

## More on Stationary Coding

We here continue our investigations in [Sh1] on stationary coding sets (introduced and investigated by Zwicker [Z]) making some improvements and additions.

The various claims are not so connected. They include:

- A** If  $\kappa = \kappa^{\aleph_0}$ ,  $\lambda = \lambda^\kappa$  then there is a  $(\kappa^+, \lambda^+)$ -stationary coding (see 23)
- B** If  $\lambda = \lambda^{\aleph_0}$  is regular,  $S \subseteq \{\delta < \lambda^+ : \text{cf } \delta = \aleph_0\}$  is stationary but does not reflect *then* there is an  $(\aleph_1, \lambda^+)$ -stationary coding (see 24, 25)
- C** If  $\lambda = \lambda^{\aleph_0}$  then  $\diamondsuit (\mathcal{D}_{\aleph_1}(\lambda^+))$  (see 28); for more on diamonds see 13, 14, 15.
- D** We note that Martin Maximum implies that "there is no  $(\aleph_1, \lambda)$ -weak stationary coding for every  $\lambda$ " and we show that statement for  $\lambda = \aleph_2$  when  $2^{\aleph_0} \geq \aleph_3$ , (see 3). We note also that for  $\kappa$  first inaccessible, strong stationary coding may not exist (see 4).
- E** We also give an elementary presentation of "a normal fine filter on  $\lambda$  (or  $\mathcal{P}_{<\kappa}(\lambda)$ ) concentrating on the wrong cofinality is not  $\lambda^+$ -saturated" (see 6,7,8).  $\diamondsuit (\mathcal{D})$  has an even stronger conclusion (see 17).
- F** On strong stationary coding see 18.

### 1. Notation:

1) If  $\langle \cdot \rangle \upharpoonright a$  well order the set  $a$  let  $otp(a, \langle \cdot \rangle)$  be the order type. If  $a$  is a set of ordinals,  $\langle \cdot \rangle$  the usual order then we write  $otp(a)$ .

Let  $ord$  be the class of ordinal.

2)  $H_{<\kappa}(\alpha)$  is

$\{ a : |a| < \kappa \text{ and for every } n \text{ and } x_1, \dots, x_n,$

if  $x_1 \in x_2 \in x_3 \cdots \in x_n \in a,$

then  $x_1$  is an ordinal  $< \alpha$  or

a set of power  $< \kappa \}$

$H_{<\kappa}(0)$  is written  $H(\kappa)$ .

3) Observe that  $|H_{<\kappa}(\alpha)| = |2+\alpha|^{<\kappa}$  when  $\kappa$  is regular.

4) For  $\kappa \leq \lambda$  let  $\mathcal{B} = \mathcal{B}_{\kappa, \lambda}$  be a subset of  $H_{<\kappa}(\lambda)$  of power  $\lambda$ , such that for some  $M^*$ ,  $M^* <_{es} (H((2^\lambda)^+), \in)$ ,  $\kappa \in M^*$ ,  $||M^*|| = \lambda$ ,  $\lambda \in M^*$ ,  $\lambda \subseteq M^*$  and  $\mathcal{B} = M^* \cap H_{<\kappa}(\lambda)$ , hence

(i) if  $\lambda^{<\kappa} = \lambda$  then  $\mathcal{B} = H_{<\kappa}(\lambda)$

(ii) if  $\lambda^{<\kappa} > \lambda$  but there is  $\mathcal{B} \subseteq H_{<\kappa}(\lambda)$ ,

$$|\mathcal{B}| = \lambda, (\forall a \in \mathcal{P}_{<\kappa}(\lambda)) (\exists b \in \mathcal{B}) (a \subseteq b)$$

then  $\mathcal{B}$  satisfies this

5) Let  $cd_{\kappa, \lambda}$  be a one-to-one function from  $\mathcal{B}_{\kappa, \lambda}$  onto  $\lambda$ , and let  $dcd_{\kappa, \lambda}$  be its inverse

Let  $dcd \cdot \cdot (a) = \{dcd(x) : x \in a\}$

6) Let  $\mathcal{D}_\theta$  ( $\theta$  an uncountable regular cardinal), be the filter generated by the closed unbounded subsets of  $\theta$ ,  $\mathcal{D}_\theta^{cb}$  is the filter of co-bounded subsets of  $\theta$ .

7) For  $f, g : I \rightarrow \text{ord}$ ,  $f <_D g$  means  $\{t \in I : f(t) < g(t)\} \in D$ ,  $f / \mathcal{D} < g / \mathcal{D}$  has the same meaning

8) If  $\mathcal{D}$  is an  $\aleph_1$ -complete filter on a set  $I$ ,  $f: I \rightarrow \text{ord}$  then the  $\mathcal{D}$ -rank of  $f$  is denoted by  $Rk(f, \mathcal{D})$ , is an ordinal. We define it by defining by induction on  $\alpha$  when  $Rk(f, \mathcal{D}) = \alpha$ :

$Rk(f, \mathcal{D}) = \alpha$  iff  $\alpha = \cup\{\beta+1 : \beta < \alpha, \text{ and for some } g/\mathcal{D} < f/\mathcal{D}, Rk(g, \mathcal{D}) = \beta\}$

9) If  $\text{Dom } f = \theta$  a regular uncountable cardinal, let  $Rk(f) = Rk(f, \mathcal{D}_\theta^{cb})$ .

10) For  $\mathcal{D}$  a fine normal filter on  $\mathcal{P}_{<\kappa}(A)$ ,  $B \subseteq A$  let

$$\mathcal{D} \upharpoonright B = \left\{ \{a \cap B : a \in I\} : I \in \mathcal{D} \right\}$$

$\mathcal{D} \upharpoonright B$  is a fine normal filter on  $\mathcal{P}_{<\kappa}(B)$

## 2. Lemma:

1) The following are equivalent for a regular uncountable  $\kappa$  and stationary  $T \subseteq \kappa$ :

(i) there are function  $g_\alpha (\alpha < \kappa^+)$ ,  $g$  from  $\kappa$  to  $\kappa$ , such that  $(\forall i < \kappa) g(i) < (\aleph_0 + |i|)^+$  and  $g_\alpha/\mathcal{D}_\kappa < g_\beta/\mathcal{D}_\kappa$  for  $\alpha < \beta < \kappa^+$  and  $g/\mathcal{D}_\kappa \not\leq g_\alpha/\mathcal{D}_\kappa$

(v) for any cardinal  $\mu$  such that  $(\forall \delta \in T) [cf \delta > \mu \wedge |\delta|^\mu < \kappa]$ , cardinal  $\lambda > \kappa$  and subsets  $P_i \subseteq \lambda (i < \mu)$  there are functions  $g_i : \kappa \rightarrow \kappa (i < \mu)$  such that the following set is stationary (i.e.,  $\neq \emptyset \text{ mod } \mathcal{D}_{<\kappa}(\lambda)$ )

$\{a \in \mathcal{P}_{<\kappa}(\lambda) : a \cap \kappa \text{ is an ordinal and for } i < \mu \text{ the order type of } a \cap P_i \text{ is } g_i(a \cap \kappa), \text{ and if } \delta \text{ is an accumulation point of } a, cf \delta \neq cf(a \cap \kappa) \text{ then } \delta \in a\}$

2) Assume (i) of 1) holds (for  $\kappa, T$ ),  $\lambda = \kappa^{+\alpha}$ ,  $|\alpha|^+ < \kappa$  and  $(\forall \gamma < \kappa) [|\gamma|^{|\alpha|} < \kappa]$ . If  $T$  is a set of inaccessibles (not necessarily strong limit) then there is a  $(\kappa, \lambda)$ -stationary coding.

### 2.A Remark:

Lemma 2 (1) says that in [Sh1] 12, 12A we can add condition (v) to the four equivalent conditions. Lemma 2 (2) says we can strengthen [Sh1] 13 (which uses the same assumption and deduce the existence of a  $(\kappa, \lambda)$ -weak stationary coding (with no additional condition on  $T$ )).

#### Proof:

1) We use [Sh1] 12A which has the same proof of [Sh1]12. Now (v) here implies (iv) there trivially. The proof there of (ii) $\Rightarrow$ (iv) gives (ii) $\Rightarrow$ (v).

2) Like the proof of [Sh1]13.

