

# IMPLAUSIBLE SUBGROUPS OF INFINITE SYMMETRIC GROUPS

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## 1. Introduction

Throughout this paper,  $S$  will denote the symmetric group on the set  $\omega$  of natural numbers. We shall be studying the possibilities for the induced action of subgroups  $G \leq S$  on the power set  $\mathcal{P}(\omega)$ . Our work was motivated by the following:

QUESTION. Suppose that  $\aleph_0 < \kappa < 2^{\aleph_0}$ . Does there exist a subgroup  $G \leq S$  which has exactly  $\kappa$  orbits on  $\mathcal{P}(\omega)$ ?

Recently Läuchli and Neumann [3] have given a partial answer to this question. Using only ZFC, they have shown that the group of piecewise order preserving permutations of  $\mathbb{Q}$  has exactly  $\aleph_1$  orbits on  $\mathcal{P}(\mathbb{Q})$ . However, it seems unlikely that their approach can be modified to yield examples with exactly  $\kappa$  orbits for  $\aleph_1 < \kappa < 2^{\aleph_0}$ . In this paper, we shall give a complete answer to the question, under the assumption of Martin's Axiom (MA).

Since there are only countably many finite and cofinite subsets of  $\omega$ , the question really concerns the action of subgroups  $G \leq S$  on the set of moieties of  $\omega$ , that is, those subsets  $X \subseteq \omega$  such that  $|X| = |\omega \setminus X| = \aleph_0$ . Let  $\mathcal{P}(\omega)/\text{Fin}(\omega)$  be the quotient of the boolean algebra  $\mathcal{P}(\omega)$  by the ideal  $\text{Fin}(\omega)$  of finite subsets. Then it is easily checked that if  $G \leq S$  has  $\kappa \geq \aleph_0$  orbits in its induced action on  $\mathcal{P}(\omega)/\text{Fin}(\omega)$ , then  $G$  also has  $\kappa$  orbits on  $\mathcal{P}(\omega)$ . More generally, let  $\mathcal{I}$  be an arbitrary ideal of  $\mathcal{P}(\omega)$  and let  $\mathcal{F}$  be the dual filter. Define

$$S_{(\mathcal{I})} = \{\pi \in S \mid \text{fix}(\pi) \in \mathcal{F}\},$$

$$S_{\{\mathcal{I}\}} = \{\pi \in S \mid \Delta \in \mathcal{I} \Leftrightarrow \pi(\Delta) \in \mathcal{I}\}.$$

Then each  $\pi \in S_{(\mathcal{I})}$  induces an automorphism of the boolean algebra  $\mathcal{B} = \mathcal{P}(\omega)/\mathcal{I}$ , and  $S_{(\mathcal{I})}$  is the kernel of the associated homomorphism from  $S_{(\mathcal{I})}$  into  $\text{Aut}(\mathcal{B})$ . Suppose that  $S_{(\mathcal{I})} \leq G \leq S_{\{\mathcal{I}\}}$  and that  $G$  has  $\kappa \geq \aleph_0$  orbits on  $\mathcal{P}(\omega)/\mathcal{I}$ . Since  $S_{(\mathcal{I})} \leq G$ , each orbit on  $\mathcal{P}(\omega)/\mathcal{I}$  corresponds to at most countably many orbits on  $\mathcal{P}(\omega)$ . Hence  $G$  also has  $\kappa$  orbits on  $\mathcal{P}(\omega)$ .

If  $\mathcal{I}$  is an ideal and  $X \in \mathcal{P}(\omega)$ , then  $[X] = \{Y \in \mathcal{P}(\omega) \mid X \equiv Y \text{ mod } \mathcal{I}\}$ . (While it would be more accurate to write  $[X]_{\mathcal{I}}$ , the relevant ideal  $\mathcal{I}$  will always be clear from the context.)

