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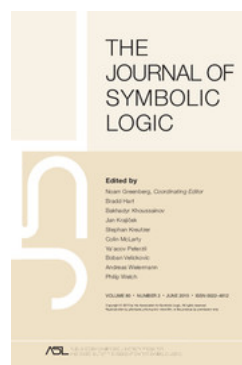
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## Strong negative partition above the continuum

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STRONG NEGATIVE PARTITION  
ABOVE THE CONTINUUM

SAHARON SHELAH

**Introduction.** For e.g.  $\lambda = \mu^+$ ,  $\mu$  regular,  $\lambda$  larger than the continuum, we prove a strong nonpartition result (stronger than  $\lambda \rightarrow [\lambda; \lambda]^2$ ). As a consequence, the product of two topological spaces of cellularity  $< \lambda$  may have cellularity  $\lambda$ , or, in equivalent formulation, the product of two  $\lambda$ -c.c. Boolean algebras may lack the  $\lambda$ -c.c. Also  $\lambda$ -S-spaces and  $\lambda$ -L-spaces exist. In fact we deal not with successors of regular  $\lambda$  but with regular  $\lambda$  above the continuum which has a nonreflecting stationary subset of ordinals with uncountable cofinalities; sometimes we require  $\lambda$  to be not strong limit.

The paper is self-contained. On the nonpartition results see the closely related papers of Todorčević [T1], Shelah [Sh276] and [Sh261], and Shelah and Steprans [ShSt1].

On the cellularity of products see Todorčević [T2] and [T3], where such results were obtained for (e.g.) cf  $[2^{\aleph_0}]$  and  $[2^{\aleph_0}]^{+(\omega+1)}$ ; the class of cardinals he gets is quite disjoint from ours. In [Sh282] such results were obtained for more successors of singulars (mainly  $\lambda^+$ ,  $\lambda > 2^{\text{cf } \lambda}$ ). Also, concerning  $S$  and  $L$  spaces, Todorčević gets existence.

Todorčević's work on cardinals like  $[2^{\aleph_0}]^{+(\omega+1)}$  relies on [Sh68] (see more in [ShA2, Chapter XIII]) (the scales appearing in the proof of  $\aleph_\delta^{\text{cf } \delta} < \aleph_{(|\delta| \text{ cf } \delta)^+}$ ). The problem was stressed in a preliminary version of the surveys of Juhász and Monk. We give a detailed proof for one strong nonpartition theorem (1.1) and then give various strengthenings. We then use 1.10 to get the consequences (in 1.11 and 1.12).

I thank Todorčević for asking me questions which led me to this paper. More specifically, he suggests that "productivity of  $\lambda$ -c.c. of Boolean algebras" is the real hard-core generalization of MA which we should consider. As generalizations of MA for  $\lambda = \aleph_2$  and  $2^{\aleph_0} = \aleph_1$  exist, I look at such cardinals. However, as he pointed out, we still have no example of an "absolutely defined cardinal" as  $\aleph_2$  or  $\aleph_{\omega+1}$ .

The problem for  $\lambda^+$  ( $\lambda > \aleph_1$ ,  $\lambda$  regular) has meanwhile been solved; see [Sh327], which is close in spirit to the present article. (It improves on 1.1 (replacing  $\lambda > 2^{\aleph_0}$  by  $\lambda > \aleph_1$ ), but the proof does not give 1.7, 1.8, nor the case  $\lambda = \aleph_1$ , so the proofs here

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are not obsolete.) More recently the non- $\lambda^+$ -productivity of Boolean algebras was proved for all singular  $\lambda$  (in [Sh355]).<sup>1</sup>

*Notation.*  $\eta$ ,  $\nu$ , and  $\rho$  are finite sequences of ordinals (e.g. natural numbers). We define

$$\eta = \langle \eta(l) : l < \lg(\eta) \rangle, \quad \text{Rang}(\eta) = \{ \eta(l) : l < \lg(\eta) \},$$

$$\text{Max}(\eta) = \text{Max}[\text{Rang}(\eta)].$$

For a sequence  $\rho$ , let us define  $\rho^{[m]}$  ( $1 \leq m < \omega$ ) by induction on  $m$ :  $\rho^{[1]} = \rho$ ,  $\rho^{[m+1]} = (\rho^{[m]})^\wedge \rho$  (and  $\rho^{[0]}$  the empty sequence).

**1.1. THEOREM.** *Suppose*

I)  $\lambda$  is regular,

II)  $\lambda > 2^{\aleph_0}$ ,

III)  $S \subseteq \lambda$  is stationary and nonreflecting,  $\delta \in S \Rightarrow \text{cf}(\delta) > \aleph_0$ , and

IV)  $\mu < \lambda \leq 2^\mu$  (i.e.  $\lambda$  is not a strong limit).

Then  $\text{Pr}_0(\lambda, \lambda)$ ; where:

**1.2. DEFINITION.**  $\text{Pr}_0(\lambda, \mu)$  means that there is a function  $c$  witnessing it, which means  $c$  is a 2-place function from  $\lambda$  to  $\mu$  such that **if**  $n < \omega$ ,  $\zeta_\alpha^1 < \zeta_\alpha^2 < \dots < \zeta_\alpha^n < \lambda$  for  $\alpha < \lambda$ , and the sets  $\{\zeta_\alpha^1, \dots, \zeta_\alpha^n\}$  are pairwise disjoint for  $\alpha < \lambda$ , **then**, for any two-place function  $h$  from  $\{1, \dots, n\}$  to  $\mu$  for some  $\alpha < \beta < \lambda$ , ( $\zeta_\alpha^n < \zeta_\beta^1$  and)

$$[1 \leq l \leq n \wedge 1 \leq k \leq n \rightarrow c(\zeta_\beta^l, \zeta_\alpha^k) = h(l, k)].$$

