# On normal ideals and Boolean Algebras

In [Sh 1] 3.1 we prove: If  $\mathcal{B}$  is a Boolean algebra of power  $\kappa^+, \kappa = \kappa^{<\kappa}$ , and  $\mathcal{B}$  satisfies the  $\kappa$ -chain condition then  $\mathcal{B} - \{0\}$  is the union of  $\kappa$  ultrafilters (why not " $\mathcal{B}$  of power  $\lambda^{++}$ "? see [Sh 3] mainly 2.4, p.245). We here replace " $\kappa$ -chain condition" by a weaker condition we introduce here ( $\kappa$ -SD, (see Definition 1), which says that for almost all  $\mathcal{B} \subseteq \mathcal{B}$  of power  $\kappa$ ,  $\mathcal{B} \leadsto \mathcal{B}$  (for the right interpretation of almost).

The other theorem (6) is that  $2^{\aleph_0} < 2^{\aleph_1}$  implies  $\mathfrak{D}_{\omega_1}$  (the club filter on  $\omega_1$ ), cannot be  $\aleph_2$ - dense. We then observe we cannot improve this to  $[2^{\aleph_0} < 2^{\aleph_0} \Longrightarrow \mathfrak{D}_{\omega_1}$  not  $\aleph_2$ -saturated] as by Forman Magidor Shelah [FMS], a universe  $V, V \models \mathscr{D}_{\omega_1}$  is  $\aleph_2$ -saturated understructibly under c.c.c. forcing" was obtained and discuss the large cardinal needed. For proving Theorem 6 we use normal filters connected with variants of the weak diamonds (see Devlin Shelah [DS], Shelah [Sh 2]) and prove a more general such theorem. Compare with a recent result of Woodin: from  $ADR + \mathscr{V}$  regular" he gets the consistency of  $\mathscr{D}_{\omega_1} + X$  is  $\aleph_1$ -dense" for some stationary  $X \subseteq \omega_1$ . The conception of this work is closely connected with Forman Magidor and Shelah [FMS], and also Shelah and Woodin [SW], and [Sh 5]; it was done subsequently to most of [FMS].

**Notation**:  $\mathcal{P}(\lambda) = \{A : A \subseteq \lambda\}$ , it is a Boolean algebra and we sometimes say  $\lambda$  instead of  $\mathcal{P}(\lambda)$ .  $\mathcal{B}$  denotes a Boolean algebra; the filter  $E \subseteq \mathcal{B}$  generated is  $\langle E \rangle_{\mathcal{B}} = \{x \in \mathcal{B}: \text{ there are } n < \omega, x_1 \in E, \ldots, x_n \in E \text{ such that } \bigcap_{i=1}^n x_\ell \leq x\}$ , it is proper if  $0 \not\in \langle E \rangle_{\mathcal{B}}$ ; an ultrafilter is a maximal proper filter. Let  $\mathcal{B}_1 \Leftrightarrow \mathcal{B}_2$  means  $\mathcal{B}_1$  is a subalgebra of  $\mathcal{B}_2$ , and every maximal antichain of  $\mathcal{B}_1$  is a maximal antichain of  $\mathcal{B}_2$ , or what is equivalent: for

every  $x \in \mathcal{B}_2, x \neq 0$  there is  $y \in \mathcal{B}_1, y \neq 0$  such that (V  $z \in \mathcal{B}_1$ )[0  $< z \leq y \rightarrow x \cap z \neq 0$ ]. Let  $\mathcal{B}_1 \Leftrightarrow^* \mathcal{B}_2$  means that  $\mathcal{B}_1$  is subalgebra of  $\mathcal{B}_2$  and  $\{x \in \mathcal{B}_2 : \{y \in \mathcal{B}_1 : y \cap x = 0\}$  is dense in  $\mathcal{B}_1$ } is dense below no  $z \in \mathcal{B}_1, z \neq 0$ .

For a regular  $\lambda > \aleph_0$  let  $\mathcal{D}_{\lambda}$  be the filter (on  $\mathcal{P}(\lambda)$ ) generated by the closed unbounded subsets of  $\lambda$ . For I an ideal of  $\mathcal{B}$  let  $\mathcal{B}/I$  be the quotient algebra, similarly we define  $\mathcal{B}/\mathcal{D}$ ,  $\mathcal{D}$  a (proper) filter on  $\mathcal{B}$ .

1 Definition: Let  $\mathcal{B}$  be a Boolean algebra of cardinality  $\kappa^+$ ,  $\mathcal{B} = \bigcup_{\alpha < \kappa^+} \mathcal{B}_{\alpha}$ ,  $\mathcal{B}_{\alpha}$  increasing continuous, each  $\mathcal{B}_{\alpha}$  of cardinality  $\leq \kappa$ . We say  $\mathcal{B}$  is  $\kappa$ -SD if  $\{\alpha: \text{if } cf \ \alpha = cf \ \kappa \text{ then } \mathcal{B}_{\alpha} \leq \mathcal{B}\}$  belong to  $\mathcal{D}_{\kappa^+}$ . We say  $\mathcal{B}$  is almost  $\kappa$ -SD if  $\{\alpha: cf \ \alpha = cf \ \kappa \text{ and } \mathcal{B}_{\alpha} \leq \mathcal{B}\} \neq \phi \mod \mathcal{D}_{\kappa^+}$ . We say  $\mathcal{B}$  is almost  $\kappa$ -WSD if for some stationary  $S \subseteq \{\alpha \ cf \ \alpha = cf \ \kappa\}$ , for every  $i < j, [i \in S, j \in S \implies \mathcal{B}_i \leq^* \mathcal{B}_j]$ . We say  $\mathcal{B}$  is  $\kappa$ -WSD if we can choose an S above such that  $S \cup \{\alpha: cf \ \alpha \neq cf \ \kappa\} \in \mathcal{D}_{\lambda}$ .