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**THEOREM 1 (MA).** *Suppose that  $0 < \kappa < 2^{\aleph_0}$ . Let  $\{X_i \mid i < \kappa\}$  be a set of moieties such that for all  $j$  with  $i < j < \kappa$ ,  $X_i \not\equiv X_j \pmod{\text{Fin}(\omega)}$ . Then there exists a subgroup  $G \leq S$  such that  $\{[X_i] \mid i < \kappa\} \cup \{[\emptyset], [\omega]\}$  is a complete set of orbit representatives for the action of  $G$  on  $\mathcal{P}(\omega)/\text{Fin}(\omega)$ .*

It is perhaps worth pointing out that we do not need the full force of Martin's Axiom in the proof of Theorem 1. All we require is that the set  $\mathbb{R}$  of real numbers cannot be covered by  $2^{\aleph_0}$  nowhere dense subsets. Our second result gives examples of subgroups of  $S$  which have rather surprising actions on  $\mathcal{P}(\omega)$ .

**THEOREM 2 (MA).** *Suppose that  $\aleph_0 \leq \kappa < 2^{\aleph_0}$ . Then there exists an ideal  $\mathcal{I}$  such that;*

- (i)  $\mathcal{P}(\omega)/\mathcal{I} \simeq \mathcal{P}(\kappa)$ , and
- (ii)  $S_{\{\mathcal{I}\}}$  induces  $\text{Sym}(\kappa)$  on  $\mathcal{P}(\omega)/\mathcal{I}$ .

These groups are also relevant to the above question. For suppose that  $\kappa = \aleph_\theta < 2^{\aleph_0}$ , where  $\theta$  is a cardinal such that  $\aleph_0 < \theta < 2^{\aleph_0}$ . Then  $S_{\{\mathcal{I}\}}$  has  $\theta$  orbits on  $\mathcal{P}(\omega)/\mathcal{I} \simeq \mathcal{P}(\kappa)$ , and hence also has  $\theta$  orbits on  $P(\omega)$ .

It is a little disturbing to find  $\text{Sym}(\kappa)$  involved in  $\text{Sym}(\omega)$  for  $\kappa > \aleph_0$ . However, this part of Theorem 2 does not require Martin's Axiom.

**THEOREM 3 (ZFC).** *Suppose that  $2^\kappa = 2^{\aleph_0}$ . Then there exist subgroups  $N \leq G \leq S$  such that  $N \triangleleft G$  and  $G/N \simeq \text{Sym}(\kappa)$ .*

*Proof.* By Theorem 5.1 [4], there is an embedding of the free group on  $2^{\aleph_0}$  generators into  $S$ . The result follows.

At this point, some readers may be wondering whether we can perhaps even embed  $\text{Sym}(\kappa)$  into  $S$ . Fortunately there are limits to the amount of pathological behaviour that  $S$  can exhibit.

**THEOREM 4 (ZFC).** *If  $\kappa > \theta$ , then  $\text{Sym}(\kappa)$  does not embed in  $\text{Sym}(\theta)$ .*

*Proof.* Clearly we can assume that  $\kappa$  and  $\theta$  are infinite cardinals. Suppose that  $G \leq \text{Sym}(\theta) = P$  with  $G \simeq \text{Sym}(\kappa)$ . Let  $\alpha \in \theta$  and let  $P_\alpha$  be the stabiliser of  $\alpha$  in  $P$ . Then  $[P : P_\alpha] = \theta$  and so  $[G : G \cap P_\alpha] \leq \theta$ . By [1], every proper subgroup of  $G$  has index at least  $\kappa$ . Hence  $G \leq P_\alpha$ . But then  $G \leq \bigcap_{\alpha \in \theta} P_\alpha = 1$ , which is a contradiction.

We shall be assuming a familiarity with Martin's Axiom. A clear account can be found in Kunen [2], whose notation we will follow. In particular, if  $\mathbb{P}$  is a partial ordering and  $p, q \in \mathbb{P}$  are conditions, then  $p \leq q$  will mean that  $p$  extends  $q$ .

## 2. The proof of Theorem 1

Let  $\{Y_\alpha \mid \alpha < 2^{\aleph_0}\}$  be the set of moieties of  $\omega$ . We shall define inductively a chain of subgroups  $G_\alpha$ ,  $\alpha < 2^{\aleph_0}$ , so that the following conditions are satisfied.

