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NON-COHEN ORACLE C.C.C.

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Abstract. The oracle c.c.c. is closely related to Cohen forcing. During an iteration we can "omit a type"; i.e. preserve "the intersection of a given family of Borel sets of reals is empty" provided that Cohen forcing satisfies it. We generalize this to other cases. In Section 1 we replace Cohen by "nicely" definable c.c.c., do the parallel of the oracle c.c.c. and end with a criterion for extracting a subforcing (not a complete subforcing, <!) of a given nicely one and satisfying the oracle.

0. Introduction

This answers a question from [2, Chapter IV] (the chapter dealing with the oracle c.c.c.) asking to replace Cohen by e.g. random. Later we will deal with the parallel for oracle proper and for the case $\bar{\varphi}_{\alpha}$ is a (definition of a) nep forcing. An application will appear in a work with T. Bartoszynski.

How do we use this framework? We start with a universe satisfying \Diamond_{\aleph_1} and probably $2^{\aleph_1} = \aleph_2$ and choose $\langle S_i^* : i < \omega_2 \rangle$, $S_i^* \subseteq S^* \subseteq \omega_1$ such that $S_i^* / \mathcal{D}_{\omega_1}$ is strictly increasing and for every $i < \omega_2$, $\Diamond_{S_{i+1}^* \setminus S_i^*}$ holds and for simplicity $S_i^* \subseteq S_{i+1}^*$ where \mathcal{D}_{ω_1} is the club filter on ω_1 . We choose by

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induction on $i < \omega_2$, a c.c.c. forcing \mathbb{P}_i of cardinality \aleph_1 , a sequence $\overline{M}^i =$ $\langle M^i_{\alpha} : \alpha \in S^*_i \rangle$ of countable models $\subseteq (\mathcal{H}(\aleph_1), \in)$ of some version of ZFC, without loss of generality transitive and a 1-commitment mainly connected to a \mathbb{P}_i -name ν_{α}^i which is, e.g. random over M_{α}^i (and the commitment is that if, j > i, $\mathbb{P}'_j \cong \mathbb{P}_j/\mathbb{P}_i$ is represented such that it has set of elements $\subseteq \omega_1$, $G \subseteq \mathbb{P}'_i$ is generic over $\mathbf{V}^{\mathbb{P}_i}$, then for a club of $\alpha \in S_i$, $\boldsymbol{\psi}^i_{\alpha} \in \mathbf{V}^{\mathbb{P}_i}$ is random also over $M^i_{\alpha}[G]$ which naturally is $M^i_{\alpha}[G \cap \alpha]$). They are increasing in the relevant sense and the work at limit stages is done by the general claims here. In stage i, by bookkeeping we are given a task connected with a \mathbb{P}_{i} name X_i we have some freedom in choosing \mathbb{P}_{i+1} , usually $\mathbb{P}_{i+1} = \mathbb{P}_i * \mathbb{Q}_i$. So, working in $\mathbf{V}^{\mathbb{P}_i}$, \mathbb{Q}_i has to satisfy a 0-commitment on S_i^* , and we like it to satisfy that task, usually connected with $X_i \subseteq \mathbb{R}^{V[\mathbb{P}_i]}$, say $X_i = X_i[G_{\mathbb{P}_i}]$. We essentially have to choose \overline{M}^{i+1} such that $\overline{M}^{i+1} \upharpoonright S_i^* = \overline{M}^i$ but we have freedom (in addition to choosing \mathbb{Q}_i) to choose $\langle \overline{M}^{i+1}_{\alpha} : \alpha \in S^*_{i+1} \backslash S^*_i \rangle$ and a 0-commitment on $S_{i+1}^* \setminus S_i^*$. Also the reals generic for the chosen forcing notion (for $\alpha \in S_i^*!$) as well as M_{α}^{i+1} for $\alpha \in S_{i+1}^* \setminus S_i^*$ can be chosen considering X_i . E.g. M_{α}^{i+1} can be the Mostowski Collapse of some $M \prec (\mathcal{H}(\aleph_2), \in)$ to which $\mathbb{P}_i, \overline{M}^i$ and X_i belong.

Really this corresponds to the omitting type as in [1, XI]. This was originally part of [4], particularly close to faking.

1. Non-Cohen oracle c.c.c.

Hypothesis 1.1.

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(a) We assume CH, moreover \Diamond_{S^*} where $S^* \subseteq \{\delta < \omega_1 : \delta \text{ limit}\}$ is stationary.

Definition/Notation 1.2. 1) \overline{M} denotes an oracle, i.e., a sequence of the form $\langle M_{\delta} : \delta \in S \rangle$, M_{δ} a transitive countable model of ZFC_*^- satisfying $\delta \subseteq M_{\delta}$ and $S \subseteq S^*$ is stationary satisfying: for every $X \subseteq \omega_1$, the set $\{\delta \in S^* : X \cap \delta \in M_{\delta}\}$ is stationary.

2) \mathcal{D} denotes a normal filter on ω_1 usually extending $\mathcal{D}_{\bar{M}}$ which is defined in 1.3(1) below (of course, the default value is $\mathcal{D}_{\bar{M}}$, see 1.4(1)).

3) For a countable forcing \mathbb{P} , a wide \mathbb{P} -name is a Borel function giving for every directed $G \subseteq \mathbb{P}$ an object (so if $\mathbb{P} \leq_{ic} \mathbb{P}'$ then any wide \mathbb{P} -name is still a wide \mathbb{P}' -name hence a \mathbb{P}' -name).

We first give the old definitions from [3, IV]

Definition 1.3. 1) $\mathcal{D}_{\overline{M}}$ is

 $\{X \subseteq \omega_1 \colon \text{for some } Y \subseteq \omega_1 \text{ we have} \colon \delta \in S_{\overline{M}} \cap X \Rightarrow Y \cap \delta \in M_{\delta} \}.$

2) A forcing notion \mathbb{P} of cardinality $\leq \aleph_1$ satisfies the $(\overline{M}, \mathcal{D})$ -c.c. if for some (equivalently any) one to one $f : \mathbb{P} \to \omega_1$ the set:

$$\left\{ \delta \in S_{\bar{M}} \colon \text{if } X \in M_{\delta} \text{ and } \left\{ y \in \mathbb{P} \colon f(y) < \delta \text{ and } f(y) \in X \right\}$$
 is predense in $\mathbb{P} \upharpoonright \left\{ y \in \mathbb{P} \colon f(y) < \delta \right\}$ then X is predense in $\mathbb{P} \right\}$

belongs to \mathcal{D} and \mathbb{P} has minimal element $\emptyset_{\mathbb{P}}$.

3) If $\mathcal{D} = \mathcal{D}_{\bar{M}}$ we may write " \bar{M} -c.c.". Recall that $\mathcal{D}^+ = \{A \subseteq \omega_1 : \omega_1 \setminus A \notin \mathcal{D}\}$.

4) Let $\bar{M}^1 \leq \bar{M}^2$ if $\bar{M}^\ell = \langle M^\ell_\delta : \delta \in S_\ell \rangle$ and $\{\delta : \delta \in S_1 \setminus S_2 \text{ or } \delta \in S_1 \cap S_2 \text{ and } M^1_\delta \neq M^2_\delta\}$ is not stationary; let $\bar{M}^1 \leq_{\mathcal{D}} \bar{M}^2$ be defined similarly (i.e. the set is $= \emptyset \mod \mathcal{D}$).

5) A forcing notion \mathbb{P} satisfies the $(\overline{M}, \mathcal{D})$ -c.c. <u>if</u>: $|\mathbb{P}| \leq \aleph_0$ or for every $X \subseteq \mathbb{P}$ of cardinality $\leq \aleph_1$ there is $\mathbb{P}_1 < \mathbb{P}$ of cardinality \aleph_1 which includes X and satisfies the $(\overline{M}, \mathcal{D})$ -c.c.

Fact 1.4. 1) $\mathcal{D}_{\overline{M}}$ is a normal filter on ω_1 .

2) The \overline{M} -c.c. implies the c.c.c., and if $\mathcal{D}_{\overline{M}} \subseteq \mathcal{D}$ (or just there is a normal filter $\mathcal{D}' \supseteq \mathcal{D}_{\overline{M}} \cup \mathcal{D}$) then the $(\overline{M}, \mathcal{D})$ -c.c. implies the c.c.c. and if $\mathcal{D}_2 \supseteq \mathcal{D}_1 \supseteq \mathcal{D}_{\overline{M}}$ are normal filters, then the $(\overline{M}, \mathcal{D}_1)$ -c.c. implies the $(\overline{M}, \mathcal{D}_2)$ -c.c. 3) We can find $\langle S_{\zeta}^* \colon \zeta < \omega_2 \rangle$ such that $S_{\zeta}^* \subseteq S^*, \, \zeta < \xi \Rightarrow S_{\zeta}^* \subseteq S_{\xi}^* \mod \mathcal{D}_{\overline{M}}, S_{\zeta}^* \subseteq S_{\zeta+1}^*$ and $S_{\zeta+1}^* \backslash S_{\zeta}^* \in \mathcal{D}_{\overline{M}}^+$, moreover $S_{\zeta+1}^* \backslash S_{\zeta}^*$ is countable.

