

Tomek Bartoszyński · Saharon Shelah

Strongly meager and strong measure zero sets

Received: 6 January 1999 / Revised version: 20 July 1999

Published online: 25 February 2002 – © Springer-Verlag 2002

Abstract. In this paper we present two consistency results concerning the existence of large strong measure zero and strongly meager sets.

1. Introduction

Let \mathcal{M} denote the collection of all meager subsets of 2^ω and let \mathcal{N} be the collection of all subsets of 2^ω that have measure zero with respect to the standard product measure on 2^ω .

Definition 1.1. Suppose that $X \subseteq 2^\omega$ and let $+$ denote the componentwise addition modulo 2. We say that X is strongly meager if for every $H \in \mathcal{N}$, $X + H = \{x + h : x \in X, h \in H\} \neq 2^\omega$.

We say that X is a strong measure zero set if for every $F \in \mathcal{M}$, $X + F \neq 2^\omega$. Let \mathcal{SM} denote the collection of strongly meager sets and let \mathcal{SMZ} denote the collection of strong measure zero sets.

For a family of sets $\mathcal{J} \subseteq \mathcal{P}(\mathbb{R})$ let

$$\text{cov}(\mathcal{J}) = \min \{|\mathcal{A}| : \mathcal{A} \subseteq \mathcal{J} \text{ and } \bigcup \mathcal{A} = 2^\omega\}.$$

$$\text{non}(\mathcal{J}) = \min \{|X| : X \notin \mathcal{J}\}.$$

Strong measure zero sets are usually defined as those subsets X of 2^ω such that for every sequence of positive reals $\{\varepsilon_n : n \in \omega\}$ there exists a sequence of basic open sets $\{I_n : n \in \omega\}$ with diameter of I_n smaller than ε_n and $X \subseteq \bigcup_n I_n$. The Galvin-Mycielski-Solovay theorem ([4]) guarantees that both definitions yield the same families of sets.

T. Bartoszyński: Department of Mathematics and Computer Science, Boise State University, Boise, Idaho 83725, USA.

e-mail: tomek@math.idbsu.edu, <http://math.idbsu.edu/~tomek>

S. Shelah: Department of Mathematics, Hebrew University, Jerusalem, Israel; and Department of Mathematics, Rutgers University, New Brunswick, NJ 08854, USA.

e-mail: shelah@sunrise.huji.ac.il

<http://math.rutgers.edu/~shelah/>

The first author was supported by Alexander von Humboldt Foundation and NSF grant DMS 95-05375. The second author was partially supported by Basic Research Fund, Israel Academy of Sciences, publication 658

Mathematics Subject Classification (2000): 03E35

Recall the following well-known facts. Any of the following sentences is consistent with ZFC,

1. $\mathcal{SN} = [2^\omega]^{\leq \aleph_0}$, (Laver [7])
2. $\mathcal{SN} = [2^\omega]^{\leq \aleph_1}$, (Corazza [3], Goldstern-Judah-Shelah [5])
3. $\mathcal{SM} = [2^\omega]^{\leq \aleph_0}$. (Carlson, [2])
4. $\text{non}(\mathcal{SN}) = \mathfrak{d} = 2^{\aleph_0} > \aleph_1$, $\text{cov}(\mathcal{M}) = \aleph_1$ and there exists a strong measure zero set of size 2^{\aleph_0} . (Goldstern-Judah-Shelah [5])

The proofs of the above results as well as all other results quoted in this paper can be also found in [1].

In this paper we will show that the following statements are consistent with ZFC:

- for any regular $\kappa > \aleph_0$, $\mathcal{SM} = [2^\omega]^{< \kappa}$,
- \mathcal{SM} is an ideal and $\text{add}(\mathcal{SM}) \geq \text{add}(\mathcal{M})$,
- $\text{non}(\mathcal{SN}) = 2^{\aleph_0} > \aleph_1$, $\mathfrak{d} = \aleph_1$ and there is a strong measure zero set of size 2^{\aleph_0} .