- 2.1.  $|G_\alpha| \leq |\alpha| + \aleph_0$ .
- 2.2. For all  $\gamma < \alpha$ , there exists  $\pi \in G_\alpha$  and  $i < \kappa$  such that  $\pi(Y_\gamma) \equiv X_i \pmod{\text{Fin}(\omega)}$ .
- 2.3. For all  $i < j < \kappa$  and  $\pi \in G_\alpha$ ,  $\pi(X_i) \not\equiv X_j \pmod{\text{Fin}(\omega)}$ .

Then  $G = \bigcup_{\alpha < 2^{\aleph_0}} G_\alpha$  will satisfy the conclusion of Theorem 1. Let  $G_0 = 1$ . Suppose that  $G_\gamma$  has been defined for all  $\gamma < \alpha$ . If  $\alpha$  is a limit ordinal, we define  $G_\alpha = \bigcup_{\gamma < \alpha} G_\gamma$ .

Suppose that  $\alpha = \beta + 1$ . If there exists  $\pi \in G_\beta$  and  $i < \kappa$  such that  $\pi(Y_\beta) \equiv X_i \pmod{\text{Fin}(\omega)}$ , then we set  $G_{\beta+1} = G_\beta$ . Suppose that this does not occur.

Let  $\mathbb{P}$  be the set of finite partial injective functions  $p$  from  $\omega$  to  $\omega$  which satisfy

2.4. if  $n \in \text{dom } p \cap Y_\beta$ , then  $p(n) \in X_0$ , and if  $n \in \text{dom } p \cap (\omega \setminus Y_\beta)$ , then  $p(n) \in \omega \setminus X_0$ .

We partially order  $\mathbb{P}$  by letting  $p \leq q$  if and only if  $q \subseteq p$ . Clearly  $\mathbb{P}$  is c.c.c.

Let  $w(\bar{g}, x)$  be a word from the free product  $G_\beta * \langle x \rangle$ . If  $p \in \mathbb{P}$ , then we regard  $w(\bar{g}, p)$  as a partial function from  $\omega$  to  $\omega$ . Fix  $i \neq j < \kappa$ ,  $n \in \omega$  and a word  $w(\bar{g}, x)$ . Let  $\mathcal{D}$  consist of those  $p \in \mathbb{P}$  such that either

2.5. there exists  $m > n$  such that  $m \in X_i$  and  $w(\bar{g}, p)(m) \notin X_j$ ; or

2.6. there exists  $m > n$  such that  $m \notin X_i$  and  $w(\bar{g}, p)(m) \in X_j$ .

We shall prove that  $\mathcal{D}$  is a dense subset of  $\mathbb{P}$ . Martin's Axiom then yields a permutation  $\pi \in S$  such that  $\pi(Y_\beta) = X_0$ , and  $G_{\beta+1} = \langle G_\beta, \pi \rangle$  satisfies conditions 2.1 to 2.3. If  $x$  does not occur in  $w(\bar{g}, x)$ , then  $\mathcal{D} = \mathbb{P}$  (since  $G_\beta$  satisfies 2.3). Therefore we can suppose that

$$w(\bar{g}, x) = g_1 x^{n_1} g_2 \dots g_r x^{n_r} g_{r+1}$$

where  $n_k \in \mathbb{Z} \setminus \{0\}$ ,  $g_k \in G_\beta$ , and  $g_k X 1$  for  $1 < k < r+1$ . Let  $p \in \mathbb{P}$  be an arbitrary condition. First suppose that

$$|g_{r+1}[X_i] \cap Y_\beta| = |g_{r+1}[\omega \setminus X_i] \cap Y_\beta| = \aleph_0.$$

Then there exist integers  $m_1, m_2 > n$  such that

2.7.  $m_1 \in X_i$  and  $m_2 \in \omega \setminus X_i$ ;

2.8.  $g_{r+1}(m_1), g_{r+1}(m_2) \in Y_\beta \setminus (\text{dom } p \cup \text{ran } p)$ .

