

THE CONSISTENCY OF $\text{Ext}(G, \mathbf{Z}) = \mathbf{Q}$

BY

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ABSTRACT

For abelian groups, if $V = L$, $\text{Ext}(G, \mathbf{Z})$ cannot have cardinality \aleph_0 . We show that G.C.H. does not imply this. See Hiller and Shelah [2], Hiller, Huber and Shelah [3], Nunke [5] and Shelah [6, 7, 8] for related results. We use the method of [7].

THEOREM 1. *Suppose the universe V satisfies G.C.H., and K is a divisible countable (abelian) group (i.e. $|K| \leq \aleph_0$). Then for some forcing notion P , in V^P for some abelian group G , $\text{Ext}(G, \mathbf{Z}) = K$.*

COROLLARY 2. *It is consistent that for some group G , $\text{Ext}(G, \mathbf{Z}) = \mathbf{Q}$ (\mathbf{Q} — the rationals as an additive group, \mathbf{Z} — the integers as an additive group).*

REMARK. (1) This answers questions from Hiller and Shelah [2], Huber, Hiller and Shelah [3] and Nunke [5]; remember that if $V = L$, $\text{Ext}(G, \mathbf{Z}) \neq \aleph_0$. The result was announced in [9].

(2) The group we get is \aleph_1 -free and of power \aleph_1 .

(3) Instead of “ K countable”, we can demand “ $|K| \leq \aleph_2$ ”; the proof will not change significantly. We can change $|G|$ and $|K|$, but we have not checked carefully.

(4) It is well known that $\text{Ext}(G, \mathbf{Z})$ is divisible.

PROOF. Let K be the direct sum of K_p (p a prime natural number or zero), where K_0 is torsion free and for $p \neq 0$ ($\forall x \in K_p$) ($\exists n$) $p^n x = 0$. This is possible as K is divisible, and each K_p is divisible. Let $K_p^1 = \{x \in K_p : px = 0\}$, so K_p^1 is a vector space over $\mathbf{Z}/p\mathbf{Z}$. So let $B_p \subseteq K_p$ be a basis of K_p^1 (as a vector space over the rationals for $p = 0$, and over $\mathbf{Z}/p\mathbf{Z}$ otherwise). Let $B = \bigcup_p B_p$, so as K is countable, $|B| \leq \aleph_0$. Let $K_p^1 \subseteq K_p$ [$K^1 \subseteq K$] be the subgroup generated by B_p [B] (for $p > 0$ this is not new).

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Choose S to be a stationary costationary set of limit ordinals $< \omega_1$. We shall define a group G of the following form: G is freely generated by x_i ($i < \omega_1$) and $z_{\delta, n}$ ($\delta \in S$, $n < \omega$), with the only identities

$$\mathbf{p}(\delta, n)z_{\delta, n} = x_\delta - \tau_n^\delta \quad \text{where } \tau_n^\delta = \sum_{\zeta \in a(\delta, n)} x_\zeta$$

where $\mathbf{p}(\delta, n)$ is a strictly increasing function of n (for each δ separable); for $\delta \in S$, η_δ is an increasing ω -sequence of *successor* ordinals converging to δ , $a(\delta, n) = \{n_s(l) : l \leq k_\delta(n), l > k_\delta(m) \text{ for every } m < n\}$.

NOTATION. If h is a function from ω_1 to \mathbf{Z} , $\tau = \sum_{i=0}^n c_i x_{l(i)}$ ($c_i \in \mathbf{Z}$) then $h(\tau) = \sum c_i h(l(i))$. We let \mathbf{p} be a prime number.

FACT A. $\text{Ext}(G, \mathbf{Z})$ is isomorphic to E_0/E_1 , where E_0 is the set of functions from $S \times \omega$ into \mathbf{Z} , addition is defined coordinatewise; E_1 is the subgroup of $f \in E_0$ such that for some $h : \omega_1 \rightarrow \mathbf{Z}$, $f \approx \hat{h}$, i.e., $f(\delta, n) = \hat{h}(\delta, n) \bmod \mathbf{p}(\delta, n)$ (for every $(\delta, n) \in S \times \omega$), where \hat{h} is defined by $\hat{h}(\delta, n) = h(\delta) - h(\tau_n^\delta)$.

PROOF OF FACT A. Like that of [10] 3.3.

Now we shall define the group G by defining the $a(\delta, n)$ and an embedding of B into E_0/E_1 ; we do it by forcing, to simplify the proof.