4) If $\overline{M}^1 \leq \overline{M}^2$ and the forcing notion \mathbb{P}_2 satisfies the $(\overline{M}^2, \mathcal{D})$ -c.c. and $\mathbb{P}_1 \leq \mathbb{P}_2$, then \mathbb{P}_1 satisfies the $(\overline{M}^1, \mathcal{D})$ -c.c.

Proof. See [3, IV], but for the reader's convenience we prove part (4). Without loss of generality \mathbb{P}_2 has cardinality \aleph_1 and even set of elements ω_1 . As $\mathbb{P}_1 \ll \mathbb{P}_2$ there is a function $f : \mathbb{P}_2 \to \mathbb{P}_1$ such that

 $(*)_1 q \in \mathbb{P}_2 \land f(q) \leq_{\mathbb{P}_1} p \in \mathbb{P}_1 \Rightarrow p, q \text{ are compatible in } \mathbb{P}_2.$

Let $g: \mathbb{P}_2 \times \mathbb{P}_2 \to \mathbb{P}_2$ be such that

 $(*)_2$ if $p, q \in \mathbb{P}_2$ are compatible then g(p,q) is a common upper bound and $p, q \in \mathbb{P}_1 \Rightarrow g(p,q) \in \mathbb{P}_1$.

So there is a club E of ω_1 which is closed under f, g so

 $(*)_3$ if $\delta \in E \cap S$, $\mathcal{I} \subseteq \mathbb{P}_1 \cap \delta$ is predense in $\mathbb{P}_1 \upharpoonright \delta$ then \mathcal{I} is predense in $\mathbb{P}_2 \upharpoonright \delta$.

[Why? If $q \in \mathbb{P}_2 \cap \delta$ then $f(q) \in \mathbb{P}_1 \cap \delta$ so by the assumption on \mathcal{I} , f(q) is compatible with some $r_1 \in \mathcal{I} \in \mathbb{P}_1 \cap \delta$, so there is $r_2 \in \mathbb{P}_1 \upharpoonright \delta$ above q and r_2 . By the definition of f the conditions r_2, q are compatible in \mathbb{P}_2 hence $g(r_2, q)$ is a common upper bound of them in $\mathbb{P}_2 \upharpoonright \delta$.] $\Box_{1,4}$

Remark 1.5. 1) Note that below when $\mathbb{P}^{\bar{\varphi}_{\alpha}}$ is the older case ([3, IV]) we just preserve every predense set, so in M_{α} (in the based obeyed) the forcing is countable.

2) We may forget to mention this case as it is by now easy.

of M_{α}) order and incompatibility

Definition 1.6. 1) We say $\mathcal{Y} = (S, \Phi, \overline{\eta}, \overline{\nu}) = (S^{\mathcal{Y}}, \Phi^{\mathcal{Y}}, \overline{\eta}^{\mathcal{Y}}, \overline{\nu}^{\mathcal{Y}})$ is a 0-<u>commitment</u> for \overline{M} if for some $E \in \mathcal{D}_{\overline{M}}$:

(a) $S \subseteq S^*, S \in \mathcal{D}_{\bar{M}}^+$

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- (b) $\bar{\eta} = \langle \eta_{\alpha} : \alpha \in S \rangle$, $\Phi = \langle \bar{\varphi}_{\alpha} : \alpha \in S \rangle$ and if $\alpha \in S \cap E$ then $\bar{\varphi}_{\alpha} \in M_{\alpha}$ and $M_{\alpha} \models "\bar{\varphi}_{\alpha}$ is an absolute definition of a c.c.c. forcing notion called $\mathbb{Q}_{\alpha} = \mathbb{Q}^{\bar{\varphi}_{\alpha}}$ with generic real η_{α} "; note, absolute here means that forcing extensions of M_{α} , preserve predensity of countable sets (in the sense
- (c) $\bar{\nu} = \langle \nu_{\alpha} : \alpha \in S \rangle$ where $\nu_{\alpha} \in {}^{\omega}\omega$ and for every $\alpha \in S \cap E$ the real ν_{α} is $(\mathbb{Q}_{\alpha}, \eta_{\alpha})$ -generic over M_{α} .

We ignore \overline{M} if clear from the context. We can replace \overline{M} by $(\overline{M}, \mathcal{D})$ if above $E \in \mathcal{D}, S \in \mathcal{D}^+$.

1A) A forcing notion \mathbb{P} of cardinality $\leq \aleph_1$ satisfies the 0-commitment $\mathcal{Y} = (S^{\mathcal{Y}}, \Phi^{\mathcal{Y}}, \bar{\eta}^{\mathcal{Y}}, \bar{\nu}^{\mathcal{Y}})$ for an \aleph_1 -oracle \bar{M} (we may suppress) if: \mathbb{P} is a forcing notion and for any one-to-one mapping $h: \mathbb{P} \to \omega_1$ for some $E \in \mathcal{D}_{\bar{M}}$ we have

- (d) if $\alpha \in S \cap E$ then $\Vdash_{\mathbb{P}}$ "the real ν_{α} is a $(\mathbb{Q}_{\alpha}, \eta_{\alpha})$ -generic real over $M_{\alpha}[\alpha \cap h'' G_{\mathbb{P}}]$ "
- (e) [the old case]: if $\alpha \in S \cap E$ even when \mathbb{Q}_{α} is a singleton (hence $\nu_{\alpha} \in M_{\alpha}$, a degenerated case), then every predense subset \mathcal{I} of $\{p \in \mathbb{P} : h(p) < \alpha\}$ for which $\{h(p) : p \in \mathcal{I}\} \in M_{\alpha}$ is a predense subset of \mathbb{P} .

2) Let $\mathbb{P} \in \mathcal{H}(\aleph_2)$ be an \overline{M} -c.c. forcing notion. We say that $\mathcal{Y} = (S, \overline{\Phi}, \overline{\eta}, \overline{\nu}) = (S^{\mathcal{Y}}, \Phi^{\mathcal{Y}}, \overline{\eta}^{\mathcal{Y}}, \overline{\nu}^{\mathcal{Y}})$ is a 1-commitment on \mathbb{P} for \overline{M} if: for any \overline{N} satisfying $(*)_1$ below, the clauses (a)–(d) of $(*)_2$ below hold

 $(*)_1 \ \bar{N} = \langle N_{\alpha} : \alpha < \omega_1 \rangle \text{ is increasing continuous, } N_{\alpha} \prec (\mathcal{H}(\aleph_2), \in) \text{ is count-able, } \bar{N} \upharpoonright (\alpha + 1) \in N_{\alpha+1} \text{ and } \{\bar{M}, \mathbb{P}\} \subseteq \bigcup_{\alpha < \omega_1} N_{\alpha}$

(*)₂ (a)
$$S \subseteq \text{Dom}(\bar{M}) \subseteq S^*, S \in \mathcal{D}_{\bar{M}}^+$$

- (b) $\bar{\eta} = \langle \eta_{\alpha} : \alpha \in S \rangle$, $\Phi = \langle \bar{\varphi}_{\alpha} : \alpha \in S \rangle$ so $(\bar{\varphi}_{\alpha}, \eta_{\alpha})$ is a \mathbb{P} -name of a pair as in 1.6(1)(a), both are hereditarily countable over \mathbb{P}
- (c) $\bar{\nu} = \langle \underline{\nu}_{\alpha} : \alpha \in S \rangle$ and $\underline{\nu}_{\alpha}$ a \mathbb{P} -name of a real given by countably many conditions
- (d) the set of the $\alpha \in S$ satisfying the following belongs to $(\mathcal{D}_{\bar{M}} + S)^+: \bar{\varphi}_{\alpha} \in M_{\alpha}$, Mos $\operatorname{Col}_{N_{\alpha}}(N_{\alpha}) \in M_{\alpha}$, and letting

 $\mathbb{P}'_{\alpha} = \operatorname{Mos} \operatorname{Col}_{N_{\alpha}}(\mathbb{P}^{N_{\alpha}}) \in M_{\alpha}$

we have $M_{\alpha} \models "\bar{\varphi}_{\alpha}$ is a wide \mathbb{P}'_{α} -name of an absolute definition of a c.c.c. forcing with generic real η_{α} " and $\Vdash_{\mathbb{P}}$ "the real ν_{α} is a $(\mathbb{Q}_{\bar{\varphi}^{\alpha}}, \eta_{\alpha})$ -generic real over $M_{\alpha}[\bar{\mathcal{G}}_{\mathbb{P}}]$ ".

For simplicity the reader may concentrate on the case $\langle (\bar{\varphi}_{\alpha}, \eta_{\alpha}) : \alpha \in S \rangle \in \mathbf{V}$. 3) Let

$$IS = \{ (\mathbb{P}, \mathcal{Y}, \overline{M}) \colon \mathbb{P} \in \mathcal{H}(\aleph_2) \text{ is an } \overline{M} \text{-c.c. forcing notion and } \mathcal{Y} \\ \text{ is a 1-commitment on } \mathbb{P} \}.$$

We shall omit \overline{M} if clear from the context. We can replace \overline{M} by $(\overline{M}, \mathcal{D})$ naturally and write IS_{\mathcal{D}}, but the claims are the same.