*Explanation of the proof of 1.1.* We use Todorćević's walks  $\langle \gamma_l(\beta, \alpha) : l < \mathfrak{n}(\beta, \alpha) \rangle$  (for specifically chosen club  $C_\alpha$  to avoid  $S$  (as in [Sh276, §3])) to determine the coloring. Now if we partition  $S$  to stationary  $\langle S_\alpha : \alpha < \alpha(*) \rangle$ , it is not hard to get  $\delta \in S_i$  and  $\alpha < \beta$  such that  $\langle \gamma_n(\zeta_\beta^l, \zeta_\alpha^k) : n < \mathfrak{n}(\zeta_\beta^l, \zeta_\alpha^k) \rangle$  "pass through"  $\delta$ . The problem is that when we define the coloring we "do not know" which one to choose. For this we use a function  $H : \lambda \rightarrow \omega$ . Now  $\langle H(\gamma_l(\beta, \alpha)) : l < \mathfrak{n}(\beta, \alpha) \rangle$  is a finite sequence of natural numbers, and we devise a function  $d$  from the family of such finite sequences to the set of natural numbers. If in 1.2  $h$  is constant, this is okay. As this is not generally the case, we use subsets  $\langle A_\alpha : \alpha < \lambda \rangle$  of  $\mu$  and the family  $G$  and function  $\Gamma$  defined below to translate for us. In the case when  $h$  is constantly  $l^*$  we could have let  $G : \lambda \rightarrow \lambda$  and  $c(\beta, \alpha) = G(\gamma_e(\beta, \alpha))$ , where  $e = d[\langle H(\gamma_m(\beta, \alpha)) : m < \mathfrak{n}(\beta, \alpha) \rangle]$ .

The heart of the matter is to find, for given  $\zeta_\alpha^l$  ( $\alpha < \lambda$ ,  $l = 1, \dots, n$ ), sequences  $v^l$ ,  $\rho$  and  $v_l$  ( $l = 1, \dots, n$ ) in  ${}^\omega \omega$  that satisfy the following two conditions:

(i) For any natural number  $m$ , for some  $\alpha < \beta$ , and for  $l, k \in \{1, \dots, n\}$  (for the definition of  $\rho^{[m]}$ , see the notation section)

$$\langle H(\gamma_p(\zeta_\beta^l, \zeta_\alpha^k)) : p < \mathfrak{n}(\zeta_\beta^l, \zeta_\alpha^k) \rangle = v^l \wedge \rho^{[m]} \wedge v_k.$$

(ii)  $\text{Max } \rho > \text{Max} \{ \text{Max } v^1, \dots, \text{Max } v^n, \text{Max } v_1, \dots, \text{Max } v_n \}$ .

Hence we can decipher  $m$  from  $v^l \wedge \rho^{[m]} \wedge v_k$ .

**PROOF.** Without loss of generality we suppose that  $S$  consists of limit ordinals only.

For every nonzero  $\alpha < \lambda$ , let  $C_\alpha$  be  $\{0, \alpha - 1\}$  if  $\alpha$  is a successor ordinal, and a closed unbounded subset of  $\alpha$  of order type  $\text{cf}(\alpha)$  disjoint to  $S$  if  $\alpha$  is a limit ordinal.

<sup>1</sup>Since this was written, nonproductivity of  $\lambda$ -c.c. has been proved also for  $\lambda = \aleph_2$ ,  $\lambda$  inaccessible not  $\omega$ -Mahlo, and more; see [Sh 365].

For  $0 < \alpha < \beta < \lambda$ , let  $\gamma(\beta, \alpha) \stackrel{\text{def}}{=} \text{Min}\{\gamma \in C_\beta : \gamma \geq \alpha\}$ . Let us define  $\gamma_l(\beta, \alpha)$  by putting  $\gamma_0(\beta, \alpha) = \beta$  and  $\gamma_{l+1}(\beta, \alpha) = \gamma(\gamma_l(\beta, \alpha), \alpha)$  (if defined).

If  $0 < \alpha < \beta < \lambda$ , let  $\mathbf{n}(\beta, \alpha)$  be the largest  $n < \omega$  such that  $\gamma_n(\beta, \alpha)$  is defined ((c), below, justifies this definition). Now clearly

(a) if  $0 < \alpha < \beta < \lambda$ , then  $\alpha \leq \gamma(\beta, \alpha) < \beta$ ;

hence

(b) if  $0 < \alpha < \beta < \lambda$ ,  $0 < l < \omega$ , and  $\gamma_l(\beta, \alpha)$  is defined, then  $\alpha \leq \gamma_l(\beta, \alpha) < \beta$

and

(c) if  $0 < \alpha \leq \beta < \lambda$ , then  $\mathbf{n}(\beta, \alpha)$  is well defined and, letting  $\gamma_l \stackrel{\text{def}}{=} \gamma_l(\beta, \alpha)$ , we have  
 $\alpha = \gamma_{\mathbf{n}(\beta, \alpha)} < \gamma_{\mathbf{n}(\beta, \alpha)-1} < \cdots < \gamma_1 < \gamma_0 = \beta$ .

Also

(d) if  $\delta \in S$  (so  $\delta$  is a limit ordinal), and  $\delta < \beta < \lambda$ , then, for some  $\alpha_0 < \delta$ ,

$$\left[ \alpha_0 \leq \alpha \leq \delta \Rightarrow \bigwedge_{l=0}^{\mathbf{n}(\beta, \delta)} \gamma_l(\beta, \delta) = \gamma_l(\beta, \alpha) \right].$$

[Just let  $\alpha_0 = \text{Max}\{\text{Max} C_{\gamma_l(\beta, \delta)} \cap \delta\} + 1 : l < \mathbf{n}(\beta, \delta)\}$ . The outer maximum is well defined as it is over a finite set of ordinals. The inner is also well defined, as  $C_{\gamma_l(\beta, \delta)}$  is a closed subset of  $\gamma_l(\beta, \delta)$ ,  $\delta < \gamma_l(\beta, \delta)$  and  $\delta \notin C_{\gamma_l(\beta, \delta)}$  or  $C_{\gamma_l(\beta, \delta)} = \{\delta, 0\}$ —because  $\delta \in S$ .]

Let  $A_\alpha$  ( $\alpha < \lambda$ ) be distinct subsets of  $\mu$ , no one of which is a subset of another. Let  $G$  be the family of two-place functions  $g$ , whose domain is the finite family of all subsets of some finite subset of  $\mu$ , called  $w(g)$ , and whose range is  $\subseteq \lambda$ . Clearly  $|G| = \lambda$ . So we can find a function  $\Gamma$  from  $\lambda$  onto  $G$  such that, for every  $g \in G$ ,  $S \cap \Gamma^{-1}(g)$  is a stationary subset of  $\lambda$ . For  $g \in G$ ,  $A \subseteq \mu$  and  $B \subseteq \mu$ , let  $g(A, B)$  be

$$g(A \cap w(g), B \cap w(g)).$$

Now let  $H$  be a function from  $\lambda$  onto  $\omega$  such that, for every  $n$ ,  $H^{-1}(\{n\}) \cap S$  is stationary.