An element q of $P_1 = Q_0$ is a triple:

$$\begin{aligned} a(\delta)^q = \langle \langle a(\delta, n)^q, \mathbf{p}(\delta, n)^q \rangle : n < \omega \rangle \quad \text{for } \delta \in S, \quad \delta < \delta_0, \\ f_s^q \quad (s \in B), \quad h_s^q \quad (s \in B - B_0), \end{aligned}$$

such that the $\langle a(\delta, n) : n < \omega \rangle$ are as mentioned above: $a(\delta, n)$ is a non-empty finite subset of δ , $\max a(\delta, n) < \min a(\delta, n+1)$, $\delta = \sup\{\min a(\delta, n) : n < \omega\}$, f_s^q is a function from $(S \cap \delta_0) \times \omega$ into \mathbf{Z} , and for $s \in B_p$, $\mathbf{p} \neq 0$, $\mathbf{p}f_s \approx \hat{h}_s$ (where $(\mathbf{p}f_s)(i) = \mathbf{p}(f_s(i))$) and $h_s : \delta_0 \rightarrow \mathbf{Z}$.

Also $\mathbf{p}(\delta, n)$ is a prime natural number, $\mathbf{p}(\delta, n) < \mathbf{p}(\delta, n+1)$. The order is natural.

Clearly there is a P_0 -name \underline{G} defined by $\underline{a}(\delta, n)$, $\underline{p}(\delta, n)$ and \underline{f}_s ($s \in B$), and let $\underline{f}_t = \sum \underline{f}_s$, where $t = \sum s_i$, $s_i \in B$ (i.e. $t \in K^1$). Clearly $\underline{f}_t \in E_0$.

Clearly in V^{Q_0} , $\text{Ext}(\underline{G}, \mathbf{Z})$ is too big. So we define an iterated forcing P_i ($i \leq \omega_2$), with countable support, $P_{i+1} = P_i * Q_i$ such that for each $i > 0$, Q_i "kills" an undesirable member of $\text{Ext}(\underline{G}, \mathbf{Z})$. More elaborately, for each i , \underline{f}_i is a P_i -name of a member of E_0 , such that for some $\mathbf{p}(i)$ either $\mathbf{p}(i) = 0$, and $\phi \Vdash^P$. " $(\forall n > 0) (\forall t \in K^1) (n\underline{f}_i - \underline{f}_i \notin E_1)$ ", or $\mathbf{p} = \mathbf{p}(i)$ is prime > 0 and

$$\phi \Vdash^P, \text{“} \mathfrak{p} f_i \in E_0 \wedge (\forall n)(\forall t \in K_p^1)(0 < n < \mathfrak{p}) \rightarrow [nf_i - \underline{f}_t \notin E_0] \text{”}$$

Now in V^P , $Q_i = \{h : \text{for some } \alpha, h : \alpha \rightarrow \mathbf{Z}, \text{ and for every } \delta \leq \alpha, \delta \in S, f_i(\delta, n) = h(\delta) - h(\tau_n^\delta) \bmod \mathfrak{p}(\delta, n) \text{ (if } \delta = \alpha \text{ this means there is such } h(\delta))\}$. The order: inclusion.

FACT B. (1) (in V^P .) If $h \in Q_i$, $\text{Dom } h = \alpha$, $\alpha \leq \beta$, then there is h^* , $h \leq h^* \in Q_i$, $\text{Dom } h^* = \beta$. Moreover if h' is a finite function from $[\alpha, \beta]$ to \mathbf{Z} we can demand $h' \subseteq h^*$, except when $\alpha \in S \cap \text{Dom } h'$.

(2) (in V^P .) $\phi \Vdash^{Q_i} \text{“} \underline{f}_i \in E_1 \text{”}$.

PROOF. (1) By induction on β . For $\beta \notin S$, totally trivial; for $\beta \in S$, we first define $h^* \upharpoonright \{\eta_\beta(n) : n < \omega, \alpha \leq \eta_\beta(n)\}$ appropriately, and then define $h^* \upharpoonright \eta_\beta(n)$ by induction on n .

(2) Follows from (1).

So $P_i = \{p : \text{Dom } p \text{ is a countable subset of } i, p(j) \text{ a } P_j\text{-name of a member of } Q_i, \text{ for } j \in \text{Dom } p, \text{ i.e., } \phi \Vdash^{P_j} \text{“} p(j) \in Q_i \text{”}\}$. (We shall write $p(i)(\xi) = c$ for $p \upharpoonright i \Vdash^P \text{“} p(i)(\xi) = c \text{”}$.) The order is $p_1 \leq p_2$ if $i \in \text{Dom } p_1$ implies $p_2 \upharpoonright i \Vdash^P \text{“} p_1(i) \leq p_2(i) \text{”}$. As in [7]:

FACT C. (1) For every $p \in P_i$ there is $p' \in P_i$, $p \leq p'$, and for some δ , $\forall \alpha \in \text{Dom } p$, $\text{Dom } p'(\alpha) = \delta$ and $p'(\alpha) \in V$. Such p' is called of height δ .

