar{\sigma})$, where $\bar{\sigma} = \langle \sigma_n : n < \omega \rangle$, means that there are functions $F_n : [\lambda]^n \rightarrow \sigma_n$ such that for every $W \in [\lambda]^\mu$ for some n , $F_n''([W]^n) = \sigma(n)$. The negation of this property is denoted by $NPr_{np}(\lambda, \mu, \bar{\sigma})$.

If $\sigma_n = \sigma$ we write σ instead of $\langle \sigma_n : n < \omega \rangle$.

Remark 5.3. 1) Note that $\lambda \rightarrow [\mu]_\sigma^{< \omega}$ means: if $F : [\lambda]^{< \omega} \rightarrow \sigma$ then for some $A \in [\lambda]^\mu$, $F''([A]^{< \omega}) \neq \sigma$. So for $\lambda \geq \mu \geq \sigma = \aleph_0$, $\lambda \not\rightarrow [\mu]_\sigma^{< \omega}$, (use $F : F(\alpha) = |\alpha|$) and $Pr_{np}(\lambda, \mu, \sigma)$ is stronger than $\lambda \not\rightarrow [\mu]_\sigma^{< \omega}$.

2) We do not write down the monotonicity properties of Pr_{np} — they are obvious.

Claim 5.4. 5.31) *We can (in 5.2) w.l.o.g. use $F_{n,m} : [\lambda]^n \rightarrow \sigma_n$ for $n, m < \omega$ and obvious monotonicity properties holds, and $\lambda \geq \mu \geq n$.*

2) *Suppose $NPr_{np}(\lambda, \mu, \kappa)$ and $\kappa \not\rightarrow [\kappa]_\sigma^n$ or even $\kappa \not\rightarrow [\kappa]_\sigma^{< \omega}$. Then the following case of Chang conjecture holds:*

(*) *for every model M with universe λ and countable vocabulary, there is an elementary submodel N of M of cardinality μ ,*

$$|N \cap \kappa| < \kappa$$

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3) If $NPr_{np}(\lambda, \aleph_1, \aleph_0)$ then $(\lambda, \aleph_1) \rightarrow (\aleph_1, \aleph_0)$.

Proof. Easy. □

Theorem 5.5. Suppose $Pr_{np}(\lambda_0, \mu, \aleph_0)$, μ regular $> \aleph_0$ and $\lambda_1 \geq \lambda_0$, and no $\mu' \in (\lambda_0, \lambda_1)$ is μ' -Mahlo. Then $Pr_{np}(\lambda_1, \mu, \aleph_0)$.

Proof. Let $\chi = \beth_8(\lambda_1)^+$, let $\{F_{n,m}^0 : m < \omega\}$ list the definable n -place functions in the model $(H(\chi), \in, <_\chi^*)$, with $\lambda_0, \mu, \lambda_1$ as parameters, let $F_{n,m}^1(\alpha_0, \dots, \alpha_{n-1})$ (for $\alpha_0, \dots, \alpha_{n-1} < \lambda_1$) be $F_{n,m}^0(\alpha_0, \dots, \alpha_{n-1})$ if it is an ordinal $< \lambda_1$ and zero otherwise. Let $F_{n,m}(\alpha_0, \dots, \alpha_{n-1})$ be $F_{n,m}^0(\alpha_0, \dots, \alpha_{n-1})$ if it is an ordinal $< \omega$ and zero otherwise. We shall show that $F_{n,m}(n, m < \omega)$ exemplify $Pr_{np}(\lambda_1, \mu, \aleph_0)$ (see 5.3(1)).

