

## Non standard uniserial module over a uniserial domain exists

Our aim is to prove:

**Theorem:** (ZFC) There exist a non standard uniserial modules over some uniserial domain (see 12).

The paper is self contained. It uses forcing - this can be eliminated easily but for me this has no point. Our example is in  $\aleph_1$  - we can replace it by any regular  $\kappa > \aleph_0$ . The problem appears in the version of a book of Fuchs and Salce on modules over uniserial domains in existence in April 1984.. An answer in the other direction would have simplified the subject, and I think, make unnecessary several proofs and distinctions.

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Subsequently Fuchs continues this work, investigating for which uniserial  $R$  there are such modules.

**0. Definition and Notation:** 1) Let  $R$  denote a uniserial domain, i.e., no zero divisors and  $\text{Id}(R) = \{I: I \text{ an ideal of } R\}$  is linearly ordered by inclusion. Let  $Q = Q_R$  be the field quotient. Let  $a, b, c, r, s$  denote member of  $R$ ,  $x, y, z$  denote members of an  $R$ -module,  $M, N$  denote  $R$ -modules. Let  $a \mid b$  mean  $a$  divides  $b$ .

2) An  $R$ -module is called standard if it is a homomorphic image of an  $R$ -submodule of  $Q$  (which is trivially an  $R$ -module) and  $M \neq 0$ .

3) An  $R$ -module is uniserial if its family of submodules is linearly ordered. (So we are assuming  $R$  itself is uniserial.)

**0A Remark:** Any standard  $R$ -module is uniserial.

This is well known.

**1. Fact:** Let  $M$  be a uniserial  $R$ -module; if  $x \in M$ ,  $ax \neq 0$  then for every  $b \in R, (b \neq 0): bx = 0$  if  $(b/a)(ax) \neq 0$  and  $a$  divides  $b$  in  $R$ .

**Proof:** If in  $R$   $a \mid b$  let  $b = ca$  so  $bx = 0 \iff cax = 0 \iff (b/a)(ax) = 0$ . So it suffices to prove  $a \mid b$  assuming  $bx = 0$ , but if  $a$  does not divide  $b$ ,  $b$  divides  $a$  so  $a = db$ , so  $ax = dbx = d = 0$  contradicting, an assumption.

**2. Definition :** 1) We call  $\langle a_{i,j}: i < j < \delta \rangle$  an  $I$ -representation of  $M$  (for  $M$  a uniserial module over a uniserial domain  $R$ ) if:

(i)  $I$  is an ideal of  $R, I \neq R$ .

(ii)  $a_{i,j} \in R, a_{i,j} \neq 0$ .

(iii) for  $\alpha < \beta < \gamma < \delta, a_{\alpha,\gamma} - a_{\alpha,\beta}a_{\beta,\gamma} \in a_{0,\gamma}I$ .

(iv) there are  $x_i \in M (i < \delta)$  such that  $M$  is generated by  $\{x_i: i < \delta\}$ , and:

$$I = \{r \in R: rx_0 = 0\}, \quad a_{ij}x_j = x_i$$

2) We call  $\langle a_{i,j}: i < j < \delta \rangle$  an  $I$ -representation for  $R$  if (i),(ii),(iii) above holds.

**3. Claim:** Every uniserial  $R$ -module  $M$  has an  $I$ -representation ( for some ideal  $I$  of  $R$ ).

**Proof :** Easy. Choose by induction on  $i, x_i \in M (\neq 0)$   $x_i$  not in the submodule generated by  $\{x_j: j < i\}$ . Say  $\delta$  is the first for which  $x_\delta$  is not defined. Clearly  $\delta$  exists and is  $< ||M||^+$ . For  $i < j$ , as  $x_j \notin Rx_i$ , by uniseriality  $x_i \in Rx_j$  so for some  $a_{i,j} \in R, x_i = a_{i,j}x_j$ . Now for  $\alpha < \beta < \gamma < \delta, a_{\alpha,\gamma}x_\gamma = x_\alpha = a_{\alpha,\beta}x_\beta = a_{\alpha,\beta}(a_{\beta,\gamma}x_\gamma)$ . So  $(a_{\alpha,\gamma} - a_{\alpha,\beta}a_{\beta,\gamma})x_\gamma = 0$ . As  $a_{0,\gamma}x_\gamma = x_0 \neq 0$ , we finish by Fact 1.

**Remark:** Clearly  $\delta > 0$  for  $M \neq 0$ , and if  $\delta$  is a successor ordinal then  $M$  is standard.

**4. Claim:** 1) If  $\langle a_{i,j}: i < j < \delta \rangle$  is an  $I$ -representation for  $R$  then some  $R$ -module  $M$  is  $I$ -represented by  $\langle a_{i,j}: i < j < \delta \rangle$ .

2) Moreover  $M$  is unique up to isomorphism and is uniserial

**Proof:** Let  $M$  be an  $R$ -module generated freely by  $\{x_i: i < \delta\}$  except the relations:

$$(a) \quad rx_0 = 0 \text{ (for } r \in I)$$

$$(b) \quad x_i - a_{i,j}x_j = 0 \text{ for } i < j < \delta.$$

2) The uniqueness is trivial, so we shall prove that  $M$  constructed in (1) is uniserial. It is easy to see that (by the relations (b)).

$$(*) \text{ for every } y \in M \text{ for some } i < \delta, r \in R: y = rx_i.$$

Now suppose  $K$  is a submodule of  $M, K \neq M$ , and we shall prove that for some  $\xi < \delta, K \subseteq Rx_\xi$ . This suffices [ if  $K_1, K_2$  are submodules of  $M$ , if  $K_1 = M$  or  $K_2 = M$  they are comparable so we finish; if  $K_1, K_2 \neq M$  there are  $\xi_1, \xi_2 < \delta$  such that  $K_1 \subseteq Rx_{\xi_1}, K_2 \subseteq Rx_{\xi_2}$ ; let  $\xi = \text{Max}\{\xi_1, \xi_2\}$ , so  $K_1, K_2$  are  $R$ -submodules of  $Rx_\xi$ , which is uniserial by OA, hence  $K_1 \subseteq K_2$  or  $K_2 \subseteq K_1$ ].