(2) If p_n has height α_n , $p_n \leq p_{n+1}$, $\alpha_n < \alpha_{n+1}$, $\bigcup_{n < \omega} \alpha_n = \delta \notin S$ then $\bigcup_{n < \omega} p_n \in P$.

(3) P_{ω_2} satisfies the \aleph_2 -c.c. and does not add new ω -sequences. By suitable bookkeeping we can assume every P_{ω_2} -name \underline{f} of a function as above is \underline{f} for some i .

(4) If in the forcing by P_{ω_2} , it is forced that, for every t and p , “ $t \in K_p^1 \Rightarrow f_t \notin E_1$ ” then $\text{Ext}(\underline{G}, \mathbf{Z}) = K$ (note that E_1 depends on the universe we are dealing with).

PROOF. As in [7], (1), (2), (3) hold. Let us prove (4). Remember that by Fact A, $\text{Ext}(\underline{G}, \mathbf{Z}) \simeq E_0/E_1$. As, e.g., by Fuchs [1], E_0/E_1 is a divisible group; it is enough to check that:

(a) $t \in K^1$, $t \neq 0$ implies $f_t \notin E_1$,

(b) for $f \in E_0 - E_1$, for some $n > 0$, and $t \in K^1$, $nf \notin E_1$, and $nf - f_i \in E_1$.

Now (a) follows immediately by the hypothesis whereas (b) follows by Fact C3 (and the definition of Q_i).

So the rest of the proof is dedicated to the proof that the hypothesis of Fact C4 holds. So suppose $t_* \in K_{p_*}^1$, $t_* \neq 0$, \underline{h} a P_{ω_2} -name, $q_* \in P_{\omega_2}$,

$$(*) \quad q_* \Vdash^{P_{\omega_2}} \text{“}\underline{f}_{t_*} \approx \underline{h}\text{”}.$$

As P_{ω_2} satisfies the \aleph_2 -chain condition, we can replace P_{ω_2} by P_i , ($i < \omega_2$) and choose a minimal such i (i.e., i minimal such that there are a P_i -name \underline{h} and $q_* \in P_i$, so that $q_* \Vdash^{P_i} \text{“}\underline{f}_{t_*} \approx \underline{h}\text{”}$). Those $i, t_*, p_*, q_*, \underline{h}$ are fixed for the rest of the proof.

Before we prove we note some easy facts on the forcings.

FACT D. (1) If $\alpha < \beta$, $p \in P_\alpha$, $q \in P_\beta$, ($q \upharpoonright \alpha \leq p$), then $r = p \vee q$ is their least upper bound (where $\text{Dom } r = \text{Dom } p \cup \text{Dom } q$, $r(j)$ is $p(j)$ for $j \in \text{Dom } p$ and $q(j)$ for $j \in \text{Dom } q - \text{Dom } p$).

(2) If $p \in P_\alpha$, $\alpha_0 < \dots < \alpha_{n-1} < \alpha$, h_l a finite function from ω_1 to \mathbf{Z} for $l < n$ such that $p \upharpoonright \alpha_l \Vdash^{P_{\alpha_l}} \text{“}\text{Dom}(p(\alpha_l)) < \min \text{Dom } h_l\text{”}$ then there is $q, p \leq q \in P_\alpha$, such that for $l < n$, $q \upharpoonright \alpha_l \Vdash^{P_{\alpha_l}} \text{“}h_l \subseteq q(\alpha_l)\text{”}$.

PROOF. (1) See [7]; easy to check.

(2) Prove by induction on α_{n-1} , using Fact B1.