So suppose $W \in [\lambda_1]^\mu$ is a counterexample to $Pr(\lambda_1, \mu, \aleph_0)$ i.e. for no $n, m, F''_{n,m}([W]^n) = \omega$. Let W^* be the closure of W under $F_{n,m}^1(n, m < \omega)$. Let N be the Skolem Hull of W in $(H(\chi), \in, <_\chi^*)$, so clearly $N \cap \lambda_1 = W^*$. Note $W^* \subseteq \lambda_1$, $|W^*| = \mu$. Also as $\text{cf}(\mu) > \aleph_0$ if $A \subseteq W^*$, $|A| = \mu$ then for some $n, m < \omega$ and $u_i \in [W]^n$ (for $i < \mu$), $F_{n,m}^1(u_i) \in A$ and $[i < j < \mu \Rightarrow F_{n,m}^1(u_i) \neq F_{n,m}^1(u_j)]$. It is easy to check that also $W^1 = \{F_{n,m}^1(u_i) : i < \mu\}$ is a counterexample to $Pr(\lambda_1, \mu, \sigma)$. In particular, for $n, m < \omega$, $W_{n,m} = \{F_{n,m}^1(u) : u \in [W]^n\}$ is a counterexample if it has power μ . W.l.o.g. W is a counterexample with minimal $\delta = \sup(W) = \cup\{\alpha + 1 : \alpha \in W\}$. The above discussion shows that $|W^* \cap \alpha| < \mu$ for $\alpha < \delta$. Obviously $\text{cf} \delta = \mu^+$. Let $\langle \alpha_i : i < \mu \rangle$ be a strictly increasing sequence of members of W^* , converging to δ , such that for limit i we have $\alpha_i = \min(W^* - \bigcup_{j < i} (\alpha_j + 1))$. Let $N = \bigcup_{i < \mu} N_i$, $N_i \prec N$, $|N_i| < \mu$, N_i increasing continuous and w.l.o.g. $N_i \cap \delta = N \cap \alpha_i$. α Fact: δ is $> \lambda_0$.

Proof. Otherwise we then get an easy contradiction to $Pr(\lambda_0, \mu, \sigma)$ as choosing the $F_{n,m}^0$ we allowed λ_0 as a parameter. β Fact: If F is a unary function definable in N , $F(\alpha)$ is a club of α for every limit ordinal $\alpha (< \lambda_1)$ then for some club C of μ we have

$$(\forall j \in C \setminus \{\min C\})(\exists i_1 < j)(\forall i \in (i_1, j))[i \in C \Rightarrow \alpha_i \in F(\alpha_j)].$$

Proof. For some club C_0 of μ we have $j \in C_0 \Rightarrow (N_j, \{\alpha_i : i < j\}, W) \prec (N, \{\alpha_i : i < \mu\}, W)$. We let $C = C'_0 = \text{acc}(C)$ (= set of accumulation points of C_0).

We check C is as required; suppose j is a counterexample. So $j = \sup(j \cap C)$ (otherwise choose $i_1 = \max(j \cap C)$). So we can define, by induction on n, i_n , such that:

- (a) $i_n < i_{n+1} < j$
- (b) $\alpha_{i_n} \notin F(\alpha_j)$
- (c) $(\alpha_{i_n}, \alpha_{i_{n+1}}) \cap F(\alpha_j) \neq \emptyset$.

Why (C'_0) ? \models " $F(\alpha_j)$ is unbounded below α_j " hence $N \models$ " $F(\alpha_j)$ is unbounded below α_j ", but in N , $\{\alpha_i : i \in C_0, i < j\}$ is unbounded below α_j .

Clearly for some $n, m, \alpha_j \in W_{n,m}$ (see above). Now we can repeat the proof of [She88, 3.3(2)] (see mainly the end) using only members of $W_{n,m}$.

Note: here we use the number of colors being \aleph_0 . β^+ Fact: Wolog the C in Fact β is μ .

Proof: Renaming. γ Fact: δ is a limit cardinal.

Proof: Suppose not. Now δ cannot be a successor cardinal (as $\text{cf} \delta = \mu \leq \lambda_0 < \delta$) hence for every large enough i , $|\alpha_i| = |\delta|$, so $|\delta| \in W^* \subseteq N$ and $|\delta|^+ \in W^*$.

So $W^* \cap |\delta|$ has cardinality $< \mu$ hence order-type some $\gamma^* < \mu$. Choose $i^* < \mu$ limit such that $[j < i^* \Rightarrow j + \gamma^* < i^*]$. There is a definable function F of $(H(\chi), \in$

, $<^*_\chi$) such that for every limit ordinal α , $F(\alpha)$ is a club of α , $0 \in F(\alpha)$, if $|\alpha| < \alpha$, $F(\alpha) \cap |\alpha| = \emptyset$, $\text{otp}(F(\alpha)) = \text{cf}\alpha$.

So in N there is a closed unbounded subset $C_{\alpha_j} = F(\alpha_j)$ of α_j of order type $\leq \text{cf}\alpha_j \leq |\delta|$, hence $C_{\alpha_j} \cap N$ has order type $\leq \gamma^*$, hence for i^* chosen above unboundedly many $i < i^*$, $\alpha_i \notin C_{\alpha_{i^*}}$. We can finish by fact β^+ . δ Fact: For each $i < \mu$, α_i is a cardinal.

