

Monotone hulls for $\mathcal{N} \cap \mathcal{M}$

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Abstract Using the method of decisive creatures [see Kellner and Shelah (J Symb Log 74:73–104, 2009)] we show the consistency of “there is no increasing ω_2 -chain of Borel sets and $\text{non}(\mathcal{N}) = \text{non}(\mathcal{M}) = \text{non}(\mathcal{N} \cap \mathcal{M}) = \omega_2 = 2^\omega$ ”. Hence, consistently, there are no monotone Borel hulls for the ideal $\mathcal{M} \cap \mathcal{N}$. This answers Balcerzak and Filipczak (Math Log Q 57:186–193, 2011 [Questions 23, 24]). Next we use finite support iteration of ccc forcing notions to show that there may be monotone Borel hulls for the ideals \mathcal{M}, \mathcal{N} even if they are not generated by towers.

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1 Introduction

Brendle and Fuchino [4, Sect. 3] considered the following spectrum of cardinal numbers

$$\mathfrak{D}\mathfrak{D} = \{ \text{cf}(\text{otp}(\langle X, R \restriction X \rangle)) : R \subseteq {}^\omega 2 \times {}^\omega 2 \text{ is a projective binary relation,} \\ X \subseteq {}^\omega 2 \text{ and } R \cap X^2 \text{ is a well ordering of } X \}$$

Dedicated to László Fuchs for his ninetieth birthday.

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and they introduced a cardinal invariant $\mathfrak{d}_0 = \sup \mathfrak{D}\mathfrak{D}$. The invariant \mathfrak{d}_0 satisfies $\min\{\text{non}(\mathcal{I}), \text{cov}(\mathcal{I})\} \leq \mathfrak{d}_0$ for every ideal \mathcal{I} on \mathbb{R} with Borel basis (see [4, Lemma 3.6]). The proof of Kunen [9, Theorem 12.7] essentially shows that adding any number of Cohen (or random) reals to a model of CH results in a model in which $\mathfrak{d}_0 = \aleph_1$. Thus both

$$\begin{aligned} \text{non}(\mathcal{N}) = \text{cov}(\mathcal{M}) = \aleph_2 + \text{non}(\mathcal{M}) = \text{cov}(\mathcal{N}) = \mathfrak{d}_0 = \aleph_1, \text{ and} \\ \text{non}(\mathcal{M}) = \text{cov}(\mathcal{N}) = \aleph_2 + \text{non}(\mathcal{N}) = \text{cov}(\mathcal{M}) = \mathfrak{d}_0 = \aleph_1 \end{aligned}$$

are consistent (where \mathcal{M}, \mathcal{N} stand for the ideals of meager and null sets, respectively). This naturally leads to the question if

$$(\otimes) \text{ non}(\mathcal{M}) = \text{non}(\mathcal{N}) = \text{non}(\mathcal{N} \cap \mathcal{M}) = \aleph_2 + \mathfrak{d}_0 = \aleph_1 = \text{cov}(\mathcal{N}) = \text{cov}(\mathcal{M})$$

is consistent. In this note we show the consistency of (\otimes) using the method of *decisive creatures* developed in Kellner and Shelah [8], and this method is in turn a special case of the method of *norms on possibilities* of Roslanowski and Shelah [11].

Note that if there is a \subset -increasing κ -chain of Borel subsets of ${}^\omega 2$, then $\text{cf}(\kappa) \in \mathfrak{D}\mathfrak{D}$. (Just consider a relation R on ${}^\omega 2 \simeq {}^\omega 2 \times {}^\omega 2$ given by: $(x, y) R (x', y')$ if and only if “ y, y' are Borel codes and x belongs to the set coded by y' ”; cf. Elekes and Kunen [6, Lemma 2.4].) Thus if we set

$$\mathfrak{d}_B = \sup \{ \text{cf}(\gamma) : \text{there is a } \subset \text{-increasing chain of Borel subset of } \mathbb{R} \text{ of length } \gamma \}$$

then $\mathfrak{d}_B \leq \mathfrak{d}_0$. If \mathfrak{d}_B is smaller than the cofinality of the uniformity number $\text{non}(\mathcal{I})$ of a Borel ideal \mathcal{I} , then there is no monotone Borel hull operation on \mathcal{I} (see Elekes and Máthé [7, Theorem 2.1], Balcerzak and Filipczak [1, Theorem 5]). Thus

(\otimes) if \mathcal{I} is an ideal with Borel basis on \mathbb{R} , $\mathfrak{d}_B < \text{non}(\mathcal{I})$ and $\text{non}(\mathcal{I})$ is a regular cardinal, then there is no \subset -monotone mapping $\psi : \mathcal{I} \rightarrow \text{Borel}(\mathbb{R}) \cap \mathcal{I}$.

Therefore in our model for (\otimes) we will have (Corollary 4.2)

“there are no monotone Borel hull operations on the ideals \mathcal{M}, \mathcal{N} and $\mathcal{M} \cap \mathcal{N}$ ”.

This answers Balcerzak and Filipczak [1, Question 23].

We also obtain a positive result providing a new situation in which monotone hulls exist. Consistently, the ideals \mathcal{M}, \mathcal{N} do not possess tower-basis but they do admit monotone Borel hulls (Corollary 4.9). This model is obtained by finite support iterations of partial Amoeba for Category and Amoeba for Measure \mathbb{A} forcing notions.

Notation Most of our notation is standard and compatible with that of classical textbooks (like Bartoszyński and Judah [2]). However, in forcing we keep the older convention that a stronger condition is the larger one.

- For two sequences η, ν we write $\nu \triangleleft \eta$ whenever ν is a proper initial segment of η , and $\nu \trianglelefteq \eta$ when either $\nu \triangleleft \eta$ or $\nu = \eta$. The length of a sequence η is denoted by $\ell g(\eta)$. A *tree* is a family T of finite sequences closed under initial segments. For a tree T , the family of all ω -branches through T is denoted by $[T]$.
- The Cantor space ${}^\omega 2$ is the space of all functions from ω to 2, equipped with the product topology generated by sets of the form $[\nu] = \{\eta \in {}^\omega 2 : \nu \triangleleft \eta\}$ for $\nu \in {}^{<\omega} 2$. This space is also equipped with the standard product measure μ .
- For a forcing notion \mathbb{P} , all \mathbb{P} -names for objects in the extension via \mathbb{P} will be denoted with a tilde below (e.g. $\tilde{A}, \tilde{\eta}$). The canonical name for a \mathbb{P} -generic filter over \mathbf{V} is denoted $\tilde{G}_{\mathbb{P}}$. Our notation and terminology concerning creatures and forcing with creatures will be compatible with that in [8] (except of the reversed orders). While this is a slight departure

from the original terminology established for creature forcing in [11], the reader may find it more convenient when verifying the results on decisive creatures that are quoted in the next section.

2 Background on decisive creatures

As declared in the introduction, we will follow the notation and the context of [8] (which slightly differs from that of [11]). For reader's convenience we will recall here all relevant definitions and results from that paper.

Let $\mathbf{H} : \omega \rightarrow \mathcal{H}(\aleph_0)$ (where $\mathcal{H}(\aleph_0)$ is the family of all hereditarily finite sets). A *creating pair* for \mathbf{H} is a pair (\mathbf{K}, Σ) , where

- $\mathbf{K} = \bigcup_{n < \omega} \mathbf{K}(n)$, where each $\mathbf{K}(n)$ is a finite set; elements of \mathbf{K} are called *creatures*, each creature $c \in \mathbf{K}(n)$ has some norm $\text{nor}(c)$ (a non-negative real number) and a non-empty set of possible values $\text{val}(c) \subseteq \mathbf{H}(n)$,
- if $c \in \mathbf{K}(n)$, $\text{nor}(c) > 0$, then $|\text{val}(c)| > 1$
- $\Sigma : \mathbf{K} \rightarrow \mathcal{P}(\mathbf{K})$ is such that if $c \in \mathbf{K}(n)$ and $c' \in \Sigma(c)$, then $c' \in \mathbf{K}(n)$,
- $c \in \Sigma(c)$ and $c' \in \Sigma(c)$ implies $\Sigma(c') \subseteq \Sigma(c)$,
- if $c' \in \Sigma(c)$, then $\text{nor}(c') \leq \text{nor}(c)$ and $\text{val}(c') \subseteq \text{val}(c)$.

If $c \in \mathbf{K}$ and $x \in \mathbf{H}(n)$, then we write $x \in \Sigma(c)$ if and only if $x \in \text{val}(c)$. For $x \in \mathbf{H}(n)$ we also set $\Sigma(x) = \text{val}(x) = \{x\}$.

Definition 2.1 (See [8, Definitions 3.1, 4.1]) Let $0 < r \leq 1$, B, K, m be positive integers and (\mathbf{K}, Σ) be a creating pair for \mathbf{H} .

- (1) A creature c is r -halving if there is a half $(c) \in \Sigma(c)$ such that
 - $\text{nor}(\text{half}(c)) \geq \text{nor}(c) - r$, and
 - if $\mathfrak{d} \in \Sigma(\text{half}(c))$ and $\text{nor}(\mathfrak{d}) > 0$, then there is a $\mathfrak{d}' \in \Sigma(c)$ such that

$$\text{nor}(\mathfrak{d}') \geq \text{nor}(c) - r \quad \text{and} \quad \text{val}(\mathfrak{d}') \subseteq \text{val}(\mathfrak{d}).$$

$\mathbf{K}(n)$ is r -halving, if all $c \in \mathbf{K}(n)$ with $\text{nor}(c) > 1$ are r -halving.

- (2) A creature c is (B, r) -big if for every function $F : \text{val}(c) \rightarrow B$ there is a $\mathfrak{d} \in \Sigma(c)$ such that $\text{nor}(\mathfrak{d}) \geq \text{nor}(c) - r$ and the restriction $F \upharpoonright \text{val}(\mathfrak{d})$ is constant. We say that c is hereditarily (B, r) -big, if every $\mathfrak{d} \in \Sigma(c)$ with $\text{nor}(\mathfrak{d}) > 1$ is (B, r) -big. Also, $\mathbf{K}(n)$ is (B, r) -big if every $c \in \mathbf{K}(n)$ with $\text{nor}(c) > 1$ is (B, r) -big.
- (3) We say that c is (K, m, r) -decisive, if for some $\mathfrak{d}^-, \mathfrak{d}^+ \in \Sigma(c)$ we have: \mathfrak{d}^+ is hereditarily $(2^{K^m}, r)$ -big, and $|\text{val}(\mathfrak{d}^-)| \leq K$ and $\text{nor}(\mathfrak{d}^-), \text{nor}(\mathfrak{d}^+) \geq \text{nor}(c) - r$. The creature c is (m, r) -decisive if c is (K', m, r) -decisive for some K' .
- (4) $\mathbf{K}(n)$ is (m, r) -decisive if every $c \in \mathbf{K}(n)$ with $\text{nor}(c) > 1$ is (m, r) -decisive.