1A Remark: 1) We can define naturally  $\kappa$ -SD,  $\kappa$ -WSD for  $\mathcal{B}$  of cardinality  $> \kappa^+$ , see the proof of Theorem 2 and Claim 3.

- 2) if  $\kappa = \kappa^{<\kappa}$ ,  $\mathcal B$  satisfies the  $\kappa$ -chain condition,  $\mathcal B$  has cardiality  $\kappa^+$  then  $\mathcal B$  is  $\kappa$ -SD.
- **2.** Theorem: If  $\mathcal{B}$  is  $\kappa$ -SD,  $\kappa = \kappa^{<\kappa}$  then  $\mathcal{B} \{0\}$  is the union of  $\kappa$ , ultrafilters.

 $\text{Proof} : \text{Let } \mathcal{B} = \bigcup_{\alpha < \kappa^+} \mathcal{B}_\alpha, \, \mathcal{B}_i \text{ increasing continuous, } \mathcal{B}_i \text{ of cardinality}$   $\leq \kappa.$ 

As  $\kappa = \kappa^{<\kappa}$ , and as we can replace  $\mathcal B$  by any extension satisfying the same conditions, w.l.o.g.  $\mathcal B$  is closed under unions of  $<\kappa$  elements.

Let  $S = \{i < \kappa^+: i = 0, i \text{ is a successor ordinal or } i \text{ is a limit ordinal with cofinality } \kappa\}$ .

By renaming the  $\mathcal{B}_i$  we can assume;

(a) if  $i \in S$  then  $\mathcal{B}_i \Leftrightarrow \mathcal{B}$  and  $\mathcal{B}_i$  is  $(<\kappa)$ -complete, i.e. if  $\alpha < \kappa$ ,  $\alpha_{\gamma} \in \mathcal{B}_i$  for  $\gamma < \alpha$ , then  $\bigcup_{\gamma,\alpha} \alpha_{\gamma} \in \mathcal{B}_i$  (where  $\bigcup_{\gamma < \alpha} \alpha_{\gamma}$  is taken in  $\mathcal{B}$ ).

Let  $\chi = (2^{\kappa^*})^+$  and w.l.o.g.  $\mathcal{B}_i \in H(\chi)$ . Now for each  $y \in \mathcal{B}, y \neq 0$  we define by induction on  $n < \omega$ , an elementary submodel  $N_n^y$  of  $(H(\chi), \in)$  such that:

- (i)  $y \in N_n^y$ ,  $\langle \mathcal{B}_i : i < \kappa^+ \rangle \in N_n^y$ .
- (ii)  $N_n^y$  has cardinality  $\langle \kappa \rangle$  but  $N_n^y \cap \kappa$  is an ordinal.
- (iii)  $N_n^y \prec N_{n+1}^y$  and  $N_n^y \in N_{n+1}^y$  (remember  $N_n^y \in H(\chi)$ ).

Now for every  $z,y \in \mathcal{B},y \neq 0$ , natural number n and ordinal  $\alpha \in S \cap N_n^y$  we define

$$G_{\alpha}^{n}(z;y) = \bigcup \{a \in \mathcal{B}_{\alpha} : a \in N_{n}^{y} \text{ and } (\forall b \in \mathcal{B}_{\alpha})[0 < b \leq \alpha \rightarrow b \cap z \neq 0].$$

Let  $y \in \mathcal{B}, m < \omega$  we define by induction on  $n, m \leq n < \omega$  a set  $\mathcal{P}_y^{n,m}$  of terms  $\tau = \tau(t)$ :

$$\mathcal{P}_{u}^{m,m}=\left\{ t\right\}$$

$$\mathcal{P}_{y}^{n+1,m} = \{G_{\alpha}^{n}(\bigcap_{\ell=1}^{k} \tau_{\ell}, y) : \alpha \in S \cap N_{n}^{y}, k < \omega \text{ and for } \ell = 1, \ldots, k, \tau_{\ell} \in \mathcal{P}_{y}^{n,m}\}$$

**2A Fact**: For  $\tau(t) \in \mathcal{P}_y^{n,m}$  and  $z \in \mathcal{N}_m^y$ ,  $\tau(z)$  is define naturally and it belongs to  $\mathcal{N}_n^y$ , and if  $\tau(t) = G_\alpha^{n-1}(\cdots)$  then  $\tau(z) \in \mathcal{B}_\alpha$ .

**2B Fact;** 1) For any  $y \in \mathcal{B}$ ,  $m \le n < \omega$ ,  $z \in N_n^y \cap \mathcal{B}$ ,  $z \ne 0$  and  $\tau \in \mathcal{P}_y^{n,m}$  the element  $\tau(z)$  is not zero.

2) if  $m \le n$ ,  $k < \omega$ ,  $\tau_{\ell}(t) \in \mathcal{P}_{y}^{n,m}$  and for  $\ell < k$ ,  $z_{\ell} \in \mathcal{N}_{m}^{y} \cap \mathcal{B}$ ,  $z_{\ell} \ne 0$ , and  $\bigcap_{\ell < k} z_{\ell} \ne 0 \text{ then } \bigcap_{\ell < k} \tau_{\ell}(z_{\ell}) \ne 0.$ 

**Proof**; Clearly 1) follows from 2). We prove 2) by induction on n.

When n=m, necessarily  $au_\ell(t)=t$  and there is no problem.

When n>m, let  $\tau_{\ell}(t)=G_{\alpha_{\ell}}^{n-1}$  (  $\bigcap_{i< i(\ell)} \tau_{\ell,i}(t),y$ ) (where  $\alpha_{\ell}\in N_{n-1}^y\cap S$ ) so  $\tau_{\ell,i}(t)\in \mathcal{P}_y^{n-1,m}$ . Let  $z_{\ell,i}=\tau_{\ell,i}(z_{\ell})$ , so  $z_{\ell,i}\in N_y^{n-1}$ , (by Fact 2A) and by the induction hypothesis on n,  $z\stackrel{\text{def}}{=}\bigcap_{\substack{i< i(\ell)\\\ell < k}} z_{\ell,i}\neq 0$  and clearly  $z\in N_{n-1}^y\cap \mathcal{B}$ .

