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# S-spaces and large continuum

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#### ABSTRACT

We prove that it is consistent with large values of the continuum that there are no S-spaces. We also show that we can also have that compact separable spaces of countable tightness have cardinality at most the continuum.

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#### 1. Introduction

An S-space is a regular hereditarily separable space that is not Lindelöf. If an S-space exists it can be assumed to be a topology on  $\omega_1$  in which initial segments are open [11]. The continuum hypothesis implies that S-spaces exist [9] and the existence of a Souslin tree implies that S-spaces exist [14]. Therefore it is consistent with any value of  $\mathfrak{c}$  that S-spaces exist. Todorcevic [16] proved the major result that it is consistent with  $\mathfrak{c} = \aleph_2$  that there are no S-spaces. He also remarks that this follows from PFA. We prove that it is consistent with arbitrary large values of  $\mathfrak{c}$  that there are no S-spaces. Our method adapts the approach used in [16] and incorporates ideas, such as the Cohen real trick in Lemma 2.15, first introduced in [1,2].

The outline of the proof (of Theorem 4.3) is that we choose a regular cardinal  $\kappa$  in a model of GCH. We construct a preparatory mixed support iteration sequence  $\langle P_{\alpha}, \dot{Q}_{\beta} : \alpha \leq \kappa, \beta < \kappa \rangle$  consisting of iterands that are Cohen posets and cardinal preserving subposets of Jensen's poset for adding a generic cub. Following methods first introduced in [12], but more closely those of [16], the poset  $P_{\kappa}$  is shown to be cardinal preserving. We then extend the iteration sequence to one of length  $\kappa + \kappa$  with iterands that are

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Sh:1228

ccc posets of cardinality less than  $\kappa$ . These iterands are the same as those used in [16]. For cofinally many  $\beta < \kappa$ ,  $\dot{Q}_{\kappa+\beta}$  is constructed so as to add an uncountable discrete subset to a  $P_{\beta}$ -name of an S-space. The bookkeeping is routine to ensure that  $P_{\kappa+\kappa}$  forces there are no S-spaces. The challenging part of the proof is to prove that these  $\dot{Q}_{\beta}$  ( $\kappa \leq \beta < \kappa + \kappa$ ) are ccc in this new setting. In the final section, we use similar techniques to produce a model in which compact separable spaces of countable tightness have cardinality at most  $\mathfrak{c}$ .

## 2. Constructing $P_{\kappa}$

Throughout the paper we assume that GCH holds and that  $\kappa > \aleph_2$  is a regular uncountable cardinal.

**Definition 2.1.** The Jensen poset  $\mathcal{J}$  is the set of pairs (a, A) where a is a countable closed subset of  $\omega_1$  and  $A \supset a$  is an uncountable closed subset of  $\omega_1$ . The condition (a, A) is an extension of  $(b, B) \in \mathcal{J}$  providing a is an end-extension of b and  $A \subset B$ .

We use **E** to denote the set  $\{\lambda + 2k : \lambda < \kappa \text{ a limit}, k \in \omega\}$ . We also choose a family  $\mathcal{I} = \{I_{\gamma} : \gamma \in \mathbf{E}\}$  of subsets of  $\kappa$  such that, for each  $\mu < \gamma \in \mathbf{E}$ 

- (1)  $\gamma \in I_{\gamma} \subset \gamma + 1$  and  $|I_{\gamma}| \leq \aleph_1$ ,
- (2) if  $\gamma < \omega_2$ , then  $I_{\gamma} = \gamma + 1$ ,
- (3) if  $\mu \in I_{\gamma} \cap \mathbf{E}$ , then  $I_{\mu} \subset I_{\gamma}$
- (4) the family  $\mathcal{I}$  is cofinal in  $[\kappa]^{\aleph_1}$ .

Say that a set  $I \subset \kappa$  is  $\mathbb{J}$ -saturated if it satisfies that  $I_{\mu} \subset I$  for all  $\mu \in I \cap \mathbf{E}$ . Of course, each  $I_{\gamma} \in \mathbb{J}$  is  $\mathbb{J}$ -saturated.

**Definition 2.2. A.** We define a mixed support iteration sequence  $\langle P_{\alpha}, \dot{Q}_{\beta} : \alpha \leq \kappa, \beta < \kappa \rangle$ :

- (1)  $P_0 = \emptyset$ ,
- (2)  $p \in P_{\alpha}$  is a function with dom(p), a countable subset of  $\alpha$ , such that dom $(p) \cap \mathbf{E}$  is finite,
- (3) for all  $p \in P_{\alpha}$  and  $\beta \in \text{dom}(p)$ ,  $p(\beta)$  is a  $P_{\beta}$ -name forced by  $1_{P_{\beta}}$  to be an element of  $\dot{Q}_{\beta}$ ,
- (4) the support of a  $P_{\alpha}$ -name  $\tau$ , supp $(\tau)$ , is defined, by recursion on  $\alpha$  to be the union of the set  $\{\operatorname{supp}(\sigma) \cup \operatorname{dom}(q) : (\sigma, q) \in \tau\}$ ,
- (5) for  $\alpha \in \mathbf{E}$ ,  $\dot{Q}_{\alpha}$  is the trivial  $P_{\alpha}$ -name for  $\mathcal{C}_{\omega_1} = \operatorname{Fn}(\omega_1, 2)$  (i.e. each element of  $\dot{Q}_{\alpha}$  has empty support),
- (6) for  $\alpha \in \mathbf{E}$ ,  $\dot{Q}_{\alpha+1}$  is the subposet of the standard  $P_{\alpha+1}$ -name for  $\mathcal{J}$  consisting of the  $P_{\alpha+1}$ -names that are forced to have the form  $(\dot{a}, \dot{A})$  where  $\operatorname{supp}(\dot{a}) \subset \mathbf{E} \cap I_{\alpha}$ ,  $\operatorname{supp}(\dot{A}) \subset \alpha$ , and  $1_{P_{\alpha}+1}$  forces that  $(\dot{a}, \dot{A}) \in \mathcal{J}$ .  $\dot{Q}_{\alpha+1}$  is chosen so as to be sufficiently rich in names in the sense that if  $p \in P_{\alpha+1}$  and  $\dot{q}$  is a  $P_{\alpha+1}$ -name such that  $p \Vdash_{P_{\alpha}} \dot{q} \in \dot{Q}_{\alpha+1}$ , then there is a  $\dot{q}_1 \in \dot{Q}_{\alpha+1}$  such that  $p \Vdash_{\dot{q}} \dot{q}_1 \in \dot{q}_1$ .

**B.** For each  $\alpha \in \mathbf{E}$ , we let  $\dot{C}_{\alpha}$  denote the  $P_{\alpha+2}$ -name of the generic subset of  $\omega_1$  added by  $\dot{Q}_{\alpha+1}$ .

Remark 1. Since we defined the family  $\mathcal{I}$  to have the property that  $I_{\gamma} = \gamma + 1$  for all  $\gamma \in \omega_2 \cap \mathbf{E}$ , it follows that  $1_{P_{\omega_2}}$  is isomorphic to that used in [16]. It also follows that for all  $\beta \in \omega_2 \cap \mathbf{E}$ ,  $P_{\beta+1} \Vdash \dot{Q}_{\beta+1}$  is countably closed. We necessarily lose this property for  $\omega_2 \leq \beta$  for any family  $\mathcal{I}$  satisfying our properties (1)-(4). Nevertheless, our development of the properties of  $P_{\kappa}$  will closely follow that of [16].

**Remark 2.** We prove in Lemma 2.13 that, for each  $\alpha \in \mathbf{E}$ ,  $\dot{C}_{\alpha}$  is forced, as hoped, to be a cub. However, even though, for  $\beta \geq \omega_2$ ,  $P_{\beta+1}$  does not force that  $\dot{Q}_{\beta+1}$  is countably closed, we make note of subsets of the iteration sequence that have special properties, such as in Lemma 2.9.

For any ordered pair (a, b), let  $\pi_0((a, b)) = a$  and  $\pi_1((a, b)) = b$ . For convenience, for an element v of V and any  $\alpha < \kappa$ , we identify the usual trivial  $P_{\alpha}$ -name for v with v itself. In particular, if  $s \in \mathcal{C}_{\omega_1}$  and  $\alpha \in \mathbf{E}$ , then  $s \in \dot{Q}_{\alpha}$ . Similarly, if  $(\dot{a}, \dot{A})$  is a pair of the form specified in Definition 2.2(6), then again  $(\dot{a}, \dot{A})$  can be regarded as an element of  $\dot{Q}_{\alpha+1}$ . We will say that a P-name  $\tau$  for a subset of an ordinal  $\lambda$  and poset P is canonical if it is a subset of  $\lambda \times P$  and if  $\{p : (\alpha, p) \in \tau\}$  is an antichain for all  $\alpha \in \lambda$ . Let  $\mathcal{D}_{\beta}$  denote the set of canonical  $P_{\beta}$ -names of closed and unbounded subsets of  $\omega_1$ .

**Definition 2.3.** For each  $\alpha < \kappa$ , let  $P'_{\alpha}$  denote the subset of  $P_{\alpha}$ , where  $p \in P'_{\alpha}$  providing for all  $\beta \in \text{dom}(p) \cap \mathbf{E}$ ,  $p(\beta)$  is, literally, an element of  $\mathcal{C}_{\omega_1}$ .

**Lemma 2.4.** For all  $\alpha \leq \kappa$ ,  $P'_{\alpha}$  is a dense subset of  $P_{\alpha}$ .

**Proof.** Assume  $\alpha \leq \kappa$  and that, by induction,  $P'_{\beta}$  is a dense subset of  $P_{\beta}$  for all  $\beta < \alpha$ . Consider any  $p \in P_{\alpha}$ . If  $\alpha$  is a limit, choose any  $\beta < \alpha$  such that  $dom(p) \cap \mathbf{E} \subset \beta$ . Choose any  $p' \in P'_{\beta}$  so that  $p' . We then have that <math>p' \cup p \upharpoonright (\alpha \setminus \beta)$  is a condition in  $P_{\alpha}$  that is below p.

Now let  $\alpha = \beta + 1$ . If  $\beta \in \mathbf{E}$ , then choose  $p' \in P'_{\beta}$  so that there is an  $s \in \mathcal{C}_{\omega_1}$  such that  $p' \Vdash_{P_{\beta}} p(\beta) = s$ . Then the desired extension of p in  $P'_{\alpha}$  is  $p' \cup \langle \beta, s \rangle$ . Similarly, if  $\beta \notin \mathbf{E}$  and  $p' \in P'_{\beta}$  with  $p' , then <math>p' \cup \langle \beta, p(\beta) \rangle \in P'_{\alpha}$ .  $\square$ 

**Proposition 2.5.** If  $p \in P_{\kappa}$  then for every  $I \subset \kappa$ ,  $p \upharpoonright I \in P_{\kappa}$  and  $p \leq p \upharpoonright I$ .

**Definition 2.6.** For a subset  $I \subset \kappa$  and  $\alpha \leq \kappa$ , let  $P_{\alpha}(I)$  denote the subset  $\{p \in P'_{\alpha} : \text{dom}(p) \subset I\}$ .

Recall that for posets  $(P, <_P)$  and  $(R, <_R)$ , P is a complete subposet of R, i.e.  $P \subset_c R$ , providing

- (1)  $P \subset R$ ,  $\langle P = \langle R \cap (P \times P) \rangle$ ,
- (2)  $\perp_P = \perp_R \cap (P \times P)$ , where  $\perp$  is the incompatibility relation,
- (3) for each  $r \in R$ , the set of projections,  $\operatorname{proj}_P(r)$ , is not empty, where  $\operatorname{proj}_P(r) = \{ p \in P : (\forall q \in P) (q <_P \in P) \mid p \Rightarrow q \not \perp_R r \}$ .

If  $P \subset_c R$ , then R/P is often used to denote the P-name of the poset satisfying that  $R \simeq P * R/P$ . In fact, R/P can be defined so that simply if  $G \subset P$  is a generic filter, then  $\operatorname{val}_G(R/P) = \{r \in R : \operatorname{proj}_P(r) \cap G \neq \emptyset\}$  with the ordering inherited from  $<_R$ . With this view,  $\operatorname{val}_G(R/P) = G^+$  where, as is standard,  $G^+ = \{r \in R : (\forall p \in G)r \not\perp p\}$ . Of course it follows that for  $\beta < \alpha \leq \kappa$ ,  $P_\beta \subset_c P_\alpha$ .

It is clear that  $P_{\alpha}(\mathbf{E})$  is isomorphic to (the usual dense subset of) a finite support iteration of the Cohen poset  $\mathcal{C}_{\omega_1}$ .

**Proposition 2.7.** For each  $\alpha \leq \kappa$ , the set  $P_{\alpha}(\mathbf{E}) \subset_{c} P_{\alpha}$  and is ccc.

**Definition 2.8.** For each  $\alpha \in \mathbf{E}$ , let  $Q'_{\alpha+1}$  be the subset of  $\dot{Q}_{\alpha+1}$  consisting of those pairs  $(\dot{a}, \dot{A})$  as in Definition 2.2(6).

We may note that, for each  $(\dot{a}, \dot{A}) \in Q'_{\alpha+1}$ ,  $\dot{a}$  is a  $P_{\alpha+1}(I_{\alpha} \cap \mathbf{E})$ -name and  $\dot{A}$  is a  $P_{\alpha}$ -name that is forced by  $1_{P_{\alpha}}$  to be a cub subset of  $\omega_1$ . Also, for every  $p \in P_{\alpha+1}$ ,  $p \upharpoonright \alpha \Vdash p(\alpha+1) \in Q'_{\alpha+1}$ .

**Lemma 2.9.** If  $\alpha \in \mathbf{E}$  and  $\{(\dot{a}_n, \dot{A}_n) : n \in \omega\} \subset Q'_{\alpha+1}$  is a sequence that satisfies, for each  $n \in \omega$ ,  $1 \Vdash_{P_{\alpha+1}} (\dot{a}_{n+1}, \dot{A}_{n+1}) \leq (\dot{a}_n, \dot{A}_n)$ , then there is a condition  $(\dot{a}, \dot{A}) \in Q'_{\alpha+1}$  such that

(1)  $1 \Vdash_{P_{\alpha+1}} forces that \dot{a} is the closure of \bigcup \{\dot{a}_n : n \in \omega\},\$ 

Sh:1228

- (2)  $1_{P_{\alpha}}$  forces that  $\dot{A}$  equals  $\bigcap {\{\dot{A}_n : n \in \omega\}}$ ,
- (3)  $1 \Vdash_{P_{\alpha+1}} forces that (\dot{a}, \dot{A}) = \bigwedge \{ (\dot{a}_n, \dot{A}_n) : n \in \omega \}.$

**Proof.** In the forcing extension by a  $P_{\alpha+1}$ -generic filter G, it is clear that  $(\operatorname{cl}(\bigcup\{\operatorname{val}_G(\dot{a}_n)),\bigcap\{\operatorname{val}_G(\dot{A}_n):n\in\omega\})$  is the meet in  $\mathcal J$  of the sequence  $\{(\operatorname{val}_G(\dot{a}_n),\operatorname{val}_G(\dot{A}_n)):n\in\omega\}$ . We just have to be careful about the supports of the names for these objects. Each  $\dot{a}_n$  is a  $P_{\alpha+1}(I_\alpha)$ -name and so it is clear that there is a  $P_{\alpha+1}(I_\alpha\cap\mathbf{E})$ -name,  $\dot{a}$ , such that  $1\Vdash_{P_{\alpha+1}}\dot{a}=\operatorname{cl}(\bigcup\{\dot{a}_n:n\in\omega\})$ . This is the only subtle point. Any  $P_\alpha$ -name,  $\dot{A}$ , for  $\bigcap\{\dot{A}_n:n\in\omega\}$  is adequate (although we are using that each  $\dot{A}_n$  is a  $P_\alpha$ -name forced by 1 to be a cub).  $\square$ 

When we have a sequence  $\{(\dot{a}_n, \dot{A}_n) : n \in \omega\} \subset \dot{Q}'_{\alpha+1}$  as in the hypothesis of Lemma 2.9, we will use  $\bigwedge \{(\dot{a}_n, \dot{A}_n) : n \in \omega\}$  to denote the element  $(\dot{a}, \dot{A})$  in the conclusion of the Lemma.