Lemma 2.2 (See [8, Lemma 4.3]) Assume that (\mathbf{K}, Σ) is a creating pair for \mathbf{H} , $k, m, t \geq 1$, $0 < r \leq 1$. Suppose that $\mathbf{K}(n)$ is (k, r) -decisive and $c_0, \dots, c_{k-1} \in \mathbf{K}(n)$ are hereditarily $(2^{m^t}, r)$ -big with $\text{nor}(c_i) > 1 + r \cdot (k - 1)$ (for each $i < k$). Let $F : \prod_{i < k} \text{val}(c_i) \rightarrow 2^{m^t}$. Then there are $\mathfrak{d}_0, \dots, \mathfrak{d}_{k-1} \in \mathbf{K}(n)$ such that:

$$\mathfrak{d}_i \in \Sigma(c_i), \quad \text{nor}(\mathfrak{d}_i) \geq \text{nor}(c_i) - r \cdot k, \quad \text{and} \quad F \upharpoonright \prod_{i \in k} \text{val}(\mathfrak{d}_i) \text{ is constant.}$$

A creating pair (\mathbf{K}, Σ) determines the forcing notion $\mathbb{Q}_\infty^*(\mathbf{K}, \Sigma)$ and its special product $\mathbb{P}_I(\mathbf{K}, \Sigma)$ as described by the following definition. (The forcing notion $\mathbb{P}_I(\mathbf{K}, \Sigma)$ is a relative of the CS product of $\mathbb{Q}_\infty^*(\mathbf{K}, \Sigma)$ indexed by the set I .)

Definition 2.3 (See [8, Definitions 2.1, 5.2, 5.3])

- (1) A condition in the forcing $\mathbb{Q}_\infty^*(\mathbf{K}, \Sigma)$ is an ω -sequence $p = \langle p(i) : i < \omega \rangle$ such that for some $n < \omega$ (called the trunk-length of p) we have $p(i) \in \mathbf{H}(i)$ if $i < n$, $p(i) \in \mathbf{K}(i)$ and $\text{nor}(p(i)) > 0$ if $i \geq n$, and $\lim_{i \rightarrow \infty} (\text{nor}(p(i))) = \infty$. The order on $\mathbb{Q}_\infty^*(\mathbf{K}, \Sigma)$ is defined by $q \geq p$ if and only if (both belong to $\mathbb{Q}_\infty^*(\mathbf{K}, \Sigma)$ and) $q(i) \in \Sigma(p(i))$ for all i .¹
- (2) Let I be a non-empty (index) set. A condition p in $\mathbb{P}_I(\mathbf{K}, \Sigma)$ consists of a countable subset $\text{dom}(p)$ of I , of objects $p(\alpha, n)$ for $\alpha \in \text{dom}(p)$, $n \in \omega$, and of a function $\text{trunklg}(p, \cdot) : \text{dom}(p) \rightarrow \omega$ satisfying the following demands for all $\alpha \in \text{dom}(p)$:
 - (α) If $n < \text{trunklg}(p, \alpha)$, then $p(\alpha, n) \in \mathbf{H}(n)$.
 - (β) If $n \geq \text{trunklg}(p, \alpha)$, then $p(\alpha, n) \in \mathbf{K}(n)$ and $\text{nor}(p(\alpha, n)) > 0$.
 - (γ) Setting $\text{supp}(p, n) = \{\alpha \in \text{dom}(p) : \text{trunklg}(p, \alpha) \leq n\}$, we have $|\text{supp}(p, n)| < n$ for all $n > 0$ and $\lim_{n \rightarrow \infty} (|\text{supp}(p, n)|/n) = 0$.
 - (δ) $\lim_{n \rightarrow \infty} (\min\{\text{nor}(p(\alpha, n)) : \alpha \in \text{supp}(p, n)\}) = \infty$.

The order on $\mathbb{P}_I(\mathbf{K}, \Sigma)$ is defined by $q \geq p$ if and only if (both belong to $\mathbb{P}_I(\mathbf{K}, \Sigma)$ and) $\text{dom}(q) \supseteq \text{dom}(p)$ and

- (ε) if $\alpha \in \text{dom}(p)$ and $n \in \omega$, then $q(\alpha, n) \in \Sigma(p(\alpha, n))$,
- (ζ) the set $\{\alpha \in \text{dom}(p) : \text{trunklg}(q, \alpha) \neq \text{trunklg}(p, \alpha)\}$ is finite.

Note that for $\alpha \in \text{dom}(p)$ the sequence $\langle p(\alpha, n) : n \in \omega \rangle$ is in $\mathbb{Q}_\infty^*(\mathbf{K}, \Sigma)$. However, $\mathbb{P}_I(\mathbf{K}, \Sigma)$ is not a subforcing of the CS product of I copies of $\mathbb{Q}_\infty^*(\mathbf{K}, \Sigma)$ because of a slight difference in the definition of the order relation.

Proposition 2.4 (See [8, Lemmas 5.4, 5.5])

- (1) If $J \subseteq I$, then $\mathbb{P}_J(\mathbf{K}, \Sigma) = \{p \in \mathbb{P}_I(\mathbf{K}, \Sigma) : \text{dom}(p) \subseteq J\}$ is a complete subforcing of $\mathbb{P}_I(\mathbf{K}, \Sigma)$.
- (2) Assume CH. Then $\mathbb{P}_I(\mathbf{K}, \Sigma)$ satisfies the \aleph_2 -chain condition.

Definition 2.5 (See [8, Definition 5.6])

- (1) For a condition $p \in \mathbb{P}_I(\mathbf{K}, \Sigma)$ we define²

$$\text{val}^\Pi(p, <n) = \prod_{\alpha \in \text{dom}(p)} \prod_{m < n} \text{val}(p(\alpha, m)).$$

- (2) If $w \subseteq \text{dom}(p)$ and $t \in \prod_{\alpha \in w} \prod_{m < n} \mathbf{H}(m)$, then $p \wedge t$ is defined by

$$\text{trunklg}(p \wedge t, \alpha) = \begin{cases} \max(\text{trunklg}(p, \alpha), n) & \text{if } \alpha \in w, \\ \text{trunklg}(p, \alpha) & \text{otherwise} \end{cases}$$

and

$$(p \wedge t)(\alpha, m) = \begin{cases} t(\alpha, m) & \text{if } m < n \text{ and } \alpha \in w, \\ p(\alpha, m) & \text{otherwise.} \end{cases}$$

- (3) If τ is a name of an ordinal, then we say that $p <n$ -decides τ , if for every $t \in \text{val}^\Pi(p, <n)$ the condition $p \wedge t$ forces a value to τ . The condition p essentially decides τ , if $p <n$ -decides τ for some n .

¹ Remember our convention that for $x, y \in \mathbf{H}(i)$ and $c \in \mathbf{K}(i)$ we write $x \in \Sigma(c)$ iff $x \in \text{val}(c)$, and $x \in \Sigma(y)$ iff $x = y$.

² Remember our convention that, for $x \in \mathbf{H}(i)$, $\text{val}(x) = \{x\}$.

- Proposition 2.6** (1) $p \wedge t \in \mathbb{P}_I(\mathbf{K}, \Sigma)$, and if $t \in \text{val}^\Pi(p, <n)$, then $p \wedge t \geq p$.
 (2) $\text{val}^\Pi(p, <n) \leq \prod_{m < n} |\mathbf{H}(m)|^m$.
 (3) $\{p \wedge t : t \in \text{val}^\Pi(p, <n)\}$ is predense above p

Theorem 2.7 (See [8, Theorems 5.8, 5.9]) Let $\varphi(<n) = \prod_{m < n} |\mathbf{H}(m)|^m$ and $0 < r(n) \leq 1/(n^2\varphi(<n))$. Assume that each $\mathbf{K}(n)$ is $(n, r(n))$ -decisive and $r(n)$ -halving (for $n \in \omega$).

- (1) The forcing notion $\mathbb{P}_I(\mathbf{K}, \Sigma)$ is proper and ${}^\omega\omega$ -bounding. If $|I| \geq 2$ and $\lambda = |I|^{\aleph_0}$, then $\mathbb{P}_I(\mathbf{K}, \Sigma)$ forces $|I| \leq 2^{\aleph_0} \leq \lambda$.
 (2) Moreover, if $\tau(n)$ is a $\mathbb{P}_I(\mathbf{K}, \Sigma)$ -name for an ordinal (for $n < \omega$) and $p \in \mathbb{P}_I(\mathbf{K}, \Sigma)$, then there is a condition $q \geq p$ which essentially decides all the names $\tau(n)$.
 (3) Assume, additionally, that each $\mathbf{K}(n)$ is $(g(n), r(n))$ -big, where $g \in {}^\omega\omega$ is strictly increasing. Suppose that $\nu(n)$ is a $\mathbb{P}_I(\mathbf{K}, \Sigma)$ -name and $p \in \mathbb{P}_I(\mathbf{K}, \Sigma)$ forces that $\nu(n) < 2^{g(n)}$ for all $n < \omega$. Then there is a $q \geq p$ which $<n$ -decides $\nu(n)$ for all n .

The next theorem is a consequence of (the proof of) [4, Corollaries 4.8(e), 3.9(b)]. However, the results in [4] are stated for products, while $\mathbb{P}_I(\mathbf{K}, \Sigma)$ is not exactly a product (though it does have all the required features). Therefore we will present the relatively simple proof of this result fully.

Theorem 2.8 Assume CH. Let r, φ, \mathbf{K} and Σ be as in the assumptions of Theorem 2.7. Then $\Vdash_{\mathbb{P}_I(\mathbf{K}, \Sigma)} \mathfrak{d}_0 = \mathfrak{d}_B = \aleph_1$.

Proof If $|I| \leq \aleph_1$, then $\Vdash_{\mathbb{P}_I(\mathbf{K}, \Sigma)} \text{CH}$, so let us assume $|I| \geq \aleph_2$.

Every bijection $\pi : I \xrightarrow{\text{onto}} I$ determines an automorphism $\tilde{\pi}$ of the forcing $\mathbb{P}_I(\mathbf{K}, \Sigma)$ in a natural way. Then, for $J \subseteq I$, $\tilde{\pi} \upharpoonright \mathbb{P}_J(\mathbf{K}, \Sigma)$ is an isomorphism from $\mathbb{P}_J(\mathbf{K}, \Sigma)$ onto $\mathbb{P}_{\pi \upharpoonright J}(\mathbf{K}, \Sigma)$. Also, π gives rise to a natural bijection from $\text{val}^\Pi(p, <n)$ onto $\text{val}^\Pi(\tilde{\pi}(p), <n)$; we will denote this mapping by $\tilde{\pi}$ as well.

Suppose that $\varphi(x, y, \tau)$ is a projective definition of a binary relation on ${}^\omega 2$, where τ is a $\mathbb{P}_I(\mathbf{K}, \Sigma)$ -name for a real parameter. Assume towards contradiction that there are $\mathbb{P}_I(\mathbf{K}, \Sigma)$ -names η_α (for $\alpha < \omega_2$) and a condition $p \in \mathbb{P}_I(\mathbf{K}, \Sigma)$ such that

- (i) $p \Vdash_{\mathbb{P}_I(\mathbf{K}, \Sigma)} \text{“} (\forall \alpha, \beta < \omega_2) (\varphi(\eta_\alpha, \eta_\beta, \tau) \Leftrightarrow \alpha < \beta) \text{”}$.