Proof: If $|\alpha_i| < i$ then $|\alpha_i| \in N_i$, but then $|\alpha_i|^+ \in N_i$ contradicting to Fact γ , by which $|\alpha_i|^+ < \delta$, as we have assumed $N_i \cap \delta = N \cap \alpha_i$. ε Fact: For a club of $i < \mu$,

α_i is a regular cardinal.

(Proof: if $S = \{i : \alpha_i \text{ singular}\}$ is stationary, then the function $\alpha_i \rightarrow \text{cf}(\alpha_i)$ is regressive on S . By Fodor lemma, for some $\alpha^* < \delta$, $\{i < \mu : \text{cf}\alpha_i < \alpha^*\}$ is stationary. As $|N \cap \alpha^*| < \mu$ for some β^* , $\{i < \mu : \text{cf}\alpha_i = \beta^*\}$ is stationary. Let $F_{1,m}(\alpha)$ be a club of α of order type $\text{cf}(\alpha)$, and by fact β we get a contradiction as in fact γ . ζ Fact: For a club of $i < \mu$, α_i is Mahlo.

Proof: Use $F_{1,m}(\alpha) =$ a club of α which, if α is a successor cardinal or inaccessible not Mahlo, then it contains no inaccessible, and continue as in fact γ . ξ Fact: For a club of $i < \mu$, α_i is α_i -Mahlo.

Proof: Let $F_{1,m(0)}(\alpha) = \sup\{\zeta : \alpha \text{ is } \zeta\text{-Mahlo}\}$. If the set $\{i < \mu : \alpha_i \text{ is not } \alpha_i\text{-Mahlo}\}$ is stationary then as before for some $\gamma \in N$, $\{i : F_{1,m(0)}(\alpha_i) = \gamma\}$ is stationary and let $F_{1,m(1)}(\alpha)$ — a club of α such that if α is not $(\gamma+1)$ -Mahlo then the club has no γ -Mahlo member. Finish as in the proof of fact δ . \square

Remark 5.6. We can continue and say more.

Lemma 5.7. 1) Suppose $\lambda > \mu > \theta$ are regular cardinals, $n \geq 2$ and

(i) for every regular cardinal κ , if $\lambda > \kappa \geq \theta$ then $\kappa \not\rightarrow [\theta]_{\sigma(1)}^{<\omega}$.

(ii) for some $\alpha(*) < \mu$ for every regular $\kappa \in (\alpha(*), \lambda)$, $\kappa \not\rightarrow [\alpha(*)]_{\sigma(2)}^n$.

Then

(a) $\lambda \not\rightarrow [\mu]_{\sigma}^{n+1}$ where $\sigma = \min\{\sigma(1), \sigma(2)\}$,

(b) there are functions $d_2 : [\lambda]^{n+1} \rightarrow \sigma(2)$, $d_1 : [\lambda]^3 \rightarrow \sigma(1)$ such that for every $W \in [\lambda]^\mu$, $d_1''([W]^3) = \sigma(1)$ or $d_2''([W]^{n+1}) = \sigma(2)$.

2) Suppose $\lambda > \mu > \theta$ are regular cardinals, and

(i) for every regular $\kappa \in [\theta, \lambda)$, $\kappa \not\rightarrow [\theta]_{\sigma(1)}^{<\omega}$,

(ii) $\sup\{\kappa < \lambda : \kappa \text{ regular}\} \not\rightarrow [\mu]_{\sigma(2)}^n$.

Then

(a) $\lambda \not\rightarrow [\mu]_{\sigma}^{2n}$ where $\sigma = \min\{\sigma(1), \sigma(2)\}$

(b) there are functions $d_1 : [\lambda]^3 \rightarrow \sigma(1)$, $d_2 : [\lambda]^{2n} \rightarrow \sigma(2)$ such that for every $W \in [\lambda]^\mu$, $d_1''([W]^3) = \sigma(1)$ or $d_2''([W]^{2n}) = \sigma(2)$.

The proof is similar to that of [She88] 3.3,3.2.

Proof. 1) We choose for each i , $0 < i < \lambda_i$, C_i such that: if i is a successor ordinal, $C_i = \{i-1, 0\}$; if i is a limit ordinal, C_i is a club of i of order type $\text{cf}i$, $0 \in C_i$, $[\text{cf}i < i \Rightarrow \text{cf}i < \min(C_i - \{0\})]$ and $C_i \setminus \text{acc}(C_i)$ contains only successor ordinals.

