

SACCHARINITY WITH  $\text{ccc}$ HAIM HOROWITZ AND SAHARON SHELAH 

**Abstract.** Using creature technology, we construct families of Suslin  $\text{ccc}$  non-sweet forcing notions  $\mathbb{Q}$  such that  $ZFC$  is equiconsistent with  $ZF +$  “Every set of reals equals a Borel set modulo the  $(\leq \aleph_1)$ -closure of the null ideal associated with  $\mathbb{Q}$ ” + “There is an  $\omega_1$ -sequence of distinct reals.” This answers a question of the second author and Kellner. As an application of independent interest, we also show how our forcing adds a new  $\Pi_2^1$  singleton over  $L$  without relying on  $L$ -combinatorics.

**§1. Introduction.**

**1.1. Some history.** The study of the consistency strength of regularity properties originated in Solovay’s celebrated work [19], where he proved the following result.

**THEOREM [19].** *Suppose there is an inaccessible cardinal, then after forcing (by Levy collapse) there is an inner model of  $ZF + DC$  where all sets of reals are Lebesgue measurable and have the Baire property.*

Following Solovay’s result, it was natural to ask whether the existence of an inaccessible cardinal is necessary for the above theorem. This problem was settled by Shelah [15] who proved the following theorems.

**THEOREM [15].**

1. *If every  $\Sigma_3^1$  set of reals is Lebesgue measurable, then  $\aleph_1$  is inaccessible in  $L$ .*
2.  *$ZF + DC +$  “all sets of reals have the Baire property” is equiconsistent with  $ZFC$ .*

A central concept in the proof of the second theorem is the amalgamation of forcing notions, which allows the construction of a suitably homogeneous forcing notion, thus allowing the use of an argument similar to the one used by Solovay, in which we have “universal amalgamation” (for years it was a quite well known problem). As the problem was that the countable chain condition is not necessarily preserved by amalgamation, Shelah isolated a property known as “sweetness,” which implies  $\text{ccc}$  and is preserved under amalgamation. See more on the history of the subject in [14].

**1.2. General regularity properties.** Given an ideal  $I$  on the reals, we say that a set of reals  $X$  is  $I$ -measurable if  $X \Delta B \in I$  for some Borel set  $B$ , this is a straightforward generalization of Lebesgue measurability and the Baire property.

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Given a definable forcing notion  $\mathbb{Q}$  adding a generic real  $\eta$  (we may write  $\mathbb{Q}$  instead of  $(\mathbb{Q}, \eta)$ ) and a cardinal  $\aleph_0 \leq \kappa$ , there is a natural ideal on the reals  $I_{\mathbb{Q}, \kappa}$  associated with  $(\mathbb{Q}, \kappa)$  (see Definition 18), such that, for example,  $I_{\text{Cohen}, \aleph_0}$  and  $I_{\text{Random}, \aleph_0}$  are the meagre and null ideals, respectively. Hence in many cases the study of ideals on the reals corresponds to the study of definable forcing notions adding a generic real. On the study of ideals from the point of view of classical descriptive set theory, see [6, 18]. For a forcing theoretic point of view, see [14]. Another approach to the subject can be found in [21].

We are now ready to formulate the first approximation for our general problem.

**PROBLEM.** *Classify the definable ccc forcing notions according to the consistency strength of  $ZF + DC +$  “all sets of reals are  $I_{\mathbb{Q}, \kappa}$ -measurable.”*

*Towards this we may ask: Given a definable ccc forcing notion  $\mathbb{Q}$ , is it possible to get a model where all sets of reals are  $I_{\mathbb{Q}, \kappa}$ -measurable without using an inaccessible cardinal and for non-sweet forcing notions?*

**1.3. Saccharinity.** A positive answer to the last question was given by Kellner and Shelah in [7] for a proper *non-ccc* (very non-homogeneous) forcing notion  $\mathbb{Q}$ , where the ideal is  $I_{\mathbb{Q}, \aleph_1}$ .

In this article we shall prove a similar result for a *ccc* forcing notion, omitting the *DC* but getting an  $\omega_1$ -sequence of distinct reals. By [15], the existence of such sequence is inconsistent with the Lebesgue measurability of all sets of reals, hence our forcing notions are, in a sense, closer to Cohen forcing than to Random real forcing.

Our construction will involve the creature forcing techniques of [13] and [12], and will result in definable forcing notions  $\mathbb{Q}_n^i$  which are non-homogeneous in a strong sense: Given a finite-length iteration of the forcing, the only generic reals are those given explicitly by the union of trunks of the conditions that belong to the generic set.

The homogeneity will be achieved by iterating along a very homogeneous (thus non-wellfounded) linear order. By moving to a model where all sets of reals are definable from a finite sequence of generic reals, we shall obtain the consistency of  $ZF +$  “all sets of reals are  $I_{\mathbb{Q}_n^i, \aleph_1}$ -measurable” + “There exists an  $\omega_1$ -sequence of distinct reals.”

It’s interesting to note that our model doesn’t satisfy  $AC_{\aleph_0}$ , thus leading to a finer version of the problem presented earlier.

**PROBLEM.** *Classify the definable ccc forcing notions according to the consistency strength of  $T +$  “all sets of reals are  $I_{\mathbb{Q}, \kappa}$ -measurable” where  $T \in \{ZF, ZF + AC_{\aleph_0}, ZF + DC, ZF + DC(\aleph_1), ZFC\}$ , and similarly for  $T' = T + WO_{\omega_1}$  where  $T$  is as above and  $WO_{\omega_1}$  is the statement “There is an  $\omega_1$ -sequence of distinct reals.”*

**REMARK.** Note that for some choices of  $T$ ,  $\mathbb{Q}$ , and  $\kappa$ , the above statement might be inconsistent.

We intend to address this problem in [3] and other continuations.

**1.4. On the special properties of  $\mathbb{Q}_n^2$ .** We shall focus in this article on two types of forcing notions, namely  $\mathbb{Q}_n^1$  and  $\mathbb{Q}_n^2$ . In the years following the initial posting of

this article online, the forcing  $\mathbb{Q}_n^2$  was popularized by [8], where it was reintroduced under the name  $\mathbb{E}$  and shown to play an important role in the study of Cichon's maximum. See [10] for a systematic presentation and proofs that the forcing has strong FAM limits and ultrafilter limits for intervals. Another attractive feature of  $\mathbb{Q}_n^2$  which we shall investigate in the end of this article is the fact that it provides a novel way to add a  $\Pi_2^1$  singleton over  $L$ , without relying on  $L$ -combinatorics (which was crucial for Jensen's proof).

A remark on notation: 1. Given a tree  $T \subseteq \omega^{<\omega}$  and a node  $\eta \in T$ , we shall denote by  $T^{[v \leq \eta \vee \eta \leq v]}$  the subtree of  $T$  consisting of the nodes  $\{v : v \leq \eta \vee \eta \leq v\}$ .

2. For  $T$  as above, if  $\eta \in T$  is the trunk of  $T$ , let  $T^+ := \{v \in T : \eta \leq v\}$ .

**§2. Norms,  $\mathbb{Q}_n^1$  and  $\mathbb{Q}_n^2$ .** In this section we shall define a collection  $\mathbf{N}$  of parameters. Each parameter  $\mathbf{n} \in \mathbf{N}$  consists of a subtree with finite branching of  $\omega^{<\omega}$  with a rapid growth of splitting and a norm on the set of successors of each node in the tree.

From each parameter  $\mathbf{n} \in \mathbf{N}$  we shall define two forcing notions,  $\mathbb{Q}_n^1$  and  $\mathbb{Q}_n^2$ . We shall prove that they're nicely definable ccc. We will show additional nice properties in the case of  $\mathbb{Q}_n^2$ , such as a certain compactness property and the fact that being a maximal antichain is a Borel property. We refer the reader to [13] and [12] for more information on creature forcing.

DEFINITION 1.

1. A norm on a set  $A$  is a function assigning to each  $X \in P(A) \setminus \{\emptyset\}$  a non-negative real number such that  $X_1 \subseteq X_2 \rightarrow \text{nor}(X_1) \leq \text{nor}(X_2)$ .
2. Let  $\mathbf{M}$  be the collection of pairs  $(\mathbb{Q}, \eta)$  such that  $\mathbb{Q}$  is a Suslin ccc forcing notion and  $\eta$  is a  $\mathbb{Q}$ -name of a real.

DEFINITION 2. Let  $\mathbf{N}$  be the set of tuples  $\mathbf{n} = (T, \text{nor}, \bar{\lambda}, \bar{\mu}) = (T_n, \text{nor}_n, \bar{\lambda}_n, \bar{\mu}_n)$  such that:

- a.  $T$  is a subtree of  $\omega^{<\omega}$ .
- b.  $\bar{\mu} = (\mu_\eta : \eta \in T)$  is a sequence of non-negative real numbers.
- c.  $\bar{\lambda} = (\lambda_\eta : \eta \in T)$  is a sequence of pairwise distinct non-zero natural numbers such that:
  1.  $\lambda_\eta = |\{m : \eta \frown m \in T\}|$ , so  $T \cap \omega^n$  is finite and non-empty for every  $n$ .
  2. If  $lg(\eta) = lg(v)$  and  $\eta <_{lex} v$  then  $\lambda_\eta \ll \lambda_v$ , where " $m \ll k$ " means that " $k$  is much larger than  $m$ ," for our purposes it suffices to require that  $m \ll k \iff \beth_m \leq k$ .
  3. If  $lg(\eta) < lg(v)$  then  $lg(\eta) \ll \lambda_\eta \ll \lambda_v$ .
  4.  $lg(\eta) \ll \mu_\eta \ll \lambda_\eta$  for  $\eta \in T$ .
- d. For  $\eta \in T$ ,  $\text{nor}_\eta$  is a function with domain  $\mathcal{P}^-(\text{suc}_T(\eta)) = \mathcal{P}(\text{suc}_T(\eta)) \setminus \emptyset$  and range  $\subseteq \mathbb{R}_{\geq 0}$  such that:
  1.  $\text{nor}_\eta$  is a norm on  $\text{suc}_T(\eta)$  (see Definition 1).
  2.  $(lg(\eta) + 1)^2 \leq \mu_\eta \leq \text{nor}_\eta(\text{suc}_T(\eta))$ .
- e.  $\lambda_{<\eta} := \prod\{\lambda_v : \lambda_v < \lambda_\eta\} \ll \mu_\eta$ .
- f. (Co-bigness) If  $k \in \mathbb{R}^+$ ,  $a_i \subseteq \text{suc}_{T_n}(\eta)$  for  $i < i(*) \leq \mu_\eta$  and  $k + \frac{1}{\mu_\eta} \leq \text{nor}_\eta(a_i)$  for every  $i < i(*)$  (where  $i(*) \leq \mu_\eta$ ), then  $k \leq \text{nor}_\eta(\bigcap_{i < i(*)} a_i)$ .

- g. If  $1 \leq \text{nor}_\eta(a)$  then  $\frac{1}{2} < \frac{|a|}{|\text{suc}_{T_n}(\eta)|}$ .
- h. If  $k + \frac{1}{\mu_\eta} \leq \text{nor}_\eta(a)$  and  $\rho \in a$ , then  $k \leq \text{nor}_\eta(a \setminus \{\rho\})$ .

DEFINITION 3. A. For  $\mathbf{n} \in \mathbf{N}$  we shall define the forcing notions  $\mathbb{Q}_n^1 \subseteq \mathbb{Q}_n^{\frac{1}{2}} \subseteq \mathbb{Q}_n^0$  as follows:

1.  $p \in \mathbb{Q}_n^0$  iff for some  $\text{tr}(p) \in T_n$  we have:
    - a.  $p$  or  $T_p$  is a subtree of  $T_n^{[\text{tr}(p) \leq 1]}$  (so it's closed under initial segments) with no maximal node.
    - b. For  $\eta \in \text{lim}(T_p)$ ,  $\text{lim}(\text{nor}_{\eta \upharpoonright l}(\text{suc}_{T_p}(\eta \upharpoonright l)) : \text{lg}(\text{tr}(p)) \leq l < \omega) = \infty$ .
    - c.  $2 - \frac{1}{\mu_{\text{tr}(p)}} \leq \text{nor}(p)$  (where  $\text{nor}(p)$  is defined in C(b) below).
  2.  $p \in \mathbb{Q}_n^{\frac{1}{2}}$  if  $p \in \mathbb{Q}_n^0$  and  $\text{nor}_\eta(\text{Suc}_p(\eta)) > 2$  for every  $\text{tr}(p) \leq \eta \in T_p$ . We shall prove later that  $\mathbb{Q}_n^{\frac{1}{2}}$  is dense in  $\mathbb{Q}_n^0$ .
  3.  $p \in \mathbb{Q}_n^1$  if  $p \in \mathbb{Q}_n^0$  and for every  $n < \omega$ , there exists  $k^p(n) = k(n) > \text{lg}(\text{tr}(p))$  such that for every  $\eta \in T_p$ , if  $k(n) \leq \text{lg}(\eta)$  then  $n \leq \text{nor}_\eta(\text{Suc}_p(\eta))$ .
- B.  $\mathbb{Q}_n^i \models p \leq q$  ( $i \in \{0, \frac{1}{2}, 1\}$ ) iff  $T_q \subseteq T_p$ .
- C. a. For  $i \in \{0, \frac{1}{2}, 1\}$ ,  $\eta_n^i$  is the  $\mathbb{Q}_n^i$ -name for  $\cup\{\text{tr}(p) : p \in G_{\mathbb{Q}_n^i}\}$ .
- b. For  $i \in \{0, \frac{1}{2}, 1\}$  and  $p \in \mathbb{Q}$  let  $\text{nor}(p) := \sup\{a \in \mathbb{R}_{>0} : \eta \in T_p^+ \rightarrow a \leq \text{nor}_\eta(\text{suc}_{T_p}(\eta))\} = \inf\{\text{nor}_\eta(\text{suc}_{T_p}(\eta)) : \eta \in T_p\}$ .
- D. For  $i \in \{0, \frac{1}{2}, 1\}$  let  $\mathbf{m}_n^i = \mathbf{m}_{i,n} = (\mathbb{Q}_n^i, \eta_n^i)$ .

We shall now describe a concrete construction of some  $\mathbf{n} \in \mathbf{N}$ .

DEFINITION 4. We say that  $\mathbf{n} \in \mathbf{N}$  is special when:

- a. For each  $\eta \in T_n$  the norm  $\text{nor}_\eta$  is defined as follows: for  $\emptyset \neq a \subseteq \text{suc}_T(\eta)$ ,
 
$$\text{nor}_\eta(a) = \frac{\log_*(|\text{suc}_T(\eta)|)}{\mu_\eta^2} - \frac{\log_*|\text{suc}_T(\eta) \setminus a|}{\mu_\eta^2} \quad \text{where} \quad \log_*(x) = \max\{n : \beth_n \leq x\}$$
 (where  $\beth_0 = 1$  and  $\beth_{n+1} = 2^{\beth_n}$ ).
- b.  $\mu_\eta = \text{nor}_\eta(\text{suc}_{t_n}(\eta))$ .

OBSERVATION 4A. There are  $T_n$ ,  $(\lambda_\eta, \mu_\eta : \eta \in T_n)$  and  $(\text{nor}_\eta : \eta \in T_n)$  satisfying the requirements of Definition 2, where the norm is defined as in Definition 4 (hence  $\mathbf{n} \in \mathbf{N}$  is special).