Clearly  $G^{n-1}_{\alpha_\ell}(z,y) \leq G^{n-1}_{\alpha_\ell}(\bigcap_{i < i(\ell)} \tau_{\ell,i}(z_\ell),y)$  for each  $\ell$ . So it suffices to prove that  $\bigcap_{\ell < k} G^{n-1}_{\alpha_\ell}(z,y)$ . W.l.o.g.  $\alpha_0 > \alpha_1 > \cdots > \alpha_{k-1}$ , and we define by induction on  $\ell \leq k$ , an element  $s_\ell$  of  $\mathcal{B} \cap N^{n-1}_y$  as follows:

(a) 
$$s_0 = z$$
,

(b)s
$$_{\ell+1} \in \mathcal{B}_{\alpha_{\ell}} \cap N_{n-1}^{y}$$
 is such that;

$$(\forall b \in \mathcal{B}_{\alpha_{\ell}})[0 < b \leq s_{\ell+1} \rightarrow b \cap s_{\ell} \neq 0]$$

We can find such  $s_{\ell+1} \in \mathcal{B}_{\alpha_{\ell}}$  as  $\mathcal{B}_{\alpha_{\ell}} \leftarrow \mathcal{B}$ , and we can choose it in  $N_y^{n-1}$  as  $s_{\ell}, \alpha_{\ell}$  and  $\langle \mathcal{B}_{\alpha} : \alpha < \kappa^+ \rangle$  belong to  $N_y^{n-1}$ , and  $N_y^{n-1}$  is an elementary submodel of  $(H(\chi), \in)$ .

We can prove that when  $i \leq j < k$ ,  $(\forall b \in \mathcal{B}_{\alpha_j})[0 < b \leq s_j \to b \cap \bigcap_{\ell=i}^j s_\ell \neq 0]$ . This is done by induction on j; when j=i this is trivial. When j>i, let  $b \in \mathcal{B}_{\alpha_j}$ ,  $0 < b \leq s_j$ , by the choice of  $s_j$ ,  $b \cap s_{j-1} \neq 0$ , so  $0 < b \cap s_{j-1} \leq s_{j-1}$  and clearly  $b \cap s_{j-1} \in \mathcal{B}_{\alpha_{j-1}}$ , so by the induction hypothesis on j,  $(b \cap s_{j-1}) \cap \bigcap_{\ell=1}^{j-1} s_\ell \neq 0$  but  $b \leq s_j$  so  $b \cap \bigcap_{\ell=i}^j s_\ell \neq 0$ .

Hence  $\bigcap_{\ell < k} s_{\ell} \neq 0$ , and also (when  $0 \leq i < k$ ) that (V  $b \in \mathcal{B}_{\alpha_{j}}$ )[ $0 < b \leq s_{j} \rightarrow b \cap s_{i} \neq 0$ ], now for i = 0  $s_{i} = z$ , hence by definition of  $G_{\alpha_{j}}^{n-1}(z,y)$ , clearly  $s_{j} \leq G_{\alpha_{j}}^{n-1}(z,y)$ . So  $0 \neq \bigcap_{\ell < k} s_{\ell} \leq \bigcap_{\ell < k} G_{\alpha_{\ell}}^{n-1}(z,y)$ , so we have proved the induction step for n > m, hence Fact 2B:

**2C Fact;** If  $\alpha \in \bigcup_{n < \omega} N_n^y$ ,  $\alpha \in S$ ,  $y \in \mathcal{B}$ ,  $y \neq 0$ ,  $\mathcal{D}$  an ultrafilter on  $\mathcal{B}_{\alpha}$ , and

$$\Gamma = \{ \tau(y) : \tau \in \mathcal{P}_y^{n,m} \text{ for some } m \leq n < \omega \} \text{ and } \Gamma \cap \mathcal{B}_{\alpha} \subseteq \mathcal{D}$$

then  $\mathcal{D} \cup \{\Gamma \cap \mathcal{B}_{a+1}\}\)$  generates a proper filter.

Proof: Immediate, because:

2D Fact: When  $m \le n < \omega$ ,  $\{\tau(y) : \tau \in \mathcal{P}_y^{n,m}\} \subseteq \{\tau(y) : y \in \mathcal{P}_y^{n,0}\}$ ,

**Proof:** This can be proved by induction on n: for n=m>0 choose  $a_0>\cdots>a_{m-1}$  in  $S\cap N_{\ell}^{\ell}$  such that  $y\in\mathcal{B}_{\alpha_{m-1}}$  and define  $\tau_{\ell}\in\mathcal{P}_y^{\ell,0}$  by induction on  $\ell\leq m$ :  $\tau_0=\tau_1$ ,  $\tau_{\ell+1}=G_{\alpha_{\ell}}^{\ell}(\tau_{\ell},y)$ ; the other cases are trivial.

## Continuation of the proof of Theorem 2:

Let  $E^y$  be any ultrafilter of  $\mathcal{B} \cap (\bigcup_{n < \omega} N_y^n)$  which includes  $\{\tau(y) : \tau \in \mathcal{P}_y^{n,m}\}$  for some  $m \leq n < \omega$ ; by Fact 2B,2D it is proper. The rest of the proof is as in [Sh 1] 3.1. By Engelking and Karlowicz [EK] there are functions  $f_{\xi} : \kappa \to \kappa$  (for  $\xi < \kappa^+$ ) such that for every distinct  $\xi_{\beta}(\beta < \beta_0 < \kappa)$  and  $\gamma_{\beta} < \kappa (\beta < \beta_0)$  for some  $\varepsilon < \kappa$ ,  $\bigwedge_{\beta < \beta_0} f_{\xi}(\varepsilon) = \gamma_{\beta}$ . Let  $g_{\beta} : \kappa^+ \to \kappa$  be defined by:  $g_{\beta}(\xi) = f_{\xi}(\beta)$ .