For each $\alpha < \omega_2$ choose a condition $p_\alpha \geq p$ which essentially decides all $\eta_\alpha(n)$ (for $n < \omega$). Then we may also pick an increasing sequence $\bar{N}^\alpha = \langle N_n^\alpha : n < \omega \rangle \subseteq \omega$ and a mapping $f_\alpha : \bigcup_{n < \omega} \text{val}^\Pi(p_\alpha, <N_n^\alpha) \rightarrow 2$ such that for each $t \in \text{val}^\Pi(p_\alpha, <N_n^\alpha)$ we have $(p_\alpha \wedge t) \Vdash \eta_\alpha(n) = f_\alpha(t)$.

By CH, we may use a standard Δ -system argument and the fact that $\mathbb{P}_I(\mathbf{K}, \Sigma)$ satisfies the \aleph_2 -cc (see 2.4) to choose $J \in [I]^{\aleph_1}$, $X \in [\omega_2]^{\aleph_2}$ and bijections $\pi_{\alpha, \beta} : \text{dom}(p_\alpha) \xrightarrow{\text{onto}} \text{dom}(p_\beta)$ such that

- (ii) $\text{dom}(p) \subseteq J$ and τ is a $\mathbb{P}_J(\mathbf{K}, \Sigma)$ -name, and for distinct $\alpha, \beta \in X$:
 (iii) $\text{dom}(p_\alpha) \cap \text{dom}(p_\beta) = \text{dom}(p_\alpha) \cap J$ and $\pi_{\alpha, \beta} \upharpoonright (\text{dom}(p_\alpha) \cap J)$ is the identity,
 (iv) $\tilde{\pi}_{\alpha, \beta}(p_\alpha) = p_\beta$, $N^\alpha = \bar{N}^\beta$, and $f_\alpha = f_\beta \circ \tilde{\pi}_{\alpha, \beta}$.

Pick $\alpha < \beta$ from X . Let π be a bijection from I onto I such that $\pi_{\alpha, \beta} \subseteq \pi$, $(\pi_{\alpha, \beta})^{-1} \subseteq \pi$ and $\pi \upharpoonright J$ is the identity. Then

- (v) $\tilde{\pi}(p_\alpha) = p_\beta$, $\tilde{\pi}(p_\beta) = p_\alpha$ and $\tilde{\pi}(\tau) = \tau$.

Note that $p_\alpha \cup p_\beta$ does not have to be a condition in $\mathbb{P}_I(\mathbf{K}, \Sigma)$ as the demand 2.3 (2) (γ) may fail. But extending finitely many trunks will easily resolve this problem and we get a condition q stronger than both p_α and p_β . We may even do this in such a manner that the condition q satisfies $\tilde{\pi}(q) = q$. Since $q \geq p_\alpha, p_\beta$, clause (iv) implies

(vi) $q \Vdash \tilde{\pi}(\eta_\alpha) = \eta_\beta \ \& \ \tilde{\pi}(\eta_\beta) = \eta_\alpha$.

Since $q \geq p$ and $\alpha < \beta$ we have $q \Vdash \varphi(\eta_\alpha, \eta_\beta, \tau)$. Applying the automorphism $\tilde{\pi}$ and remembering (vi) we conclude that then also $\tilde{\pi}(q) = q \Vdash \varphi(\eta_\beta, \eta_\alpha, \tau)$, contradicting (i). \square

3 Consistency of $\mathfrak{d} \circ < \text{non}(\mathcal{M} \cap \mathcal{N})$

Definition 3.1 Let $n < \omega$.

- (1) A *basic n -block* is a finite non-empty set B of functions from some non-empty $v \in [\omega]^{<\omega}$ to 2 (i.e., $B \subseteq {}^v 2$) such that $|B|/2^{|v|} < 2^{-n}$. If $\eta \in {}^{\omega>} 2 \cup {}^v 2$ and $B \subseteq {}^v 2$ is a basic block, then we write $\eta < B$ whenever $\eta \upharpoonright v \in B$. For an n -block $B \subseteq {}^v 2$ we set $v(B) = v$.
- (2) Let H_n be the family of all pairs (b, \mathcal{B}) such that b is a positive integer and \mathcal{B} is a non-empty finite set of basic n -blocks.
- (3) We define a function $\text{pnor} : H_n \rightarrow \omega$ by declaring inductively when $\text{pnor}(b, \mathcal{B}) \geq k$. We set $\text{pnor}(b, \mathcal{B}) \geq 0$ always, and then
 - $\text{pnor}(b, \mathcal{B}) \geq 1$ if and only if $(\forall F \in [{}^\omega 2]^b)(\exists B \in \mathcal{B})(\forall \eta \in F)(\eta < B)$,
 - $\text{pnor}(b, \mathcal{B}) \geq k + 1$ if and only if there are positive integers b_0, \dots, b_{M-1} and disjoint sets $\mathcal{B}_0, \dots, \mathcal{B}_{M-1} \subseteq \mathcal{B}$ such that
 - (α) $M > b^{k+1}, b_0 \geq b$ and
 - (β) $\text{pnor}(b_i, \mathcal{B}_i) \geq k$ and $(b_i)^2 \cdot 2^{|\mathcal{B}_i|^n} < b_{i+1}$ for all $i < M$.

Proposition 3.2 Let $n < \omega, (b, \mathcal{B}), (b', \mathcal{B}') \in H_n$.

- (1) $\text{pnor}(b, \mathcal{B}) \in \omega$ is well defined and $2^{\text{pnor}(b, \mathcal{B})} \leq |\mathcal{B}|$.
- (2) If $\mathcal{B} \subseteq \mathcal{B}'$ and $b' \leq b$, then $\text{pnor}(b, \mathcal{B}) \leq \text{pnor}(b', \mathcal{B}')$.
- (3) For each N there is $(b^*, \mathcal{B}^*) \in H_n$ such that

$$b^* \geq N \text{ and } \text{pnor}(b^*, \mathcal{B}^*) \geq N \text{ and } \min(v(B)) > N \text{ for all } B \in \mathcal{B}^*.$$

- (4) If $\text{pnor}(b, \mathcal{B}) \geq k + 1 \geq 2$ and $c : \mathcal{B} \rightarrow \{0, \dots, b - 1\}$, then for some $\ell < b$ we have $\text{pnor}(b, c^{-1}[\{\ell\}]) \geq k$.

Proof (1,2) Easy induction on $\text{pnor}(b, \mathcal{B})$.

(3) Note that if $w \in [\omega]^{<\omega}, 2^n \cdot N < 2^{|w|}$ and \mathcal{B}_w consists of all basic n -blocks B with $v(B) = w$, then $\text{pnor}(N, \mathcal{B}_w) \geq 1$. Now proceed inductively.

(4) Induction on $k \geq 1$. Assume $\text{pnor}(b, \mathcal{B}) \geq 2$ and $c : \mathcal{B} \rightarrow b$. We claim that for some $\ell < b$ we have $\text{pnor}(b, c^{-1}[\{\ell\}]) \geq 1$. If not, then for each $\ell < b$ we may choose $F_\ell \in [{}^\omega 2]^b$ such that

$$(\forall B \in \mathcal{B})(\exists \eta \in F_\ell)(c(B) = \ell \Rightarrow \eta \not< B).$$

Set $F = \bigcup_{\ell < b} F_\ell$. Let $b_0, \dots, b_{M-1}, \mathcal{B}_0, \dots, \mathcal{B}_{M-1}$ witness $\text{pnor}(b, \mathcal{B}) \geq 2$, in particular, $b_1 > b^2$ and $\text{pnor}(b_1, \mathcal{B}_1) \geq 1$. Since $|F| \leq b^2$ we conclude that there is $B \in \mathcal{B}_1$ such that $(\forall \eta \in F)(\eta < B)$. Then B contradicts the choice of $F_{c(B)}$.

Now, for the inductive step, assume our statement holds for k . Let $\text{pnor}(b, \mathcal{B}) \geq k + 2$ and $c : \mathcal{B} \rightarrow \{0, \dots, b - 1\}$. Let $\{(b_i, \mathcal{B}_i) : i < M\}$ witness $\text{pnor}(b, \mathcal{B}) \geq (k + 1) + 1$, so $M > b^{k+2}$ and $\text{pnor}(b_i, \mathcal{B}_i) \geq k + 1$ and $b_i \geq b$. For each $i < M$ apply the inductive hypothesis to choose $\ell_i < b$ such that $\text{pnor}(b_i, \mathcal{B}_i \cap c^{-1}[\{\ell_i\}]) \geq k$. Choose $\ell^* < b$ such that $|\{i < M : \ell^* = \ell_i\}| > b^{k+1}$. Then $\{(b_i, \mathcal{B}_i \cap c^{-1}[\{\ell_i\}]) : \ell_i = \ell^*\}$ witnesses that $\text{pnor}(b, c^{-1}[\{\ell^*\}]) \geq k + 1$. \square

Now, by induction on $n < \omega$ we define the following objects

$$(\oplus)_n \varphi_{\mathbf{H}^*}(<n), r_{\mathbf{H}^*}(n), a(n), N_n, g(n), \mathbf{H}^*(n), \mathbf{K}^*(n), \Sigma^*|\mathbf{K}^*(n), \varphi_{\mathbf{H}^*}(=n).$$

We start with stipulating $N_0 = 0$, $\varphi_{\mathbf{H}^*}(<0) = 1$.

Assume we have defined objects listed in $(\oplus)_k$ for $k < n$, and that we also have defined integers $N_n, \varphi_{\mathbf{H}^*}(<n)$. We set

$$(i) \ g(n) = 2^{N_n} + \varphi_{\mathbf{H}^*}(<n), r_{\mathbf{H}^*}(n) = \frac{1}{(n+2)^2 \varphi_{\mathbf{H}^*}(<n)} \text{ and } a(n) = 2^{1/r_{\mathbf{H}^*}(n)}.$$

Choose $(b^*, \mathcal{B}^*) \in H_n$ such that

$$(ii) \ b^* > g(n), \min(v(B)) > N_n \text{ for all } B \in \mathcal{B}^* \text{ and } \text{pnor}(b^*, \mathcal{B}^*) > a(n)^{n+972}$$

[possible by 3.2 (3)]. Set

$$(iii) \ N_{n+1} = \max(\bigcup\{v(B) : B \in \mathcal{B}^*\}) + 1.$$

We let $\mathbf{H}^*(n)$ be the set of all basic n -blocks B such that $v(B) \subseteq [N_n, N_{n+1})$, and $\mathbf{K}^*(n)$ consist of all triples $c = (k^c, b^c, \mathcal{B}^c)$ such that

$$(b^c, \mathcal{B}^c) \in H_n, \mathcal{B}^c \subseteq \mathbf{H}^*(n), b^c > g(n), \text{ and } k^c \in \omega, k^c < \text{pnor}(b^c, \mathcal{B}^c) - 1.$$

For $c \in \mathbf{K}^*(n)$ we set

$$(iv) \ \text{nor}(c) = \log_{a(n)}(\text{pnor}(b^c, \mathcal{B}^c) - k^c), \text{val}(c) = \mathcal{B}^c \text{ and } \Sigma^*(c) = \{\vartheta \in \mathbf{K}^*(n) : k^c \leq k^\vartheta, b^c \leq b^\vartheta, \mathcal{B}^\vartheta \subseteq \mathcal{B}^c\}.$$

Finally, we put $\varphi_{\mathbf{H}^*}(=n) = |\mathbf{H}^*(n)|^n$ and $\varphi_{\mathbf{H}^*}(<n+1) = \varphi_{\mathbf{H}^*}(<n) \cdot \varphi_{\mathbf{H}^*}(=n)$. This completes our inductive definition.