So we can find  $q_1, q_2 \leq p$  such that

$$g_r q_1^{n_r} g_{r+1}(m_1) = g_r q_2^{n_r} g_{r+1}(m_2) \notin \text{dom } q_1 \cup \text{dom } q_2 \cup \text{ran } q_1 \cup \text{ran } q_2.$$

Continuing in this manner, we obtain  $q_3 \leq q_1$  and  $q_4 \leq q_2$  such that

$$w(\bar{g}, q_3)(m_1) = w(\bar{g}, q_4)(m_2).$$

If  $w(\bar{g}, q_3)(m_1) \notin X_j$ , then  $q_3 \in \mathcal{D}$ . Otherwise,  $q_4 \in \mathcal{D}$ .

The other cases are handled in a similar fashion. For example, suppose that  $|g_{r+1}[\omega \setminus X_i] \cap Y_\beta| < \aleph_0$ . Since  $g_{r+1}[X_i] \not\equiv Y_\beta \pmod{\text{Fin}(\omega)}$ , this implies that

$$|g_{r+1}[X_i] \cap (\omega \setminus Y_\beta)| = |g_{r+1}[\omega \setminus X_i] \cap (\omega \setminus Y_\beta)| = \aleph_0$$

and an obvious modification of the previous argument deals with this case.

### 3. The proof of Theorem 2

Let  $T$  be the tree of finite binary sequences, ordered by  $s \leq t$  if and only if  $s$  is an initial segment of  $t$ . Let  $T_n = {}^n 2$  be the  $n$ th level of  $T$ . We shall begin by choosing a set  $\mathcal{B} = \{\eta_i \mid i < \kappa\}$  of branches of  $T$ . Then we will use Martin's Axiom to construct for each  $\pi \in \text{Sym}(\kappa)$  a level-preserving permutation  $\Pi \in \text{Sym}(T)$  such that

$$\Pi(\eta_i) \equiv \eta_{\pi(i)} \pmod{\text{Fin}(T)}$$

for each  $i < \kappa$ . Once this is accomplished, Theorem 2 will follow quite easily. If we start with an arbitrary set  $\mathcal{B}$  of branches, then the partial ordering  $\mathbb{P}_\pi$  of Lemma 2 may not be c.c.c. The set  $\mathcal{B}$  which is constructed in Lemma 1 is specifically designed to avoid this difficulty.

If  $A = \{a_i \mid i < \lambda\}$  is a set with fixed enumeration, then  $[A]^n$  denotes the set of  $n$ -tuples  $\langle a_{i_1}, \dots, a_{i_n} \rangle$  with  $i_1 < \dots < i_n$ . If  $\eta$  is a branch of  $T$ , then  $\eta(n) = \eta \cap T_n$  and  $\eta \upharpoonright k = \eta \cap [\bigcup_{n < k} T_n]$ .

LEMMA 1 (MA). *There exists a set  $\mathcal{B} = \{\eta_i \mid i < \kappa\}$  of branches of  $T$  together with a set of functions  $\{d_n \mid d_n: [\mathcal{B}]^n \rightarrow \omega\}$ , such that the following condition is satisfied.*

3.1. *If  $\langle \theta_1, \dots, \theta_n \rangle, \langle v_1, \dots, v_n \rangle \in [\mathcal{B}]^n$  and  $d_n(\theta_1, \dots, \theta_n) = d_n(v_1, \dots, v_n)$ , then there exists  $k \in \omega$  such that*

- (i)  $\theta_i \upharpoonright k = v_i \upharpoonright k$  for  $1 \leq i \leq n$ ;
- (ii)  $\theta_i \upharpoonright k \neq \theta_j \upharpoonright k$  for  $1 \leq i < j \leq n$ ;
- (iii) if  $\theta_i \neq v_i$ , then  $\theta \upharpoonright k + 1 \neq v_i \upharpoonright k + 1$  for  $1 \leq i \leq n$ .