### 3. Fact:

1) If  $2^{\aleph_0} > \aleph_2$  then there is a stationary  $S \subseteq S_{\leq \aleph_0}(\aleph_2)$  which does not reflect, i.e.,  $S \neq \emptyset \text{ mod } \mathcal{D}_{\leq \aleph_0}(\aleph_2)$  but for every  $\alpha < \aleph_2$  (but  $\geq \aleph_1$ ),  $S \cap S_{\leq \aleph_0}(\alpha) = \emptyset \text{ mod } \mathcal{D}_{\leq \aleph_0}(\alpha)$

2) If  $S \subseteq \{a : \alpha < \kappa^+, a \cap \kappa \text{ an ordinal, } |a| < \kappa\} \subseteq S_{< \kappa}(\kappa^+)$  is a stationary set which does not reflect  $\kappa$  regular uncountable, then for some  $C \in \mathcal{D}_{\leq \kappa_0}(\kappa^+)$ ,  $C \cap S$  is a weak  $(\kappa, \kappa^+)$ -stationary coding for  $(\kappa, \kappa^+)$

#### Proof:

1) Let for an ordinal  $i$ ,  $h_i$  be a one to one function from  $|i|$  onto  $i$ . In  $V \stackrel{\text{def}}{=} L[\langle h_i : i < \omega_2 \rangle]$  there are at most  $\aleph_2^V$  countable subsets of  $\omega_2^V$  (and  $\aleph_1^V = \aleph_1^V$ ,  $\aleph_2^V = \aleph_2^V$  [ $V \models "a \in S_{\leq \aleph_0}(\aleph_2)" \Rightarrow V \models "a \in S_{\leq \aleph_0}(\aleph_2)"$ ]). But it is known that every  $C \in \mathcal{D}_{\leq \aleph_0}(\aleph_2)$  has power  $\leq 2^{\aleph_0} > \aleph_2$ . So  $S \stackrel{\text{def}}{=} \{a : a \in S_{\leq \aleph_1}(\aleph_2), a \notin V\}$  is  $\neq \emptyset \text{ mod } \mathcal{D}_{\leq \aleph_0}(\aleph_2)$ . But for every  $\alpha < \aleph_2$  using  $h_\alpha$  there is  $C_\alpha \in \mathcal{D}_{\leq \aleph_0}(\alpha)$ ,  $C_\alpha \subseteq V$  (each member of  $C_\alpha$  has the form  $\{h_\alpha(i) : i < \delta\}$  for some  $\delta < \omega_1$ ). So  $S$  does not reflect.

2) Let  $S \subseteq S_{< \kappa}(\kappa^+)$  be  $\neq \emptyset \text{ mod } \mathcal{D}_{< \kappa}(\kappa^+)$ , but  $S \cap S_{< \kappa}(\alpha) = \emptyset \text{ mod } \mathcal{D}_{< \kappa}(\alpha)$  when  $\kappa \leq \alpha < \kappa^+$ . Let  $h_\beta$  be a one to one function from  $|\beta|$  onto  $\beta$ . When  $\kappa \leq \alpha < \kappa^+$  let  $\alpha = \bigcup_{i < \kappa} a_i^\alpha$ ,  $a_i^\alpha$  increasing continuous in  $i$ ,  $a_i^\alpha \notin S$ . (Possible by the choice of  $S$ ). Let  $C_\alpha = \{i < \kappa : h_\alpha \text{ maps } i \text{ onto } a_i^\alpha\}$  so  $C_\alpha$  is a club of  $\kappa$ . Let  $g_\alpha : \kappa \rightarrow \kappa$  be defined by  $g_\alpha(i) = \text{Min}(C_\alpha - i)$ .

Let  $C^* = \{a \in S_{< \kappa}(\kappa^+) : a \text{ is closed under } h_\alpha, h_\alpha^{-1} \text{ and } g_\alpha \text{ and } a \cap \kappa \text{ is an ordinal}\}$

Obviously  $C^* \in \mathcal{D}_{\leq \aleph_0}(\aleph_2)$ . So  $S \cap C^*$  is  $\neq \emptyset \text{ mod } \mathcal{D}_{\leq \aleph_0}(\kappa^+)$ .

Suppose (\*)  $a, b \in S \cap C^*$ ,  $a \subseteq b$ ,  $a \cap \omega_1 = b \cap \omega_1$ ,  $a \neq b$ , and we shall get a contradiction.

Let  $\delta = a \cap \kappa = b \cap \kappa$ . If  $\alpha \in a \cap b$ ,  $\alpha \geq \kappa$ , then  $a \cap \alpha = \{h_\alpha(i) : i < \delta\} = b \cap \alpha$ . We know  $b \neq \emptyset$ , let  $\beta = \text{Min}(b - a)$ ; by the previous sentence  $a \subseteq \beta_1$  hence  $a = b \cap \beta$ . Now as  $b$  is closed by  $g_\beta$ , clearly  $\delta \in C_\beta$ , hence (using  $h_\alpha$  and the definition of  $C_\beta$ )  $a = a^\beta$ , so  $a \notin S$ , contradiction.

So (\*) is impossible hence  $S \cap C^*$  is a weak  $(\kappa, \kappa^+)$ -stationary coding.

**Remark:** The proof is similar to some proofs in [FMS].

#### 4. Fact:

It is consistent that e.g. the first inaccessible cardinal  $\lambda$ , is a strong limit and for no (regular uncountable)  $\kappa < \lambda$ , a strong  $(\kappa, \lambda)$ -stationary coding exists (assuming the consistency of suitable large cardinals)

**Proof:** Woodin constructs a model of set theory in which the first inaccessible  $\lambda$  is strong limit and  $\langle \lambda \rangle$  fail. By [Sh1] 7A for  $\kappa < \lambda$ , strong  $(\kappa, \lambda)$ -stationary coding does not exist.

Why 7A holds? By the known (folk?) proof that club implies diamond i.e.

**4.A Fact:** (= 7A of [Sh1])

If there is a strong  $(\kappa, \lambda)$ -stationary coding,  $\kappa < \lambda$ ,  $\lambda = \lambda^{<\lambda} > 2^{<\kappa}$  then

$\langle \delta < \lambda : cf \delta < \kappa \rangle$

**Proof:** As  $\lambda = \lambda^{<\lambda}$  let  $\{A_i : i < \lambda\}$  be a list of all bounded subset of  $\kappa$ . Let  $\{a_\delta : \delta \in S\}$  be a strong  $(\kappa, \lambda)$ -stationary coding, for some stationary  $S \subseteq \{\delta < \lambda : cf \delta < \kappa\} \subseteq \lambda$ ,  $\delta = \sup a_\delta$  and  $|a_\delta| < \kappa$ . Let  $\mathcal{P}_\delta = \{\bigcup_{i \in b} A_i : b \subseteq a\}$ , so for  $\delta \in S$ ,  $\mathcal{P}_\delta$  is a family of  $\leq 2^{<\kappa}$  subsets of  $\delta$ . Now we shall prove that  $\langle \mathcal{P}_\delta : \delta \in S \rangle$  satisfies

(\*) for  $X \subseteq A$ ,  $\{\delta < \lambda : X \cap \delta \in \mathcal{P}_\delta\}$  is a stationary subset of  $\lambda$ .

For let  $h: \lambda \rightarrow \lambda$  be defined by

$$h(i) = \text{Min} \{j : A_j \cap i = X \cap i\}$$

So for stationarily many  $\delta$ 's,  $a_\delta$  is closed under  $h$  hence  $X \cap \delta = \bigcup_{i \in a_\delta} (X \cap i) = \bigcup_{i \in a_\delta} A_{h(i)} = \bigcup \{A_\gamma : \gamma \in a, \gamma \in \text{Rang}(h \upharpoonright a)\} \in \mathcal{P}_\delta$ . As  $2^{<\kappa} < \lambda$  we are finished by a Theorem of Kunen.

#### 5. Lemma:

1) It is consistent (in fact follows from the axiom from Foreman Magidor and Shelah [FMS] Martin Maximum) *that*: for no  $\lambda > \aleph_1$  is there an  $(\aleph_1, \lambda)$ -weak stationary coding

2) It suffice to assume that  $\mathcal{D}_{\omega_1}$  is  $\aleph_2$ -saturated, and for every stationary  $S \subseteq \mathcal{P}_{<\aleph_1}(\lambda)$ ,  $\{A \in \mathcal{P}_{<\aleph_2}(\lambda) : S \cap \mathcal{P}_{<\aleph_1}(A) \neq \emptyset \text{ mod } \mathcal{D}_{<\aleph_1}(A)\} \neq \emptyset \text{ mod } \mathcal{D}_{<\aleph_2}(\lambda)$ .

**Proof:**

1) We prove 1) by 2), the assumptions of 2) holds by [FMS], and for 2) we may repeat [Sh1] 20.

Alternatively assume  $S$  is a weak  $(\aleph_1, \lambda)$ -stationary coding, let  $I_1$  be the family of  $T \subseteq \omega_1$  such that: there is an increasing continuous sequence  $\langle a_i : i < \omega_1 \rangle$  of countable subsets of  $\lambda$  satisfying:

$\{i < \omega_1 : \text{if } i \in T \text{ then } (\exists b \in S) [i = a_i \cap \omega_1 \subseteq b \subseteq a_i]\}$  contains a club  $C$ .

For  $T \in I_1$  let  $\langle a_i(T) : i < \omega_1 \rangle$ ,  $C(T)$  be witnesses. Now  $I_1$  is a normal ideal on  $\omega_1$ , hence modulo the non-stationary ideal on  $\omega_1$  has a maximal member  $T^*$  (as  $\mathcal{D}_{\omega_1}$  is  $\aleph_2$ -saturated).

If  $T^* = \omega_1$  (or just contains a club), then

$$S^* = \{b \in \mathcal{P}_{<\aleph_1}(\lambda) : (\exists i) [b \cap \bigcup_{j < \omega_1} a_j(T^*) = a_i(T^*) \wedge i \in C(T^*)]\}$$

$$\text{and } b \not\subseteq \bigcup_{j < \omega_1} a_j(T^*) \}$$

is a club of  $\mathcal{P}_{<\aleph_1}(\lambda)$ , and any member of  $S^* \cap S$  contradict the assumption " $S$  is a weak  $(\aleph_1, \lambda)$ -stationary coding", but such an element exists.