Now for $\alpha < \beta$, $\alpha > 0$ we define by induction on ℓ , $\gamma_\ell^+(\beta, \alpha)$, $\gamma_\ell^-(\beta, \alpha)$, and then $\kappa(\beta, \alpha)$, $\varepsilon(\beta, \alpha)$.

(A) $\gamma_0^+(\beta, \alpha) = \beta$, $\gamma_0^-(\beta, \alpha) = 0$.

(B) if $\gamma_\ell^+(\beta, \alpha)$ is defined and $> \alpha$ and α is not an accumulation point of $C_{\gamma_\ell^+(\beta, \alpha)}$ then we let $\gamma_{\ell+1}^-(\beta, \alpha)$ be the maximal member of $C_{\gamma_\ell^+(\beta, \alpha)}$ which is $< \alpha$ and $\gamma_{\ell+1}^+(\beta, \alpha)$ is the minimal member of $C_{\gamma_\ell^+(\beta, \alpha)}$ which is $\geq \alpha$ (by the choice of $C_{\gamma_\ell^+(\beta, \alpha)}$ and the demands on $\gamma_\ell^+(\beta, \alpha)$ they are well defined).

So

- (B1) (a) $\gamma_\ell^-(\beta, \alpha) < \alpha \leq \gamma_\ell^+(\beta, \alpha)$, and if the equality holds then $\gamma_{\ell+1}^+(\beta, \alpha)$ is not defined.
 (b) $\gamma_{\ell+1}^+(\beta, \alpha) < \gamma_\ell^+(\beta, \alpha)$ when both are defined.
 (C) Let $k = k(\beta, \alpha)$ be the maximal number k such that $\gamma_k^+(\beta, \alpha)$ is defined (it is well defined as $\langle \gamma_\ell^+(\beta, \alpha) : \ell < \omega \rangle$ is strictly decreasing). So
 (C1) $\gamma_{k(\beta, \alpha)}^+(\beta, \alpha) = \alpha$ or $\gamma_{k(\beta, \alpha)}^+(\beta, \alpha) > \alpha$, $\gamma_{k(\beta, \alpha)}^+(\beta, \alpha)$ is a limit ordinal and α is an accumulation point of $C_{\gamma_{k(\beta, \alpha)}^+(\beta, \alpha)}^+(\beta, \alpha)$.
 (D) For $m \leq k(\beta, \alpha)$ let us define

$$\varepsilon_m(\beta, \alpha) = \max\{\gamma_\ell^-(\beta, \alpha) + 1 : \ell \leq m\}.$$

Note

- (D1) (a) $\varepsilon_m(\beta, \alpha) \leq \alpha$ (if defined),
 (b) if α is limit then $\varepsilon_m(\beta, \alpha) < \alpha$ (if defined),
 (c) if $\varepsilon_m(\beta, \alpha) \leq \xi \leq \alpha$ then for every $\ell \leq m$ we have

$$\gamma_\ell^+(\beta, \alpha) = \gamma_\ell^+(\beta, \xi), \quad \gamma_\ell^-(\beta, \alpha) = \gamma_\ell^-(\beta, \xi), \quad \varepsilon_\ell(\beta, \alpha) = \varepsilon_\ell(\beta, \xi).$$

(explanation for (c): if $\varepsilon_m(\beta, \alpha) < \alpha$ this is easy (check the definition) and if $\varepsilon_m(\beta, \alpha) = \alpha$, necessarily $\xi = \alpha$ and it is trivial).

- (d) if $\ell \leq m$ then $\varepsilon_\ell(\beta, \alpha) \leq \varepsilon_m(\beta, \alpha)$

For a regular $\kappa \in (\alpha^*, \lambda)$ let $g_\kappa^1 : [\kappa]^{<\omega} \rightarrow \sigma(2)$ exemplify $\kappa \not\rightarrow [\theta]_{\sigma(1)}^{<\omega}$ and for every regular cardinal $\kappa \in [\theta, \lambda)$ let $g_\kappa^2 : [\kappa]^n \rightarrow \sigma(2)$ exemplify $\kappa \not\rightarrow [\alpha^*]_{\sigma(2)}^n$. Let us define the colourings:

Let $\alpha_0 > \alpha_1 > \dots > \alpha_n$. Remember $n \geq 2$.