Let  $<_E$  denote the relation on  $P_{\kappa}$  defined by  $p_1 <_E p_0$  providing

- (1)  $p_1 \leq p_0$ ,
- (2)  $p_1 \upharpoonright \mathbf{E} = p_0 \upharpoonright \mathbf{E}$ ,
- (3) for  $\beta \in \text{dom}(p_0) \setminus \mathbf{E}$ ,  $\mathbf{1}_{P_\beta} \Vdash p_1(\beta) < p_0(\beta)$ .

For  $r \in P_{\kappa}(\mathbf{E})$  and compatible  $p \in P_{\kappa}$ , let  $p \wedge r$  denote the condition with domain  $\operatorname{dom}(p) \cup \operatorname{dom}(r)$  satisfying  $(p \wedge r)(\beta) = p(\beta) \cup r(\beta)$  for  $\beta \in \operatorname{dom}(r)$  and  $(p \wedge r)(\beta) = p(\beta)$  for  $\beta \in \operatorname{dom}(p) \setminus \operatorname{dom}(r)$ . For convenience, let  $p \wedge r$  equal p if  $r \in P_{\kappa}$  is not compatible with p.

**Lemma 2.10.** Assume that  $\{p_n : n \in \omega\} \subset P'_{\kappa}$  is a  $<_E$ -descending sequence. Then there is a  $p_{\omega} \in P'_{\kappa}$  such that  $dom(p) = \bigcup_n dom(p_n)$  and  $p_{\omega} <_E p_n$  for all  $n \in \omega$ .

**Proof.** We let  $J = \bigcup \{ \operatorname{dom}(p_n) : n \in \omega \}$ . We define  $p_\omega \upharpoonright \beta$  by induction on  $\beta \in \mathbf{E}$  so that  $\operatorname{dom}(p_\omega \upharpoonright \beta) = J \cap \beta$ . For limit  $\alpha$ , simply  $p_\omega \upharpoonright \alpha = \bigcup_{\beta < \alpha} p_\omega \upharpoonright \beta$ . If  $p_\omega \upharpoonright \beta <_E p_n \upharpoonright \beta$  for all  $n \in \omega$  and  $\beta < \alpha$ , then we have  $p_\omega \upharpoonright \alpha <_E p_n \upharpoonright \alpha$  for all  $n \in \omega$ . Now let  $\alpha = \beta + 2$  with  $\beta \in \mathbf{E}$  and assume that we have defined  $p_\omega \upharpoonright \beta$  as above. If  $\beta \in J$ , then let  $p_\omega(\beta) = p_0(\beta)$ . If  $\beta + 1 \in J$ , then  $\mathbf{1}_{P_{\beta+1}}$  forces that  $\{p_n(\beta+1) : n \in \omega\}$  is a descending sequence in  $Q_{\beta+1}$ . We define  $p_\omega(\beta+1)$  to equal  $A \in \mathcal{P}_n(\beta+1) : n \in \omega$ . It follows by the definition of  $A \in \mathcal{P}_n(\beta+1) : n \in \omega$ , that  $A \in \mathcal{P}_n(\beta+1) : n \in \omega$ , that  $A \in \mathcal{P}_n(\beta+1) : n \in \omega$ .  $\square$ 

**Lemma 2.11.** For every  $p_0 \in P'_{\kappa}$  and dense subset D of  $P_{\kappa}$ , there is a  $p <_E p_0$  satisfying that the set  $D \cap \{p \wedge r : r \in P_{\kappa}(\mathbf{E})\}$  is predense below p. Moreover, there is a countable subset of  $D \cap \{p \wedge r : r \in P_{\kappa}(\mathbf{E})\}$  that is predense below p.

**Proof.** Let  $r_0 = p_0 \upharpoonright \mathbf{E}$ . There is nothing to prove if  $p_0 \in D$  so assume that it is not. By induction on  $0 < \eta < \omega_1$ , we choose, if possible, conditions  $p_{\eta}, r_{\eta}$  such that, for all  $\zeta < \eta$ :

- (1)  $p_{\zeta} <_E p_{\eta} \text{ and } r_{\zeta} < r_0$ ,
- (2)  $p_{\zeta} \wedge r_{\zeta} \in D$ ,
- (3)  $(p_{\eta} \wedge r_{\eta}) \perp (p_{\zeta} \wedge r_{\zeta}).$

Suppose that we have so chosen  $\{p_{\zeta}, r_{\zeta} : \zeta < \eta\}$ . Let  $L_{\eta} = \bigcup \{\text{dom}(p_{\zeta}) : \zeta < \eta\}$ . If  $\eta = \beta + 1$ , let  $\bar{p}_{\eta} = p_{\beta}$ . If  $\eta$  is a limit, then let  $\bar{p}_{\eta}$  be a condition as in Lemma 2.10 for some cofinal sequence in  $\eta$ . If  $\{p_{\zeta} \wedge r_{\zeta} : \zeta < \eta\}$  is predense below  $\bar{p}_{\eta}$ , we halt the induction and set  $p = \bar{p}_{\eta}$ . Otherwise we choose any  $p_{\eta} <_E \bar{p}_{\eta}$  and an  $r_{\eta} \supset r_0$  so that  $p_{\eta} \wedge r_{\eta}$  in D. The induction will halt for some  $\eta < \omega_1$  since the family  $\{r_{\zeta} : \zeta < \eta\}$  is evidently an antichain in  $P_{\kappa}(\mathbf{E})$ .  $\square$ 

Corollary 2.12. For each  $\beta \in \mathbf{E}$ ,  $P_{\beta}$  is proper and  $P_{\beta}/P_{\beta}(\mathbf{E} \cap \beta)$  does not add any reals.

**Proof.** Let  $P_{\beta} \in M$  where M is a countable elementary submodel of  $H(\kappa^+)$ . Let  $\{D_n : n \in \omega\}$  be an enumeration of the dense open subsets of  $P_{\beta}$  that are members of M. By Lemma 2.11, we have that for each  $q \in P_{\beta} \cap M$  and  $n \in \omega$ , there is a  $\bar{q} <_E q$  also in  $P_{\beta} \cap M$  so that  $D_n \cap \{\bar{q} \wedge r : r \in P_{\beta}(\mathbf{E}) \cap M\}$  is predense below  $\bar{q}$ . Let  $M \cap \omega_1 = \delta$ . Fix any  $p_0 \in P_{\beta} \cap M$ . By a simple recursion, we may construct a  $<_E$ -descending sequence  $\{p_n : n \in \omega\} \subset M$  so that, for each  $n, D_n \cap \{p_{n+1} \wedge r : r \in P_{\beta}(\mathbf{E}) \cap M\}$  is predense below  $p_{n+1}$ . By Lemma 2.10, we have the  $(P_{\beta}, M)$ -generic condition  $p_{\omega}$ . It is clear that for each  $P_{\beta}$ -name  $\tau \in M$  for a subset of  $\omega$ ,  $p_{\omega}$  forces that  $\tau$  is equal to a  $P_{\beta}(\mathbf{E})$ -name. This implies that  $P_{\beta}/P_{\beta}(\mathbf{E} \cap \beta)$  does not add reals.  $\square$ 

We can now prove that  $P_{\beta+2}$  does indeed force that  $\dot{C}_{\beta}$  is a cub.

**Lemma 2.13.** For each  $\beta \in \mathbf{E}$ ,  $P_{\beta+2}$  forces that  $\dot{C}_{\beta}$  is unbounded in  $\omega_1$ .

**Proof.** Let  $p \in P_{\beta+2}$  be any condition and let  $\gamma \in \omega_1$ . By possibly strengthening p we can assume that  $p(\beta+1) \in Q'_{\beta+1}$ . We find q < p so that  $q \Vdash \dot{C}_{\beta} \setminus \gamma$  is not empty. Let  $p, P_{\beta+2}$  be members of a countable elementary submodel  $M \prec H(\kappa^+)$ . Let  $\bar{p} be <math>(P_{\beta}, M)$ -generic and let  $\dot{D} = \pi_1(p(\beta+1)) \in \mathcal{D}_{\beta}$ . Since  $p, \dot{D}$  are members of M and p forces that  $\dot{D}$  is a cub, it follows that  $\bar{p} \Vdash \delta \in \dot{D}$ . It also follows that  $\bar{p} \Vdash \dot{a} \subset \dot{D} \cap \delta$ . Let  $\dot{a}_1$  be the  $P_{\beta+1}$ -name that has support equal to the support of the name  $\dot{a}$  and satisfies that  $\mathbf{1}_{P_{\beta}+1} \Vdash \dot{a}_1 = \dot{a} \cup \{\delta\}$ . Let  $\dot{E}$  be the  $P_{\beta}$ -name for  $\dot{D} \cup \{\delta\}$  and notice that, given that  $(\dot{a}, \dot{D}) \in Q'_{\beta+1}$ , we have that  $(\dot{a}_1, \dot{E})$  is also in  $Q'_{\beta+1}$ . Now let  $q \in P_{\beta+2}$  be defined according to  $q \upharpoonright \beta = \bar{p}, q(\beta) = p(\beta)$ , and  $q(\beta+1) = (\dot{a}_1, \dot{E})$ . It is immediate that  $q \upharpoonright \beta+1 . Also, <math>q \upharpoonright \beta+1$  forces that  $\dot{a}$  is an initial segment of  $\dot{a}_1$ , that  $\dot{a}_1 \subset \dot{D}$ , and that  $\dot{E} \subset \dot{D}$ . Therefore, q < p and  $q \Vdash \delta \in \dot{C}_{\beta}$ .  $\square$ 

**Lemma 2.14.** For each  $\beta \leq \kappa$ ,  $P_{\beta}$  satisfies the  $\aleph_2$ -cc.

**Proof.** We prove the lemma by induction on  $\beta$ . If  $\beta \in \mathbf{E}$  and  $P_{\beta}$  satisfies the  $\aleph_2$ -cc, then it is trivial that  $P_{\beta+1}$  does as well. Similarly  $P_{\beta+2}$  satisfies the  $\aleph_2$ -cc since  $P_{\beta+1} \star Q'_{\beta+1}$  clearly does, and this poset is dense in  $P_{\beta+2}$ . The argument for limit ordinals  $\beta$  with cofinality less than  $\omega_2$  is straightforward, so we assume that  $\beta$  is a limit with cofinality greater than  $\omega_1$ . Let  $\{p_{\gamma}: \gamma \in \omega_2\}$  be a subset of  $P'_{\beta}$ . Choose any elementary submodel M of  $H(\kappa^+)$  such that  $\{p_{\gamma}: \gamma \in \omega_2\} \in M$ ,  $|M| = \aleph_1$ , and  $M^{\omega} \subset M$ . Let  $M \cap \omega_2 = \lambda$  and let  $I = \text{dom}(p_{\lambda}) \cap M$  and fix any  $\mu \in M \cap \beta$  so that  $I \subset \mu$ . For each  $\beta \in \mathbf{E}$  such that  $\beta + 1 \in I$ , let  $\dot{a}_{\beta} \in M$  so that  $\pi_0(p_{\lambda}(\beta+1)) = \dot{a}_{\beta}$ . That is,  $p_{\lambda}(\beta) = (\dot{a}_{\beta}, \dot{D}_{\beta})$  for some  $\dot{D}_{\beta} \in \mathcal{D}_{\beta}$ . Clearly the countable sequence  $\{\dot{a}_{\beta}: \beta \in I \cap \mathbf{E}\}$  is an element of M. Therefore there is a  $\gamma \in M$  so that  $\text{dom}(p_{\gamma}) \cap \mu = I$  and so that  $\pi_0(p_{\gamma}(\beta+1)) = \dot{a}_{\beta}$  for all  $\beta \in \mathbf{E}$  such that  $\beta+1 \in I$ . It follows that  $p_{\gamma} \not\perp p_{\lambda}$ .  $\square$ 

Now we discuss the Cohen real trick, which, though simple and powerful, is burdened with cumbersome notation.

**Lemma 2.15.** Let  $\alpha \in \mathbf{E}$  and let  $p_0 \in P_{\alpha+2} \in M$  be a countable elementary submodel of  $H(\kappa^+)$  and let  $\delta = M \cap \omega_1$ . There is a  $(P_{\alpha+2}, M)$ -generic condition  $p_1 < p_0$  satisfying that for all  $P_{\alpha}$ -generic filters satisfying  $p_1 \upharpoonright \alpha \in G_0$  and  $\dot{Q}_{\alpha}$ -generic filters  $p_1(\alpha) \in G_1$ , the collection, in  $V[G_0 * G_1]$ ,

$$p_{1\alpha}^{\uparrow} = \{ p(\alpha+1) : p \in M \cap P_{\alpha+2}, \ p \upharpoonright (\alpha+1) \in G_0 * G_1, \ p_1$$

is  $\operatorname{val}_{G_0*G_1}(\dot{Q}_{\alpha+1}\cap M)$ -generic over  $V[G_0*(G_1\upharpoonright \delta)]$ .

Moreover, for any  $P_{\alpha}$ -name  $\dot{Q}$  of a ccc poset and  $P_{\alpha} * \dot{Q}$ -generic filter  $G_0 * G_2$ ,  $p_{1\alpha}^{\uparrow}$  is also generic over the model  $V[G_0 * G_2][G_1 \upharpoonright \delta]$ .