PROOF. It's easy to check that the following  $(T_n, (\mu_\eta, \lambda_\eta : \eta \in T_n))$  together with the norm from Definition 4 form a special  $\mathbf{n} \in \mathbf{N}$  where  $T_n \cap \omega^n$ ,  $(\mu_\eta, \lambda_\eta : \eta \in T_n \cap \omega^n)$  are defined by induction on  $n < \omega$  as follows:

- a.  $T_n \cap \omega^0 = \{\langle \rangle\}$ .
- b. At stage  $n + 1$ , for  $\eta \in T_n \cap \omega^n$ , by induction according to  $<_{\text{lex}}$ , define  $\mu_\eta = \beth_{\lambda_{<\eta}}$ ,  $\lambda_\eta = \beth_{\mu_\eta}$  and the set of successors of  $\eta$  in  $T_n$  is defined as  $\{\eta \frown (l) : l < \lambda_\eta\}$ .

For example, we shall prove the co-bigness property:

Suppose that  $\eta \in T_n$  ( $a_i : i < i(*)$ ) are as in Definition 2(f). Denote  $k_1 = |\text{suc}_{T_n}(\eta)|$  and  $k_2 = \max\{|\text{suc}_{T_n}(\eta) \setminus (a_i) : i < i(*)\}$ . Therefore,  $\frac{\log_*(k_1)}{\mu_\eta^2} -$

$\frac{\log_*(k_2)}{\mu_\eta^2} \leq \text{nor}_\eta(a_i)$  (so necessarily  $k + \frac{1}{\mu_\eta} \leq \frac{\log_*(k_1)}{\mu_\eta^2} - \frac{\log_*(k_2)}{\mu_\eta^2}$ ). Let  $a = \bigcup_{i < i(*)} a_i$  and  $k_3 = |\text{suc}_{T_\mathbf{n}}(\eta) \setminus a| \leq i(*)k_2 \leq \mu_\eta k_2$ . Therefore  $\frac{\log_*(k_1)}{\mu_\eta^2} - \frac{\log_*(\mu_\eta k_2)}{\mu_\eta^2} \leq \frac{\log_*(k_1)}{\mu_\eta^2} - \frac{\log_*(k_3)}{\mu_\eta^2} = \text{nor}_\eta(a)$ . We have to show that  $k \leq \text{nor}_\eta(a)$ , so it's enough to show that  $k \leq \frac{\log_*(k_1)}{\mu_\eta^2} - \frac{\log_*(\mu_\eta k_2)}{\mu_\eta^2}$ . Recalling that  $k + \frac{1}{\mu_\eta} \leq \frac{\log_*(k_1)}{\mu_\eta^2} - \frac{\log_*(k_2)}{\mu_\eta^2}$ , it's enough to show that  $\frac{\log_*(\mu_\eta k_2)}{\mu_\eta^2} - \frac{\log_*(k_2)}{\mu_\eta^2} \leq \frac{1}{\mu_\eta}$ .

*Case 1:*  $k_2 \leq \mu_\eta$ . In this case, it's enough to show that  $\log_*(\mu_\eta k_2) - \log_*(k_2) \leq \mu_\eta$ , and indeed,  $\log_*(\mu_\eta k_2) - \log_*(k_2) \leq \log_*(\mu_\eta^2) \leq \mu_\eta$ .

*Case 2:*  $\mu_\eta < k_2$ . By the properties of  $\log_*$ ,  $\log_*(k_2) \leq \log_*(\mu_\eta k_2) \leq \log_*(k_2^2) \leq \log_*(k_2) + 1$ , therefore  $\frac{\log_*(\mu_\eta k_2)}{\mu_\eta^2} - \frac{\log_*(k_2)}{\mu_\eta^2} \leq \frac{1}{\mu_\eta}$ .  $\dashv$

**DEFINITION 5.** For  $\mathbf{n} \in \mathbf{N}$  we define  $\mathbf{m} = \mathbf{m}_\mathbf{n}^2 = (\mathbb{Q}_\mathbf{n}^2, \eta_\mathbf{n}^2)$  by:

- A)  $p \in \mathbb{Q}_\mathbf{n}^2$  iff  $p$  consists of a trunk  $\text{tr}(p) \in T_\mathbf{n}$ , a perfect subtree  $T_p \subseteq T_\mathbf{n}^{\text{tr}(p) \leq 1}$  and a natural number  $n \in [1, \text{lg}(\text{tr}(p)) + 1]$  such that  $1 + \frac{1}{n} \leq \text{nor}_\eta(\text{suc}_{T_p}(\eta))$  for every  $\eta \in T_p^+$ .
- B) Order: Reverse inclusion.
- C)  $\eta_\mathbf{n}^2 = \bigcup \{ \text{tr}(p) : p \in G_{\mathbb{Q}_\mathbf{n}^2} \}$ .
- D) If  $p \in \mathbb{Q}_\mathbf{n}^2$  we let  $\text{nor}(p) = \min \{ n : \eta \in T_p \rightarrow 1 + \frac{1}{n} \leq \text{nor}_\eta(\text{suc}_p(\eta)) \}$ .

**CLAIM 6.**  $\mathbb{Q}_\mathbf{n}^i \models ccc$  for  $i \in \{0, \frac{1}{2}, 1, 2\}$ .

**PROOF.** First we shall prove the claim for  $\mathbb{Q}_\mathbf{n}^i$  where  $i \in \{0, \frac{1}{2}, 1\}$ . Observe that if  $p \in \mathbb{Q}_\mathbf{n}^i$  and  $0 < k < \omega$ , then there is  $p \leq q \in \mathbb{Q}_\mathbf{n}^i$  such that  $\text{nor}_\eta(\text{Suc}_q(\eta)) > k$  for every  $\eta \in T_q^+$ . The statement is trivial for  $i = 1$ , so suppose that  $i \in \{0, \frac{1}{2}\}$ . In order to prove this fact, let  $Y = \{ \eta \in T_p : \text{for every } \eta \leq v \in T_p, \text{nor}_v(\text{Suc}_{T_p}(v)) > k \}$ , then  $Y$  is dense in  $T_p$  (suppose otherwise, then we can construct a strictly increasing sequence of members  $\eta_i \in T_p$  such that  $\text{nor}_{\eta_i}(\text{Suc}_{T_p}(\eta_i)) \leq k$ , so  $\bigcup_{i < \omega} \eta_i \in \text{lim}(T_p)$  contradicts the definition of  $\mathbb{Q}_\mathbf{n}^i$ ). Now pick  $\text{tr}(p) \leq \eta \in Y$ , then  $q = p^{[\eta \leq]}$  is as required. It also follows from this claim that  $\mathbb{Q}_\mathbf{n}^{\frac{1}{2}}$  is dense in  $\mathbb{Q}_\mathbf{n}^0$  (this is a private case where we let  $k = 2$  in the claim).

Now suppose towards contradiction that  $\{ p_\alpha : \alpha < \aleph_1 \} \subseteq \mathbb{Q}_\mathbf{n}^i$  is an antichain, for every  $\alpha$ , there is  $p_\alpha \leq q_\alpha$  such that  $\text{nor}_\eta(\text{Suc}_{q_\alpha}(\eta)) > 2$  for every  $\eta \in q_\alpha$ . For some uncountable  $S \subseteq \aleph_1$ ,  $\text{tr}(q_\alpha) = \eta_*$  for every  $\alpha \in S$ . By the claim below,  $q_\alpha, q_\beta$  are compatible for  $\alpha, \beta \in S$ , contradicting our assumption.

As for  $\mathbb{Q}_\mathbf{n}^2$ , given  $I = \{ p_i : i < \aleph_1 \} \subseteq \mathbb{Q}_\mathbf{n}^2(\mathbb{Q}_\mathbf{n}^1)$ , the set  $\{ (\text{tr}(p), \text{nor}(p)) : p \in I \}$  is countable, hence there is  $p_* \in I$  such that for uncountably many  $p_i \in I$  we have  $(\text{tr}(p_i), \text{nor}(p_i)) = (\text{tr}(p_*), \text{nor}(p_*))$ . By the claim below, those  $p_i$  are pairwise compatible.  $\dashv$

**REMARK.** The above argument actually gives  $\sigma$ -linkedness, though this is not used in the article.

CLAIM 7.

- 1)  $p, q \in \mathbb{Q}_n^2$  are compatible in  $\mathbb{Q}_n^2$  iff  $tr(p) \leq tr(q) \in T_p$  or  $tr(q) \leq tr(p) \in T_q$ .
- 2) Similarly,  $p, q \in \mathbb{Q}_n^i$  are compatible in  $\mathbb{Q}_n^i$  for  $i \in \{0, \frac{1}{2}, 1\}$  iff  $tr(p) \leq tr(q) \in T_p \vee tr(q) \leq tr(p) \in T_q$ .

PROOF. In both clauses, the implication  $\rightarrow$  is obvious, we shall prove the other direction.

1) First observe that if  $p \in \mathbb{Q}_n^2$  and  $v \in T_p$ , then  $p^{[v]} \in \mathbb{Q}_n^2$  and  $p \leq p^{[v]}$  (where  $p^{[v]}$  is the set of nodes in  $p$  comparable with  $v$ ).

$\square_1$  If  $tr(p) \leq tr(q) \in T_p$  then  $T_p \cap T_q$  has arbitrarily long nodes.  $\dashv$

PROOF. Let  $\eta = tr(q)$ , then by the definition of the norm and  $\mathbb{Q}_n^2$ ,  $\frac{1}{2} < \frac{|suc_{T_p}(\eta)|}{|suc_{T_n}(\eta)|} \cdot \frac{|suc_{T_q}(\eta)|}{|suc_{T_n}(\eta)|}$ . Hence there is  $v \in suc_{T_p}(\eta) \cap suc_{T_q}(\eta)$ . Repeating the same argument, we get sequences in  $T_p \cap T_q$  of length  $n$  for every  $n$  large enough.

$\square_2$  Claim: If  $tr(p_1) = tr(p_2) = \eta$ ,  $p_1, p_2 \in \mathbb{Q}_n^2$ , and there is  $h < \omega$  such that  $\min\{nor(p_1), nor(p_2)\} \leq h$  and  $h < lg(\eta)$ , then  $p_1$  and  $p_2$  are compatible.  $\dashv$

PROOF. For every  $v \in T_{p_1} \cap T_{p_2}$ , by the co-bigness property,  $\min\{nor_v(suc_{p_1}(v)), suc_{p_2}(v)\} - \frac{1}{\mu_v} \leq nor(suc_{p_1}(v) \cap suc_{p_2}(v))$ . By the definition of  $nor(p_i)$  (recalling that  $lg(\eta)^2 \leq \mu_\eta$ ),  $1 + \frac{1}{h+1} \leq (1 + \frac{1}{h+1}) + (\frac{1}{(h+1)^2} - \frac{1}{\mu_\eta}) \leq (1 + \frac{1}{h+1}) + (\frac{1}{h} - \frac{1}{h+1} - \frac{1}{\mu_v}) = 1 + \frac{1}{h} - \frac{1}{\mu_v} \leq \min\{nor(p_1), nor(p_2)\} - \frac{1}{\mu_v} \leq \min\{nor_v(suc_{p_1}(v)), suc_{p_2}(v)\} - \frac{1}{\mu_v}$ . Therefore  $1 + \frac{1}{h+1} \leq nor(suc_{p_1}(v) \cap suc_{p_2}(v))$ , so  $p_1 \cap p_2$  is as required. Hence,

$\square_3$   $p$  and  $q$  are compatible.  $\dashv$

PROOF. Suppose WLOG that  $tr(p) \leq tr(q) \in T_p$  and pick  $h$  such that  $1 + \frac{1}{h} \leq nor(p), nor(q)$ . By  $\square_1$ , there is  $\eta \in T_p \cap T_q$  such that  $h < lg(\eta)$ . Now  $p \leq p^{[\eta]}, q \leq q^{[\eta]}$ , and  $(p^{[\eta]}, q^{[\eta]})$  satisfy the assumptions of  $\square_2$ , therefore they're compatible and so are  $p$  and  $q$ .

The proof is similar if  $tr(q) \leq tr(p) \in T_q$ . The implication in the other direction is easy.

2) The proof is similar. First observe that if  $\eta \in \lim(T_p) \cap \lim(T_q)$ , then  $\lim(nor_{\eta \upharpoonright l}(suc_{T_p}(\eta \upharpoonright l)) : l < \omega) = \infty = \lim(nor_{\eta \upharpoonright l}(suc_{T_q}(\eta \upharpoonright l)) : l < \omega)$ , so by the co-bigness property (Definition 2(f)),  $\lim(nor_{\eta \upharpoonright l}(suc_{T_p \cap T_q}(\eta \upharpoonright l)) : l < \omega) = \infty$ . Now let  $v = tr(q) \in T_p \cap T_q$ , as  $2 - \frac{1}{\mu_{tr(p)}} \leq nor(p), nor(q)$ , it follows from the co-bigness property and Definition 2(g) that  $v \leq \eta \in T_p \cap T_q \rightarrow 2 < |Suc_{p \cap q}(\eta)|$ , so  $p \cap q$  is a perfect tree. It's easy to see that there exists  $\eta \in p \cap q$  such that  $nor_v(Suc_{p \cap q}(v)) > 2$  for every  $\eta \leq v \in p \cap q$  (otherwise, we can repeat the argument in the proof of Claim 6, and get a branch through  $p \cap q$  along which the norm doesn't tend to infinity). Therefore,  $p^{[\leq \eta]} \cap q^{[\leq \eta]} \in \mathbb{Q}_n^i$  ( $i \in \{0, \frac{1}{2}\}$ ) is a common upper bound. Finally, note that if  $i = 1$ , then for every  $n < \omega$  there exist  $k^p(n+1), k^q(n+1)$  as in Definition 3(3). By the co-bigness property, for every  $\eta \in T_p \cap T_q$  of length  $> \max\{k^p(n+1), k^q(n+1)\}$ ,  $n \leq nor_\eta(Suc_{p \cap q}(\eta))$ . Therefore, the common upper bound is in  $\mathbb{Q}_n^1$  as well.  $\dashv$

CLAIM 8. Let  $I \subseteq \mathbb{Q}_n^2$  be an antichain and  $A = \cup\{T_q^+ : q \in I\} \subseteq T_n$ . The following conditions are equivalent:

- (a)  $I$  is a maximal antichain.
- (b) If  $\eta \in T_n$  and  $0 < n < \omega$  then there is no  $p \in \mathbb{Q}_n^2$  such that:
- ( $\alpha$ )  $\text{tr}(p) = \eta$ .
  - ( $\beta$ )  $\text{nor}(p) = n$ .
  - ( $\gamma$ )  $p$  is incompatible with every  $q \in I$ .
- (c) Like (b), but replacing ( $\gamma$ ) by
- ( $\gamma$ )'  $T_p^+ \cap A = \emptyset$ .
- (d) Like (b), but replacing ( $\gamma$ ) by
- ( $\gamma$ )'' For every  $m > n$   $T_p^+ \cap A$  is disjoint to  $\{v \in T_n : \text{lg}(v) \leq m\}$ .
- (e) If  $\eta \in T_n$  and  $n < \omega$  then for some  $m > n$  there is no set  $T$  such that:
- ( $\alpha$ )  $T \subseteq T_n$ .
  - ( $\beta$ )  $\eta \in T$ .
  - ( $\gamma$ ) If  $v \in T^+$  then  $\eta \leq v$  and  $\text{lg}(v) \leq m$ .
  - ( $\delta$ ) If  $\eta \leq v_1 \leq v_2$  and  $v_2 \in T$  then  $v_1 \in T$ .
  - ( $\epsilon$ )  $T \cap A = \emptyset$ .
  - ( $\zeta$ ) If  $v \in T$  and  $\text{lg}(v) < m$  then  $1 + \frac{1}{n} \leq \text{nor}_v(\text{suc}_T(v))$ .