If  $\omega_1 - T^*$  is stationary,  $S_1 = \{b \in S : b \cap [\bigcup_{j < \omega_1} a_j(T^*)] = a_i(T^*) \text{ for some}$

$i \notin T^*\}$  cannot be stationary otherwise by the second hypothesis of 5(2) we get contradiction to the maximality of  $T^*$ . So for some  $C_1 \in \mathcal{D}_{<\aleph_1}(\lambda)$ ,  $C \cap S_1 = \emptyset$

Let  $C_2 = \{b \in \mathcal{P}_{<\aleph_1}(\lambda) : b \not\subseteq \bigcup_{j < \omega_1} a_j(T^*), \text{ and}$

$$b \cap [\bigcup_{j < \omega_1} a_j(T^*)] \text{ is } a_i(T^*) \text{ for some } i < \omega_1\}.$$

Clearly  $C_2 \in \mathcal{D}_{<\aleph_1}(\lambda)$ . Hence  $C_1 \cap C_2 \in \mathcal{D}_{<\aleph_1}(\lambda)$  hence there is  $b \in C_1 \cap C_2 \cap S$ . As  $b \in C_1$  we know  $b \notin S_1$ , and as  $b \in C_2$  for some  $i < \omega_1$   $b \cap [\bigcup_{j < \omega_1} a_j(T^*)] = a_i(T^*)$ ,  $b \neq a_i(T^*)$ . This implies as  $b \notin S_1$  by the definition of  $S_1$  that  $i \in T^*$ , hence there is  $a_i \in S_1$   $a_i(T^*) \cap \omega_1 \subseteq a \subseteq a_i(T^*)$ . As by the choice of  $b$  and  $i$   $b \cap \omega_1 \subseteq a_i(T^*) \subseteq b$ ,  $a_i(T^*) \neq b$  we get  $a, b$  contradicting " $S$  is a weak  $(\aleph_1, \lambda)$ -stationary coding".

\* \* \*

We give an elementary (i.e. with no forcing) presentation of the proof of [Sh1] 14.

## 6. Theorem:

If  $\mathcal{D}$  is a fine normal filter on  $I = \{a \subseteq \lambda : cf(\sup a) \neq cf|a|\}$ , and  $\lambda$  is regular then there are functions  $f_i$  for  $i < \lambda^+$  such that:  $\text{Dom } f_i = I$ ,  $f_i(a) \in a$  and for  $i \neq j$ ,  $\{a \in I : f_i(a) = f_j(a)\} = \emptyset \text{ mod } \mathcal{D}$

**Proof:** We can find  $A_i (i < \lambda^+)$  such that:

(\*)  $A_i$  is a subset of  $\lambda$ , unbounded in  $\lambda$ , and for  $j < i$ ,  $A_i \cap A_j$  is bounded in  $\lambda$

[just let  $A_i (i < \lambda)$  be pairwise disjoint subsets of  $\lambda$  of power  $\lambda$ , and then define  $A_i (\lambda \leq i < \lambda^+)$  by induction on  $i$ : for each  $i$  let  $\{j : j < i\}$  be  $\{j_\alpha : \alpha < \lambda\}$ , and let  $A_i = \{\gamma_\beta^i : \beta < \lambda\}$  where  $\gamma_\beta^i = \text{Min}(A_{j_\beta} - \bigcup_{\alpha < \beta} A_{j_\alpha})$ ,) it exists as  $|A_{j_\beta} \cap A_{j_\alpha}| < \lambda$  for  $\alpha < \beta$ ].

Let for  $i < \lambda^+$ ,  $g_i : i \rightarrow \lambda$  be such that  $\{A_j - g_i(j) : j < i\}$  are pairwise disjoint. Let  $f_i$  be a strictly increasing function from  $\lambda$  onto  $A_i$  (for  $i < \lambda^+$ ) hence  $f_i(\alpha) \geq \alpha$ . So  $C_i = \{a : a \text{ is closed under } f_i\}$  belongs to  $\mathcal{D}$ . For each  $a \in I$  let  $a = \{x_\alpha^a : \alpha < |a|\}$ .

Now for each  $a \in C_i$ ,  $a \cap A_i$  is unbounded in  $a$ , (by the definition of  $C_i$ ) so for some  $\alpha_i(a) < |a|$ ,  $A_i \cap \{x_\alpha^a : \alpha < \alpha_i(a)\}$  is unbounded in  $a$  (as  $cf(\sup a) \neq cf|a|$ ).

Next for  $i < \lambda^+$  let  $h_i$  be a one-to-one function from  $\lambda$  onto  $\lambda \cup \{j : j < i\}$  and define by induction on  $i$ :

$$C_i^1 = \{a \subseteq i \cup \lambda : \begin{array}{l} a \text{ closed under } h_i, h_i^{-1}, a \cap \lambda \in I \\ a \cap \lambda \text{ closed under } f_i, f_i^{-1}, \\ a \text{ closed under } g_j, (j \in a \text{ or } j = i) \\ \text{and for } j \in a, a \cap (j \cup \lambda) \in C_j^1 \end{array}\}$$

Clearly  $C_i^1 \upharpoonright \lambda = \{a \cap \lambda : a \in C_i^1\}$  is in  $\mathcal{D}$ , and for each  $a \in I$  there is at most one  $a' \in C_i^1$  satisfying  $a' \cap \lambda = a$ , namely  $h_i^{-1} \setminus (a)$ .

Now we define for  $i < \lambda^+$  a function  $d_i$  with domain  $I$ .

$$d_i(a) = \begin{cases} \langle \alpha_i(a), \text{otp}(\{j \in h_i \setminus \setminus (a) : \alpha_j(a) = \alpha_i(a)\}) \rangle, & \text{if } h_i \setminus \setminus (a) \cap \lambda = a \\ & h_i \setminus \setminus (a) \in C_i^1 \\ \text{Min } a & \text{otherwise} \end{cases}$$

Now we shall finish by showing:

**A:** for  $i_1 \neq i_2$ ,  $\{a \in I : d_{i_1}(a) = d_{i_2}(a)\} = \emptyset \pmod{\mathcal{D}}$

**B:** for  $a \in I$ ,  $\{d_i(a) : i < \lambda^+\}$  has cardinality  $\leq a$

Why this suffice? As for each  $a \in I$  we can find a one-to-one function  $e_a$  from  $\{d_i(a) : i < \lambda^+\}$  into  $a$  and now use the  $\lambda^+$  functions  $\langle e_a(d_i(a)) : i < \lambda^+ \rangle$

**Proof of A:** *W.l.o.g.*  $i_1 < i_2$  and  $\lambda \leq i_1$  for notational simplicity. Clearly

$$R = \{a \in I : h_{i_2} \setminus \setminus (a) \in C_{i_2}^1, i_1 \in h_{i_2} \setminus \setminus (a) \text{ (hence } h_{i_1} \setminus \setminus (a) = h_{i_2} \setminus \setminus (a) \cap i_1 \in C_{i_1}^1$$

belongs to  $\mathcal{D}$ . Let  $a$  be in it, and  $d_{i_1}(a) = d_{i_2}(a)$ . Clearly  $d_{i_1}(a) \neq \text{Min } a$  hence by the first coordinate in  $d_i(a)$ ,  $\alpha_{i_1}(a) = \alpha_{i_2}(a)$ . Now  $\{\xi \in h_{i_1} \setminus \setminus (a) : \alpha_\xi(a) = \alpha_{i_1}(a)\}$  is an initial segment of  $\{\xi \in h_{i_2} \setminus \setminus (a) : \alpha_\xi(a) = \alpha_{i_2}(a)\}$  (as  $a \in R$ ) and a proper one (as  $i_1$  belong to the latter but not the former). As the ordinals are well ordered, their order types are not equal. That means that the second coordinate in the  $d_{i_1}(a)$ ,  $d_{i_2}(a)$  are distinct. So  $d_{i_1}(a) \neq d_{i_2}(a)$  is true for  $i_1 \neq i_2$ ,  $a \in R$ , as required.

**Proof of B:** As the number of possible  $\alpha_i(a)$  is  $\leq |a|$ , and the number of order types of well orderings of power  $< |a|$  is  $|a|$  it suffice to prove:

(\*) for  $i < \lambda^+$ ,  $a \in C_i^1$ , the set  $u = \{j \in a : \alpha_j(a \cap \lambda) = \alpha_i(a \cap \lambda)\}$  has power  $< |a|$

Why (\*) holds? Because for  $j \in u$  the set

$$A_j \cap \{x_\alpha^a : \alpha < \alpha_i(a \cap \lambda)\}$$

is unbound in  $a \cap \lambda$

but  $A_j \cap g_i(j)$  is bounded in  $a \cap \lambda$  (as  $a$  is closed under  $g_i$ )

hence



$$r_j \stackrel{\text{def}}{=} (A_j \setminus g_i(j)) \cap \{x_\alpha^a : \alpha < \alpha_i(a \cap \lambda)\}$$

is an unbounded subset of  $a \cap \lambda$ , hence non empty.