As  $K \neq M$  for some  $\xi, x_\xi \notin K$ . Assume  $K \not\subseteq Rx_\xi$ , so for some  $y \in K, y \notin Rx_\xi$ . By (\*) above for some  $\zeta < \delta$  and  $r \in R, y = rx_\zeta$ . Now  $\xi < \zeta$  [otherwise  $y = rx_\zeta \in Rx_\xi \subseteq Rx_\xi$  contradiction to the choice of  $y$ ]; As  $y \neq 0, r \neq 0$ , and  $a_{\xi,\zeta} \neq 0$ , in  $R$   $r$  divides  $a_{\xi,\zeta}$  or  $a_{\xi,\zeta}$  divides  $r$  (or both).

If  $a_{\xi,\zeta}$  divides  $r$ , then

$$y = rx_\zeta = (r/a_{\xi,\zeta})(a_{\xi,\zeta}x_\zeta) = (r/a_{\xi,\zeta})x_\xi \in Rx_\xi$$

contradiction to the choice of  $y$ .

If  $r$  divides  $a_{\xi,\zeta}$  then

$$x_\xi = a_{\xi, \xi} x_\xi = (a_{\xi, \xi} / r) r x_\xi \in R(r x_\xi) = R y \subseteq K$$

contradiction to the choice of  $\xi$ .

So  $K \subseteq R x_\xi$ . We previously show that this (i.e. for every  $R$ -submodule  $K$  of  $M$ ,  $K \subseteq R x_\xi$  for some  $\xi$ ) suffice.

**5. Lemma** : A uniserial  $R$ -module with  $I$ -representation  $\langle a_{i,j} : i < j < \delta \rangle$  is standard iff for some  $c_i \in R (i < \delta)$  for every  $i < j < \delta$ :

$$(i) \frac{c_i^{-1}}{a_{0,i}} - \frac{c_j^{-1}}{a_{0,j} / a_{i,j}} \in I$$

(ii)  $c_i^{-1} \in R$ , i.e., each  $c_i$  is a unit.

**5A. Remark:** We can replace is (i), (iii),  $c_i^{-1}$  by  $c_i, c_j^{-1}$  by  $c_j$ .

**Proof** : First suppose that there are such  $c_i (i < \delta)$ . Let  $J_i = (1/a_{0,i})R \subseteq Q$  and define a function from  $J_i$  into  $M$  by

$$f_i((1/a_{0,i})r) = r c_i x_i \quad \text{for } r \in R$$

Clearly  $f_i$  is a homomorphism from one  $R$ -module to another.

It is onto  $R x_i$  as  $c_i$  is invertible in  $R$ .

We shall prove that

(\*) for  $i < j < \delta$ ,  $f_i \subseteq f_j$ .

This suffice as then  $\bigcup_{i < \delta} f_i$  is a homomorphism from  $\bigcup_{i < \delta} J_i$  onto  $M$ . For proving (\*) it suffices to prove:

$$(**) f_i(1/a_{0,i}) = f_j(1/a_{0,i})$$

First  $1/a_{0,i} \in \text{Dom}(f_j)$ , [this is equivalent to  $1/a_{0,i} \in R(1/a_{0,j})$  which is equivalent to  $a_{0,j} \in R a_{0,i}$ , if this fails then by the uniseriality of  $R$ , for some  $s \in R$  which is not a unit,  $a_{0,i} = s a_{0,j}$  so

$$a_{0,j} (1 - s a_{i,j}) = a_{0,j} - a_{0,i} a_{i,j} \in a_{0,j} I$$

as  $R$  has no zero divisors,  $1 - sa_{i,j} \in I$ ; as  $s$  is not a unit  $sR$  is a proper ideal, but  $1 = sa_{i,j} + (1 - sa_{i,j}) \in sR + I$ , but  $sR \subset I$  or  $I \subset sR$ , so necessarily  $I \subset sR, 1 \in I$  but then  $x_0 = 0$  contradiction]. Second, we can confirm (\*\*) remember we have shown above  $a_{0,j} \in R, a_{0,i}$  hence  $a_{0,j}/a_{0,i} \in R$ ):

$$\begin{aligned} f_j(1/a_{0,i}) &= f_j((a_{0,j}/a_{0,i})(1/a_{0,j})) = (a_{0,j}/a_{0,i}) c_j x_j \\ f_i(1/a_{0,i}) &= c_i x_i = c_i a_{i,j} x_j \end{aligned}$$

So it is enough to show that

$$\left(\frac{a_{0,j}}{a_{0,i}} c_j - a_{i,j} c_i\right) x_j = 0$$

equivalently (see Fact 1):

$$\frac{a_{0,j}}{a_{0,i}} c_j - a_{i,j} c_i \in a_{0,j} I$$

equivalently

$$\frac{c_j}{a_{0,i}} - \frac{c_i}{a_{0,j}/a_{i,j}} \in I$$

Multiplying by  $c_j^{-1} c_i^{-1}$  we get (i) of the hypothesis, i.e., the demand holds (Note that for a unit  $c, cI = I$ ).

We have proved the "if" part of Lemma 5.