Let $n = n(\alpha_0, \alpha_1, \alpha_2)$ be the maximal natural number such that:

- (i) $\varepsilon_n(\alpha_0, \alpha_1) < \alpha_0$ is well defined,
 (ii) for $\ell \leq n$, $\gamma_\ell^-(\alpha_0, \alpha_1) = \gamma_\ell^-(\alpha_0, \alpha_2)$.

We define $d_2(\alpha_0, \alpha_1, \dots, \alpha_n)$ as $g_\kappa^2(\beta_1, \dots, \beta_n)$ where

$$\kappa = \text{cf}(\gamma_{n(\alpha_0, \alpha_1, \alpha_2)}^+(\alpha_0, \alpha_1)),$$

$$\beta_\ell = \text{otp} \left[\alpha_\ell \cap C_{\gamma_{n(\alpha_0, \alpha_1, \alpha_2)}^+(\alpha_0, \alpha_1)}^+(\alpha_0, \alpha_1) \right].$$

Next we define $d_1(\alpha_0, \alpha_1, \alpha_2)$.

Let $i(*) = \sup \left[C_{\gamma_n^+(\alpha_0, \alpha_2)}^+(\alpha_0, \alpha_2) \cap C_{\gamma_n^+(\alpha_1, \alpha_2)}^+(\alpha_1, \alpha_2) \right]$ where $n = n(\alpha_0, \alpha_1, \alpha_2)$, E be the equivalence relation on $C_{\gamma_n^+(\alpha_0, \alpha_1)}^+(\alpha_0, \alpha_1) \setminus i(*)$ defined by

$$\gamma_1 E \gamma_2 \Leftrightarrow \forall \gamma \in C_{\gamma_n^+(\alpha_0, \alpha_2)}^+(\alpha_0, \alpha_2) [\gamma_1 < \gamma \leftrightarrow \gamma_2 < \gamma].$$

If the set $w = \left\{ \gamma \in C_{\gamma_n^+(\alpha_0, \alpha_1)}^+(\alpha_0, \alpha_1) : \gamma > i(*) \text{ and } \gamma = \min \gamma/E \right\}$ is finite, we let $d_1(\alpha_0, \alpha_1, \alpha_2)$ be $g_\kappa^1(\{\beta_\gamma : \gamma \in w\})$ where $\kappa = |C_{\gamma_n^+(\alpha_0, \alpha_1)}^+(\alpha_0, \alpha_1)|$, $\beta_\gamma = \text{otp}(\gamma \cap C_{\gamma_n^+(\alpha_0, \alpha_1)}^+(\alpha_0, \alpha_1))$.

We have defined d_1, d_2 required in condition (b) (though have not yet proved that they work) We still have to define d (exemplifying $\lambda \not\rightarrow [\mu]_\ell^{n+1}$). Let $n \geq 3$, for $\alpha_0 > \alpha_1 > \dots > \alpha_n$, we let $d(\alpha_0, \dots, \alpha_n)$ be $d_1(\alpha_0, \alpha_1, \alpha_2)$ if w defined during the definition has odd number of members and $d_2(\alpha_0, \dots, \alpha_n)$ otherwise.

Now suppose Y is a subset of λ of order type μ , and let $\delta = \sup Y$. Let M be a model with universe λ and with relations Y and $\{(i, j) : i \in C_j\}$. Let $\langle N_i : i < \mu \rangle$ be an increasing continuous sequence of elementary submodels of M of cardinality $< \mu$ such that $\alpha(i) = \alpha_i = \min(Y \setminus N_i)$ belongs to N_{i+1} , $\sup(N \cap \alpha_i) = \sup(N \cap \delta)$. Let $N = \bigcup_{i < \mu} N_i$. Let $\delta(i) = \delta_i = \sup(N_i \cap \alpha_i)$, so $0 < \delta_i \leq \alpha_i$, and let $n = n_i$ be the

first natural number such that δ_i an accumulation point of $C^i = C_{\gamma_n^+(\alpha_i, \delta(i))}$, let

$\varepsilon_i = \varepsilon_{n(i)}(\alpha_i, \delta_i)$. Note that $\gamma_n^+(\alpha_i, \delta_i) = \gamma_n^+(\alpha_i, \varepsilon_i)$ hence it belongs to N . Case I: For some (limit) $i < \mu$, $\text{cf}(i) \geq \theta$ and $(\forall \gamma < i)[\gamma + \alpha(*) < i]$ such that for arbitrarily large $j < i$, $C^i \cap N_j$ is bounded in $N_j \cap \delta = N_j \cap \delta_j$.