4) For $(\mathbb{P}^{\ell}, \mathcal{Y}^{\ell}, \bar{M}^{\ell}) \in IS \ (\ell = 1, 2)$ let $(\mathbb{P}^1, \mathcal{Y}^1, \bar{M}^1) \leq^* (\mathbb{P}^2, \mathcal{Y}^2, \bar{M}^2)$ means $\bar{M}^1 \leq \bar{M}^2, \mathbb{P}^1 < \mathbb{P}^2$ and for some $E \in \mathcal{D}_{\bar{M}^1}$ we have

$$S^{\mathcal{Y}_1} \cap E \subseteq S^{\mathcal{Y}_2} \cap E, \ \underline{\Phi}^{\mathcal{Y}^1} \upharpoonright (S^{\mathcal{Y}_1} \cap E) = \underline{\Phi}^{\mathcal{Y}^2} \upharpoonright (S^{\mathcal{Y}} \cap E),$$

$$\underline{\bar{\eta}}^{\mathcal{Y}^1} \upharpoonright (S^{\mathcal{Y}^1} \cap E) = \underline{\bar{\eta}}^{\mathcal{Y}^2} \upharpoonright (S^{\mathcal{Y}^1} \cap E) \text{ and } \underline{\bar{\nu}}^{\mathcal{Y}^1} \upharpoonright (S^{\mathcal{Y}^1} \cap E) = \underline{\bar{\nu}}^{\mathcal{Y}^2} \upharpoonright (S^{\mathcal{Y}^1} \cap E).$$

We call *E* a witness to $(\mathbb{P}^1, \mathcal{Y}^1, \overline{M}^1) <^* (\mathbb{P}^2, \mathcal{Y}^2, \overline{M}^2).$

We point out the connection between 0-commitment and 1-commitment.

Fact 1.7. 1) If \mathcal{Y} is a 1-commitment on \mathbb{P} and \mathbb{P} an \overline{M} -c.c. forcing notion of cardinality $\leq \aleph_1$, then $\Vdash_{\mathbb{P}} "\mathcal{Y}[\mathcal{G}_{\mathbb{P}}] = (S^{\mathcal{Y}}, \Phi^{\mathcal{Y}}[\mathcal{G}_{\mathbb{P}}], \overline{\eta}^{\mathcal{Y}}[\mathcal{G}_{\mathbb{P}}], \overline{\nu}[\mathcal{G}_{\mathbb{P}}])$ is a 0-commitment" so we call it $\mathcal{Y}[\mathcal{G}_{\mathbb{P}}]$. Note $\eta_{\delta}[\mathcal{G}_{\mathbb{P}}]$ is still a name.

2) If $\mathbb{P} = \{\emptyset\}$ (the trivial forcing) then: \mathcal{Y} is a 1-commitment on $\mathbb{P} \text{ iff } \mathcal{Y}$ is a 0-commitment.

3) If $\langle \overline{M}^i : i < \zeta \rangle$ is \leq -increasing, $\zeta < \omega_2$ and $\text{Dom}(\overline{M}^i) \setminus S$ is not stationary for $i < \zeta$, then there is \overline{M} , $\text{Dom}(\overline{M}) = S$ such that $i < \zeta \Rightarrow \overline{M}^i \leq \overline{M}$.

4) Increasing M preserves everything.

5) If a forcing notion \mathbb{P} satisfies the 0-commitment \mathcal{Y} for the \aleph_1 -oracle \overline{M} and $S' = \{\delta \in S^{\mathcal{Y}} : \mathbb{Q}_{\delta}^{\overline{\varphi}_{\alpha}[\mathcal{Y}]} \text{ is a singleton (i.e., } \delta \text{ of the old case for } \mathcal{Y})\}$ and $S' \neq \emptyset \mod D_{\overline{M}} \text{ then}$

(a) \mathbb{P} satisfies the \overline{M} -c.c.

(b) if $S'' \subseteq S'$ and $S'' \neq \emptyset \mod D_{\bar{M}}$ and $M_{\delta} \models "X_{\delta} \subseteq {}^{\omega}2$ is not meagre" for every $\delta \in S''$ then $\bigcup X_{\delta}$ is not meagre in $\mathbf{V}[\mathbb{P}]$. $\delta \in S''$

As a warm-up (see [4] for more)

Claim 1.8. 1) Assume

- (a) M is a countable transitive model of ZFC⁻, $M \models "\mathbb{P}_1$ is a countable forcing notion"
- (b) $M \models "\varphi$ is an absolute definition of c.c.c. forcing notion \mathbb{Q}^{φ} with generic $\eta: \alpha_1 \to \alpha_2$ " and $\alpha_1, \alpha_2 < \omega_1$
- (c) ν is $(M, \mathbb{Q}^{\varphi})$ -generic sequence, i.e., there is $G \subseteq (\mathbb{Q}^{\varphi})^M$ generic over M such that $\nu = \eta[G]$.

<u>Then</u> we can find a countable \mathbb{P}_2 such that

- (α) $\mathbb{P}_1 \subseteq_{ic} \mathbb{P}_2$ and every $\mathcal{J} \in M$ which is predense in \mathbb{P}_1 is predense in \mathbb{P}_2
- $(\beta) \Vdash_{\mathbb{P}_2} ``\nu is (M', \mathbb{Q}^{\varphi}) -generic sequence where M' = M[G_{\mathbb{P}_2} \cap \mathbb{P}_1]".$
- 2) Similarly for φ defining a nep forcing.

Proof. 1) In M we can define $\mathbb{P}^+ = \mathbb{P}_1 * (\mathbb{Q}^{\varphi})^{M[\tilde{G}_{\mathbb{P}_1}]}$, now as \mathbb{Q}^{φ} is absolutely c.c.c., we know that $q \mapsto (\emptyset, q)$ is a complete embedding of $(\mathbb{Q}^{\varphi})^M$ into \mathbb{P}^+ . So if $G_* \subseteq (\mathbb{Q}^{\varphi})^M$ is generic over M such that $\nu = \eta[G]$ then let $\mathbb{P}_2^* = \{(p,q) \in \mathbb{P}_1 * (\mathbb{Q}^{\varphi})^{M[\tilde{G}_{\mathbb{P}_1}]} : (p,q) \text{ is compatible with } (\emptyset,q') \text{ for every} \}$ $q' \in G_*$. Now check. 2

2) See [4].
$$\Box_{1.8}$$

Crucial Claim 1.9. In IS, any \leq^* -increasing ω -chain has an upper bound.

Remark. 1) The ω -limit is the crucial one not the ω_1 -limit? Actually for ω_1 -limit we take the union and we preserve what we need by using the square (and having done something toward it in earlier limits or stages of cofinality \aleph_0).

2) When is the union not an upper bound? If, e.g., for each $\alpha \in S' \subseteq S^{\mathcal{Y}}$ the forcing note $\varphi_{\alpha}^{\mathcal{Y}}$ is random real forcing we have in particular to preserve $\{\nu_{\alpha}: \alpha \in S'\}$ is non-null, but the union normally adds a Cohen.

Proof. So assume $(\mathbb{P}^n, \mathcal{Y}^n, \overline{M}^n) \in IS$ and $(\mathbb{P}^n, \mathcal{Y}^n, \overline{M}^n) \leq^* (\mathbb{P}^{n+1}, \mathcal{Y}^{n+1}, \mathcal{Y}^{n+1})$ \overline{M}^{n+1}) for $n < \omega$, let \overline{M} be such that $\overline{M} \ge \overline{M}^n$ for each n; so let $E_n \in$ $\mathcal{D}_{\bar{M}}$ witness both. For simplicity assume that above any $p \in \mathbb{P}^n$ there are two incompatible elements, and $0 \in \mathbb{P}^0$ is minimal in all \mathbb{P}^n , i.e. is

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- $(a)_n$ if $p,q \in \mathbb{P}_n$ are compatible then $F_n(p,q) \in \mathbb{P}_n$ is a common upper bound
- $(b)_{n,m}$ if n < m and $p \in \mathbb{P}_m$, then $\langle F_{n,m,\ell}(p) \colon \ell < \omega \rangle$ is a maximal antichain of \mathbb{P}_n , such that for each ℓ : <u>either</u> $p, F_{n,m,\ell}(p)$ are incompatible (in \mathbb{P}_m) <u>or</u> p is compatible in \mathbb{P}_m with every $q \in \mathbb{P}_n$ which is above $F_{n,m,\ell}(p)$
- $(c)_{n,m}$ if $n < m, p \in \mathbb{P}_m, q \in \mathbb{P}_n$ then $p \leq F_{n,m}(p,q) \in \mathbb{P}_n$ and if there is rsuch that $q \leq_{\mathbb{P}_n} r$ and r, p are incompatible in \mathbb{P}_m then $p, F_{n,m}(p,q)$ are incompatible in \mathbb{P}_m .