Lastly, let us define the coloring  $c$  which, as we shall prove, witnesses  $\text{Pr}_0(\lambda, \lambda)$  (thus finishing the proof of 1.1). Let  $0 < \alpha < \beta$ , so  $\mathbf{n}(\beta, \alpha) > 0$  and

$$\alpha = \gamma_{\mathbf{n}(\beta, \alpha)}(\beta, \alpha) < \gamma_{\mathbf{n}(\beta, \alpha)-1}(\beta, \alpha) < \cdots < \gamma_1(\beta, \alpha) < \gamma_0(\beta, \alpha) = \beta.$$

Then let

$$e = e(\beta, \alpha) = d[\langle H(\gamma_m(\beta, \alpha)) : m < \mathbf{n}(\beta, \alpha) \rangle],$$

where  $d$  is a function as constructed below in Fact 1.3, and let

$$g(\beta, \alpha) = g_{\beta, \alpha} \stackrel{\text{def}}{=} \Gamma(\gamma_e(\beta, \alpha))$$

(so  $g_{\beta, \alpha} \in G$ ) and, lastly,  $c(\beta, \alpha) = g_{\beta, \alpha}(A_\alpha, A_\beta)$ .

**1.3.** *Fact.* There is a function  $d$  from finite sequences of ordinals to  $\omega$  such that **if**  $\rho$  is a nonempty sequence of ordinals, and  $k < \omega$ , **then** for some  $m$ ,  $1 < m < \omega$ , and for all finite sequences  $\eta$  and  $v$  of ordinals,

$$[\text{Max}(\rho) > \text{Max}(\eta \wedge v) \Rightarrow d(\eta \wedge \rho^{[m]} \wedge v) = \text{lg}(\eta) + \text{lg}(\rho) + k].$$

**1.3A.** *Notation.* 1) Let  $d_{\text{mx}}(\rho) = \text{Min}\{i < \text{lg}(\rho) : \rho(i) = \text{Max}(\rho)\}$  for  $\rho$  a nonempty sequence of ordinals.

2) If we demand just

(\*) for any  $n < \omega$ ,  $v_1^l, v_2^l$  ( $l < n$ ), and  $k$  there is a natural number  $m$  such that for  $l < n$

$$d(v_1^l \wedge \rho^{[m]} \wedge v_2^l) = \text{lg}(v_1^l) + \text{lg}(\rho) + k$$

(which is sufficient for our present application but seemingly not for later ones), then we can use

$$d(\tau) = d_{\text{mx}}(\tau) + \lceil \log_2(\text{lg}(\tau)) \rceil - \lceil \sqrt{\log_2(\text{lg}(\tau))} \rceil^2;$$

this works as, for any  $\rho$ ,  $v_1^l, v_2^l$  ( $l < n$ ) and  $k(1) < \omega$ , for infinitely many  $m < \omega$ ,  $\lceil \log_2(\text{lg}(v_1^l \wedge \rho^{[m]} \wedge v_2^l)) \rceil$  has the form  $i^2 + k(1)$  for some natural number  $i > k(1)$ . (The length depends on  $l$ , of course, but the “rounding” of  $\log_2$  makes it disappear often enough.)

**1.3B.** *Claim.* There is a function  $d_f$  from the class of finite sequences of ordinals to the set of natural numbers such that **if**  $\eta, \rho$  and  $v$  are finite sequences of ordinals, and for no  $k > 1$  and  $\rho_1$  is it true that  $\rho = (\rho_1)^{[k]}$  and  $\text{Max}(\rho) > \text{Max}(\eta)$  and  $\text{Max}(\rho) > \text{Max}(v)$ , **then** for  $m > 4$

$$d_f(\eta \wedge \rho^{[m]} \wedge v) = m.$$

*Proof of 1.3B.* It is clearly enough to show that for every finite sequence  $\tau$  of ordinals, if, for some  $\eta, \rho$  and  $v$  as above,  $\tau = \eta \wedge \rho^{[m]} \wedge v$ ,  $m > 4$ , then  $m$  is uniquely reconstructed from  $\tau$ . So suppose:

$$(*) \quad \begin{aligned} \text{for } e = 1, 2, \quad \tau &= \eta_e \wedge \rho_e^{[m(e)]} \wedge v_e, \\ \zeta_e &= \text{Max}(\rho_e) > \text{Max}(\eta_e \wedge v_e), \quad m_e > 4. \end{aligned}$$

As above, we are assuming

$$(1) \quad \text{for no } \rho'_e \text{ and } k > 1 \text{ is } \rho_e = (\rho'_e)^{[k]}.$$

Clearly,

$$\begin{aligned} \text{Max}(\tau) &= \text{Max}[\eta_e \wedge \rho_e^{[m(e)]} \wedge v_e] = \text{Max}\{\text{Max}(\eta_e), \text{Max}(\rho_e), \text{Max } v_e\} \\ &= \text{Max}(\rho_e) = \zeta_e. \end{aligned}$$

Hence

$$(2) \quad \zeta_1 = \zeta_2 \quad (\text{call it } \zeta).$$

Let  $n_a(e) = \text{Min}\{i : \rho_e(i) = \zeta\}$  and  $n_b(e) = \text{Max}\{i : \rho_e(i) = \zeta\}$ . Clearly  $0 \leq n_a(e) \leq n_b(e) < \text{lg}(\rho_e)$ .

Let  $n_a = \text{Min}\{i : \tau(i) = \zeta\}$  and  $n_b = \text{Max}\{i : \tau(i) = \zeta\}$ . As  $\tau = \eta_e \wedge (\rho_e)^{[m(e)]} \wedge v_e$ , clearly

$$n_a = \text{lg}(\eta_e) + n_a(e), \quad n_b = \text{lg}(\tau) - \text{lg}(v_e) - (\text{lg}(\rho_e) - n_b(e)).$$

Now

$$n_b - n_a = m(e) \times \lg(\rho_e) - n_a(e) - (\lg(\rho_e) - n_b(e));$$

hence (as  $0 \leq n_a(e) \leq n_b(e) < \lg(\rho_e)$  by their definitions)

$$(m(e) - 1)\lg(\rho_e) \leq n_b - n_a < m(e)\lg(\rho_e).$$

If  $\lg(\rho_1) = \lg(\rho_2)$ , necessarily  $m(1) = m(2)$ , as desired. So without loss of generality we assume that

$$(3) \quad \lg(\rho_1) > \lg(\rho_2).$$

For any integer  $i$ , define  $\rho_e(i) = \rho_e(i + k \times \lg(\rho_e))$ , where  $k$  is the unique integer such that  $0 \leq i + k \times \lg(\rho_e) < \lg(\rho_e)$  (i.e. we consider the integers modulo  $\lg(\rho_e)$ ).