Let  $\mathcal{B}_{\xi+1}$  be generated by  $\mathcal{B}_{\xi} \cup \{y_{\beta}^{\xi} : \beta < \kappa\}$  (and w.l.o.g.  $\mathcal{B}_{0} = \{0,1\}$ , and w.l.o.g.  $\langle \langle y_{\beta}^{\xi}, \xi, \beta \rangle : \xi < \kappa^{+}, \beta < \kappa \rangle$  belongs to every  $N\xi$ ). Let  $\langle Y_{\beta}^{\xi} : \gamma < \gamma \rangle$  list all subsets of  $\{y_{\beta}^{\xi} : \beta < \kappa\}$  of cardinality  $\langle \kappa$ . We define by induction on  $\xi < \kappa^{+}$  for each  $\beta < \kappa$  an ultrafilter  $\mathcal{D}_{\beta}^{\xi}$  of  $\mathcal{B}_{\beta}$  such that:

- (A)  $\mathfrak{D}_{\xi}$  is increasing continuous in  $\xi$ .
- (B) if  $\mathcal{D}_{g}^{\xi} \cup Y_{g_{\xi}(\beta)}^{\xi}$  generates a proper filter then  $\mathcal{D}_{g}^{\xi} \cup Y_{g_{\xi}(\beta)}^{\xi} \subseteq \mathcal{D}_{g}^{\xi+1}$ .

Clearly this can be done and each  $\mathcal{D}_{\beta} = \mathcal{D}_{\beta}^{\kappa}$  is a (proper) ultrafilter of  $\mathcal{B}$ . Now if  $y \in \mathcal{B}, y \neq 0$  then for each  $\xi \in S \cap (\bigcup_{n < \omega} N_n^y)$   $(E_y \cap \{y_{\alpha}^{\xi} : \alpha < \kappa\}) \cup (E_y \cap \mathcal{B}_{\xi})$  generates  $E_y \cap \mathcal{B}_{\xi+1}$ , [as  $\mathcal{B}_{\xi} \cup \{y_{\alpha}^{\xi} : \alpha < \kappa\}$  generates  $\mathcal{B}_{\xi+1}$ ,  $\mathcal{B}_{\xi} \in N_n^y$ ,  $\{y_{\beta}^{\xi} : \beta < \kappa\} \in N_n^y$ , and  $\mathcal{B}_{\alpha} \in N_n^y$  for every n such that  $\alpha \in N_n^y$ , so there is  $\beta < \kappa$  such that for every  $\xi \in \bigcup_{n < \omega} N_n^y$ ,  $g_{\beta}(\xi) = \gamma_{\xi}$ , and by Fact 2C,  $E_y \subseteq \mathcal{D}_{\beta}$ .

3 Claim; 1) In Theorem 2 we can replace  $\kappa^+$  by  $2^{\kappa}$  (its proof is written so that the changes are minimal, but the set  $\{y : \beta < \kappa\}$  should still have

cardinality **k**.

- 2) In Theorem 2 (and Claim 3(1)) we really get that for every  $Y \subseteq \mathcal{B}$  of cardinality  $< \kappa$  which generates a proper filter, for some  $\beta < \kappa$ ,  $Y \subseteq \mathcal{D}_{\beta}$  (define  $N_n^Y, \mathcal{P}_Y^{n,m}$  for any such Y, now Fact 2A, 2B have the same proof, and Fact 2C should be modified by having  $\Gamma = \{\tau(y) : y \in Y, \quad \tau \in \mathcal{P}_Y^{n,m}, m \leq n < \omega\}$ .
  - 4. Remark: We can go beyond 2\*, see [Sh 4], Lemma 4.
- 5. Observation: Suppose  $\lambda > \aleph_0$  is regular,  $2^{\lambda} = \lambda^+$ , I an ideal on  $\lambda$ ,  $\mathcal{B} = \mathcal{P}(\lambda)/I$ . Suppose  $\mathcal{B} = \bigcup_{i < \lambda^+} \mathcal{B}_i$ , increasing continuous.  $\mathcal{B}_i$  of power  $\leq \lambda$ . Suppose further  $S_{\mathcal{B}} = \{\xi < \lambda^+ : cf \ \xi = \lambda, \mathcal{B}_{\xi} < \mathcal{B}\}$  is stationary. Then some forcing notion Q of power  $\lambda^+$ , forcing by it does not add new subsets of  $\lambda$ , (so all relevant properties of I, are preserved), and in  $V^Q$ ,  $S_{\mathcal{B}} \cup \{\xi < \lambda^+ : cf \ \xi < \lambda\}$  contains a closed unbounded set.

This help us to show the consistency of " $\mathcal{P}(\lambda)/I$  is the union of  $\lambda$  ultrafilters" for a suitable ideal I.

**Proof**: The well known  $Q = \{f : f \text{ and increasing continuous function from some <math>\alpha+1 < \lambda^+$  to  $\lambda^+$ ,  $[\beta \le \alpha \text{ and } cf(\alpha) = \lambda \Longrightarrow f(\alpha) \in S_R]\}.$ 

\* \* \*

**6. Theorem**: If  $2^{\aleph_0} < 2^{\aleph_1}$  then  $\mathfrak{D}_{\omega_1}$  is not  $\aleph_1$ -dense (which means the Boolean algebra  $\mathcal{P}(\omega_1)/\mathfrak{D}_{\omega_1}$  is not  $\aleph_1$ -dense.)

This will follow from Conclusion 14.

7. Definition; A Boolean algebra  $\mathcal{B}$  is  $\lambda$ -dense if there is  $B \subseteq \mathcal{B}$ ,  $|B| \leq \lambda$  which is dense i.e.,  $(\forall x \in \mathcal{B})[x \neq 0 \rightarrow (\exists y \in B)(0 < y \leq x)]$ .

Note in this connection the following two observations.