*Proof.* Let  $\mathbb{P}$  be the partial ordering whose typical element has the form  $p = \langle f; \langle d_n \mid n \in \omega \rangle \rangle$  where

(a)  $f$  is a function such that  $\text{dom} f = X \times m$  for some finite  $X \subseteq \kappa$  and some  $m \in \omega$ ;

(b) for each  $\alpha \in X$  and  $n \in m$ ,  $f_\alpha(n) = f(\alpha, n) \in T_n$  and  $f_\alpha$  is a branch of  $\bigcup_{n < m} T_n$ ;

(c) if  $\alpha \neq \beta \in X$ , then  $f_\alpha \neq f_\beta$ ;

(d) for each  $n \in \omega$ ,  $d_n$  is a function from  $[\{f_\alpha \mid \alpha \in X\}]^n$  to  $\omega$  such that if  $d_n(f_{\alpha_1}, \dots, f_{\alpha_n}) = d_n(f_{\beta_1}, \dots, f_{\beta_n})$ , then there exists  $k \in m$  satisfying conditions (i) to (iii) of 3.1. (In particular,  $d_n = \phi$  if  $n > |X|$ .)

The ordering on  $\mathbb{P}$  is the obvious one. A straightforward  $\Delta$ -system argument shows that  $\mathbb{P}$  is c.c.c., and the result follows easily.

From now on,  $\eta_i$  always denotes the  $i$ th element of  $\mathcal{B}$ . If  $\theta \in \mathcal{B}$  and  $\pi \in \text{Sym}(\kappa)$ , then  $\pi(\theta) = \eta_{\pi(i)}$ , where  $\theta = \eta_i$ .

LEMMA 2 (MA). *For each  $\pi \in \text{Sym}(\kappa)$ , there exists a function  $G: \mathcal{B} \rightarrow \omega$  and a level-preserving permutation  $\Pi \in \text{Sym}(T)$  such that  $\Pi(\eta_i(n)) = \eta_{\pi(i)}(n)$  for all  $i < \kappa$  and  $n \geq G(\eta_i)$ .*

*Proof.* First we construct the function  $G$ . Let  $\mathbb{P}_\pi$  be the partial ordering whose elements are the finite functions  $g$  satisfying

(a)  $\text{dom } g \subseteq \mathcal{B}$  and  $\text{rang } g \subseteq \omega$ ;

(b) for  $\eta_\alpha, \eta_\beta \in \text{dom } g$  and  $n \in \omega$ , if  $g(\eta_\alpha), g(\eta_\beta) \leq n$  then  $\eta_\alpha(n) = \eta_\beta(n)$  if and only if  $\eta_{\pi(\alpha)}(n) = \eta_{\pi(\beta)}(n)$ .

The set  $\mathbb{P}_\pi$  is ordered by reverse inclusion.

We shall prove that  $\mathbb{P}_\pi$  is c.c.c. Let  $\{g_i \mid i < \aleph_1\}$  be a subset of  $\mathbb{P}_\pi$ , and let  $a_i = \text{dom } g_i$ . We may suppose that  $|a_i| = |a_j| = n$  for all  $i < j < \aleph_1$ . By the  $\Delta$ -system lemma, we may also suppose that

(i) there exists a fixed set  $r$  such that  $a_i \cap a_j = r$  for all  $i < j < \aleph_1$ .

Let  $a_i = \{\theta_l^i \mid 1 \leq l \leq n\}$  and let  $b_i = \{\theta_l^i, \pi(\theta_l^i) \mid 1 \leq l \leq n\}$ . We can assume that there is an integer  $m$  such that  $|b_i| = m$  for all  $i < \aleph_1$ . Let  $\sigma_i = \langle v_1^i, \dots, v_m^i \rangle \in [\mathcal{B}]^m$ , where  $b_i = \{v_t^i \mid 1 \leq t \leq m\}$ . Then we can suppose that for all  $i, j < \aleph_1$