If  $e = 1, 2$  and  $0 \leq i < m(e) \times \lg(\rho_e)$ , then  $\tau(\lg(\eta_e) + i) = \rho_e(i)$ . Hence if

$$\lg(\eta_e) \leq i < i + \lg(\rho_e) < \lg(\eta_e \wedge \rho_e^{[m(e)]}),$$

then  $\tau(i) = \tau(i + \lg(\rho_e))$ . So this holds if  $n_a \leq i < i + \lg(\rho_e) \leq n_b$ ,

$$\dots \left| \frac{n_a}{\rho} \right| \left| \frac{j \ i}{\rho} \right| \left| \frac{n_b}{\rho} \right| \dots$$

But as  $m(e) \geq 4$ , if  $n_a \leq i \leq n_b$ , then  $n_a \leq i - \lg(\rho_e)$  or  $i + \lg(\rho_e) \leq n_b$  [really  $m(e) \geq 3$  suffices for this]. So if  $i$  is a natural number, we can find  $i_1$  with  $\lg(\eta_1) + i \equiv i_1 \pmod{\lg(\rho_1)}$  and  $n_a \leq i_1 < n_a + \lg(\rho_1)$ . So  $i_1$  and  $i_1 + \lg(\rho_1)$  are in the interval  $[n_a, n_b]$ , but  $i_1 < i_1 + \lg(\rho_2) < i_1 + \lg(\rho_1)$ ; hence  $\tau(i_1) = \tau(i_1 + \lg(\rho_2))$ ; hence

$$\rho_1(i) = \tau(i_1) = \tau(i_1 + \lg(\rho_2)) = \rho_1(i + \lg(\rho_2)).$$

Let  $I = \{j: j \text{ a natural number and, for every natural number } i, \rho_1(i) = \rho_1(i + j)\}$ . This is an ideal of  $\mathbf{Z}$ , with  $\lg(\rho_1) \in I$  (by definition of  $\rho_1(i)$ ) and  $\lg(\rho_2) \in I$  (as proved above). So their greatest common divisor is in  $I$ . As  $\rho_1$  is not of the form  $\rho^{[m]}$ ,  $m > 1$ , necessarily  $\lg(\rho_1)$  divides  $\lg(\rho_2)$ ; but it is bigger, a contradiction.

*Proof of 1.3.* Trivial. For example, look at all possible triples  $(\lg(\rho), d_{\max}(\rho), k)$  or, to be specific, recalling that  $[x]$  is the integral part of a real  $x$ , let

$$d_1(\tau) = [\log_2(\log_2(d_f(\tau)))],$$

$$d(\tau) \stackrel{\text{def}}{=} d_{\max}(\tau) + d_1(\tau) - (\sqrt{d_1(\tau)})^2.$$

For every  $\rho$  and  $k$  let  $\rho_1$  be of minimal length such that, for some  $n \geq 1$ ,  $\rho = (\rho_1)^{[n]}$ . We choose  $m$ : let

$$m(0) = k + (\lg(\rho) - d_{\max}(\rho)), \quad m(2) = (m(0) + n + 8)^2 + m(0),^2 \quad m = 2^{2^{m(2)}}.$$

Let us compute  $d(\tau)$  when  $\tau = \eta \wedge \rho^{[m]} \wedge \nu$  and  $\text{Max}(\rho) > \text{Max}(\eta \wedge \nu)$ . So  $d_{\max}(\tau) = \lg(\eta) + d_{\max}(\rho) = \lg(\eta) + d_{\max}(\rho_1)$  and  $\tau = \eta \wedge \rho_1^{[nm]} \wedge \nu$ . Also  $d_f(\tau) = nm$  (by Fact 1.3B). So  $\log_2(d_f(\tau)) = \log_2(m) + \log_2(n)$  and

$$0 \leq \log_2(n) < m(2) = \log_2 \log_2(m) \leq \log_2(\log_2(d_f(\tau)))$$

$$\leq \log_2 \log_2(m) + \log_2 \left( 1 + \frac{\log_2(n)}{\log_2(m)} \right) \leq m(2) + \log_2 2 < m(2) + 1.$$

<sup>2</sup>The eight is to help with the  $\geq 4$  demanded above.

So  $d_1(\tau) = \lceil \log_2 \log_2(d_f(\tau)) \rceil = m(2)$ ; hence  $d_1(\tau) - (\sqrt{d_1(\tau)})^2 = m(0)$  and, lastly,

$$d(\tau) = d_{\max}(\tau) + m(0) = \lg(\eta \wedge \rho) + k.$$

*Continuation of the Proof of 1.1.* Now we want to prove that  $c$  witnesses  $\text{Pr}_0(\lambda, \lambda)$ . So let  $n(*) < \omega$ , for  $\alpha < \lambda$  and  $\zeta_\alpha^1 < \dots < \zeta_\alpha^{n(*)}$  let  $\{\zeta_\alpha^1, \dots, \zeta_\alpha^{n(*)}\}$  be pairwise disjoint for  $\alpha < \lambda$ , and let  $h^*$  be a two-place function from from  $\{1, \dots, n(*)\}$  to  $\lambda$ . We must find  $\alpha < \beta$  as in Definition 1.2. For each  $\alpha$ , the sets  $\{A_{\zeta_\alpha^e} : e = 1, \dots, n(*)\}$  are pairwise incomparable subsets of  $\mu$ ; hence for some finite  $u_\alpha \subseteq \mu$  the sets  $\{A_{\zeta_\alpha^e} \cap u_\alpha : e = 1, \dots, n(*)\}$  are pairwise distinct. So, for some stationary  $S^* \subseteq S$  and for every  $\alpha \in S^*$ ,  $u_\alpha = u^*$  and  $A_{\zeta_\alpha^e} \cap u^* = a_e^*$  (for some fixed  $u^*$  and  $a_1^*, \dots, a_{n(*)}^*$ ). Without loss of generality, for  $\alpha < \beta$  from  $S^*$  we assume that  $\zeta_\alpha^{n(*)} < \zeta_\beta^1$ . Let us define a  $g \in G$  as follows:  $w(g) = u^*$ , and  $g(a_k^*, a_l^*) = h^*(k, l)$ ;  $g$  is zero on other pairs. So  $S_g = S \cap \Gamma^{-1}(g)$  is a stationary subset of  $S$ . We now define, by induction on  $\varepsilon \in S_g$ , an ordinal  $\alpha_\varepsilon$  as follows:  $\alpha_\varepsilon$  is the first ordinal  $\alpha < \lambda$  such that  $\alpha \in S^*$ ,  $(\forall \zeta < \varepsilon)[\alpha_\zeta < \alpha]$  and  $\zeta_\alpha^1 > \varepsilon$ .