FACT E. If $q \in P_i$, $\alpha_0 < \dots < \alpha_{n-1} < i$, $\bar{\alpha} = \langle \alpha_0, \dots, \alpha_{n-1} \rangle$ then for some q' , $q \leq q' \in P_i$, q' has height and for every q'' , $q' \leq q'' \in P_i$, $\text{Pos}_{\bar{\alpha}}(q') = \text{Pos}_{\bar{\alpha}}(q'')$ where $\text{Pos}_{\bar{\alpha}}(q^0) = \{ \langle c^0, \dots, c^{2^m-1} \rangle : \text{for every } \zeta_0 < \omega_1 \text{ for some successor } \zeta, \zeta_0 < \zeta < \omega_1 \text{ and } r_0, \dots, r_{m-1} \in P_i, q^0 \leq r_0, \dots, q^0 \leq r_{m-1}, r_0 \upharpoonright \alpha_{n-1} = r_1 \upharpoonright \alpha_{n-1} = \dots = r_{m-1} \upharpoonright \alpha_{n-1}, \text{ and } r_l(\alpha_{n-1})(\zeta) = c^{2^l} \text{ (for } l < m) \text{ and } r_l \Vdash^{P_i} \text{“}\underline{h}(\zeta) = c^{2^{l+1}}\text{” for } l < m \}$. Note that $\alpha_0, \dots, \alpha_{n-2}$ were not used, so $\text{Pos}_{\bar{\alpha}}(q^0)$ depend only on q, α_{n-1} , and $\text{Pos}_{\bar{\alpha}}(q^0)$ decrease when α_{n-1}, q^0 increase.

PROOF. Easy by Fact C2.

So w.l.o.g.

ASSUMPTION E1. (1) Either (α) or (β) where

(α) i is a successor (ordinal) or of cofinality \aleph_0 , and for arbitrarily large $\alpha < i$, $\text{Pos}_{(\alpha)}(q_*) = \text{Pos}_{(\alpha)}(q')$ for $q' \in P_i$, $q' \geq q_*$;

(β) i has cofinality \aleph_1 , and there is $\alpha_* < i$ such that $\text{Pos}_{(\alpha_*)}(q_*) = \text{Pos}_{(\alpha_*)}(q')$ whenever $\alpha_* \leq \alpha < i$, $q_* \leq q' \in q_*$.

(2) Also q_* has height γ^* .

NOTATION. An $\bar{\alpha}$ whose last element is among the α 's in (α) if (α) holds and is $\cong \alpha_*$ if (β) holds, is called good.

DEFINITION F. We call a *candidate* a sequence $\bar{u} = \langle \langle a_n, \mathbf{p}_n \rangle : n < n_* \rangle$ such that a_n is a finite non-empty subset of successor ordinals $< \omega_1$, $\max a_m < \min a_{m+1}$ for $m < n_*$, \mathbf{p}_m prime (so $\bar{u}^i = \langle \langle a_n^i, \mathbf{p}_n^i \rangle : n < n_*^i \rangle$ etc.).

For a good $\bar{\alpha} = \langle \alpha_0, \dots, \alpha_{m-1} \rangle$, $0 \leq \alpha_0 < \dots < \alpha_{m-1} < i$, $\alpha_0 = 0 \Rightarrow \mathbf{p}_* \neq 0$, and $g, g : \text{Range } \bar{\alpha} \rightarrow \omega$ let

$$T(g, \bar{\alpha}, \bar{u}) = \{t : t \text{ a function from } \{(\alpha_l, k) : l < m, g(\alpha_l) \leq k < n_*\}, \\ t(\alpha_l, k) \in \{c \in \mathbf{Z} : 0 \leq c < \mathbf{p}_k\}\}.$$

We call $\bar{q} = \{q_t : t \in T\}$ an $(g, \bar{\alpha}, \bar{u})$ -tree, if $T = T(g, \bar{\alpha}, \bar{u})$, $q_* \leq q_t$ (n_* — from \bar{u}) and if $t \in T$, $l < l(\bar{\alpha})$, $g(\alpha_l) \leq k < n_*$ then

- (a) $t(\alpha_l, k) = q_t(\alpha_l)(\tau_k) \bmod \mathbf{p}_k$ where $\tau_k = \sum_{i \in \alpha_k} x_i$ and $\alpha_l > 0$,
- (b) if $t_1 \upharpoonright (\alpha_l \times \omega) = t_2 \upharpoonright (\alpha_l \times \omega)$ then $q_{t_1} \upharpoonright \alpha_l = q_{t_2} \upharpoonright \alpha_l$,
- (c) if $\alpha_0 = 0$, then

$$t(\alpha_0, k) = \sum_{s \in S} n_s h_s^{q_t}(\tau_k) \bmod \mathbf{p}_k \quad \text{where } t_* = \sum_{s \in S} n_s t_s \quad (t_s \text{ is from } B_{\mathbf{p}_s}).$$

FACT G. Suppose $g, \bar{\alpha}, \bar{u}, \bar{q}$ are as in Definition F. Then we can find $a_n, \mathbf{p}_n, c_*, \bar{q}^1$ such that (it seems $c_* = 0$ always)

- (a) \bar{q}^1 is a $(g, \bar{\alpha}, \bar{u}^1)$ -tree,
- (b) $\bar{u}^1 = \bar{u} \wedge \langle a_n, \mathbf{p}_n \rangle$,
- (c) if $t_1 \in T(g, \bar{\alpha}, \bar{u}^1)$, $t \in T(g, \bar{\alpha}, \bar{u})$ and $t \subseteq t_1$ then $q_t \leq q_{t_1}^1$,
- (d) for every $t_1 \in T(g, \bar{\alpha}, \bar{u}^1)$, $q_{t_1} \Vdash \ulcorner \underline{h}(\tau_n) \neq c_* \bmod \mathbf{p}_n \urcorner$.