2. \mathcal{SM} may have large additivity

In this section we will show that \mathcal{SM} can be an ideal with large additivity. Let

$$m = \min\{\gamma : \mathbf{MA}_\gamma \text{ fails}\}.$$

We will show that $\mathcal{SM} = [2^\omega]^{< m}$ is consistent with ZFC, provided m is regular. In particular, the model that we construct will satisfy $\text{add}(\mathcal{SM}) = \text{add}(\mathcal{M})$.

Note that if $\mathcal{SM} = [2^\omega]^{< m}$ then $2^{\aleph_0} > m$, since Martin's Axiom implies the existence of a strongly meager set of size 2^{\aleph_0} . Our construction is a generalization of the construction from [2].

To witness that a set is not strongly meager we need a measure zero set. The following theorem is crucial.

Theorem 2.1 (Lorentz). *There exists a function $K \in \omega^{\mathbb{R}}$ such that for every $\varepsilon > 0$, if $A \in [2^\omega]^{\geq K(\varepsilon)}$ then for all except finitely many $k \in \omega$ there exists $C \subseteq 2^k$ such that*

1. $|C| \cdot 2^{-k} \leq \varepsilon$,
2. $(A \upharpoonright k) + C = 2^k$.

Proof. Proof of this lemma can be found in [8] or [1]. □

Definition 2.2. *For each $n \in \omega$ let $\{C_m^n : n, m \in \omega\}$ be an enumeration of all clopen sets in 2^ω of measure $\leq 2^{-n}$. For a real $r \in \omega^\omega$ and $n \in \omega$ define an open set*

$$H_n^r = \bigcup_{m > n} C_{r(m)}^m.$$

It is clear that H_n^r is an open set of measure not exceeding 2^{-n} . In particular, $H^r = \bigcap_{n \in \omega} H_n^r$ is a Borel measure zero set of type G_δ .

Theorem 2.3. *Let $\kappa > \aleph_0$ be a regular cardinal. It is consistent with ZFC that $\mathbf{MA}_{<\kappa} + \mathcal{SM} = [2^\omega]^{<\kappa}$ holds. In particular, it is consistent that \mathcal{SM} is an ideal and $\text{add}(\mathcal{SM}) = \text{add}(\mathcal{M}) > \aleph_1$.*

Proof. Fix κ such that $\text{cf}(\kappa) = \kappa > \aleph_0$. Let $\lambda > \kappa$ be a regular cardinal such that $\lambda^{<\lambda} = \lambda$. Start with a model $\mathbf{V} \models \text{ZFC} + 2^{\aleph_0} = \lambda$.

Suppose that \mathcal{P} is a forcing notion of size $< \kappa$. We can assume that there is $\gamma < \kappa$ such that $\mathcal{P} = \gamma$ and $\leq, \perp \subseteq \gamma \times \gamma$.

Let $\{\mathcal{P}_\alpha, \dot{\mathcal{Q}}_\alpha : \alpha < \lambda\}$ be a finite support iteration such that for each $\alpha < \lambda$,

1. $\Vdash_\alpha \dot{\mathcal{Q}}_\alpha \simeq \mathbf{C}$, if α is limit,
2. there is $\gamma = \gamma_\alpha$ such that $\Vdash_\alpha \dot{\mathcal{Q}}_\alpha \simeq (\gamma, \leq, \perp)$ is a ccc forcing notion.

By passing to a dense subset we can assume that if $p \in \mathcal{P}_\lambda$ then $p : \text{dom}(p) \rightarrow \kappa$, where $\text{dom}(p)$ is a finite subset of λ .

By bookkeeping we can guarantee that $\mathbf{V}^{\mathcal{P}_\lambda} \models \mathbf{MA}_{<\kappa}$. In particular, $\mathbf{V}^{\mathcal{P}_\lambda} \models [2^\omega]^{<\kappa} \subseteq \mathcal{SM}$.

It remains to show that no set of size κ is strongly meager.