8. Observation: By [FMS] we can obtain a universe of set theory [starting with a model of ZFC + ' $\kappa$  is supercompact') in which  $\mathcal{D}_{\omega_1}$  is  $\aleph_2$ -saturated and this is preserved by forcing satisfying the  $\aleph_1$ -chain condition, so if we add e.g.  $\beth_{\omega_1}$  Cohen reals, still  $\mathcal{D}_{\omega_1}$  is  $\aleph_2$ -saturate but  $2^{\aleph_0} = \beth_{\omega_1} < \beth_{\omega_1+1} = 2^{\aleph_1}$ .

We may be interested in using smaller large cardinals:

- **8A.** Observation: 1) It is consistent with ZFC that  $2^{\aleph_0} < 2^{\aleph_1}$  but  $2 \Sigma_{\omega_1}$  is  $\aleph_2$ -saturated if we assume the consistency of ZFC + " $\kappa$  is a suitable hypermeasurable as in [SW]."
  - 2) If in V,  $\mathfrak D$  is a normal filter on  $\omega_1$ , and  $\mathfrak D$  is  $\aleph_2$ -saturated.

Q is the forcing of adding  $\lambda$ -Cohen reals, then in  $V^Q$ ;

- a)  $\mathcal{D} = \{A \in V^Q : A \subseteq \omega_1 \text{ and } (\exists B \in \mathcal{D}) \ B \subseteq A\} \text{ is } \aleph_2\text{-saturated normal filter } [\text{so } \mathcal{D} = (\mathcal{D}_{\omega_1})^V \Longrightarrow \mathcal{D}' = (\mathcal{D}_{\omega_1})^{V^Q}].$ 
  - b)  $(2^{\aleph_0})^{V^Q} = (\lambda + \aleph_0)^{\aleph_0}$  (the second term is computed in V).
  - c)  $(2^{\aleph_1})^{V^Q} = (\lambda + \aleph_1)^{\aleph_1}$  ( the second term is computed in V.)

**Proof**: 1) By 2), starting with a universe of set theory in which  $\mathcal{D}_{\omega_1}$  is  $\aleph_2$ -saturated, from Shelah and Woodin [SW].

Note that if in V,  $\mathbf{a}_{\omega_1+1}(\kappa) > \mathbf{a}_{\omega_1}(\kappa)^{+\alpha}$ ,  $\kappa$  is supercompact, and P a forcing notion of cardinality  $\kappa$ , such that in  $V^P$ ,  $\kappa = \aleph_2, \mathcal{D}_{\omega_1} \aleph_2$ -saturated; choose in (2)  $\lambda = \mathbf{a}_{\omega_1}(\kappa)$ , then in  $V^{P^*Q}, (2^{\aleph_0})^{+\alpha} < 2^{\aleph_1}$ .

2) Straightforward.

Suppose  $Q = \{f : f \text{ a finite function from } \lambda \text{ to } \{0,1\}\}$ , and  $q \in Q$ ,  $q \Vdash_Q " \left\langle \begin{matrix} S_\alpha : \alpha < \omega_2 \end{matrix} \right\rangle$  is a counterexample: Let for  $\alpha < \omega_2$ ,  $S_\alpha^0 = \{\delta < \omega_1 : \text{there is } q', q \leq q' \in Q, q' \Vdash_{} " \delta \in S_\alpha " \}$ , and for  $\delta \in S_\alpha^0$  choose  $q_\delta^\alpha \in Q, q \leq q_\delta^\alpha$ ,  $q_\delta^\alpha \Vdash_{} " \delta \in S_\alpha "$ , (so  $\left\langle \left\langle q_\delta^\alpha : \delta \in S_\alpha^0 \right\rangle : \alpha < \omega_2 \right\rangle$  is in V) Clearly  $S_\alpha^0 \neq \phi \mod \mathcal{D}$ , hence for each  $\alpha < \omega_2$  for some  $k_\alpha < \omega$ ,  $S_\alpha' = \{\delta \in S_\alpha^0 : \text{Dom } q_\delta^\alpha \text{ has cardinality } k_\alpha \} \neq \phi \mod \mathcal{D}$  hence for some k,  $W = \{\alpha < \omega_2 : k_\alpha < k \}$  has cardinality  $k_2$ . Let m be a natural number such that  $m \to (3)_{2^{k^2}}^2$ .

As  $\mathcal{D}$  is  $\aleph_2$ -saturated there are distinct  $\alpha_1, \ldots, \alpha_m \in \mathcal{W}$  suc that  $S \stackrel{\text{def}}{=} \bigcap_{m=1}^m S_{\alpha_\ell}^1 \neq \phi \mod \mathcal{D}$ . For every  $\delta \in S$  for some distinct

 $\begin{array}{l} \ell\left(1\right), \ell\left(2\right) \in \{1, \ldots, m\}, \; q_{\delta}^{\alpha_{\ell(1)}}, q_{\delta}^{\alpha_{\ell(2)}}, \; \text{are compatible. Hence there are distinct} \\ \ell\left(1\right), \ell\left(2\right) \in \{1, \ldots, m\} \; \text{such that} \; \{\delta \in \omega_1 : \delta \in S, \; \text{and} \; q^{\alpha_{\ell(1)}}, q_{\delta}^{\alpha_{\ell(2)}} \; \text{are compatible} \} \; \neq \phi \; mod \; \text{$\Sigma$} \; \; \text{Now it is easy to show that for some} \; q', q \subseteq q' \in Q, \\ q' \mid \vdash \{\delta \in S : q_{\delta}^{\alpha_{\ell(1)}} \; \cup \; q_{\delta}^{\alpha_{\ell(2)}} \in \mathcal{G}_{\delta}\} \neq mod \; \text{$\Sigma$} \; \text{contradiction.} \end{array}$ 

Remark: The inaccessible f needed in 8A(8) is  $\{\kappa : \kappa \text{ strongly inaccessible with } Pr_2(\kappa)\}$  is stationary is not in the weak compactness ideal) " $\mathcal{D}_{\omega_i}$  is indestructible by  $\aleph_1$ -c.c. forcing big hyperinaccessible like in"