PROOF.  $\neg(a) \rightarrow \neg(b)$ : If  $p$  is incompatible with every  $q \in I$  then  $(p, \text{tr}(p), \text{nor}(p))$  is a counterexample to (b).

$\neg(b) \rightarrow \neg(c)$ : If  $(p, \text{tr}(p), \text{nor}(p))$  is a counterexample to (b), then it is a counterexample to (c) by the characterisation of compatibility in  $\mathbb{Q}_n^2$  in Claim 7.

$\neg(c) \rightarrow \neg(d)$ : Obvious.

$\neg(d) \rightarrow \neg(e)$ : Let  $T = T_p$  with  $p$  being a counter example to (d) and let  $\eta = \text{tr}(p)$ ,  $n$  witness  $\neg(d)$ . We shall check that for every  $m > n$ ,  $\{v : \text{tr}(p) \leq v \in T \wedge \text{lg}(v) \leq m\}$  satisfies ( $\alpha$ ) – ( $\zeta$ ) if (e).

$\neg(e) \rightarrow \neg(a)$ : If  $(\eta, n)$  is a counterexample, then for every  $m$  there is  $T_m$  satisfying ( $\alpha$ ) – ( $\zeta$ ) of clause (e). Let  $D$  be a non-principal ultrafilter on  $\omega$  and define  $T := \{v \in T_n : v \leq \eta \text{ or } \{m : m > n, v \in T_m\} \in D\}$ . It remains to show that  $T \in \mathbb{Q}_n^2$  (as  $T^+$  is disjoint to  $A$ , it follows that  $I$  is not a maximal antichain). The proof is similar to Claim 12.  $\dashv$

CLAIM 9. Let  $n \in \mathbb{N}$ .

- A) The sets  $\mathbb{Q}_n^1$  and  $\mathbb{Q}_n^2$  are Borel, the sets  $\mathbb{Q}_n^0$  and  $\mathbb{Q}_n^{\frac{1}{2}}$  are  $\Pi_1^1$ .
- B) The relation  $\leq_{\mathbb{Q}_n^i}$  is Borel for  $i \in \{0, \frac{1}{2}, 1, 2\}$ .
- C) The incompatibility relation in  $\mathbb{Q}_n^i$  is Borel for  $i \in \{0, \frac{1}{2}, 1, 2\}$ .

PROOF.

**A. The sets  $\mathbb{Q}_n^1$  and  $\mathbb{Q}_n^2$  are Borel:** We shall first prove the claim for  $\mathbb{Q}_n^1$ . Consider  $T_n$  as a subset of  $H(\aleph_0)$ . By definition, if  $p \in \mathbb{Q}_n^1$  then  $T_p \subseteq T_n \subseteq H(\aleph_0)$ . Hence  $S := \{p \subseteq H(\aleph_0) : p \text{ is a perfect subtree of } T_n\} \subseteq P(H(\aleph_0))$  is a Borel subset of  $P(H(\aleph_0))$ . For every  $n, k < \omega$  define  $S_{n,k}^1 = \{p \in S : \text{lg}(\text{tr}(p)) < k \text{ and if } p \in T_p \text{ and } k \leq \text{lg}(\rho) \text{ then } n \leq \text{nor}_\rho(\text{suc}_p(\rho))\}$ . Each  $S_{n,k}^1$  is closed (for fixed  $\rho$  and  $n$ , the condition  $n \leq \text{nor}_\rho(\text{suc}_p(\rho))$  is closed, and so we get an intersection of closed sets), hence  $S \cap (\bigcap_{n,k} S_{n,k}^1)$  is Borel, so it's enough to show that  $p \in \mathbb{Q}_n^1$  iff  $p \in S \cap (\bigcap_{n,k} S_{n,k}^1)$  and  $2 - \frac{1}{\mu_{\text{tr}(p)}} \leq \text{nor}(p)$ , which follows directly from the definition of  $\mathbb{Q}_n^1$ .

In the case of  $\mathbb{Q}_n^2$ , we replace  $\bigcap_n \bigcup_k S_{n,k}^1$  with  $\bigcup_{n,k} S_{n,k}^2$  where  $S_{n,k}^2 = \{p \in S : \lg(\text{tr}(p)) = n \wedge \text{nor}(p) = k\}$ . Each  $S_{n,k}^2$  is Borel and since “being a perfect subtree” is Borel,  $\mathbb{Q}_n^2$  is Borel.

**The sets  $\mathbb{Q}_n^0$  and  $\mathbb{Q}_n^{\frac{1}{2}}$  are  $\Pi_1^1$ :** The demand “ $\lim_{n < \omega} (\text{nor}_{\eta \upharpoonright n}(\text{Suc}_p(\eta \upharpoonright n))) = \infty$  for every  $\eta \in \text{lim}(T_p)$ ” is  $\Pi_1^1$ , and it’s easy to see that  $\{p \in S : \text{tr}(p) \leq \eta \in T_p \rightarrow \text{nor}_\eta(\text{Suc}_{T_p}(\eta)) > 2\}$  is Borel.

**B. The relation  $\leq_{\mathbb{Q}_n^i}$  is Borel for  $i \in \{0, \frac{1}{2}, 1, 2\}$ :** For  $i \in \{0, \frac{1}{2}, 1, 2\}$ , the relation  $\leq_{\mathbb{Q}_n^i}$  is simply the reverse inclusion relation restricted to  $\mathbb{Q}_n^i$ , hence it is Borel.

**C. The incompatibility relation in  $\mathbb{Q}_n^i$  is Borel for  $\{0, \frac{1}{2}, 1, 2\}$ :** The incompatibility relation is Borel by Claim 7.  $\dashv$

CLAIM 10.

A) Assume that  $p_l \in \mathbb{Q}_n^i$  ( $l < n$ ) where  $i \in \{0, 1\}$ ,  $\bigwedge_{l < n} \text{tr}(p_l) = \rho$ ,  $n \leq \lg(\rho)$  and for every  $\eta \in p_l^+$  we have  $2 \leq k + 1 \leq \text{nor}_\eta(\text{suc}_{p_l}(\eta))$ , then  $\{p_l : l < n\}$  have a common upper bound  $p$  such that  $\text{tr}(p) = \rho$  and  $k \leq \text{nor}_\eta(\text{suc}_p(\eta))$  for every  $\eta \in T_p^+$ .

B) Assume that  $p_l \in \mathbb{Q}_n^2$  ( $l < n$ ),  $\bigwedge_{l < n} \text{tr}(p_l) = \rho$ ,  $n \leq \lg(\rho)$  and for every  $\eta \in p_l^+$  ( $l < n$ ) we have  $1 + \frac{1}{k} \leq \text{nor}_\eta(\text{suc}_{p_l}(\eta))$ . In addition, assume that  $k \leq \lg(\rho)$  and  $k(k + 1) \leq \mu_\eta$  for every  $\eta \in p_l^+$  ( $l < n$ ), then  $\{p_l : l < n\}$  have a common upper bound  $p$  such that  $\text{tr}(p) = \rho$  and  $1 + \frac{1}{k+1} \leq \text{nor}_\eta(\text{suc}_p(\eta))$ .

PROOF. A) Suppose first that  $i = 0$ . Let  $p = \bigcap_{l < n} p_l$ , then  $p \subseteq T_n^{[p \leq]}$  is a subtree containing  $\rho$ . If  $v \in p$  then  $v \in p_l$  for every  $l < n$ , hence  $\text{Suc}_p(v) = \bigcap_{l < n} \text{Suc}_{p_l}(v)$ . As  $n \leq \lg(\rho) \leq \mu_\eta$  for every  $\rho \leq \eta \in p$ , it follows from the properties of the norm in the definition of  $\mathbf{n} \in \mathbf{N}$  that  $k \leq \text{nor}_\eta(\text{Suc}_p(\eta))$ . Therefore,  $T_p$  is a perfect tree, and similarly to the proof of Claim 7, it follows that the norm along infinite branches tends to infinity, hence  $p \in \mathbb{Q}_n^0$ . Suppose now that  $i = 1$ . The above arguments are still valid, and in addition, similarly to the argument on  $\mathbb{Q}_n^1$  in the proof of claim 7(2), it’s easy to see that by the co-bigness property,  $p \in \mathbb{Q}_n^1$ .  $\dashv$

REMARK. Note that as  $2 \leq k + 1$ , it follows from the above arguments that  $2 - \frac{1}{\mu_{\text{tr}(p)}} \leq \text{nor}_\eta(\text{Suc}_{T_p}(\eta))$  for every  $\text{tr}(p) \leq \eta \in T_p$ . In fact,  $k + 1 - \frac{1}{\mu_\rho} \leq \text{nor}_\eta(\text{Suc}_{T_p}(\eta))$ , therefore, if  $2 < k + 1 - \frac{1}{\mu_\rho}$  then we also get the claim for  $i = \frac{1}{2}$ .

B) The proof is similar, the only difference is that now we have to prove the following assertion:

(\*) If  $b_l \subseteq \text{suc}_{T_n}(\eta)$  for  $l < n \leq \mu_\eta$ ,  $\bigwedge_{l < n} 1 + \frac{1}{k} \leq \text{nor}_\eta(b_l)$  and  $b = \bigcap_{l < n} b_l$  then  $1 + \frac{1}{k+1} \leq \text{nor}_\eta(b)$ .

The assertion follows from the co-bigness property (Definition 2(f), with  $b_i$  and  $1 + \frac{1}{k} - \frac{1}{\mu_\eta}$  here standing for  $a_i$  and  $k$  there).

CLAIM 11. Let  $\mathbf{n} \in \mathbf{N}$ . “ $\{p_n : n < \omega\}$  is a maximal antichain” is Borel for  $\{p_n : n < \omega\} \subseteq \mathbb{Q}_n^2$ .

PROOF. By Claim 8.  $\dashv$

CLAIM 12. Assume  $\{p_n : n < \omega\} \subseteq \mathbb{Q}_n^2$ ,  $\wedge_n \text{tr}(p_n) = \eta$  and  $\wedge_n \text{nor}(p_n) \leq k$ . Then there is  $p_* \in \mathbb{Q}_n^2$  such that:

- (a)  $\text{tr}(p_*) = \eta$ ,  $\text{nor}(p_*) \leq k$ .
- (b)  $p_* \Vdash_{\mathbb{Q}_n^2} “(\exists^\infty n)(p_n \in G_{\mathbb{Q}_n^2}).”$

PROOF. Let  $D$  be a uniform ultrafilter on  $\omega$  and define  $T_{p_*} := \{v \in T_n : \{n : v \in p_n\} \in D\}$ . If  $v \in T_{p_*}$ , then for some  $n$ ,  $v \in T_{p_n} \subseteq T_n^{[l \leq \eta]}$  (recalling that  $\text{tr}(p_n) = \eta$ ), hence  $T_{p_*} \subseteq T_n^{[l \leq \eta]}$ . Obviously,  $l \leq \text{lg}(\eta) \rightarrow \eta \upharpoonright l \in T_{p_*}$  as  $\eta = \text{tr}(p_n) \in p_n$  for every  $n$ .

(\*)<sub>1</sub> If  $\eta \triangleleft v \triangleleft \rho$  and  $\rho \in T_{p_*}$ , then  $v \in T_{p_*}$ .

Why? Define  $A_\rho = \{n : \rho \in p_n\}$  and define  $A_v$  similarly.  $A_\rho \in D$  by the definition of  $T_{p_*}$ . Obviously  $A_\rho \subseteq A_v$ , hence  $A_v \in D$  and  $v \in T_{p_*}$ .

(\*)<sub>2</sub> If  $v \in T_{p_*}$  then  $1 + \frac{1}{k} \leq \text{nor}_v(\text{suc}_{p_*}(v))$ . ⊣

REMARK. Note that no additional assumption will be needed about the continuity of the norm.

In order to prove (\*<sub>2</sub>), define  $A_v$  as above, so  $A_v \in D$ . Let  $(b_l : l < l^*)$  list  $\{\text{suc}_{p_n}(v) : n < \omega\}$ . As  $\{\text{suc}_{p_n}(v) : n < \omega\} \subseteq P(\text{suc}_{T_n}(v))$ , we have  $l^* \leq 2^{|\text{suc}_{T_n}(v)|} = 2^{\lambda_v} < \aleph_0$ . For  $l < l^*$  let  $A_{v,l} := \{n \in A_v : \text{suc}_{p_n}(v) = b_l\}$ . Obviously this is a finite partition of  $A_v$ , hence there is exactly one  $m < l^*$  such that  $A_{v,m} \in D$  and therefore  $b_m \subseteq \text{suc}_{p_*}(v)$  and actually  $b_m = \text{suc}_{p_*}(v)$  (if  $\eta \in \text{suc}_{p_*}(v)$  is witnessed by  $X \in D$ , then  $X \cap A_{v,m}$  is a witness for  $\eta \in b_m$ ). Therefore  $\text{nor}_v(b_m) = \text{nor}_v(\text{suc}_{p_*}(v))$  and for some  $n$  we have  $1 + \frac{1}{k} \leq 1 + \frac{1}{\text{nor}(p_n)} \leq \text{nor}_v(\text{suc}_{p_n}(v)) = \text{nor}_v(\text{suc}_{p_*}(v))$ .

It follows from the above arguments that  $p_* \in \mathbb{Q}_n^2$  and  $\text{nor}(p_*) \leq k$ .

We shall now prove that

(\*)<sub>3</sub>  $p_* \Vdash_{\mathbb{Q}_n^2} “(\exists^\infty n)(p_n \in G_{\mathbb{Q}_n^2}).”$

Why? Suppose that  $p_* \leq q$ , then  $\text{tr}(q) \in T_{p_*}$ . By the definition of  $p_*$ ,  $\{n : \text{tr}(q) \in p_n\} \in D$ . For every such  $p_n$ ,  $\eta = \text{tr}(p_n) \leq \text{tr}(q) \in T_{p_n}$ , so  $p_n$  is compatible with  $q$  and hence with  $p_*$ .

CLAIM 12'. For  $i \in \{0, \frac{1}{2}, 1, 2\}$ ,  $\eta_n^i$  is a generic for  $\mathbb{Q}_n^i$ , i.e.,  $\Vdash_{\mathbb{Q}_n^i} “V[G_{\mathbb{Q}_n^i}] = V[\eta_n^i].”$

PROOF. Easy. ⊣

**§3. The iteration.** In this section we shall describe our iteration. Although our definition will be general and will follow the technique of iteration along templates as described in [16], we will eventually use a simple private case of the general construction (see also [1, 9]). In our case, we'll have a non-wellfounded linear order  $L$ , and the forcing will be the union of finite-length iterations along subsets of  $L$ . Dealing with FS-iterations of Suslin forcing will guarantee that the union is well-behaved.

**3.1. Iteration parameters.** The purpose of Definitions 12 and 13 is to show how our construction fits as a special case in the broader context of the second author's general method of iterations along templates. However, we shall only use the private

case of Definition 13(A), and so a reader who only wants to understand the main results in this article may focus on Definition 13(A).