Let E be a club of ω_1 , such that $\delta \in E \Rightarrow \delta$ is closed under $F_n, F_{n,m}, F_{n,m,\ell}$ and

$$otp(X^* \cap \delta \setminus \bigcup_{n < \omega} \mathbb{P}^n) = \delta.$$

We would like to define a forcing notion \mathbb{P}^{ω} with universe X^* , and 1commitment \mathcal{Y}^{ω} , and functions $F_{\omega}, F_{n,\omega,\ell}$ satisfying the natural requirements. First, let

$$E_{\omega} = \bigcap_{n < \omega} E_n \cap E, S^{\mathcal{Y}^{\omega}} = \bigcup_{n < \omega} S^{\mathcal{Y}^n} \cap E_{\omega},$$

and for $\alpha \in S^{\mathcal{Y}^{\omega}}$ the triple $(\varphi_{\alpha}^{\mathcal{Y}^{\omega}}, \eta_{\alpha}^{\mathcal{Y}^{\omega}}, \psi_{\alpha}^{\mathcal{Y}^{\omega}})$ is $(\bar{\varphi}_{\alpha}^{\mathcal{Y}^{n(\alpha)}}, \eta_{\alpha}^{\mathcal{Y}^{n(\alpha)}}, \psi_{\alpha}^{\mathcal{Y}^{n(\alpha)}})$ where $n(\alpha) = \min\{n \colon \alpha \in S^{\mathcal{Y}^{n}}\}.$

Defining $\mathbb{P}_{\omega}, F_{\omega}, F_{n,\omega,\ell}$ is harder, so we first define AP, a set of approximations to it. A member t of AP has the form $(\delta^t, \mathbb{P}^t, F^t_{\omega}, F^t_{n,\omega,\ell}, \Gamma^t)_{\ell < \omega}$ satisfying

- $(\alpha) \ \delta^t \in E_\omega$
- (β) \mathbb{P}^t is a forcing notion with set of elements $\subseteq X^* \cap \delta^t$ and $\supseteq \delta^t \cap \bigcup_n \mathbb{P}_n$

and $0 \leq_{\mathbb{P}^t} p$ for every $p \in \mathbb{P}^t$

- $(\gamma) \mathbb{P}^t \upharpoonright (\mathbb{P}^n \cap \delta^t) = \mathbb{P}^n \upharpoonright (\mathbb{P}^n \cap \delta^t)$
- (δ) if $p, q \in \mathbb{P}^t$ are compatible in \mathbb{P}^t then $F^t_{\omega}(p,q)$ is such an upper bound
- (ε) if $p \in \mathbb{P}^t$, $n < \omega$ then $\langle F_{n,\omega,\ell}^t(p) \colon \ell < \omega \rangle$ is a maximal antichain of \mathbb{P}_n , the members are $\langle \delta^t$, and for each ℓ , <u>either</u> $p, F_{n,\omega,\ell}^t(p)$ are incompatible in \mathbb{P}^t <u>or</u> ($\forall q \in \mathbb{P}_n \cap \delta$) ($\mathbb{P}_n \models "F_{n,\omega,\ell}(p) \leq q" \Rightarrow p, q$ are compatible in \mathbb{P}^t) and for at least one ℓ the second case occurs

$$(\zeta) \text{ if } p \in \mathbb{P}^t \cap \mathbb{P}_m \setminus \bigcup_{\ell < m} \mathbb{P}_\ell \text{ then } F_{n,\omega,\ell}^t(p) = F_{n,m,\ell}(p)$$

- (η) Γ^t is a sequence $\langle \bar{p}^t_{\zeta} : \zeta < \zeta^t \rangle$, $\zeta^t < \omega_1$ and \bar{p}^t_{ζ} is a sequence of length ω of members of \mathbb{P}^t which form a maximal antichain (of \mathbb{P}^t)
- (θ) if $p \in \mathbb{P}^t$ and $n < m < \omega$ and $r \in \mathbb{P}_n \cap \delta^t$ and $[r \leq r' \in \mathbb{P}_n \cap \delta^t \Rightarrow r', p$ are compatible in \mathbb{P}^t], then the set $\{F_{m,\omega,\ell}^t(p) \colon \ell < \omega \text{ and } p \text{ is compatible}$ with $F_{m,\omega,\ell}^t(p) \text{ in } \mathbb{P}^t\}$ satisfies: if $r \leq q \in \mathbb{P}_n$ then in \mathbb{P}_m, q is compatible with some member of this set
- (ι) if $\zeta < \zeta^t$ and $n < \omega$ then: $\{F_{n,\omega,\ell}^t(p_{\zeta,k}^t): k < \omega, \ \ell < \omega \text{ and } p_{\zeta,k}^t, F_{n,\omega,\ell}^t(p_{\zeta,k}^t) \text{ are compatible in } \mathbb{P}^t\}$ is a predense subset of \mathbb{P}_n . Note that trivially this subset is predense in $\mathbb{P}_n \cap \delta^t$; similarly in clause (κ)

Moreover,

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 $\begin{aligned} (\kappa) \text{ if } p^* \in \mathbb{P}^t \text{ and } n < \omega \text{ and } \zeta < \zeta^t \text{ then} \\ \mathcal{I}^t_{\zeta,n,p^*} =: \left\{ r' \in \mathbb{P}_n \cap \delta^t \colon (i) \quad r',p^* \text{ incompatible in } \mathbb{P}^t \text{ } \underline{\text{or}} \\ (ii) \quad \text{for some } k < \omega \text{ and } p' \text{ we have} \\ (\forall r'') \ [r' \leq r'' \in \mathbb{P}_n \cap \delta^t \to \{r'',p'\} \\ \text{ has an upper bound in } \mathbb{P}^t] \text{ and} \\ p^* \leq_{\mathbb{P}^t} p', \ p^t_{\zeta,k} \leq_{\mathbb{P}^t} p' \right\}. \end{aligned}$

is predense in \mathbb{P}_n .

* *

We define the (natural) partial order \leq^* on AP: for $t, s \in AP$ as follows; we let $t \leq^* s$ iff:

*

- (i) $\delta^t \leq \delta^s$
- (*ii*) $\mathbb{P}^t \subseteq \mathbb{P}^s$
- (*iii*) $F^t_{\omega} \subseteq F^s_{\omega}$
- $(iv) \ F_{n,\omega,\ell}^t \subseteq F_{n,\omega,\ell}^s$
- (v) Γ^t is an initial segment of Γ^s .

<u>Fact A</u>. $AP \neq \emptyset$.

Proof. Easy: choose $\delta \in E$, let

$$\mathbb{P}^t = \left(\bigcup_{n < \omega} \mathbb{P}^n\right) \upharpoonright \delta, F_{\omega}(p, q) = F_{n(p, q)}(p, q)$$

where $n(p,q) = Min\{n : p \in \mathbb{P}_n \text{ and } q \in \mathbb{P}_n\}.$

For $n < \omega$, $p \in \mathbb{P}^t \cap \delta$ let $\langle F_{n,\omega,\ell}^t(p) \colon \ell < \omega \rangle$ be $\langle F_{n,m,\ell}(p) \colon \ell < \omega \rangle$ for the first $m \ge n$ such that $p \in \mathbb{P}_m$.

Lastly, $\Gamma =$ empty sequence.

<u>Fact B.</u> If $t \in AP$ and $\delta^t < \delta \in E$, then there is s satisfying $t \leq^* s \in AP$ with $\delta^s \geq \delta, \zeta^s = \zeta^t$.

Proof. Without loss of generality t, $\langle \mathbb{P}^n \upharpoonright \delta : n < \omega \rangle$, $X^* \cap \delta$ belongs to M_{δ} and $\delta \in E \cap \bigcap_{n < \omega} E_n$ and $X^* \cap \delta \setminus \bigcup_{n < \omega} \mathbb{P}_n \setminus \delta^t$ is infinite and even has order type δ . [Why? As $\emptyset \notin \mathcal{D}_{\overline{M}}$ and we can increase δ .] So (for the last phrase see the proof of 1.4(4))

(*) any $\mathcal{J} \in M_{\delta}$ which is a predense subset of $\mathbb{P}_n \upharpoonright \delta$ is a predense subset of \mathbb{P}_n and $n < m \Rightarrow \mathbb{P}_n \upharpoonright \delta < \mathbb{P}_m \upharpoonright \delta$.

Let $A = \mathbb{P}^t \subseteq X^* \cap \delta^t$, $B = \bigcup_{n < \omega} \mathbb{P}^n \cap \delta$. We define a forcing notion \mathbb{Q} , with set of elements $\subseteq A \times B$ identifying (p, 0) with p and (0, q) with q. Now $(p,q) \in A \times B$ belongs to \mathbb{Q} iff: p = 0 or q = 0 or there are $r \in A \cap B$ and n = n(p,q) such that: $\mathbb{P}_n \models "r \leq q"$, and $(\forall r') [r \leq r' \in \mathbb{P}_n \cap \delta^t \to r', p$ compatible in \mathbb{P}^t]; we call such r a witness and n a possible value for n(p,q). The order on \mathbb{Q} is

$$(p,q) \le (p',q') \Leftrightarrow p \le_{\mathbb{P}^t} p' \text{ and } \bigvee_n q \le_{\mathbb{P}_n} q'.$$

Now note

- $(\alpha) \mathbb{Q} \upharpoonright A = \mathbb{P}^t.$
- $(\beta) \ \mathbb{Q} \upharpoonright B = \bigcup_{n < \omega} \mathbb{P}_n \upharpoonright \delta.$
- (γ) If $(p,q) \in \mathbb{Q}$, m = n(p,q) and $q \in \mathbb{P}_m \upharpoonright \delta$ and $\mathbb{P}_m \upharpoonright \delta \models "q \leq q'$ " and $\mathbb{P}^t \models "p' \leq p$ ", then $(p',q') \in \mathbb{Q}$ and $\mathbb{Q} \models "(p,q) \leq (p,q')$ ".
- (δ) If $(p,q) \in \mathbb{Q}$ and $n = n(p,q) \leq m < \omega$, then for some q_1 we have: $(p,q) \leq (p,q_1) \in \mathbb{Q}$ and $n(p,q_1) = m$, or at least m is a possible value for $n(p,q_1)$.