- 9. Observation: If  $\mathcal{D}$  is a normal filter on a regular  $\mu > \aleph_0, 2^{\mu} = \mu^+$  then the following are equivalent:
  - (a)  $\mathcal{D}$  is  $\mu$ -dense.
- (b) there are normal filters  $\mathcal{D}_i$   $(i < \mu)$ ,  $\mathcal{D} \subseteq \mathcal{D}_i$ , and  $[A \neq \phi \mod \mathcal{D} \Longrightarrow A \in \bigcup_{i < \mu} \mathcal{D}_i]$ .
- (c) for every  $A_i \subseteq \lambda$ ,  $A_i \neq \phi \mod \mathcal{D}$  for  $i < \mu^+$ , there is  $S \subseteq \mu^+$ ,  $|S| = \mu^+$ , such that for any distinct  $i(\alpha) \in S$   $(\alpha < \lambda)$  the diagonal intersection of  $A_{i(\alpha)}(\alpha < \lambda)$  (i.e.  $\{\gamma < \lambda : \gamma \in \bigcap_{\alpha \in \Gamma} A_{i(\alpha)}\}$ ) is  $\neq \phi \mod \mathcal{D}$ .

**Proof**: (a)  $\Longrightarrow$  (b). Suppose  $\{A_i / \mathcal{D} : i < \mu\}$  is a dense subset of  $\mathcal{P}(\lambda) / \mathcal{D}$ . Let (for  $i < \mu$ ),  $\mathcal{D}_i \stackrel{\text{def}}{=} \mathcal{D} + A_i = \{X \subset \lambda : X \cup (\lambda - A_i) \in \mathcal{D}\}$ , then the  $\mathcal{D}_i$ 's exemplify that (b) holds.

- (b)  $\Longrightarrow$  (c): Let  $\mathcal{D}_i(i < \mu)$  exemplify (b), and let  $A_i \subseteq \mu$ ,  $A_i \neq \phi \mod \mathcal{D}$  for  $i < \mu^+$ . For each  $i < \mu^+$  for some  $\gamma(i) < \mu^+$ ,  $A_i \in \mathcal{D}_{\gamma(i)}$ . So for some  $\gamma$   $S = \{i : \gamma(i) = \gamma\}$  has power  $\mu^+$ . Clearly  $\{\gamma(i) : i \in S\}$  is as required.
- (c)  $\Longrightarrow$  (a): Assume (a) fails. Let  $\{A \subseteq \mu : A \neq \phi \bmod \mathcal{D}\}\$  be listed as  $\{A_{\alpha} : \alpha < \mu^{+}\}\$ . As for  $\xi < \mu^{+}$   $\{A_{\alpha} : \alpha < \xi\}\$  cannot exemplify " $\mathcal{D}$  is  $\mu$ -dense" there is  $\alpha(\xi) < \mu^{+}$  such that for no  $\beta < \xi$ ,  $A_{\alpha(\xi)} \subseteq A_{\beta} \bmod \mathcal{D}$ . By (c) there is  $S \subseteq \mu^{+}$  of cardinality  $\mu^{+}$  such that for any  $\alpha_{i} \in S$   $(i < \mu^{+})$ ,  $\{\gamma < \lambda : \gamma \in A_{\xi(\alpha_{i})} \text{ for every } i < \gamma\} \neq \phi \bmod \mathcal{D}$ . Let for  $\xi < \mu^{+}$ ,  $B_{\xi}$  be the diagonal intersection of  $\{A_{\alpha(\xi)} : \xi < \xi\}$ . Note that  $B_{\xi}$  is not uniquely determined as a set (it depends on

the enumeration of  $\zeta$ ) but  $mod\ \mathcal{D}$  (and even  $mod\ \mathcal{D}_{\lambda}$ ) it is uniquely determined. Clearly  $\zeta_1 < \zeta_2 \Longrightarrow B_{\zeta_1} \supset B_{\zeta_2} \ mod\ \mathcal{D}$ . Now necessarily for some  $\zeta(*)$  for every  $\zeta \geq \zeta(*)$  (but  $<\mu^+$ ),  $B_{\zeta} = B_{\zeta(*)} \ mod\ \mathcal{D}$ , as otherwise there is an increasing sequence  $\zeta(i)$  for  $i < \mu^+$ , such that  $B_{\zeta(i+1)} \neq B_{\zeta(i)} \ mod\ \mathcal{D}$ , so  $\{B_{\zeta(i+1)} - B_{\zeta(i)} : i < \mu^+\}$  show  $\mathcal{D}$  is not  $\mu^+$ -saturated and clearly contradict (c) which we are assuming.

Now as  $B_{\xi(\bullet)} \neq \phi \mod \mathfrak{D}$  for some  $\gamma(*) < \mu^+$ ,  $B_{\xi(\bullet)} = A_{\gamma(\bullet)}$ . Choose  $\beta < \mu^+$ ,  $\beta > \gamma(*)$ ,  $\beta > \xi(*)$ . So by the choice of  $\xi(*)$   $B_{\beta+1} = B_{\xi(\bullet)} \mod \mathfrak{D}$  but by the choice of  $B_{\beta+1}$ ,  $B_{\beta+1} \subseteq A_{\xi(\beta)} \mod \mathfrak{D}$  hence  $B_{\xi(\bullet)} \subseteq A_{\xi(\beta)} \mod \mathfrak{D}$  but  $B_{\xi(\bullet)} = A_{\gamma(\bullet)}$  so  $A_{\gamma(\bullet)} \subseteq A_{\xi(\beta)} \mod \mathfrak{D}$ . But remember the choice of  $\xi(\beta)$ , as  $\beta > \gamma(*)$  it implies  $A_{\gamma(\bullet)} \not\subseteq A_{\xi(\beta)} \mod \mathfrak{D}$ . Contradiction.