A. Dow, S. Shelah / Topology and its Applications 333 (2023) 108526

**Proof.** Let  $\dot{Q}$  be any  $P_{\alpha}$ -name of a ccc poset. Choose any  $\bar{p}_1 < p_0 \upharpoonright (\alpha + 1)$  that is  $(M, P_{\alpha})$ -generic with  $\bar{p}_1(\alpha) = p(\alpha)$ . We will let  $p_1 \upharpoonright \alpha = \bar{p}_1 \upharpoonright \alpha$  and then we simply have to choose a value for  $p_1(\alpha + 1)$ . We may assume that  $\bar{p}_1 \upharpoonright \mathbf{E} = p_0 \upharpoonright \mathbf{E}$ . Let  $\tilde{G}$  denote the filter  $(G_0 * G_1) \cap P_{\alpha+1}(I_{\alpha} \cap \mathbf{E})$  and let  $R = (M \cap \dot{Q}_{\alpha+1})/\tilde{G}$ . For  $r \in R$  we may regard r in the extension  $V[\tilde{G}]$  to have the form  $(a_r, \dot{A}_r)$ , with  $a_r \subset \omega_1$ , because, for each  $(\dot{a}, \dot{A}) \in M \cap \dot{Q}_{\alpha+1}$ ,  $\dot{a}$  has support contained in  $P_{\alpha+1}(I_{\alpha} \cap \mathbf{E})$ . We have no such reduction for  $\dot{A}$ . We adopt the subordering,  $<_R$ , on R where  $(a, \dot{A}) <_R (b, \dot{B})$  in R will mean that  $\mathbf{1}_{P_{\alpha+1}} \Vdash \dot{A} \subset \dot{B}$ . The fact that  $(a, \dot{A}) \in R$  already means that  $\mathbf{1}_{P_{\alpha+1}} \Vdash a \subset \dot{A}$ . If  $p \in M \cap P_{\alpha+1}$  and  $(a, \dot{A}_1) \in R$  is such that  $p \Vdash (a, \dot{A}_1) < (b, \dot{B})$ , then there is an  $(a, \dot{A}) \in R$  such that  $p \Vdash \dot{A} = \dot{A}_1$  and  $(a, \dot{A}) <_R (b, \dot{B})$ .

The quotient poset  $(R/\tilde{G}, <_R)$  is isomorphic to  $\mathcal{C}_{\omega}$ . Let  $\psi \in V[\tilde{G}]$  be an isomorphism from  $\mathcal{C}_{(\delta,\delta+\omega)}$  to  $(R/\tilde{G},<_R)$ . We regard  $\mathcal{C}_{(\delta,\delta+\omega)}$  as the canonical subposet of  $\dot{Q}_{\alpha}$  and let  $G^{\delta}_{\alpha}$  denote a generic filter for this subposet of  $\dot{Q}_{\alpha}$ . Now we have, in the extension  $V[\tilde{G}][G^{\delta}_{\alpha}]$ , a  $<_R$ -filter  $R^{\delta}_{\alpha} \subset R$  given by  $\{\psi(\sigma): \sigma \in G^{\delta}_{\alpha}\}$ . Let  $a_{\omega} = \{\delta\} \cup \bigcup \{a_r: r \in R^{\delta}_{\alpha}\}$ . Note that  $\bar{p}_1$  forces that  $\delta \in \dot{C}$  for all  $\dot{C} \in M \cap \mathcal{D}_{\alpha}$ . By the construction, it follows that we may fix a  $P_{\alpha+1}$ -name,  $\dot{a}_{\omega}$ , for  $a_{\omega}$ , that has support contained in  $I_{\alpha} \cap \mathbf{E}$ . Let  $\dot{A}_{\omega}$  be the  $P_{\alpha+1}$ -name satisfying that  $\bar{p}_1$  forces that  $\dot{A}_{\omega}$  equals the intersection of all  $\dot{C} \in \mathcal{D}_{\alpha} \cap M$  such that  $\dot{a}_{\omega} \subset \dot{C}$ . It follows that for  $r \in R^{\delta}_{\alpha}$  and  $\tilde{p} \upharpoonright \alpha + 1 < \bar{p}_1$ ,  $\tilde{p}(\alpha) \in G^{\delta}_{\alpha}$ , and  $\tilde{p}(\alpha+1) = r$ , we have that  $\tilde{p} \wedge r \Vdash \dot{A}_{\omega} \subset \dot{A}_r$  (and this takes place in  $V[\tilde{G}]$ ). We may choose  $\dot{A}_{\omega}$  so that  $\tilde{p} \Vdash \dot{A}_{\omega} = \omega_1$  for all  $\tilde{p} \perp \bar{p}_1$  in  $P_{\alpha+1}$ . It then follows that  $(\dot{a}_{\omega}, \dot{A}_{\omega})$  is an element of  $\dot{Q}_{\alpha+1}$ . We now define  $p_1$  so that  $p_1 \upharpoonright \alpha + 1 = \bar{p}_1$  and  $p_1(\alpha+1) = (\dot{a}_{\omega}, \dot{A}_{\omega})$ . The fact that  $p_1$  is  $(M, P_{\alpha+2})$ -generic follows from the stronger claim below.

Claim 3. Let  $G_0$  be a  $P_{\alpha}$ -generic with  $\bar{p}_1 \upharpoonright \alpha \in G_0$  and let  $G_1$  be a  $\dot{Q}_{\alpha}$ -generic filter with  $\bar{p}_1(\alpha) \in M \cap G_1$ . Also let  $G_0 * G_2$  be  $P_{\alpha} * \dot{Q}$ -generic. Let  $\sigma \in \mathcal{C}_{(\delta,\delta+\omega)}$  be arbitrary. Let  $\dot{D}$  be a  $P_{\alpha+1} * \dot{Q}$ -name of a dense subset of  $\operatorname{val}_{G_0*G_1}(\dot{Q}_{\alpha+1} \cap M)$ . Then there is a  $\tau \supset \sigma$  such that  $\tau \Vdash p_{1\alpha}^{\uparrow} \cap \operatorname{val}_{G_0*G_1\times G_2}(\dot{D}) \neq \emptyset$ .

**Proof of Claim.** Fix the generic filter  $\tilde{G} \subset G_0 * G_1$  as used in the construction of  $(\dot{a}_{\omega}, \dot{A}_{\omega})$  and let  $\psi$ :  $\mathcal{C}^{\delta}_{\alpha} \to (R/\tilde{G}, <_R)$  denote the above mentioned isomorphism. Let  $(b, \dot{B}) = \psi(\sigma)$  and, using the density of  $\operatorname{val}_{G_0*(G_1\times G_2)}(\dot{D})$ , choose  $(a, A) < (b, \operatorname{val}_{G_0*G_1}(\dot{B}))$ , so that  $(a, A) \in \operatorname{val}_{G_0*(G_1\times G_2)}(\dot{D})$ . By elementarity, choose  $(\dot{a}, \dot{A}) \in M \cap \dot{Q}_{\alpha+1}$  such that  $\operatorname{val}_{G_0*G_1}((\dot{a}, \dot{A})) = (a, A)$ . Again by elementarity and using that  $\bar{p}_1$  is  $(M, P_{\alpha+1})$ -generic, there is a  $p \in M \cap (G_0 * G_1)$  such that  $p \Vdash \dot{A} \subset \dot{B}$ . Now choose  $\tau \supset \sigma$  so that  $\psi(\tau) = (a, \dot{A}_1)$  satisfies that  $(a, \dot{A}_1) <_R (b, \dot{B})$  and  $p \Vdash \dot{A}_1 = \dot{A}$ . It follows that  $\tau \Vdash (a, \dot{A}_1) \in \operatorname{val}_{G_0*(G_1 \times G_2)}(\dot{D})$ . Since  $p_1 \land \tau$  also forces that  $p_1(\alpha+1) < (a, \dot{A}_1)$  we have that  $p_1 \land \tau \Vdash (a, \dot{A}_1) \in p_{1\alpha}^{\uparrow}$ .  $\square$ 

This completes the proof of the Lemma.  $\Box$ 

**Lemma 2.16.** Let  $\lambda < \kappa$  with  $\lambda \in \mathbf{E}$  and let  $\dot{Q}$  be a  $P_{\lambda}$ -name of a ccc poset. Then  $P_{\kappa}$  forces that  $\dot{Q}$  is ccc.

**Proof.** Let G be a  $P_{\lambda}$ -generic filter and let  $Q = \operatorname{val}_G(\dot{Q})$ . Since  $P_{\kappa}$  satisfies the  $\aleph_2$ -cc, we can assume that Q is of the form  $(\omega_1, <_Q)$ . We work in the extension V[G] and we view, for each  $\lambda < \alpha \leq \kappa$ ,  $\bar{P}_{\alpha} = P_{\alpha}/G$  as a subset of  $P_{\alpha}$ . We prove, by induction on  $\lambda \leq \alpha \in \mathbf{E}$ , that for any countable elementary submodel  $\{Q, \lambda, \bar{P}_{\alpha}\} \in M$  and any  $p \in \bar{P}_{\alpha} \cap M$ , there is a  $p_M <_E p$  such that  $(1_Q, p_M)$  is  $(M, Q \times \bar{P}_{\alpha})$ -generic. Note that this inductive hypothesis, i.e. the fact that it is  $(1_Q, p_M)$  that is the generic condition rather than  $(q, p_M)$  for some other  $q \in Q$ , is equivalent to the statement that  $P_{\alpha}$  preserves that Q is ccc.

The proof at limit steps follows the standard proof (as in [15]) that the countable support iteration of proper posets is proper. We feel that this can be skipped. So let  $\alpha = \beta + 2$  for some  $\beta \in \mathbf{E}$ . Let M be a suitable countable elementary submodel and let  $p \in P_{\alpha} \cap M$  (such that  $p \upharpoonright \lambda \in G$ ). Let  $M \cap \omega_1 = \delta$ . By the inductive hypothesis, we can assume that we have  $\bar{p}_1 \in P_{\beta}$  so that,  $\bar{p}_1 \upharpoonright \lambda \in G$ ,  $\bar{p}_1 <_E p \upharpoonright \beta$  and so that  $(1_Q, \bar{p}_1)$  is an  $(M, Q \times P_{\beta})$ -generic condition. Of course it is also clear that  $(1_Q, \bar{p}_1)$  is an  $(M, Q \times P_{\beta+1})$ -generic condition. Now let  $p_1 \in P_{\beta+2}$  be chosen as in Lemma 2.15. That is,  $p_1$  is chosen so that for any  $P_{\beta}$ -generic filter  $G_{\beta} \supset G$  with  $p_1 \upharpoonright \beta \in G_{\beta}$ , any  $C_{\omega_1}$ -generic  $G_1$  with  $p_1(\beta) \in G_1$ , and, since Q is ccc in  $V[G_{\beta}]$ , any Q-generic filter  $G_Q$ , we have that  $p_1^{\uparrow}_{\beta}$  is generic over  $V[G_{\beta} * (G_1 \times G_Q)]$ . Let  $G_{\beta+1} = G_{\beta} * G_1$ .

Let  $D \in M$  be any dense open subset of  $P_{\beta+2} * Q$ . Let R denote  $\dot{Q}_{\beta+1}/(G_{\beta} * G_1)$ . It follows that  $D/(G_{\beta} * G_1)$  or

$$E = \{ (r,q) : (\exists d \in D) \ (d \upharpoonright \beta + 1 \in G_{\beta} * G_1 \& d = d \upharpoonright \beta + 1 * (r,q)) \}$$

is a dense open subset of  $R \times Q$  and  $E \in M[G_{\beta+1}]$ . By standard product forcing theory, we have that for each  $r \in R$ ,  $E_r = \{q \in Q : (\exists s \in R)(s < r \& (s,q) \in E\})$  is a dense subset of Q. For each  $r \in R \cap M[G_{\beta+1}]$ ,  $E_r \in M[G_{\beta+1}]$  and so,  $E_r \cap M[G_{\beta+1}]$  is a predense subset of Q. This implies that, for each  $\bar{q} \in Q$ , the set  $E(\bar{q}) = \{s \in R \cap M[G_{\beta+1}] : (\exists (s,q) \in E \cap M[G_{\beta+1}])(\bar{q} \not\perp q)\}$  is a dense subset of  $R \cap M[G_{\beta+1}]$ . Although  $E(\bar{q})$  need not be an element of  $M[G_{\beta+1}]$ , it is an element of  $V[G_{\beta} * (G_1 \upharpoonright \delta)]$ . Therefore, by Lemma 2.15,  $E(\bar{q}) \cap p_{1\beta}^{\uparrow}$  is not empty for all  $\bar{q} \in G_Q$ . By elementarity, it then follows that  $p_1$  is an  $(M, P_{\beta+2} * Q)$ -generic condition.  $\square$ 

#### 3. S-space tasks

Following [1] and [16] we define a poset of finite subsets of  $\omega_1$  separated by a cub.

**Definition 3.1.** For a family  $\mathcal{U} = \{U_{\xi} : \xi \in \omega_1\}$  and a cub  $C \subset \omega_1$ , define the poset  $Q(\mathcal{U}, C) \subset [\omega_1]^{<\aleph_0}$ , to be the set of finite sets  $H \subset \omega_1$  such that for  $\xi < \eta$  both in H

- (1)  $\xi \notin U_{\eta}$  and  $\eta \notin U_{\xi}$ ,
- (2) there is a  $\gamma \in C$  such that  $\xi < \gamma \leq \eta$ .

 $Q(\mathcal{U}, C)$  is ordered by  $\supset$ .

**Definition 3.2.** A family  $\mathcal{U} = \{U_{\xi} : \xi < \omega_1\}$  is an S-space task if it satisfies:

- $(1) \ \xi \in U_{\xi} \in [\omega_1]^{<\aleph_1},$
- (2) every uncountable  $A \subset \omega_1$  has a countable subset that is not contained in any finite union from the family  $\mathcal{U}$ .

Remark 4. If  $\mathcal{T}$  is a regular locally countable topology on  $\omega_1$  that contains no uncountable free sequence (see Definition 5.1), then each neighborhood assignment  $\{U_{\xi}: \xi \in \omega_1\}$  consisting of open sets with countable closures, is an S-space task. An uncountable  $A \subset \omega_1$  failing property (2) would contain an uncountable free sequence. Suppose that there is a cub  $C \subset \omega_1$  such that  $Q(\mathcal{U}, C)$  is ccc. Then, as usual, there is a  $q \in Q(\mathcal{U}, C)$  such that any generic filter including q is uncountable. If  $G \subset Q(\mathcal{U}, C)$  is a filter (even pairwise compatible), then  $\bigcup G$  is a discrete subspace of  $(\omega_1, \mathcal{T})$ . Of course this cub C can be assumed to satisfy that if  $\xi < \eta$  are separated by C, then  $\eta \notin U_{\xi}$ . This means that requirement (1) in the definition of  $Q(\mathcal{U}, C)$  can be weakened to only require that  $\xi \notin U_{\eta}$ .

The following result is a restatement of Lemma 1 from [16]. It also uses the Cohen real trick. We present a proof that is more adaptable to the modifications needed for the consistency with  $\mathfrak{c} > \aleph_2$ .

**Proposition 3.3.** Let R be a ccc poset and let  $\mathcal{U} = \{\dot{U}_{\xi} : \xi \in \omega_1\}$  be a sequence of R-names such that  $\mathcal{U}$  is forced to be an S-space task. Then  $R \times P_2$  forces that for every  $n \in \omega$ , every uncountable pairwise disjoint subfamily  $\mathcal{H}$  of  $Q(\mathcal{U}, \dot{C}_1) \cap [\omega_1]^n$ , has a countable subset  $\mathcal{H}_0$  satisfying that, for some  $\delta \in \omega_1$  and all  $F \in [\omega_1 \setminus \delta]^n$ , there is an  $H \in \mathcal{H}_0$  such that  $H \cap \bigcup \{U_{\xi} : \xi \in F\} = \emptyset$ . In particular,  $R \times P_2$  forces that  $Q(\mathcal{U}, \dot{C}_1)$  is ccc.