Proposition 3.3 (\mathbf{K}^*, Σ^*) is a creating pair for \mathbf{H}^* such that, for each $n < \omega$, $\mathbf{K}^*(n)$ is $(n, r_{\mathbf{H}^*}(n))$ -decisive, $r_{\mathbf{H}^*}(n)$ -halving and $(g(n), r_{\mathbf{H}^*}(n))$ -big.

Proof To verify halving, for each $c \in \mathbf{K}^*(n)$ with $\text{nor}(c) > 1$ set

$$\text{half}(c) = (k^c + \lfloor \frac{1}{2}(\text{pnor}(b^c, \mathcal{B}^c) - k^c) \rfloor, b^c, \mathcal{B}^c).$$

Note that $\text{nor}(c) > 1$ implies $\text{pnor}(b^c, \mathcal{B}^c) - k^c > 2$ and hence

$$k^c + \lfloor \frac{1}{2}(\text{pnor}(b^c, \mathcal{B}^c) - k^c) \rfloor < \text{pnor}(b^c, \mathcal{B}^c) - 1.$$

Therefore, $\text{half}(c) \in \Sigma^*(c)$ and $\text{nor}(\text{half}(c)) \geq \text{nor}(c) - r_{\mathbf{H}^*}(n)$. Now suppose $\vartheta \in \Sigma^*(\text{half}(c))$, so $k^c + \lfloor \frac{1}{2}(\text{pnor}(b^c, \mathcal{B}^c) - k^c) \rfloor \leq k^\vartheta$, $b^c \leq b^\vartheta$ and $\mathcal{B}^\vartheta \subseteq \mathcal{B}^c$. Also, $k^\vartheta < \text{pnor}(b^\vartheta, \mathcal{B}^\vartheta) - 1$, so $\text{pnor}(b^\vartheta, \mathcal{B}^\vartheta) > k^c + \lfloor \frac{1}{2}(\text{pnor}(b^c, \mathcal{B}^c) - k^c) \rfloor + 1$. Consider $\vartheta' = (k^c, b^\vartheta, \mathcal{B}^\vartheta)$. Plainly $\vartheta' \in \Sigma^*(c)$, $\text{val}(\vartheta') \subseteq \text{val}(\vartheta)$ and

$$\begin{aligned} \text{nor}(\vartheta') &\geq \log_{a(n)}\left(\lfloor \frac{1}{2}(\text{pnor}(b^c, \mathcal{B}^c) - k^c) \rfloor + 1\right) \geq \log_{a(n)}\left(\frac{1}{2}(\text{pnor}(b^c, \mathcal{B}^c) - k^c)\right) \\ &= \text{nor}(c) - r_{\mathbf{H}^*}(n). \end{aligned}$$

It follows from 3.2(4) that

(*) if $c \in \mathbf{K}^*(n)$, $\text{nor}(c) > r_{\mathbf{H}^*}(n)$, then c is $(b^c, r_{\mathbf{H}^*}(n))$ -big.

Hence $\mathbf{K}^*(n)$ is $(g(n), r_{\mathbf{H}^*}(n))$ -big (remember the definition of $\mathbf{K}^*(n)$).

Now suppose $c \in \mathbf{K}^*(n)$, $\text{nor}(c) > 1$. Then $\text{pnor}(b^c, \mathcal{B}^c) - k^c > 2$, so by the definition of pnor [see 3.1(3)] we may find $b^c \leq b_0 < b_1 < \dots < b_{M-1}$ and disjoint $\mathcal{B}_0, \dots, \mathcal{B}_{M-1} \subseteq \mathcal{B}^c$ such that $\text{pnor}(b_i, \mathcal{B}_i) \geq \text{pnor}(b^c, \mathcal{B}^c) - 1$ and $(b_i)^2 \cdot 2^{|\mathcal{B}_i|^n} < b_{i+1}$. Set

$$\mathfrak{d}^- = (k^c, b_0, \mathcal{B}_0), \quad \mathfrak{d}^+ = (k^c, b_1, \mathcal{B}_1), \quad \text{and } K = |\mathcal{B}_0|.$$

Plainly, $\mathfrak{d}^-, \mathfrak{d}^+ \in \Sigma(c)$, $\min\{\text{nor}(\mathfrak{d}^-), \text{nor}(\mathfrak{d}^+)\} \geq \text{nor}(c) - r_{\mathbf{H}^*}(n) > r_{\mathbf{H}^*}(n)$ and $|\text{val}(\mathfrak{d}^-)| = K$. Also \mathfrak{d}^+ is hereditarily $(2^{K^n}, r_{\mathbf{H}^*}(n))$ -big (remember $b_1 > 2^{K^n}$, use (*)). Thus $\mathfrak{d}^-, \mathfrak{d}^+$ witness that c is $(K, n, r_{\mathbf{H}^*}(n))$ -decisive. □

Definition 3.4 (1) For a cardinal λ we consider the forcing notion $\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)$ determined by the creating pair (\mathbf{K}^*, Σ^*) as in 2.3(2). For $\alpha < \lambda$, a $\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)$ -name ρ_α is defined by

$$\Vdash_{\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)} \rho_\alpha = \bigcup \{p(\alpha, n) : \alpha \in \text{dom}(p) \ \& \ n < \text{trunklg}(p, \alpha) \ \& \ p \in \mathcal{G}_{\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)}\}.$$

(2) For $\rho \in \prod_{n < \omega} \mathbf{H}^*(n)$ we set $F(\rho) = \{\eta \in {}^\omega 2 : (\forall^\infty n < \omega)(\eta \restriction n < \rho(n))\}$.

Plainly, for each $\alpha < \lambda$, $\Vdash_{\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)} \rho_\alpha \in \prod_{n < \omega} \mathbf{H}^*(n)$. Also, for $\rho \in \prod_{n < \omega} \mathbf{H}^*(n)$, the set $F(\rho)$ is a meager and null Σ_2^0 -subset of ${}^\omega 2$.

Theorem 3.5 Assume CH. Let λ be an uncountable cardinal, $\lambda = \lambda^{\aleph_0}$.

- (1) Forcing with $\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)$ preserves cardinalities and cofinalities and $\Vdash_{\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)} "2^{\aleph_0} = \lambda"$.
- (2) If $\beta < \lambda$ and \mathfrak{y} is a $\mathbb{P}_{\lambda \setminus \{\beta\}}(\mathbf{K}^*, \Sigma^*)$ -name for a member of ${}^\omega 2$, then $\Vdash_{\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)} "\mathfrak{y} \in F(\rho_\beta)"$.
- (3) Consequently, $\Vdash_{\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)} " \text{non}(\mathcal{N}) = \text{non}(\mathcal{M}) = \lambda "$.

Proof (1) It follows from 3.3 + 2.4(2) + 2.7.

(2) The proof is parallel to that of [8, Lemma 9.1]. Assume $p \in \mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)$. Remembering 2.4(1) we may use 2.7(3) to find a condition $q \geq p$ such that

- (*)₁ the condition $q \restriction (\lambda \setminus \{\beta\}) < n$ -decides the value of $\mathfrak{y} \restriction N_n$ (for each n), and
- (*)₂ $\text{trunklg}(q, \alpha) \geq 972$ for all $\alpha \in \text{dom}(q)$ and $\text{nor}(q(\alpha, m)) \geq 972$ whenever $\alpha \in \text{supp}(q, m)$, and
- (*)₃ $\beta \in \text{dom}(q)$ and if $\text{supp}(q, m) \neq \emptyset$, then $|\text{supp}(q, m)| \geq 972$.

Thus, for each n , we have a mapping $E_n : \text{val}^\Pi(q \restriction (\lambda \setminus \{\beta\}), < n) \longrightarrow {}^{N_n} 2$ such that

$$(q \restriction (\lambda \setminus \{\beta\})) \wedge t \Vdash_{\mathbb{P}_{\lambda \setminus \{\beta\}}(\mathbf{K}^*, \Sigma^*)} "\mathfrak{y} \restriction N_n = E_n(t)".$$

We will further strengthen q to a condition q^* such that $\text{dom}(q^*) = \text{dom}(q)$ and

(*)^{goal} for all $n \geq \text{trunklg}(q^*, \beta)$ and $t \in \text{val}^\Pi(q^* \restriction (\lambda \setminus \{\beta\}), < (n + 1))$ we have

$$(\forall B \in q^*(\beta, n))(E_{n+1}(t) < B).$$

Then clearly we will have $q^* \Vdash_{\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)} "\mathfrak{y} \in F(\rho_\beta)"$ and the proof of 3.5(2) will follow by the standard density argument.

To construct the condition q^* we set $\text{dom}(q^*) = \text{dom}(q)$, $\text{trunklg}(q^*, \alpha) = \text{trunklg}(q, \alpha)$, and we define $q^*(\alpha, m)$ by induction on m so that:

$q^*(\alpha, m) = q(\alpha, m)$ whenever $\alpha \notin \text{supp}(q, m)$ or $\beta \notin \text{supp}(q, m)$, and
 $q^*(\alpha, m) \in \Sigma^*(q(\alpha, m))$, $\text{nor}(q^*(\alpha, m)) \geq \text{nor}(q(\alpha, m)) - 2$ for $\alpha \in \text{supp}(q, m)$.

These demands guarantee that q^* is a condition in $\mathbb{P}_\lambda(\mathbf{K}^*, \Sigma^*)$ stronger than q .

Fix an $n \geq \text{trunklg}(q, \beta)$. Put $A = \text{supp}(q, n)$ and note that $\beta \in A$, A has at least 972 elements (remember $(*)_3$), and $|A| < n$ (by 2.3(2)(γ)).

Set $c_\alpha^0 = q(\alpha, n)$ for $\alpha \in A$.