We delay the proof of Fact G, but first we prove from it the desired contradiction.

Let $\underline{h}, q_* \in N < (H(\aleph_2), \in, P, \Vdash)$, N countable, $\delta^* = N \cap \omega_1 \in S$. We define by induction on n , $g^n, \bar{\alpha}^n, \bar{u}^n, \bar{q}^n$ such that

- (a) \bar{q}^n is a $(g^n, \bar{\alpha}^n, \bar{u}^n)$ -tree,
- (b) $g^n, \bar{\alpha}^n, \bar{u}^n \in N$, $\bar{\alpha}^n$ good,
- (c) $q_* \leq q^0$ for every $t \in T(\bar{g}^0, \bar{\alpha}^0, \bar{u}^0)$,
- (d) $g^n \subseteq g^{n+1}$, $\text{Range } \bar{\alpha}^n \subseteq \text{Range } \bar{\alpha}^{n+1}$, $\bar{u}^{n+1} \upharpoonright n = \bar{u}^n$, \bar{u}^n has length n ,
- (e) if $t \in T(\bar{g}^n, \bar{\alpha}^n, \bar{u}^n)$, $t^* \in T(\bar{g}^{n+1}, \bar{\alpha}^{n+1}, \bar{u}^{n+1})$, $t \subseteq t^*$ then $q_t^n \subseteq q_{t^*}^{n+1}$,
- (f) $\delta^* = \bigcup_{n < \omega} \delta_n$, $\delta_n < \delta_{n+1} < \delta^*$ and $t \in T(g^{n+1}, \bar{\alpha}^{n+1}, \bar{u}^{n+1})$ implies q_t^{n+1} is bigger than some condition of height β_t^n , $\delta_n \leq \beta_t^n$ and every $\zeta \in N \cap i$ belongs to $\bigcup_{n < \omega} \text{Range } \bar{\alpha}^n$ except 0 when $\mathbf{p}_* = 0$,

(g) for every $n < \omega$ for some $c_*^n, c_*^n \in \mathbf{Z}$, $0 \leq c_*^n < \mathfrak{p}_n^{n+1}$, and for every $t \in T(\bar{g}^{n+1}, \bar{\alpha}^{n+1}, \bar{u}^{n+1})$, $q_i^{n+1} \Vdash^P \text{“}\underline{h}(\tau_n) \neq c_*^n \pmod{\mathfrak{p}_n^{n+1}}\text{”}$.

The definition is possible by Fact G (plus a trivial work). We concentrate on the case $\mathfrak{p}_* = 0$.

Clearly there are $q^n \in Q_0$ such that for every $t \in T(\bar{g}^n, \bar{\alpha}^n, \bar{u}^n)$, $q_i^n(0) = q^n$. Now clearly $q^\omega = \bigcup q^n \in Q_0$; and as in [7] 1.7, 1.8, for every $q', q'' \in P_0$, if $q' \Vdash \text{“}a(\delta^*, n) = a_{n+1}^{\delta^*}, \mathfrak{p}(\delta^*, n) = \mathfrak{p}_{n+1}^{\delta^*} \text{ for } n < \omega\text{”}$ there is $r, q' \leq r \in P_0$, $q_* \leq r$, and for every n for some $t \in T(\bar{g}^n, \bar{\alpha}^n, \bar{u}^n)$, $q_i^n \leq r$.

So r forces that

(i) for every n , $\underline{h}(\tau_n) \neq c_*^n \pmod{\mathfrak{p}_n^{n+1}}$,

(ii) suppose $t_* = \sum_s n_s t_s$ ($t_s \in B_{p_s}$) then as $q_* \Vdash \text{“}f_{i_s} \approx \underline{h}\text{”}$, $q_* \leq r$, clearly $\sum_s r_s f_{i_s}(\delta^*, n) = \underline{h}(\delta^*) - \underline{h}(\tau_n) \pmod{\mathfrak{p}_n^{n+1}}$.