But  $\langle r_j : j \in a, \alpha_j(a \cap \lambda) = \alpha_i(a \cap \lambda) \rangle$  is a sequence of pairwise disjoint subsets of  $\{x_\alpha^a : \alpha < \alpha_i(a \cap \lambda)\}$  (by the choice of  $g_i$ ). As they are non empty their number is  $\leq |\{x_\alpha^a : \alpha < \alpha_i(a \cap \lambda)\}| < |a|$ .

### 7. Claim:

Let  $\mathcal{D}$  be a fine normal filter on  $I \subset \mathcal{P}_{< \kappa}(\lambda)$ ,  $\lambda$  singular and  $(\forall a \in I)$   
 $(|a| \geq cf \lambda \wedge cf |a| \neq cf \lambda \wedge cf \lambda = \sup(cf \lambda \cap a))$  and  
 $Rk(|a|, \mathcal{D}_{cf \lambda}^{cb}) \leq |a|^+$

Then there are functions  $f_i$  for  $i < \lambda^+$ ,  $\text{Dom } f_i = I$ ,  $(\forall a \in I)[f_i(a) \in a]$   
 and for  $i \neq j$   $\{a \in I : f_i(a) = f_j(a)\} = \emptyset \text{ mod } \mathcal{D}$

**Proof:** Let  $\sigma = cf \lambda$ ,  $\lambda = \sum_{\xi < \sigma} \lambda_\xi$ , each  $\lambda_\xi$  regular,  $\sum_{\xi < \zeta} \lambda_\xi < \lambda_\zeta < \lambda$  for  $\zeta < \sigma$ .  
 We can find for  $i < \lambda^+$  functions  $A_i$  from  $\sigma$  to  $\lambda$ ,  $\sum_{\xi < \zeta} \lambda_\xi < A_i(\zeta) < \lambda_\zeta$  such that  
 for  $i < j < \lambda^+$  there is  $\xi < \sigma$  such that

$$\xi \leq \zeta < \sigma \implies A_i(\zeta) < A_j(\zeta)$$

Let again  $a = \{x_\alpha^a : \alpha < |a|\}$ , so for each  $i < \lambda^+$ ,  $a \in I$  if  $\text{Range } A_i$  is unbounded in  $a$  then for some  $\alpha_i(a) < a$ ,  $(\text{Range } A_i) \cap \{x_\alpha^a : \alpha < \alpha_i(a)\}$  is unbounded in  $a$  (and  $\alpha_i(a) = \text{Min } a$  otherwise).

Now for  $i < \lambda^+$  we define a function  $d_i$  with domain  $I$  ( $h_i$  - a one-to-one function from  $\lambda$  onto  $i \cup \lambda$ ):

$$d_i(a) = \begin{cases} \langle \alpha_i(a), \text{otp}\{j \in h_i \setminus (a) : \alpha_j(a) = \alpha_i(a)\} \rangle & \text{if } a = h_i \setminus (a) \cap \lambda, \\ & (\forall \zeta \in (a \cap cf \lambda)) A(\zeta) \in a \\ & \text{and } (\forall j \in a) \\ & a = h_j \setminus (a) \cap \lambda \\ \text{Min } a & \text{otherwise} \end{cases}$$

We finish as in 6.

### 7A Remark:

1) Really we use  $Rk(|a|, \mathcal{D}_\sigma^{cb}) \leq |a|^+$  (where  $\sigma = cf \lambda$ ) just to get, that for every  $\zeta < |a|$  for some  $\xi_\zeta < |a|^+$

(\*) there are no  $f_i : \sigma \rightarrow \zeta$  for  $i < \xi_\zeta$ , [ $i < j \implies f_i <_{D_\sigma^{\text{cb}}} f_j$ ]

We should observe that for  $a \in I$ ,  $a \cap \sigma$  has order type  $\sigma$ .

Note that if for each  $\zeta < |a|$  there is such  $\xi_\zeta$  then  $\xi(*) = \bigcup_{\zeta < |a|} \xi_\zeta$  is  $< |a|^+$  and work for all  $\zeta$ 's.

Similar remark apply to 8.

### 8. Claim:

Suppose  $\kappa \leq \sigma = \text{cf } \lambda < \lambda$ ,  
 $I \subseteq \{a \in \mathcal{P}_{<\kappa}(\lambda) : \text{cf } |a| \neq \text{cf}(\sup(a \cap \sigma)), \text{ and } \text{Rk}(|a|, \mathcal{D}_{\text{cf}(\sup(a \cap \sigma))}^{\text{cb}}) \leq |a|^+ \text{ when } \text{cf}(\sup a) > \aleph_0 \text{ and } |a|^{\aleph_0} = |a| \text{ when } \text{cf}(\sup a) = \aleph_0\}$ ,  
 and  $\mathcal{D}$  a normal fine filter on  $I$ .

Then there are for  $i < \lambda^+$  functions  $f_i : I \rightarrow \lambda$ ,  $f_i(a) \in a$  and for  $i \neq j$   $\{a \in I : f_i(a) = f_j(a)\} = \emptyset \text{ mod } \mathcal{D}$ .

**Proof:** Let  $A_i, \lambda_\zeta$  be as in the proof of 7,  $a = \{x_\alpha^a : \alpha < |a|\}$ . Let  $h_i$  be a one-to-one function from  $\lambda$  onto  $\lambda \cup \{j : j < i\}$ . For each  $i$  the set  $C_i^1 \stackrel{\text{def}}{=} \{a \in I : a \text{ is closed under } A_i, \text{ and } (\text{Range } A_i) \cap a \text{ is unbounded in } a, h_i''(a) \cap \lambda = a \text{ and } a \in C_j^1 \text{ for } j \in h_i''(a) \text{ and } \text{cf}(\sup a) = \text{cf}(\sup(a \cap \sigma))\}$  belongs to  $\mathcal{D}$ , and for  $a \in C_i^1$  let  $\alpha_i(a) < |a|$  be minimal such that  $(\text{Range } A_i) \cap \{x_\alpha^a : \alpha < \alpha_i(a)\}$  is unbounded in  $a$ . We then let

$$d_i(a) = \begin{cases} \langle \alpha_i(a), \text{otp}\{j : j \in h_i''(a), \alpha_j(a) = \alpha_i(a)\} \rangle & \text{if } a \in C_i^1, \\ \text{Min } a & \text{otherwise} \end{cases}$$

and we proceed as in the proof of 6, 7 (and see 7A).

### 9. Definition:

1) For  $\kappa < \lambda$ ,  $\kappa$  regular, and a model  $N$  with universe  $|N|$  which is an ordinal  $< \kappa$ , two place relation  $R_1^N, R_2^N$ , a three place relation  $R_3^N$  and a partial one place function  $F^N$  (if one of them is not mentioned this means it is empty), let (see notation 1(5)):

$$T_{\kappa, \lambda}(N) = \{a \in \mathcal{P}_{<\kappa}(\lambda) : \text{dcd}''(a) \cap \lambda = a,$$

and there are  $b_s$  (for  $s \in |N|$ ) such that:

$$(i) \quad b_s \subseteq a, \quad a = \bigcup_{s \in N} b_s, \quad b_s \in \text{cd}_{\kappa, \lambda}(a) \quad (\text{equivalently}) \\ \text{cd}_{\kappa, \lambda}(b_s) \in a$$

$$(ii) \quad sR_1^N t \text{ implies } b_s \subseteq b_t$$

$$(iii) \quad sR_2 t \text{ implies } \text{cd}_{\kappa, \lambda}(b_s) \in b_t$$

$$(iv) \quad \text{for each } t, \quad \text{cd}\{\langle \alpha, \text{cd}_{\kappa, \lambda}(b_s) \rangle : \alpha \in N, \\ R_3^N(\alpha, s, t)\} \in b_t$$

$$(v) \quad \text{for } t \in \text{Dom } F^N, \quad |b_t| \leq F(t)$$

$$2) \text{ For } K \text{ a family of models } N, \quad T_{\kappa, \lambda}(K) = \bigcup_{N \in K} T_{\kappa, \lambda}(N)$$

$$3) \quad N_{\theta}^0 = (\theta) \quad (\text{so } R_1, R_2, R_3, F \text{ are empty})$$

$$N_{\theta}^1 = (\theta, <) \quad (\text{so } R_2, R_3, F \text{ are empty})$$

$$N_{\theta}^2 = (N, <, <) \quad (\text{so } R_3, F \text{ are empty})$$

$$N_{\theta}^3 = (\theta, <, <, R_3) \quad \text{where } R_3 = \{\langle \alpha, \alpha, \gamma \rangle : \alpha < \gamma < \theta\} \quad (\text{so } F \text{ is empty})$$

\* \* \*

We now show that [Sh1] 13 (and 12) is applicable sometimes. (see 2, 2A above for what they say). This is when  $\kappa = \lambda$  in 10.