(ii) for all  $\theta \in r$ , there exists a fixed  $t \leq m$  such that  $\theta = v_t^i$ ;

(iii) for all  $l \leq n$ , there exist fixed  $s, t \leq m$  such that  $\theta_l^i = v_s^t$  and  $\pi(\theta_l^i) = v_t^t$ ;

(iv)  $d_m(\sigma_i) = d_m(\sigma_j)$ , where  $d_m$  is the function given by Lemma 1;

(v) for all  $t$  with  $1 \leq t \leq m$ ,  $g_i(\theta_l^i) = g_j(\theta_l^i) = N_t$ . (In particular,  $g_i \upharpoonright r = g_j \upharpoonright r$ .)

Choose  $N > N_1, \dots, N_m$ . We can also suppose that

(vi) for all  $j, t$  with  $i < j < \aleph_1$  and  $1 \leq t \leq m$ ,  $v_t^i \upharpoonright N = v_t^j \upharpoonright N$ .

We claim that for any  $i < j < \aleph_1$ ,  $g = g_i \cup g_j \in \mathbb{P}_\pi$ . It is only necessary to verify that  $g$  satisfies (b). Suppose that  $\eta_\alpha, \eta_\beta \in \text{dom } g$ . If both are included in either  $\text{dom } g_i$  or

$\text{dom } g_j$ , then (b) holds. So we can assume that  $\eta_\alpha \in \text{dom } g_i$  and  $\eta_\beta \in \text{dom } g_j$ , say  $\eta_\alpha = v_s^i$  and  $\eta_\beta = v_t^j$ .

First suppose that  $s = t$ . Since  $d_m(\sigma_i) = d_m(\sigma_j)$ , conditions (i) to (iii) of 3.1 hold with respect to some  $k \geq N$ . In particular, we have that

$$v_s^i \upharpoonright k = v_s^j \upharpoonright k, \quad \pi(v_s^i) \upharpoonright k = \pi(v_s^j) \upharpoonright k$$

and

$$v_s^i \upharpoonright k+1 \neq v_s^j \upharpoonright k+1, \quad \pi(v_s^i) \upharpoonright k+1 \neq \pi(v_s^j) \upharpoonright k+1.$$

Hence (b) holds in this case.

Now suppose that  $s \neq t$ . Since  $v_s^i \upharpoonright k = v_s^j \upharpoonright k$  and  $\pi(v_s^i) \upharpoonright k = \pi(v_s^j) \upharpoonright k$ , no problems occur for  $n < k$ . For  $n \geq k$ ,  $v_s^i(n) \neq v_t^j(n)$  and  $\pi(v_s^i)(n) \neq \pi(v_t^j)(n)$ . Hence (b) also holds in this case. This completes the proof that  $\mathbb{P}_\pi$  is c.c.c.

By Martin's Axiom, there exists a function  $G: \mathcal{B} \rightarrow \omega$  such that for all  $\eta_\alpha, \eta_\beta \in \mathcal{B}$  and  $n \in \omega$ , if  $G(\eta_\alpha), G(\eta_\beta) \leq n$  then  $\eta_\alpha(n) = \eta_\beta(n)$  if and only if  $\eta_{\pi(\alpha)}(n) = \eta_{\pi(\beta)}(n)$ .

Finally we define the level-preserving permutation  $\Pi$  of  $T$ . Let  $n \in \omega$  and  $s \in T_n$ . If there exists  $\alpha < \kappa$  such that  $\eta_\alpha(n) = s$  and  $G(\eta_\alpha) \leq n$ , then define  $\Pi(s) = \eta_{\pi(\alpha)}(n)$ . Clearly  $\Pi(s)$  is well-defined in this case. Suppose that there exists  $s' \in T_n$  and  $\beta < \kappa$  such that  $G(\eta_\beta) \leq n$ ,  $\eta_\beta(n) = s'$  and

$$\Pi(s') = \eta_{\pi(\beta)}(n) = \eta_{\pi(\alpha)}(n) = \Pi(s).$$

Then  $s' = \eta_\beta(n) = \eta_\alpha(n) = s$ . Hence  $\Pi$  can be extended to a level-preserving permutation of  $T$ . Clearly  $G$  and  $\Pi$  satisfy the requirements of the lemma.