Now  $\alpha_\varepsilon$  is well defined, as each demand holds for every large enough  $\alpha \in S^*$ . By renaming the  $\zeta_\alpha^k$ 's, without loss of generality for every  $\alpha \in S_g$  we have  $u_\alpha = u^*$ ,  $A_{\zeta_\alpha^e} \cap u^* = a_e^*$  for  $e = 1, \dots, n$  and  $\zeta_\alpha^1 > \alpha$ ; and rename  $S^* = S_g$ . Let  $S_n \stackrel{\text{def}}{=} S \cap H^{-1}(\{n\})$ .

Now define, for any set  $T \subseteq \lambda$  and limit ordinal  $\delta < \lambda$ ,

$$F_T(\delta) = \bigcap_{\alpha < \delta} \{ \langle H(\gamma_0(\delta, \beta)), H(\gamma_1(\delta, \beta)), \dots, H(\gamma_{n(\delta, \beta)-1}(\delta, \beta)) \rangle : \beta \in T \cap (\alpha, \delta) \}.$$

Now  $\text{Range } F_T \subseteq \mathcal{P}^{(\omega > \omega)}$ .

Clearly the following statements hold:

( $\alpha$ ) If  $\text{cf } \delta > \aleph_0$  and  $T$  is unbounded below  $\delta$ , then  $F_T(\delta)$  is nonempty.

( $\beta$ ) If  $T_1 \subseteq T_2$ , then  $F_{T_1}(\delta) \subseteq F_{T_2}(\delta)$ .

( $\gamma$ ) If  $T$  is an unbounded subset of  $\lambda$ , then, for a closed unbounded set of  $\delta < \lambda$  of uncountable cofinality,

$$\omega = \bigcup \{ \text{Rang}(\rho) : \rho \in F_T(\delta) \}.$$

[*Proof of ( $\gamma$ ).* Recall that  $S_n = H^{-1}(\{n\}) \cap S$ . Let  $C = \{ \delta < \lambda : \delta \text{ limit, and } \delta = \sup(T \cap \delta) \}$ , so  $C$  is a club of  $\lambda$ . Let  $C^1$  be the set of  $\delta < \lambda$  such that  $S_n \cap C$  is unbounded below  $\delta$  for each  $n$  (so  $C^1$  too is a club of  $\lambda$ ). Suppose  $\delta \in C^1$  and  $\text{cf}(\delta) > \aleph_0$ . Let  $n < \omega$ . For  $\alpha < \delta$  there is  $\beta_{\alpha, n} \in (S_n \cap C) \cap (\alpha, \delta)$ . Now  $T \cap \beta_{\alpha, n}$  is unbounded below  $\beta_{\alpha, n}$  (which is a limit ordinal as it belongs to  $C$ ), and so by (d) above (in the beginning of the proof), for some  $\xi = \xi_{\alpha, n} \in T \cap \beta_{\alpha, n}$  we have  $\xi > \alpha$ , and  $\gamma_e(\delta, \beta_{\alpha, n}) = \gamma_e(\delta, \xi)$  for  $e \leq n(\delta, \beta_{\alpha, n})$ . So

$$H(\gamma_{n(\delta, \beta_{\alpha, n})}(\delta, \beta_{\alpha, n}))$$

appears in

$$\langle H_e(\gamma_e(\delta, \xi_{\alpha, n})) : e < n(\delta, \xi_{\alpha, n}) \rangle.$$

But the former is  $n$  (as  $\gamma_{n(\delta, \beta_{\alpha, n})}(\delta, \beta_{\alpha, n}) = \beta_{\alpha, n}$ ,  $\beta_{\alpha, n} \in S_n = S \cap H^{-1}(\{n\})$ ), and the latter is a sequence of natural numbers depending on  $\alpha$ . As  $\text{cf}(\delta) > \aleph_0$ ,  $\alpha < \delta$  arbitrary, some such sequence appears for arbitrarily large  $\alpha < \delta$ , so  $n$  appears in some sequence from  $F_T(\delta)$ . This holds for every  $\delta \in C^1$ , so we finish our proof of ( $\gamma$ ).]

Let us define for  $\delta < \lambda$

$$f^*(\delta) = \bigcap_{\alpha < \delta} \{ \langle \langle H(\gamma_m(\delta, \zeta_\beta^e)): m < \mathbf{n}(\delta, \zeta_\beta^e) \rangle \rangle: e = 1, \dots, n \}: \\ \text{for some } \beta \in S^* \cap [\alpha, \delta].$$

Clearly, we have

( $\delta$ ) If  $\delta < \lambda$ ,  $\text{cf}(\delta) > \aleph_0$ , and  $\delta \cap S^*$  is unbounded below  $\delta$ , then  $f^*(\delta)$  is nonempty.

By induction on  $n < \omega$  we now define  $W_n, C_n^*$  and  $T_\eta$  ( $\eta \in W_n$ ) such that:

- (A)  $W_n$  is a subset of  ${}^n(2^{\aleph_0})$ ,  $T_\eta$  a subset of  $\lambda$ .  
 (B)  $W_0 = \{ \langle \rangle \}$ , and, for  $n \geq 0$ , if  $\eta \in W_n$  then  $[n > 0 \Rightarrow \eta \upharpoonright (n-1) \in W_{n-1}]$  and, for at least one  $i < 2^{\aleph_0}$ ,  $\eta \wedge \langle i \rangle \in W_{n+1}$ .  
 (C)  $C_n^*$  is a club of  $\lambda$ ,  $C_{n+1}^* \subseteq C_n^*$ , and every  $T_\eta$  ( $\eta \in W_n$ ) is unbounded below any  $\delta \in C_n^*$ .