For the only "if" part suppose  $J$  is an  $R$ -submodule of  $Q$ ,  $f: J \rightarrow M$  an onto homomorphism. W.l.o.g.  $f(1) = x_0$  so  $R \subset \text{Dom } f, 1 \notin \text{Ker } f = I$ . For every  $i$ , let  $x_i = f(y_i)$ .  $y_i \in J$ . If  $y_i \in R(1/a_{0,i})$  let for some  $r \in R$ ,  $y_i = r/a_{0,i}$ , then  $a_{0,i} y_i = r$  hence  $f(r) = f(a_{0,i} y_i) = a_{0,i} f(y_i) = a_{0,i} x_i = x_0 = f(1)$ , so  $f(1-r) = 0$  hence  $1-r \in I$ , hence  $r^{-1} \in R$  [otherwise  $Rr \not\subset R$ , so  $Rr \cup R(1-r)$  is a proper ideal contradiction]. So  $[y_i \in R(1/a_{0,i}) \implies 1/a_{0,i} \in Ry_i]$ . As  $y_i, 1/a_{0,i} \in Q$ ,  $Q$  a uniserial  $R$ -module this implies  $1/a_{0,i} \in Ry_i$ , so for some  $c_i \in R$ ,  $1/a_{0,i} = c_i y_i$ . As  $y_i \in J$  clearly  $1/a_{0,i} \in J$ . Now

$$\begin{aligned} x_0 = f(1) &= f(a_{0,i} \cdot (1/a_{0,i})) = a_{0,i} f(1/a_{0,i}) = a_{0,i} c_i x_i = c_i x_0 \\ &= a_{0,i} f(c_i y_i) = a_{0,i} c_i f(y_i) \end{aligned}$$

so  $(1-c_i)x_0 = 0$  hence  $1-c_i \in I$ , so as in an argument above  $c_i$  is a unit except when  $I=R$  which is excluded.

So  $1/a_{0,i} = c_i y_i$ ,  $c_i \in R$  a unit. By (iii) of Definition 2 with  $G, i, j$  here standing for  $\alpha, \beta, \gamma$  there,  $1 - \frac{a_{0,i} a_{i,j}}{a_{0,j}} \in I$  so (when  $I \neq R$ )  $a' \stackrel{\text{def}}{=} \frac{a_{0,i}}{a_{0,j}} a_{i,j}$  is a unit of  $R$ , as  $a_{i,j} \in R$  this implies  $\frac{a_{0,j}}{a_{0,i}} = a_{i,j}/a' \in R$ . Now

$$\begin{aligned} 0 = f(0) &= f(1/a_{0,i} - 1/a_{0,i}) = f(1/a_{0,i}) - f((a_{0,j}/a_{0,i}) \cdot 1/a_{0,j}) = \\ &= f(c_i y_i) - (a_{0,j}/a_{0,i}) f(c_j y_j) = \\ &= c_i x_i - (a_{0,j}/a_{0,i}) c_j x_j = c_i a_{i,j} x_j - (a_{0,j}/a_{0,i}) c_j x_j = \\ &= (c_i a_{i,j} - (a_{0,j}/a_{0,i}) c_j) x_j \end{aligned}$$

hence  $[c_i a_{i,j} - (a_{0,j}/a_{0,i}) c_j]/a_{0,j} \in I$  and we can finish.

For a while we make

**6. Assumption:**  $M$  is a non-standard model of  $Th(\mathbb{Z})$  of power  $\aleph_1$  not  $\aleph_1$ -like,  $M = \bigcup_{i < \omega_1} M_i$ ,  $M_i < M, M_i$  increasing continuous, each  $M_i$  countable,  $p \in M$  a prime  $R = R_M^p$  is  $\{a/b; a, b \in M, M \models "p \text{ does not divide } b" \}$ .

Let  $Q \supset R$  be the field of quotients of  $R$ .

Easily  $R$  is a uniserial domain. Let  $b$  be a member of  $M$ . let  $\langle d(\alpha): \alpha < \omega_1 \rangle$  be a sequence of members of  $M$  increasing,  $d(\alpha) < b$ ,  $b, p \in M_0$ ,  $d(\alpha) \in M_{\alpha+1}$ . Let  $Q_i$  be the field of quotients of  $M_i$ ,  $R_i = R \cap Q_i$ .

Clearly we can find  $M$  as above, and then  $b, d(\alpha)$ .

**7. Definition :** Let  $I = \{c \in R: p^b | c\}$ , it is an ideal.

We define a set  $P$ ; its members have the form:

$$\langle a_{i,j}: i < j, i \in u, j \in u \rangle$$

such that

(i)  $u$  a finite subset of  $\omega_1, 0 \in u$ .

(ii) for  $\alpha < \beta < \gamma$  all in  $u$ ,

$$\left( \frac{a_{\alpha,\gamma} - a_{\alpha,\beta} a_{\beta,\gamma}}{a_{0,\gamma}} \right) \in I.$$

(iii)  $a_{\alpha,\beta}$  is divisible by  $p^{d(\beta)-d(\alpha)}$  but not by  $p^{d(\beta)-d(\alpha)+1}$  in  $R$  (exponentiation in  $M$ ).

$$(iv) a_{i,j} \in R_{j+1}$$

[we write  $a_{ij} = a_{ij}^r$ ,  $u = u^r$  where  $r = \langle a_{i,j} : i < j, i \in u, j \in u \rangle$ ].

We stipulate  $a_{i,i} = 1$ . The order of  $P$  is natural.

**8. Fact:** If  $r = \langle a_{ij}^r : i < j \in u^r \rangle \in P$ ,  $\xi < \omega_1$  then there is  $q, r < q \in P, \xi \in u^q$ .

**Proof :** If  $\xi \in u^r$  let  $q = p$ , otherwise suppose  $i_1 < \dots < i_\ell < \xi < i_{\ell+1} < \dots < i_m$ ,  $u^r = \{i_1, \dots, i_m\}$ , (remember  $i_0 = 0$ ) and let  $a_{i,j} = a_{i,j}^r$ .