**DEFINITION 12.** Let  $\mathbf{Q}$  be the class of  $\mathbf{q}$  (iteration parameters) consisting of:

- a. A partial order  $L_{\mathbf{q}} = L[\mathbf{q}]$ .
- b.  $\bar{u}_0 = (u_t^0 : t \in L_{\mathbf{q}})$  such that  $u_t^0 \subseteq L_{<t}$  for each  $t \in L_{\mathbf{q}}$  (and  $u_t^0$  is well-ordered by (d)). In the main case  $|u_t^0| \leq \aleph_0$  (in our application,  $u_t^0$  is actually empty).
- c.  $\mathbf{I} = (\mathbf{I}_t : t \in L_{\mathbf{q}})$  such that each  $\mathbf{I}_t$  is an ideal on  $L_{<t}$  and  $u_t^0 \in \mathbf{I}_t$ . In the main case here,  $\mathbf{I}_t = \{u \subseteq L_{<t} : u \text{ is finite}\}$ .
- d.  $\mathbf{L}$  is a directed family of well-founded subsets of  $L_{\mathbf{q}}$  closed under initial segments such that  $\bigcup_{L \in \mathbf{L}} L = L_{\mathbf{q}}$  and  $t \in L \rightarrow u_t^0 \subseteq L$  (for  $L \in \mathbf{L}$ ).
- e.  $(\mathbf{m}_t : t \in L_{\mathbf{q}})$  is a sequence such that each  $\mathbf{m}_t$  is a definition of a Suslin ccc forcing notion  $\mathbb{Q}_{\mathbf{m}_t}^i$  with a generic  $\eta_{\mathbf{m}_t}$  (depending on a formula using  $\mathbf{B}_t(\dots, \eta_s, \dots)_{s \in u_t^0}$ , see f+g and Definition 13).
- f. Actually,  $\mathbf{m}_t = \mathbf{m}_{t, \tilde{v}_t}$  where  $v_t = \mathbf{B}_t(\bar{\eta} \upharpoonright u_t^0)$  is a name of a real and  $\mathbf{B}_t$  is a Borel function (see Definition 13(E) below), i.e.,  $\mathbf{m}_t$  is computed from the parameter  $v_t \in \omega^\omega$ .
- g. For every  $t \in L_{\mathbf{q}}$ ,  $\mathbf{B}_t : \prod_{i \in u_t^0} \omega^\omega \rightarrow \omega^\omega$  is an absolute Borel function.
- h. For a linear order  $L$ , let  $L^+ := L \cup \{\infty\}$  which is obtained by adding an element above all elements of  $L$ .

**3.2. The iteration. Definition and Claim 13:** For  $i \in \{1, 2\}$ ,  $\mathbf{q} \in \mathbf{Q}$ , and  $L \in \mathbf{L}$  we shall define the FS iteration  $\bar{\mathbb{Q}}_L = (\mathbb{P}_t^L, \mathbb{Q}_t^L : t \in L^+)$  with limit  $\mathbb{P}_L$  and the  $\mathbb{P}_t^L = \mathbb{P}_{L, <t}$ -names  $\eta_t, v_t$  by induction on  $dp(L)$  (where  $dp(L)$  is the depth of  $L$ , recalling that  $L$  is well-founded) such that:

- A. a)  $\mathbb{P}_L$  is a forcing notion.
- b)  $\eta_t$  is a  $\mathbb{P}_L$  name when  $u_t^0 \cup \{t\} \subseteq L \in \mathbf{L}$  (so we use a maximal antichain from  $\mathbb{P}_L$ , moreover, from  $\mathbb{P}_{L_1}$  for every  $L_1 \in \mathbf{L}$  which is  $\subseteq L$ ).
- c)  $v_t$  is a  $\mathbb{P}_L$  name when  $u_t^0 \subseteq L \in \mathbf{L}$ .
- d) If  $L_1, L_2 \in \mathbf{L}$  are linearly ordered,  $L_1 \subseteq L_2$  and each  $\mathbf{I}_t$  has the form  $\{L \subseteq L_{<t} : L \text{ is well-ordered}\}$ , then  $\mathbb{P}_{L_1} < \mathbb{P}_{L_2}$ .
- B.  $p \in \mathbb{P}_t^L$  iff
  - a.  $Dom(p) \subseteq L_{<t}$  is finite.
  - b. If  $s \in Dom(p)$  then for some  $u \in \mathbf{I}_s \cap \mathcal{P}(L_{<s})$  and a Borel function  $\mathbf{B}$ ,  $p(s) = \mathbf{B}(\dots, \eta_r, \dots)_{r \in u}$  and  $\Vdash_{\mathbb{P}_s^L} "p(s) \in \mathbb{Q}_{\mathbf{m}_s}^i."$
  - c.  $\mathbb{Q}_t^L$  is the  $\mathbb{P}_t^L$ -name of  $\mathbb{Q}_{\mathbf{m}_t}^i$  using the parameter  $v_t$ .
- C.  $\bar{\eta} = (\eta_t : t \in L_{\mathbf{q}})$ . Each  $\eta_t$  is defined as the generic of  $\mathbb{Q}_t^L$  (by a maximal antichain of  $\mathbb{P}_L$  whenever  $L \in \mathbf{L}$  and  $u_t^0 \subseteq L \subseteq L_{<t}$ ), meaning:  $t \in L \in \mathbf{L} \rightarrow \Vdash " \eta_t \text{ is a generic for } \mathbb{Q}_t^L "$  defined as usual.

D.  $\bar{v} = (v_t : t \in L_q)$  such that for each  $t \in L_q$ ,  $\mathbf{B}_t$  is a Borel function and  $v_t \approx \mathbf{B}_t(\bar{\eta} \upharpoonright u_t^0)$ .

E. The order on  $\mathbb{P}_L$  is defined naturally.

PROOF. Should be clear.  $\dashv$

### 13(A) A special case of the general construction.

Of special interest here is the case where  $\mathbf{q} \in \mathbf{Q}$  satisfies:

- $L_q$  is a dense linear order,  $\mathbf{I}_t = [L_{<t}]^{<\aleph_0}$  for each  $t \in L_q$  and  $\mathbf{L} = [L_q]^{<\aleph_0}$ .
- $\mathbf{m}_t$  is a definition of  $\mathbb{Q}_{\mathbf{n}_t}^i$ , where  $i \in \{1, 2\}$  (hence a Suslin c.c.c. forcing), not using a name of the form  $v_t$ .
- $\mathbf{m}_t \in V$  and  $u_t^0 = \emptyset$  for every  $t \in L_q$ .

13(B) We shall denote the collection of  $\mathbf{q} \in \mathbf{Q}$  as above by  $\mathbf{Q}_{sp}$ .

13(C) **Hypothesis:** From now on we assume that  $\mathbf{q} \in \mathbf{Q}$  satisfies the requirements of 13(A).

DEFINITION/OBSERVATION 14. Let  $\mathbf{q} \in \mathbf{Q}$ .

- $\{\mathbb{P}_J : J \subseteq L_q \text{ is finite}\}$  is a  $\ll$ -directed set of forcing notions.
- For  $J \subseteq L_q$ , let  $\mathbb{P}_J = \cup\{\mathbb{P}_{J'} : J' \subseteq J \text{ is finite}\}$  and  $\mathbb{P}_{\mathbf{q}} = \mathbb{P}_{L_q}$ .

PROOF. (1) follows by [5].  $\dashv$

CLAIM 15.

- For every  $J_1 \subseteq J_2 \subseteq L_q$ ,  $\mathbb{P}_{J_1} \ll \mathbb{P}_{J_2}$ .
- If  $J \subseteq L_q$  then  $\mathbb{P}_J = \mathbb{P}_{q,J} = \cup\{\mathbb{P}_I : I \subseteq J \text{ is finite}\} \ll \mathbb{P}_{\mathbf{q}}$ .

REMARK. Recall that  $\mathbb{P} \ll \mathbb{Q}$  means that  $\mathbb{P}$  is a subforcing of  $\mathbb{Q}$  and every maximal antichain of  $\mathbb{P}$  is maximal in  $\mathbb{Q}$ .

PROOF.

- Case 1:  $|J_2| < \aleph_0$ . Easy by [5].

Case 2:  $J_2$  is infinite. Let  $q \in \mathbb{P}_{J_2}$ , then for some finite  $J_2^* \subseteq J_2$ ,  $q \in \mathbb{P}_{J_2^*}$ . Let  $J_1^* = J_1 \cap J_2^*$ . As  $\mathbb{P}_{J_1^*} \ll \mathbb{P}_{J_2^*}$  by Observation 14(1), there is  $p \in \mathbb{P}_{J_1^*}$  such that  $p \leq p' \in \mathbb{P}_{J_2^*} \rightarrow p'$  and  $q$  are compatible. It suffices to prove that if  $J_1' \subseteq J_1$  is finite and  $J_1^* \subseteq J_1'$ , then  $p \leq p' \in \mathbb{P}_{J_1'} \rightarrow p'$  and  $q$  are compatible in  $\mathbb{P}_{J_2^* \cup J_1'}$  (as if  $p \leq p' \in \mathbb{P}_{J_1}$ , then  $p' \in \mathbb{P}_{J_1'}$  where  $J_1' = J_1^* \cup \text{Dom}(p')$ ). We prove this by induction on  $\sup\{|L_{<t} \cap J_1^*| : t \in J_1' \setminus J_1^*\}$  as in [5].

- By (1).  $\dashv$

OBSERVATION 16. Suppose that  $\mathbf{q} \in \mathbf{Q}$ ,  $J \in \mathbf{L}$  is finite and  $p_1, p_2 \in \mathbb{P}_J$ . If  $\text{tr}(p_1(t)) = \text{tr}(p_2(t))$  for every  $t \in \text{Dom}(p_1) \cap \text{Dom}(p_2)$  (so in particular, they are objects rather than merely names), then  $p_1$  and  $p_2$  are compatible.

PROOF. By induction on  $|J|$ . The induction step is a corollary of the compatibility condition for  $\mathbb{Q}_{\mathbf{n}}^2$  (see Claim 7).  $\dashv$

CLAIM 17. For  $\mathbf{q} \in \mathbf{Q}$ ,  $\mathbb{P}_{\mathbf{q}} \models ccc$ .

PROOF. Suppose that  $\{p_\alpha : \alpha < \aleph_1\} \subseteq \mathbb{P}_q$ . For each  $\alpha < \aleph_1$  there is a finite  $J_\alpha \subseteq L_q$  such that  $p_\alpha \in \mathbb{P}_{J_\alpha}$ . Hence there is  $n_* \in \mathbb{N}$  such that  $|\{p_\alpha : |J_\alpha| = n_*\}| = \aleph_1$ . For each  $\alpha$  denote  $J_\alpha = \{t_{\alpha,0} < \dots < t_{\alpha,n_\alpha-1}\}$ , by cardinality arguments, i.e., the  $\Delta$ -system lemma, WLOG there is  $u \subseteq n_*$  such that  $t_{\alpha,l} = t_l$  for every  $\alpha < \aleph_1$  and  $(t_{\alpha,l} : l \in n_* \setminus u, \alpha < \aleph_1)$  is without repetitions. As every condition  $p_\alpha \in \mathbb{P}_{J_\alpha}$  belongs to an iteration along  $J_\alpha$  in the usual sense, there is  $p_\alpha \leq p'_\alpha \in \mathbb{P}_{J_\alpha}$  such that  $tr(p'_\alpha(t))$  is an object for every  $t \in J_\alpha$  (so  $J_\alpha = Dom(p'_\alpha)$ ). Given  $l \in u$  there are countably many possible values for  $tr(p_\alpha(t_l))$ , hence there is a set  $I = \{p_{\alpha_i} : i < i(*)\} \subseteq \{p_\alpha : \alpha < \aleph_1\}$  of cardinality  $\aleph_1$  such that  $tr(p_{\alpha_i}(t_l))$  is constant for all  $i < i(*)$ . If  $i < j < i(*)$ , then  $J_{i,j} := J_{\alpha_i} \cup J_{\alpha_j} \subseteq L_q$  is finite,  $p_{\alpha_i} \in \mathbb{P}_{J_{\alpha_i}} \leq \mathbb{P}_{J_{i,j}}$  and  $p_{\alpha_j} \in \mathbb{P}_{J_{\alpha_j}} \leq \mathbb{P}_{J_{i,j}}$ , so  $p_{\alpha_i}$  and  $p_{\alpha_j}$  are compatible in  $\mathbb{P}_{J_{i,j}}$  (hence in  $\mathbb{P}_q$ ) by Observation 16.  $\dashv$

**§4. The ideals derived from a forcing notion  $\mathbb{Q}$ .** We shall now define the ideals derived from a Suslin forcing notion  $\mathbb{Q}$  and a name  $\eta$  of a real.

DEFINITION 18.

1. Let  $\mathbb{Q}$  be a forcing notion such that each  $p \in \mathbb{Q}$  is a perfect subtree of  $\omega^{<\omega}$ ,  $p \leq_{\mathbb{Q}} q$  iff  $q \subseteq p$  and the generic real is given by the union of trunks of conditions that belong to the generic set, that is,  $\eta = \bigcup_{p \in \mathcal{G}} tr(p)$  and  $\|_{\mathbb{Q}} \eta \in \omega^\omega$ .

Let  $\aleph_0 \leq \kappa$ , the ideal  $I_{\mathbb{Q},\kappa}^0$  will be defined as the closure under unions of size  $\leq \kappa$  of sets of the form  $\{X \subseteq \omega^\omega : (\forall p \in \mathbb{Q})(\exists q \geq p)(lim(q) \cap X = \emptyset)\}$ .<sup>1</sup>

2. For  $\mathbb{Q}$  as above, we let  $I_{\mathbb{Q},<\aleph_0}$  be the set  $\{X \subseteq \omega^\omega : (\forall p \in \mathbb{Q})(\exists q \geq p)(lim(q) \cap X = \emptyset)\}$ .
3. For  $(\mathbb{Q}, \eta)$  and  $\kappa$  as in (1), we shall denote  $I_{\mathbb{Q},\kappa}^0$  by  $I_{\mathbb{Q},\kappa}$ .
4. Let  $I$  be an ideal on the reals, a set of reals  $X$  is called  $I$ -measurable if there exists a Borel set  $B$  such that  $X \Delta B \in I$ .
5. A set of reals  $X$  will be called  $(\mathbb{Q}, \kappa)$ -measurable if it is  $I_{\mathbb{Q},\kappa}$ -measurable.
6. Given a model  $V$  of  $ZF$ , we say that  $(\mathbb{Q}, \kappa)$ -measurability holds in  $V$  if every set of reals in  $V$  is  $(\mathbb{Q}, \kappa)$ -measurable and  $I_{\mathbb{Q},\kappa}$  is a non-trivial ideal.

REMARK. In [3] we shall further investigate the above ideals.

**§5. Cohen reals.** An important feature of  $\mathbb{Q}'_n$  is the fact that it adds a Cohen real. This fact will be later used to show that  $\mathbb{Q}'_n$  can turn the ground model reals into a null set with respect to the relevant ideal.