### 10. Claim:

Suppose  $\kappa = \mu^+ \leq \lambda$ ,  $\theta$  regular,  $\aleph_0 < \theta < \mu$ , and  $\text{Rk}(\mu^+, \mathcal{D}_{\theta}^{\text{ob}}) = \mu^+$ . Then there is a function  $g$  from  $T = T_{\kappa, \lambda}(N_{\theta}^1)$  to  $\kappa$  such that for every well ordering  $<^*$  of  $\lambda$

$$\{a \in \mathcal{P}_{< \kappa}(\lambda) : \text{otp}(a, <^*) < g(a)\} \supseteq T \text{ mod } \mathcal{D}_{\kappa}(\lambda)$$

**11. Remark:**

1) We can use other  $N$ 's, but then have to change accordingly the filter by which we define the rank.

2) In [Sh2] various sufficient conditions for  $Rk(\mu^+, \mathcal{D}_\theta^{cb}) = \mu^+$  are given:

(When  $cf \mu \neq \theta$ ):

$$(\forall \sigma < \mu) [\sigma^\theta \leq \mu]$$

and

$$" \mu > 2^\theta \text{ and } \mu \leq (\sup\{\sigma : \sigma^\theta \leq \mu\}) "$$

4) As for  $a \in T$   $\{\beta : \beta < g(a)\}$  has power  $\mu$ , and  $C' = \{a \in \mathcal{P}_{<\kappa}(\lambda) : |a| = \mu\} \in \mathcal{D}_{<\kappa}(\lambda)$ , we can deduce that:

If the conclusion of 10 holds for  $T$  then there are functions  $g_i : T \rightarrow \lambda$  (for  $i < \lambda^+$ )  $g(a) \in a$  such that for  $i \neq j$   $\{a \in T : g_i(a) = g_j(a)\} = \emptyset \text{ mod } \mathcal{D}_{<\kappa}(\lambda)$

**Proof of 10:** For each well ordering  $<^*$  of  $\lambda$  let

$C[<^*] = \{a \in \mathcal{P}_{<\kappa}(\lambda) : \text{for each } i \in a, cd_{\kappa,\lambda}(i) \cap \lambda \subset a \text{ and}$

$$otp(cd_{\kappa,\lambda}(i) \cap \lambda, <^*) < otp(a)\}$$

It is clearly closed unbounded, i.e., belongs to  $\mathcal{D}_{<\kappa}(\lambda)$ . Now if

$a \in T(N_\theta^1) \cap C[<^*]$ , let  $\langle b_\alpha : \alpha < \theta \rangle$  witness " $a \in T$ " (i.e.,  $i_\alpha \in a$ ,

$i_\alpha = cd_{\kappa,\lambda}(b_\alpha) \in \mathcal{B}_{\kappa,\lambda}$ ,  $a = \bigcup_{\alpha < \theta} b_\alpha$ ,  $b_\alpha$  is increasing in  $\alpha$ ), so

$otp(b_{i_\alpha}, <^*) < otp(a)$  for each  $\alpha$ . So clearly it suffices to prove:

**12. Fact:**

If  $\theta$  is regular cardinal,  $\aleph_0 < \theta < \mu$ ,  $\theta \neq cf \mu$  and  $Rk(\mu^+, \mathcal{D}_\theta^{cb}) = \mu^+$  then for every  $\xi < \mu^+$  there is  $\zeta < \mu^+$  such that: if  $\zeta = \bigcup_{i < \theta} A_i$ ,  $A_i$  increasing, then for some  $i < \theta$   $otp(A_i) \geq \xi$

**Proof:**

Suppose  $otp(A_i) < \xi$  for  $i < \theta$ ,  $A_i$  increasing, and  $\zeta = \bigcup_{i < \theta} A_i$ . Define for  $\gamma < \zeta$  a function  $h_\gamma : \theta \rightarrow \xi$  by:  $h_\gamma(i) = otp(A_i \cap \gamma)$ . So each  $h_\gamma$  is a function from  $\theta$  to ordinals, and for  $\beta < \gamma$  ( $\forall i < \theta$ )  $[h_\beta(i) \leq h_\gamma(i)]$ , moreover for some  $j < \theta$   $\beta \in A_j$  hence  $(\forall i) [j < i < \theta \rightarrow h_\beta(i) < h_\gamma(i)]$ . This clearly implies  $Rk(\xi, \mathcal{D}_\theta^{cb}) \geq \zeta$ , but  $Rk(\xi, \mathcal{D}_\theta^{cb}) < \mu^+$ .

### 13. Definition

For  $\kappa \leq \lambda$ ,  $\kappa$  regular,  $\mathcal{D}$  a normal fine filter on  $I \subseteq \mathcal{P}_{<\kappa}(\lambda)$ ,

- 1)  $\diamond(\mathcal{D})$  means that there are  $\langle A_\alpha : \alpha \in I \rangle$ ,  $A_\alpha \subseteq \alpha$ , such that for every  $A \subseteq \lambda$ ,  $\{\alpha \in I : A \cap \alpha = A_\alpha\} \neq \emptyset \text{ mod } \mathcal{D}$
- 2)  $\diamond^*(\mathcal{D})$  means that there are  $\langle \mathcal{P}_\alpha : \alpha \in I \rangle$ ,  $\mathcal{P}_\alpha$  a family of  $\leq |\alpha|$  subsets of  $\alpha$ , such that for every  $A \subseteq \lambda$   $\{\alpha \in I : A \cap \alpha \in \mathcal{P}_\alpha\} \in \mathcal{D}$
- 3) We replace  $\mathcal{D}$  by  $I$  when  $\mathcal{D}$  is the filter generated by the family of closed unbounded subsets of  $I$ . We write  $I, \mathcal{D}$  instead of  $\mathcal{D} + I$ ,

### 14. Remark:

We implicitly assume  $I \neq \emptyset \text{ mod } \mathcal{D}_{<\kappa}(\lambda)$ ,

### 15. Fact:

1) For  $I \subseteq J \subseteq \mathcal{P}_{<\kappa}(\lambda)$ ,  $\mathcal{D}_1 \subseteq \mathcal{D}_2$  normal fine filter on  $\mathcal{P}_{<\kappa}(\lambda)$ ,

- i)  $\diamond^*(\mathcal{D}_1 + J) \Rightarrow \diamond^*(\mathcal{D}_2 + I)$
- ii)  $\diamond^*(\mathcal{D}_1 + J) \Rightarrow \diamond(\mathcal{D}_1)$
- iii)  $\diamond(\mathcal{D}_2 + I) \Rightarrow \diamond(\mathcal{D}_1 + J)$
- iv)  $\diamond^*(\mathcal{D}_1 + J) \Rightarrow \diamond(\mathcal{D}_2 + I)$

(remember  $\mathcal{D}_{<\kappa}(\lambda) + I \subseteq \mathcal{D}$  for any fine normal filter on  $I$ )

2) Suppose  $\kappa < \lambda = \lambda^{<\kappa}$ ,

$$T = \{\alpha : \text{for some } \theta, \alpha \in T_{\kappa, \lambda}(N_\theta^0), |\alpha|^\theta = |\alpha|\}$$

$$\text{or } \alpha \in T_{\kappa, \lambda}(N_\theta^1), \text{ and } cf |\alpha| \neq \theta (\forall \sigma < |\alpha|) \sigma^\theta \leq |\alpha|$$

$$\text{or } (\exists \chi, \sigma, \alpha) (2^\chi \leq \lambda \wedge \lambda = \chi^{+\alpha} \wedge |\alpha|^{<\sigma} = |\alpha| \wedge (\forall \gamma < \alpha) [cf(\alpha \cap \chi^{+(\gamma+1)} < \sigma) \wedge \alpha < \sigma])$$

Suppose further  $T \neq \emptyset \text{ mod } \mathcal{D}_{<\kappa}(\lambda)$ . Then  $\diamond^*(T, \mathcal{D}_\kappa(\lambda))$

**Proof:** By straightforward generalization of the proof for the case  $\lambda = \kappa$ , due to Kunen for 1, (i.e., 1(ii), the rest being trivial) Gregory and Shelah for 2) (see e.g. [Sh3]). I.e., for 1)(ii), suppose  $\langle \mathcal{P}_\alpha : \alpha \in \mathcal{P}_{<\kappa}(\lambda) \rangle$  exemplifies  $\diamond^*(\mathcal{D}_1 + J)$ . Let  $\mathcal{P}_\alpha = \{A_i^\alpha : i \in \alpha\}$ . Let  $\langle \cdot, \cdot \rangle$  be a pairing function on  $\lambda$ , and for each  $i < \lambda$ ,  $\alpha \in \mathcal{P}_{<\kappa}(\lambda)$  let

$$B_a^i = \{\alpha : \alpha \in a, \langle \alpha, i \rangle \in A_i^a\}$$

So  $B_a^i \subseteq a_i$ ; is  $\langle B_a^i : a \in \mathcal{P}_{<\kappa}(\lambda) \rangle$  a  $\langle \rangle$  ( $\mathcal{D}_1$ )-sequence for some  $i$ ? If yes we finish, if not let  $B^i \subseteq \lambda$  exemplify this i.e.,

$$C^i = \{a \in \mathcal{P}_{<\kappa}(\lambda) : B^i \cap a \neq B_a^i\} \in \mathcal{D}_1$$

Hence

$$C = \{a \in \mathcal{P}_{<\kappa}(\lambda) : (\forall i \in a) a \in C^i, \text{ and } a \text{ is closed under } \langle, \rangle\} \in \mathcal{D}$$

and let

$$A = \{\langle \alpha, i \rangle : \alpha \in B^i\}.$$

So for some  $a \in C$ ,  $A \cap a \in \mathcal{P}_a$  hence for some  $i \in A$ ,  $A \cap a = A_i^a$  hence  $B^i \cap a = B_a^i$  contradiction.