We now define  $q$ :

$$u^q = u^r \cup \{\xi\}$$

$$a_{i,j}^q = \begin{cases} a_{i,j} & \text{if } i < j, i \in u^r, j \in u^r \\ a_{i,i_\ell} p^{d(\xi)-d(i_\ell)} & \text{if } i \in \{i_1, \dots, i_\ell\}, j = \xi \\ \frac{a_{i_{\ell+1},j} a_{i_\ell, i_{\ell+1}}}{p^{d(\xi)-d(i_\ell)}} & \text{if } j \in \{i_{\ell+1}, \dots, i_m\} \quad i = \xi \end{cases}$$

We shall now check that  $q \in P$ .

Properties (i), (iii) and (iv) of Definition 7 are easy, so let us check (ii).

So let  $\alpha < \beta < \gamma$  be in  $u^r$ .

**Case A:**  $\alpha = \xi$ .

$$\frac{a_{\alpha,\gamma}^q - a_{\alpha,\beta}^q a_{\beta,\gamma}^q}{a_{0,\gamma}^q} = (\text{by the third case in the definition of } a_{i,j}^q).$$

$$\frac{a_{i_{\ell+1}, \gamma} a_{i_{\ell}, i_{\ell+1}} p^{-(d(\alpha)-d(i_{\ell}))} - a_{i_{\ell+1}, \beta} a_{i_{\ell}, i_{\ell+1}} p^{-(d(\alpha)-d(i_{\ell}))} a_{\beta, \gamma}}{a_{0, \gamma}} =$$

$$\frac{a_{i_{\ell}, i_{\ell+1}}}{p^{d(\alpha)-d(i_{\ell})}} \frac{a_{i_{\ell+1}, \gamma} - a_{i_{\ell+1}, \beta} a_{\beta, \gamma}}{a_{0, \gamma}} \in I$$

Because the left term is in  $R$  (by (iii) of Definition 7 for  $p$ ) and the right term is in  $I$  (by (ii) of Definition 7 for  $p$ ).

**Case B:  $\beta = \xi$ .**

$$\frac{a_{\alpha, \gamma}^q - a_{\alpha, \beta}^q a_{\beta, \gamma}^q}{a_{0, \gamma}^q} =$$

$$\frac{a_{\alpha, \gamma} - (a_{\alpha, i_{\ell}} p^{d(\beta)-d(i_{\ell})}) (a_{i_{\ell+1}, \gamma} a_{i_{\ell}, i_{\ell+1}} p^{-(d(\beta)-d(i_{\ell}))})}{a_{0, \gamma}} =$$

$$\frac{a_{\alpha, \gamma} - a_{\alpha, i_{\ell}} a_{i_{\ell}, i_{\ell+1}} a_{i_{\ell+1}, \gamma}}{a_{0, \gamma}} =$$

$$\frac{a_{\alpha, \gamma}}{a_{0, \gamma}} - a_{\alpha, i_{\ell}} \frac{a_{i_{\ell}, i_{\ell+1}} a_{i_{\ell+1}, \gamma}}{a_{0, \gamma}} =$$

$$\frac{a_{\alpha, \gamma}}{a_{0, \gamma}} - a_{\alpha, i_{\ell}} \frac{a_{i_{\ell}, \gamma}}{a_{0, \gamma}} + a_{\alpha, i_{\ell}} \left( \frac{a_{i_{\ell}, \gamma}}{a_{0, \gamma}} - \frac{a_{i_{\ell}, i_{\ell+1}} a_{i_{\ell+1}, \gamma}}{a_{0, \gamma}} \right)$$

$$= \frac{a_{\alpha, \gamma} - a_{\alpha, i_{\ell}} a_{i_{\ell}, \gamma}}{a_{0, \gamma}} + a_{\alpha, i_{\ell}} \frac{a_{i_{\ell}, \gamma} - a_{i_{\ell}, i_{\ell+1}} a_{i_{\ell+1}, \gamma}}{a_{0, \gamma}} \in I$$

as the first term is in  $I$  (by (ii) of Definition 7 for  $p$ ) and the second term is in  $I$  as a members of  $I$  times  $a_{\alpha, i_{\ell}} \in R$  so as  $I$  is an ideal it belongs to  $I$ .

**Case C:  $\gamma = \xi$**

$$\frac{a_{\alpha, \gamma}^q - a_{\alpha, \beta}^q a_{\beta, \gamma}^q}{a_{0, \gamma}^q} =$$

$$= \frac{a_{\alpha, i_{\ell}} p^{(d(\gamma)-d(i_{\ell}))} - a_{\alpha, \beta} a_{\beta, i_{\ell}} p^{d(\gamma)-d(i_{\ell})}}{a_{0, i_{\ell}} p^{d(\gamma)-d(i_{\ell})}}$$

$$= \frac{a_{\alpha, i_{\ell}} - a_{\alpha, \beta} a_{\beta, i_{\ell}}}{a_{0, i_{\ell}}} \in I$$

**Case D:  $\alpha, \beta, \gamma \neq \xi$ .**



Trivial.

So we have proved  $q \in P$ . Easily  $p \leq q, \xi \in u^q$ , so we finish.

**9. Main Fact:** Suppose  $u_0 < u_1 < u_2$  (all finite subsets of  $\omega_1$ , not empty for simplicity,  $u < v$  means  $\forall \alpha \in u \ \forall \beta \in v \ \alpha < \beta$ ) non empty, and

$$\begin{aligned} r^\ell &\in P \quad \text{for } \ell = 0, 1, 2, \\ u^{r^0} &= u_0, \quad u^{r^1} = u_0 \cup u_1, \quad u^{r^2} = u_0 \cup u_2 \\ r^0 &\leq r^1, \quad r^0 \geq r^1 \end{aligned}$$

Let  $\xi_\ell = \text{Min } u_\ell$  for  $\ell = 1, 2$ , and  $c_1, c_2 \in R$  are units of  $R$ .