**Proof.** Of course  $P_2$  is isomorphic to  $C_{\omega_1} * \dot{\mathcal{D}}$ . Fix any  $n \in \omega$  and let  $\{\dot{H}_{\xi} : \xi \in \omega_1\}$  be  $R \times P_2$ -names of pairwise disjoint elements of  $[\omega_1]^n \cap Q(\mathcal{U}, \dot{C}_1)$ . Since we can pass to an uncountable subcollection of  $\{\dot{H}_{\xi} : \xi \in \omega_1\}$  we may assume that for all  $\xi \in \omega_1$ , it is forced that there is a  $\delta \in \dot{C}_1$  such that  $\xi < \delta \leq \min(\dot{H}_{\xi})$ .

For each  $(r,p) \in R \times P_2$  and  $H \in [\omega_1]^n$ , let  $\Gamma_{\xi}(H,(r,p))$  be the set  $\{s \in R : (\exists q \in P_2)((s,q) < (r,p) \& (s,q) \Vdash H = \dot{H}_{\xi})\}$ . In other words,  $\Gamma_{\xi}(H,(r,p))$  is not empty if and only if  $(r,p) \nvDash H \neq \dot{H}_{\xi}$ . We say that  $\Gamma_{\xi}(H,(r,p))$  is  $\omega_1$ -full simply if it is not empty.

Now we define what it means for  $\Gamma_{\xi}(H,(r,p))$  to be  $\omega_1$ -full for  $H \in [\omega_1]^{n-1}$ . We require that there is a set  $\{\dot{\eta}_{\zeta}: \zeta \in \omega_1\}$  of canonical R-names such that  $r \Vdash \dot{\eta}_{\zeta} \in \omega_1 \setminus \zeta$  and for  $(\eta,s) \in \dot{\eta}_{\zeta}$ ,  $s \leq r$  and satisfies that  $\Gamma_{\xi}(H \cup \{\eta\}, (s,p))$  is  $\omega_1$ -full. It is worth noting that (r,p) has been changed to (s,p) rather than to some (s,q) with q < p. This definition generalizes to  $H \in [\omega_1]^i$ . We say that  $\Gamma_{\xi}(H,(r,p))$  is  $\omega_1$ -full if there is a set of canonical R-names  $\{\dot{\eta}_{\zeta}: \zeta \in \omega_1\}$  such that, for each  $\zeta \in \omega_1$ ,  $r \Vdash \dot{\eta}_{\zeta} \in (\omega_1 \setminus \zeta)$ , and for  $(\eta,s) \in \dot{\eta}_{\zeta}$ ,  $s \leq r$  and  $\Gamma_{\xi}(H \cup \{\eta\}, (s,p))$  is  $\omega_1$ -full.

Claim 5. Suppose that  $\Gamma_{\xi}(\emptyset, (r, p))$  is  $\omega_1$ -full and that  $M \prec H(\kappa^+)$  is countable and  $\{\xi, \mathcal{U}, R, (r, p)\} \in M$ . Then for any  $\bar{r} < r \in R$  and finite  $F \subset \omega_1 \setminus M$ , there are  $(s, q), H \in M$  such that

- (1)  $(s,q) < (r,p) \in R \times P_2$ ,
- (2)  $H \cap \bigcup \{\dot{U}_{\zeta} : \zeta \in F\}$  is empty,
- (3)  $(s,q) \Vdash \dot{H}_{\mathcal{E}} = H$ ,
- (4)  $s \not\perp \bar{r}$ .

**Proof of Claim.** Let  $\dot{W}_F = \bigcup \{\dot{U}_\zeta : \zeta \in F\}$ . Since  $R \in M \prec H(\kappa^+)$  is ccc and forces that  $\mathcal{U}$  is an S-space task, it follows that for each R-name  $\dot{A} \in M$  for an uncountable subset of  $\omega_1$ , the set  $\dot{A} \cap M$  is forced to not be contained in  $\dot{W}_F$ . By induction on  $1 \le i \le n$ , we choose  $(\eta_i, s_i) \in (\omega_1 \times R) \cap M$  and  $\bar{r}_i < s_i$  so that  $\bar{r}_i \Vdash \eta_i \notin \dot{W}_F$ ,  $s_i \le s_j \le r$  and  $\bar{r}_i \le \bar{r}_j$  for j < i, and  $\Gamma_\xi(\{\eta_j : 1 \le j < i\}, (s_i, p))$  is  $\omega_1$ -full.

Let  $\bar{r}_0 = \bar{r}$ ,  $(s_0, q_0) = (r, p)$ ,  $\emptyset = \{\eta_j : 1 \leq j < 1\}$  and we assume by induction that, at stage i,  $\Gamma(\{\eta_j : 1 \leq j < i\}, (s_i, p))$  is  $\omega_1$ -full. Fix any sequence  $\{\dot{\eta}_\zeta : \omega \leq \zeta \in \omega_1\} \in M$  witnessing that  $\Gamma_\xi(\{\eta_j : j < i\}, (s_i, p))$  is  $\omega_1$ -full. We have that  $\{\dot{\eta}_\zeta : \omega \leq \zeta \in \omega_1\} \in M$  is an R-name for an uncountable subset of  $\omega_1$ . It follows that  $\bar{r}_{i-1}$  forces that there is a  $\zeta \in M$  such that  $\dot{\eta}_\zeta \notin \dot{W}_F$ . We find an extension  $\bar{r}_{i+1}$  of  $\bar{r}_i$  so that we may choose  $\zeta \in M$  and  $(\eta, s) \in \dot{\eta}_\zeta$  such that  $\eta \notin \dot{W}_F$ ,  $\bar{r}_{i+1} < s \leq s_i$ . Therefore we set  $(\xi_i, s_{i+1}, q_{i+1}) = (\eta, s, q)$  and this completes the construction.

Setting  $H = \{\xi_i : 1 \le i \le n\}$  and  $(s,q) = (s_n,q_n)$  completes the proof of the Claim.  $\square$ 

Claim 6. If  $\Gamma_{\xi}(H, (r, p))$  is not  $\omega_1$ -full, there is an s < r in R and a  $\zeta < \omega_1$  such that  $\Gamma_{\xi}(H \cup \{\eta\}, (s, p))$  is not  $\omega_1$ -full for all  $\zeta < \eta \in \omega_1$ .

**Proof of Claim.** Since  $\Gamma_{\xi}(H,(r,p))$  is not  $\omega_1$ -full, there is some  $\zeta \in \omega_1$  so that the suitable nice name  $\dot{\eta}_{\zeta}$  does not exist. It follows immediately that  $\dot{\eta}_{\gamma}$  does not exist for all  $\zeta < \gamma \in \omega_1$ . In addition, since  $\dot{\eta}_{\zeta}$  fails to exist, it is because  $\Gamma_{\xi}(H \cup \{\eta\}, (s', r))$  is not  $\omega_1$ -full for all  $s' \not\perp s$ .  $\square$ 

Claim 7. For every  $(r,p) \in R \times P_2$ , there is a  $\delta$  so that  $\Gamma_{\delta}(\emptyset,(r,p))$  is  $\omega_1$ -full.

**Proof of Claim.** Let  $M_0$  be a countable elementary submodel of  $H(\kappa^+)$  so that  $\{\mathcal{U}, (r, p), R\} \in M_0$ . Choose any  $p_1 <_E p$  (i.e.  $p_1(0) = p(0)$  and  $p_1(0) \Vdash p_1(1) < p(1)$ ) that is  $(M_0, P_2)$ -generic. Notice that  $(r, p_1)$  is therefore  $(M, R \times P_2)$ -generic since R is ccc. Let  $\delta_0 = M_0 \cap \omega_1$ . Choose any continuous  $\in$ -chain  $\{M_\alpha : 0 < \alpha < \omega_1\}$  of countable elementary submodels of  $H(\kappa^+)$  such that  $p_1 \in M_1$ . For each  $\alpha \in \omega_1$ , let  $\delta_\alpha = M_\alpha \cap \omega_1$ . We did not actually have to choose  $p_1$  before choosing  $M_1$  of course. Let C be the cub  $\{\delta_\alpha : \alpha \in \omega_1\}$  and let  $p_2 \in P_2$  be a common extension of  $p_1$  and  $(\emptyset, (\emptyset, \delta_0 \cup (C \setminus \delta_0)))$  (or equivalently  $p_2(0) \leq p_1(0)$  and  $p_2(0) \Vdash p_2(1) \leq (\pi_0(p_1(1)), \pi_1(p_1(1)) \cap C)$ ). It follows that  $p_2 \Vdash \dot{C}_1 \setminus \delta_0 \subset C$ .

Assume  $\Gamma_{\delta_0}(\emptyset, (r, p))$  is not  $\omega_1$ -full. Choose  $s_0 < r$  and  $\zeta_0 \in \omega_1$  as in Claim 5. By elementarity we may assume that  $s_0, \zeta_0$  are in  $M_1$ .

Now choose any  $\bar{s}_0 < s_0$  so that there is a  $q_0 < p_1$  and an  $H \in [\omega_1 \setminus \delta_0]^n$  such that  $(\bar{s}_0, q_0) \Vdash \dot{H}_{\delta_0} = H$ . Of course this implies that  $\Gamma_{\delta_0}(H, (r, p))$  is not empty and therefore, it is  $\omega_1$ -full. Let H be enumerated in increasing order  $\{\eta_i : 1 \le i \le n\}$ .

Since  $(\bar{s}_0,q) \Vdash \dot{H}_{\delta_0} \in Q(\mathcal{U},\dot{C}_1)$ , we can assume that q has already determined the members of  $\dot{C}_1$  that separate the elements of  $\{\delta_0\} \cup H$ . In other words, there is a set  $\{\alpha_i : 1 \leq i \leq n\} \subset \omega_1$  so that  $\{\delta_{\alpha_i} : 1 \leq i \leq n\} \subset \pi_0(q(1)) \subset C$  such that, for each  $1 \leq i < n$ ,  $\delta_0 \leq \delta_{\alpha_{i-1}} \leq \eta_i$ . Therefore,  $\{\eta_j : 1 \leq j < i\} \in M_{\alpha_i}$  for all i < n and  $\Gamma_{\delta_0}(\{\eta_j : 1 \leq j \leq n\}, (r, p))$  is  $\omega_1$ -full. Clearly, for all  $s' < \bar{s}_0$ ,  $\Gamma_{\delta_0}(\{\eta_j : 1 \leq j \leq n\}, (s', p))$  is also  $\omega_1$ -full.

By the choice of  $s_0$  and  $\zeta_0$ , we have that  $\Gamma_{\delta_0}(\{\eta_1\},(s_0,p)) \in M_{\alpha_2}$  is not  $\omega_1$ -full. We note that  $\bar{s}_0$  is  $(M_{\alpha_2},R)$ -generic condition. There is therefore, by Claim 5, a  $\zeta_1 \in M_{\alpha_2}$  and a pair  $\bar{s}_1 < s_1$  so that  $s_1 \in M_{\alpha_2}$ ,  $\bar{s}_1 < \bar{s}_0$  and  $\Gamma_{\delta_0}(\{\eta_1,\eta\},(s_1,p))$  is not  $\omega_1$ -full for all  $\eta > \zeta_1$ . Following this procedure we can recursively choose a pair of descending sequences  $\{s_i : 1 \le i \le n\} \subset R$  and  $\{\bar{s}_i : 1 \le i \le n\} \subset R$  so that

- (1)  $s_{i-1} \in M_{\alpha_i}$  and  $\bar{s}_i < s_i$ ,
- (2)  $\Gamma_{\delta_0}(\{\eta_1,\ldots,\eta_i\},(s_i,p))$  is not  $\omega_1$ -full.

We now have a contradiction that completes the proof. We noted above that since  $\bar{s}_n < \bar{s}_0$ ,  $\Gamma_{\delta_0}(\{\eta_1, \ldots, \eta_i\}, (\bar{s}_n, p))$  is  $\omega_1$ -full. However since  $\bar{s}_n < s_n$ , this contradicts that  $\Gamma_{\delta_0}(\{\eta_1, \ldots, \eta_n\}, (s_n, p))$  is not  $\omega_1$ -full.  $\square$ 

Now we complete the proof of the Proposition. Consider any countable elementary submodel M as in Claim 5 and let  $\delta = M \cap \omega_1$ . Let  $p_1$  be a condition as in Lemma 2.15 applied to the case  $\alpha = 0$ . Let  $G_R$  be any R-generic filter and let  $G_1 \subset \mathcal{C}_{\omega_1}$  be any generic filter, which is generic over the model  $V[G_R]$ . Pass to the extension  $V[G_R]$ .

Fix any  $F \in [\omega_1 \setminus \delta]^n$ . It follows from Claim 5 and Claim 6, that the set  $\mathcal{W}_F$  of those  $(t, (b, \dot{B})) \in M \cap (\mathcal{C}_{\omega_1} * \dot{\mathcal{J}})$  for which

$$(\exists \xi \in \delta)(\exists s \in G_R) \quad (s \Vdash H \cap \dot{W}_F = \emptyset \& (s, (t, (\dot{b}, \dot{B}))) \Vdash H = \dot{H}_{\mathcal{E}})$$

is a dense subset of  $M \cap (\mathcal{C}_{\omega_1} * \dot{\mathcal{J}})$ . The proof is that Claim 6 provides a potential  $\xi \in M$  to strive for, and Claim 5 provides an (s,q) to yield an element of  $\mathcal{W}_F$ .

It then follows easily that, in the extension  $V[G_R \times G_1]$ , the set

$$\operatorname{val}_{G_1 \upharpoonright \delta}(\mathcal{W}_F) = \left\{ \operatorname{val}_{G_1}((\dot{b}, \dot{B})) : (\exists t \in G_1) \ ((t, (\dot{b}, \dot{B}))) \in \mathcal{W}_F \right\}$$

is a dense subset of  $\operatorname{val}_{G_1}(M \cap \dot{\mathcal{J}})$  which is an element of  $V[G_R \times (G_1 \upharpoonright \delta)]$ . Since  $p_1$  forces that the generic filter meets  $\operatorname{val}_{G_1 \upharpoonright \delta}(\mathcal{W}_F)$ , this completes the proof.  $\square$ 

For any  $\alpha \leq \kappa$  and subset  $I \subset \alpha$ , we will say that a  $P_{\alpha}$ -name  $\dot{E}$  is a  $P_{\alpha}(I)$ -name if it is a  $P_{\alpha}(I)$ -name in the usual recursive sense. This definition makes technical sense even if  $P_{\alpha}(I)$  is not a complete subposet of  $P_{\alpha}$ .

Corollary 3.4. Let  $\lambda \in \mathbf{E}$  and let  $\dot{R}_0$  be a  $P_{\lambda}(I_{\lambda})$ -name that is forced by  $P_{\lambda}$  to be ccc poset. Let  $\dot{R}$  be a  $P_{\lambda}$ -name of a ccc poset such  $\mathbf{1}_{P_{\lambda}}$  forces that  $\dot{R}_0 \subset_c \dot{R}$ . Assume that  $\mathcal{U} = \{\dot{U}_{\xi} : \xi \in \omega_1\}$  is a sequence of  $P_{\lambda}(I_{\lambda}) * \dot{R}_0$ -names of subsets of  $\omega_1$  such that  $P_{\lambda} * \dot{R}$  forces that  $\mathcal{U}$  is an S-space task. Then the  $P_{\lambda+2}$ -name  $Q(\mathcal{U}, \dot{C}_{\lambda})$  satisfies that  $P_{\lambda+2}$  forces that  $\dot{R} \times Q(\mathcal{U}, \dot{C}_{\lambda})$  is ccc.