[Why? Let $r \in \mathbb{P}_{n(p,q)}$ be a witness in particular r is compatible with pin \mathbb{P}_t . By clause (θ) of the Definition of AP the set $\mathcal{J} = \{F_{m,\omega,\ell}^t(p) : \ell < \omega \text{ and } p \text{ is compatible with } F_{m,\omega,\ell}^t(p) \text{ in } \mathbb{P}^t\}$ is predense above r in \mathbb{P}_m . $\mathbb{P}_n \models r \leq q$ hence $\mathbb{P}_m \models r \leq q$ so for some $\ell, F_{m,\omega,\ell}^t(p) \in \mathcal{J}$ is compatible with q in \mathbb{P}_m so there is $q_1 \in \mathbb{P}_m \cap \delta$ such that $\mathbb{P}_m \models q \leq q_1 \land F_{m,\omega,\ell}^t(p) \leq q_1$. So $(p,q_1) \in \mathbb{Q}$ as witnessed by m and $r' = F_{m,\omega,\ell}^t(p)$, is as required.]

 $(\varepsilon) \mathbb{P}_n \upharpoonright \delta \lessdot \mathbb{Q}.$

[Why? Let $(p^0, q^0) \in \mathbb{Q}$, of course, we can replace this pair by any larger one, so by clause (δ) above without loss of generality some $m \in$

 $[n, \omega)$, is a possible value for $n(p^0, q^0)$ so we have $q^0 \in \mathbb{P}_m \upharpoonright \delta$, hence recalling that $\mathbb{P}_n \upharpoonright \delta \triangleleft \mathbb{P}_m \upharpoonright \delta$ there is $q^1 \in \mathbb{P}_n \upharpoonright \delta$ such that:

$$(\forall r \in \mathbb{P}_n)(\mathbb{P}_n \upharpoonright \delta \models q^1 \le r \Rightarrow r, q^0 \text{ compatible in } \mathbb{P}_m \upharpoonright \delta).$$

Assume $q^1 \leq r \in \mathbb{P}_n \upharpoonright \delta$. So r, q^0 are compatible in $\mathbb{P}_m \upharpoonright \delta$ hence has a common upper bound $q^2 \in \mathbb{P}_m \upharpoonright \delta$.

In particular $q^0 \leq q^2 \in \mathbb{P}_m \upharpoonright \delta$ so by clause (γ) we have $(p^0, q^2) \in \mathbb{Q}$ and $(p^0, q^0) \leq^{\mathbb{Q}} (p^0, q^2)$; also $r = (0, r) \leq (p^0, q^2)$ as $r \leq q^2$ together r, (p^0, q^0) are compatible in \mathbb{Q} , so $[q^1 \leq r \in \mathbb{P}_n \upharpoonright n \Rightarrow (p^0, q^0), r = (0, r)$ are compatible in $\mathbb{Q}]$. As $(p^0, q^0) \in \mathbb{Q}$ was arbitrary we are done.]

- (ζ) If $p_1, p_2 \in \mathbb{P}^t$ are incompatible in \mathbb{P}^t then they are incompatible in \mathbb{Q} . [Why? Look at the order of \mathbb{Q}].
- (η) If $\zeta < \zeta^t$ then \bar{p}^t_{ζ} is a maximal antichain in \mathbb{Q} .

[Why? If not some $(p^*, q^*) \in \mathbb{Q}$ is incompatible in \mathbb{Q} with every $(p^t_{\zeta,k}, 0)$ for $k < \omega$. Let $n < \omega$ be a possible value of $n(p^*, q^*)$ so $q^* \in \mathbb{P}_n \upharpoonright \delta$ and there is a witness $r^* \leq q^*, r^* \in \mathbb{P}_n \upharpoonright \delta^t$ for $(p^*, q^*) \in \mathbb{Q}$.

By clause (κ) in the definition of $t \in AP$ we know that for some $r \in \mathbb{P}_n \cap \delta^t$ we have:

(i) $r \in \mathcal{I}^t_{\zeta,n,p^*}$

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(*ii*) q^*, r are compatible in \mathbb{P}_n .

As q^*, r are compatible and $r^* \leq q^*$ also r^*, r are compatible in \mathbb{P}_n hence in $\mathbb{P}_n \cap \delta^t$, so by the demand on r^* , we have: r, p^* are compatible in \mathbb{P}^t . So in clause (κ) of the definition of AP, in the definition of $\mathcal{I}^t_{\zeta,n,p^*}$ for our r subclause (i) fails hence subclause (ii) holds so there are k, p'as in subclause (ii) there. Also let $q^1 \in \mathbb{P}_n \upharpoonright \delta$ be a common upper bound of q^*, r . So r witness that $(p', q^1) \in \mathbb{Q}$ with n a possible value of $n(p', q^1)$. Clearly it is above (p^*, q^*) and above $p^t_{\zeta,k}$ so we are done.]

Let $\delta^s = \delta$. Clearly $\mathbb{Q} \in M_{\delta}$ and $M_{\delta} \models "|\mathbb{Q}_{\delta}| \leq |\delta|"$ so, as $X^* \cap \delta \setminus \bigcup_n \mathbb{P}_n$ has order type δ and \mathbb{P}^t is bounded in it, there is $f \in M_{\delta}$ such that $f : \mathbb{Q} \to X^* \cap \delta$ is a one to one (into or even onto), extending $\mathrm{id}_A \cup \mathrm{id}_B$, and define \mathbb{P}^s such that f is an isomorphism from \mathbb{Q} onto \mathbb{P}^s . We can define $F^s_{\omega}, F^s_{n,\omega,\ell}$ $(n, \ell < \omega)$ extending $F^t_{\omega}, F^s_{n,\omega,\ell}$ as required, e.g., $F^s_{n,\omega,\ell}((p,q)) = F^s_{n,m,\ell}(q)$ for some m > n such that $q \in \mathbb{P}_m$ except when q = 0 then $F^s_{n,\omega,\ell}((p,0)) = F^t_{n,\omega,\ell}(p)$. Now it is easy to check clause (θ) of the definition of $s \in AP$, recalling (*) above and clauses $(i), (\kappa)$ holds since the construction is made in M_{δ} . Lastly, let $\Gamma^s = \Gamma^t$.

<u>Fact C</u>. If $t^n \in AP$ and $t^n \leq^* t^{n+1}$ for $n < \omega$ <u>then</u> there is t such that $n < \omega \Rightarrow t^n \leq^* t \in AP$ and $\delta^t = \bigcup_{n < \omega} \delta^{t^n}$ and $\zeta^t = \bigcup_{n < \omega} \zeta^{t^n}$. [Why? Just let δ^t, ζ^t be as above, $\mathbb{P}^t = \bigcup_{n < \omega} \mathbb{P}^{t^n}, F^t_{\omega} = \bigcup_{n < \omega} F^{t^n}_n, F^t_{m,\omega,\ell} = \bigcup_{n < \omega} F^{t^n}_{m,\omega,\ell}$ and $p^t_{\zeta,k} = p^{t^n}_{\zeta,k}$ for every n large enough. Now check.] <u>Main Fact D</u>. Assume $t \in AP$, $\delta^t \in E \cap \bigcap_{n < \omega} E_n$, $t \in M_{\delta}$ and $\delta := \delta^t \in \bigcup_{n < \omega} S^{\mathcal{Y}_n}$. <u>Then</u> there is $s \in AP$ such that $t \leq^* s$ and ν_{δ} is actually a \mathbb{P}^s -name (i.e. all the countably many conditions appearing in its definition belongs to $\bigcup_{n < \omega} \mathbb{P}_m \cap \delta^s \subseteq \mathbb{P}^s$) and:

(*) if $\mathbb{P}^s \subseteq_{ic} \mathbb{Q}$, and for each $\zeta < \zeta^s$ the sequence \bar{p}^s_{ζ} is a maximal antichain of \mathbb{Q} , then

 $\Vdash_{\mathbb{Q}} \text{ "there is } G' \subseteq \mathbb{Q}_{\bar{\varphi}_{\delta}^{t}}^{M_{\delta}[G]} \text{ generic over } M_{\delta}[G] \text{ such that } (\eta[\tilde{G}])[G'] = \nu_{\delta}".$

[Why? Chase arrows so similar to the proof of 1.8 (1) (and we use clause (α) there for clause (e) of Definition 1.6 (1A)).]