#### 16. Remark:

We can enlarge  $T$  in 15(2) to:

the set of  $a \in \mathcal{P}_{<\kappa}(\lambda)$  satisfying:

(\*) there is a family  $H$  of  $\leq |a|$  functions from  $a$  to  $a$  such that: *for any*  $h : a \rightarrow a$ , *for some*  $b \subseteq a$ ,  $h \upharpoonright b \in H$  and  $a \subseteq \bigcup_{i \in b} dcd_{\kappa, \lambda}(i)$

\* \* \*

Now 15(2) can be combined with (15(ii) and):

#### 17. Observation:

If  $\mathcal{D}$  is a fine normal filter on  $\mathcal{P}_{<\kappa}(\lambda)$ , and  $\langle \rangle$  ( $\mathcal{D}$ ) holds, *then*: there are  $J_\alpha \subseteq \mathcal{P}_{<\kappa}(\lambda)$  for  $\alpha < 2^\lambda$  such that:

$$J_\alpha \neq \phi \text{ mod } \mathcal{D}, \quad J_\alpha \cap J_\beta = \phi \text{ mod } \mathcal{D} \text{ for } \alpha \neq \beta$$

**18. Conclusion:**

Suppose  $\lambda = \lambda^{<\kappa}$ ,  $\theta < \kappa$ ,  $\kappa$  regular,  $\lambda$  regular, and there is a strong  $(\kappa, \lambda)$ -stationary coding set  $S^*$  such that  $(\forall a \in S^*) [cf(\sup a) = \theta]$  and  $\diamond (\mathcal{D}_\kappa(\lambda) + S^*)$ . Then there are  $S_\alpha \subset \{\delta < \lambda : cf \delta = \theta\}$  for  $\alpha < 2^\lambda$ , each stationary, the intersection of any two non-stationary (any normal filter  $\mathcal{D}$  on  $\lambda$  will satisfy this if  $\{\sup a : a \in S^*\} \neq \phi \text{ mod } \mathcal{D}$  and  $\diamond (\mathcal{D}' + S^*)$  where  $\mathcal{D}' = \mathcal{D} + \{\{a : \sup a \in A\} : A \in \mathcal{D}\}$ ).

**19. Conclusion:**

If  $\theta < \kappa \leq \lambda$ ,  $\kappa = \mu^+$ ,  $\mu^\theta = \mu$ , then for  $T = T_{\kappa, \lambda}(N_\theta^0)$ ,  $T \neq \phi \text{ mod } \mathcal{D}_\kappa(\lambda)$  and  $\diamond (T, \mathcal{D}_\kappa(\lambda))$ .

**Remark:** This is closely related to [Sh6], [Sh7], (see particularly last section of [Sh7]) which continues [Sh4] VIII 2.6.

**Proof:** By 15(2).

**20. Lemma:**

1) Suppose  $\theta < \kappa \leq \chi \leq \lambda$ ,  $T \subset P_{<\chi^+(\lambda)}$ ,  $T \neq \phi \text{ mod } \mathcal{D}_{\chi^+(\lambda)}$ ,  $\diamond (T, \mathcal{D}_{\chi^+(\lambda)})$  and for each  $a \in T$ ,  $\chi \subseteq a$  and:

$$(i) (\exists b \subseteq a)[|b| < \kappa \wedge a = \bigcup_{\alpha \in b} cd_{\chi^+, \lambda}(\alpha)]$$

Then we can find  $T_1 \subset P_{<\kappa}(\lambda)$ ,  $T_1 \neq \phi \text{ mod } \mathcal{D}_\kappa(\lambda)$  such that  $\diamond (T_1, \mathcal{D}_\kappa(\lambda))$  holds.

2) Suppose in addition that for  $a \in T$ :

$$(ii) (\forall c \subseteq a)[|c| < \kappa \rightarrow cd_{\chi^+, \lambda}(c) \in a]$$

Then we can demand  $T_1 \subset T_{\kappa, \chi}(N_\theta^3)$

**Proof:** 1) As in the proof of claim 7 in [Sh1].

As  $\diamond (T_1, \mathcal{D}_{\chi^+(\lambda)})$ , we can find  $\langle M_a : a \in T \rangle$  such that  $M_a$  is a model with universe  $a$  and countably many (finitary) functions, and for every model  $M$  with universe  $\lambda$  and countably many functions  $\{a : M_a = M \upharpoonright a\} \neq \phi \text{ mod } \mathcal{D}_{\chi^+(\lambda)}$

For  $\alpha \in T$  we can find  $b_\alpha \subset \alpha$ ,  $|b_\alpha| < \kappa$  such that  $b_\alpha$  is closed under the functions of  $M_\alpha$  and  $\alpha \subset \bigcup_{\alpha \in b_\alpha} dcd_{\chi^+, \lambda}(\alpha)$ . By the last condition, and as

$[a \in \alpha \Rightarrow dcd_{\chi^+, \lambda}(\alpha) \subset a]$  clearly  $[a_1 \neq a_2 \Rightarrow b_{a_1} \neq b_{a_2}]$ . We define  $N_{b_\alpha} = M_\alpha \upharpoonright b_\alpha$ , and let  $T_1 = \{b_\alpha : \alpha \in T\}$ . So  $N_b (b \in T_1)$  is well defined. Now

(i)  $T_1 \subset \mathcal{P}_{< \kappa}(\chi)$ ,

(ii)  $T_1 \neq \phi \text{ mod } \mathcal{D}_\kappa(\chi)$  [if  $M$  is a model with universe  $\lambda$  and countably many functions, for some  $\alpha \in T$   $M_\alpha = M \upharpoonright \alpha$ , so  $b_\alpha$  is closed under the functions of  $M$  and  $b_\alpha \in T_1$ ]

(iii) For every model  $M$  with universe  $\lambda$  and countably many functions, for some  $b \in T_1$ ,  $N_b = M \upharpoonright b$ . [same proof as in (ii)]. Hence  $\langle (T_1, \mathcal{D}_\kappa(\lambda))$  holds.

2) Easy from the proof of 1), choosing  $b_\alpha$  in  $T_{\kappa, \lambda}(N_\alpha^3)$

### 21. Lemma:

Suppose  $\mathcal{D}_1$  is a fine normal filter on  $\mathcal{P}_{< \kappa}(\lambda_1)$ ,  $\kappa \leq \lambda_1 < \lambda$ . Let  $\mathcal{D}$  be the normal fine filter on  $\mathcal{P}_{< \kappa}(\lambda)$  generated by  $\left\{ \{a \in \mathcal{P}_{< \kappa}(\lambda) : a \cap \lambda_1 \in S\} : S \in \mathcal{D}_1 \right\}$ . Suppose further that  $T_1 \subset \mathcal{P}_{< \kappa}(\lambda_1)$ ,

$T_1 \neq \phi \text{ mod } \mathcal{D}_1$ ,  $\langle (T_1, \mathcal{D}_1)$  and  $T_1$  is a  $(\kappa, \lambda_1)$ -weak stationary coding.

Lastly suppose  $NSi(\kappa, \lambda)$  holds (see [Sh1] Def.8) or at least: for some algebra  $M$  will universe  $\lambda$  and countably many functions,  $M$  has no isomorphic but distinct subalgebras  $M_1 \subset M_2$ ,  $M_1 \cap \lambda_1 = M_2 \cap \lambda_1 \in T$

Then there is a  $(\kappa, \lambda)$ -weak stationary coding set  $T$ , for which  $\langle (T, \mathcal{D})$  holds.

**Proof:** Just like 10 of [Sh1].

**Remark:** We can combine 21 or 22 with 23 or 24, getting existence for many cardinals.

### 22. Lemma:

Suppose in the previous lemma,  $\kappa$  is a strongly Mahlo cardinal,  $T$  is a  $(\kappa, \lambda_1)$ -stationary coding. Suppose further that if  $b \subset a$  are in  $T$  then for every subset  $c$  of  $a$  of power  $\leq |b|$ ,  $cd_{\kappa, \lambda}(c) \in a$ . Then  $\mathcal{P}_{< \kappa}(\lambda)$  has a  $(\kappa, \lambda)$ -



stationary coding.

**23. Lemma:**

1) Suppose  $\aleph_0 < \kappa < \lambda$ ,  $\kappa$  is regular,  $\lambda^{<\kappa} = \lambda$  and  $(\forall \sigma < \kappa) \sigma^{\aleph_0} < \kappa$ , (hence  $2^{\aleph_0} < \kappa$ ).

Then there is a  $(\kappa, \lambda^+)$ -stationary coding set  $T$ .