Suppose that $X \subseteq \mathbf{V}^{\mathcal{P}_\lambda} \cap 2^\omega$ is a set of size κ . Find limit ordinal $\alpha < \lambda$ such that $X \subseteq 2^\omega \cap \mathbf{V}^{\mathcal{P}_\alpha}$. As usual we can assume that $\alpha = 0$. Let c be the Cohen real added at the step $\alpha = 0$. We will show that $\mathbf{V}^{\mathcal{P}_\lambda} \models X + H^c = 2^\omega$, which will end the proof.

Suppose that the above assertion is false. Let $p \in \mathcal{P}_\lambda$ and let \dot{z} be a \mathcal{P}_λ -name for a real such that

$$p \Vdash_\lambda \dot{z} \notin X + H^{\dot{c}}.$$

Let $X = \{x_\xi : \xi < \kappa\}$ and for each ξ find $p_\xi \geq p$ and $n_\xi \in \omega$ such that

$$p_\xi \Vdash_\lambda \dot{z} \notin x_\xi + H_{n_\xi}^{\dot{c}}.$$

Let $Y \subseteq \kappa$ be a set of size κ such that

1. $n_\xi = \tilde{n}$ for $\xi \in Y$,
2. $\{\text{dom}(p_\xi) : \xi \in Y\}$ form a Δ -system with root $\tilde{\Delta}$,
3. $p_\xi \upharpoonright \tilde{\Delta} = \tilde{p}$, for $\xi \in Y$,
4. $p_\xi(0) = \tilde{s}$, with $|\tilde{s}| = \ell > \tilde{n}$, for $\xi \in Y$.

Fix a subset $X' = \{x_{\xi_j} : j < K(2^{-\ell})\} \subseteq Y$ and let $\tilde{m} \in \omega$ be such that $C_{\tilde{m}}^\ell + X' = 2^\omega$.

Define condition p^* as

$$p^*(\beta) = \begin{cases} p_{\xi_j} & \text{if } \alpha \neq \beta \text{ \& } \beta \in \text{dom}(p_{\xi_j}), & j < K(2^{-\ell}) \\ \tilde{s} \smallfrown \tilde{m} & \text{if } \alpha = \beta & \text{for } \beta < \lambda. \end{cases}$$

On one hand $p^* \Vdash_\lambda C_{\tilde{m}}^\ell \subseteq H_{\tilde{n}}^{\dot{c}}$, so $p^* \Vdash_\lambda X' + H_{\tilde{n}}^{\dot{c}} = 2^\omega$. On the other hand, $p^* \geq p_{\xi_j}$, $j \leq K(2^{-\ell})$, so $p^* \Vdash_\lambda \dot{z} \notin X' + H_{\tilde{n}}^{\dot{c}}$. Contradiction.

To finish the proof we show that $\mathbf{V}^{\mathcal{P}_\lambda} \models \text{add}(\mathcal{M}) = \kappa$. First note that $\mathbf{MA}_{<\kappa}$ implies that $\text{add}(\mathcal{M}) \geq \kappa$ in $\mathbf{V}^{\mathcal{P}_\lambda}$. The other inequality is a consequence of the general theory. Recall that (see [1])

1. $\text{add}(\mathcal{M}) = \min\{\text{cov}(\mathcal{M}), \mathfrak{b}\}$

Suppose that $F \subset \omega^\omega$ is an unbounded family of size $\geq \kappa$.

2. if \mathcal{P} is a forcing notion of cardinality $< \kappa$ then F remains unbounded in $\mathbf{V}^{\mathcal{P}}$.
3. if $\{\mathcal{P}_\alpha, \mathcal{Q}_\alpha : \alpha < \lambda\}$ is a finite support iteration such that $\Vdash_\alpha |\mathcal{Q}_\alpha| < \kappa$ then $\mathbf{V}^{\mathcal{P}_\lambda} \models F$ is unbounded.

From the results quoted above follows that $\text{add}(\mathcal{M}) \leq \mathfrak{b} \leq \kappa$ in $\mathbf{V}^{\mathcal{P}_\lambda}$, which ends the proof. \square

3. Strong measure zero sets

In this section we will discuss models with strong measure zero sets of size 2^{\aleph_0} .