CLAIM 19. *Forcing with  $\mathbb{Q}'_n$  ( $i \in \{0, \frac{1}{2}, 1, 2\}$ ) adds a Cohen real.*

PROOF. For every  $\eta \in T_n$  let  $g_\eta : suc_{T_n}(\eta) \rightarrow \{0, 1\}$  be a function such that  $|g_\eta^{-1}\{l\}| > \frac{\lambda_\eta}{2} - 1$  ( $l = 0, 1$ ) (recall that  $\lambda_\eta = |suc_{T_n}(\eta)|$ ). Define a  $\mathbb{Q}'_n$ -name  $\nu$  by

<sup>1</sup>The above definition has the following variant in the literature, which will not be used in this article: Let  $\mathfrak{m} = (\mathbb{Q}, \eta)$  where  $\eta$  is a  $\mathbb{Q}$ -name of a real, the ideal  $I_{\mathfrak{m},\kappa}^1$  for  $\aleph_0 \leq \kappa$  will be defined as follows:

$A \in I_{\mathfrak{m},\kappa}^1$  iff there exists  $X \subseteq \kappa$  such that  $A \cap \{\eta[G] : G \subseteq \mathbb{Q}^{L[X]} \text{ is generic over } L[X]\} = \emptyset$ .

$v(n) = g_{\eta_n^t}^t(\eta_n^t \upharpoonright (n+1))$  (recalling  $\eta_n^t$  is the generic). Clearly,  $\Vdash_{\mathbb{Q}_n} \check{v} \in 2^\omega$ . We shall prove that it's forced to be Cohen.

(\*) If  $p \in \mathbb{Q}_n^t$  and  $i = 1 \rightarrow 2 \leq \text{nor}_\rho(\text{suc}_p(\rho))$  for every  $\rho \in T_p$ , then for every  $\eta \in 2^\omega$ , for some  $\rho \in T_p$ ,  $\text{lg}(\rho) = \text{lg}(\text{tr}(p)) + m$  and if  $\text{lg}(\text{tr}(p)) \leq i < \text{tr}(p) + m$  then  $p^{[i]} \Vdash \check{v}(i) = \eta(i)$ .

We prove it by induction on  $m$ . For  $m = 1$ , as  $|\text{suc}_{T_n}(\text{tr}(p)) \setminus \text{suc}_p(\text{tr}(p))| < \frac{|\text{suc}_{T_n}(\text{tr}(p))|}{2} - 1$  (by clause (g) of Definition 2) and for every  $i \in \{0, 1\}$  we have  $|g_{\text{tr}(p)}^{-1}\{i\}| > \frac{\lambda_{\text{tr}(p)}}{2} - 1$ , hence there are  $\rho_0, \rho_1 \in \text{suc}_p(\text{tr}(p)) \setminus \{\rho\}$  such that  $g_{\text{tr}(p)}(\rho_0) = 0, g_{\text{tr}(p)}(\rho_1) = 1$  and by the definition of  $\check{v}$ ,  $p^{[\rho_0]} \Vdash \check{v}(\text{tr}(p) + 1) = 0$  and  $p^{[\rho_1]} \Vdash \check{v}(\text{tr}(p) + 1) = 1$ . Suppose that we proved the theorem for  $m$ , then for some  $\rho \in T_p$  of length  $\text{lg}(\text{tr}(p)) + m$  the conclusion holds. Now repeat the argument of the first step of the induction for  $p^{[\leq \rho]}$  to obtain  $\rho \leq \rho'$  of length  $\text{lg}(\text{tr}(p)) + m + 1$  as required.

By (\*),  $\check{v}$  is forced to lie in every open dense set, hence it's Cohen.  $\dashv$

Although the following result will not be used in the rest of the article, it exhibits a natural property of the forcings that is of independent interest.

CLAIM 20. *If*

*A) then B) where*

- A) (a)  $p_i \in \mathbb{Q}_n^t$  for  $i < m$ .  
 (b)  $\text{tr}(p_i) = \rho$  for  $i < m$ .  
 (c) If  $i \in \{0, 1\}$  then  $2 \leq \text{nor}(p_i)$  for every  $i < m$ .  
 (d) If  $i = 2$  then  $2 \leq \text{nor}(p_i)$  for every  $i < m$ .  
 (e)  $\text{lg}(\rho) < m_* < m$ .  
 (f) There is  $\rho < \eta \in T_n$  such that  $\lambda_{<\eta} \leq m_* < m \leq \mu_\eta$  (e.g., it follows from the assumption  $m \leq \mu_\eta \iff m_* \leq \lambda_{<\eta}$ ).
- B) There is an equivalence relation  $E$  on  $\{0, 1, \dots, m-1\}$  with  $\leq m_*$  equivalence classes such that if  $i < m$  then  $\{p_j : j \in (i/E)\}$  has a common upper bound.

PROOF. Let  $\eta \in T_n^{[m \leq]}$  be as in clause (f). Let  $k_* = \text{lg}(\eta)$  and define  $\lambda_{n,k} := \prod\{\lambda_v : v \in T_n, \text{lg}(v) < k\}$ ,  $T_{n,\rho,k} := \{v \in T_n : \rho \leq v \in T_n, \text{lg}(v) = k\}$ . Recall that  $\lambda_v$  is the size of  $\text{suc}_n(v)$ , hence  $|T_{n,\rho,k_*}|$  is the product of all  $\lambda_v$  such that  $\rho \leq v$  and  $\text{lg}(v) < k_*$ , which is  $\leq \lambda_{n,k_*}$ . For each  $i < m$  let  $\rho_i \in p_i$  be of length  $k_*$ , then  $\rho_i \in T_{n,\rho,k_*}$  by the definition of  $T_{n,\rho,k_*}$  and the assumptions on  $p_i$ . Define  $\rho_i^+$  for  $i < m$  as follows: if  $\lambda_\eta < \lambda_{\rho_i}$ , define  $\rho_i^+ := \rho_i$ . Otherwise we let  $\rho_i^+ \in \text{suc}_{p_i}(\rho_i)$ .

Define the equivalence relation  $E := \{(i, j) : \rho_i^+ = \rho_j^+\}$ . Let  $j < m$ , for every  $i \in (j/E)$  define  $p_i' = p_i^{[p_j^+]}$  (this is well defined, as  $\rho_i^+ = \rho_j^+$ ), then  $\text{tr}(p_i') = \rho_j^+$  for every  $i \in (j/E)$ . By the choice of  $\eta$ , for  $j < m$ ,  $|j/E| \leq m \leq \mu_\eta \leq \mu_{\rho_j^+}$  (by the choice of  $\rho_j^+$  and Definition 2).

By Claim 10, the set  $\{p_i' : i \in (j/E)\}$  has a common upper bound, hence  $\{p_i : i \in (j/E)\}$  has a common upper bound.

By the choice of  $p_i^+$ , the number of  $E$ -equivalence classes is bounded by  $\lambda_{<\eta}$ . As  $\lambda_{<\eta} \leq m_*$ , we're done.  $\dashv$

**§6. Not adding an unwanted real.** A crucial step towards our final goal is to prove that the only generic reals in finite length iterations of  $\mathbb{Q}_{\mathbf{n}}^2$  are the  $\eta_t$ s. This will be used later in order to show that  $\omega^\omega \setminus \{\eta_t : t \in L\}$  is null with respect to the relevant ideal. We intend to strengthen this result dealing with arbitrary length iterations in [3].

**REMARK.** The claim below will be used in the proof of clause (c)( $\gamma$ ) in Main Conclusion 23. For the purposes of that proof, the reader should note that it's not necessary to construct a family of size  $\mu$  of pairwise far parameters (where "far" is defined in clause (f) below). As will be seen in the proof of 23(c)( $\gamma$ ), by naturally restricting the relevant parameters to appropriate and sufficiently long nodes, we obtain the far pairs needed for the argument.

**CLAIM 21.** *We have  $p_* \Vdash_{\mathbb{P}} \text{"}\rho \text{ is not } (\mathbb{Q}_{\mathbf{n}}^l, \eta_{\mathbf{n}}^l)\text{-generic over } V\text{"}$*  when:

- a)  $\iota \in \{1, 2\}$  and  $\alpha_* < \omega$ .
- b)  $(\mathbb{P}_\alpha, \mathbb{Q}_\alpha : \alpha < \alpha_*)$  is an FS iteration with limit  $\mathbb{P} = \mathbb{P}_{\alpha_*}$ .
- c)  $\mathbf{n}_\alpha \in \mathbf{N}$  is special (note:  $\mathbf{n}_\alpha$  is not a  $\mathbb{P}_\alpha$ -name).
- d)  $\Vdash_{\mathbb{P}_\alpha} \text{"}\mathbb{Q}_\alpha = (\mathbb{Q}_{\mathbf{n}_\alpha}^l)^{V^{\mathbb{P}_\alpha}}\text{"}$ .
- e)  $\mathbf{n} \in \mathbf{N}$  is special.
- f) For every  $\alpha, \mathbf{n}$  and  $\mathbf{n}_\alpha$  are far (i.e.,  $\eta_1 \in T_{\mathbf{n}} \wedge \eta_2 \in T_{\mathbf{n}_\alpha} \rightarrow \lambda_{\eta_1}^{\mathbf{n}} \ll \mu_{\eta_2}^{\mathbf{n}_\alpha}$  or  $\lambda_{\eta_2}^{\mathbf{n}_\alpha} \ll \mu_{\eta_1}^{\mathbf{n}}$ ). Moreover, for every  $\alpha < \alpha_*$  for every  $l$  large enough, for some  $m \in \{l, l+1\}$  we have:

If  $\rho \in T_{\mathbf{n}}, \lg(\rho) = l, v_1, v_2 \in T_{\mathbf{n}_{\alpha(l)}} \text{ and } \lg(v_1) < m \leq \lg(v_2)$  then  $\lambda_{\mathbf{n}_{\alpha(l)}, v_1} \ll \mu_{\mathbf{n}, \rho}$  and  $\lambda_{\mathbf{n}, \rho} \ll \mu_{\mathbf{n}_{\alpha(l)}, v_2}$ .

- g)  $p_* \Vdash_{\mathbb{P}} \text{"}\rho \in \lim(T_{\mathbf{n}})\text{"}$ .

**PROOF.** For  $\eta \in T_{\mathbf{n}}$  define  $W_{\mathbf{n}, \eta} := \{w : w \subseteq \text{succ}_{T_{\mathbf{n}}}(\eta) \text{ and } i = 1 \rightarrow \lg(\eta) \leq \text{nor}_\eta(w) \text{ and } i = 2 \rightarrow 2 \leq \text{nor}_\eta(w)\}$ . For  $n < \omega$  define  $\Lambda_n = \{\eta \in T_{\mathbf{n}} : \lg(\eta) < n\}$ , so  $T_{\mathbf{n}} = \bigcup_{n < \omega} \Lambda_n$ . Define  $S_n := \{\bar{w} : \bar{w} = (w_\eta : \eta \in \Lambda_n \wedge w_\eta \in W_{\mathbf{n}, \eta})\}$  and  $S = \bigcup_{n < \omega} S_n$ .  $(S, \leq)$  is a tree with  $\omega$  levels such that each level is finite and  $\lim(S) = \{\bar{w} : \bar{w} = (w_\eta : \eta \in T_{\mathbf{n}}) \text{ and } \bar{w} \upharpoonright \Lambda_n \in S_n \text{ for every } n\}$ . For  $\bar{w} \in \lim(S)$  let  $\mathbf{B}_{\bar{w}} := \{\rho \in \lim(T_{\mathbf{n}}) : \text{for every } n \text{ large enough, } \rho \upharpoonright (n+1) \in w_{\rho \upharpoonright n}\}$ , so  $\mathbf{B}_{\bar{w}} = \bigcup_{m < \omega} \mathbf{B}_{\bar{w}, m}$  where  $\mathbf{B}_{\bar{w}, m} = \{\rho \in \lim(T_{\mathbf{n}}) : \text{if } m \leq n \text{ then } \rho \upharpoonright (n+1) \in w_{\rho \upharpoonright n}\}$ . We shall prove that

(\*)  $\Vdash_{\mathbb{Q}_{\mathbf{n}}^l} \text{"}\eta_{\mathbf{n}}^l \in \mathbf{B}_{\bar{w}}\text{"}$  for every  $\bar{w} \in \lim(S)$ . In fact, for every  $p \in \mathbb{Q}_{\mathbf{n}}^l$  there is a stronger  $q$  and  $m < \omega$  such that  $\lim(q) \subseteq \mathbf{B}_{\bar{w}, m}$ .

Let  $p \in \mathbb{Q}_{\mathbf{n}}^l$ , we shall prove that for some  $p \leq q$  and  $m < \omega, q \Vdash \eta_{\mathbf{n}}^l \in \mathbf{B}_{\bar{w}, m}$ . Let  $v \in T_p$  such that  $\lg(v)$  is large enough and let  $m = \lg(v)$ . Now  $q$  will be defined by taking the subtree obtained from the intersection of  $T_p^{[\leq v]}$  with  $(\bigcup_{v \leq \rho} w_\rho)$ . By the co-bigness property,  $q$  is a well defined condition, and obviously  $q \Vdash \eta_{\mathbf{n}}^l \in \mathbf{B}_{\bar{w}, m}$ .

By (\*) it suffices to prove that for some  $\bar{w} \in \lim(S), p_* \Vdash_{\mathbb{P}} \text{"}\rho \in \mathbf{B}_{\bar{w}}\text{"}$ .

PROOF. Assume towards contradiction that  $p \Vdash \overset{\sim}{\rho} \in \mathbf{B}_{\bar{w}}$  for every  $\bar{w} \in \text{lim}(S)$ ," so there is a sequence  $(p_{\bar{w}} : \bar{w} \in \text{lim}(S))$  and a sequence  $(m(\bar{w}) : \bar{w} \in \text{lim}(S))$  such that:

- a)  $p_* \leq p_{\bar{w}}$ .
- b)  $p_{\bar{w}} \Vdash \overset{\sim}{\rho} \in \mathbf{B}_{\bar{w}, m(\bar{w})}$ .

By increasing the conditions  $p_{\bar{w}}$  if necessary, we may assume WLOG that:

1.  $\text{tr}(p_{\bar{w}}(\alpha))$  is an object for every  $\bar{w}$  and every  $\alpha \in \text{Dom}(p_{\bar{w}})$ .
2. If  $\iota = 1$  and  $\alpha \in \text{Dom}(p_{\bar{w}})$ , then  $p_{\bar{w}} \upharpoonright \alpha \Vdash_{\mathbb{P}_\alpha} \text{"}v \in p_{\bar{w}}(\alpha) \rightarrow \text{nor}_v(\text{Suc}_{p_{\bar{w}}(\alpha)}(v)) \geq 2\text{"}$ .

If  $\iota = 2$  and  $\alpha \in \text{Dom}(p_{\bar{w}})$ , then for some  $m \ll \text{lg}(\text{tr}(p_{\bar{w}}(\alpha)))$ ,  $p_{\bar{w}} \upharpoonright \alpha \Vdash_{\mathbb{P}_\alpha} v \in p_{\bar{w}}(\alpha) \rightarrow 1 + \frac{1}{m} \leq \text{nor}(\text{Suc}_{p_{\bar{w}}(\alpha)}(v))$ .

In order to prove (1)+(2), we shall prove by induction on  $\beta \leq \alpha_*$  that for every  $p \in \mathbb{P}_\beta$  there is  $p \leq q \in \mathbb{P}_\beta$  satisfying (2) and forcing a value to the relevant trunks.