**Proof.** Let  $G_{\lambda}$  be a  $P_{\lambda}$ -generic filter and pass to the extension  $V[G_{\lambda}]$ . Let  $R = \operatorname{val}_{G_{\lambda}}(\dot{R})$  and observe that we may now regard  $\mathcal{U}$  as a family of R-names of subsets of  $\omega_1$  that is forced to be an S-space task. We would like to simply apply Lemma 3.3 but unfortunately,  $P_{\lambda+2}$  is not isomorphic to  $P_{\lambda}*P_2$ . Naturally the difference is that  $\dot{Q}_{\lambda+1}$  is a proper subset of  $\dot{\mathcal{G}}$ . It will suffice to identify the three key places in the proof of Lemma 3.3 that depended on consequences of the properties of  $\mathcal{G}$  and to verify that the consequences also hold for  $\dot{Q}_{\lambda+1}$ . The first was in the proof of Claim 7 where we selected a condition  $p_2(1) \in \mathcal{G}$  that satisfied that  $\pi_1(p_2(1))$  was forced to be a subset of  $C \cup \delta_0$  for the cub C. Since, in this proof, C will be an cub set in the model  $V[G_{\lambda}]$ , it follows from condition (6) of Definition 2.2, this can be done. The next property of  $P_2$  that we used was that Lemma 2.15 holds, but of course this also holds for  $P_{\lambda+2}$ . The third is in the proof and statement of Claim 5. When choosing the pair (s,q) in  $R \times P_2$  we require that it satisfies condition (2) in Claim 5. In the current situation, each  $\dot{U}_{\zeta}$  is not simply an R-name but rather it is a  $P_{\lambda}(I_{\lambda})*\dot{R}_0$ -name. Therefore, there is a  $P_{\lambda}(I_{\lambda})$ -name for a suitable q so that  $(s,q) \Vdash H \cap \bigcup \{\dot{U}_{\zeta}: \zeta \in F\}$  is empty. This causes no difficulty since  $P_{\lambda}(I_{\lambda})$ -names for elements of  $\dot{Q}_{\lambda+1}$  are, in fact, elements of  $\dot{Q}_{\lambda+1}$ . That is, a choice for (s,q) in  $R \times (\dot{Q}_{\lambda}*\dot{Q}_{\lambda+1})$  can be made in  $V[G_{\lambda}]$  as required in Claim 5.  $\square$ 

## 4. Building the final model

In this section we present the construction of the iteration sequence of length  $\kappa + \kappa$  extending that of Definition 2.2 that will be used to prove the main theorem.

We introduce more terminology.

**Definition 4.1.** Fix any  $\mu \leq \lambda \leq \kappa$  and define  $\mathcal{Q}(\lambda, \mu)$  to be the set of all iterations  $\mathbf{q}$  of the form  $\langle P_{\alpha}^{\mathbf{q}}, \dot{Q}_{\beta}^{\mathbf{q}} : \alpha \leq \lambda + \mu, \beta < \lambda + \mu \rangle \in H(\kappa^{+})$  satisfying that

- (1)  $\langle P_{\alpha}^{\mathbf{q}}, \dot{Q}_{\beta}^{\mathbf{q}} : \alpha \leq \lambda, \beta < \lambda \rangle$  is our sequence  $\langle P_{\alpha}, \dot{Q}_{\beta} : \alpha \leq \lambda, \beta < \lambda \rangle$  from Section 2,
- (2) for all  $\lambda \leq \beta < \lambda + \mu$ ,  $Q_{\beta}^{\mathbf{q}} \in H(\kappa)$  is a  $P_{\beta}^{\mathbf{q}}$ -name of a ccc poset,
- (3) for all  $\alpha \leq \mu$  and  $p \in P_{\alpha}^{\mathbf{q}}$ ,  $p \upharpoonright \lambda \in P_{\lambda}^{\mathbf{q}}$  and  $dom(p) \setminus \lambda$  is finite,
- (4) if  $\lambda < \kappa$ , then  $\mathbf{q} \in H(\kappa)$ .

For  $\mathbf{q} \in \mathcal{Q}(\lambda, \mu)$ , let  $\mathbf{q}(\kappa)$  denote the element of  $\mathcal{Q}(\kappa, \mu)$  where  $\dot{Q}_{\kappa+\beta}^{\mathbf{q}(\kappa)} = \dot{Q}_{\lambda+\beta}^{\mathbf{q}}$  for all  $\beta < \mu$ .

**Lemma 4.2.** Let  $\mu < \kappa$  and let  $\mathbf{q} \in \mathcal{Q}(\kappa, \mu)$  and let  $\mathcal{U} = \{\dot{U}_{\xi} : \xi \in \omega_1\}$  be a sequence of  $P_{\kappa+\mu}^{\mathbf{q}}$ -names. Assume that  $P_{\kappa+\mu}^{\mathbf{q}}$  forces that  $\mathcal{U}$  is an S-space task. Let  $\bar{M}$  be an elementary submodel of  $H(\kappa^+)$  of cardinality  $\aleph_1$  that is closed under  $\omega$ -sequences and contains  $\{\mathcal{U}, \mathbf{q}\}$ . Choose any  $\lambda \in \mathbf{E} \cap \kappa$  so that  $\bar{M} \cap \kappa \subset I_{\lambda}$ . Then  $P_{\kappa+\mu}^{\mathbf{q}}$  forces that  $Q(\mathcal{U}, \dot{C}_{\lambda})$  is ccc.

**Proof.** Since  $\mu \in \bar{M}$ , it follows that  $\mu \leq \lambda$ . Furthermore, by the assumptions on  $\mathbf{q} \in \mathcal{Q}$  and  $\mathbf{q} \in \bar{M}$ , it follows that there is a  $\gamma \in \bar{M} \cap \kappa$  such that  $\dot{Q}_{\beta}$  is a  $P_{\gamma}$ -name for all  $\kappa \leq \beta < \kappa + \mu$ . In addition, for each  $\beta \in \bar{M} \cap \mu$ ,  $\dot{Q}_{\beta}$  is a  $P_{\gamma}(\bar{M} \cap \gamma)$ -name. Since  $\gamma < \lambda$ , there is a  $P_{\lambda}$ -name,  $\dot{R}$ , of a finite support iteration of length  $\mu$  such that  $P_{\kappa} * \dot{R}$  is isomorphic to  $P_{\kappa+\mu}^{\mathbf{q}}$ . More precisely, the  $\beta$ -th iterand for  $\dot{R}$  is the name  $\dot{Q}_{\kappa+\beta}$ . Similarly, let  $\dot{R}_{0}$  be the set of conditions in  $\dot{R}$  with support contained in  $\bar{M} \cap \mu$  and values taken in  $\bar{M} \cap \dot{Q}_{\kappa+\beta}$  for each  $\beta$  in the support. Then we have that  $\mathbf{1}_{P_{\lambda}} \Vdash \dot{R}_{0} \subset_{c} \dot{R}$ . By minor re-naming, we may treat  $\mathcal{U}$  as a sequence of  $P_{\lambda}(I_{\lambda}) * \dot{R}_{0}$ -names. Since  $P_{\kappa+\mu}^{\mathbf{q}}$  forces that  $\mathcal{U}$  is an S-space task, it follows that  $P_{\lambda} * \dot{R}$  also forces that  $\mathcal{U}$  is an S-space task. By Corollary 3.4,  $P_{\lambda+2}$  forces that  $\dot{R} \times Q(\mathcal{U}, \dot{C}_{\lambda})$  is ccc. By Lemma 2.16,  $P_{\kappa}$  forces that  $\dot{R} \times Q(\mathcal{U}, \dot{C}_{\lambda})$  is ccc. Since  $P_{\kappa+\mu}^{\mathbf{q}}$  is isomorphic to  $P_{\kappa} * \dot{R}$ , this completes the proof.  $\square$ 

**Theorem 4.3.** Let  $\kappa > \aleph_2$  be a regular cardinal in a model of GCH. There is an iteration sequence  $\langle P_{\alpha}, \dot{Q}_{\beta} : \alpha \leq \kappa + \kappa, \beta < \kappa + \kappa \rangle$  such that  $P_{\kappa+\kappa}$  forces that there are no S-spaces and, for all  $\mu < \kappa, \langle P_{\alpha}, \dot{Q}_{\beta} : A \leq \kappa + \kappa \rangle$ 

 $\alpha \leq \kappa + \mu$ ,  $\beta < \kappa + \mu$  is in  $\mathcal{Q}(\kappa, \mu)$ . It therefore follows that  $P_{\kappa + \kappa}$  is cardinal preserving and forces that  $\kappa^{<\kappa} = \kappa = \mathfrak{c}$ .

The iteration can be chosen so that, in addition, Martin's Axiom holds in the extension.

**Proof.** Fix a sequence  $\mathcal{I} = \{I_{\gamma} : \gamma \in \kappa\}$  as described in the construction of the sequence  $\langle P_{\alpha}, \dot{Q}_{\beta} : \alpha \leq \kappa, \beta < \kappa \rangle$ . Also let  $\mathcal{Q}(\lambda, \mu)$  for  $\mu \leq \lambda < \kappa$  be defined as in Definition 4.1.

We introduce still more notation. For all  $\alpha \leq \lambda < \kappa$ , let  $P_{\alpha}^{\lambda}$  simply denote  $P_{\alpha}$  and  $\dot{Q}_{\alpha}^{\lambda} = \dot{Q}_{\alpha}$ . Also for any  $\mu \leq \lambda < \kappa$  and sequence  $\mathbf{q}' = \langle \dot{Q}'_{\beta} : \beta < \mu \rangle \in H(\kappa)$ , let  $\dot{Q}_{\lambda+\beta}^{\lambda}(\mathbf{q}')$  denote  $\dot{Q}'_{\beta}$ . By recursion on  $\alpha < \mu$ , let  $P_{\lambda+\alpha}^{\lambda}(\mathbf{q}')$  denote the limit of the iteration sequence  $\langle P_{\zeta}^{\lambda}(\mathbf{q}'), \dot{Q}_{\beta}^{\lambda}(\mathbf{q}') : \zeta < \alpha, \ \beta < \alpha \rangle$  so long as this sequence (and its limit) is in  $Q(\lambda, \alpha)$ . Say that a sequence  $\mathbf{q}' = \langle \dot{Q}'_{\beta} : \beta < \lambda \rangle \in H(\kappa)$  is suitable if for all  $\alpha \in \mathbf{E} \cap \lambda + 1$ ,  $\langle P_{\zeta}^{\lambda}(\mathbf{q}'), \dot{Q}_{\beta}^{\lambda}(\mathbf{q}') : \zeta \leq \alpha, \ \beta < \alpha \rangle$  is in  $Q(\lambda, \alpha)$ . We state for reference two properties of suitable sequences.

Fact 1. If  $\lambda$  is a limit ordinal, then  $\langle \dot{Q}'_{\beta} : \beta \in \lambda \rangle \in H(\kappa)$  is suitable so long as  $\langle \dot{Q}'_{\beta} : \beta < \mu \rangle$  is suitable for all  $\mu < \lambda$ .

Fact 2. If  $\mathbf{q}' = \langle \dot{Q}'_{\beta} : \beta \in \lambda \rangle \in H(\kappa)$  is suitable, then  $\langle \dot{Q}'_{\beta} : \beta \in \lambda + 1 \rangle$  is suitable for any  $P^{\lambda}_{\lambda+\lambda}(\mathbf{q}')$ -name  $\dot{Q}'_{\lambda}$  of a ccc poset of cardinality at most  $\aleph_1$ .

Now that we have this cumbersome, but necessary, notation out of the way, the proof of the theorem is a routine consequence of the prior results. Let  $\Box$  be a well ordering of  $H(\kappa)$  in type  $\kappa$ . We recursively define a sequence  $\langle \dot{Q}'_{\beta} : \beta < \kappa \rangle$  and a 1-to-1 sequence  $\langle \mathcal{U}_{\beta} : \beta < \kappa \rangle$ . One inductive assumption is that every initial segment of  $\langle \dot{Q}'_{\beta} : \beta < \kappa \rangle$  is a suitable sequence. The list  $\{\mathcal{U}_{\beta} : \beta < \kappa\}$  will contain the list the potential S-space tasks as we deal with them.

Let  $\lambda < \kappa$  and assume that  $\langle \dot{Q}'_{\beta}, \mathcal{U}_{\beta} : \beta < \lambda \rangle \in H(\kappa)$  has been chosen. If  $\lambda \notin \mathbf{E}$ , then  $\dot{Q}'_{\lambda}$  is the trivial poset and  $\mathcal{U}_{\lambda} = \lambda$ . Now let  $\lambda \in \mathbf{E}$  and let  $\mathbf{q}' = \langle \dot{Q}'_{\beta} : \beta < \lambda \rangle$ . Consider the set of all  $P^{\lambda}_{\lambda+\lambda}(\mathbf{q}')$ -names  $\mathcal{U} = \{\dot{U}_{\xi} : \xi \in \omega_1\}$  that are forced to be S-space tasks. Consider only those  $\mathcal{U}$  for which there is an elementary submodel  $\bar{M}$  of  $H(\kappa^+)$  as in Lemma 4.2. More specifically, such that  $\bar{M} \cap \lambda \subset I_{\lambda}$ ,  $\{\mathcal{U}, P^{\lambda}_{\lambda+\lambda}(\mathbf{q}')\} \in \bar{M}$ ,  $|\bar{M}| = \aleph_1$ , and  $\bar{M}^{\omega} \subset \bar{M}$ . The final requirement of such  $\mathcal{U}$  is that they are not in the set  $\langle \mathcal{U}_{\beta} : \beta < \lambda \rangle$ . If any such  $\mathcal{U}$  exist, then let  $\mathcal{U}_{\lambda}$  be the  $\Box$ -minimal one. Loosely,  $\mathcal{U}_{\lambda}$  is the  $\Box$ -minimal S-space task that has not yet been handled and can be handled at this stage. Otherwise, let  $\mathcal{U}_{\lambda} = \lambda$  (so as to preserve the 1-to-1 property). Now we choose  $\dot{Q}'_{\lambda}$ . If  $\mathcal{U}_{\lambda} = \lambda$ , then  $\dot{Q}_{\lambda}$  is the trivial poset. Otherwise, of course,  $\dot{Q}_{\lambda}$  is the  $P^{\lambda+2}_{\lambda+\lambda}(\mathbf{q}')$ -name for  $Q(\mathcal{U}_{\lambda}, \dot{C}_{\lambda})$ . By Lemma 4.2 and Fact 2,  $\langle \dot{Q}_{\beta} : \beta \leq \lambda \rangle$  is suitable.