<u>Fact</u> E. If in Main Fact D, \mathbb{Q}_{δ} is a singleton (hence $\nu_{\delta} \in M_{\delta}$ so the main fact is trivial) then there is $s \in AP$ such that $t \leq^* s$ and $\bar{p} \in M_{\delta}$ is an ω -sequence listing a predense subset of \mathbb{P}^t then \bar{p} appears in the sequence Γ^t .

[Why? Easy.]

So we can choose $t_{\varepsilon} \in AP$ by induction on $\varepsilon < \omega_1$ such that t^{ε} is \leq^* increasing continuous, $\delta^{t^{\varepsilon+1}} > \delta^{t^{\varepsilon}}$, and if $t^{\varepsilon} \in M_{(\delta^{t^{\varepsilon}})}$, $\delta^{t^{\varepsilon}} \in \bigcap_{n < \omega} E_n \cap E \cap$ $\bigcup_{n < \omega} S^{\mathcal{Y}_n}$ then $t^{\varepsilon+1}$ is gotten by Fact D. No problem to carry this ($\varepsilon = 0$ by Fact A, $\varepsilon = \varepsilon_1 + 1$ by Fact D if possible and by Fact B if not; lastly, if ε is a limit ordinal, use Fact C).

Now let $\mathbb{P}^{\omega} = \bigcup_{\varepsilon < \omega_1} \mathbb{P}^{t^{\varepsilon}}$ and it should be clear how to define \mathcal{Y}^{ω} ; now check the requirements. $\Box_{1.9}$

Definition 1.10. Let $\bar{C}^* = \langle C^*_{\delta} : \delta < \omega_2$ a limit ordinal (and $C^*_{\alpha} = \emptyset$ otherwise) be a square sequence and $\bar{X}^* = \langle X^*_i : i < \omega_1 \rangle$ be an increasing sequence of subsets of ω_1 ,

$$|X_i^* \backslash \bigcup_{j < i} X_j^*| = \aleph_1, X_{\omega_1}^* = \bigcup_{i < \omega_1} X_i^*$$

We say that $\langle (\mathbb{P}_i, \mathcal{Y}_i, f_i, \bar{M}_i) : i < \alpha \rangle$ is a (\bar{C}^*, \bar{X}^*) -iteration (we omit \bar{M}^i and write $(\bar{M}, \bar{C}^*, \bar{X}^*)$ -iteration if $i < \alpha \Rightarrow \bar{M}^i \leq \bar{M}$ or an \bar{M} -iteration when \bar{C}^*, \bar{X} are clear from context) if:

- (a) $(\mathbb{P}_i, \mathcal{Y}_i, \bar{M}^i) \in IS$ is $<^*$ -increasing and $\text{Dom}(\bar{M}^i) = S^{\mathcal{Y}_i}$
- (b) f_i is a one to one function from \mathbb{P}_i onto $X^*_{\operatorname{otp}(C^*_{\alpha})}$, and let $(\mathbb{P}'_i, \mathcal{Y}'_i)$ be such that f_i maps $(\mathbb{P}_i, \mathcal{Y}_i)$ to $(\mathbb{P}'_i, \mathcal{Y}'_i)$
- (c) if $j \in \operatorname{acc}(C_i)$ then $f_j \subseteq f_i$
- (d) if $cf(i) = \aleph_0$ and $i = sup acc(C_i^*)$ then $(\mathbb{P}'_i, \mathcal{Y}'_i)$ is gotten from $\langle (\mathbb{P}'_i, \mathcal{Y}'_i) : j \in acc(C_{\delta}^*) \rangle$ as in the proof of 1.9 (using $\langle X_j^* : j \in acc(C_i^*) \rangle$,

 $X^*_{\operatorname{otp}(C_i^*)}$ instead of $\langle X_n : n < \omega \rangle$, X_ω so $\operatorname{acc}(C_i^*)$ replace ω and we generate $\langle t^i_\alpha : \alpha < \omega_1 \rangle$ and by it define $(\mathbb{P}'_i, \mathcal{Y}'_i)$ hence $(\mathbb{P}_i, \mathcal{Y}_i)$

- (e) in clause (d), assume $\delta = \operatorname{otp}(C_i^*)$, $\langle (\mathbb{P}'_j, \mathcal{Y}'_j) \upharpoonright \delta : j \in \operatorname{acc}(C_i^*) \rangle \in M_{\delta}$ and for $j_1 < j_2$ from $\operatorname{acc}(C_i^*)$ the ordinal δ belongs to the club $\{\alpha < \omega_1 : \alpha \text{ limit closed under the functions } F^{j_1} \text{ and } F_{\ell}^{j_1,j_2} \text{ (see clause}$ (f) below)} and $\delta^{t_{\delta}^{j_1}} = \delta$. Let $t_*^i \in AP$ be defined by $\delta_*^i = \operatorname{otp}(C_i^*)$, $\mathbb{P}^{t_*^i} = \bigcup \{\mathbb{P}'_j \upharpoonright \delta : j \in \operatorname{acc}(C_i^*)\}, F_{\omega}^{t_*^i} = \bigcup \{F^{j_1} \upharpoonright \delta_{i,j_1} \in \operatorname{acc}(C_i^*)\},$ $F_{j_1,\omega,\ell}^{t_*^i} = \bigcup \{F_{\ell}^{j_1,j_2} : j_2 \in \operatorname{acc}(C_i^*) \setminus j_1\}$ and let $\Gamma^{t_*^i}$ be empty. If $t_*^i \in M_{\delta}$ then let t_0^i be gotten from t_*^i as in Fact D.
- (f) F^{j} is a (partial) two-place function from $X^{*}_{\operatorname{otp}(C_{j}^{*})}$ to itself such that $F^{j}(p,q)$ is the <-first common upper bound of p and q in \mathbb{P}'_{j} and if $j_{1} \in \operatorname{acc}(C_{j_{2}}^{*})$ then we have $\langle F_{n}^{j_{1},j_{2}}(p) : n < \omega \rangle$ is a maximal antichain of $\mathbb{P}'_{j_{1}}$ satisfying: for each n, either $F_{n}^{j_{1},j_{2}}(p)$ is incompatible with p in $\mathbb{P}'_{j_{2}}$ or p is compatible with r in $\mathbb{P}'_{j_{2}}$ wherever $\mathbb{P}'_{j_{1}} \models F_{n}^{j_{1},j_{2}}(p) \leq r$.

Claim 1.11 (iteration at limit). 1) Assume $\langle (\mathbb{P}_i, \mathcal{Y}_i, f_i) : i < \zeta \rangle$ is a $(\overline{M}, \overline{C}^*, \overline{X}^*)$ -iteration where $\zeta < \omega_2$ is a limit ordinal. <u>Then</u>

- (a) we can find $(\mathbb{P}_{\zeta}, \mathcal{Y}_{\zeta}, f_{\zeta})$ such that $\langle (\mathbb{P}_i, \mathcal{Y}_i, f_i) : i < \zeta + 1 \rangle$ is an \overline{M} -iteration
- (b) if $S \subseteq S^*$, $i < \zeta \Rightarrow S^{\mathcal{Y}_i} \subseteq S \mod \mathcal{D}_{\bar{M}}$, <u>then</u> we can demand $S^{\mathcal{Y}_{\zeta}} = S$.

Proof. If $cf(\zeta) = \aleph_0$ we use 1.9 but taking care of clause (e), this just dictates to us how to start the induction there. If $cf(\zeta) = \aleph_1$, then by the square bookkeeping (see clause (e) in Definition 1.10) our work is done (using $f_{\zeta} = \bigcup \{f_{\xi} : \xi \in acc(C_{\zeta})\}$). $\Box_{1.11}$

Claim 1.12. 1) Assume

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- (a) $\mathcal{Y} = (S, \bar{\Phi}, \bar{\eta}, \bar{\varrho})$ is a 1-commitment on the forcing notion $\mathbb{P} \in \mathcal{H}(\aleph_2)$ for \bar{M}
- (b) $G_{\mathbb{P}} \subseteq \mathbb{P}$ is generic over \mathbf{V} , $\bar{\nu}^0 = \langle \nu_{\alpha}^0 : \alpha \in S \rangle$ where $\nu_{\alpha}^0 = \underline{\nu}_{\alpha}[G_{\mathbb{P}}]$, $\bar{M}^1 = \bar{M}[G_{\mathbb{P}}] = \langle M_{\delta}[f''(G_{\mathbb{P}})] : \delta \in S^* \rangle$ for some one to one function f from \mathbb{P} into ω_1
- (c) in $\mathbf{V}[G_{\mathbb{P}}], \ \mathcal{Y}^1 = (S^1, \bar{\Phi}^1, \bar{\eta}^1, \bar{\nu}^1)$ is a 0-commitment, $S \subseteq S^1 \mod \mathcal{D}_{\bar{M}[G_{\mathbb{P}}]}, \ \bar{\Phi}^1 \upharpoonright (S \cap S^1) = \bar{\Phi} \upharpoonright (S \cap S_1),$ $\bar{\eta}^1 \upharpoonright (S \cap S_1) = \bar{\eta} \upharpoonright (S \cap S_1), \ \bar{\nu}^1 \upharpoonright (S \cap S_1) = \bar{\nu}^0 \upharpoonright (S \cap S_1)$ and $(S^1, \bar{\Phi}^1, \bar{\eta}^1) \in V$
- (d) in $\mathbf{V}[G_{\mathbb{P}}]$, \mathbb{Q} is a forcing notion satisfying the 0-commitment \mathcal{Y}^1 for \overline{M}^1 .