We now construct an ideal  $\mathcal{I}$  such that  $\mathcal{P}(\omega)/\mathcal{I} \simeq \mathcal{P}(\kappa)$  and  $S_{(\mathcal{I})}/S_{(\mathcal{I})} \simeq \text{Sym}(\kappa)$ . The next lemma allows us to construct inductively the filter on  $\eta_\alpha$  which will be dual to  $\mathcal{I} \cap \mathcal{P}(\eta_\alpha)$ .

**LEMMA 3 (MA).** *Suppose that  $\{\mathcal{F}_\alpha \subseteq \mathcal{P}(\eta_\alpha) \mid \alpha < \kappa\}$  satisfies the following conditions:*

- (i) for all  $\alpha < \kappa$ ,  $|\mathcal{F}_\alpha| < 2^{\aleph_0}$ ;
- (ii) for all  $\alpha < \kappa$  and finite subsets  $Z \subseteq \mathcal{F}_\alpha$ ,  $|\bigcap Z| = \aleph_0$ ;
- (iii) if  $S \subseteq \omega$  and  $\alpha < \beta < \kappa$ , then  $\{\eta_\alpha(n) \mid n \in S\} \in \mathcal{F}_\alpha$  if and only if  $\{\eta_\beta(n) \mid n \in S\} \in \mathcal{F}_\beta$ .

Then for each  $X \subseteq \kappa$ , there exists  $X^* \subseteq T$  such that

- (a) if  $\alpha < \kappa$  and  $A \in \mathcal{F}_\alpha$ , then  $|A \cap X^*| = \aleph_0$  if and only if  $\alpha \in X$ ;
- (b) for each  $\alpha < \kappa$  and  $\beta \in X$ , let  $T_\beta^\alpha = \{\eta_\alpha(n) \mid \eta_\beta(n) \in \eta_\beta \cap X^*\}$ , and let  $\mathcal{F}_\alpha^+ = \mathcal{F}_\alpha \cup \{T_\beta^\alpha \mid \beta \in X\}$ . Then  $\{\mathcal{F}_\alpha^+ \mid \alpha < \kappa\}$  also satisfies conditions (i) to (iii).

*Proof.* Let  $\mathcal{A} = \{\eta_\beta \mid \beta \notin X\}$ . Let  $\mathbb{P}_\mathcal{A}$  be the partial ordering whose elements have the form  $p = \langle s, F \rangle$ , where  $s$  is a finite subset of  $T$  and  $F$  is a finite subset of  $\mathcal{A}$ , ordered by  $\langle s', F' \rangle \leq \langle s, F \rangle$  if and only if

- (1)  $s \subseteq s'$  and  $F \subseteq F'$ ;
- (2) for all  $\theta \in F$ ,  $\theta \cap s' \subseteq s$ .

By [2, II.2.14],  $\mathbb{P}_\mathcal{A}$  is c.c.c.

Fix finite subsets  $Y \subseteq X$ ,  $Z \subseteq \mathcal{F}_0$  and an integer  $n \in \omega$ . Let

$$\mathcal{D} = \{\langle s, F \rangle \in \mathbb{P}_\mathcal{A} \mid \text{there exists } m > n \text{ such that} \\ \eta_0(m) \in \bigcap Z \text{ and } \eta_\alpha(m) \in s \text{ for all } \alpha \in Y\}.$$

We shall show that  $\mathcal{D}$  is dense in  $\mathbb{P}_\mathcal{A}$ . The lemma then follows easily. Let  $\langle s_0, F_0 \rangle \in \mathbb{P}_\mathcal{A}$ . There exists  $k \in \omega$  such that if  $\eta_\alpha \neq \eta_\beta \in F_0 \cup \{\eta_\gamma \mid \gamma \in Y\}$ , then  $\eta_\alpha(k) \neq \eta_\beta(k)$ . Choose

$m > \max\{k, n\}$  such that  $\eta_0(m) \in \bigcap Z$ , and let  $s = s_0 \cup \{\eta_\beta(m) \mid \beta \in Y\}$ . Then  $\langle s, F_0 \rangle \in \mathcal{D}$  and  $\langle s, F_0 \rangle \leq \langle s_0, F_0 \rangle$ .