We choose inductively an enumeration $\{\alpha_0, \dots, \alpha_{|A|-1}\}$ of A and creatures $c_{\alpha_k}^\ell$ (for $\ell \leq k < |A|$) and ∂_{α_k} from $\Sigma^*(c_{\alpha_k}^0)$. So assume that for some $\ell \geq 0$ we already have defined a list $\{\alpha_k : k < \ell\}$ of distinct elements of A and creatures c_α^ℓ for $\alpha \in A \setminus \{\alpha_0, \dots, \alpha_{\ell-1}\}$. Each c_α^ℓ is $(K_\alpha^\ell, n, r_{\mathbf{H}^*}(n))$ -decisive for some K_α^ℓ . Put $K_\ell = \min(\{K_\alpha^\ell : \alpha \in A \setminus \{\alpha_0, \dots, \alpha_{\ell-1}\}\})$, and choose α_ℓ such that $K_{\alpha_\ell}^\ell = K_\ell$. Let $\partial_{\alpha_\ell} \in \Sigma^*(c_{\alpha_\ell}^\ell)$ be such that $|\text{val}(\partial_{\alpha_\ell})| \leq K_\ell$ and $\text{nor}(\partial_{\alpha_\ell}) \geq \text{nor}(c_{\alpha_\ell}^\ell) - r_{\mathbf{H}^*}(n)$. For $\alpha \in A \setminus \{\alpha_0, \dots, \alpha_\ell\}$, let $c_\alpha^{\ell+1} \in \Sigma^*(c_\alpha^\ell)$ be hereditarily $(2^{(K_\ell)^n}, r_{\mathbf{H}^*}(n))$ -big and such that $\text{nor}(c_\alpha^{\ell+1}) \geq \text{nor}(c_\alpha^\ell) - r_{\mathbf{H}^*}(n)$. Iterate this procedure $|A| - 1$ times. At the end, there remains one α that has not been listed as an α_ℓ , so we set $\alpha_{|A|-1} = \alpha$ and $\partial_{\alpha_{|A|-1}} = c_\alpha^{|A|-1}$.

Since $c_{\alpha_{\ell+1}}^{\ell+1}$ is hereditarily $(2^{(K_\ell)^n}, r_{\mathbf{H}^*}(n))$ -big, we see that $2^{(K_\ell)^n} < K_{\ell+1}$. Let m be such that $\beta = \alpha_m$, and put

$$K = K_m, \quad S = \{\alpha_\ell : \ell < m\}, \quad L = \{\alpha_\ell : \ell > m\}.$$

It is possible that (at most) one of the sets S, L is empty. By our choices,

- $(*)_4$ (a) $\partial_\alpha \in \Sigma^*(q(\alpha, n))$, $\text{nor}(\partial_\alpha) \geq \text{nor}(q(\alpha, n)) - (n-1) \cdot r_{\mathbf{H}^*}(n) > 900$, and
 (b) if $S \neq \emptyset$ then ∂_β is $(2^{(K_{m-1})^n}, r_{\mathbf{H}^*}(n))$ -big and hence in particular $(K_{m-1})^{n-2} < K$;
 if $S = \emptyset$ then $K = K_0$,
 (c) $\prod_{\alpha \in S} |\text{val}(\partial_\alpha)| \leq (K_{m-1})^{n-2} < K$ and $|\text{val}(\partial_\beta)| \leq K$,
 (d) $\varphi_{\mathbf{H}^*}(<n) < K_0 \leq K$ (remember that $\mathbf{K}(n)$ is $(g(n), r_{\mathbf{H}^*}(n))$ -big and $g(n) > \varphi_{\mathbf{H}^*}(<n)$),
 (e) if $\alpha \in L$, then ∂_α is $(2^{K^n}, r_{\mathbf{H}^*}(n))$ -big.

Let $Z = \{t \in \text{val}^\Pi(q \upharpoonright (\lambda \setminus \{\beta\}), <n+1) : t(\alpha, n) \in \text{val}(\partial_\alpha) \text{ for } \alpha \in A \setminus \{\beta\}\}$ and for $s \in \prod_{\alpha \in L} \text{val}(\partial_\alpha)$ let $Z_s = \{t \in Z : t(\alpha, n) = s(\alpha) \text{ for } \alpha \in L\}$. Next, for $t \in Z$ put $C_t = \{B \in \mathcal{B}^{\partial_\beta} : E_{n+1}(t) \not\prec B\}$.

If $S = \emptyset$, then in what follows ignore $\prod_{\alpha \in S} \text{val}(\partial_\alpha)$ and set $K_{m-1} = 1$. Assume L is non-empty (otherwise move to $(*)_6$). For each $s \in \prod_{\alpha \in L} \text{val}(\partial_\alpha)$ consider a function

$$c(s) : \text{val}^\Pi(q \upharpoonright (\lambda \setminus \{\beta\}), <n) \times \prod_{\alpha \in S} \text{val}(\partial_\alpha) \longrightarrow \mathcal{P}(\text{val}(\partial_\beta))$$

such that $c(s)(t_0, t_1) = C_{t_0 \hat{\sim} t_1 \hat{\sim} s}$, where $t_0 \hat{\sim} t_1 \hat{\sim} s \in Z_s$ is obtained by natural concatenation. This determines a coloring c on $\prod_{\alpha \in L} \text{val}(\partial_\alpha)$ with the range of size at most

$$\left(2^K\right)^{\varphi_{\mathbf{H}^*}(<n) \cdot (K_{m-1})^{n-2}} \leq \left(2^K\right)^{K \cdot K} = 2^{K^3} < 2^{K^n}.$$

Since $\mathbf{K}^*(n)$ is $(n, r_{\mathbf{H}^*}(n))$ -decisive, and each ∂_α is hereditarily $(2^{K^n}, r_{\mathbf{H}^*}(n))$ -big (for $\alpha \in L$), $\text{nor}(\partial_\alpha) > 900$ and $|L| \leq n - 2$, therefore we may use Lemma 2.2 to find $q^*(\alpha, n) \in \Sigma^*(\partial_\alpha)$ for $\alpha \in L$ such that

- (*)₅ (a) $\text{nor}(q^*(\alpha, n)) \geq \text{nor}(\partial_\alpha) - r_{\mathbf{H}^*}(n) \cdot n \geq \text{nor}(q(\alpha, n)) - 2$, and
 (b) $c \upharpoonright \prod_{\alpha \in L} \text{val}(q^*(\alpha, n))$ is constant.

If $L = \emptyset$ then the procedure described above is not needed. In any case, letting

$$X = \text{val}^\Pi(q \upharpoonright (\lambda \setminus \{\beta\}), <n) \times \prod_{\alpha \in S} \text{val}(\partial_\alpha),$$

we have a mapping $d : X \rightarrow \mathcal{P}(\text{val}(\partial_\beta))$ and $q^*(\alpha, n)$ for $\alpha \in L$ such that

- (*)₆ if $t \in Z$ and $t(\alpha, n) \in \text{val}(q^*(\alpha, n))$ for $\alpha \in L$, then $C_t = d(t_0, t_1)$, where $t_0 = t \upharpoonright ((\text{dom}(q) \setminus \{\beta\}) \times n) \in \text{val}^\Pi(q \upharpoonright (\lambda \setminus \{\beta\}), <n)$ and $t_1 = t \upharpoonright (S \times \{n\}) \in \prod_{\alpha \in S} \text{val}(\partial_\alpha)$.

For each $(t_0, t_1) \in X$ fix one $t = t[t_0, t_1] \in Z$ such that $t(\alpha, n) \in \text{val}(q^*(\alpha, n))$ for $\alpha \in L$, $t_0 = t \upharpoonright ((\text{dom}(q) \setminus \{\beta\}) \times n)$ and $t_1 = t \upharpoonright (S \times \{n\})$. Now, for $B \in \text{val}(\partial_\beta)$ we (try to) choose $(t_0^B, t_1^B) \in X$ such that $B \in C_{t[t_0^B, t_1^B]}$, if possible. Consider a coloring $e : \text{val}(\partial_\beta) \rightarrow {}^{N_{n+1}2} \cup \{*\}$ defined by

$$e(B) = \begin{cases} E_{n+1}(t[t_0^B, t_1^B]) & \text{if } (t_0^B, t_1^B) \in X \text{ is defined,} \\ * & \text{otherwise.} \end{cases}$$

Since $|X| \leq \varphi_{\mathbf{H}^*}(<n) \cdot (K_{m-1})^{n-2} \leq \max\{(K_{m-1})^{n-1}, \varphi_{\mathbf{H}^*}(<n)\}$, we know that the range of the coloring e has at most $\max\{(K_{m-1})^{n-1}, \varphi_{\mathbf{H}^*}(<n)\} + 1$ members. Thus ∂_β is $(|\text{rng}(e)|, r_{\mathbf{H}^*}(n))$ -big and we may choose $q^*(\beta, n) \in \Sigma^*(\partial_\beta)$ such that $\text{nor}(q^*(\beta, n)) \geq \text{nor}(\partial_\beta) - r_{\mathbf{H}^*}(n) \geq \text{nor}(q(\alpha, n)) - 2 > 900$ and $e \upharpoonright \text{val}(q^*(\alpha, n))$ is constant. If the constant value were $\eta \in {}^{N_{n+1}2}$, then we would have $\eta \neq B$ for all $B \in \text{val}(q^*(\alpha, n))$, contradicting $\text{nor}(q^*(\beta, n)) > 0$. Therefore,

- (*)₇ (t_0^B, t_1^B) is defined for no $B \in \text{val}(q^*(\beta, n))$ and hence

$$\text{val}(q^*(\beta, n)) \cap \bigcup \{C_{t[t_0, t_1]} : (t_0, t_1) \in X\} = \emptyset.$$

For $\alpha \in S$ we set $q^*(\alpha, n) = \partial_\alpha$. Now note that

- (*)₈ if $t \in Z$ is such that $t(\alpha, n) \in q^*(\alpha, n)$ for $\alpha \in S \cup L$ and $B \in \text{val}(q^*(\beta, n))$, then $E_{n+1}(t) < B$.

Why? Assume towards contradiction that $E_{n+1}(t) \not< B$, i.e., $B \in C_t$. Represent t as $t = t_0 \widehat{\cap} t_1 \widehat{\cap} s$ where $(t_0, t_1) \in X$. Then $C_t = C_{t[t_0, t_1]}$ (by (*)₆) and therefore $B \in C_{t[t_0, t_1]}$, contradicting (*)₇.

This completes the definition of q^* . It follows from (*)₈ (for $n \geq \text{trunklg}(q^*, \beta)$) that (*)^{goal} is satisfied.

- (3) Follows from (2) and the fact that $F(\rho) \in \mathcal{N} \cap \mathcal{M}$ for $\rho \in \prod_{n < \omega} \mathbf{H}(m)$. \square

Corollary 3.6 *It is consistent that*

$$\text{non}(\mathcal{N}) = \text{non}(\mathcal{M}) = \text{non}(\mathcal{N} \cap \mathcal{M}) = \aleph_2 = 2^{\aleph_0} \text{ and } \partial_0 = \aleph_1.$$

Proof Start with a model of CH and force with $\mathbb{P}_{\aleph_2}(\mathbf{K}^*, \Sigma^*)$. It follows from 3.5 to 2.8 that the resulting model is as required. \square

In models for the statement in Corollary 3.6 necessarily $\text{cov}(\mathcal{N}) = \text{cov}(\mathcal{M}) = \aleph_1$. However, it is not clear if we could not get a parallel result for $\mathfrak{d}_{\mathcal{B}}$ and cov .

Problem 3.7 *Is it consistent that*

$$\text{cov}(\mathcal{N}) = \text{cov}(\mathcal{M}) = \aleph_2 = 2^{\aleph_0} \text{ and } \mathfrak{d}_{\mathcal{B}} = \aleph_1 ?$$

In particular, is it consistent that $\mathfrak{d}_0 > \mathfrak{d}_{\mathcal{B}}$?