Notice that when choosing q' we have total freedom to choose the $f_{i_m}(\delta^*, n) \in \mathbf{Z}$. So for each $c \in \mathbf{Z}$, for some n we can contradict the possibility $\underline{h}(\delta^*) = c$. There is no problem to complete the definition of $f_i(\delta^*, n)$ ($t \in B$), $h_i(\delta, n)$ ($t \in \bigcup_{p \neq 0} B_p$) to get q' .

For $\mathfrak{p}_* \neq 0$, the problem is that $h_i \upharpoonright \bigcup_{l < \omega} a(\delta^*, l) = h_i \upharpoonright \text{Range}(\eta_{\delta^*})$ in fact determine $f_i \upharpoonright \{(\delta^*, n) : n < \omega\}$, for $t \in B_{p_*}$; however, the definition of the tree provides us with enough freedom for the choice of $h_i(\eta_{\delta^*}(l))$, i.e., we choose $h_s(\delta)$. Let us enumerate $\mathbf{Z} : \mathbf{Z} = \{d_n : n < \omega\}$ and choose $h_s(\tau_n)$ ($s \in S$) (where $t_* = \sum_{s \in S} n_s t_s$) such that $\sum_{s \in S} n_s h_s(\delta) - d_n - \sum_{s \in S} n_s h_s(\tau_n) = c_*^n \pmod{\mathfrak{p}(\delta, n)}$.

So we are left with:

PROOF OF FACT G. Let $T = T(g, \bar{\alpha}, \bar{u})$. It is easy to see that

FACT H. If $\bar{q}^0 = \langle q_i^0 : t \in T \rangle$ is a $(g, \bar{\alpha}, \bar{u})$ -tree, $t_0 \in T$, $q_{i_0}^0 \leq q'_{i_0} \in P_0$, then we can find q'_i ($t \in T - \{t_0\}$) such that $q_i \leq q'_i$ and $\langle q'_i : t \in T \rangle$ is a $(g, \bar{\alpha}, \bar{u})$ -tree.

Now the following fact is crucial.

FACT I. One of the following cases holds:

(a) there are $c(l)$ ($l = 0, 1, 2$) in \mathbf{Z} such that $c(1) \neq c(2)$ and $\langle c(0), c(1), c(0), c(2) \rangle \in \text{Pos}_{\bar{\alpha}}(q_*)$,

(b) there are $c(l)$ ($l = 0, 1, 2, 3, 4, 5$) such that $\langle c(l) : l < 6 \rangle \in \text{Pos}_{\bar{\alpha}}(q_*)$, but $c(2l) \mapsto c(2l+1)$ is not a linear function, i.e., there are no rational numbers d_1, d_2 such that $c(2l+1) = d_1 c(2l) + d_2$,

(c) there are $c(l)$ ($l < 8$) such that $\langle c(l) : l < 4 \rangle \in \text{Pos}_{\bar{\alpha}}(q_*)$, $\langle c(l) : 4 \leq l < 8 \rangle \in \text{Pos}_{\bar{\alpha}}(q_*)$ but $(c(3) - c(1))/(c(2) - c(0)) \neq (c(7) - c(5))/(c(6) - c(4))$ (both well defined).

PROOF OF FACT I. Let $\gamma = \alpha_{n-1}$ and \underline{h}_γ be the P_γ -name of $\bigcup \{q(\gamma) : q \text{ is in the generic set}\}$. So if (a) fails, then for some P_γ -name \underline{F}

$$q_* \Vdash^{P_i} \text{“} \underline{h}(\zeta) = \underline{F}(\zeta, \underline{h}_\gamma(\zeta)) \text{”} \quad \text{for every successor } \zeta \cong \gamma^*, \quad \zeta < \omega_1$$

(so \underline{F} is a function from $\omega_1 \times \mathbf{Z}$ to \mathbf{Z}). If also (b) fails then there are P_γ -names $\underline{d}_1, \underline{d}_2$ (of functions from ω_1 to \mathbf{Z}) such that

$$(q_* \upharpoonright \gamma) \Vdash^{P_i} \text{“} \underline{F}(\zeta, c) = \underline{d}_1(\zeta)c + \underline{d}_2(\zeta) \text{ for every successor } \zeta < \omega_1, \zeta \cong \gamma^* \text{”}.$$

If also (c) fails then $\underline{d}_1(\zeta) = d_1 \in \mathbf{Z}$ for some d_1 .