<u>Then</u> for some \mathbb{P} -name \mathbb{Q} and 1-commitment \mathcal{Y}^2 we have:

(a) $(\mathbb{P}, \mathcal{Y}) \leq^* (\mathbb{P} * \mathbb{Q}, \mathcal{Y}^2)$ (b) $S^{\mathcal{Y}^2} = S^1, \ \Phi^{\mathcal{Y}^2} = \bar{\Phi}^1, \ \bar{\eta}^{\mathcal{Y}_2} = \bar{\eta}^1, \ \bar{\nu}[G_{\mathbb{P}}] = \bar{\nu}^1$ (c) $\mathbb{Q}[G_{\mathbb{P}}] = \mathbb{Q}.$

If for every G_P ⊆ P generic over V there are Q satisfying some ψ₁ and (S¹, Φ
¹, η
¹, ν
) ∈ V[G_P] as above satisfying some ψ₂, <u>then</u> we can demand (d) H_P "Q[G_P], Y² as above satisfies ψ₁, ψ₂ respectively".

3) We may allow $\langle (\bar{\varphi}_{\alpha}, \bar{\eta}_{\alpha}) : \alpha \in S^1 \rangle$ be a sequence of \mathbb{P} -names and even $(\mathbb{P} * \mathbb{Q})$ -names.

Proof. Straight.

Claim 1.13 (iteration in successor case: increase the commitment). Assume $\langle (\mathbb{P}_i, \mathcal{Y}_i, f_i) : i < \zeta \rangle$ is an \overline{M} -iteration and $\zeta = \xi + 1$, $S^{\mathcal{Y}_{\xi}} \subseteq S \subseteq S^*$, $S \subseteq \text{Dom}(\overline{M})$ and $\langle (\varphi_{\alpha}, \eta_{\alpha}) : \alpha \in S \setminus S^{\mathcal{Y}_{\xi}} \rangle$ is as required in Definition 1.6. Lastly $Z_{\alpha} \subseteq {}^{\omega}2$ is a \mathbb{P}_{ξ} -name of a positive set for $(\overline{\varphi}_{\alpha}, \eta_{\alpha})$ for every such α . <u>Then</u> we can find $(\mathbb{P}_{\zeta}, \mathcal{Y}_{\zeta}, f_{\zeta})$ such that

- (i) $\langle (\mathbb{P}_i, \mathcal{Y}_i, f_i) : i < \zeta + 1 \rangle$ is an \overline{M} -iteration
- (*ii*) $\mathbb{P}_{\zeta} = \mathbb{P}_{\xi}, S^{\mathcal{Y}_{\xi}} = S, (\bar{\varphi}_{\alpha}^{\mathcal{Y}_{\xi}}, \bar{\eta}_{\alpha}^{\mathcal{Y}_{\xi}}) = (\bar{\varphi}_{\alpha}, \bar{\eta}_{\alpha}) \text{ if } \alpha \in S \setminus S^{\mathcal{Y}_{\xi}}.$

Proof. Straight.

Claim 1.14 (iteration at successor: increasing the forcing). Suppose

- (a) $(\mathbb{P}, \mathcal{Y}) \in IS$ and the set of elements of \mathbb{P} is X_i (the X_i^* 's as in 1.10)
- (b) \mathbb{Q} is a \mathbb{P} -name satisfying, for every $G \subseteq \mathbb{P}$ generic over \mathbf{V} , the follow*ing:*
 - (i) $\mathbb{Q}[G]$ is a forcing notion with set of elements beings $X_{i+1} \setminus X_i$
 - (ii) $\{\delta < \omega_1 \colon \text{if } \mathbb{P} \upharpoonright \delta \in M_\delta \text{ and } G \cap \delta \text{ is a generic subset of} \mathbb{P} \upharpoonright \delta, \mathbb{Q}[G] \upharpoonright \delta \in M_\delta[G \cap \delta] \text{ and } \psi_\delta[G] \text{ is forced to be generic} for ((\mathbb{Q}^{\varphi_\delta[G]})^{M_\delta[G]}, \eta_\delta[G])\} \in \mathcal{D}_{\overline{M}[G]}.$

<u>Then</u> we can find $(\mathbb{P}^+, \mathcal{Y}^+)$ such that $(\mathbb{P}, \mathcal{Y}) \leq^* (\mathbb{P}^+, \mathcal{Y}^+) \in IS$ and the \mathbb{P}^- -name $\mathbb{P}^+/\mathcal{G}_{\mathbb{P}}$ is equivalent to $\mathbb{Q}[\mathcal{G}_{\mathbb{P}}]$.

Proof. Straight.

Conclusion 1.15. Assume (\bar{C}^*, \bar{X}^*) is as in 1.9. Let Φ be a set of definitions of forcing notions with some real parameters, and $\langle S_i^*: i < \omega_2 \rangle$ is as in 1.4 for $\mathcal{D}_{\bar{M}}$.

We can find $\langle (\mathbb{P}_i, \mathcal{Y}_i, f_i, \overline{M}^i) : i < \omega_2 \rangle$ such that

- (a) it is an (\bar{C}^*, \bar{X}^*) -iteration
- (b) $\mathbb{P} = \bigcup_{i < \omega_2} \mathbb{P}_i$ is a c.c.c. forcing notion of cardinality \aleph_2 (so in $\mathbf{V}^{\mathbb{P}}$, $2^{\aleph_0} \leq \aleph_2$) and except in degenerated cases equality holds
- (c) $S^{\mathcal{Y}_i} = S_i^*$ from 1.4(3)
- (d) if in $\mathbf{V}^{\mathbb{P}_i}$ we have $(\bar{\varphi}, \eta)$ is a case of Φ as in 1.6, moreover $\Vdash_{\mathbb{P}_i} ``\{\delta \in S^*_{i+1} \setminus S^*_i : \tilde{M}^{i+1}_{\delta}[f''_i(\tilde{G}_{\mathbb{P}_i})]" \models ``(\bar{\varphi}, \eta) \text{ as required in 1.6"}\} \in \mathcal{D}^+_{\bar{M}^{i+1}}$

(even less with more bookkeeping) and $Z \subseteq ({}^{\omega}2)^{\mathbf{V}^{\mathbb{P}}}$ is positive for $(\bar{\varphi}, \eta), \underline{\text{then}}$

(φ , η) $\overline{\langle \phi \in S^{\mathcal{Y}_{i+1}} \setminus S^{\mathcal{Y}_i} : (\bar{\varphi}, \eta_{\delta})/G_{\mathbb{P}_i} = (\bar{\varphi}, \eta) \text{ and } \underline{\nu}_{\delta}[G_{\mathbb{P}_i}] \in Z \} \in \mathcal{D}_{\bar{M}}^+,$ in fact the set is forced to include such old set (from **V**) by this we can get

(β) for some $j > i, \delta \in S^{\mathcal{Y}_{j+1}} \setminus S_j^{\mathcal{Y}_0} \Rightarrow (\bar{\varphi}, \underline{\eta}_{\delta}) / G_{\mathbb{P}_i} = (\bar{\varphi}, \underline{\eta}), \underline{\nu}_{\delta}[G_{\mathbb{P}_i}] \in Z$

(e) if H is a pregiven function such that for every $i < \omega_2$ and $(\mathbb{P}, \mathcal{Y}, \overline{M})$ satisfying $(\mathbb{P}_i, \mathcal{Y}_i) \leq^* (\mathbb{P}, \mathcal{Y}) \in IS$ such that $S^{\mathcal{Y}} = S_i^*$ we have $(\mathbb{P}, \mathcal{Y}) \leq^*$ $H(\mathbb{P}, \mathcal{Y}) \in IS$ such that $H(\mathbb{P}, \mathcal{Y}, \overline{M})$ satisfies the demands from (a) + (c) on $(\mathbb{P}_{i+1}, \mathcal{Y}_{i+1}, \overline{M}^{i+1})$, then we can demand $(\exists^{\aleph_2} j)[(\mathbb{P}_{j+1}, \mathcal{Y}_{j+1}) =$ $H(\mathbb{P}_j, \mathcal{Y}_j)]$; moreover, if $S^* \subseteq \omega_2$ is stationary we can demand $\{j \in$ $S: (\mathbb{P}_{j+1}, \mathcal{Y}_{j+1}) = H(\mathbb{P}_j, \mathcal{Y}_n)\}$ is stationary.

(Of course, we can promise this for \aleph_2 such functions).

Proof. Put together the previous claims. (Concerning clause (e) without loss of generality $\{i < \omega_1 : \operatorname{otp}(C_i^*) = 0\}$ is stationary) so in those stages we have no influence of clause (e) of 1.10; anyhow the influence of 1.10(e) is minor.

Discussion 1.16. We discuss here some possible extensions.