We start with the definition of forcing that will be used in our construction.

Definition 3.1. *The infinitely equal forcing notion \mathbf{EE} is defined as follows: $p \in \mathbf{EE}$ if the following conditions are satisfied:*

1. $p : \text{dom}(p) \longrightarrow 2^{<\omega}$,
2. $\text{dom}(p) \subseteq \omega$, $|\omega \setminus \text{dom}(p)| = \aleph_0$,
3. $p(n) \in 2^n$ for all $n \in \text{dom}(p)$.

For $p, q \in \mathbf{EE}$ and $n \in \omega$ we define:

1. $p \geq q \iff p \supseteq q$, and
2. $p \geq_n q \iff p \geq q$ and the first n elements of $\omega \setminus \text{dom}(p)$ and $\omega \setminus \text{dom}(q)$ are the same.

It is easy to see (see [1]) that \mathbf{EE} is proper (satisfies axiom A), and strongly ω^ω bounding, that is if $p \Vdash \tau \in \omega$ and $n \in \omega$ then there is $q \geq_n p$ and a finite set $F \subseteq \omega$ such that $q \Vdash \tau \in F$.

In [5] it is shown that a countable support iteration of \mathbf{EE} and rational perfect set forcing produces a model where there is a strong measure zero set of size 2^{\aleph_0} . In particular, one can construct (consistently) a strong measure zero of size 2^{\aleph_0} without Cohen reals. The remaining question is whether such a construction can be carried out without unbounded reals.

Theorem 3.2. ([5]) *Suppose that $\{\mathcal{P}_\alpha, \mathcal{Q}_\alpha : \alpha < \omega_2\}$ is a countable support iteration of proper, strongly ω^ω -bounding forcing notions. Then*

$$\mathbf{V}^{\mathcal{P}_{\omega_2}} \models \mathcal{SN} \subseteq [\mathbb{R}]^{\leq \aleph_1}. \quad \square$$

The theorem above shows that using countable support iteration we cannot build a model with a strong measure zero set of size $> \mathfrak{d}$. Since countable support iteration seems to be the universal method for constructing models with $2^{\aleph_0} = \aleph_2$ the above result seems to indicate that a strong measure zero set of size $> \mathfrak{d}$ cannot be constructed at all. Strangely it is not the case.

Theorem 3.3. *It is consistent that $\text{non}(\mathcal{SN}) = 2^{\aleph_0} > \mathfrak{d} = \aleph_1$ and there are strong measure zero sets of size 2^{\aleph_0} .*

Proof. Suppose that $\mathbf{V} \models \mathbf{CH}$ and $\kappa = \kappa^{\aleph_0} > \aleph_1$. Let \mathcal{P} be a countable support product of κ copies of \mathbf{EE} . The following facts are well-known (see [6])

1. \mathcal{P} is proper,
2. \mathcal{P} satisfies \aleph_2 -cc,
3. \mathcal{P} is ω^ω -bounding,
4. for $f \in \mathbf{V}[G] \cap \omega^\omega$ there exists a countable set $A \subseteq \kappa$, $A \in \mathbf{V}$ such that $f \in \mathbf{V}[G \upharpoonright A]$.

It follows from (3) that $\mathbf{V}^{\mathcal{P}} \models \mathfrak{d} = \aleph_1$. Moreover, (1) and (2) imply that $2^{\aleph_0} = \kappa$ in $\mathbf{V}^{\mathcal{P}}$.

For a set $X \subseteq 2^\omega \cap \mathbf{V}^{\mathcal{P}}$ let $\text{supp}(X) \subseteq \kappa$ be a set such that $X \in \mathbf{V}[G \upharpoonright \text{supp}(X)]$. Note that $\text{supp}(X)$ is not determined uniquely, but we can always choose it so that $|\text{supp}(X)| = |X| + \aleph_0$.