This completes the recursive construction of the suitable sequence  $\mathbf{q}' = \langle Q'_{\beta} : \beta < \kappa \rangle$  and the listing  $\langle \mathcal{U}_{\beta} : \beta < \kappa \rangle$ . It remains only to prove that if  $\mathcal{U} = \{\dot{U}_{\xi} : \xi \in \omega_1\}$  is a  $P^{\kappa}_{\kappa+\kappa}(\mathbf{q}')$ -name of an S-space task, then there is an  $\alpha < \kappa$  such that  $\mathcal{U} = \mathcal{U}_{\alpha}$ . Fix any such  $\mathcal{U}$  and elementary submodel  $\bar{M} \prec H(\kappa^+)$  such that  $\{\mathcal{U}, P^{\kappa}_{\kappa+\kappa}(\mathbf{q}')\} \in \bar{M}, |\bar{M}| = \aleph_1, \text{ and } \bar{M}^{\omega} \subset \bar{M}.$  Let  $\Lambda$  be the set of  $\lambda \in \kappa$  such that  $\bar{M} \cap \kappa \subset I_{\lambda}$ . Let  $\gamma$  be the order type of the set of predecessors of  $\mathcal{U}$  in the well ordering  $\Box$ . Choose any  $\lambda \in \Lambda$  such that the order type of  $\Lambda \cap \lambda$  is greater than  $\gamma$ . Note that  $\Lambda \subset \mathbf{E}$ . For every  $\mu \in \Lambda \cap \lambda$ ,  $\mathcal{U}$  would have been an appropriate choice for  $\mathcal{U}_{\mu}$  and if not chosen, then  $\mu \neq \mathcal{U}_{\mu} \subset \mathcal{U}$ . Since the sequence is 1-to-1, there is therefore a  $\mu \in \Lambda \cap \lambda$  such that  $\mathcal{U} = \mathcal{U}_{\mu}$ .

It should be clear that we can ensure that Martin's Axiom holds in the extension by making minor adjustments to the choice of  $\dot{Q}'_{\beta}$  for  $\beta \notin \mathbf{E}$  in the sequence  $\langle \dot{Q}'_{\beta} : \beta < \kappa \rangle$  together with some additional bookkeeping,  $\square$ 

#### 5. Moore-Mrowka tasks

The Moore-Mrowka problem asks if every compact space of countable tightness is sequential. A space has countable tightness if the closure of a set is equal to the union of the closures of all its countable subsets. A

A. Dow, S. Shelah / Topology and its Applications 333 (2023) 108526

space is sequential providing that each subset is closed so long as it contains the limits of all its converging (countable) subsequences. To illustrate that a sequential space has countable tightness, note that a space has countable tightness if a set is closed so long as it contains the closures of all of its countable subsets. Say that a compact non-sequential space of countable tightness is a Moore-Mrowka space.

Results on the Moore-Mrowka problem have closely resembled those of the S-space problem. In particular, there are proofs that PFA implies there are no Moore-Mrowka spaces that have many similarities to the proof that PFA implies there are no S-spaces. While it is independent with CH as to whether Moore-Mrowka spaces exist [5], it is known that  $\diamondsuit$  implies there are (Cohen indestructible) Moore-Mrowka spaces of cardinality  $\aleph_1$  [13]. In addition,  $\diamondsuit$  implies there is a separable compact space of countable tightness with cardinality  $2^{\aleph_1}$  (greater than  $\mathfrak{c}$ ) [8]. It is also known that the addition of  $\aleph_2$  Cohen reals over a model of  $\diamondsuit + \aleph_2 < 2^{\aleph_1}$  results in a model in which there is a compact separable space of countable tightness that has cardinality greater than  $\mathfrak{c}$  [6]. Of course these spaces are Moore-Mrowka spaces since every separable sequential space has cardinality at most  $\mathfrak{c}$ .

Here are two open problems and a third that we solve in the affirmative in this section.

Question 5.1. Is it consistent with  $\mathfrak{c} > \aleph_2$  that every compact space of countable tightness is sequential?

**Question 5.2.** Is it consistent with  $\mathfrak{p} > \aleph_2$  that there is a Moore-Mrowka space?

Question 5.3. Is it consistent with  $\mathfrak{c} > \aleph_2$  that every separable Moore-Mrowka space has cardinality at most  $\mathfrak{c}^2$ 

The solution to Question 5.3 will follow the same pattern as that used for the S-space problem in the previous section. A Moore-Mrowka task mentioned in the title of the section is similar to an S-space task. The difference will be that rather than using the poset  $Q(\mathcal{U}, C)$  to force an uncountable discrete subset, we will hope to force an uncountable (algebraic) free sequence. We define these notions and indicate their relevance.

**Definition 5.1.** A sequence  $\{x_{\alpha} : \alpha \in \omega_1\}$  is a free sequence in a space X if, for every  $\delta < \omega_1$ , the initial segment  $\{x_{\alpha} : \alpha \in \delta\}$  and the final segment  $\{x_{\beta} : \beta \in \omega_1 \setminus \delta\}$  have disjoint closures.

A sequence  $\{x_{\alpha}, U_{\alpha}, W_{\alpha} : \alpha \in \omega_1\}$  is an algebraic free sequence in a space X providing

- (1)  $x_{\alpha} \in U_{\alpha}$  and  $W_{\alpha}$  are open sets with  $\overline{U_{\alpha}} \subset W_{\alpha}$ ,
- (2) for every  $\alpha < \delta \in \omega_1$ ,  $x_\delta \notin W_\alpha$  and there is a finite  $H \subset \delta + 1$  such that  $\{x_\eta : \eta \le \delta\} \subset \bigcup \{U_\beta : \beta \in H\}$ .

Free sequences were introduced by Arhangelskii. Algebraic free sequences were introduced by Todorcevic in a slightly different formulation. The advantage of an algebraic free sequence is that the only reference to the (second order) closure property is with the pairs  $U_{\alpha}, W_{\alpha}$ . If  $\{x_{\alpha}, U_{\alpha}, W_{\alpha} : \alpha \in \omega_1\}$  is an algebraic free sequence, then the set  $\{x_{\alpha+1} : \alpha < \omega_1\}$  is a free sequence. This follows from the fact that for all  $\delta \in \omega_1$ , there is a finite  $H \subset \delta + 1$  satisfying that  $\{x_{\alpha} : \alpha \leq \delta\} \subset U_H = \bigcup \{U_{\alpha} : \alpha \in H\}$  and  $\{x_{\beta} : \delta < \beta \in \omega_1\}$  is disjoint from  $W_H = \bigcup \{W_{\alpha} : \alpha \in H\}$ . The free sequence property now follows from the fact that  $U_H$  and  $X \setminus W_H$  have disjoint closures. This was crucial in Balogh's proof [4] that PFA implies there are no Moore-Mrowka spaces.

**Proposition 5.2** ([3]). A compact space has countable tightness if and only if it contains no uncountable free sequence.

**Definition 5.3.** A sequence  $A = \{A_{\alpha} : \alpha \in \omega_1\}$  is a Moore-Mrowka task if, for all  $\alpha \in \omega_1$ ,  $\alpha \in A_{\alpha} \subset \alpha + 1$ , and

- (1) for all  $\beta < \alpha$  there is a  $\gamma$  such that  $A_{\gamma} \cap \{\beta, \alpha\} = \{\alpha\}$ , and
- (2) for all uncountable  $A \subset \omega_1$ , there is a  $\delta \in \omega_1$  such that for all  $\beta \in \omega_1 \setminus \delta$ ,  $(A \cap \delta) \cap \bigcap_{\gamma \in H} A_{\gamma}$  is not empty for all finite  $H \subset \{\gamma : \beta \in A_{\gamma}\}$ .

The idea behind a Moore-Mrowka task is that we identify  $\omega_1$  with a set of points in space X and so that there is a collection  $\{U_{\alpha}, W_{\alpha} : \alpha \in \omega_1\}$  that is a neighborhood assignment for those points. For each  $\alpha$ ,  $\overline{U_{\alpha}} \subset W_{\alpha}$  and  $W_{\alpha} \cap \omega_1$  is also contained in  $\alpha + 1$ . Then we set  $A_{\alpha} = U_{\alpha} \cap \omega_1$ . Condition (1) is trivial to arrange but condition (2) is a  $\diamondsuit$ -like condition. A distinction with S-space task is that the non-existence of a Moore-Mrowka task extracted from a compact space of countable tightness does not imply that the space is sequential. The similarity with S-space task is that we will use a Moore-Mrowka task to generically introduce an algebraic free sequence.

**Definition 5.4.** Let  $\mathcal{A} = \{A_{\alpha} : \alpha \in \omega_1\}$  be a Moore-Mrowka task and let  $C \subset \omega_1$  be a cub. The poset  $\mathcal{M}(\mathcal{A}, C)$  is the set of finite subsets of  $\omega_1 \setminus \min(C)$  that are separated by C. For each  $H \in \mathcal{M}(\mathcal{A}, C)$  and each  $\beta \in H$ , let  $A(H, \beta)$  be the intersection of the family  $\{A_{\gamma} : \gamma \in H, \beta \in A_{\gamma}\}$ . We define H < K from  $\mathcal{M}(\mathcal{A}, C)$  providing  $H \supset K$  and for each  $\alpha \in H \cap \max(K), \alpha \in A(K, \min(K \setminus \alpha))$ .

**Lemma 5.5.** Let  $\lambda \in \mathbf{E}$  and let  $\dot{R}_0$  be a  $P_{\lambda}(I_{\lambda})$ -name that is forced by  $P_{\lambda}$  to be ccc poset. Let  $\dot{R}$  be a  $P_{\lambda}$ -name of a ccc poset such  $\mathbf{1}_{P_{\lambda}}$  forces that  $\dot{R}_0 \subset_c \dot{R}$ . Assume that  $\mathcal{A} = \{\dot{A}_{\xi} : \xi \in \omega_1\}$  is a sequence of  $P_{\lambda}(I_{\lambda}) * \dot{R}_0$ -names of subsets of  $\omega_1$  such that  $P_{\lambda} * \dot{R}$  forces that  $\mathcal{A}$  is a Moore-Mrowka task. Then the  $P_{\lambda+2}$ -name  $\mathfrak{M}(\mathcal{U}, \dot{C}_{\lambda})$  satisfies that  $P_{\lambda+2}$  forces that  $\dot{R} \times \mathfrak{M}(\mathcal{U}, \dot{C}_{\lambda})$  is ccc.

**Proof.** The proof proceeds much as it did in Lemma 3.3 and Corollary 3.4 for S-space tasks. To show that a poset of the form  $\mathcal{M}(\mathcal{A}, C)$  is ccc, it again suffices to prove that, for each  $n \in \omega$ , there is no uncountable antichain consisting of pairwise disjoint sets of cardinality n. So we consider an arbitrary family of pairwise disjoint sets of cardinality n. Fix  $P_{\lambda+2} * \dot{R}$ -names  $\{\dot{H}_{\xi} : \xi \in \omega_1\}$  for a set of pairwise disjoint elements of  $\mathcal{M}(\mathcal{A}, \dot{C}_{\lambda}) \cap [\omega_1]^n$ . Following Lemma 3.3, we may assume that, for each  $\xi \in \omega_1$ , it is forced that  $\xi < \min(\dot{H}_{\xi})$  and that  $\{\xi\} \cup \dot{H}_{\xi}$  is also separated by  $\dot{C}_{\lambda}$ . We prove that no condition forces this to be an antichain.

Let M be a countable elementary submodel containing all the above and let  $p_1 \in P_{\lambda+2}$  be chosen as in Lemma 2.15 so that  $p_1$  is  $(M, P_{\lambda+2})$ -generic and so that  $p_1(\lambda) \in M$ . Let  $p_1 \upharpoonright \lambda \in G_{\lambda}$  be a  $P_{\lambda}$ -generic filter and pass to the extension  $V[G_{\lambda}]$ . Let  $R = \operatorname{val}_{G_{\lambda}}(\dot{R})$  and let  $G_1 \subset C_{\omega_1}$  so that  $p_1 \upharpoonright \lambda + 1 \in G_{\lambda} * G_1$  is  $P_{\lambda+1}$ -generic. Let  $\delta = M \cap \omega_1$ . We will prove that  $p_1$  forces that  $\dot{H}_{\delta}$  is compatible with some element of  $\{\dot{H}_{\eta} : \eta \in \delta\}$ .

For each  $\zeta \in \omega_1$ , let, in  $V[G_{\lambda}]$ ,  $\dot{J}_{\zeta}$  denote the R-name for the set  $\{\gamma: \zeta \in \dot{A}_{\gamma}\}$  and, for each finite  $F \subset \omega_1$ , also let  $\dot{A}_F$  denote the R-name for  $\bigcap_{\gamma \in F} \dot{A}_{\gamma}$ . We leave the reader to check that it suffices to prove that  $p_1$  forces that for each finite  $F \subset \dot{J}_{\min(\dot{H}_{\delta})}$ , there is an  $\eta < \delta$  such that  $\dot{H}_{\eta} \subset \dot{A}_F$ . For each  $\zeta \in \omega_1$  and finite  $F \subset \omega_1$ , we will let  $J_{\zeta}$  and  $A_F$  denote  $\operatorname{val}_{G_R}(\dot{J}_{\zeta})$  and  $\operatorname{val}_{G_R}(\dot{A}_F)$  respectively. Also, for the remainder of the proof we will treat each  $\dot{H}_{\xi}$  as the canonical  $R \times (Q_{\lambda} * \dot{Q}_{\lambda+1})$ -name obtained from the evaluation of the original  $P_{\lambda+2} * \dot{R}$ -name by  $G_{\lambda}$ . For each  $\xi \in \omega_1$  and  $H \in [\omega_1]^n$ , let  $\Gamma_{\xi}(H)$  be the (possibly empty) set of conditions in  $R \times (Q_{\lambda} * \dot{Q}_{\lambda+1})$  that force H to equal  $\dot{H}_{\xi}$ .

We need an updated version of  $\omega_1$ -full. Say that a countable set B, in  $V[G_{\lambda}][G_R]$ , is A-large if there is a  $\gamma \in \omega_1$  such that  $B \cap A_F \neq \emptyset$  for all  $\beta \in \omega_1 \setminus \gamma$  and finite  $F \in J_{\beta}$ . We may interpret this as that  $\overline{B}$  contains  $\omega_1 \setminus \gamma$ .

For  $\xi \in \omega_1$  and  $(r, p) \in R \times (Q_{\lambda} * \dot{Q}_{\lambda+1})$ , let  $\Gamma_{\xi}(H, (r, p))$  be the set of conditions in  $\Gamma_{\xi}(H)$  that are below (r, p). In other words,  $\Gamma_{\xi}(H, (r, p))$  is not empty if and only if  $(r, p) \nvDash H \neq \dot{H}_{\xi}$ . Similarly, for each 0 < i < n and  $H \in [\omega_1]^i$ , let  $\Gamma_{\xi}(H, (r, p)) = \bigcup \{\Gamma_{\xi}(H \cup \{\eta\}, (r, p)) : \eta \in \omega_1\}$ . For  $H \in [\omega_1]^n$ , say that  $\Gamma_{\xi}(H, (r, p))$  is full if  $\Gamma_{\xi}(H, (\bar{r}, p))$  is not empty for all  $\bar{r} \leq r$ . For 0 < i < n and  $H \in [\omega_1]^{n-i}$ , say that  $\Gamma_{\xi}(H, (r, p))$  is

Sh:1228

full if there is a R-name  $\dot{B}$  that is forced to be an A-large set of  $\eta \in \omega_1$  and, for each  $\eta$  and  $s \Vdash \eta \in \dot{B}$ ,  $\Gamma_{\xi}(H \cup {\eta}, (s, p))$  is full.