(D)  $T_{\langle \rangle} = S^*$  (and in fact  $S^* \cap C_n^* = \bigcup \{ T_\eta \cap C_n^*: \eta \in W_n \}$ ).

(E) For  $\eta \in W_n$ ,  $T_\eta \cap C_{n+1}^*$  is the disjoint union of

$$\{ T_{\eta \wedge \langle i \rangle} \cap C_{n+1}^*: \eta \wedge \langle i \rangle \in W_{n+1} \}.$$

(F) Each  $T_\eta$  ( $\eta \in W_n$ ) is a stationary subset of  $\lambda$ .

(G) For  $\alpha, \beta \in T_\eta \cap C_{\text{lg}(\eta)+1}^*$ ,

$$(\exists i)[\alpha, \beta \in T_{\eta \wedge \langle i \rangle}] \text{ iff } F_{T_\eta}(\alpha) = F_{T_\eta}(\beta) \text{ and } f^*(\alpha) = f^*(\beta).$$

There is no difficulty in carrying out the induction (using  $\lambda > 2^{\aleph_0}$ ). For  $n = 0$ , (B) + (D) determines  $W_0$  and  $T_\eta$  ( $\eta \in W_0$ ). For  $n + 1$  define, for each  $\eta \in W_n$ , an equivalence relation  $\sim_n$  on  $T_\eta$ :

$$\alpha \sim_n \beta \text{ iff } F_{T_\eta}(\alpha) = F_{T_\eta}(\beta) \ \& \ f^*(\alpha) = f^*(\beta);$$

the number of equivalence classes is  $\leq 2^{\aleph_0}$  (as  $F_{T_\eta}$  and  $f^*$  have ranges of power  $\leq 2^{\aleph_0}$ ), and let them be  $\langle T_{\eta \wedge \langle i \rangle}: i < i_\eta \leq 2^{\aleph_0} \rangle$ ; for each  $i < i_\eta$ , if  $T_{\eta \wedge \langle i \rangle}$  is not stationary, choose a closed unbounded  $C_{\eta, i}$  disjoint from it. We let

$$W_{n+1} = \{ \eta \wedge \langle i \rangle: T_{\eta \wedge \langle i \rangle} \text{ stationary} \}$$

and

$$C_{n+1}^* = \bigcap \{ C_{\eta, i}: \eta \wedge \langle i \rangle \in W_{n+1} \} \cap C_n^*.$$

Check. Let  $C_\omega^* = \bigcap_{m < \omega} C_m^*$ , which is a club of  $\lambda$ , and define  $W_\omega = \{ \eta: \eta \text{ an } \omega\text{-sequence of ordinals, and, for every } n < \omega, \eta \upharpoonright n \in W_n \}$ , and also  $T_\eta = \bigcap_{n < \omega} T_{\eta \upharpoonright n}$  for  $\eta \in W_\omega$ .

Clearly the  $T_\eta$  ( $\eta \in W_n$ ) form a partition of  $S^* \cap C_\omega^*$ ; hence, for some  $\eta \in W_\omega$ ,  $T_\eta$  is a stationary subset of  $\lambda$ . (Here we use  $\lambda > 2^{\aleph_0}$ .)

Now we can find  $\delta(*) \in T_\eta$  such that  $F_{T_\eta}(\delta(*))$  is nonempty and moreover

$$\omega = \bigcup \{ \text{Rang } \rho: \rho \in F_{T_\eta}(\delta(*) \}.$$

(This is possible by ( $\gamma$ ) above.) For  $k = 1, \dots, n(*)$ , let

$$v^k = \langle H(\gamma_e(\zeta_{\delta(*)}^k, \delta(*) \rangle \rangle: e < \mathbf{n}(\zeta_{\delta(*)}^k, \delta(*) \rangle \rangle$$



and let  $\langle v_1, \dots, v_{n(*)} \rangle$  be any member of  $f^*(\delta)$  for some (all)  $\delta \in T_{\eta_{11}}$ . There is  $\rho \in F_{T_\eta}(\delta^*)$  with

$$\text{Max}(\rho) > \text{Max}\{\text{Max}(v^1), \dots, \text{Max } v^{n(*)}, \text{Max } v_1, \dots, \text{Max } v_{n(*)}\}$$

(this is possible by the choice of  $\delta^*$ ).

Note that  $\rho \in F_{T_{\eta \uparrow n}}(\delta)$  if  $\delta \in T_{\eta \uparrow n}$ ,  $n < \omega$  (by  $(\beta)$  above and (G) above). Now let  $\alpha(0) < \delta^*$  be such that

$$(*)_0 \quad \text{if } 1 \leq k \leq n^*, 0 \leq e \leq \mathbf{n}(\zeta_{\delta^*}^k, \delta^*), \text{ and} \\ \alpha(0) \leq \alpha < \delta^*, \text{ then } \gamma_e(\zeta_{\delta^*}^k, \delta^*) = \gamma_e(\zeta_{\delta^*}^k, \alpha)$$

(exists by (d) above).

Let  $m^* < \omega$  be arbitrary but fixed for a while. We define by induction on  $m \leq m^*$ ,  $\alpha(m)$  and  $\delta(m)$  such that:

- (i)  $\alpha(m) < \alpha(m+1) < \delta(m+1) < \delta(m)$ ;
- (ii)  $\delta(0) = \delta^*$  ( $\alpha(0)$  defined above);
- (iii)  $\rho = \langle H(\gamma_e(\delta(m), \delta(m+1))) : e < \mathbf{n}(\delta(m), \delta(m+1)) \rangle$ ;
- (iv)  $\delta(m) \in T_{\eta \uparrow (m^* + 8 - m)}$ ;
- (v) if  $1 \leq k \leq n^*$ ,  $e \leq \mathbf{n}(\zeta_{\delta^*}^k, \delta(m))$  and  $\alpha(m) \leq \alpha < \delta(m)$ , then

$$\gamma_e(\zeta_{\delta^*}^k, \delta(m)) = \gamma_e(\zeta_{\delta^*}^k, \alpha);$$

(vi)  $\gamma_e(\delta(m), \delta(m+1)) = \gamma_{e+n}(\zeta_{\delta^*}^k, \delta(m+1))$  if  $1 \leq k \leq n^*$ ,  $e \leq \mathbf{n}(\delta(m), \delta(m+1))$  and  $n = \mathbf{n}(\zeta_{\delta^*}^k, \delta(m))$ .