Then we can find  $r \in P$ ,  $r^1 \leq r$ ,  $r^2 \leq r$ , such that

$$\frac{c_1}{a_{0, \xi_1}^r} - \frac{c_2}{a_{0, \xi_2}^r / a_{\xi_1, \xi_2}^r} \notin I$$

Let  $\zeta_\ell = \text{Max } u_\ell$ .

**10. Subject:** We can find an element  $a$  of  $R$  such that

( $\alpha$ )  $p^{d(\xi_2)-d(\xi_1)}$  divides  $a$  but  $p^{d(\xi_2)-d(\xi_1)+1}$  does not divide  $a$  (in  $R$ ).

$$(\beta) \frac{a_{\xi_0, \xi_2}^{r^2} - a_{\xi_0, \xi_1}^{r_1} a}{a_{0, \xi_2}^{r^2}} \in I$$

$$(\gamma) \frac{c_1}{a_{0, \xi_1}^{r_1}} - \frac{c_2}{a_{0, \xi_2}^{r^2} / (a_{\xi_1, \xi_1}^{r_1} a)} \notin I$$

( $\delta$ )  $a \in M_{\xi_2+1}$

**Proof:** We shall choose some  $t \in I \cap M_{\xi_2+1}$  and let

$$a = \frac{a_{\xi_0, \xi_2}^{r^2} - a_{0, \xi_2}^{r^2} t}{a_{\xi_0, \xi_1}^{r_1}}$$

Now  $t \in I$  guarantees ( $\beta$ ) (just substitute and compute, and you shall get  $t$ ) and  $t \in M_{\xi_2+1}$  guarantee ( $\delta$ ) (as  $\xi_1, \xi_0 \leq \xi_2$  and use (iv) from 7). Also ( $\alpha$ ) is immediate:  $a_{0, \xi_2}^{r^2}$  is divisible by  $p^{d(\xi_2)}$  hence  $a_{0, \xi_2}^{r^2} t$  is divisible by

$p^{d(\xi_2)-d(\xi_0)+1}$ , but  $a_{\xi_0, \xi_2}^{r_2}$  is not; so  $a_{\xi_0, \xi_2}^{r_2} - a_{0, \xi_2}^{r_2} t$  is divisible by  $p^{d(\xi_2)-d(\xi_0)}$  but not by  $p^{d(\xi_2)-d(\xi_0)+1}$ . Using (iii) of Definition 7 on  $a_{\xi_0, \xi_1}^{r_1}$  we finish.

We are left with  $(\gamma)$ , it means now

$$\frac{c_1}{a_{0, \xi_1}^{r_1}} - \frac{c_2}{a_{0, \xi_2}^{r_2}} \cdot a_{\xi_1, \xi_1}^{r_1} \left( \frac{a_{\xi_0, \xi_2}^{r_2} - a_{0, \xi_2}^{r_2} t}{a_{\xi_0, \xi_1}^{r_1}} \right) \notin I$$

this is equivalent to:

$$(*) \frac{c_1}{a_{0, \xi_1}^{r_1}} - \frac{c_2 a_{\xi_1, \xi_1}^{r_1} a_{\xi_0, \xi_2}^{r_2}}{a_{0, \xi_2}^{r_2} a_{\xi_0, \xi_1}^{r_1}} + \frac{c_2 a_{\xi_1, \xi_1}^{r_1} a_{0, \xi_2}^{r_2} t}{a_{0, \xi_2}^{r_2} a_{\xi_0, \xi_1}^{r_1}} \notin I$$

If for  $t = 0$   $(*)$  holds, we finish, so we can assume

$$s \stackrel{\text{def}}{=} \frac{c_1}{a_{0, \xi_1}^{r_1}} - \frac{c_1 a_{\xi_1, \xi_1}^{r_1} a_{\xi_0, \xi_2}^{r_2}}{a_{0, \xi_2}^{r_2} a_{\xi_0, \xi_1}^{r_1}} \in I, \text{ so } (*) \text{ is then equivalent to}$$

$$(*)' \frac{c_2 a_{\xi_1, \xi_1}^{r_1} a_{0, \xi_2}^{r_2}}{a_{0, \xi_2}^{r_2} a_{\xi_0, \xi_1}^{r_1}} t \notin I \quad \text{i.e.,} \quad \frac{c_2 a_{\xi_1, \xi_1}^{r_1}}{a_{\xi_0, \xi_1}^{r_1}} t \notin I$$

By applying (iii) of Definition 7 to all  $a_{i,j}$ 's appearing in  $(*)'$  and remembering that for a unit  $c$  of  $R$   $cI = I$  and  $c \in R$  is a unit iff  $p$  does not divide  $c$  for  $R$ ,  $(*)'$  is equivalent to

$$(*)'' t \in I \text{ but } \frac{p^{d(\xi_1)-d(\xi_1)} p^{d(\xi_2)}}{p^{d(\xi_2)} p^{d(\xi_1)-d(\xi_0)}} t \notin I$$

which means  $t \in I$  but  $t/p^{d(\xi_1)-d(\xi_0)} \notin I$ , which is easily accomplished by choosing  $t = p^b \in M_0$ .

Now we define  $r$ :

$$u^r = u^{r_1} \cup u^{r_2} = u_0 \cup u_1 \cup u_2$$

$$a_{ij}^r = \begin{cases} a_{i,j}^{r_1} & \text{if } i, j \in u^{r_1} & (a) \\ a_{i,j}^{r_2} & \text{if } i, j \in u^{r_2} & (b) \\ a_{i,\xi_1} a_{\xi_2,j} & \text{if } i = u_1, j \in u_2 & (c) \end{cases}$$

(remember  $a_{\xi_2,\xi_2} = 1$ )

Again condition (i) + (iii) + (iv) are easy. Let us try (ii).