- 10. Definition: 1) For a regular uncountable  $\lambda$  and  $\mu < 2^{\lambda}$  let
- (a) Dom  $(\lambda,\mu) = \{f : f \text{ a function with domain } \omega > \alpha \{\Lambda\} \text{ for some ordinal } \alpha < \lambda, f(\eta) < \mu, \text{ for } \eta \in \omega \geq \alpha \{\Lambda\}, \text{ where } \Lambda \text{ is the empty sequence.}$ 
  - (b) Dom  $^{+}(\lambda,\mu) = \{f : f \text{ a function from } \omega > \lambda \{\Lambda\} \text{ to } \mu\}.$
  - (c) Let  $I_{\lambda,\mu}$  be the set of  $A \subseteq \lambda$  such that :

for some function F from Dom  $(\lambda, \mu)$  to  $\{0,1\}$ , for every  $h: A \to \{0,1\}$  there is  $f \in \text{Dom }^+(\lambda, \mu)$  such that for some  $C \in \mathcal{D}_{\lambda}$   $(\forall \delta \in A \cap C) [h(\delta) = F(f \upharpoonright \delta)]$ .

2) For  $\lambda, \mu$  as above and function F from Dom  $(\lambda, \mu)$  to  $\{0,1\}$  let  $I_{\lambda,\mu}^F$  be the set of  $A \subseteq \lambda$  such that; for every  $B \subseteq A$ , there is  $f \in \text{Dom } (\lambda, \mu)$  such that for some  $C \in \mathcal{D}_{\lambda}$ 

$$(\forall \delta \in C)[\delta \in B \quad iff \quad F(f \restriction \delta) = 1]$$

3) For  $\lambda, \mu$ , F as above let  $J_{\lambda,\mu}^F$  be the normal ideal on  $\lambda$  which  $I_{\lambda,\mu}^F$  generates.

Remark: This is close by related with the weak diamond, see Devlin and Shelah [SD] and Shelah [Sh, Ch. XIV, §1].

11. Lemma: 1)  $I_{\lambda,\mu}$  is a normal ideal on  $\lambda$  (but it may be  $\mathcal{P}(\lambda)$ ) and we could have in the definition of Dom  $(\lambda,\mu)$  replace  $\alpha > \alpha$  by  $\alpha$ .

- 2) If  $\kappa < \lambda$ ,  $2^{\kappa} = 2^{<\lambda}$ ,  $\mu = \mu^{<\lambda} < 2^{\lambda}$ ,  $\mu < \lambda^{+\lambda}$  (i.e.  $\mu < \aleph_{\alpha+\lambda}$  where  $\lambda = \aleph_{\alpha}$ ) (or even a weaker restriction) then  $\lambda \not\in I_{\lambda,\mu}$ .
- 3)  $I_{\lambda,\mu}^F \subseteq J_{\lambda,\mu}^F \subseteq I_{\lambda,\mu}$ , and  $I_{\lambda,\mu} = \bigcup \{I_{\lambda,\mu}^F : F \text{ a function from Dom } (\lambda,\mu) \text{ to } \{0,1\}\}.$
- 4) For every function  $F: \mathrm{Dom}\ (\lambda,\mu) \to \{0,1\}$ , there is a function  $F^{\bullet}: \mathrm{Dom}\ (\lambda,\mu) \to \{0,1\}$  such that

$$J_{\lambda,\mu}^{F^*} = I_{\lambda,\mu}^{F^*} = J_{\lambda,\mu}^F$$

5) For any function  $F: \text{Dom } (\lambda, \mu) \to \{0,1\}$ , for every  $C \in \mathcal{D}_{\lambda}$ ,  $\lambda - C \in I_{\lambda, \mu}^F$ .

**Proof**: Part 1) is straightforward. For 2) see [Sh 2, Ch. XIV §1]. Now (3), (5) are trivial and for (4), note that in Definition 10(2) we demand (V  $\delta \in C$ )[ $\delta \in B \Longrightarrow F(f \upharpoonright \delta) = 1$ ] and not just (V  $\delta \in C \cap A$ )[ $\delta \in B \iff F(f \upharpoonright \delta) = 1$ ].

12. Lemma : Suppose  $\lambda$  is regular and uncountable,  $\mu < 2^{\lambda}$ , and  $\lambda \not\in I_{\lambda,\mu}$ .

Then for no F is  $J_{\lambda,\mu}^F$   $\mu$ -dense,  $\lambda^+$ -saturated.

**Proof**: Suppose F is a counterexample and let  $\{A_i/J_{\lambda,\mu}^F:i<\mu\}$  be a dense subset of  $\mathcal{P}(\lambda)/J_{\lambda,\mu}^F$ . We now define a function H from Dom  $(\lambda,\mu)=\bigcup\{f:f \text{ a function from some }\omega>\delta-\{\Lambda\}\text{ into }\mu\text{ where }\delta<\lambda\}$  to  $\{0,1\}$ .

Suppose  $\delta < \lambda$  is limit,  $f: (^{\omega}\delta - \{\Lambda\}) \to \mu$ , for  $\nu \in {}^{\omega}\delta$  let  $f_{\nu}$  be the function from  ${}^{\omega}\delta - \{\Lambda\}$  to  $\{0,1\}$  defined by  $f_{\nu}(\eta) = f(\nu \uparrow \eta)$ . We define H(f) by cases:

Case I: For some  $\alpha, \beta < \delta$ ,  $F(f_{<0,\alpha,\beta>}) = 1$ .

Then we let H(f), be  $F(f_{\langle 1,\alpha,\beta \rangle})$  for the minimal such  $\alpha,\beta$  (lexicographically).

Case II: Not Case I, but for some  $\alpha < \delta$ ,  $\delta \in A_{<2,\alpha>}$ .

Then  $H(f) = f(\langle 3, \alpha \rangle)$  for the minimal such  $\alpha$ .

Case III: Not Case I nor II.

Then H(f) = 0.