There is no problem in doing this. Now we can find  $\delta^{**} \in S^*$ ,  $\alpha(m^*) < \delta^{**} < \delta(m^*)$ , and

$$v_k = \langle H(\gamma_e(\delta(m^*), \zeta_{\delta^{**}}^k) : e < \mathbf{n}(\delta(m^*), \zeta_{\delta^{**}}^k) \rangle.$$

So for  $1 \leq k(1) \leq n^*$  and  $1 \leq k(2) \leq n^*$ , the sequence

$$\langle H(\gamma_e(\zeta_{\delta^*}^{k(1)}, \zeta_{\delta^{**}}^{k(2)})) : e < \mathbf{n}(\zeta_{\delta^*}^{k(1)}, \zeta_{\delta^{**}}^{k(2)}) \rangle$$

is equal to  $(v^{k(1)})^\wedge \rho^{[m^*]} \wedge v_{k(2)}$ .

By the demands on  $d$  (see 1.3), for some  $m^*$  and for every  $k(1), k(2) \in [1, n^*]$

$$d(v^{k(1)} \wedge \rho^{[m^*]} \wedge v_{k(2)}) = \lg(v^{k(1)}) + \lg(\rho).$$

So

$$e(\zeta_{\delta^*}^{k(1)}, \zeta_{\delta^{**}}^{k(2)}) = \lg(v^{k(1)}) + \lg(\rho)$$

(see the definition of  $e(\beta, \alpha)$  just before 1.3), and so

$$\gamma_e(\zeta_{\delta^*}^{k(1)}, \zeta_{\delta^{**}}^{k(2)}) (\zeta_{\delta^*}^{k(1)}, \zeta_{\delta^{**}}^{k(2)}) = \delta(1).$$

As  $\delta(1) \in T_{\eta \uparrow (m^* + 8 - 1)}$ , we have  $\delta(1) \in S^* \subseteq S_g$ , and also

$$g(\zeta_{\delta^*}^{k(1)}, \zeta_{\delta^{**}}^{k(2)}) = g(\delta(1)) = g$$

and

$$c(\zeta_{\delta^*}^{k(1)}, \zeta_{\delta^{**}}^{k(2)}) = g(A_{\zeta_{\delta^*}^{k(1)}} \cap w(g), A_{\zeta_{\delta^{**}}^{k(2)}} \cap w(g));$$

but by the choice of  $g$  it is  $h^*(k(1), k(2))$ , as required.

**1.4. THEOREM.** *Suppose  $\lambda$  is regular  $> 2^{\aleph_0}$ , and  $S \subseteq \{\delta < \lambda: \text{cf } \delta > \aleph_0\}$  is stationary and does not reflect. Then  $\text{Pr}_1(\lambda, \lambda)$ , where:*

**1.5. DEFINITION.**  $\text{Pr}_1(\lambda, \mu)$  holds if some  $c: \lambda \rightarrow \mu$  witnesses it, which means that  $c$  is a two place function from  $\lambda$  to  $\mu$ , and *if*  $n(*) < \omega$ ,  $\gamma < \mu$  for  $\alpha < \lambda$ ,  $\zeta_\alpha^1 < \dots < \zeta_\alpha^{n(*)} < \lambda$ , and the sets  $\{\zeta_\alpha^1, \dots, \zeta_\alpha^{n(*)}\}$  are pairwise disjoint, **then**, for some  $\alpha < \beta$ ,

$$1 \leq l \leq n(*) \wedge 1 \leq k \leq n(*) \Rightarrow c(\zeta_\beta^k, \zeta_\alpha^l) = \gamma.$$

**1.5A. REMARKS.** 1) Thus in 1.4 we have omitted the assumption  $(\exists \mu)(\mu < \lambda \leq 2^\mu)$ .

2) In the case not covered by 1.1, i.e.  $\lambda$  is strongly inaccessible, for every 2-place function  $c$  from  $\lambda$  to  $\lambda$ , we can find  $\zeta_\alpha = \langle \zeta_\alpha^i: i < \alpha \rangle$ ,  $\zeta_\alpha^i$  increasing in  $i$ , and  $\zeta_\alpha^i < \zeta_\beta^j$  for  $\alpha < \beta$ , such that

$$c(\zeta_\alpha^i, \zeta_\beta^{j(1)}) = c(\zeta_\alpha^i, \zeta_\beta^{j(2)})$$

when  $\alpha < \beta$ ,  $i < \alpha$ , and  $j(1) < j(2) < \beta$ , so the weakening of the conclusion is reasonable.

**PROOF.** We indicate the changes needed in the proof of 1.1. We omit  $G$  and  $A_\alpha$ ; we let  $g$  be a function from  $\lambda$  to  $\lambda$  such that, for every  $\alpha < \lambda$ ,  $S^\alpha = g^{-1}(\{\alpha\}) \cap S$  is stationary and defining the coloring  $c$ ; we omit  $g(\beta, \alpha)$ ; and we let

$$c(\beta, \alpha) = g(\gamma_\alpha(\beta, \alpha)).$$

Also, given  $\{\zeta_\alpha^1, \dots, \zeta_\alpha^{n(*)}\}$  and  $\gamma < \lambda$ , we can arrange without loss of generality that  $S^* = F^{-1}(\{\gamma\}) \cap S$  (instead of  $S^* = S_g$ ).

**1.6. DEFINITION.** We define  $\text{Pr}_0(\lambda, \mu, \theta)$  and  $\text{Pr}_1(\lambda, \mu, \theta)$  as in Definitions 1.2 and 1.5 respectively but with “ $n(*) < \omega$ ” replaced by “ $n(*) < \theta$ ” (so  $n(*)$  may be infinite, and  $\theta$  may be finite or infinite). Now we strengthen 1.4.

**1.7. THEOREM.** *If  $\lambda$  is regular,  $\theta > \aleph_0$  is regular,  $2^\theta < \lambda$ ,  $S$  is a stationary subset of  $\lambda$  which does not reflect, and  $(\forall \delta \in S)[\text{cf } \delta > \theta]$ , then  $\text{Pr}_1(\lambda, \lambda, \theta)$ .*

**PROOF.** The proof is similar to that of 1.4, but the range of  $H$  is  $\theta$ , and  ${}^\omega > \theta$  replaces  ${}^\omega > \omega$ .