So suppose (a), (b) and (c) fail, and let $G_i \subseteq P_i$ be generic, $q_* \in G_i$. Then in $V[G_i]$, $f_\gamma \approx \hat{h}_\gamma$, $f_i \approx \hat{h}$. Let $h^* = h - d_1 h_\gamma$, then $f_i - d_1 f_\gamma \approx \hat{h}^*$. Now $f_\gamma, f_\gamma \in V[G_\gamma]$ (where $G_\gamma = G_i \cap P_\gamma$) so if we prove $h^* \in V[G_\gamma]$ we shall get a contradiction (to the requirement on f_γ in the definition of our iterated forcing). Now for $\zeta \cong \gamma^*$ successor, $h^*(\zeta) = \underline{d}_2(\zeta)$, and the function \underline{d}_2 belongs to $V[G_\gamma]$. So $h^* \upharpoonright \{\zeta + 1 : \zeta \cong \gamma^*\} \in V[G_\gamma]$. Also all our forcings do not add reals, hence $h^* \upharpoonright \gamma^* \in V[G_\gamma]$. So $h^* \upharpoonright \{\zeta < \omega_1 : \zeta \text{ non limit}\} \in V[G_\gamma]$, but we can construct $h^* \upharpoonright \{\delta < \omega_1 : \delta \text{ limit}\}$ from $f_i, f_\gamma, h^* \upharpoonright \{\zeta < \omega_1 : \zeta \text{ non limit}\}$, by the equations

$$f_i(\delta, n) - d_1 f_\gamma(\delta, n) = h^*(\delta) - \sum_{\zeta \in a(\delta, n)} h^*(\zeta) \bmod \mathfrak{p}(\delta, n)$$

as all elements of $a(\delta, n)$ are successor ordinals. So we finish the proof of Fact I.

CONTINUATION OF THE PROOF OF FACT G. Now we choose a prime natural number $\mathfrak{p}_n > \mathfrak{p}_{n-1}$ such that $c(2) - c(1) \not\equiv 0 \pmod{\mathfrak{p}_n}$ if (a) holds and $(c(3) - c(1))/(c(2) - c(0)) \not\equiv (c(5) - c(1))/(c(4) - c(0)) \pmod{\mathfrak{p}_n}$ (so $c(2) - c(0) \not\equiv 0 \pmod{\mathfrak{p}_n}$) if (b) holds, and $(c(3) - c(1))/(c(2) - c(0)) \not\equiv (c(7) - c(5))/(c(6) - c(4)) \pmod{\mathfrak{p}_n}$ if (c) holds (and so that divisions are not by zero).

So now $T^1 = T(g, \bar{\alpha}, \bar{u} \wedge \langle \langle a_n, p_n \rangle \rangle)$ is defined, though a_n is still not defined. Let for a finite set a of successor ordinals $< \omega_1$ but $> \text{Max } a_{n-1}$ (a will be an initial segment of the a_n we shall construct)

$$R_a = \{\bar{r} : \bar{r} = \langle r_t : t \in T^1 \rangle \text{ a } (g, \bar{\alpha}, \bar{u} \wedge \langle \langle a, p_n \rangle \rangle)\text{-tree}$$

and $t_0 \in T, t \in T^1, t_0 \subseteq t$ implies $q_{t_0} \subseteq r_t$ and r_t determine $\underline{h}(\zeta)$ for each $\zeta \in a\}$.

It is easy to check that $R_a \neq \emptyset$, and that as T^1 is finite it suffices to prove (for proving Fact G, thus finishing the proof)

FACT J. If $\bar{r}^0 \in R_a, t_1 \in T^1$, then we can find $a_1, a \subseteq a_1, \text{Max } a < \text{Min}(a_1 - a)$, or $a_1 - a = \emptyset$ and $\bar{r}' \in R_{a_1}$ such that:

(1) for every $t \in T^1, r_t^0 \leq r_t^1$,

(2) $r'_i \Vdash^{P_i} \text{“}\sum_{\zeta \in a_i} h(\zeta) \neq 0 \pmod{\mathfrak{p}_n}\text{”}$,

(3) for $t \in T^1$, $t \neq t_1$ $r'_i \Vdash \text{“}\sum_{\zeta \in a_i - a} h(\zeta) = 0 \pmod{\mathfrak{p}_n}\text{”}$.

PROOF OF FACT J. If $r'_i \Vdash \text{“}\sum_{\zeta \in a} h(\zeta) \neq 0 \pmod{\mathfrak{p}_n}\text{”}$ we can let $a_1 = a$. So assume this fails.