Claim 8. Suppose that  $\xi, r, p \in M[G_{\lambda}]$  and that  $\Gamma_{\xi}(\emptyset, (r, p))$  is full. Suppose also that  $\bar{r} \in R$  forces that F is a finite subset of  $\dot{J}_{\zeta}$  for some  $\delta \leq \zeta \in \omega_1$ . Then there are  $(s, q), H \in M[G_{\lambda}]$  and  $\bar{s} < \bar{r}$  such that

- $(1) (s,q) < (r,p) in R \times (Q_{\lambda} * \dot{Q}_{\lambda+1}),$
- (2)  $\bar{s} < s$ ,
- (3)  $\bar{s} \Vdash H \subset \dot{A}_F$ ,
- $(4) (s,q) \Vdash \dot{H}_{\mathcal{E}} = H.$

**Proof of Claim.** There is an  $R \times Q_{\lambda}$ -name  $\dot{B}_0 \in M[G_{\lambda}]$  that is forced to be a  $\mathcal{A}$ -large subset of  $\delta$  and witnesses that  $\Gamma_{\xi}(\emptyset,(r,p))$  is full. Therefore there are  $\eta < \delta$  and  $r' < \bar{r}$  such that  $\bar{r}_1 \Vdash \eta \in \dot{B}_0 \cap A_F$ . There is no loss to assuming, by elementarity, that  $\bar{r}_1$  extends some  $r_1 \in M[G_{\lambda}]$  such that  $r_1 \Vdash \eta \in \dot{B}_0$ . Since  $r_1 \Vdash \eta \in \dot{B}_0$ , we have that  $\Gamma_{\xi}(\{\eta\},(r_1,p))$  is full. Following a recursion of length n, there is an  $\bar{r}_n < \bar{r}$  in R, an  $H = \{\eta_1,\ldots,\eta_n\} \in M[G_{\lambda}]$ , and an  $\bar{r}_n < r_n \in M[G_{\lambda}]$  such that  $\bar{r}_n \Vdash H \subset A_F$  and  $\Gamma_{\xi}(H,(r_n,p))$  is full. Since  $\bar{r}_n < r_n$ ,  $\Gamma_{\xi}(H,(\bar{r}_n,p))$  is not empty. Therefore there is a pair  $(\bar{s},\bar{q}) < (\bar{r},p)$  forcing that  $H = \dot{H}_{\xi}$ . By elementarity, since  $\xi, H, p \in M[G_{\lambda}]$ , the set of  $\{s \in R \cap M : (\exists q)((s,q) < (r_n,p) \& (s,q) \Vdash H = \dot{H}_{\xi})\}$  is predense below  $r_n$ . Therefore there is an  $(s,q) < (r_n,p) \in M[G_{\lambda}]$  with  $s \not\perp \bar{r}_n$  such that  $(s,q) \Vdash H = \dot{H}_{\xi}$ . Let  $\bar{s}$  be any extension of  $s,\bar{r}_n$ .  $\square$ 

Claim 9. For every  $(r,p) \in R \times (Q_{\lambda} * \dot{Q}_{\lambda+1})$ , there is a  $\delta$  so and a  $r_0 < r$  such that  $\Gamma_{\delta}(\emptyset, (r_0, p))$  is full.

**Proof of Claim.** Let  $(r,p) \in M_0$  be a countable elementary submodel of  $H(\kappa^+)[G_{\lambda}]$  so that  $\{\mathcal{A}, R, P_{\lambda+2}\} \in M_0$ . Choose any  $(\bar{r}, \bar{p}) < (r, p)$  that is an  $(M_0, R \times (Q_{\lambda} * \dot{Q}_{\lambda+1}))$ -generic condition. Let  $\delta_0 = M_0 \cap \omega_1$ . Choose any continuous  $\in$ -chain  $\{M_{\alpha} : 0 < \alpha < \omega_1\}$  of countable elementary submodels of  $H(\kappa^+)[G_{\lambda}]$  such that  $\{M_0, (\bar{r}, \bar{p})\} \in M_1$ .

For each  $\alpha \in \omega_1$ , let  $\delta_{\alpha} = M_{\alpha} \cap \omega_1$ . Let  $C^*$  be the cub  $\{\delta_{\alpha} : \alpha \in \omega_1\}$ . Choose any extension  $(r_n, p_n)$  of  $(\bar{r}, \bar{p})$  such that  $\pi_1(p_2(\lambda + 1)) \subset C^* \cup \delta_0$ , and so that there is an  $H = \{\xi_1, \ldots, \xi_n\} \in [\omega_1]^n$  with  $(r_n, p_n) \Vdash H = \dot{H}_{\delta_0}$ . Of course this implies that  $\Gamma_{\delta_0}(H, (r_n, p)) \supset \Gamma_{\delta_0}(H, (r_n, p_n))$  is actually full. Okay, then  $H_{n-1} = \{\xi_1, \ldots, \xi_{n-1}\}$  is in  $M_{\alpha_n}$ . Let's take the R-name  $\dot{E}_{n-1}$  to the set of  $(\eta, \tilde{r})$  such that  $\Gamma_{\delta_0}(\{\eta\} \cup H_{n-1}, (\tilde{r}, p))$  is full. The condition  $r_n$  forces that  $\dot{E}_{n-1}$  is uncountable. Since A is a Moore-Mrowka task in  $V[G_{\lambda} * G_R], r_n$  forces that  $\dot{E}_{n-1} \in M_{\alpha_n}$  contains an A-large set. By elementarity and the fact that  $r_n$  is  $(M_{\alpha_n}, R)$ -generic, there is an  $r_{n-1}$  in  $M_{\alpha_n}$  that forces  $\dot{E}_{n-1}$  contains an A-large set. Therefore, for such an  $r_{n-1} \in M_{\alpha_n}$ , we have that  $\Gamma_{\delta_0}(H_{n-1}, (r_{n-1}, p))$  is full. This recursion continues as above and for each i < n, there is an  $r_i \in M_{\alpha_i}$  such that  $\Gamma_{\delta_0}(\{\xi_i : j < i\}, (r_i, p))$  is full. Setting  $\delta = \delta_0$ , this completes the proof of the Claim.  $\square$ 

Following the proof of Corollary 3.4 we can complete the proof using that  $p_1$  satisfied the conclusion of Lemma 2.15. Using Claim 9, it follows from Claim 8 that in  $V[G_{\lambda}][G_R]$ , for each  $\delta \leq \zeta \in \omega_1$  and finite  $F \subset J_{\zeta}$ , the set  $\mathcal{W}_F$  consisting of those  $p \in M[G_{\lambda}] \cap (Q_{\lambda} * \dot{Q}_{\lambda+1})$  for which there is a  $\bar{s} \in G_R$  and  $\xi \in \delta$  such that  $(\bar{s}, p) \Vdash \dot{H}_{\xi} \subset A_F$ , is a dense subset of  $M[G_{\lambda}] \cap (Q_{\lambda} * \dot{Q}_{\lambda+1})$ . By the genericity of  $((G_1) \upharpoonright \delta) * (p_{1\lambda}^{\uparrow})$  over the model  $V[G_{\lambda} * R]$  as in Lemma 2.15, it meets  $\mathcal{W}_F$ . It follows that  $p_1$  forces that there is a  $\xi \in \delta$  such that  $\dot{H}_{\xi} \subset A_F$ . Applying this fact to  $\zeta = \min(H_{\delta})$  completes the proof.  $\square$ 

Now we show that Moore-Mrowka tasks will arise that will allow us to prove there is a minor additional condition that we can place on the construction of  $P_{\kappa+\kappa}$  (assuming an extra  $\diamondsuit$ -principle) that will force there are no separable Moore-Mrowka spaces of cardinality greater than  $\mathfrak{c}$ . Let  $S_1^{\kappa}$  denote the set of  $\lambda \in \kappa$  that have cofinality  $\omega_1$ . We will assume there is a  $\diamondsuit(S_1^{\kappa})$ -sequence.

We begin with this Lemma.

**Lemma 5.6** ( $\mathfrak{c}^{<\mathfrak{c}} = \mathfrak{c}$ ). Let X be a separable Moore-Mrowka space of cardinality greater than  $\mathfrak{c}$ . Let  $X \in M$  be an elementary submodel of  $H(\theta)$  for some sufficiently large  $\theta$  such that  $|M| = \mathfrak{c}$  and  $M^{\mu} \subset M$  for all  $\mu < \mathfrak{c}$ . For any point  $z \in X \setminus M$  there is a sequence  $\{B_{\eta} : \eta < \mathfrak{c}\}$  of countable subsets of  $M \cap X$  satisfying, for all  $\eta < \zeta < \mathfrak{c}$ ,

- (1)  $\overline{B_{\eta}}$  contains  $B_{\zeta} \cup \{z\}$
- (2) for all  $A \subset M \cap X$  with  $z \in \overline{A}$ , there is an  $\alpha < \mathfrak{c}$  such that  $\overline{A}$  contains  $B_{\alpha}$ .

**Proof.** Since X is separable, we can let  $B_0 \in M$  be any countable dense subset. Fix an enumeration  $\{S_{\xi} : \xi < \mathfrak{c}\}$  of all the countable subsets of  $M \cap X$  that have z in their closure. Let  $W \in M$  be a base for the topology. Assume we have chosen  $\{B_{\xi} : \xi < \eta\}$  for some  $\eta < \mathfrak{c}$ . Assume, by induction, that  $B_{\xi}$  is also a subset of  $\overline{S_{\xi}}$ . The set  $\overline{S_{\eta}} \cup \{\overline{B_{\xi}} : \xi < \eta\}$  is an element of M and every member contains z. Let  $K_{\eta}$  denote the intersection of this family. Choose any neighborhood  $U \in W$  of z. Since  $z \in W \cap K$ , it follows from elementarity that  $M \cap W \cap K_{\eta}$  is non-empty. Therefore, z is in the closure of some countable  $B_{\eta} \subset M \cap K_{\eta}$ . This completes the inductive construction of the family. We simply have to verify that property (2) holds. Let  $z \in \overline{A}$  for some  $A \subset M \cap X$ . By countable tightness, there is an  $\eta$  such that  $S_{\eta} \subset A$ . Therefore  $\overline{A} \supset B_{\eta}$ .  $\square$ 

Remark 10. A compact separable space of cardinality at most  $\mathfrak{c}$  will have a  $G_{\delta}$ -dense set of points of character less than  $\mathfrak{c}$ . Therefore, in a model with  $\mathfrak{p} = \mathfrak{c}$ , any such space has the property that the sequential closure of any subset is countably compact. In particular, in such a model a Moore-Mrowka space necessarily has weight at least  $\mathfrak{c}$  and will have a countably compact subset that is not closed. A space is said to be C-closed if it has no such subspace, see [7,10].

**Definition 5.7.** Say that a sequence  $\langle y_{\alpha}, U_{\alpha}, W_{\alpha} : \alpha < \kappa \rangle$  is a  $\kappa$ -MM sequence of a space X if

- (1)  $U_{\alpha}, W_{\alpha}$  are open in X and  $y_{\alpha} \in U_{\alpha} \subset \overline{U_{\alpha}} \subset W_{\alpha}$ ,
- (2)  $y_{\gamma} \notin U_{\alpha}$  for all  $\alpha < \gamma \in \kappa$ ,
- (3) for all  $\beta < \alpha < \kappa$ ,  $U_{\gamma} \cap \{y_{\beta}, y_{\alpha}\} = \{y_{\alpha}\}$  for some  $\alpha \leq \gamma \in \kappa$ ,
- (4) for every  $A \subset \kappa$ , there is a countable  $B \subset A$  and a  $\gamma < \kappa$  such that the closure of  $\{y_{\alpha} : \gamma < \alpha < \kappa\}$  is either contained in the closure of  $\{y_{\beta} : \beta \in B\}$  or is disjoint from the closure of  $\{y_{\alpha} : \alpha \in A\}$ .

**Theorem 5.8.** Let  $\langle P_{\alpha}, Q_{\beta} : \alpha \leq \kappa + \kappa, \beta < \kappa + \kappa \rangle$  be an iteration sequence in the sense of Theorem 4.3. In particular, assume that for all  $\mu < \kappa$  there is a  $\mathbf{q}_{\mu} \in \mathcal{Q}(\mu, \mu)$  satisfying that  $P_{\kappa+\lambda}$  is equal to  $P_{\kappa+\mu}^{\mathbf{q}_{\mu}(\kappa)}$ .

Let  $\dot{X}$  be a  $P_{\kappa+\kappa}$ -name of a compact separable space of countable tightness. Assume also that  $\langle \dot{y}_{\alpha}, \dot{U}_{\alpha}, \dot{W}_{\alpha} : \alpha < \kappa \rangle$  is forced to be a  $\kappa$ -MM sequence of  $\dot{X}$ . Then there is a cub  $C_{\dot{X}} \subset \kappa$  such that for each  $\lambda \in C_{\dot{X}} \cap S_1^{\kappa}$ , there is an injection  $f_{\lambda} : \omega_1 \to \lambda$  such that  $\mathcal{A} = \langle \dot{A}_{\eta} : \eta < \omega_1 \rangle$ , where  $\dot{A}_{\eta} = \{ \xi : y_{f_{\lambda}(\xi)} \in \dot{U}_{f_{\lambda}(\eta)} \}$ , is forced by  $P_{\lambda+\lambda}^{\mathbf{q}_{\lambda}}$  to be a Moore-Mrowka task.

**Proof.** We may assume, since it is forced to be compact and separable, that  $\dot{X}$  is a  $P_{\kappa+\kappa}$ -name of a closed subspace of  $[0,1]^{\kappa}$ . Let G be a  $P_{\kappa+\kappa}$ -generic filter so that we may make some observations about  $\dot{X}$  and the  $\kappa$ -MM sequence  $\langle y_{\alpha}, U_{\alpha}, W_{\alpha} : \alpha < \kappa \rangle$ . There is a point  $z \in \operatorname{val}_{G}(\dot{X})$  that is a  $\kappa$ -accumulation point of  $\{y_{\alpha} : \alpha \in \kappa\}$ . We check that z is the unique such point. If U, W are open neighborhoods of z with  $\overline{U} \subset W$ , then  $A = \{\alpha \in \kappa : y_{\alpha} \in U\}$  is cofinal in  $\kappa$ . By condition (4) of the  $\kappa$ -MM property, there is a countable  $B \subset A$  so that the closure of  $\{y_{\beta} : \beta \in B\}$  contains  $\{y_{\alpha} : \sup(B) < \alpha < \kappa\}$ . It thus follows that  $\{y_{\alpha} : \sup(B) < \alpha < \kappa\}$  is contained in W and shows that  $X \setminus W$  contains no  $\kappa$ -accumulation points of  $\{y_{\alpha} : \alpha \in \kappa\}$ . Now assume that z is in the closure of  $\{y_{\beta} : \beta \in A\}$  for some  $A \subset \kappa$ . Since the second clause of condition (4) of the  $\kappa$ -MM property fails, it follows that there is a countable  $B \subset A$  such that

the closure of  $\{y_{\beta}: \beta \in B\}$  contains a final segment of  $\{y_{\alpha}: \alpha \in \kappa\}$ . We will be interested in the subspace  $X_{\lambda} = \{x \mid \lambda : x \in X\}$  of  $[0,1]^{\lambda}$ . Since this space is a continuous image of X, it also has countable tightness. Let  $\dot{z}$  be a canonical  $P_{\kappa+\kappa}$ -name for z.