**1.8. THEOREM.** *Suppose  $\lambda$  is regular,  $\lambda > \theta > \aleph_0$ ,  $\theta$  regular,  $\lambda > 2^\theta$ ,  $S \subseteq \{\delta < \lambda: \text{cf } \delta > \theta\}$  is stationary nonreflecting, and  $\mu = \mu^{< \theta} < \lambda \leq 2^\mu$ . Then  $\text{Pr}_0(\lambda, \lambda, \theta)$ .*

**PROOF.** Change the proof of 1.1 as in 1.7. Note that now the family of functions  $G$  is:

$\{g: \text{for some } w(G) \subseteq \mu \text{ of power } < \theta, g \text{ is a two-place function from a family of } < \theta \text{ subsets of } w(G) \text{ to } \lambda\}$ ,

and we complete  $g \in G$  to a function from the family of subsets of  $w(G)$  to  $\lambda$  by letting  $g(a, b) = 0$  when  $a, b \subseteq w(G)$ ,  $g(a, b)$  not defined.

**1.9. Conclusion.** *Suppose one of the following three conditions holds:*

- (i)  $\lambda$  is a successor of regular,  $\lambda > 2^{\aleph_0}$ , or
- (ii)  $\lambda$  is regular  $> 2^{\aleph_0}$  and  $S \subseteq \{\delta < \lambda: \text{cf } \delta > \aleph_0\}$  is stationary nonreflecting, or
- (iii)  $\text{Pr}_1(\lambda, 2)$ .

*Then there is a Boolean algebra  $B$  satisfying the  $\lambda$ -c.c. but for which  $B \times B$  does not satisfy the  $\lambda$ -c.c.*

**Proof.** By 1.4, (ii)  $\rightarrow$  (iii), and trivially (i)  $\rightarrow$  (ii). So assume  $\text{Pr}_1(\lambda, 2)$ , and let  $c$  be a two-place function from  $\lambda$  to  $\{0, 1\}$  exemplifying this. We define the two Boolean algebras,  $B_0$  and  $B_1$ . For  $e = 0, 1$ ,  $B_e$  is the Boolean algebra generated freely by

$\{x_\alpha^e: \alpha < \lambda\}$  except for the relations  $x_\alpha^e \cap x_\beta^e = 0$  when  $c(\beta, \alpha) = e$  ( $\alpha < \beta < \lambda$ ). Clearly in  $B_e$  the  $x_\alpha^e$ 's are distinct, and  $B_e \models x_\alpha^e \cap x_\beta^e = 0$  iff  $c(\beta, \alpha) = e$ . Now  $B_0 \times B_1$  does not satisfy the  $\lambda$ -c.c., as  $\{[x_\alpha^0, x_\alpha^1]: \alpha < \lambda\}$  exemplifies. Why does  $B_e$  satisfy the  $\lambda$ -c.c.? Suppose  $a_\zeta \in B_e$  for  $\zeta < \lambda$ ,  $a_\zeta \neq 0$ , and there are  $n(\zeta)$ ,  $\alpha(\zeta, 0) < \dots < \alpha(\zeta, n(\zeta)) < \lambda$ ,  $\sigma(\zeta, 0), \dots, \sigma(\zeta, n(\zeta)) \in \{0, 1\}$  and

$$y_{\zeta, m} = \begin{cases} x_{\alpha(\zeta, m)}^e & \text{if } \sigma(\zeta, m) = 0, \\ 1 - x_{\alpha(\zeta, m)}^e & \text{if } \sigma(\zeta, m) = 1, \end{cases}$$

such that

$$0 \neq \bigcap_{m=0}^{n(\zeta)} y_{\zeta, m} \subseteq a_\zeta.$$

Without loss of generality, for some  $m_0, n, \sigma(0), \dots, \sigma(n)$  and for every  $\zeta$  we take  $n(\zeta) = n$ ,  $\sigma(\zeta, m) = \sigma(m)$  for  $m \leq n$ ,  $\alpha(\zeta, m) = \alpha(0, m)$  for  $m < m_0$ , and  $\{\alpha(\zeta, m_0), \dots, \alpha(\zeta, n)\}$  are pairwise disjoint for  $\zeta < \lambda$ . As  $c$  exemplifies  $\text{Pr}_1(\lambda, 2)$ , for some  $\zeta < \xi < \lambda$

$$\bigwedge_{m=m_0}^n \bigwedge_{k=m_0}^n c(\alpha(\zeta, m), \alpha(\xi, k)) = 1 - e.$$

Clearly  $B_e \models a_\zeta \cap a_\xi \neq 0$ , and we are finished.

**1.10. Conclusion.** Suppose one of the following three conditions holds:

- (i)  $\lambda > 2^{\aleph_0}$  is a successor of regular, or
- (ii)  $\lambda > 2^{\aleph_0}$  is not strong limited and  $S \subseteq \{\delta < \lambda: \text{cf } \delta > \aleph_0\}$  is stationary but does not reflect, or
- (iii)  $\text{Pr}_0(\lambda, 2)$ .

Then there are Hausdorff topological spaces  $X_0$  and  $X_1$  of power  $\lambda$  with a basis of clopen sets, such that  $X_0$  is a  $\lambda$ -S-space and  $X_1$  a  $\lambda$ -L-space (i.e.  $X_0$  and every subspace of it of cardinality  $\lambda$  has a density character  $< \lambda$ , but  $X_0$  is not  $\lambda$ -Lindelof, whereas  $X_1$  and every subspace of it of cardinality  $\lambda$  is  $\lambda$ -Lindelof but  $X_1$  has density character  $\lambda$ ).

*Proof.* Let  $c$  exemplify  $\text{Pr}_0(\lambda, 2)$ , and for  $e = 0, 1$  let  $X_e$  be the Boolean algebra of clopen sets which is generated by  $\{u_\alpha^e: \alpha < \lambda\}$ , where

$$u_\alpha^0 = \{\beta: \alpha < \beta < \lambda \text{ and } c(\beta, \alpha) = 0\} \cup \{\alpha\},$$

$$u_\alpha^1 = \{\beta: \beta < \alpha \text{ and } c(\beta, \alpha) = 1\} \cup \{\alpha\}.$$

The conclusion is then immediate by 1.1; see e.g. Roitman [R], using 1.1.

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