Directly from 3.6 we also obtain

Corollary 3.8 *It is consistent that $\text{non}(\mathcal{N} \cap \mathcal{M}) = \aleph_2$ and there is no \subseteq -increasing chain of Borel subset of ${}^\omega 2$ of length ω_2 .*

4 Monotone hulls

The interest in Corollary 3.8 came from the questions concerning Borel hulls.

Definition 4.1 Let $\text{Borel}({}^\omega 2)$ be the family of all Borel subsets of ${}^\omega 2$, \mathcal{I} be a σ -ideal on ${}^\omega 2$ with Borel basis and $\mathcal{S}_{\mathcal{I}}$ be the σ -algebra of subsets of ${}^\omega 2$ generated by $\text{Borel}({}^\omega 2) \cup \mathcal{I}$. Let $\mathcal{F} \subseteq \mathcal{S}_{\mathcal{I}}$. A *monotone Borel hull* on \mathcal{F} with respect to \mathcal{I} is a mapping $\psi : \mathcal{F} \rightarrow \text{Borel}({}^\omega 2)$ such that

- $A \subseteq \psi(A)$ and $\psi(A) \setminus A \in \mathcal{I}$ for all $A \in \mathcal{F}$, and
- if $A_1 \subseteq A_2$ are from \mathcal{F} , then $\psi(A_1) \subseteq \psi(A_2)$.

If the range of ψ consists of sets of some Borel class \mathcal{K} , then we say that ψ is a monotone \mathcal{K} hull operation.

As discussed in Balcerzak and Filipczak [1, Question 24], 3.8 implies the following.

Corollary 4.2 *It is consistent that*

- *there are no monotone Borel hulls on \mathcal{M} with respect to \mathcal{M} , and*
- *there are no monotone Borel hulls on \mathcal{N} with respect to \mathcal{N} , and*
- *there are no monotone Borel hulls on $\mathcal{M} \cap \mathcal{N}$ with respect to $\mathcal{M} \cap \mathcal{N}$.*

The non-existence of monotone Borel hulls on \mathcal{I} implies non-existence of such hulls on $\mathcal{S}_{\mathcal{I}}$. While some partial results were presented in [7] and [1], not much is known about the converse implication.

Problem 4.3 (Cf. Balcerzak and Filipczak [1, Question 26]) *Let $\mathcal{I} \in \{\mathcal{M}, \mathcal{N}\}$. Is it consistent that there exists a monotone Borel hull on \mathcal{I} (with respect to \mathcal{I}) but there is no such hull on $\mathcal{S}_{\mathcal{I}}$? In particular, is it consistent that $\text{add}(\mathcal{I}) = \text{cof}(\mathcal{I})$ but there is no monotone Borel hull on $\mathcal{S}_{\mathcal{I}}$?*

It was noted in [1, Proposition 7] (see also Elekes and Máthé [7, Theorem 2.4]) that $\text{add}(\mathcal{I}) = \text{cof}(\mathcal{I})$ implies that there exists a monotone Borel hull on \mathcal{I} (with respect to \mathcal{I}). It appears that was the only situation in which the positive result of this kind was known. Using a finite support iteration of ccc forcing notions we will show in this section that, consistently, we may have $\text{add}(\mathcal{I}) < \text{cof}(\mathcal{I})$ (for $\mathcal{I} \in \{\mathcal{N}, \mathcal{M}\}$) and yet there are monotone hulls for \mathcal{I} .

Definition 4.4 Let \mathcal{I} be an ideal of subsets of ${}^\omega 2$.

(1) We say that a family $\mathcal{B} \subseteq \text{Borel}^{(\omega_2)} \cap \mathcal{I}$ is an *mhg-base* for \mathcal{I} if³

- (a) \mathcal{B} is a basis for \mathcal{I} , i.e., $(\forall A \in \mathcal{I})(\exists B \in \mathcal{B})(A \subseteq B)$, and
- (b) if $\langle B_i : i < \omega_1 \rangle$ is a sequence of elements of \mathcal{B} , then for some $i < j < \omega_1$ we have $B_i \subseteq B_j$.

(2) Let α^*, β^* be limit ordinals. An $\alpha^* \times \beta^*$ -base for \mathcal{I} is a sequence $\langle B_{\alpha, \beta} : \alpha < \alpha^* \ \& \ \beta < \beta^* \rangle$ of Borel sets from \mathcal{I} such that it forms a basis for \mathcal{I} (i.e., (a) above holds) and

- (c) for each $\alpha_0, \alpha_1 < \alpha^*, \beta_0, \beta_1 < \beta^*$ we have

$$B_{\alpha_0, \beta_0} \subseteq B_{\alpha_1, \beta_1} \iff \alpha_0 \leq \alpha_1 \ \& \ \beta_0 \leq \beta_1.$$

Proposition 4.5 *Assume that $\langle B_{\alpha, \beta} : \alpha < \alpha^* \ \& \ \beta < \beta^* \rangle$ is an $\alpha^* \times \beta^*$ -base for \mathcal{I} . Then:*

- (i) $B_{\alpha, \beta} \neq B_{\alpha', \beta'}$ whenever $(\alpha, \beta) \neq (\alpha', \beta')$, $\alpha, \alpha' < \alpha^*$, $\beta, \beta' < \beta^*$.
- (ii) $\{B_{\alpha, \beta} : \alpha < \alpha^* \ \& \ \beta < \beta^*\}$ is an *mhg-base* for \mathcal{I} .
- (iii) $\text{add}(\mathcal{I}) = \min\{\text{cf}(\alpha^*), \text{cf}(\beta^*)\}$ and $\text{cof}(\mathcal{I}) = \max\{\text{cf}(\alpha^*), \text{cf}(\beta^*)\}$.

Proof Straightforward. □

Proposition 4.6 *Suppose that an ideal \mathcal{I} on ${}^\omega 2$ has an *mhg-base* $\mathcal{B} \subseteq \text{Borel}^{(\omega_2)} \cap \mathcal{I}$. Then there exists a monotone hull operation $\psi : \mathcal{I} \rightarrow \text{Borel}^{(\omega_2)} \cap \mathcal{I}$ on \mathcal{I} . If, additionally, $\mathcal{B} \subseteq \Pi_\xi^0$, $\xi < \omega_1$, then ψ can be taken to have values in Π_ξ^0 .*

Proof For a set $A \in \mathcal{I}$ let \mathcal{S}_A be the family of all sequences $\bar{B} = \langle B_i : i < \gamma \rangle \subseteq \mathcal{B}$ satisfying

- (*)₁ $(\forall i < \gamma)(A \subseteq B_i)$ and
- (*)₂ $(\forall i < j < \gamma)(B_i \not\subseteq B_j)$.

Note that for each $\bar{B} \in \mathcal{S}_A$ we have $\ell g(\bar{B}) < \omega_1$ (by 4.4(1)(b) and (*))₂). Clearly, every \leq -increasing chain of elements of \mathcal{S}_A has a \leq -upper bound in \mathcal{S}_A , so we may choose $\bar{B}_A = \langle B_i^A : i < \gamma_A \rangle \in \mathcal{S}_A$ which has no proper extension in \mathcal{S}_A . Put $\psi(A) = \bigcap_{i < \gamma_A} B_i^A$.

Plainly, $A \subseteq \psi(A) \in \mathcal{I}$ and $\psi(A)$ is a Borel set, and if $\mathcal{B} \subseteq \Pi_\xi^0$ then also $\psi(A) \in \Pi_\xi^0$. □

Claim 3.6.1 $\psi(A) = \bigcap \{B \in \mathcal{B} : A \subseteq B\}$

Proof of the Claim By (*))₁ we see that $\psi(A) \supseteq \bigcap \{B \in \mathcal{B} : A \subseteq B\}$. To show the converse inclusion suppose $B \in \mathcal{B}$, $A \subseteq B$. By the choice of \bar{B}_A we know that $\bar{B}_A \frown \langle B \rangle \notin \mathcal{S}_A$ and hence $B_i^A \subseteq B$ for some $i < \gamma_A$. Consequently $\psi(A) \subseteq B$.

It follows from the above claim that $A_1 \subseteq A_2 \in \mathcal{I}$ implies $\psi(A_1) \subseteq \psi(A_2)$. □

Bartoszyński and Kada [3] showed that for any σ -directed partial order Q there is a ccc forcing notion \mathbb{P} such that

$$\Vdash_{\mathbb{P}} \text{“}\mathcal{M} \text{ has a basis order isomorphic to } Q \text{ with respect to set-inclusion”}.$$

A parallel result for \mathcal{N} was given by Burke and Kada [5]. These theorems imply that for uncountable cardinals κ and λ we may force that \mathcal{M} has a $\kappa \times \lambda$ -basis, and we may also force that \mathcal{N} has a $\kappa \times \lambda$ -basis. The corresponding forcing notions (for both cases) were essentially versions of “FS iterations with partial memories” used in Shelah [13–15], Mildenberger and Shelah [10] and Shelah and Thomas [16]. We will use explicitly the method of “FS iterations with partial memories” to construct a model in which *both* ideals have $\kappa \times \lambda$ -bases.

³ “mhg” stands for “monotone hull generating”.

Theorem 4.7 *Let κ, λ be cardinals of uncountable cofinality, $\kappa \leq \lambda$. There is a ccc forcing notion $\mathbb{Q}^{\kappa, \lambda}$ of size λ^{\aleph_0} such that*

$\Vdash_{\mathbb{Q}^{\kappa, \lambda}}$ “the meager ideal \mathcal{M} has $\kappa \times \lambda$ – basis consisting of Σ_2^0 sets, and the null ideal \mathcal{N} has a $\kappa \times \lambda$ – basis consisting of Π_2^0 sets”.

Proof The forcing notion $\mathbb{Q}^{\kappa, \lambda}$ will be obtained by means of finite support iteration of ccc forcing notions. The iterands will be products of the Amoeba for Category \mathbb{B} and Amoeba for Measure \mathbb{A} but *considered over partial sub-universes only*.

We will use the notation and some basic facts stated in the third section of [16].

Let us recall the forcings \mathbb{A} and \mathbb{B} used as iterands.

- A condition in \mathbb{A} is a tree $T \subseteq {}^{\omega}2$ such that $\mu([T]) > \frac{1}{2}$ and $\mu([t] \cap [T]) > 0$ for all $t \in T$. The order $\leq_{\mathbb{A}}$ of \mathbb{A} is the reverse inclusion.
- A condition in \mathbb{B} is a pair (n, T) such that $n \in \omega$, $T \subseteq {}^{\omega}2$ is a tree with no maximal nodes and $[T]$ is a nowhere dense subset of ${}^{\omega}2$. The order $\leq_{\mathbb{B}}$ of \mathbb{B} is given by: $(n, T) \leq_{\mathbb{B}} (n', T')$ if and only if $n \leq n'$, $T \subseteq T'$ and $T \cap {}^n 2 = T' \cap {}^n 2$.

Both \mathbb{A} and \mathbb{B} are (nice definitions of) ccc forcing notions, \mathbb{B} is σ –centered and if $\mathbf{V}' \subseteq \mathbf{V}''$ are universes of set theory then $\mathbb{A}^{\mathbf{V}'}$ is still ccc in \mathbf{V}'' . We will use the following immediate properties of these forcing notions.