2) Also we can have  $\langle \rangle (T, \mathcal{D}_{\kappa}(\lambda))$

3) Suppose that  $\lambda^{<\kappa} \leq \lambda^+$ ,  $\mathcal{D}_1$  is a normal fine filter on  $\mathcal{P}_{<\kappa}(\lambda)$ ,  $T^* \in \mathcal{D}_1$ ,  $T^*$  has cardinality  $\lambda$ , and

$$(a) (\forall a \in T^*) (\forall b \subseteq a) [|b| \leq \aleph_0 \rightarrow cd_{\kappa, \lambda}(b) \in a]$$

Let  $\mathcal{D}$  be the minimal normal fine filter on  $\mathcal{D}_{<\kappa}(\lambda^+)$  such that  $\mathcal{D} \upharpoonright \lambda = \mathcal{D}_1$ . Then for some  $\mathcal{D}$ -stationary  $T$ ,  $(\mathcal{D} + T) \upharpoonright \lambda = \mathcal{D}_1$ , and  $T$  is a stationary coding set.

4) For 3) if  $\lambda = \lambda^{\aleph_1}$ ,  $\lambda^{<\kappa} \leq \lambda^+$  and for some  $T_0 \subseteq \mathcal{P}_{<\kappa}(\lambda)$

$$|T_0| = \lambda \wedge (\forall a \in \mathcal{P}_{<\kappa}(\lambda)) (\exists b \in T_0) [a \subseteq b]$$

then  $\mathcal{D}_{<\kappa}(\lambda) + T$  is as required where

$$T = \{a \in \mathcal{P}_{<\kappa}(\lambda): \text{there are } b_i \in T_0 \ (i < \omega_1) \text{ increasing } a = \bigcup_{i < \omega_1} b_i, \\ a = \lambda \cap dcd_{\kappa, \lambda} \text{ `` } (a), cd_{\kappa, \lambda}(b_i) \in a\}$$

**Proof:**

1) Let  $\mathcal{P}_{<\kappa}(\lambda) = \{b_i: i < i(*)\}$ ,  $i(*) \leq \lambda^+$ , and let for  $i < i(*)$   $S_i \subseteq S^* = \{\delta < \lambda^+: cf \delta = \aleph_0\}$  be pairwise disjoint stationary subsets of  $\lambda^+$ ,  $S^* = \bigcup_i S_i$ . For  $\delta \in \bigcup_{i < i(*)} S_i$  let  $i(\delta)$  be the unique  $i$  such that  $\delta \in S_i$ .

Let  $f, g$  be such that:  $f, g$  two place functions from  $\lambda^+$  to  $\lambda^+$ , for  $i < \lambda^+$ ,  $i = \{j: j < i\} = \{f(i, j): j < |i|\}$  and for  $j < |i| < \lambda^+$   $g(i, f(i, j)) = j$ .

**23.A. Observation:**

If  $a \in \mathcal{P}_{<\kappa}(\lambda^+)$  is closed under  $f$  and  $g$ ,  $w \subseteq a$  is unbounded in  $a$  and  $a \cap \lambda = b_i$  then  $a$  is totally determined by  $w$  and  $i$ , and we write  $a = a_i[w]$ .

Let for  $\delta \in S_i$

$$T_\delta^i = \{a \in \mathcal{P}_{<\kappa}(\lambda^+) : \sup a = \delta, a \cap \lambda = b_i, a \text{ closed under } f \text{ and } g, \\ \text{and for any bound countable } w \subseteq a, \text{ with } \sup w \in S^*, \\ cd_{\kappa, \lambda^+}(a_{i(\sup w)}[w]) \in a\}$$

$$T^i = \bigcup_{\delta \in S_i} T_\delta^i$$

$$T = \bigcup_{i < i(*)} T^i$$

### 23.B. Observation:

If  $c \subseteq d, d \neq c$  and  $c, d \in T$  then  $cd_{\kappa, \lambda^+}(c) \in d$

**Proof:** Let  $d \cap \lambda = b_i, c \cap \lambda = b_j, w \subseteq c$  a countable subset of  $c$  with  $\sup w = \sup c$  ( $w$  exists as for each  $a \in T, cf(\sup a) = \aleph_0$ .) As  $c \in T, c \cap \lambda = b_j$  necessarily  $\sup w \in S_j$ . If  $i = j$  then  $d \cap \lambda = c \cap \lambda$  and  $w$  is an unbounded subset of both so  $d = c = a_i[w]$  contradiction. So assume  $i \neq j$ , so necessarily  $\sup w \neq \sup a$  hence  $\sup w < \sup a$  hence  $a_{i(\sup w)}[w] = c$  but as  $d \in T$  by the definition of the  $T_\delta^i$ 's we know that  $a_{i(\sup w)}(w) \in d$ . So  $cd_{\kappa, \lambda^+}(c) \in d$ .

### 23.C. Observation: $T \neq \phi \text{ mod } \mathcal{D}_{\kappa}(\lambda)$

**Proof:** By Rubin and Shelah [RS]. (see proof of 24 after 24A)

### Continuation of the proof of 23.

The observations above finishes the proof of 23(1).

2) We let  $\{(b_i, M_i) : i < i(*)\}$  list all pairs  $(b, M)$ , where  $b \in \mathcal{P}_{<\kappa}(\lambda), M = (\alpha^M, A^M), \alpha^M < \kappa, A^M \subseteq \alpha$ . We use  $\{b_i : i < i(*)\}$  as above and for  $a \in T, \sup a \in T_i$ , let  $A_a = \{\xi \in A : otp(a \cap \xi) \in A^{M_i}\}$ . Now  $\langle A_a : a \in T \rangle$  is a witness for  $\langle \rangle (T, \mathcal{D}_{\kappa}(\lambda))$ .

- 3) Same proof.  
 4) Left to the reader.

**24. Lemma:**

Suppose  $\aleph_0 < \kappa \leq \lambda$ ,  $\kappa$  regular,  $S^* \subseteq \{\delta < \lambda^+ : cf \delta = \aleph_0\}$ , and  $\mathcal{D}$  is a normal fine filter on  $\mathcal{P}_{<\kappa}(\lambda)$  such that:

- (i)  $\lambda^+ = (\lambda^+)^{<\kappa}$   
 (ii) there is  $Y^* \in \mathcal{D}$  of power  $\lambda$   
 (iii) if  $\lambda < \alpha < \lambda^+$ , and  $\mathcal{D}_\alpha$  is the unique normal fine filter on  $\alpha$  such that  $\mathcal{D}_\alpha \upharpoonright \lambda = \mathcal{D}$  then:

$$\{a \in \mathcal{P}_{<\kappa}(\alpha) : \text{there is } \delta \in S^* \cap \alpha - a \\ \text{such that } \delta = \sup(\delta \cap a)\} = \phi \text{ mod } D_\alpha$$

- (iv)  $2^{<\kappa} \leq \lambda$

Let  $\mathcal{D}_1$  be the minimal normal fine filter on

$$\mathcal{P}_{<\kappa}(\lambda^+) \text{ such that } \mathcal{D}_1 \upharpoonright \lambda = \mathcal{D}$$

Then there is  $T \subseteq \mathcal{P}_{<\kappa}(\lambda^+)$ , such that  $T$  is a  $(\kappa, \lambda^+)$ -stationary coding,  $(\mathcal{D}_1 + T) \upharpoonright \lambda = \mathcal{D}$  and  $\langle \rangle (T, \mathcal{D}_1)$

**Proof:** Let  $\{(b_i, M_i) : i < i^*\}$  (where  $i^* \in \{\lambda, \lambda^+\}$ ) list the pairs  $(b, M)$ ,  $b \in Y^*$ ,  $M = (\alpha^M, A^M)$ ,  $\alpha^M < \kappa$ ,  $A^M \subseteq \alpha^M$  (by (i) this is possible). Let  $S_i \subseteq S^*$  (for  $i < i^*$ ) be pairwise disjoint stationary subsets of  $\lambda^+$ ,  $S^* = \bigcup_{i < i^*} S_i$ . For  $\delta \in S^*$  let  $i(\delta)$  be the unique  $i < i^*$  such that  $\delta \in S_i$ . Let  $f, g$  be two-place functions on  $\lambda^+$  such that for  $i < \lambda^+$   $i = \{f(i, j) : j < |i|\}$  and for  $j < |i|$   $g(i, f(i, j)) = j$ . Let  $C_0 = \{a \in \mathcal{P}_{<\kappa}(\lambda^+) : a \text{ closed under } f \text{ and } g \text{ and } x+1\}$

For  $w \subseteq \lambda^+$  countable with  $\sup w \in S^*$  let set  $[w]$  be the closure of  $w \cup b_{i(\sup w)}$  under  $f$  and  $g$ . For  $i < i^*$ ,  $\delta \in S_i$  let

$$T_\delta^i \stackrel{\text{def}}{=} \{a \in \mathcal{P}_{<\kappa}(\lambda^+) : \sup a = \delta, a \cap \lambda = b_{i(\delta)}\}$$

$a$  is a closed under  $f$  and  $g$ ,

and for any bounded countable

$w \subseteq a$ : if  $\sup w \in S^*$  (and

set  $[w] \cap \lambda = b_{i(\sup w)}$ ) then  $cd_{\kappa,\lambda}(\text{set } [w]) \in a$  }

$$T^i \stackrel{\text{def}}{=} \bigcup_{\delta \in S_i} T_\delta^i$$

For  $a \in T^i$  let  $h_a$  be the unique order preserving function from  $a$  onto the ordinal  $otp(a)$  (= the order type of  $a$ ). Let  $A_a = \{j \in a : h_a(j) \in A^{M_i}\}$ , so  $A_a$  is a subset of  $a$ .

$$T \stackrel{\text{def}}{=} \bigcup_{i < i(\bullet)} T^i$$

**24A. Observation:** If  $c \subseteq d$ ,  $c \neq d$  both are in  $T$  then  $cd_{\kappa,\lambda^+}(c) \in d$

As in the previous proof (i.e., see 23A).