Lemma 3.4. *Suppose that $X \subseteq 2^\omega \cap \mathbf{V}^{\mathcal{P}}$ and $\text{supp}(X) \neq \kappa$. Then $\mathbf{V}^{\mathcal{P}} \models X \in \mathcal{LN}$*

Note that this lemma finishes the proof. Clearly the assumptions of the lemma are met for all sets of size $< \kappa$ and also for many sets of size κ .

Proof. We will use the following characterization (see [1]):

Lemma 3.5. *The following conditions are equivalent.*

1. $X \subseteq 2^\omega$ has strong measure zero.
2. For every $f \in \omega^\omega$ there exists $g \in (2^{<\omega})^\omega$ such that $g(n) \in 2^{f(n)}$ for all n and

$$\forall x \in X \exists n x \upharpoonright f(n) = g(n). \quad \square$$

Suppose that $X \subseteq \mathbf{V}^{\mathcal{P}} \cap 2^\omega$ is given and $\text{supp}(X) \neq \kappa$. Let $\alpha^* \in \kappa \setminus \text{supp}(X)$. We will check condition (2) of the previous lemma.

Fix $f \in \mathbf{V}^{\mathcal{P}} \cap \omega^\omega$. Since \mathcal{P} is ω^ω -bounding we can assume that $f \in \mathbf{V}$. Consider a condition $p \in \mathcal{P}$. Fix $\{k_n : n \in \omega\}$ such that $k_n \geq f(n)$ and $k_n \notin \text{dom}(p(\alpha^*))$ for $n \in \omega$. Let $p_f \geq p$ be any condition such that $\omega \setminus \{k_n : n \in \omega\} \subseteq \text{dom}(p_f(\alpha^*))$. We will check that

$$p_f \Vdash_{\mathcal{P}} \forall x \in X \exists n x \upharpoonright f(n) = \dot{G}(\alpha^*)(k_n) \upharpoonright f(n),$$

where \dot{G} is the canonical name for the generic object. Take $x \in X$ and $r \geq p_f$. Find n such that $k_n \notin \text{dom}(r(\alpha^*))$. Let $r' \geq r$ and s be such that

1. $\text{supp}(r') \subseteq \text{supp}(X)$
2. $r' \geq r \upharpoonright \text{supp}(X)$,
3. $r' \Vdash_{\mathcal{P}} x \upharpoonright k_n = s$.

Let

$$r''(\beta) = \begin{cases} r'(\beta) & \text{if } \beta \neq \alpha^* \\ r'(\alpha^*) \cup \{(k_n, s)\} & \text{if } \beta = \alpha^* \end{cases}.$$

It is easy to see that $r'' \Vdash x \upharpoonright f(n) = \dot{G}(\alpha^*)(k_n) \upharpoonright f(n)$. Since f and x were arbitrary we are done. \square

References

1. Bartoszyński, T., Judah, H.: *Set Theory: On the Structure of the Real Line*, A.K. Peters, (1995)
2. Timothy, J., Carlson.: Strong measure zero and strongly meager sets, *Proceedings of the American Mathematical Society.*, **118**(2), 577–586 (1993)
3. Corazza, P.: The generalized Borel conjecture and strongly proper orders, *Transactions of the American Mathematical Society.*, **316**(1), 115–140 (1989)
4. Galvin, F., Mycielski, J., Solovay, R.: Strong measure zero sets, *Notices of American Mathematical Society.*, (1973)
5. Goldstern, M., Judah, H., Shelah, S.: Strong measure zero sets without Cohen reals, *The Journal of Symbolic Logic.*, **58**(4), 1323–1341 (1993)
6. Goldstern, M., Shelah, S.: Many simple cardinal invariants, *Archive for Mathematical Logic.*, **32**, 203–221 (1993)
7. Laver, R.: On the consistency of Borel's conjecture, *Acta Math.*, **137**(3-4), 151–169 (1976)
8. Lorentz, G.: On a problem of additive number theory, *Proceedings of the American Mathematical Society.*, **5**, 838–841 (1954)