The induction step: assume that  $\beta = \gamma + 1$ . As  $p(\gamma)$  is a  $\mathbb{P}_\gamma$ -name of a condition in  $\mathbb{Q}_n^2$ , there are  $p \upharpoonright \gamma \leq p' \in \mathbb{P}_\gamma$  and  $\rho$  such that  $p' \Vdash_{\mathbb{P}_\gamma} \text{tr}(p(\gamma)) = \rho$ . As  $p' \Vdash_{\mathbb{P}_\gamma} p(\gamma) \in \mathbb{Q}_n^2$  and by the definition of  $\mathbb{Q}_n^2$ , there is  $p' \leq p''$  and  $m \leq \mu_{\text{lg}(\rho)}$  such that  $p'' \Vdash_{\mathbb{P}_\gamma} v \in p(\gamma) \rightarrow 1 + \frac{1}{m} \leq \text{nor}(\text{Suc}_{p(\gamma)}(v))$ . Now choose  $m \ll m_1$ , so  $p'' \Vdash_{\mathbb{P}_\gamma}$  "there is  $v \in p(\gamma)$  such that  $\text{lg}(v) = m_1$ ." Therefore there are  $p'' \leq p^*$  and  $v$  of length  $m_1$  such that  $p^* \Vdash_{\mathbb{P}_\gamma}$  "  $v \in p(\gamma) \wedge (v \leq \eta \in p(\gamma) \rightarrow 1 + \frac{1}{m} \leq \text{nor}(\text{Suc}_{p(\gamma)}(\eta)))$ ." By the induction hypothesis, there is  $p^* \leq q' \in \mathbb{P}_\gamma$  satisfying (1)+(2). Now define  $q := q' \cup (\gamma, p(\gamma)^{\text{lv} \leq 1})$ , obviously  $q$  is as required. The proof for  $\mathbb{Q}_n^1$  is similar.

Now we shall define a partition of  $\text{lim}(S)$  to  $\aleph_0$  sets as follows:

Let  $W_{m,u,\bar{p}} = \{\bar{w} \in \text{lim}(S) : m(\bar{w}) = m, \text{Dom}(p_{\bar{w}}) = u \in [\alpha_*]^{<\aleph_0}, \bar{p} = (\text{tr}(p_{\bar{w}}(\alpha)) : \alpha \in u)\}$ . Choose  $(m_*, u_*, \bar{p}_*)$  such that  $W = W_{m_*, u_*, \bar{p}_*} \subseteq \text{lim}(S)$  is not meagre. Let  $\bar{u}_* \in S$  such that  $W$  is comeager above  $\bar{u}_*$ . Let  $l(*)$  be such that  $\bar{u}_* \in S_{l(*)}$ .

Denote  $\bar{p}^* = (\rho_\alpha^* : \alpha \in u_*)$ , let  $(\alpha_n : n < n^*)$  list  $u_*$  in increasing order and let  $\alpha_{n^*} = \alpha_*$ . Therefore, if  $\bar{u}_* \leq \bar{w} \in W$  then  $\text{Dom}(p_{\bar{w}}) = \{\alpha_0, \dots, \alpha_{n^*-1}\}$  and  $\text{tr}(p_{\bar{w}}(\alpha_n)) = \rho_{\alpha_n}^*$  for every  $n < n^*$ .

By our assumption,  $\mathbf{n}$  is far from  $\mathbf{n}_\alpha$ . As increasing  $\bar{u}_*$  is not going to change the argument, we may assume that  $l(*)$  is large enough so  $\bigwedge_{\alpha \in u_*} \text{lg}(\rho_\alpha^*) < l(*)$  and if  $l < n^*$ ,  $v \in T_n$ ,  $\rho \in T_{n_{\alpha_l}}$  and  $\text{lg}(\bar{u}_*) \leq \text{lg}(v)$ , then  $\lambda_{n,v} \ll \mu_{n_{\alpha_l}, \rho}$  or  $\lambda_{n_{\alpha_l}, \rho} \ll \mu_{n,v}$ . Note that we don't have to assume that  $\text{lg}(\bar{u}_*) \leq \text{lg}(\rho)$ : For every  $n < n^*$ , there is  $m_n$  as guaranteed by (f), with  $(\mathbf{n}_{\alpha_n}, \text{lg}(v), m_n)$  here standing for  $(\mathbf{n}_\alpha, l, m)$  there. If  $\text{lg}(\rho) \leq m_n$ , then by taking an arbitrary  $v_2$  of length  $> m_n$ , it follows from (f) that  $\lambda_{n_{\alpha_n}, \rho} \ll \mu_{n,v}$ . If  $m_n < \text{lg}(\rho)$ , then by taking an arbitrary  $v_2$  of length  $\leq m_n$ , we get  $\lambda_{n,v} \ll \mu_{n_{\alpha_n}, \rho}$ .

Recalling (f) (and by increasing  $\bar{u}_*$  if necessary), let  $(m_n : n < n^*)$  be a series of natural numbers such that  $(\mathbf{n}, \mathbf{n}_{\alpha_n}, l^*, m_n)$  satisfy that assumptions of (f) (with  $(\mathbf{n}, \mathbf{n}_{\alpha_n}, l^*, m_n)$  here standing for  $(\mathbf{n}, \mathbf{n}_\alpha, l, m)$  there).

Let  $\Lambda_m^0 = \Lambda_{m+1} \setminus \Lambda_m = \{\rho \in T_n : \text{lg}(\rho) = m\}$  and let  $S_m^0 = \{\bar{w} : \bar{w} = (w_\eta : \eta \in \Lambda_m^0), \text{for every } \eta \in \Lambda_m^0, w_\eta \in W_{n,\eta}\}$ .

Recalling that above  $\bar{u}_*$ ,  $W$  is nowhere meagre, for every  $\bar{v} \in S_{l^*}^0$  there is  $\bar{w}_{\bar{v}} \in W \subseteq \text{lim}(S)$  such that  $\bar{u}_* \wedge \bar{v} \leq \bar{w}_{\bar{v}}$ .

Choose  $p_n, U_n$  by induction on  $n \leq n(*)$  such that:

1.  $p_n \in \mathbb{P}_{\alpha_n}$ .
2. If  $m < n$  then  $p_m \leq p_n \upharpoonright \alpha_m$ .
3.  $U_n \subseteq S_{l(*)}^0$ .
4. If  $m < n$  then  $U_n \subseteq U_m$ .
5. If  $E$  is an equivalence relation on  $U_n$  with  $\leq \Pi\{|T_{n_{\alpha_l}, m_l}| : n \leq l < n(*)\}$  equivalence classes, then for some  $\bar{v}_* \in U_n, \cap\{\bigcup_{\rho \in T_{n,l(*)}} w_{\bar{v}_\rho} : \bar{v} \in \bar{v}_*/E\} = \emptyset$ .
6. If  $\bar{v} \in U_n$  then  $p_{\bar{w}_{\bar{v}}} \upharpoonright \alpha_n \leq p_n$ .

Suppose we've carried the induction, then for every  $\bar{v} \in U_{n(*)}$ ,  $p_{\bar{w}_{\bar{v}}} = p_{\bar{w}_{\bar{v}} \upharpoonright \alpha_{n(*)}} \leq p_{n(*)}$ , hence by the choice of  $p_{\bar{w}_{\bar{v}}}, p_{n(*)} \Vdash \rho \in \cap\{\mathbf{B}_{\bar{w}_{\bar{v}}, m_*} : \bar{v} \in U_{n(*)}\}$ . Therefore it's enough to show that  $\cap\{\mathbf{B}_{\bar{w}_{\bar{v}}, m_*} : \bar{v} \in U_{n(*)}\} = \emptyset$ . By its definition,  $\mathbf{B}_{\bar{w}_{\bar{v}}, m_*} = \text{lim}(T_{\bar{v}})$  where  $T_{\bar{v}} = \{\eta \in T_n : \text{if } m_* < \text{lg}(\eta) \text{ then } \eta(m+1) \in w_{\eta \upharpoonright m} \text{ for every } m_* \leq m\}$ . Therefore, if we show that  $\cap\{T_{\bar{v}} \cap T_{n,l(*)+1} : \bar{v} \in U_{n(*)}\} = \emptyset$ , then it will follow that  $\cap\{\text{lim}(T_{\bar{v}}) : \bar{v} \in U_{n(*)}\} = \emptyset$ . This follows from part (5) of the induction hypothesis, as  $\cap\{\bigcup_{\rho \in T_{n,l(*)}} w_{\bar{v}_\rho} : \bar{v} \in U_{n(*)}\} = \emptyset$ . This contradiction proves the claim.

Carrying the induction: For  $n = 0$ , choose any  $p_0 \in \mathbb{P}_{\alpha_0}$  and let  $U_0 = S_{l(*)}^0$ . It's enough to show that  $U_0$  satisfies (5). Let  $E$  be an equivalence relation on  $U_0$  with  $m_{**} \leq \Pi\{|T_{n_{\alpha(l)}, m_l}| : l < n(*)\}$  equivalence classes and denote  $\Pi\{|T_{n_{\alpha(l)}, m_l}| : l < n(*)\}$  by  $m'$ . For every  $m < m_{**}$ , denote by  $U_{0,m}$  the  $m$ th equivalence class of  $E$ . Suppose towards contradiction that for every  $m < m_{**}$  there is some  $\eta_m$  in  $\cap\{\bigcup_{\rho} w_{\rho} : \bar{w} \in U_{0,m}\}$ . For every  $m$  there is  $\rho_m$  such that  $\eta_m \in \text{succ}_{T_n}(\rho_m)$ . Choose  $\bar{w} = (w_{\rho} : \rho \in T_{n,l(*)})$  by letting  $w_{\rho} = \text{succ}_{T_n}(\rho) \setminus \{\eta_m : m < m_{**} \wedge \rho_m = \rho\}$ . We shall prove that  $\bar{w} \in U_0$ . It will then follow that  $\bar{w} \in U_{0,m}$  for some  $m$ , therefore  $\eta_m \in \bigcup_{\rho} w_{\rho}$ , contradicting the definition of  $w_{\rho}$ . This proves that  $U_0$  is as required. In order to prove that  $\bar{w} \in U_0$ , note that for every  $\rho$ ,  $|\text{succ}_{T_n}(\rho) \setminus w_{\rho}| \leq \{m : \rho_m = \rho\} \leq m_{**} \leq m' = \Pi\{|T_{n_{\alpha(l)}, m_l}| : l < n(*)\} \ll \mu_{n,\rho}$  (the last inequality follows by (f) and the choice of  $m_l$ , recalling that the  $m_l$  were chosen to satisfy the assumptions of (f) and recalling the definition of the  $\lambda_{n,\nu}$ ). Therefore,  $\bar{w} \in U_0$ .

Suppose now that  $n = k + 1 \leq n(*)$ . Choose  $q_k \in \mathbb{P}_{\alpha_k}$  such that  $p_k \leq q_k$  and  $q_k$  forces a value  $\Lambda_{\bar{v}}^k$  to  $\{\rho \in p_{\bar{w}_{\bar{v}}}(\alpha_k) : \text{lg}(\rho) = m_k\}$  for every  $\bar{v} \in U_k$ . For every  $\rho \in T_{n_{\alpha_k}, m_k}$  let  $U_{k,\rho} = \{\bar{v} \in U_k : \rho \in \Lambda_{\bar{v}}^k\}$ . If  $\bar{v} \in U_k$ , then  $q_k$  forces the value  $\Lambda_{\bar{v}}^k$  to  $\{\rho \in p_{\bar{w}_{\bar{v}}}(\alpha_k) : \text{lg}(\rho) = m_k\}$ , hence  $U_k = \bigcup\{U_{k,\rho} : \rho \in T_{n_{\alpha_k}, m_k}\}$ . WLOG  $U_{k,\rho}$  are pairwise disjoint. Now suppose towards contradiction that none of them satisfies requirement (5) of the induction for  $k + 1$ , then each  $U_{k,\rho}$  has a counterexample  $E_{\rho}$ , and the union  $\bigcup_{\rho} E_{\rho}$  is therefore an equivalence relation which is a counterexample to  $U_k$  satisfying (5). Therefore, for some  $\rho$ ,  $U_{k,\rho}$  satisfies (5), so choose  $U_n = U_{k,\rho}$ .

Define  $p_n \in \mathbb{P}_{\alpha_{k+1}} \subseteq \mathbb{P}_{\alpha_n}$  as follows:

1.  $p_n \upharpoonright \alpha_k = q_k$ .
2.  $p_n(\alpha_k) = \cap\{p_{\bar{w}_{\bar{v}}}(\alpha_k)^{\upharpoonright \leq} : \bar{v} \in U_n\}$ .

Now for every  $\bar{v} \in U_k$ ,  $p_{\bar{w}_{\bar{v}}} \upharpoonright \alpha_k \leq p_k \leq q_k$ , hence  $q_k \Vdash_{\mathbb{P}_{\alpha_k}} v \in p_{\bar{w}_{\bar{v}}}(\alpha_k) \rightarrow 1 + \frac{1}{m} \leq \text{nor}(\text{succ}_{p_{\bar{w}_{\bar{v}}}(\alpha_k)}(v))$ . We shall prove that  $q_k \Vdash_{\mathbb{P}_{\alpha_k}} p_n(\alpha_k) \in \mathbb{Q}_{\alpha_n}^2$ . As,  $|U_n| \leq$

$|S_{l(*)}^0| \leq 2^{\Sigma\{\lambda_{n,\rho'}: \rho' \in \Lambda_{l(*)}^0\}} < \mu_{n\alpha_k, \rho}$  (with the last inequality following from (f) and the choice of the  $m_k$ 's), the assumptions of Claim 10 hold, the conclusion follows by the proof of Claim 10. A similar argument (using the first part of Claim 10) proves the claim for the case of  $\mathbb{Q}_n^1$ .

So  $p_n$  obviously satisfies requirements 1, 2, and 6.  $\dashv$

**§7. Main measurability claim.** We're now ready to prove the main result. We shall first prove that Cohen forcing (hence  $\mathbb{Q}_n^i$ ) turns the ground model set of reals into a null set with respect to our ideal. We will then prove the main result by using a Solovay-type argument. Below, by "Cohen forcing" we refer to finite binary sequences ordered by extension, or equivalently, any countable atomless forcing.

**CLAIM 22.** For  $i \in \{0, \frac{1}{2}, 1, 2\}$  we have  $\Vdash_{\text{Cohen}}$  "there is a Borel set  $\mathbf{B} \subseteq \text{lim}(T_{n_*})$  such that  $\text{lim}(T_{n_*})^V \subseteq \mathbf{B}$  and  $\mathbf{B}$  is  $(\mathbb{Q}_{n_*}^i, \eta_{n_*}^i)$ -null" (where by " $(\mathbb{Q}_{n_*}^i, \eta_{n_*}^i)$ -null" we mean that for every  $p$  there is a stronger  $q$  with  $\text{lim}(q) \cap \mathbf{B} = \emptyset$ ).