Now let  $M$  be an algebra with universe  $\lambda^+$  and countably many functions including  $f, g$  and  $A \subseteq \lambda^+$ , and let  $Y \subseteq \mathcal{P}_{<\kappa}(\lambda)$ ,  $Y \neq \emptyset \text{ mod } \mathcal{D}$ . We shall find  $a \in T$ ,  $a \cap \lambda \in Y$  and  $a$  is a subalgebra of  $M$  such that  $A \cap a = A_a$ . This will prove  $T \neq \emptyset \text{ mod } \mathcal{D}_1$ ,  $(\mathcal{D}_1 + T) \upharpoonright \lambda = \mathcal{D}$  and  $\langle \rangle (T, \mathcal{D}_\kappa(\lambda))$ .

We imitate Rubin and Shelah [RSh]: We define a game  $\mathcal{G}$  which lasts  $\omega$  moves. In the  $n^{\text{th}}$  move player  $I$  chooses  $a_n \in \mathcal{P}_{<\kappa}(\lambda)$  and then player  $II$  chooses an ordinal  $\alpha_n$ , which satisfies:

- (I) (i)  $a_n$  is a subalgebra of  $M$   
(ii)  $a_n \cap \lambda \in Y$   
(iii)  $a_n \cap \alpha_{n-1} = a_{n-1}$  when  $n > 0$   
(iv) there is no  $\delta \in (\sup a_n) \cap S^* - a_n$ ,  $\delta = \sup(a_n \cap \delta)$
- (II) (i)  $\alpha_n > \sup a_n$ ,  $\alpha_n > \lambda$  and when  $n > 0$ ,  $\alpha_n > \alpha_{n-1}$

The game is determined being closed. If player  $I$  has a winning strategy,  $a_0$  his first move, let  $b_0 = a_0$  and simulate a play  $\langle a_n, \alpha_n : n < \omega \rangle$  in which player  $I$  uses his winning strategy and  $\cup a_n \in S_i$ . Now  $a \stackrel{\text{def}}{=} \bigcup_{n < \omega} a_n$  is in  $T$

and is a subalgebra of  $M$ . What about  $A_\alpha = A \cap \alpha$ ? For each  $\alpha < \kappa$ ,  $B \subseteq \alpha$  we define a game  $\mathcal{G}(\alpha, B)$ , similar to  $\mathcal{G}$ , but player  $I$  also choose in his  $n^{\text{th}}$  move an order preserving  $h_n : a_n \rightarrow \alpha$ ,  $\bigcup_{m < n} h_m \subseteq h_n$  and  $(\forall \alpha \in a_n)$  ( $\alpha \in A \equiv h_n(\alpha) \in B$ ). If for some  $\alpha, B$  player  $I$  has a winning strategy, we have no problem. If not then (as the games are closed hence determined) player  $II$  has a winning strategy  $F_{\alpha, B}$  for  $\mathcal{G}(\alpha, B)$  for each  $\alpha < \kappa$ ,  $B \subseteq \alpha$ . Now we define a strategy for player  $II$  in  $G$ :

$$F(a_0, \dots, a_n) = \bigcup \{F_{\alpha, B}(a_0, h_0, a_n, h_1, \dots, a_n, h_m) + 1 : \text{for } l \leq n, h_l \text{ a function from } a_c \text{ into } \alpha, \alpha < \kappa, B \subseteq \alpha\}$$

Clearly this gives a legal move for player  $II$ , and in the end we can define  $\alpha = otp(\bigcup_{m < \omega} a_m)$ ,  $B = \{otp(\xi \cap \bigcup_{m < \omega} a_m) : \xi \in A \cap \bigcup_{n < \omega} a_n\}$ , and define  $h_m : a_m \rightarrow \alpha$  by  $h_m(\gamma) = otp(\gamma \cap C_m)$  and get contradiction.

So it is enough to prove that player  $I$  wins  $\mathcal{G}$ , or equivalently that player  $II$  has no winning strategy. So suppose  $F$  is a winning strategy. Now by assumption (ii) of 24 w.l.o.g.  $|Y| = \lambda$  and (by 24 (iv))  $\{a \cap \kappa : a \in Y\} \leq \lambda$ . Now let for  $\zeta < \kappa \omega$   $M_\zeta$  be an elementary submodel of  $H((2^{\lambda^+})^+, \in)$  to which  $S^*, \mathcal{D}, M, F, Y$  belongs,  $\{i : i < \lambda\} \subseteq M_\zeta$ ,  $\langle M_\xi : \xi \leq \zeta \rangle \in M_{\zeta+1}$ ,  $||M_\xi|| = \lambda$ . Let  $\beta_\zeta = \sup(M_\zeta \cap \lambda^+) = \text{Min}(\lambda^+ - |M_\zeta|)$ , and let  $\beta = \bigcup_\zeta \beta_\zeta$ . So  $M_\zeta$  is increasing. Choose  $a \subseteq (\bigcup_{\zeta < \kappa \omega} M_\zeta) \cap \lambda^+$ ,  $a \cap \lambda \in Y$  and  $a \cap \{\beta_{\kappa m + \xi} : \xi < \kappa\} = \{\beta_{\kappa m + \xi} : \xi \in a \cap \kappa\}$ ,  $a$  is closed under  $f, g$ , and there is no  $\delta \in S^* \cap \beta - a$ ,  $\delta = \sup(a \cap \delta)$ . (This demand " $a \cap \lambda \in Y$ " restrict ourselves to a positive set mod  $\mathcal{D}_\beta$ , the rest to a member of  $\mathcal{D}_\beta$  (the last demand by (iii) of 24) so there is such  $a$ .)

As  $a \cap \lambda \in Y$ , clearly for each  $\zeta$   $a \cap \lambda \in M_\zeta$ , and as  $a \cap \{\beta_{\kappa m + \xi} : \xi < \kappa\} = \{\beta_{\kappa m + \xi} : \xi < \kappa, \xi \in a\}$ , and  $a \cap \kappa \in M_\zeta$ , (by the restriction on  $Y$ ) and  $f, g \in M$ , and  $\langle M_\xi : \xi < \kappa m + (\sup \kappa \cap a) \rangle \in M_{\kappa m + 1}$  (as for  $\sup(\kappa \cap a) < a$ ) clearly we get  $a \cap M_{\kappa(m+1)} \in M_{\kappa(m+1)}$ . Now we can simulate a play of the game in which player  $II$  uses his winning strategy  $F$ , whereas player  $I$  choose  $a_n = a \cap M_{\kappa(n+1)}$ . By what we say above  $F(a_0, \dots, a_n) \in M_{\kappa(n+1)}$  hence  $F(a_0, \dots, a_n) < \beta_{\kappa(n+1)}$ , so actually player  $I$  wins the play, contradiction.

**25. Conclusion:**

Suppose  $\kappa$  is regular  $> \aleph_0$ ,  $\lambda = \lambda^{<\kappa}$ , and  $S^* \subseteq \{\delta < \lambda^+ : \text{cf } \delta = \aleph_0\}$  is stationary, but for no  $\delta < \lambda^+$  of cofinality  $\kappa$  is  $S^* \cap \delta$  stationary in  $\delta$ . Then, there is a  $(\kappa, \lambda^+)$ -stationary coding  $T \subseteq T_{\kappa, \lambda^+}(N_\omega^2)$  and even  $\diamond(T, \mathcal{D}_\kappa(\lambda))$  holds.

**26. Remark:**

1) When does such a  $S^*$  exist? It follows from the existence of square on  $\{\delta < \lambda^+ : \text{cf } \delta < \kappa\}$ , which  $\neg 0^\#$  implies holds when  $\kappa < \lambda$  (and even for many  $\kappa = \lambda$ 's (see Magidor's work).

2) We can weaken the non-reflection as in 7 of [Sh1].

**27. Claim:**

In 24 if we do not require  $\diamond(T, \mathcal{D}_1)$  then we can omit (i) and (iv). We can deduce from the proof of 24 also:

**28. Lemma:**

1)  $\diamond(\mathcal{D}_{<\aleph_1}(\lambda^+))$  when  $\lambda = \lambda^{\aleph_0}$

2) If  $\mathcal{D}$  is normal fine filter on  $\mathcal{P}_{<\aleph_1}(\lambda^+)$ ,  $\mathcal{D}_1$  is the minimal normal fine filter on  $\mathcal{P}_{<\aleph_1}(\lambda^+)$  such that  $\mathcal{D}_1 \upharpoonright \lambda = \mathcal{D}$  and  $\lambda = \lambda^{\aleph_0}$  then  $\diamond(\mathcal{D}_1)$

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