So  $\alpha < \beta < \gamma$ .

Case A:  $\alpha \in u_0, \beta \in u_1, \gamma \in u_2$ .

$$\begin{aligned} \frac{a_{\alpha,\gamma}^r - a_{\alpha,\beta}^r a_{\beta,\gamma}^r}{a_{0,\gamma}^r} &= \frac{a_{\alpha,\gamma}^{r_2} - a_{\alpha,\beta}^{r_1} a_{\beta,\xi_1}^{r_1} a_{\xi_2,\gamma}^{r_2}}{a_{0,\gamma}^r} \equiv \text{mod } I \\ &= \frac{a_{\alpha,\xi_2}^{r_2} a_{\xi_2,\gamma}^{r_2} - a_{\alpha,\beta}^{r_1} a_{\beta,\xi_1}^{r_1} a_{\xi_2,\gamma}^{r_2}}{a_{0,\gamma}^{r_2}} \equiv \\ &= \frac{a_{\xi_2,\gamma}^{r_2} a_{\alpha,\xi_2}^{r_2} - a_{\alpha,\beta}^{r_1} a_{\beta,\xi_1}^{r_1} a_{\xi_2,\gamma}^{r_2}}{a_{0,\gamma}^{r_2}} \equiv \\ &= \frac{a_{\xi_2,\gamma}^{r_2} a_{0,\xi_2}^{r_2}}{a_{0,\gamma}^{r_2}} \frac{a_{\alpha,\xi_2}^{r_2} - a_{\alpha,\beta}^{r_1} a_{\beta,\xi_1}^{r_1}}{a_{0,\xi_2}^{r_2}} \end{aligned}$$

Now  $\frac{a_{\xi_2,\gamma}^{r_2} a_{0,\xi_2}^{r_2}}{a_{0,\gamma}^{r_2}}$  is a unit, so we can forget it

$$\frac{a_{\alpha,\xi_2}^{r_2} - a_{\alpha,\beta}^{r_1} a_{\beta,\xi_1}^{r_1}}{a_{0,\xi_2}^{r_2}} \equiv \frac{a_{\alpha,\xi_0}^{r_0} a_{\xi_0,\xi_2}^{r_2} - a_{\alpha,\beta}^{r_1} a_{\beta,\xi_1}^{r_1}}{a_{0,\xi_2}^{r_2}}$$

Now  $(a_{\alpha,\beta}^{r_1} a_{\beta,\xi_1}^{r_1}) \frac{a}{a_{0,\xi_2}^{r_2}} \equiv (a_{\alpha,\xi_0}^{r_0} a_{\xi_0,\xi_1}^{r_1}) \frac{a}{a_{0,\xi_2}^{r_2}} \text{ mod } I$  holds

[as  $\frac{a_{\alpha,\beta}^{r_1} a_{\beta,\xi_1}^{r_1} - a_{\alpha,\xi_0}^{r_0} a_{\xi_0,\xi_1}^{r_1}}{a_{0,\xi_1}} \equiv 0 \text{ mod } \frac{a_{0,\xi_2}}{a_{0,\xi_1}, a}$  holds, which hold by using twice

(ii) of Definition 7, and computing power of  $p$  in the left side].

So

$$\begin{aligned} \frac{a_{\alpha, \xi_0}^{r_0} a_{\xi_0, \xi_2}^{r_2} - a_{\alpha, \beta}^{r_1} a_{\beta, \xi_1}^{r_1} a}{a_{0, \xi_2}^r} &= \frac{a_{\alpha, \xi_0}^{r_0} a_{\xi_0, \xi_2}^{r_2} - a_{\alpha, \xi_0}^{r_1} a_{\xi_0, \xi_1}^{r_1} a}{a_{0, \xi_2}^r} \\ &= a_{\alpha, \xi_0}^{r_0} \frac{a_{\xi_0, \xi_2}^{r_2} - a_{\xi_0, \xi_1}^{r_1} a}{a_{0, \xi_2}^r} \in I \end{aligned}$$

the " $\in$ " holds by  $(\beta)$  above. So we finish Case A.

**Case B:**  $\alpha, \beta \in u_1, \gamma \in u_2$

$$\begin{aligned} \frac{a_{\alpha, \gamma}^r - a_{\alpha, \beta}^r a_{\beta, \gamma}^r}{a_{0, \gamma}^r} &= \frac{a_{\alpha, \xi_1}^{r_1} a_{\xi_2, \gamma}^{r_2} - a_{\alpha, \beta}^{r_1} a_{\beta, \xi_1}^{r_1} a_{\xi_2, \gamma}^{r_2}}{a_{0, \gamma}^{r_2}} \\ &= a_{\xi_2, \gamma}^{r_2} a \left[ \frac{a_{\alpha, \xi_1}^{r_1} - a_{\alpha, \beta}^{r_1} a_{\beta, \xi_1}^{r_1}}{a_{0, \gamma}^r} \right] \end{aligned}$$

by computing power of  $p$  this term belongs to  $I$  iff

$$\frac{a_{\alpha, \xi_1}^{r_1} - a_{\alpha, \beta}^{r_1} a_{\beta, \xi_1}^{r_1}}{a_{0, \xi_1}^{r_1}} \in I$$

which holds.