**PROOF.** Let  $\mathbb{Q}$  be the set of finite functions with domain  $\{\eta \in T_{n_*} : \text{lg}(\eta) < k\}$  for some  $k < \omega$  such that  $f(\rho) \in \text{suc}_{T_{n_*}}(\rho)$ .  $(\mathbb{Q}, \subseteq)$  is countable and for every  $q \in \mathbb{Q}$  there are  $q \leq q_1, q_2 \in \mathbb{Q}$  which are incompatible, hence is equivalent to Cohen forcing. Let  $f := \bigcup_{g \in G} g$ . For  $f \in S = \Pi\{\text{suc}_{T_{n_*}}(\rho) : \rho \in T_{n_*}\}$  define  $\mathbf{B}_f := \{\eta \in \text{lim}(T_{n_*}) : \text{for infinitely many } n \text{ we have } \eta \upharpoonright (n+1) = f(\eta_n)\}$ . For every  $n < \omega$  let  $\mathbf{B}_{f,n} = \{\eta \in \text{lim}(T_{n_*}) : \eta \upharpoonright (m+1) \neq f(\rho) \text{ if } n \leq m \text{ and } n \leq \text{lg}(\rho)\}$ . Clearly,  $\Vdash$  " $f \in S$ ,"  $\mathbf{B}_f = \bigcup_{n < \omega} \mathbf{B}_{f,n}$ , and obviously each  $\mathbf{B}_{f,n}$  is Borel, hence  $\mathbf{B}_f$  is Borel. For every  $\eta \in T_{n_*}$  let  $w_\eta = \text{suc}_{T_{n_*}}(\eta) \setminus \{f(\eta)\}$ . As in Claim 21,  $\Vdash_{\mathbb{Q}_{n_*}^i}$  " $\eta_{n_*}^i \in \mathbf{B}_{\bar{w}}$ " for  $\bar{w}$  and  $\mathbf{B}_{\bar{w}}$  as in that proof. Hence  $\Vdash_{\mathbb{Q}_{n_*}^i}$  " $\eta_{n_*}^i \notin \mathbf{B}_f$ ," so  $\mathbf{B}_f$  is  $(\mathbb{Q}_{n_*}^i, \eta_{n_*}^i)$ -null. Let  $G \subseteq \mathbb{Q}$  be generic and let  $g = f[G]$ , so  $\mathbf{B}_g$  is a  $(\mathbb{Q}_{n_*}^i, \eta_{n_*}^i)$ -null Borel set in  $V[G]$ . We shall prove that  $V[G] \models \text{lim}(T_{n_*})^V \subseteq \mathbf{B}_g$ . Let  $\eta \in \text{lim}(T_{n_*})^V$  and  $m < \omega$ , it's enough to show that in  $V$ ,  $\Vdash_{\mathbb{Q}}$  "for some  $m \leq k$  and  $\rho \in T_{n_*}$ ,  $f(\rho) = \eta \upharpoonright (k+1)$ ." Let  $p \in \mathbb{Q}$ , we can extend  $p$  to a function  $p \leq q$  with domain  $\{\eta \in T_{n_*} : \text{lg}(\eta) < k\}$  for some  $m \leq k$ . Now let  $q \leq s$  be an extension of  $q$  with domain  $\{\eta \in T_{n_*} : \text{lg}(\eta) \leq k\}$  such that  $s(\eta \upharpoonright k) = \eta \upharpoonright (k+1)$ . Obviously,  $s$  forces the required conclusion, so we're done.  $\dashv$

**Main conclusion 23:** Let  $i \in \{1, 2\}$ . Let  $V \models CH$  and suppose  $\aleph_1 < \mu = \mu^{\aleph_0}$ . Let  $L$  be a linear order of cardinality  $\mu$  that is homogeneous, i.e., that any two nonempty open intervals are isomorphic (for an example of such a linear order, see, e.g., Section 4 in [7]).<sup>2</sup> Suppose that  $\mathbf{q}$  is as in 13(A) such that  $L_{\mathbf{q}} = L$  and  $\mathbf{m}_t = \mathbf{m}$  for every  $t \in L_{\mathbf{q}}$  is a (constant) definition of the forcing  $\mathbb{Q}_n^i$ , then:

<sup>2</sup>Note that such an order  $L$  is dense with no endpoints, and that if  $-\infty = s_0^l < s_1^l < \dots < s_{n-1}^l < s_n^l = \infty$  ( $l = 0, 1$ ), then there is an automorphism  $\pi$  of  $L$  such that  $\pi(s_k^0) = s_k^1$ . In addition, if  $s_k^0 = s_k^1$  and  $s_{k+1}^0 = s_{k+1}^1$ , then  $\pi$  can be the identity on  $(s_k^0, s_{k+1}^0)$ .

- a)  $\mathbb{P}_q$  is a c.c.c. forcing notion of cardinality  $\mu$ .
- b)  $\Vdash_{\mathbb{P}_q} "2^{\aleph_0} = \mu."$
- c) Let  $G \subseteq \mathbb{P}_q$  be generic over  $V$  and let  $\eta_t = \eta_t[G]$  for  $t \in L_q$ . In  $V[G]$  we have the sets  $X := \{\eta_t : t \in L_q\}$  and  $<_X := \{(\eta_s, \eta_t) : s <_{L_q} t\}$ . Note that these sets are definable over  $V$ :  $\eta \in X$  iff it satisfies " $\eta$  is  $(\mathbb{Q}_n^i, \eta)$ -generic over  $V$ ," and  $(\eta_s, \eta_t) \in <_X$  iff they satisfy " $\eta_s$  is not  $(\mathbb{Q}_n^i, \eta)$ -generic over  $V[\eta_t]$ ." Now let  $V[X^+]$  be the collection of sets hereditarily definable from elements of  $V$  and finite sequences of members of  $X^+ := X \cup \{X, <_X\}$ , so  $X, <_X \in V[X^+]$ .<sup>3</sup> Similarly, for  $Z \subseteq X$ , let  $Z^+ := Z \cup \{X, <_X\}$ . Note that, in  $V[G]$ , if  $y \in H(\aleph_0)$  then  $y \in V[X^+]$  iff  $y \in V[Z^+]$  for some finite  $Z \subseteq X$ .
- ( $\alpha$ )  $V[X^+] \models ZF + \neg AC_{\aleph_0}$  and  $\lim(T_n)^{V[X^+]} = \cup\{\lim(T_n)^{V[\{n:t \in u\}]} : u \subseteq L_q \text{ is finite}\}$ .
- ( $\beta$ )  $(\mathbb{Q}_n^i, \aleph_1)$ -measurability holds in  $V[X^+]$ : Every  $A \subseteq \lim(T_n)^{V[X^+]}$  is  $I_{\mathbb{Q}_n^i, \aleph_1}$ -measurable.
- ( $\gamma$ )  $V[X^+] \models "\{\eta_t : t \in L_q\} = \lim(T_n) \text{ mod } I_{\mathbb{Q}_n^i, \aleph_1}."$
- ( $\delta$ ) In  $V[X^+]$ , if  $J \subseteq L_q$  is a proper initial segment then  $\{\eta_t : t \in J\} \in I_{\mathbb{Q}_n^i, \aleph_1}$ .
- ( $\varepsilon$ ) In  $V[X^+]$ , the ideal  $I_{\mathbb{Q}_n^i, \aleph_1}$  is non-trivial.
- ( $\zeta$ )  $\aleph_1$  is not collapsed, there is an  $\omega_1$ -sequence of different reals, and if  $V = L$  (here  $L$  is the constructible universe) then  $\aleph_1^L = \aleph_1^{V[X^+]}$ .

PROOF. Clause a) By the definition of  $\mathbb{P}_q$  and claim 17, so  $|\mathbb{P}_q| \leq \Sigma\{|\mathbb{P}_{q,J}| : J \subseteq L \text{ is finite}\} \leq 2^{\aleph_0} + |L|^{<\aleph_0} = 2^{\aleph_0} + \mu = \mu$ .

Clause b) By a) we have  $\Vdash_{\mathbb{P}_q} "2^{\aleph_0} \leq \mu,"$  and as  $|L| = \mu$  we have  $\Vdash_{\mathbb{P}_q} "\mu = |L| \leq |\{\eta_t : t \in L\}| \leq 2^{\aleph_0}."$  Together we're done.

Clause c) ( $\alpha$ ) By the definitions of  $V[X^+]$  and  $\mathbb{P}_q$ . In particular,  $\neg AC_{\aleph_0}$ , as we can use  $(A_n : n < \omega)$  where  $A_n := \{\{\eta_{t_l} : l < n\} : t_0 <_L \dots <_L t_{n-1}\}$ . As  $V[X^+]$  is really just  $HOD(V \cup X^{<\omega})$  in  $V[G]$ ,  $V[X^+] \models ZF$  follows by the standard arguments in the literature.

Clause c) ( $\beta$ ) Let  $A \in V[X^+]$  be a subset of  $\lim(T_{m*})$ .  $A$  is definable in  $V[G]$  by a first order formula  $\phi(x, \bar{a}, c)$  such that  $c \in V$  and  $\bar{a} = (\eta_{t_0}, \dots, \eta_{t_{n-1}})$  is a finite sequence from  $X$ . Let  $J = \{s \in L_q : s \leq t_l \text{ for some } l\}$ . For  $s \in L \setminus J$  let  $L_s = \{t_l : l < n\} \cup \{s\}$ , then  $L_s \in \mathbf{L}_q$  hence by 14 we have  $\mathbb{P}_{L_s} < \mathbb{P}_{L_q}$ . Let  $T_s = TV(\phi(\eta_s, \bar{a}, c))$ , so  $T_s$  is a  $\mathbb{P}_{L_q}$ -name and actually a  $\mathbb{P}_{L_s}$ -name.

Let  $(p_{s,i} : i < \omega)$  be a maximal antichain in  $\mathbb{P}_{L_s}$  and let  $W_s \subseteq \omega$  such that  $p_{s,i} \Vdash T_s = \text{true}$  if and only if  $i \in W_s$ . Define the  $\mathbb{P}_{\{t_l : l < n\}}$ -name  $\tilde{U} := \{i < \omega : p_{s,i} \Vdash \{t_l : l < n\} \in G_{\mathbb{P}_{\{t_l : l < n\}}}\}$ .

If  $G_0 \subseteq \mathbb{P}_{\{t_l : l < n\}}$  is generic over  $V$  and  $U = \tilde{U}[G_0]$ , then in  $V[G_0]$ ,  $(\lim(p_{s,i}(s)[G_0]) : i \in U)$  are pairwise disjoint: by Claim 7, if  $p, q \in \mathbb{Q}_n^i$  are incompatible and  $\eta \in \lim(p)$ , then  $\eta \notin \lim(q)$  (otherwise, WLOG  $lg(tr(p)) \leq$

<sup>3</sup>The addition of  $X, <_X$  was done only for the sake of clarity. We could have worked instead in  $V[X]$ , i.e., the collection of sets hereditarily definable from finite sequences of members of  $X$ .

$lg(tr(q))$ , and both  $tr(p)$  and  $tr(q)$  are initial segments of  $\eta$ , hence  $tr(p) \leq tr(q) \in T_p$  which is a contradiction by Claim 7). Hence it's enough to show that  $((p_{s,i}(s)[G_0]) : i \in U)$  is an antichain in  $V[G_0]$ . Assume towards contradiction that for some  $i \neq j \in U$  there is a common upper bound  $q$  for  $p_{s,i}(s)[G_0]$  and  $p_{s,j}(s)[G_0]$ . Therefore there is a  $\mathbb{P}_{\{t_l:l < n\}}$ -name  $\tilde{q}$  and  $r \in G_0$  such that  $r \Vdash_{\mathbb{P}_{\{t_l:l < n\}}} "p_{s,i}(s), p_{s,j}(s) \leq \tilde{q}."$  Since  $i, j \in U$ , we have  $p_{s,i} \upharpoonright \{t_l : l < n\}, p_{s,j} \upharpoonright \{t_l : l < n\} \in G_0$ , and as  $G_0$  is directed, there is a common upper bound  $r_1 \in G_0$  for  $p_{s,i} \upharpoonright \{t_l : l < n\}, p_{s,j} \upharpoonright \{t_l : l < n\}$  and  $r$ . Now let  $r^+ := r_1 \cup \{(s, \tilde{q})\} \in \mathbb{P}_{L_s}$ , then obviously  $r^+$  is a common upper bound (in  $\mathbb{P}_{L_s}$ ) for  $p_{s,i}$  and  $p_{s,j}$ , which contradicts our assumption.

Moreover,  $(p_{s,i}(s)[G_0] : i \in U)$  is a maximal antichain: If  $q \in \mathbb{Q}_n^{V[G_0]}$  is incompatible with  $p_{s,i}(s)[G_0]$  for every  $i \in U$ , then as before, there are  $r \in G_0$  and a  $\mathbb{P}_{\{t_l:l < n\}}$ -name  $\tilde{q}$  such that  $r$  forces that  $\tilde{q}$  is incompatible with  $p_{s,i}(s)$  for every  $i \in U$ . As before we can get a member of  $\mathbb{P}_{L_s}$  that is incompatible with  $(p_{s,i} : i < \omega)$ , contradicting its maximality. Hence  $(p_{s,i}(s)[G_0] : i \in U)$  is a maximal antichain in  $V[G_0]$ .

If  $s_1, s_2 \in L_q \setminus J$ , by the homogeneity assumption, there is an automorphism  $f$  of  $L_q$  over  $J$  such that  $f(s_1) = s_2$ . Therefore the natural map induced by  $f$  is mapping  $\bar{a}$  to itself and  $\eta_{s_1}$  to  $\eta_{s_2}$ . Hence  $T_{s_1}$  is mapped to  $T_{s_2}$ . As  $(\hat{f}(p_{s_1,i}) : i < \omega)$  and  $W_{s_1}$  have the same properties (with respect to  $T_{s_2}$ ) as  $(p_{s_2,i} : i < \omega)$  and  $W_{s_2}$ , we may assume WLOG that  $W_{s_1} = W_{s_2}$  (denote it by  $W$ ) and  $\hat{f}(p_{s_1,i}) = p_{s_2,i}$ .

Therefore, if  $G_0 \subseteq \mathbb{P}_{\{t_l:l < n\}}$  is generic and  $i \in U[G_0]$ , then there is  $p_i \in (\mathbb{Q}_n^t)^{V[G_0]}$  and  $W$  such that for every  $s \in L \setminus J$ ,  $p_{s,i}(s)[G_0] = p_i$  and  $W_s = W$  (and  $W$  can be found in the ground model).

Work now in  $V[G_0]$ : Let  $B := \cup\{lim(p_i) : i \in W \cap U\}$ , so  $B$  is a Borel set and we shall prove that  $A = B$  modulo the ideal: by clauses (c)( $\gamma$ ) + (c)( $\delta$ ) proved below, it's enough to show that if  $s \in L_q \setminus J$ , then  $\eta_s \notin A \Delta B$  (note that, by its definition,  $J \in V$  and hence  $J \in V[X^+]$ ).