Let  $M \prec H(\kappa^+)$  so that  $\sup(M \cap \kappa) = \lambda \in S_1^{\kappa}$  and  $M^{\omega} \subset M$ . We note that it follows from Corollary 2.12, and the fact that  $P_{\kappa+\kappa}/P_{\kappa}$  is ccc, that every countable subset of  $M\cap\kappa$  in V[G] has a name in M. Assume also that  $\dot{z}, \dot{X}, P_{\kappa+\kappa}$  and the  $\kappa$ -MM sequence are elements of M. Choose any continuous  $\in$ -increasing sequence  $\{M_{\eta}: \eta \in \omega_1\}$  of countable elementary submodels of M such that  $Y_{\lambda} = \bigcup \{M_{\eta} \cap \lambda : \eta \in \omega_1\}$  is cofinal in  $\lambda$ . Define  $f_{\lambda}$  so that  $f_{\lambda}(\eta) = \sup(M_{\eta} \cap \lambda)$ . It should be clear that to show that  $\mathcal{A}$ , as in the statement of the Theorem, is forced by  $P_{\lambda+\lambda}^{\mathbf{q}_{\lambda}}$  to be a Moore-Mrowka task it is sufficient to check that condition (2) of Definition 5.3 is forced to hold. Let  $\dot{A}$  be any  $P_{\lambda+\lambda}^{\mathbf{q}_{\lambda}}$ -name of an uncountable subset of  $\omega_1$ . We may regard  $P_{\lambda+\lambda}^{\mathbf{q}_{\lambda}}$  as a complete subposet of  $P_{\kappa+\kappa}$  and so consider  $\operatorname{val}_G(\dot{A})$  in V[G]. In the space  $X_{\lambda}$ , it is clear that  $z \upharpoonright \lambda$  is in the closure of the set  $\{y_{f_{\lambda}(\eta)} : \eta \in A\}$ . Therefore, there is a countable  $B \subset A$  such that  $z \upharpoonright \lambda$  is in the closure of the set  $\vec{y}(f_{\lambda}(B)) = \{y_{f_{\lambda}(\eta)} : \eta \in B\}$ . Now B is a countable subset of  $M \cap \lambda$ , and so there is a  $P_{\lambda+\lambda}^{\mathbf{q}_{\lambda}}$ -name  $\dot{B}$  in M such that  $\operatorname{val}_G(\dot{B})$  is B. Now we can apply elementarity (using that  $f_{\lambda} \upharpoonright B \in M$ ) and observe that  $\dot{z}$  is forced to be in the closure of  $\{\dot{y}_{f_{\lambda}(\beta)}:\beta\in\dot{B}\}$ . Moreover, by elementarity and the  $\kappa$ -MM property, there is a  $\gamma \in \kappa \cap M$  such that the closure of  $\vec{y}(f_{\lambda}(\dot{B}))$  is forced to contain  $\{\dot{y}_{\alpha}: \gamma < \alpha < \kappa\}$ . For each  $\gamma < \alpha < \kappa$ ,  $\vec{y}(f_{\lambda}(\dot{B}))$  is forced to meet  $\bigcap_{\zeta \in H} \dot{U}_{\zeta}$  for all finite  $H \subset \{\zeta : \alpha \in \dot{U}_{\zeta}\}$ . Of course there is an  $\delta \in \omega_1$  such that  $\gamma < f_{\lambda}(\delta)$ . This completes the proof that, for all  $\beta \in \omega_1 \setminus \delta$ ,  $A \cap \delta$  is forced to meet  $\bigcap_{\zeta \in H} A_{\zeta}$  for all finite  $H \subset \{\zeta : \beta \in A_{\zeta}\}$ .  $\square$ 

**Theorem 5.9.** It is consistent with Martin's Axiom and  $\mathfrak{c} > \aleph_2$  that there are no S-spaces and that compact separable spaces of countable tightness have cardinality at most  $\mathfrak{c}$ .

**Proof.** Let  $\kappa > \aleph_2$  be a regular cardinal in a model of GCH. Using an iteration sequence as in Theorem 4.3, it follows from Theorem 5.8 and Lemma 5.6 that it suffices to ensure that for each X and  $\kappa$ -MM-sequence as in Theorem 5.8, there is a  $\lambda \in C_{\dot{X}} \cap S_1^{\kappa}$  so that  $I_{\lambda}$  is chosen suitably and so that  $\dot{Q}_{\kappa+\lambda}$  is chosen to be  $\mathcal{M}(\mathcal{A}, C_{\lambda})$  for a sequence  $\mathcal{A}$  as identified in Theorem 5.8. This is a somewhat routine application of  $\Diamond(S_1^{\kappa})$ . Since  $S_1^{\kappa}$  is stationary, we may assume that  $\diamondsuit(S_1^{\kappa})$  holds in V. There are many equivalent formulations of  $\Diamond(S_1^{\kappa})$  and we choose this one: There is a sequence  $\langle h_{\alpha} : \alpha \in S_1^{\kappa} \rangle$  satisfying

- (1) for each  $\alpha \in S_1^{\kappa}$ ,  $h_{\alpha} : \alpha \times \alpha \to \alpha$  is a function,
- (2) for all functions  $h: \kappa \times \kappa \to \kappa$ , the set  $\{\alpha \in S_1^{\kappa}: h_{\alpha} \subset h\}$  is stationary.

We will also have to recursively define our sequence  $\mathfrak{I} = \{I_{\gamma} : \gamma \in \mathbf{E}\}\$  since special choices will have to be made for indices in  $S_1^{\kappa}$  and which, due to conditions (3) and (4) impact all the subsequent choices. To assist with the condition (4) of the requirements on  $\mathcal{I}$ , we choose an enumeration  $\{J_{\xi}:\xi\in\kappa\}$  of  $[\kappa]^{\aleph_1}$  as follows. Let  $D \subset \kappa$  be a cub consisting of  $\lambda$  such that  $\mu + \mu^{\aleph_1} < \lambda$  for all  $\mu < \lambda$ . For each  $\mu \in D$ , the list  $\{J_{\xi}: \mu \leq \xi < \mu + \mu^{\aleph_1}\}\$  is an enumeration of  $[\mu]^{\aleph_1}$ .

Say that a sequence  $\mathfrak{I}_{\lambda} = \{I_{\gamma} : \gamma \in \mathbf{E} \cap \lambda\} \subset [\lambda]^{\leq \aleph_1}$  is an acceptable sequence if it satisfies the properties (1), (2), and (3) that we assume for the sequence  $\mathcal{I}$  in section 2, and, it also satisfies that, for each  $\xi < \mu \in \lambda$ such that  $\mu + \mu^{\aleph_1} < \lambda$ , there is a  $\zeta \in \mathbf{E} \cap \mu + \mu^{\aleph_1}$  such that  $J_{\xi} \subset I_{\zeta}$ . If  $\{\mathfrak{I}_{\lambda} : \lambda \in D\}$  is an increasing sequence of acceptable sequences, then the union, J, satisfies the requirements of section 2. Similarly, once we have chosen an acceptable sequence  $\mathcal{I}_{\lambda}$ , we will assume that the sequence  $\langle P_{\alpha}, \dot{Q}_{\beta} : \alpha \leq \lambda, \beta < \lambda \rangle$  is defined as in Definition 2.2 using the sequence  $\mathcal{I}_{\lambda}$ .

In a similar fashion, we relativize the definition of  $Q(\lambda, \mu)$  from Definition 4.1. Given an acceptable sequence  $\mathfrak{I}_{\lambda}$ , say that a sequence  $\mathbf{q}' = \{\dot{Q}'_{\beta} : \beta < \lambda\} \in H(\kappa)$  is  $\mathfrak{I}_{\lambda}$ -suitable providing (as in Theorem 4.3), by induction on  $\beta < \lambda$ ,  $\dot{Q}^{\lambda}_{\beta}(\mathbf{q}) = \dot{Q}'_{\beta}$  is a  $P^{\lambda}_{\lambda+\beta}(\mathbf{q})$ -name of a ccc poset, where  $P^{\lambda}_{\alpha}(\mathbf{q}) = P_{\alpha}$  for  $\alpha \leq \lambda$  and, for  $\beta > 0$ ,  $P_{\lambda+\beta}^{\lambda}(\mathbf{q})$  is the usual poset from the iteration sequence  $\langle P_{\alpha}^{\lambda}(\mathbf{q}), \dot{Q}_{\zeta}^{\lambda}(\mathbf{q}) : \alpha \leq \beta, \zeta < \beta \rangle$ .

Let f be any function from  $\kappa$  onto  $H(\kappa)$ . We recursively choose our sequences  $\{\mathfrak{I}_{\lambda}:\lambda\in D\}$  and  $\{\dot{Q}'_{\gamma}:\gamma\in\kappa\}$ . The critical inductive assumptions are, for  $\lambda\in D$ ,

- (1)  $\mathfrak{I}_{\lambda}$  extends  $\mathfrak{I}_{\mu}$  for all  $\mu \in D \cap \lambda$ ,
- (2)  $\mathcal{I}_{\lambda}$  is acceptable,
- (3)  $\{\dot{Q}'_{\gamma}: \gamma < \lambda\}$  is  $\mathcal{I}_{\lambda}$ -suitable.

Now let  $\lambda \in D$  and assume we have constructed, for each  $\mu \in D \cap \lambda$ ,  $\mathcal{I}_{\mu}$  and  $\{\dot{Q}'_{\gamma} : \gamma < \mu\}$ . If  $D \cap \lambda$  is cofinal in  $\lambda$ , then we simply let  $\mathcal{I}_{\lambda} = \bigcup \{\mathcal{I}_{\mu} : \mu \in D \cap \lambda\}$  and there is nothing more to do. Otherwise, let  $\mu$  be the maximum element of  $D \cap \lambda$ .

Case 1:  $\mu \notin S_1^{\kappa}$ . First choose any acceptable  $\mathfrak{I}_{\lambda} \supset \mathfrak{I}_{\mu}$ . Choose  $\{\dot{Q}'_{\beta} : \mu \leq \beta < \lambda\}$  by induction as follows. For  $\mu < \beta \notin \mathbf{E}$ , let  $\mathbf{q}$  denote  $\{\dot{Q}'_{\gamma} : \gamma < \beta\}$ . Let  $\zeta < \kappa$  be minimal so that  $\dot{Q}'_{\beta} = f(\zeta)$  is a  $P^{\mu}_{\mu+\beta}(\mathbf{q})$ -name of a ccc poset that is not in the list  $\{\dot{Q}'_{\gamma} : \gamma < \beta\}$ . For  $\mu \leq \beta \in \mathbf{E}$ , choose, if possible minimal  $\zeta < \kappa$  so that  $f(\zeta)$  is equal to  $Q(\mathcal{U}, \dot{C}_{\beta})$  for some S-space task that is not yet handled and let  $\dot{Q}'_{\beta} = f(\zeta)$ . Otherwise, let  $\dot{Q}'_{\beta} = \mathcal{C}_{\omega}$ .

The verification of the inductive hypotheses in Case 1 is routine. We also note that if the induction continues to  $\kappa$ , then  $P_{\kappa+\kappa}^{\kappa}(\{\dot{Q}_{\beta}':\beta<\kappa\})$  will force that there are no S-spaces and that Martin's Axiom holds.

Case 2:  $\mu \in S_1^{\kappa}$ . Let  $\mathbf{q}$  denote  $\{\dot{Q}'_{\beta}: \beta < \mu\}$ . Now we decode the element  $h_{\mu}$  from the  $\diamondsuit$ -sequence. If there is any  $(\alpha, \xi) \in \mu \times \mu$  such that  $f(h_{\mu}(\alpha, \xi))$  is not a  $P^{\mu}_{\mu+\mu}(\mathbf{q})$ -name, then proceed as in Case 1. For each  $\alpha \in \mu$ , if  $f(h_{\mu}(\alpha, 0))$  is not a name of a finite subset of  $\mu$ , then proceed as in Case 1, otherwise let  $\dot{F}_{\alpha} = f(h_{\mu}(\alpha, 0))$ . Similarly, if there is an  $\alpha \in \mu$  such that  $f(h_{\mu}(\alpha, 1))$  is not a name of a positive rational number, then proceed as in Case 1, otherwise let  $\dot{\epsilon}_{\alpha} = f(h_{\mu}(\alpha, 1))$ . If there is an  $\alpha \in \mu$  and a  $\xi > 1$  such that  $f(h_{\mu}(\alpha, \xi))$  is not a name of a element of [0, 1], then proceed as in Case 1, otherwise let

for 
$$(\alpha, \xi) \in \mu \times \mu$$
  $\dot{y}_{\alpha}(\xi) = \begin{cases} f(h_{\mu}(\alpha, \xi + 2)) & \text{if } \xi < \omega \\ f(h_{\mu}(\alpha, \xi)) & \text{if } \omega \leq \xi < \mu \end{cases}$ .

It now follows that  $\dot{y}_{\alpha}$  is a name of an element of  $[0,1]^{\mu}$  and let the name  $\{x \in [0,1]^{\mu} : (\forall \beta \in \dot{F}_{\alpha}) | x(\beta) - \dot{y}_{\alpha}(\beta)| < \dot{\epsilon}_{\alpha}\}$  be denoted by  $\dot{U}_{\alpha}$ . Now we ask if there is a function  $f_{\mu} : \omega_{1} \to \mu$  as in Theorem 5.8. In particular, if there is an  $I \in [\mu]^{\aleph_{1}}$  and such a function  $f_{\mu} : \omega_{1} \to \mu$  such that the sequence  $\mathcal{A} = \{\dot{A}_{\eta} : \eta \in \omega_{1}\}$  as defined in the statement of Theorem 5.8 satisfies that  $P^{\mu}_{\mu+\mu}(\mathbf{q})$  forces that  $\mathcal{A}$  is a Moore-Mrowka task and each  $\dot{A}_{\alpha}$  is a  $P^{\mu}_{\mu+\mu}(\mathbf{q})(I) * \dot{R}_{0}$ -name in the sense of Lemma 5.5. If all these holds, then choose an appropriate  $I_{\mu}$  so that  $I \subset I_{\mu}$  and define  $\dot{Q}'_{\mu}$  to be  $\mathcal{M}(\mathcal{A}, \dot{C}_{\mu})$ . For the remaining choices proceed as in Case 1.

The construction of  $P_{\kappa+\kappa} = P_{\kappa+\kappa}^{\kappa}(\mathbf{q})$  where  $\mathbf{q} = \{\dot{Q}'_{\beta} : \beta < \kappa\}$  is complete. As explained at the beginning of the proof, it follows from Lemma 5.6 and Theorem 5.8, and that the fact that D is a cub, that separable Moore-Mrowka spaces in this model have cardinality at most  $\mathfrak{c}$ .  $\square$ 

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