On R_a there is a natural order $\bar{r}^2 \leq \bar{r}^3$ iff $r_i^2 \leq r_i^3$ for every $t \in T^1$. As in Fact C it is easy to show that above every $\bar{r} \in R_a$ there is some \bar{r}' of height α for some α (i.e., each r'_i ($t \in T^1$) has height α). Now we can define

$$\text{Pos}^a(\bar{r}) = \{ \langle c'_i : t \in T^1, l \leq l(\bar{\alpha}) \rangle : \text{for every } \zeta_0 < \omega_1 \text{ for some successor } \zeta, \\ \zeta_0 < \zeta < \omega_1 \text{ there is } \bar{r}' \in R_a, \bar{r} \leq \bar{r}' \text{ and } r'_i(\alpha_l)(\zeta) = c'_i \\ \text{for } l < l(\bar{\alpha}) \text{ and } r'_i \Vdash \text{“}h(\zeta) = c_{i(\bar{\alpha})}\text{”} \}.$$

As in the proof of Fact E, w.l.o.g. our \bar{r}^0 is such that $\text{Pos}^a(\bar{r}^0) = \text{Pos}^a(\bar{r})$ for any \bar{r} , $\bar{r}^0 \leq \bar{r} \in R_a$. Now we should consult Fact I, i.e., which of the three possibilities there holds. Note that we shall add many times $(\mathfrak{p}_n - 1)$ instead of subtracting.

First assume that (a) holds and $c(l)$ ($l < 3$) exemplifies it. By Fact H, there are $\langle c'_i : t \in T^1, l \leq l(\bar{\alpha}) \rangle$, $\langle d'_i : t \in T^1, l \leq l(\bar{\alpha}) \rangle$ in $\text{Pos}^a(\bar{r})$ such that $c'_i = d'_i$ except for $t = t_1$, $l = l(\bar{\alpha})$, and $c'_{i(\bar{\alpha})} = c(1)$, $d'_{i(\bar{\alpha})} = c(2)$; remember that in constructing a tree the interactions are only up to $\alpha_n - 1$. So we can find $\zeta^m < \omega_1$, $\bar{r}^m \in R_a$ by induction on $m \leq \mathfrak{p}_n$ such that:

(i) $\bar{r}^m \leq \bar{r}^m \leq r^1$, $\max(a) < \zeta^m < \zeta^{m+1}$, ζ^m a successor,

(ii) for every $t \in T^1$, and $l < l(\bar{\alpha})$ and $m > 0$,

$$r_i^{m+1}(\alpha_l)(\zeta^m) = c'_i, \quad r_i^1(\alpha_l)(\zeta^0) = d'_i,$$

(iii) for every $t \in T^1$

$$r_i^{m+1} \Vdash \text{“}h(\zeta^m) = c'_{i(\bar{\alpha})}\text{”}, \quad r_i^1 \Vdash \text{“}h(\zeta^1) = d'_{i(\bar{\alpha})}\text{”}.$$

So \bar{r}^p , $\{ \zeta_i : l < p \} \cup a$ (where $\mathfrak{p} = \mathfrak{p}_n$) are as required.

So we turn to case (b) and let $c(l)$ ($l = 0, 1, 2, 3, 4, 5$) exemplify this. We can find k_l ($l < 3$) such that $\sum_{i < 3} k_i c(2l) = 0 \pmod{\mathfrak{p}_n}$; $\sum_{i < 3} k_i = 0 \pmod{\mathfrak{p}_n}$ but $\sum_{i < 3} k_i c(2l+1) \neq 0 \pmod{\mathfrak{p}_n}$ w.l.o.g. $k_i > 0$, let $k = \sum_{i < 3} k_i$.

It is easy to see that we can find $\langle c_i^{l,m} : t \in T^1, l \leq l(\bar{\alpha}) \rangle \in R_a$, for $m = 0, 1, 2$, such that $c_i^{l,m} = c_i^{l,0}$ for $t \neq t_1$ or $l \leq l(\bar{\alpha}) - 2$, and $c_{i(\bar{\alpha})-1}^{l,m} = c(2m)$, $c_{i(\bar{\alpha})}^{l,m} = c(2m+1)$.

Now we can define \bar{r}^l , ζ^l , $m(l)$ ($1 \leq l \leq k$) by induction on l such that (\bar{r}^0 is given) $\bar{r}^l \leq \bar{r}^{l+1}$, $\text{Max } a < \zeta^l$, $\zeta^l < \zeta^{l+1}$, $m(1) = \dots = m(k_0) = 0$, $m(k_0+1) = \dots = m(k_0+k_1) = 1$, $m(k_0+k_1+1) = \dots = m(k_0+k_1+k_2) = 2, \dots$, $r'_i(\alpha_l)(\zeta^l) = c_i^{l,m(l)}$, $r'_i \Vdash \text{“}h(\zeta^l) = c_{i(\bar{\alpha})}^{l,m(l)}\text{”}$.

Clearly the last \bar{r}^l , \bar{r}^k is the \bar{r}' required in the Fact.

For the case (c) holds, the proof is similar.

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