Let  $s \in L_q \setminus J$  and  $i \in U$ , then  $p_{s,i} \in \mathbb{P}_{L_s}/G_0$  and by the choice of  $p_{s,i}$ ,  $p_{s,i} \Vdash_{\mathbb{P}_{L_s}/G_0} " \phi(\eta_s, \bar{a}, c) \text{ iff } T_s = true \text{ iff } i \in W."$  In other words, in  $V[G_0]$  we have  $p_i \Vdash_{\mathbb{Q}_n^t} " \phi(\eta_s, \bar{a}, c) \text{ iff } i \in W."$  Since  $(p_i : i \in U)$  is a maximal antichain, every  $G \subseteq \mathbb{Q}_n^2$  generic over  $V[G_0]$  must contain exactly one of the  $p_i$ , hence in  $V[G_0]$ :  $\Vdash_{\mathbb{Q}_n^t} " \phi(\eta_s, \bar{a}, c) \text{ iff } i \in W$  for the  $p_i$  such that  $p_i \in G."$  Now  $p_{s,i}(s) = p_i \in G$  iff  $\eta_s \in lim(T_{p_{s,i}(s)}) = lim(T_{p_i})$ , hence we got  $\Vdash_{\mathbb{Q}_n^2} " \phi(\eta_s, \bar{a}, c) \text{ iff } i \in W$  where  $i$  is such that  $\eta_s \in lim(T_{p_i})."$  Therefore  $\Vdash_{\mathbb{Q}_n^t} " \eta_s \in A \text{ iff } \eta_s \in B."$

Clause c) ( $\gamma$ ) If  $\rho \in lim(T_n)^{V[X^+]} \setminus \{\eta_t : t \in L_q\}$  (recall that  $V[X^+]$  was defined in the formulation of clause (c) of the claim), then  $\rho \in lim(T_n)^{V[\{\eta_t:t \in u\} \cup \{X, <_X\}]}$  for some finite  $u$ . By Claim 21,  $\rho$  is not  $(\mathbb{Q}_n^t, \eta_n)$ -generic over  $V$ . Therefore, by the definition of  $I_{\mathbb{Q}_n, \aleph_1}^i$ ,  $\Vdash_{\mathbb{P}_q} "lim(T_n) \setminus \{\eta_t : t \in L_q\} \in I_{\mathbb{Q}_n, \aleph_1}^i."$  This is due to the fact

that being  $(\mathbb{Q}_{\mathbf{n}}^i, \eta)$ -generic over  $V$  means avoiding every Borel  $(\mathbb{Q}_{\mathbf{n}}^i, \eta)$ -null set from  $V$ , and as  $V \models \tilde{C}H$ , there are  $\aleph_1$ -many such sets. Why can we use Claim 21? Assume that in Claim 21  $\alpha_*$  is finite, assumptions (a)–(e) and (g) hold and (f) is replaced by (h) where:

$$(h) p_* \Vdash_{\mathbb{P}} \rho \notin \{\eta_\alpha : \alpha < \alpha_*\}.$$

There is a condition  $p_* \leq p_{**}$  and a natural number  $k$  such that  $p_{**} \Vdash_{\mathbb{P}} \rho \upharpoonright k \notin \{\eta_\alpha \upharpoonright k : \alpha < \alpha_*\}$  and  $p_{**}$  forces values to  $\rho \upharpoonright k$  and  $\eta_\alpha \upharpoonright k$  ( $\alpha < \alpha_*$ ), which will be denoted by  $\rho_*$  and  $\eta_\alpha^*$  ( $\alpha < \alpha_*$ ). In addition, we shall choose  $k$  to be sufficiently large.

For  $\mathbf{n} \in \mathbb{N}$  and  $\eta \in T_{\mathbf{n}}$ , let  $\mathbf{n}^{[\eta \leq]}$  be the natural restriction of  $\mathbf{n}$  to  $T_{\mathbf{n}}^{[\eta \leq]}$ . Now let  $\mathbf{n}_* = \mathbf{n}^{[\rho_* \leq]}$  and  $\mathbf{n}_\alpha^* = \mathbf{n}_\alpha^{[\eta_\alpha^* \leq]}$ . By the choice of  $k$ ,  $\mathbf{n}_*$  and  $\mathbf{n}_\alpha^*$  are far, moreover, they satisfy assumption f of Claim 21, and by iterating  $\mathbb{Q}_{\mathbf{n}_\alpha^*}^i$  instead, we get the desired conclusion.

Clause c) ( $\delta$ ) By Claim 19, each  $\mathbb{Q}_{\mathbf{m}_t}$  adds a Cohen real, hence the set of previous generics is included in a null Borel set by Claim 22. More precisely: For  $t \in L$ , let  $V_{2,t} := V[G \cap \mathbb{P}_{L < t}]$  and let  $V_{1,t}$  be the class of elements from  $V_{2,t}$  that are hereditarily definable in  $V[G]$  from elements of  $V$ , finite sequences from  $\{\eta_s : s < t\}$  and  $\{\eta_s : s < t\}$ . As  $\{\eta_s : s < t\} \subseteq \text{lim}(T_{\mathbf{n}})^{V_{1,t}}$ , it suffices to show that the Cohen real  $v_t$  added by  $\mathbb{Q}_t$  is Cohen over  $V_{1,t}$ . As  $v_t$  is Cohen over  $V^{\mathbb{P}_J}$  for every finite  $J \subseteq L < t$ , it suffices to show that every nowhere dense tree  $T \in V_{1,t}$  belongs to  $V^{\mathbb{P}_J}$  for some finite  $J \subseteq L < t$  (and so  $v_t \in \text{lim}(T)$ ). Suppose then that  $A = \dot{A}[G] \in V_{1,t}$  is a real, so there are  $t_0 < t_1 < \dots < t_{n-1} < t$ , a formula  $\phi$  and some  $a \in V$  such that  $A$  is definable in  $V[G]$  using  $\phi(x, a, \eta_{t_0}, \dots, \eta_{t_{n-1}})$ . We claim that  $A \in V^{\mathbb{P}_J}$  for some finite  $J \subseteq L < t$ . As  $A \in V[G \cap \mathbb{P}_{L < t}]$  and  $\mathbb{P}_{L < t} \leq \mathbb{P}_q$ ,  $\dot{A}$  is a  $\mathbb{P}_{L < t}$ -name and  $A = \dot{A}[G_t]$ , where  $G_t = G \cap \mathbb{P}_{L < t}$ . Let  $p \in G_t$  force the above facts, and WLOG  $\{t_0, \dots, t_{n-1}\} \subseteq \text{Dom}(p)$ . Now if  $q \in \mathbb{P}_{L < t}$  is above  $p$  and forces “ $i \in \dot{A}$ ,” then this is also forced by  $q \upharpoonright \text{Dom}(p)$ . In order to prove this fact, note that we can find for every  $n < \omega$  an automorphism  $\pi_n$  of  $L < t$  such that  $\pi_n$  is the identity over  $\text{Dom}(p)$  and such that the sets  $\pi_n(\text{Dom}(q) \setminus \text{Dom}(p))$  are pairwise disjoint. Letting  $q_n := \pi_n(q)$ , each  $q_n$  forces “ $i \in \dot{A}$ .” It follows that this is forced by  $q \upharpoonright \text{Dom}(p)$  as well: Suppose not, then there is some  $q'$  above  $q \upharpoonright \text{Dom}(p)$  forcing “ $i \notin \dot{A}$ .” But then there is some  $n < \omega$  such that  $(\text{Dom}(\pi_n(q)) \setminus \text{Dom}(p)) \cap \text{Dom}(q') = \emptyset$ . It follows that  $q'$  and  $\pi_n(q)$  are compatible, a contradiction. Therefore,  $q \upharpoonright \text{Dom}(p)$  forces “ $i \in \dot{A}$ .” The proof for the case of “ $i \notin \dot{A}$ ” is similar. It follows that  $\dot{A} \in V^{\mathbb{P}_{\text{Dom}(p)}}$ , as required.

Clause c) ( $\varepsilon$ ) We shall prove that, in  $V[X^+]$ ,  $X = \{\eta_t : t \in L\} \notin I_{\mathbb{Q}_{\mathbf{n}}, \aleph_1}^i$  and so the ideal is non-trivial. So let  $\vec{Z} = (Z_\alpha : \alpha < \omega_1) \in V[X^+]$  be a sequence of  $(\mathbb{Q}_{\mathbf{n}}^i, \eta)$ -null sets and let  $Z = \bigcup_{\alpha < \omega_1} Z_\alpha$ , it suffices to show that  $\eta_t \notin Z$  for every large enough  $t \in L$ . Let  $p_* \in G$  force the above-mentioned facts about  $\vec{Z}$  and let  $(t_l : l < n) \in L^n$  be an increasing sequence containing  $\text{Dom}(p_*)$  and all  $t \in L$  relevant for  $\vec{Z}$ . Let  $t \in L$

such that  $t_{n-1} < t$ , we shall prove that  $\eta_t \notin Z$ . Let  $\alpha < \omega_1$  and suppose that  $q_1$  is a  $\mathbb{P}_{\{s_l:l<k\}}$ -name for a member of  $(\mathbb{Q}_n^i)^{V[\eta_{s_l:l<k}]}$  with  $(s_l : l < k) \in (L_{<t})^k$ . Then there are  $p' \in \mathbb{P}_q$  above  $p_*$  and  $q_2'$  such that  $q_2'$  is a  $\mathbb{P}_{\{s'_l:l<m\}}$ -name,  $p'$  forces “ $q_1 \leq q_2'$  and  $\text{lim}(q_2') \cap Z_\alpha = \emptyset$ ” and WLOG  $\{t_0, \dots, t_{n-1}\} \subseteq \{s_0, \dots, s_{k-1}\} \subseteq \{s'_0, \dots, s'_{m-1}\}$ .

There is an automorphism  $\pi$  of  $L$  that is the identity over  $\{s_0, \dots, s_{k-1}\}$  such that  $\pi(\{s'_0, \dots, s'_{m-1}\}) \subseteq L_{<t}$ , so we may assume WLOG that  $\{s'_0, \dots, s'_{m-1}\} \subseteq L_{<t}$ . It follows that  $\eta_t \notin Z_\alpha$ , and therefore,  $\eta_t \notin Z$ .

Clause c)  $(\zeta) V \models AC$ , therefore there is an  $\omega_1$ -sequence of distinct reals in  $V$ .  $\mathbb{P}_q \models \text{ccc}$ , therefore  $\aleph_1$  is not collapsed, and that sequence is as required in  $V[X^+]$  as well. If  $V = L$ , then  $\aleph_1^L = \aleph_1^{V[X^+]}$  follows from  $\text{ccc}$ .  $\dashv$

**§8. An Application to  $\Pi_n^1$  singletons.** We conclude the article with an easy application of  $\mathbb{Q}_n^2$  that is of independent interest. By a classical result of Jensen [4], there exists a forcing  $\mathbb{P} \in L$  that adds a  $\Pi_2^1$  singleton over  $L$ . Jensen’s construction relies heavily on structural properties of  $L$  such as diamond. Thanks to the explicit definability of  $\mathbb{Q}_n^2$  and its property of adding a unique generic real, we are able to get a  $\Pi_2^1$  singleton over  $L$  almost “for free.” As we saw, the existence and the relevant properties of  $\mathbb{Q}_n^2$  are already established in  $ZFC$ , and the only extra assumption needed for our new construction of a  $\Pi_2^1$  singleton is that the ground model reals are constructible. Our construction easily generalizes to other models of set theory, in which case if the ground model reals are  $\Sigma_n^1$  then the new singleton will be  $\Pi_n^1$ . Below we shall only deal with lightface definitions, so  $\Sigma_n^1$  will always mean “lightface  $\Sigma_n^1$ .”

Throughout the rest of this section, fix a computable  $\mathbf{n} \in \mathbf{N}$  (e.g., the one from Observation 4A).

**CLAIM 24.** *Let  $\mathbb{Q} = \mathbb{Q}_n^2$  and let  $G \subseteq \mathbb{Q}$  be generic over  $V$ . Suppose that  $(\omega^\omega)^V$  is lightface  $\Sigma_n^1$  definable in  $V[G]$ , then letting  $\eta$  be the canonical generic real added by  $\mathbb{Q}$ , the singleton  $\{\eta\}$  is a lightface  $\Pi_n^1$  singleton.*

**PROOF.**  $\eta$  is  $\mathbb{Q}$ -generic over  $V$  iff

(\*) For every maximal antichain  $I \subseteq \mathbb{Q}$  from  $V$ , there exists  $p \in I$  such that  $\eta \in \text{lim}(p)$ .

As “ $I$  is a maximal antichain in  $\mathbb{Q}$ ” is a lightface Borel statement by Claim 11, and as  $(\omega^\omega)^V$  is lightface  $\Sigma_n^1$  in  $V[G]$ , it follows that (\*) is a lightface  $\Pi_n^1$  statement in  $V[G]$ . By the uniqueness of the generic real (Claim 21), (\*) defines a  $\Pi_n^1$  singleton in  $V[G]$ .  $\dashv$

The assumptions of Claim 24 hold in  $L$  for  $n = 2$ . By the following result of Steel, canonical inner models for Woodin cardinals satisfy the assumptions for  $n > 2$ .

**THEOREM 25.** *Let  $\mathbb{Q}$  be a Borel  $\text{ccc}$  forcing. Let  $n > 2$  and let  $\mathcal{M}_{n-2}$  be the least inner model with  $n - 2$  Woodin cardinals, then  $(\omega^\omega)^{\mathcal{M}_{n-2}}$  is lightface  $\Sigma_n^1$ -definable in  $\mathcal{M}_{n-2}^{\mathbb{Q}}$ .*

**PROOF.** See the proof of Theorem 3.4 in [20].  $\dashv$

By Shoenfield's absoluteness theorem, the minimal possible complexity of a nonconstructible singleton is  $\Pi_2^1$ . In order to obtain a similar optimality result over  $\mathcal{M}_{n-2}$ , we shall use the following absoluteness result due to Woodin.

**THEOREM 26.** *Let  $\mathbb{Q}$  be a Borel ccc forcing. Let  $n > 2$  and let  $\mathcal{M}_{n-2}$  be as in the previous theorem, then for every  $\Sigma_n^1$  formula  $\phi(x)$  and  $a \in (\omega^\omega)^{\mathcal{M}_{n-2}}$ ,  $\mathcal{M}_{n-2} \models \phi(a)$  iff  $\mathcal{M}_{n-2}^{\mathbb{Q}} \models \phi(a)$ .*

**PROOF.** See, e.g., Section 4 in [20] or Lemma 1.17 in [11]. ⊣

Putting everything together we get the main result of this section.

**THEOREM 27.** *Let  $\mathbb{Q} = \mathbb{Q}_n^2$ .*

- a. Suppose that  $V \models ZFC +$  "all reals are constructible," then  $\mathbb{Q}$  adds a  $\Pi_2^1$  singleton over  $V$ . In particular,  $\mathbb{Q}$  adds a new  $\Pi_2^1$  singleton over  $L$  and over any forcing extension of  $L$  not adding new reals.
- b. Let  $n > 2$  and let  $\mathcal{M}_{n-2}$  be the least inner model with  $n - 2$  Woodin cardinals, then  $\mathbb{Q}$  adds a new  $\Pi_n^1$  singleton over  $\mathcal{M}_{n-2}$ .
- c. Clause (b) is optimal in the following sense: If  $\mathbb{P} \in \mathcal{M}_{n-2}$  is a Borel ccc forcing, then  $\mathbb{P}$  doesn't add a new  $\Sigma_n^1$  singleton over  $\mathcal{M}_{n-2}$ .

**PROOF.** Clauses (a) and (b) follow from Claim 24, with clause (a) using the fact that  $\mathbb{R} \cap L$  is  $\Sigma_2^1$  definable and clause (b) using Theorem 25. Clause (c) follows from Theorem 26 (note that if  $\phi(x)$  was a  $\Sigma_n^1$  formula witnessing otherwise, then  $\exists x\phi(x)$  is  $\Sigma_n^1$  and holds in  $\mathcal{M}_{n-2}$  by Theorem 26, leading to a contradiction). ⊣

**§9. Open questions.** As our model doesn't satisfy  $AC_{\aleph_0}$ , it's natural to ask whether we can improve the result getting a model of  $AC_{\aleph_0}$  or even  $DC$ . Hopefully in [3] it will be shown that assuming the existence of a measurable cardinal, we can get a model of  $DC(\aleph_1)$ . This leads to the following question.

**PROBLEM 1.** *Can we improve the current result and get a model of  $DC$  without large cardinals?*

*A very recent work in preparation [17] answers this question in the affirmative.*

*As the current result gives measurability with respect to the ideal  $I_{\mathfrak{n}, \aleph_1}$ , it's natural to ask the following question.*

**PROBLEM 2.** *Can we get a similar result for the ideal  $I_{\mathfrak{n}, \aleph_0}$ ?*

*This problem will be addressed in [2].*

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