**Case C:**  $\alpha \in u_1, \beta, \gamma \in u_2$ .

$$\begin{aligned} \frac{a_{\alpha, \gamma}^r - a_{\alpha, \beta}^r a_{\beta, \gamma}^r}{a_{0, \gamma}^r} &= \frac{a_{\alpha, \xi_1}^{r_1} a_{\xi_2, \gamma}^{r_2} - a_{\alpha, \xi_1}^{r_1} a_{\xi_2, \beta}^{r_2} a_{\beta, \gamma}^{r_1}}{a_{0, \gamma}^r} \\ &= a_{\alpha, \xi_1}^{r_1} a \left[ \frac{a_{\xi_2, \gamma}^{r_2} - a_{\xi_2, \beta}^{r_2} a_{\beta, \gamma}^{r_1}}{a_{0, \gamma}^r} \right] \in I \end{aligned}$$

**Case D:**  $\{\alpha, \beta, \gamma\} \subset u_0 \cup u_1$  or  $\{\alpha, \beta, \gamma\} \subset u_0 \cup u_2$ .

Trivial.

**11. Conclusion:** If  $G \subset P$  is generic over  $V$  then in the new universal  $V[G]$  over  $R$  there is a non standard uniserial  $R$ -module.

**Proof :** We can deal with  $I$ -representation. Let for  $i < j < \omega_1$   $a_{i,j}$  be  $a_{i,j}^r$  when  $r \in G, \{i,j\} \subset u^r$ , this is well defined as:

(A)  $a_{i,j}$  has at most one value as  $G$  is directed.

(B)  $a_{i,j}$  has at least one value [as by Fact B the sets  $\{r \in P: i \in u^r\}$ ,  $\{r \in P: j \in u^r\}$  are dense subsets of  $P$ , hence their intersection is. As  $G$  is generic,  $G$  is not disjoint to this intersection.] Now easily  $\langle a_{i,j}: i < j < \omega_1 \rangle$  is an  $I$ -representation (over  $R$ ). Why it represents a non standard uniserial module? Otherwise (letting  $\underset{\sim i}{a}$  be the name for  $a_{i,j}$  defines above) there are  $P$ -name  $\underset{\sim i}{c}$  and  $r \in P$  such that

(C)  $r \Vdash_P \underset{\sim i}{c}$  is a unit of  $P$ , and  $\frac{\underset{\sim i}{c}}{\underset{\sim 0,i}{a}} - \frac{\underset{\sim j}{c}}{\underset{\sim 0,j}{a} / \underset{\sim i,j}{a}} \in I$  for every  $i < j < \omega_1$ .

As  $R$  consists of members of  $V$ , there are for  $i < \omega_1$ ,  $r_i \in P$ ,  $r \leq r_i$  and  $c_i^1 \in P$   $r_i \Vdash_P \underset{\sim i}{c} = c_i^1$ . Now using Fodor Lemma and Fact 9 we get a contradiction.

Originally we have then replaced forcing by  $\diamond_{\aleph_1}$ , but it is better to have:

**12. Theorem** : (ZFC): There is a uniserial non standard module over some uniserial domain.

**Proof** : If we look carefully at the proof of this we can see that we have proved (and we shall prove):

(a) in  $V[G]$ , for every limit ordinal  $\delta < \omega_1$  and unit  $c \in R$ , for every large enough  $i < \delta$ .  $\frac{c}{a_{0,\delta}/a_{i,\delta}}$  is not  $I$ -equivalent to any member of  $R_\delta$ .

**13. Observation**: If  $\frac{c}{a_{0,\delta}/a_{i,\delta}} + I \notin \{x+I: x \in M_\delta\}$  and  $i < j < \delta$  then  $\frac{c}{a_{0,\delta}/a_{j,\delta}} + I \notin \{x+I: x \in M_\delta\}$ .

**Proof** : Suppose  $\frac{c}{a_{0,\delta}/a_{j,\delta}} = x+t$ ,  $t \in I$ ,  $x \in M_\delta$ . Then

$$\frac{c}{a_{0,\delta}/a_{i,\delta}} = c \frac{a_{i,\delta}}{a_{0,\delta}} \equiv c \frac{a_{i,j} a_{j,\delta}}{a_{0,\delta}} = \text{mod } I$$

$$a_{i,j} \left( \frac{c}{a_{0,\delta}/a_{j,\delta}} \right) = a_{i,j} (x+t) = a_{i,j} x + a_{i,j} t$$

Now  $a_{i,j}x \in M_\delta$  (as  $a_{i,j} \in M_{j+1} \subset M_\delta$ ,  $x \in R_\delta$ ), and  $a_{i,j}t \in I$  (as  $a_{i,j} \in R$ ,  $t \in I$ ).

**Proof of (a):** Suppose  $r \in P$ ,

$r \Vdash_P \delta < \omega_1$  is a limit ordinal,  $c$  a unit of  $R$  and  $\delta, c$ , contradict (a)".

By Fact 8 w.l.o.g.  $\delta \in u^r$ . Now let  $u_0 = u^r \cap \delta$ ,  $u_2 = u^r - \delta$ ,  $r^0 = r \upharpoonright u_0$ ,  $r^2 = r$ , and find  $u_1, r_1$  so that the assumptions of 9 holds ( $u_2 \neq \emptyset$  as  $\delta \in u_2$ ,  $u_0 \neq \emptyset$  as  $0 \in u_0$ ). Let  $c_i = c$ . We repeat the proof of 9 but in (7) of 10 replace  $c_2$  by  $c$  and  $\mathcal{A} I$  by  $\mathcal{A} I + M_{\xi_2}$ , and drop  $\frac{c_1}{a_{0,\xi_1}}$  i.e. we use

$$(\gamma)' \quad \frac{c}{a_{0,\xi_2}^{r_2} / (a_{\xi_1,\xi_1}^{r_1} a)} \mathcal{A} I + M_\delta.$$