(\otimes)₁ If $G \subseteq \mathbb{A}$ is generic over \mathbf{V} , $F = \bigcap \{[T] : T \in G\}$, then F is a closed subset of ${}^{\omega}2$, $\mu(F) = \frac{1}{2}$ and F is disjoint from every Borel null set coded in \mathbf{V} . Hence the set $F^* = \{x \in {}^{\omega}2 : (\forall y \in F)(\exists^{\infty} n)(x(n) \neq y(n))\}$ is a null Π_2^0 set and it includes all Borel null sets coded in \mathbf{V} .

Let $\underline{F}_{\mathbb{A}}, \underline{F}_{\mathbb{A}}^*$ be \mathbb{A} –names for the sets F, F^* , respectively.

(\otimes)₂ If $G \subseteq \mathbb{B}$ is generic over \mathbf{V} , $F = \bigcup \{[T] : (\exists n)((n, T) \in G)\}$, then F is a closed nowhere dense subset of ${}^{\omega}2$. Letting $F^* = \{x \in {}^{\omega}2 : (\exists y \in F)(\forall^{\infty} n)(x(n) = y(n))\}$ we get a meager Σ_2^0 set including all Borel meager sets coded in \mathbf{V} .

Let $\underline{F}_{\mathbb{B}}, \underline{F}_{\mathbb{B}}^*$ be \mathbb{B} –names for the sets F, F^* , respectively.

(\otimes)₃^a If $T \in \mathbb{A}$, $t \in T$, then there is $T' \geq_{\mathbb{A}} T$ such that $T' \Vdash_{\mathbb{A}} [t] \cap \underline{F}_{\mathbb{A}} \neq \emptyset$.

(\otimes)₃^b If $T \in \mathbb{A}$, $n \in \omega$, then there is $N > n$ such that for each $v \in {}^{[n, N]}2$ there is $T' \geq_{\mathbb{A}} T$ with $T' \Vdash_{\mathbb{A}} (\forall y \in \underline{F}_{\mathbb{A}})(y \upharpoonright [n, N] \neq v)$.

(\otimes)₄^a If $(n, T) \in \mathbb{B}$, $t \in T$, $\ell g(t) \leq n$, $m_1 > m_0 \geq n$ and $v \in {}^{[m_0, m_1]}2$, then there are $(n', T') \geq_{\mathbb{B}} (n, T)$ and $s \in T'$ such that $t \triangleleft s$ and $s \upharpoonright [m_0, m_1] = v$ (and $(n', T') \Vdash_{\mathbb{B}} [s] \cap \underline{F}_{\mathbb{B}} \neq \emptyset$).

(\otimes)₄^b If $(n, T) \in \mathbb{B}$, $m_0 < \omega$, then there are $m_1 > m_0$ and $v \in {}^{[m_0, m_1]}2$ and $(n', T') \geq_{\mathbb{B}} (n, T)$ such that $(n', T') \Vdash_{\mathbb{B}} (\forall y \in \underline{F}_{\mathbb{B}})(y \upharpoonright [m_0, m_1] \neq v)$.

Fix an ordinal γ and a bijection $\pi : \kappa \times \lambda \xrightarrow{\text{onto}} \gamma$ such that

$$\alpha_0 \leq \alpha_1 < \kappa \ \& \ \beta_0 \leq \beta_1 < \lambda \ \Rightarrow \ \pi(\alpha_0, \beta_0) \leq \pi(\alpha_1, \beta_1).$$

For $i = \pi(\alpha_1, \beta_1)$ let $a_i = \{\pi(\alpha_0, \beta_0) : \alpha_0 \leq \alpha_1 \ \& \ \beta_0 \leq \beta_1\} \setminus \{i\}$. We say that a set $b \subseteq \gamma$ is *closed* if $a_i \subseteq b$ for all $i \in b$. It follows from our choice of π that for each $i < \gamma$ we have

(\otimes)₅ $a_i \subseteq i$ and the sets $a_i, i, a_i \cup \{i\}$ are closed.

Now, by induction we define $\langle \mathbb{P}_i, \mathbb{Q}_i, \underline{F}_i^0, \underline{F}_i^1, \underline{F}_i^{\mathbb{A}}, \underline{F}_i^{\mathbb{B}} : i < \gamma \rangle$ and \mathbb{P}_b^* for closed $b \subseteq \gamma$ simultaneously proving the correctness of the definition and the desired properties listed below.⁴

⁴ See [16, 3.1–3.7] for the order in which these should be shown.

- (*)₆ $\langle \mathbb{P}_j, \mathbb{Q}_i : j \leq \gamma, i < \gamma \rangle$ is a finite support iteration of ccc forcing notions.
- (*)₇ $\mathbb{P}_b^* = \{p \in \mathbb{P}_\gamma : \text{supp}(p) \subseteq b \text{ \& } p(i) \text{ is a } \mathbb{P}_{a_i}^* \text{-name (for a member of } \mathbb{Q}_i) \text{ for each } i \in \text{supp}(p)\}$.
- (*)₈ \mathbb{P}_b^* is a complete suborder of \mathbb{P}_γ , $\mathbb{P}_{a_i \cup \{i\}}^*$ is isomorphic with the composition $\mathbb{P}_{a_i}^* * \mathbb{Q}_i$.
- (*)₉ \mathbb{Q}_i is a $\mathbb{P}_{a_i}^*$ -name for the product $\mathbb{A} \times \mathbb{B}$.
- (*)₁₀ $\underline{F}_i^0, \underline{F}_i^1, \underline{F}_i^{\mathbb{A}}, \underline{F}_i^{\mathbb{B}}$ are $\mathbb{P}_{a_i \cup \{i\}}^*$ -names for the sets $\underline{F}_{\mathbb{A}}, \underline{F}_{\mathbb{B}}, \underline{F}_{\mathbb{A}}^*, \underline{F}_{\mathbb{B}}^*$ added by the forcings at the last coordinate of $\mathbb{P}_{a_i \cup \{i\}}^* \simeq \mathbb{P}_{a_i}^* * (\mathbb{A} \times \mathbb{B})$.
- (*)₁₁ (a) \mathbb{P}_i^* is a dense subset of \mathbb{P}_i (for $i \leq \gamma$).
 (b) If $q \in \mathbb{P}_\gamma^*$, then $q \restriction b \in \mathbb{P}_b^*$.
 (c) If $p, q \in \mathbb{P}_\gamma^*$, $p \leq q$ and $i \in \text{supp}(q)$ then $p \restriction a_i \leq_{\mathbb{P}_{a_i}^*} q \restriction a_i$ and $q \restriction a_i \Vdash_{\mathbb{P}_{a_i}^*} p(i) \leq q(i)$.
 (d) If $q \in \mathbb{P}_\gamma^*$, $p \in \mathbb{P}_b^*$ and $p \leq q$, then $p \leq_{\mathbb{P}_b^*} q \restriction b$.
 (e) If $q \in \mathbb{P}_b^*$, $p \in \mathbb{P}_\gamma^*$, $p \restriction b \leq_{\mathbb{P}_b^*} q$ and r is defined by

$$r(\xi) = \begin{cases} q(\xi) & \text{if } \xi \in b, \\ p(\xi) & \text{otherwise} \end{cases} \quad \text{for } \xi < \gamma$$

then $r \in \mathbb{P}_\gamma^*$ and $r \geq q, r \geq p$.

Also,

- (*)₁₂ if τ is a canonical \mathbb{P}_γ^* -name for a member of ${}^\omega 2$, then τ is a $\mathbb{P}_{a_i}^*$ -name for some $i < \gamma$.

[Why? Note that if $(\alpha_n, \beta_n) \in \kappa \times \lambda$, $n < \omega$, then there is $(\alpha^*, \beta^*) \in \kappa \times \lambda$ such that $\alpha_n \leq \alpha^*, \beta_n \leq \beta^*$ for all $n < \omega$.]

The main technical point of our argument is given in the following observation.

- (*)₁₃ Suppose $i, j < \gamma$, $i \notin a_j$, $j \notin a_i$, $i \neq j$, $\ell \in \{0, 1\}$. Assume that $p \in \mathbb{P}_\gamma^*$, $\eta \in {}^{n, N} 2$, $n < \omega$ and $p \Vdash_{\mathbb{P}_\gamma^*} [\eta] \cap \underline{F}_i^\ell \neq \emptyset$. Then there are $v \in {}^{[n, N]} 2$, $n < N < \omega$ and $q \geq_{\mathbb{P}_\gamma^*} p$ such that

$$q \Vdash_{\mathbb{P}_\gamma^*} \text{“} [\eta \widehat{\cup} v] \cap \underline{F}_i^\ell \neq \emptyset \text{ and } (\forall y \in \underline{F}_j^\ell)(y \restriction [n, N] \neq v)\text{”}.$$

[Why? Let us provide detailed arguments for $\ell = 0$. By (*)₃^b + (*)₉ + (*)₁₁ we may find $N > n$ and a condition $p'_0 \in \mathbb{P}_{a_j}^*$ such that $p'_0 \geq p \restriction a_j$ and

$$p'_0 \Vdash_{\mathbb{P}_{a_j}^*} \text{“ for each } v \in {}^{[n, N]} 2 \text{ there is } p_j \geq_{\mathbb{Q}_j} p(j) \text{ such that } p_j \Vdash_{\mathbb{Q}_j} (\forall y \in \underline{F}_{\mathbb{A}})(y \restriction [n, N] \neq v)\text{”}.$$

Let $p_0 \in \mathbb{P}_\gamma^*$ be such that $p_0(\xi) = p'_0(\xi)$ for $\xi \in a_j$ and $p_0(\xi) = p(\xi)$ otherwise (see (*)₁₁(e)); so p_0 is a common extension of p'_0 and p . Note that $p_0(j) = p(j)$. Use (*)₃^a to choose $v \in {}^{[n, N]} 2$ and a condition $p'_1 \in \mathbb{P}_{a_i \cup \{i\}}^*$ such that $p'_1 \geq p_0 \restriction (a_i \cup \{i\})$ and $p'_1 \Vdash_{\mathbb{P}_{a_i \cup \{i\}}^*} [\eta \widehat{\cup} v] \cap \underline{F}_i^0 \neq \emptyset$. Let $p_1 \in \mathbb{P}_\gamma^*$ be such that $p_1(\xi) = p'_1(\xi)$ if $\xi \in a_i \cup \{i\}$ and $p_1(\xi) = p_0(\xi)$ otherwise. Then p_1 is stronger than both p'_1 and p_0 , and $p_1(j) = p_0(j) = p(j)$. Hence

$$p_1 \restriction a_j \Vdash_{\mathbb{P}_{a_j}^*} \text{“ there is } p_j \geq_{\mathbb{Q}_j} p_1(j) \text{ such that } p_j \Vdash_{\mathbb{Q}_j} (\forall y \in \underline{F}_{\mathbb{A}})(y \restriction [n, N] \neq v)\text{”}.$$

Let $q(j)$ be a $\mathbb{P}_{a_j}^*$ -name for a p_j as above and let $q(\xi) = p_1(\xi)$ for $\xi \neq j$. Clearly $q \in \mathbb{P}_\gamma^*$ and $q \restriction (a_j \cup \{j\}) \Vdash_{\mathbb{P}_{a_j \cup \{j\}}^*} (\forall y \in \underline{F}_j^0)(y \restriction [n, N] \neq v)$, and (as $q \restriction (a_i \cup \{i\}) = p_1 \restriction (a_i \cup \{i\}) = p'_1$)

⁵ Since $\mathbb{B}^{\mathbb{P}_{a_i}^*}$ is σ -centered we know that the product is ccc.