Claim 1.17. Assume $\langle S_i: i < \omega_2 \rangle$ is a sequence of pairwise almost disjoint stationary subsets of ω_1 , each with diamond and $i < j \Rightarrow S_i \subseteq S_j^+ \mod \mathcal{D}_{\omega_1}$, so $S_i^+ \subseteq \omega_1$ and $S_i \cap S_i^+ = \emptyset$ and $S_i^+ / \mathcal{D}_{\omega_2}$ is increasing with *i*.

so $S_i^+ \subseteq \omega_1$ and $S_i \cap S_i^+ = \emptyset$ and $S_i^+ / \mathcal{D}_{\omega_1}$ is increasing with *i*. <u>Then</u> in the following game the between the bookkeeper and the forcer, the bookkeeper has a winning strategy.

A Play last ω_2 moves, before the α -th move a sequence $\langle (\mathbb{P}_i, \mathbb{Q}_i, \overline{M}_i, \mathcal{Y}_i) : i < \alpha \rangle$ is defined such that

- (a) \mathbb{P}_i a c.c.c. forcing notion of cardinality \aleph_1 , say $\subseteq \mathcal{H}_{\langle \aleph_1}(\aleph_2)$
- (b) \mathbb{Q}_i is a \mathbb{P}_i -name of a forcing notion of cardinality $\leq \aleph_1$, say $\subseteq \omega_1$
- (c) \mathbb{P}_i is \lt -increasing

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- (d) $\mathbb{P}_{i+1}, \mathbb{P}_i * \mathbb{Q}_i$ are isomorphic over \mathbb{P}_i
- (e) \overline{M}_i is a \mathbb{P}_i -name of an S_i -oracle
- (f) \mathcal{Y}_i is a \mathbb{P}_i -name of a S_i -commitment.

In the *i*-th move:

- (a) the bookkeeper chooses \mathbb{P}_i and a \mathbb{P}_i -name $(\overline{M}_i^+, \mathcal{Y}^+)$ of an S_i^* -oracle and 0-commitment
- (b) the forcer choose \mathbb{Q}_i and $(\bar{M}_i, \mathcal{Y}_i), \mathbb{P}_i$ -names such that \mathbb{Q}_i satisfies (M_i^+, \mathcal{Y}_i^+) and $(\bar{M}_i, \mathcal{Y}_i)$.

In the end the bookkeeper wins if

$$i < j < \omega_2 \Rightarrow \mathbb{P}_j / \mathbb{P}_i \text{ satisfies } (M_i, \mathcal{Y}_i).$$

Proof. Similar to earlier proofs.

We give an easy criterion for existence. The following uses more from [4].

Claim 1.18. Assume

- (a) $(\mathbb{P}, \leq, \leq_n)_{n < \omega}$ is a definition of a forcing notion satisfying condition A of Baumgartner with \leq_n as witness and ZFC_*^- says this, in a way preserved by suitable forcing
- (b) $\mathcal{Y} = (S, \Phi, \bar{\eta}, \bar{\nu})$ is a 0-commitment so $\Phi = \langle \varphi_{\alpha} : \alpha \in S \rangle$
- (c) \mathbb{P} is absolutely nep such that for each $\alpha \in S^{\mathcal{Y}}$ it is \leq_n -purely $I_{\mathbb{Q}^{\bar{\varphi}_{\alpha}}}$ -preserving, i.e.
 - (*) if M is a \mathbb{P} -candidate and a $\mathbb{Q}^{\bar{\varphi}_{\alpha}}$ -candidate, $p \in \mathbb{P}^{M}$, $n < \omega$ and $q \in (\mathbb{Q}^{\bar{\varphi}_{\alpha}})^{M}$ then for some p', η , ν we have $p \leq_{n} p' \in \mathbb{P}$, p' is $\langle M, \mathbb{P} \rangle$ -generic and ν is $(\mathbb{Q}^{\bar{\varphi}_{\alpha}}, \eta_{\alpha})$ -generic over M satisfying q (check def) and $p' \Vdash_{\mathbb{P}} "\nu$ is $(\mathbb{Q}^{\bar{\varphi}_{\alpha}}, \eta_{\alpha})$ -generic over $M \langle G_{\mathbb{P}} \cap P^{M} \rangle$ ".

<u>Then</u> there is a c.c.c. forcing notion $\mathbb{P}' \subseteq \mathbb{P}$ (not necessarily $\mathbb{P}' < \mathbb{P}$) satisfying the 0-commitment \mathcal{Y} and $\Vdash_{\mathbb{P}}$ "for a club of $\delta < \omega_1, \varphi(\underline{\nu}, \eta_{\delta}^*)$ ".

Remark. Why the φ_{δ} 's? We hope it helps, for example in the following; suppose we are given $f \colon \mathbb{R} \to \mathbb{R}$, we like to force $A \subseteq \mathbb{R}$ which is not in $I_{\mathbb{Q}^{\bar{\varphi}_{\alpha}}}$ and on which the function f is continuous; i.e. to force a continuous f^* such that $\{\eta \in {}^{\omega}2 \colon f^*(\eta) = f(\eta)\} \in (I^{ex}_{\mathbb{Q}})^+$. So not only do we like to find $q \Vdash {}^{\omega}\eta_{\delta}$ is $(\mathbb{Q}_{\delta}, \eta_{\delta})$ -generic over $M_{\delta}[\bar{G}_{\mathbb{P}}]$ " but also $q \Vdash_{\mathbb{P}'}$ " $f(\eta_{\delta}) = f(\eta_{\delta})$ ". This is what $\bar{\varphi}$ says. (On $I_{\mathbb{Q}^{\bar{\varphi}_{\alpha}}}, I^{ex}_{\mathbb{Q}}$ see [4].)

Proof. We choose by induction on $\alpha < \omega_1$, a pair $(\mathbb{P}_{\alpha}, \Gamma_{\alpha})$ such that: (α) $\mathbb{P}_{\alpha} \subseteq \mathbb{P}$ is countable

(β) Γ_{α} is a countable family of predense subsets of \mathbb{P}_{α}

- (γ) if $\mathcal{I} \in \Gamma_{\alpha}$ and $p \in \mathbb{P}_{\alpha}$ and $n < \omega$ then for some q we have $p \leq_n q \in \mathbb{P}_{\alpha}$ and \mathcal{I} is predense above q in \mathbb{P}
- (δ) \mathbb{P}_{α} is increasing continuous in α
- (ε) Γ_{α} is increasing continuous in α .

<u>Case 1</u>: $\alpha = 0$. Trivial.

<u>Case 2</u>: $\alpha = \beta + 1$, β non-limit or $(\mathbb{P}_{\beta}, \Gamma_{\beta}) \notin M_{\beta}$.

Let $(\mathbb{P}_{\alpha}, \Gamma_{\alpha}) = (\mathbb{P}_{\beta}, \Gamma_{\beta}).$

<u>Case 3</u>: α limit.

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Let
$$(\mathbb{P}_{\alpha}, \Gamma_{\alpha}) = \left(\bigcup_{\beta < \alpha} \mathbb{P}_{\beta}, \bigcup_{\beta < \alpha} \Gamma_{\beta}\right).$$

<u>Case 4</u>: $\alpha = \delta + 1$ where δ is a limit ordinal and $(\mathbb{P}_{\delta}, \Gamma_{\delta}) \in M_{\delta}$.

We can find $g \subseteq \text{Levy}(\aleph_0, |\mathbb{P}|)^{M_{\delta}}$, generic over M_{δ} such that η_{δ}^* is still \mathbb{Q}_{δ} -generic over $M_{\delta}[g]$ (see [4, §6]).

In $M_{\delta}[g]$ we define $\mathbb{P}_{\delta}^+ = \{p: M_{\delta}[g] \models p \in \mathbb{P} \text{ and } \mathcal{I} \in \Gamma_{\alpha} \Rightarrow \mathcal{I} \text{ predense above } p\}$, using the induction hypothesis, as in $M_{\delta}[g]$ the set Γ_{δ} is countable, so:

(*) for every $p \in \mathbb{P}_{\delta}$ and $n < \omega$ there is $p' \in \mathbb{P}_{\delta}^+$ such that $\mathbb{P} \models p \leq_n p'$.

Again by [4, §6] for every $n < \omega$ and $p \in \mathbb{P}_{\delta}^+$, there is $q_{p,n} \in \mathbb{P}$ such that $p \leq_n q_{p,n} \in \mathbb{P}$, $q_{p,n}$ is $(M_{\delta}[g], \mathbb{Q})$ -generic and $q_{p,n} \Vdash_{\mathbb{P}} ``\nu_{\delta}$ is a $(\mathbb{Q}_{\delta}, \tilde{\eta}_{\delta})$ -generic real over $M_{\delta}[g][\mathcal{G}_{\mathbb{P}}]$ ".

Let $\mathbb{P}_{\delta+1} = \mathbb{P}_{\delta} \cup \{q_{p,n} : p \in \mathbb{P}_{\delta}^+ \text{ and } n < \omega\}$ and $\Gamma_{\delta+1} = \Gamma_{\delta} \cup \{\mathcal{I}_{\delta}\}$ where $\mathcal{I}_{\delta} = \{q_{p,n} : p \in \mathbb{P}_{\delta}^+ \text{ and } n < \omega\}.$ $\Box_{1.18}$

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