This is just like the last part in the proof of [She88], 3.3 using g_κ^1 and d_1 for $\kappa = \text{cf}(\gamma_{n_i}^+(\alpha_i, \delta_i))$.

Case II: Not case I.

Let $S_0 = \{i < \mu : (\forall \alpha < i)[\gamma + \alpha(*) < i], \text{cf}(i) = \theta\}$. So for every $i \in S_0$ for some $j(i) < i$, $(\forall j) \left[j \in (j(i), i) \Rightarrow C^i \cap N_j \text{ is unbounded in } \delta_j \right]$. But as $C^i \cap \delta_i$ is a club of δ_i , clearly $(\forall j) \left[j \in (j(i), i) \Rightarrow \delta_j \in C^i \right]$.

We can also demand $j(i) > \varepsilon_{n(\alpha(i), \delta(i))}(\alpha(i), \delta(i))$.

As S_0 is stationary, (by not case I) for some stationary $S_1 \subseteq S_0$ and $n(*), j(*)$ we have $(\forall i \in S_1) \left[j(i) = j(*) \wedge n(\alpha(i), \delta_i) = n(*) \right]$.

Choose $i(*) \in S_1$, $i(*) = \sup(i(*) \cap S_1)$, such that the order type of $S_1 \cap i(*)$ is $i(*) > \alpha(*)$. Now if $i_2 < i_1 \in S_1 \cap i(*)$ then $n(\alpha_{i_2}, \alpha_{i_1}, \alpha_{i_2}) = n(*)$. Now $L_{i(*)} = \left\{ \text{otp}(\alpha_i \cap C^{i(*)}) : i \in S_1 \cap i(*) \right\}$ are pairwise distinct and are ordinals $< \kappa = |C^{i(*)}|$, and the set has order type $\alpha(*)$. Now apply the definitions of d_2 and g_κ^2 on $L_{i(*)}$. 2) The proof is like the proof of part (1) but for $\alpha_0 > \alpha_1 > \dots$ we let $d_2(\alpha_0, \dots, \alpha_{2n-1}) = g_\kappa^2(\beta_0, \dots, \beta_n)$ where

$$\beta_\ell = \text{otp}(C_{\gamma_n^+(\beta_{2\ell}, \beta_{2\ell+1})}(\beta_{2\ell}, \beta_{2\ell+1}) \cap \beta_{2\ell+1})$$

and in case II note that the analysis gives μ possible β_ℓ 's so that we can apply the definition of g_κ^2 . \square

Definition 5.8. Let $\lambda \not\rightarrow_{\text{stg}} [\mu]_\theta^n$ mean: if $d : [\lambda]^n \rightarrow \theta$, and $\langle \alpha_i : i < \mu \rangle$ is strictly increasingly continuous and for $i < j < \mu$, $\gamma_{i,j} \in [\alpha_i, \alpha_{i+1})$ then

$$\theta = \left\{ d(w) : \text{for some } j < \mu, w \in [\{\gamma_{i,j} : i < j\}]^n \right\}.$$

Lemma 5.9. 1) $\aleph_t \not\rightarrow [\aleph_1]_{\aleph_0}^{n+1}$ for $n \geq 1$.

2) $\aleph_n \not\rightarrow_{\text{stg}} [\aleph_1]_{\aleph_0}^{n+1}$ for $n \geq 1$.

Proof. 1) For $n = 2$ this is a theorem of Todorćević [[Tod87]], and if it holds for $n \geq 2$ by 5.5(1) we get that it holds for $n+1$ (with $n, \lambda, \mu, \theta, \alpha(*), \sigma(1), \sigma(2)$ there corresponding to $n+1, \aleph_{n+1}, \aleph_1, \aleph_0, \aleph_0, \aleph_0, \aleph_0$ here).

2) Similar. \square

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EINSTEIN INSTITUTE OF MATHEMATICS, THE HEBREW UNIVERSITY OF JERUSALEM, 9190401,
JERUSALEM, ISRAEL; AND, DEPARTMENT OF MATHEMATICS, RUTGERS UNIVERSITY, PISCATAWAY,
NJ 08854-8019, USA

URL: <https://shelah.logic.at/>