*Proof of Theorem 2 (MA).* Since Martin's Axiom holds,  $2^\kappa = 2^{\aleph_0}$ . Hence we can use Lemma 3 to construct sets  $\{U_\alpha \subseteq \mathcal{P}(\eta_\omega) \mid \alpha < \kappa\}$  which satisfy the following conditions.

- (i) If  $Z \subseteq U_\alpha$  is a finite subset, then  $|\bigcap Z| = \aleph_0$ .
- (ii) If  $S \subseteq \omega$  and  $\alpha < \beta < \kappa$ , then  $\{\eta_\alpha(n) \mid n \in S\} \in U_\alpha$  if and only if

$$\{\eta_\beta(n) \mid n \in S\} \in U_\beta.$$

(iii) For each  $X \in \mathcal{P}(\kappa)$ , there exists  $X^* \in \mathcal{P}(T)$  such that

- (a) if  $\alpha \in X$ , then  $X^* \cap \eta_\alpha \in U_\alpha$ ;
- (b) if  $\alpha \notin X$ , then there exists  $A \in U_\alpha$  such that  $|X^* \cap A| < \aleph_0$ .

Let  $\mathcal{F}_0$  be a nonprincipal ultrafilter on  $\eta_0$  such that  $U_0 \subseteq \mathcal{F}_0$ . For each  $\alpha < \kappa$ , define the nonprincipal ultrafilter  $\mathcal{F}_\alpha$  on  $\eta_\alpha$  by

$$\{\eta_\alpha(n) \mid n \in S\} \in \mathcal{F}_\alpha \Leftrightarrow \{\eta_0(n) \mid n \in S\} \in \mathcal{F}_0$$

for each  $S \subseteq \omega$ . Then  $\{\mathcal{F}_\alpha \mid \alpha < \kappa\}$  also satisfies conditions (i) to (iii). For each  $\alpha < \kappa$ , let  $\mathcal{I}_\alpha$  be the maximal ideal on  $\eta_\alpha$  which is dual to  $\mathcal{F}_\alpha$ . Define

$$\mathcal{I} = \{Y \subseteq T \mid Y \cap \eta_\alpha \in \mathcal{I}_\alpha \text{ for all } \alpha < \kappa\}.$$

Then  $\mathcal{I}$  is an ideal on  $T$ , and it is easily verified that the mapping

$$[S] \mapsto \{\alpha \in \kappa \mid S \cap \eta_\alpha \in \mathcal{F}_\alpha\}$$

provides an isomorphism from  $\mathcal{P}(\omega)/\mathcal{I}$  onto  $\mathcal{P}(\kappa)$ . In particular,  $[\eta_\alpha] \mapsto \{\alpha\}$ .

Let  $\pi \in \text{Sym}(\kappa)$  and let  $\Pi \in \text{Sym}(T)$  be the corresponding level-preserving permutation, given by Lemma 2. For each  $S \subseteq \omega$  and  $\alpha < \kappa$ ,

$$\Pi(\{\eta_\alpha(n) \mid n \in S\}) \equiv \{\eta_{\pi(\alpha)}(n) \mid n \in S\} \text{ mod Fin}(T).$$

Since  $\{\mathcal{I}_\alpha \mid \alpha < \kappa\}$  satisfies (ii),  $\Pi \in S_{\{\mathcal{I}\}}$ . Also  $\Pi$  induces  $\pi$  on  $\mathcal{P}(\omega)/\mathcal{I}$ . Hence  $S_{\{\mathcal{I}\}}$  induces  $\text{Sym}(\kappa)$  on  $\mathcal{P}(\omega)/\mathcal{I}$ .

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