⁶ i.e., determined in a standard way by a sequence of maximal antichains.

$q \upharpoonright (a_i \cup \{i\}) \Vdash_{\mathbb{P}_{a_i \cup \{i\}}^*} [\eta \widehat{\cup}] \cap \underline{F}_i^0 \neq \emptyset$. Using $(\otimes)_8 + (\otimes)_{10} + (\otimes)_{11}$ we get that the condition q is as required. If $\ell = 1$ then the arguments are similar, but instead of $(\otimes)_3^a, (\otimes)_3^b$ we use $(\otimes)_4^a, (\otimes)_4^b$.]

For $\alpha < \kappa, \beta < \lambda$ let $\underline{B}_{\alpha, \beta}^{\mathbb{A}} = \underline{F}_{\pi(\alpha, \beta)}^{\mathbb{A}}$ and $\underline{B}_{\alpha, \beta}^{\mathbb{B}} = \underline{F}_{\pi(\alpha, \beta)}^{\mathbb{B}}$. Immediately from $(\otimes)_{12} + (\otimes)_1 + (\otimes)_2$ we conclude that

$$(\otimes)_{14} \Vdash_{\mathbb{P}_\gamma^*} \text{“}\{\underline{B}_{\alpha, \beta}^{\mathbb{A}} : \alpha < \kappa \ \& \ \beta < \lambda\} \text{ is a basis for } \mathcal{N} \text{ and} \\ \{\underline{B}_{\alpha, \beta}^{\mathbb{B}} : \alpha < \kappa \ \& \ \beta < \lambda\} \text{ is a basis for } \mathcal{M} \text{”}$$

and

$(\otimes)_{15}$ if $\alpha_0 \leq \alpha_1 < \kappa, \beta_0 \leq \beta_1 < \lambda, (\alpha_0, \beta_0) \neq (\alpha_1, \beta_1)$, then

$$\Vdash_{\mathbb{P}_\gamma^*} \text{“}\underline{B}_{\alpha_0, \beta_0}^{\mathbb{A}} \subsetneq \underline{B}_{\alpha_1, \beta_1}^{\mathbb{A}} \ \& \ \underline{B}_{\alpha_0, \beta_0}^{\mathbb{B}} \subsetneq \underline{B}_{\alpha_1, \beta_1}^{\mathbb{B}} \text{”}.$$

Also

$(\otimes)_{16}$ if $\alpha_0, \alpha_1 < \kappa, \beta_0, \beta_1 < \lambda$ and $\neg(\alpha_0 \leq \alpha_1 \ \& \ \beta_0 \leq \beta_1)$ then

$$\Vdash_{\mathbb{P}_\gamma^*} \text{“}\underline{B}_{\alpha_0, \beta_0}^{\mathbb{A}} \not\subseteq \underline{B}_{\alpha_1, \beta_1}^{\mathbb{A}} \ \& \ \underline{B}_{\alpha_0, \beta_0}^{\mathbb{B}} \not\subseteq \underline{B}_{\alpha_1, \beta_1}^{\mathbb{B}} \text{”}.$$

[Why? If $\alpha_1 \leq \alpha_0$ and $\beta_1 \leq \beta_0$, then $(\otimes)_{15}$ applies, so we may assume additionally $\neg(\alpha_1 \leq \alpha_0 \ \& \ \beta_1 \leq \beta_0)$. Then our assumptions on $\alpha_0, \alpha_1, \beta_0, \beta_1$ mean that, letting $j = \pi(\alpha_0, \beta_0)$ and $i = \pi(\alpha_1, \beta_1)$, we have $i \notin a_j, j \notin a_i, i \neq j$. So using $(\otimes)_{13}$ for $\ell = 0$ we easily build a \mathbb{P}_γ^* -name $\underline{\eta}$ for a member of ω^2 such that

$$\Vdash_{\mathbb{P}_\gamma^*} \text{“}\underline{\eta} \in [\underline{F}_i^0] \subseteq \omega^2 \setminus \underline{F}_i^{\mathbb{A}} = \omega^2 \setminus \underline{B}_{\alpha_1, \beta_1}^{\mathbb{A}} \ \& \ \underline{\eta} \in \underline{F}_j^{\mathbb{A}} = \underline{B}_{\alpha_0, \beta_0}^{\mathbb{A}} \text{”}.$$

Similarly, using $(\otimes)_{13}$ for $\ell = 1$ and interchanging the role of i and j we may construct a \mathbb{P}_γ^* -name $\underline{\eta}'$ such that $\Vdash_{\mathbb{P}_\gamma^*} \text{“}\underline{\eta}' \in \underline{B}_{\alpha_0, \beta_0}^{\mathbb{B}} \setminus \underline{B}_{\alpha_1, \beta_1}^{\mathbb{B}} \text{”}.$]

Finally we note that \mathbb{P}_γ^* has a dense subset of size λ^{\aleph_0} , so we may choose it as our desired forcing $\mathbb{Q}^{\kappa, \lambda}$. \square

Remark 4.8 In a manner similar to our proof of $(\otimes)_{13}$ above one may argue for the following stronger property.

$(\otimes)_{13}^2$ Suppose $i, j < \gamma, i \notin a_j, j \notin a_i, i \neq j, \ell \in \{0, 1\}$. Assume that $p \in \mathbb{P}_\gamma^*, \eta \in {}^n 2, n < \omega$ and $p \Vdash_{\mathbb{P}_\gamma^*} [\eta] \cap \underline{F}_i^\ell \neq \emptyset$. Then there are $\nu_0, \nu_1 \in {}^{[n, N]} 2, n < N < \omega$ and $q \geq_{\mathbb{P}_\gamma^*} p$ such that $\nu_0 \neq \nu_1$ and

$$q \Vdash_{\mathbb{P}_\gamma^*} \text{“}[\eta \widehat{\cup} \nu_0] \cap \underline{F}_i^\ell \neq \emptyset \neq [\eta \widehat{\cup} \nu_1] \cap \underline{F}_i^\ell \text{ and } (\forall y \in \underline{F}_j^\ell)(y \upharpoonright [n, N] \notin \{\nu_0, \nu_1\}) \text{”}.$$

Then, if $i = \pi(\alpha_0, \beta_0), j = \pi(\alpha_1, \beta_1), i \notin a_j, j \notin a_i$ and $i \neq j$, we may use this property to construct \mathbb{P}_γ^* -names $\underline{T}^{\mathbb{A}}$ and $\underline{T}^{\mathbb{B}}$ for perfect subtrees of $\omega^{>2}$ such that

$$\Vdash_{\mathbb{P}_\gamma^*} \text{“}[\underline{T}^{\mathbb{A}}] \subseteq \underline{B}_{\alpha_0, \beta_0}^{\mathbb{A}} \setminus \underline{B}_{\alpha_1, \beta_1}^{\mathbb{A}} \text{ and } [\underline{T}^{\mathbb{B}}] \subseteq \underline{B}_{\alpha_0, \beta_0}^{\mathbb{B}} \setminus \underline{B}_{\alpha_1, \beta_1}^{\mathbb{B}} \text{”}.$$

Also $(\otimes)_{15}$ can easily strengthen to

$(\otimes)_{15}^+$ if $\alpha_0 \leq \alpha_1 < \kappa, \beta_0 \leq \beta_1 < \lambda, (\alpha_0, \beta_0) \neq (\alpha_1, \beta_1)$, then

$$\Vdash_{\mathbb{P}_\gamma^*} \text{“both } \underline{B}_{\alpha_1, \beta_1}^{\mathbb{A}} \setminus \underline{B}_{\alpha_0, \beta_0}^{\mathbb{A}} \text{ and } \underline{B}_{\alpha_1, \beta_1}^{\mathbb{B}} \setminus \underline{B}_{\alpha_0, \beta_0}^{\mathbb{B}} \text{ are uncountable”}.$$

Consequently, in $\mathbf{V}^{\mathbb{P}_\gamma^*}$, the $\kappa \times \lambda$ -bases $\{\underline{B}_{\alpha,\beta}^{\mathbb{A}} : \alpha < \kappa, \beta < \lambda\}$ and $\{\underline{B}_{\alpha,\beta}^{\mathbb{B}} : \alpha < \kappa, \beta < \lambda\}$ have the additional property that

$$\Vdash_{\mathbb{P}_\gamma^*} \text{“}\alpha_0 > \alpha_1 \vee \beta_0 > \beta_1 \Rightarrow |\underline{B}_{\alpha_0,\beta_0}^{\mathbb{A}} \setminus \underline{B}_{\alpha_1,\beta_1}^{\mathbb{A}}| = |\underline{B}_{\alpha_0,\beta_0}^{\mathbb{B}} \setminus \underline{B}_{\alpha_1,\beta_1}^{\mathbb{B}}| = 2^{\aleph_0} \text{”}.$$

This is used in Roslanowski and Shelah [12].

Corollary 4.9 *It is consistent that*

- $\text{add}(\mathcal{N}) = \text{add}(\mathcal{M}) < \text{cof}(\mathcal{N}) = \text{cof}(\mathcal{M}) = 2^\omega$ (and hence the ideals \mathcal{M}, \mathcal{N} do not poses tower bases), and
- there is a monotone Π_3^0 hull operation on \mathcal{M} with respect to \mathcal{M} , and
- there is a monotone Π_2^0 hull operation on \mathcal{N} with respect to \mathcal{N} , and
- there is a monotone Π_3^0 hull operation on $\mathcal{M} \cap \mathcal{N}$ with respect to $\mathcal{M} \cap \mathcal{N}$.

Proof Start with a universe satisfying CH and use the forcing given by Theorem 4.7 for $\kappa = \aleph_1$ and $\lambda = \aleph_2$. Propositions 4.6 and 4.5 imply that the resulting model is as required. \square

Remark 4.10 In Theorem 4.7 we obtained a universe of set theory in which both \mathcal{N} and \mathcal{M} have bases that are (with respect to the inclusion) order isomorphic to $\kappa \times \lambda$. We may consider any partial order (S, \sqsubseteq) such that

- (a) $|S| = \lambda$ and (S, \sqsubseteq) is well founded, and
- (b) every countable subset of S has a common \sqsubseteq -upper bound.

Then by a very similar construction we get a forcing extension in which both \mathcal{N} and \mathcal{M} have bases order isomorphic to (S, \sqsubseteq) . If additionally

- (c) for every sequence $\langle s_i : i < \omega_1 \rangle \subseteq S$ there are $i < j < \omega_1$ such that $s_i \sqsubseteq s_j$,

then those bases will be mhg. (Note that forcings with the Knaster property preserve the demand described in (c).)

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