If  $f: \omega > \alpha - \{\Lambda\} \to \mu$ ,  $\alpha$  not limit, let H(f) = 0.

Now we get contradiction by Fact 12A below (as  $\lambda \not\in I_{\lambda,\mu}$ ,  $I_{\lambda,\mu}$  is normal and  $J_{\lambda,\mu}^H \subseteq I_{\lambda,\mu}$ ).

12A Fact:  $\lambda \in I_{\lambda,\mu}^H$ .

Let  $B \subseteq \lambda$  and we shall find  $f \in \text{Dom }^+(\lambda, \mu)$  such that for some  $C \in \mathcal{D}_{\lambda}$ ,  $(V \delta \in C)[\delta \in B \text{ iff } H(f \upharpoonright \delta) = 1].$ 

Let  $P \subseteq \{A_i : i < \mu\}$  be a maximal subset satisfying:

- (a) for every  $a \neq b \in \mathcal{P}$ ,  $a \cap b \in J_{\lambda,\mu}^F$  (i.e.  $\mathcal{P}$  is  $J_{\lambda,\mu}^F$ -disjoint.)
- (b) for every  $a \in \mathcal{P}$ ,  $a \subseteq B \mod J_{\lambda,\mu}^F$  or  $a \cap B = \phi \mod J_{\lambda,\mu}^F$ .

As F is a counterexample,  $P(\lambda)/J_{\lambda,\mu}^F$  is  $\lambda^+$ -saturated hence  $|P| \leq \lambda$ , so let  $P = \{A_{i(\alpha)} : \alpha < \alpha(*)\}$ ,  $\alpha(*) \leq \lambda$ . We shall assume  $\alpha(*) = \lambda$  (the other case is easier). Let  $B^*$  be the diagonal union of the  $A_{i(\alpha)}$  i.e.  $\{\beta < \lambda : \beta \in \bigcup_{\alpha < \beta} A_{i(\alpha)}\}$ , so clearly  $a_0 \stackrel{\text{def}}{=} \lambda - B^* \in J_{\lambda,\mu}^F$ . For each  $\alpha < \lambda$  let  $a_{1+\alpha}$  be  $A_{i(\alpha)} - B$  if  $A_{i(\alpha)} \subseteq B \mod J_{\lambda,\mu}^F$  and  $A_{i(\alpha)} \cap B$  if  $A_{i(\alpha)} \cap B = \phi \mod J_{\lambda,\mu}^F$ . So in any case  $a_{\alpha} \in J_{\lambda,\mu}^F$ , so there are sets  $a_{\alpha,\beta} \in I_{\lambda,\mu}^F$ , (for  $\beta < \lambda$ ) such that  $a_{\alpha} = \{\gamma < \lambda : \gamma \in \bigcup_{\beta < \gamma} a_{\alpha,1+\beta}\}$ . As  $a_{\alpha,\beta} \in I_{\lambda,\mu}^F$  there are functions  $f_{\alpha,\beta}^0, f_{\alpha,\beta}^1$  from  $\beta < \gamma$ 

$$(\forall \delta \in C_{\alpha,\beta})[\delta \in \alpha_{\alpha,\beta} \cap B \iff F(f_{\alpha,\beta}^1 \upharpoonright \delta) = 1]$$

$$(\forall \delta \in C_{\alpha,\beta})[\delta \in \alpha_{\alpha,\beta} \iff F(f_{\alpha,\beta}^0 \upharpoonright \delta) = 1]$$

Now we can define  $f^*: (\omega \lambda - {\Lambda}) \to \mu$ 

$$f^*(\langle 0, \alpha, \beta \rangle \cap \eta) = f^0_{\alpha, \beta}(\eta)$$

$$f^*(\langle 1,\alpha,\beta \rangle) = f^{1}_{\alpha,\beta}(\eta)$$

$$f^*(\langle 2, \alpha \rangle) = 1$$
 if  $\delta \in A_{i(\alpha)}$ , 
$$f^*(\langle 3, \alpha \rangle) = 1$$
 if  $\delta \in A_{i(\alpha)} \subset B \mod I_{\lambda, \mu}$  
$$f^*(\eta) = 0$$
 otherwise.

It is easy to check that  $\{\delta: H(f^{\bullet} | \delta) = 1 \iff \delta \in B\}$  belong to  $\mathcal{D}_{\lambda}$ . As B was any subset of  $\lambda$  this shows  $\lambda \in I^H_{\lambda,\mu}$  but  $I^H_{\lambda,\mu} \subseteq I_{\lambda,\mu}$ ,  $\lambda \not\in I_{\lambda,\mu}$ , contradiction.

13. Conclusion: Suppose  $\lambda$  is regular uncountable and  $\lambda \not\in I_{\lambda,\mu}$  (see 11(1)). Then  $\mathcal{D}_{\lambda}$  is not  $\mu$ -dense,  $\lambda^+$ -saturated.

**Proof:** As  $\mathcal{D}_{\lambda}$  is  $\lambda^+$ -saturated, and  $I_{\lambda,\mu}$  a normal ideal on  $\lambda$ , it is known that for every appropriate F, for some  $Y(F) \subseteq \lambda$   $Y(F) \neq \phi \mod \mathcal{D}_{\lambda}$  and  $J_{\lambda,\mu}^F = \{A \subseteq \lambda: (Y(F) - A) \cup (\lambda - Y(F)) \in \mathcal{D}_{\lambda}\}$  and so  $J_{\lambda,\mu}^F$  is  $\mu$ -dense  $\lambda^+$ -saturated too contradicting 12.

14. Conclusion: If  $\lambda = \kappa^+, 2^{\lambda} > 2^{\kappa}$ ,  $\mu = \mu^{<\lambda} < Min\{2^{\lambda}, \lambda^{+\lambda}\} < 2^{\lambda}$  then  $\mathcal{D}_{\lambda}$  cannot be  $\lambda^+$ -saturated,  $\mu$ -dense.

**Proof**: By 13 and 11(2) (so we could get a little more).

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