As we demand  $a \in M_{\xi_2+1}$ , and can assume  $M_{\xi_2+1}$  is quite large compared to  $M_{\xi_2}$  (though countable) there is no problem. [Let  $e_i \in R$  ( $i < \omega_1$ ) be distinct units,  $e_i - e_j$  not divisible by  $p$  then for  $i \neq j$ :

$$\frac{c a_{\xi_1,\xi_1}^{r_1}}{a_{\xi_0,\xi_1}^{r_1}} (p^b e_i) - \frac{c a_{\xi_1,\xi_1}^{r_1}}{a_{\xi_0,\xi_1}^{r_1}} (p^b e_j) \notin I; \text{ as } M_\delta \text{ is countable, for some } i$$

$$\frac{c a_{\xi_1,\xi_1}^{r_1}}{a_{\xi_0,\xi_1}^{r_1}} (p^b e_i) \notin I + M_\delta. \text{ For being able to repeat the argument in } M_{\xi_2+1} \text{ it}$$

is enough that in  $M_{\xi_2+1}$  there is a "finite" set to which every  $x \in M_{\xi_2}$  "belongs", which is easy. Alternatively change the forcing as to allow us to choose  $a \in M$ , so that the forcing fail the  $\aleph_1$ -c.c. but is still proper see [Sh 2], Ch. III.] So we find  $r^1$ .

$$r \leq r^1 \in P, \quad \frac{c}{a_{0,\delta}^{r_1} / a_{i,\delta}^{r_1}} \mathcal{A} I + M_\delta$$

Contradiction, so (a) holds. Note also

**14. Observation:** If  $M_\alpha$ : ( $\alpha < \omega_1$ ),  $b, d(\alpha)$  ( $\alpha < \omega_1$ ) are as in 6,  $a_{i,j}$  satisfies (a) above, then  $\langle a_{i,j} : i < j < \omega_1 \rangle$  is an  $I$ -representation of a non standard

uniserial module.

**Proof:** Suppose  $\langle c_i : i < \omega_1 \rangle$  exemplify the contrary. For a closed unbounded subset  $C$  of  $\omega_1$  for every  $\delta \in C$

$$i < \delta \implies c_i \in M_\delta$$

So  $\frac{c_i}{a_{0,i}} \in M_\delta$  for  $i < \delta$ , hence  $\frac{c_i}{a_{0,\delta}/a_{i,\delta}} + I \in \{x+I : x \in M_\delta\}$ . Contradicting

(a). So 14 holds.

Now the statement: there are  $M_i (i < \omega_1)$   $b, d(\alpha)$  as in 6 and  $a_{i,j}$  satisfying (a), can be expressed by a countable theory  $T$  in  $L(\mathbf{aa})$  (note that we do not mind to replace  $\omega_1$  by a linear order  $K$  of power  $\aleph_1$  such that  $K = \bigcup_{i < \omega_1} K_i$ ,  $K_i$  increasing continuous each  $K_i$  countable  $(\forall x \in K_i)(\forall y \in K_{i+1} - K_i) (x < y)$  and  $K_i$  has a least upper bound).  $L(\mathbf{aa})$  was introduced in Shelah [Sh 1], and thoroughly investigated in Barwise Kaufman and Makkai [BKM]. By the completeness theorem for  $L(\mathbf{aa})$  (see [BKM]) the answer to "does  $T$  has a model" is absolute. As it has a model in  $V[G]$  it has one in  $V$ .

**15 Remark:** We can replace  $\aleph_1$  by any uncountable regular uncountable  $\kappa$ . Let  $H(\aleph_2)$  be the family of sets of hereditary power  $< \aleph_2$ , and  $\mathbb{E}$  be  $(H(\aleph_1), \epsilon)$  expanded by (individual constants for)  $M, R, Q, I, \langle M_i : i < \omega_1 \rangle, \langle d(i) : i < \omega_1 \rangle, b$  and  $\langle a_{i,j} : i < j < \omega_1 \rangle$ . Now we can define by induction on  $\alpha < \kappa^2$   $\mathbb{E}_\alpha$  such that:

1)  $\mathbb{E}_\alpha$  is a model of power  $\kappa$  elementarily equivalent to  $\mathbb{E}$ .

2)  $\mathbb{E}_\alpha$  ( $\alpha < \kappa^2$ ) is a continuous elementarily chain.

3) For every  $\alpha$  there is  $y_\alpha \in \mathbb{E}_{\alpha+1}$  such that:

(a)  $\mathbb{E}_{\alpha+1} \models "y_\alpha \text{ is a countable set}"$ .

(b) for every  $x \in \mathbb{E}_\alpha$ ,  $\mathbb{E}_{\alpha+1} \models "x \in y_\alpha"$ .

(c) if  $\alpha$  has cofinality  $\kappa$  and  $\alpha < \beta \leq \kappa^2$  then  $\mathbb{E}_\beta \models "x \in y_\alpha"$ , implies  $x \in \mathbb{E}_\alpha$ .

Let  $\mathbb{E}^* = \bigcup_{\alpha < \kappa^2} \mathbb{E}_\alpha$ ,  $z_\alpha \in \mathbb{E}_{\alpha+1}$  be such that  $\mathbb{E}_{\alpha+1} \models "z_\alpha \text{ is sup}(y_\alpha \cap \omega_1)"$ .

There is no problem to do this (e.g. use saturated models, possible as we can construct the models say in  $L$ ), see Mekler and Shelah [M Sh]. Now use  $M, R, I \langle a_{i,j}: \mathbb{E}^* \models "i < j < \omega_1^{\mathbb{E}^*}" \rangle$  or equivalently  $\langle a_\beta: \alpha < \beta < \kappa \rangle$  with  $M_\alpha = M^{\mathbb{E}_\alpha}$ . Note that we could replace  $\kappa^2$  by  $\kappa\mu$  if cf  $\mu \geq \aleph_0$ .

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